Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

2024

Open to Public Inspection

For calendar year 2024, or tax year beginning 01-01-2024 , and ending 12-31-2024

Name of foundation
ROCKEFELLER BROTHERS FUND INC

A Employer identification number
13-1760106

Number and street (or P.O. box number if mail is not delivered to street address)
475 RIVERSIDE DRIVE 900

Room/suite

B Telephone number (see instructions)
(212) 812-4200

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10115

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 1,418,990,015

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	232,085			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	1,845	1,845		
	4	Dividends and interest from securities	7,230,165	25,980,721		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	67,533,709			
	b	Gross sales price for all assets on line 6a 862,896,751				
	7	Capital gain net income (from Part IV, line 2)		72,343,023		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,106,200	840,061		
	12	**Total.** Add lines 1 through 11	76,104,004	99,165,650		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	2,878,289	120,170		2,758,119
	14	Other employee salaries and wages	5,678,734	118,389		5,560,345
	15	Pension plans, employee benefits	3,658,081	98,342		3,162,673
	16a	Legal fees (attach schedule)	383,444	154,154		242,306
	b	Accounting fees (attach schedule)	279,640	80,788		137,368
	c	Other professional fees (attach schedule)	11,535,946	3,971,628		4,961,558
	17	Interest		1,439,353		
	18	Taxes (attach schedule) (see instructions)	505,006	485,145		106,982
	19	Depreciation (attach schedule) and depletion	2,456,289	0		
	20	Occupancy	1,177,680	15,655		1,167,505
	21	Travel, conferences, and meetings	1,828,044	29,312		1,805,478
	22	Printing and publications	291,149	2,218		289,165
	23	Other expenses (attach schedule)	2,028,764	11,514,041		1,839,376
	24	**Total operating and administrative expenses.** Add lines 13 through 23	32,701,066	18,029,195		22,030,875
	25	Contributions, gifts, grants paid	61,424,824			60,431,602
	26	**Total expenses and disbursements.** Add lines 24 and 25	94,125,890	18,029,195		82,462,477
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-18,021,886			
	b	**Net investment income** (if negative, enter -0-)		81,136,455		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	4,053,289	7,295,486	7,295,486
	2	Savings and temporary cash investments	13,045,702	20,582,977	20,582,977
	3	Accounts receivable ▶ 2,321,899			
		Less: allowance for doubtful accounts ▶	1,548,774	2,321,899	2,321,899
	4	Pledges receivable ▶ 250,000			
		Less: allowance for doubtful accounts ▶	2,275,000	250,000	250,000
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ 108,698			
		Less: allowance for doubtful accounts ▶ 0	228,698	108,698	108,698
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	857,355	669,511	669,511
	10a	Investments—U.S. and state government obligations (attach schedule)	18,635,557	17,374,442	17,374,442
	b	Investments—corporate stock (attach schedule)	128,729,976	122,483,638	122,483,638
	c	Investments—corporate bonds (attach schedule)	11,104,532	5,622,224	5,622,224
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans	1,134,144	51,554	51,554
	13	Investments—other (attach schedule)	1,185,073,641	1,196,902,535	1,196,902,535
	14	Land, buildings, and equipment: basis ▶ 79,835,885			
		Less: accumulated depreciation (attach schedule) ▶ 42,011,310	34,669,545	37,824,575	37,824,575
	15	Other assets (describe ▶)	1,415,868	7,502,476	7,502,476
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,402,772,081	1,418,990,015	1,418,990,015
Liabilities	17	Accounts payable and accrued expenses	9,582,759	10,940,376	
	18	Grants payable	23,295,220	24,288,442	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	4,867,930	10,273,226	
	23	**Total liabilities**(add lines 17 through 22)	37,745,909	45,502,044	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions	1,004,117,123	1,012,586,889	
	25	Net assets with donor restrictions	360,909,049	360,901,082	
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	1,365,026,172	1,373,487,971	
	30	**Total liabilities and net assets/fund balances** (see instructions)	1,402,772,081	1,418,990,015	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,365,026,172
2	Enter amount from Part I, line 27a	2	-18,021,886
3	Other increases not included in line 2 (itemize) ▶	3	26,654,950
4	Add lines 1, 2, and 3	4	1,373,659,236
5	Decreases not included in line 2 (itemize) ▶	5	171,265
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	1,373,487,971

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	SALE OF PUBLICLY TRADED SECURITIES			
b	BAKER BROTHERS HCIF OFFSHORE	P		
c	BEACH POINT DYNAMIC INCOME	P		
d	BRANDYWINE GLOBAL INV MANAGEMENT TRUST	P		
e	DCIG CAPITAL	P		
	EAM LONG ONLY EMERGING MARKETS FEEDER FUND LTD.	P		
	FOSSE CAPITAL FUND LIMITED	P		
	SHERIDAN SQUARE FUND LP	P		
	TWO CREEKS CAPITAL OFFSHORE FUND LTD	P		
	AEOLUS PROPERTY CATASTROPHE FUND	P		
	HUDSON BAY INTERNATIONAL FUND	P		
	GENERATION IM CLIMATE SOLUTIONS FUND II	P		
	KKR DISLOCATION OPPORTUNITIES (TE) FEEDER LP	P		
	WARBURG PINCUS CHINA-SOUTHEAST ASIA II LP	P		
	GAINS THROUGH K-1S, NET OF UBI	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				13,121,874
b				-447,761
c				19,649
d				-4,991,094
e				721,189
				-2,888,422
				1,496,360
				379,409
				374,220
				-19,292
				3,124,442
				4,082,028
				182,621
				62,500
				57,125,300

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				13,121,874
b				-447,761
c				19,649
d				-4,991,094
e				721,189
				-2,888,422
				1,496,360
				379,409
				374,220
				-19,292
				3,124,442
				4,082,028
				182,621
				62,500
				57,125,300

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	72,343,023
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary–see instructions)			1	1,127,797
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2			3	1,127,797
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,127,797
6	Credits/Payments:				
a	2024 estimated tax payments and 2023 overpayment credited to 2024	6a	2,514,062		
b	Exempt foreign organizations—tax withheld at source	6b	0		
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d	0		
7	Total credits and payments. Add lines 6a through 6d			7	2,514,062
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,386,265
11	Enter the amount of line 10 to be: **Credited to 2025 estimated tax** ▶ 1,386,265	**Refunded** ▶		11	0

Part VI-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3	Yes	
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XIV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY, CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2024 or the taxable year beginning in 2024? See the instructions for Part XIII. If "Yes," complete Part XIII	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW.RBF.ORG

14 The books are in care of ▶GERALDINE F WATSON Telephone no. ▶(212) 812-4200

Located at ▶475 RIVERSIDE DRIVE NEW YORK NY ZIP+4 ▶ 10115

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year **15**

			Yes	No
16	At any time during calendar year 2024, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶ BR, CA, CH, CO, DA, GR, HU, ID, IS, KS, MY, NO, PE, PO, SW, TU, AE, UK			

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	Yes	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	Yes	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2024?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2024, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2024?	2a		No
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2024 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2024.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2024?	4b		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)	Yes	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)	Yes	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		No
c	Organizations relying on a current notice regarding disaster assistance check ▶ ☐			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	5d	Yes	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
DAVID ROCKEFELLER JR 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE (UNTIL OCTOBER 23, 2024) 1.00	0	0	0
JUSTIN ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.00	0	0	0
MIRANDA M KAISER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
AYAD AKHTAR 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
JOSEPH PIERSON 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE, CHAIR 8.00	0	0	0
WILLIAM LEE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
PETER O'NEILL 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE (UNTIL OCTOBER 23, 2024) 1.00	0	0	0
JENNIFER NOLAN 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
HEATHER MCGHEE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
WYATT ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
MICHAEL QUATTRONE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
FEDERICA MOGHERINI 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
THEODORE SPENCER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
PETER BEINART 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
MARIA TERESA KUMAR 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
ROBIN STRAWBRIDGE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
HENRY TIMMS	TRUSTEE (RESIGNED APRIL,	0	0	0

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	2024) 1.00			
JANAI NELSON 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE (EFFECTIVE FEBRUARY 12, 2024) 1.00	0	0	0
CARLOS ALVARADO 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE (EFFECTIVE FEBRUARY 12, 2024) 1.00	0	0	0
RANDA SLIM 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE (EFFECTIVE OCTOBER 23, 2024) 1.00	0	0	0
STEPHEN B HEINTZ 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE, PRESIDENT & CEO 45.00	1,029,550	102,693	7,254
ELIZABETH C CAMPBELL 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	EXECUTIVE VP, PROGRAMS& COMMUNICATIONS 45.00	484,043	62,647	5,576
GERALDINE F WATSON 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	EVP, FINANCE, OPERATIONS & POCANTICO 45.00	484,043	91,090	5,550
NANCY L MUIRHEAD 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	COUNSEL & CORPORATE SECRETARY 45.00	346,587	89,161	5,087
JUDY A CLARK 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	ED- POCANTICO CTR. (UNTIL 12/31/2024) 45.00	391,196	70,287	4,747
MARK ADIEDO 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	CULTURE/CHIEF DIVERSITY OFFICER 45.00	346,480	83,699	4,359

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL NORTHROP 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	302,487	81,765	4,366
BENIGNO RODRIGUEZ-CUBENAS 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	274,186	52,982	4,568
SHENYU BELSKY 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	263,425	51,429	4,776
THOMAS KRUSE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	243,128	60,722	4,071
KEESHA GASKINS-NATHAN 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	232,707	58,870	4,211

Total number of other employees paid over $50,000. . . . . ▶ 42

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
GREENROCK CORPORATION 200 LAKE ROAD SLEEPY HOLLOW,NY 10591	FACILITIES MAINTENANCE	4,542,033
CERITY PARTNERS OCIO 7979 EAST TUFTS AVENUE SUITE 700 DENVER,CO 80237	INVESTMENT MANAGEMENT	3,089,037
NICHOLSON & GALLOWAY INC 261 GLEN HEAD ROAD GLEN HEAD,NY 11545	CONSTRUCTION SERVICES	1,857,900
YORKE CONSTRUCTION CORPORATION 140 WEST 31ST STREET 4TH FLOOR NEW YORK,NY 10001	CONSTRUCTION SERVICES	818,179
VENTUCOM LLC 275 WEST 39TH STREET 4TH FL NEW YORK,NY 10018	IT SERVICES	717,815

Total number of others receiving over $50,000 for professional services. . . . . ▶

34

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE POCANTICO CENTER CAMPUS, HOUSED ON THE GROUNDS OF THE POCANTICO HISTORIC AREA, IS MANAGED BY THE ROCKEFELLER BROTHERS FUND AS PART OF ITS AGREEMENT WITH THE NATIONAL TRUST FOR HISTORIC PRESERVATION, ENTERED INTO IN 1991, UNDER WHICH THE FUND IS RESPONSIBLE FOR THE RENOVATION, MAINTENANCE, AND OPERATION OF THIS HISTORIC SITE. THE CAMPUS SERVES AS A COMMUNITY RESOURCE FOR ALL AGES, AND OFFERS PUBLIC ACCESS THROUGH A SLATE OF CONFERENCES, ARTIST RESIDENCIES, A VISITATION PROGRAM, A MUSEUM, PERFORMANCES, PERMANENT EXHIBITIONS, EDUCATIONAL PROGRAMS, AND AN ANNUAL FAMILY-FOCUSED COMMUNITY DAY. DURING 2024, 71 PUBLIC PROGRAMS WERE HELD. THE POCANTICO CENTER WELCOMED MORE GUESTS THAN EVER IN 2024, INCLUDING VISITS FOR PUBLIC PROGRAMS, THE VISITATION PROGRAM, CONFERENCES AND MEETINGS, ARTIST RESIDENCIES, AND LARGELY DUE TO THE NEW CAPACITY PROVIDED BY THE DAVID ROCKEFELLER CREATIVE ARTS CENTER (SEE STATEMENT 22, STATEMENT 24 AND STATEMENT 29).	10,790,720
2 THE DAVID ROCKEFELLER CREATIVE ARTS CENTER OPENED IN FALL OF 2022 FOLLOWING A CONSTRUCTION PROJECT CENTERED AROUND THE REUSE OF THE ORANGERIE, BUILT BY JOHN D. ROCKEFELLER IN 1908 ON THE HISTORIC ROCKEFELLER FAMILY ESTATE AND BEQUEATHED TO THE NATIONAL TRUST FOR HISTORIC PRESERVATION IN 1979 BY NELSON ROCKEFELLER, WHICH TRANSFORMED THE LONG-DORMANT BUILDING INTO THIS NEW SPACE. ADDITIONAL DAY-TWO CONSTRUCTION WORK CONTINUED IN 2024. THE DAVID ROCKEFELLER CREATIVE ARTS CENTER IS A SUSTAINABLE AND INCLUSIVE HOME FOR THE CREATIVE PROCESS AT THE POCANTICO CENTER, WHERE ARTISTS AND THE COMMUNITY COME TOGETHER TO DEVELOP, PRESENT, AND EXPERIENCE NEW WORKS OF PERFORMING, LITERARY, AND VISUAL ARTS. THE CENTER INCLUDES A GALLERY, PERFORMANCE SPACE, AND A FLEXIBLE ARTISTS' STUDIO. THE POCANTICO PRIZE FOR VISUAL ARTISTS COMMENCED IN 2022 AND PAIRS A CASH PRIZE WITH A TWO-MONTH RESIDENCY AT THE DAVID ROCKEFELLER CREATIVE ARTS CENTER. SEE STATEMENT 29.	2,820,313
3 DURING 2024, 11 MEMBERS OF THE STAFF OF ROCKEFELLER BROTHERS FUND PROVIDED TECHNICAL ASSISTANCE TO CHARITABLE ORGANIZATIONS, GOVERNMENTAL BODIES AND COMMITTEES, AND 22 MEMBERS OF THE STAFF SERVED ON 66 CHARITABLE ORGANIZATIONS' BOARDS, COMMITTEES, PUBLIC COMMISSIONS, OR TASK FORCES.ROCKEFELLER BROTHERS FUND'S WEBSITE PROVIDES ADDITIONAL DETAILS ON THE FUND'S CHARITABLE ACTIVITES: HTTPS://WWW.RBF.ORG INCLUDING WWW.RBF.ORG/ABOUT/TRANSPARENCY/ANNUAL-REVIEWS FOR ITS ANNUAL REVIEW.	815,120
4 THE POCANTICO CENTER OF THE ROCKEFELLER BROTHERS FUND, LOCATED WITHIN THE POCANTICO HISTORIC AREA (SEE LINE 1, ABOVE), BEGAN OPERATIONS IN APRIL OF 1994. THE POCANTICO CENTER IS A VENUE FOR CONFERENCES AND MEETINGS THAT MEET VARIOUS CRITERIA INCLUDING DIRECT AND STRONG RELATIONSHIP TO THE FUND'S PROGRAM OBJECTIVES, AND DISPLAY OF DIVERSITY OF PERSPECTIVES, RANGE OF OPINIONS AND BREADTH OF EXPERIENCE. THE POCANTICO CENTER ALSO HOSTS ARTIST RESIDENCIES FOR PERFORMING, VISUAL, AND LITERARY ARTISTS SELECTED BY THE FUND'S GRANTEE ORGANIZATIONS BASED IN NEW YORK CITY. THESE RESIDENCIES SUPPORT THE CREATIVE PROCESS, ADVANCE CULTURAL AWARENESS, AND FOSTER ARTISTIC COLLABORATIONS BY CREATING TIME AND SPACE FOR AESTHETIC EXPLORATION AND NURTURING ARTISTIC IMAGINATION. ARTISTS-IN-RESIDENCE STAY IN THE RESIDENTIAL BUILDINGS AT POCANTICO. THE POCANTICO CENTER HELD 56 CONFERENCES AND MEETINGS AND 13 ARTISTS' RESIDENCIES IN 2024. SEE STATEMENT 29.	686,851

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . . ▶	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	1,371,564,052
b	Average of monthly cash balances			1b	5,805,826
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	1,377,369,878
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	1,377,369,878
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)			4	20,660,548
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3			5	1,356,709,330
6	**Minimum investment return.** Enter 5% (0.05) of line 5			6	67,835,467

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6			1	67,835,467
2a	Tax on investment income for 2024 from Part V, line 5	2a	1,127,797		
b	Income tax for 2024. (This does not include the tax from Part V.)	2b			
c	Add lines 2a and 2b			2c	1,127,797
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	66,707,670
4	Recoveries of amounts treated as qualifying distributions			4	519
5	Add lines 3 and 4			5	66,708,189
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1			7	66,708,189

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	82,462,477
b	Program-related investments—total from Part VIII-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	5,611,319
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4	4	88,073,796

Part XII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2023	(c) 2023	(d) 2024
1 Distributable amount for 2024 from Part X, line 7				66,708,189
2 Undistributed income, if any, as of the end of 2024:				
a Enter amount for 2023 only.			0	
b Total for prior years: 20 ___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2024:				
a From 2019.				
b From 2020. 9,277,029				
c From 2021. 15,680,343				
d From 2022. 22,063,087				
e From 2023. 18,911,566				
f **Total** of lines 3a through e	65,932,025			
4 Qualifying distributions for 2024 from Part XI, line 4: ▶ $ 88,073,796				
a Applied to 2023, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2024 distributable amount				66,708,189
e Remaining amount distributed out of corpus	21,365,607			
5 Excess distributions carryover applied to 2024. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	87,297,632			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2023. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2024. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2025				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	1,833,430			
8 Excess distributions carryover from 2019 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2025.** Subtract lines 7 and 8 from line 6a	85,464,202			
10 Analysis of line 9:				
a Excess from 2020 7,443,599				
b Excess from 2021 15,680,343				
c Excess from 2022. . . . 22,063,087				
d Excess from 2023 18,911,566				
e Excess from 2024 21,365,607				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2024, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2024	**(b)** 2023	**(c)** 2022	**(d)** 2021	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

REFER TO WEBSITE HTTPSWWWRBFORGGRAN
475 RIVERSIDE DRIVE 900
NEW YORK,NY 10115
(212) 812-4200

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 27

c Any submission deadlines:

SEE STATEMENT 27

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 27

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a PUBLIC PROGRAMS					19,537
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,845	
4 Dividends and interest from securities	901101	214,777	14	7,015,388	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	378,644	
8 Gain or (loss) from sales of assets other than inventory	901101	1,060,602	18	66,473,107	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a TAX REFUNDS			01	707,500	
b UBI THROUGH K-1S	901101	-135,969	14	135,969	
c GRANT REFUNDS			01	519	
d FEDERAL AND STATE UBIT TAX CREDITS			01		
e					
12 Subtotal. Add columns (b), (d), and (e)		1,139,410		74,712,972	19,537
13 **Total.** Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)				13	75,871,919

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
1 A	THE POCANTICO CENTER'S CAMPUS IN THE SCENIC HILLS OF THE HUDSON VALLEY SHARES THE 100-YEAR HISTORY OF KYKUIT, ONCE HOME TO THE ROCKEFELLER FAMILY. THIS SITE HAS BEEN HOST TO SOME OF THE MOST INFLUENTIAL LEADERS, THINKERS, AND CREATIVE MINDS OF THE LAST CENTURY. TODAY, THE ROCKEFELLER BROTHERS FUND CONTINUES TO BRING TOGETHER PEOPLE AT POCANTICO THROUGH A ROBUST SLATE OF CONFERENCES, ARTIST RESIDENCIES, TOURS, PERFORMANCES, EXHIBITIONS AND EDUCATIONAL PROGRAMS. ROCKEFELLER BROTHERS FUND'S WEBSITE PROVIDES ADDITIONAL DETAILS ON THE ACTIVITES OF THE POCANTICO CENTER: HTTPS://WWW.RBF.ORG/POCANTICO

Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Signature of officer or trustee — Date 2025-11-10 — ▶ Title

May the IRS discuss this return with the preparer shown below? See instructions. ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶☐	PTIN
JOSEPH L ALI CPA		2025-11-10		P02093808

Firm's name ▶ PKF O'CONNOR DAVIES ADVISORY LLC — Firm's EIN ▶33-1374517

Firm's address ▶ 500 MAMARONECK AVENUE SUITE 301 HARRISON, NY 105281633 — Phone no. (914) 381-8900

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B
(Form 990)
(Rev. January 2025)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

Name of the organization	Employer identification number
ROCKEFELLER BROTHERS FUND INC	13-1760106

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
ROCKEFELLER BROTHERS FUND INC

Employer identification number
13-1760106

Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	O'NEILL FAMILY CHARITABLE TRUST 45 ROCKEFELLER PLAZA FLOOR 5 NEW YORK, NY 10111	$ 219,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
2	ROCKEFELLER PHILANTHROPY ADVISORS (FROM A DONOR ADVISED FUND) 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036	$ 11,500	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
ROCKEFELLER BROTHERS FUND INC

Employer identification number
13-1760106

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

Name of organization
ROCKEFELLER BROTHERS FUND INC

Employer identification number
13-1760106

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c) (7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) $ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Return to Form

Software ID:

Software Version:

TY 2024 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AUDIT AND TAX SERVICES	221,947	80,788		79,675
AUDIT SERVICES - 401K PLAN AUDIT	21,103	0		21,103
GLOBAL TAX SERVICES	14,857	0		14,857
OUTSOURCED ACCOUNTING FEES - CHINA OFFICE	21,733	0		21,733

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Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
BRI INTERNATIONAL GREEN DEVELOPMENT COALITION	5 HOUYINGFANG HUTONG BEIJING, XICHENG DISTRICT 100035 CH	2024-12-02	450,000	FOR ITS GREEN DEVELOPMENT INVESTMENT AND FINANCE PARTNERSHIP	214,982	NONE TO OUR KNOWLEDGE	7/16/2025		
CATALYST FOR PEACE	40 TWIN PONDS DRIVE FALMOUTH, ME 04105	2023-08-30	75,000	FOR ITS CONSTELLATING PEACE 2 PROCESS	75,000	NONE TO OUR KNOWLEDGE	2/23/2024, 2/28/2025		
CATALYST FOR PEACE	40 TWIN PONDS DRIVE FALMOUTH, ME 04105	2024-03-13	75,000	FOR ITS CONSTELLATING PEACE 2 PROCESS	75,000	NONE TO OUR KNOWLEDGE	2/28/2025		
CREATURA PROMOTORA DEL PENSAMIENTO CRITICO SOCIEDAD CIVIL	POPOCATEPETL 18 HIPODROMO CUAUHTEMO MEXICO CITY 06100 MX	2023-12-13	150,000	FOR ITS COLLABORATIVE FUNDING INITIATIVE TO STRENGTHEN CENTRAL AMERICAN CIVIL SOCIETY	150,000	NONE TO OUR KNOWLEDGE	8/8/2024, 11/4/2024		
CREATURA PROMOTORA DEL PENSAMIENTO CRITICO SOCIEDAD CIVIL	POPOCATEPETL 18 HIPODROMO CUAUHTEMO MEXICO CITY 06100 MX	2024-11-27	65,000	FOR ITS COLLABORATIVE FUNDING INITIATIVE TO STRENGTHEN CENTRAL AMERICAN CIVIL SOCIETY	32,000	NONE TO OUR KNOWLEDGE	4/21/2025		
CREATURA PROMOTORA DEL PENSAMIENTO CRITICO SOCIEDAD CIVIL	POPOCATEPETL 18 HIPODROMO CUAUHTEMO MEXICO CITY 06100 MX	2024-12-13	100,000	FOR ITS PROJECT ON STRENGTHENING EFFORTS AGAINST CORRUPTION IN CENTRAL AMERICA	100,000	NONE TO OUR KNOWLEDGE	6/5/2025		
FOUNDATION FOR MIDDLE EAST PEACE	2025 M STREET NW WASHINGTON, DC 20036	2024-03-13	75,000	FOR GENERAL SUPPORT	75,000	NONE TO OUR KNOWLEDGE	2/26/2025		
FUNDACION CENTRO DE ALTA TECNOLOGIA (FUNCENAT)	EDIFICIO DR FRANKLIN CHANG DIAZ 13 KM NORTH OF US EMBASSY IN COSTA RIC SAN JOSE 1200 CS	2023-07-05	100,000	FOR ITS 2024 STATE OF THE REGION REPORT	100,000	NONE TO OUR KNOWLEDGE	3/26/2024, 3/25/2025		
FUNDACION CENTRO DE ALTA TECNOLOGIA (FUNCENAT)	EDIFICIO DR FRANKLIN CHANG DIAZ 13 KM NORTH OF US EMBASSY IN COSTA RIC SAN JOSE 1200 CS	2024-06-05	100,000	FOR ITS 2024 STATE OF THE REGION REPORT	100,000	NONE TO OUR KNOWLEDGE	3/25/2025		
JUSTICA AMBIENTAL	RUA WILLY WADDINGTON N-102 BAIRRO DA COOP MAPUTO MZ	2024-07-10	85,000	FOR ITS CHARITABLE PROJECT CLIMATE JUSTICE, LAND, AND HUMAN RIGHTS	85,000	NONE TO OUR KNOWLEDGE	7/16/2025		
LI FOUNDATION LIMITED	2 MARINA BOULEVARD 37-03 SINGAPORE 018987 SN	2024-11-13	220,000	FOR ENHANCING THE CAPACITY OF EMERGENT PHILANTHROPY IN ASIA	0	NONE TO OUR KNOWLEDGE	5/7/2025		
MISSOURI GREEN BANC	930 KEHRS MILL ROAD BALLWIN, MO 63011	2024-06-05	72,500	FOR ITS BUILDING DECARBONIZATION IN-A-BOX IMPLEMENTATION & STORYTELLING PROJECT	72,500	NONE TO OUR KNOWLEDGE	2/18/2025		
RARE	CONDOMINIOS DEL TROPICO APT 2B BARRIO LAS BRISAS TELA ATLANTIDA HO	2024-11-20	75,000	FOR ITS PROGRAM FISH FOREVER	0	NONE TO OUR KNOWLEDGE	PENDING - NOT YET DUE		
SHANGHAI ECOCYCLE CIRCULAR ECONOMY RESEARCH CENTER	RM C03 BLDG 5 NO 958 LINGSHAN ROAD SHANGHAI, PUDONG DISTRICT 200135 CH	2023-04-17	120,000	FOR GENERAL SUPPORT	120,000	NONE TO OUR KNOWLEDGE	3/18/2024, 3/4/2025		
SHANGHAI ECOCYCLE CIRCULAR ECONOMY RESEARCH CENTER	RM C03 BLDG 5 NO 958 LINGSHAN ROAD SHANGHAI, PUDONG DISTRICT 200135 CH	2024-05-31	100,000	FOR GENERAL SUPPORT	100,000	NONE TO OUR KNOWLEDGE	3/27/2025, 7/15/2025		
THE JOHN E FETZER INSTITUTE INC	9292 WEST KL AVENUE KALAMAZOO, MI 49009	2024-12-13	25,000	FOR ITS FAITH AND PHILANTHROPY PROJECT	0	NONE TO OUR KNOWLEDGE	2/26/2025		

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Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Identifier	Return Reference	Explanation
	FORM 990-PF, PART VII, LINE 1, COLUMN (C), COMPENSATION	ALL REPORTED COMPENSATION AMOUNTS INCLUDE SALARY, FEES, BONUSES, AND SEVERANCE PAYMENTS RECEIVED BY EACH INDIVIDUAL LISTED, AS WELL AS CURRENT YEAR PAYMENTS OF AMOUNTS REPORTED OR REPORTABLE AS DEFERRED COMPENSATION IN CURRENT OR PRIOR YEARS. AS A RESULT, THE AMOUNT REPORTED IN TOTAL ON PART VII, LINE 1 MAY DIFFER FROM THE AMOUNT REPORTED ON PART I, LINE 13, COLUMN (A) WHICH WOULD NOT INCLUDE DEFERRED COMPENSATION REFLECTED PER BOOKS IN PRIOR YEARS.
DISTRIBUTIONS OUT OF CORPUS	PART XII, LINE 7	THE FOUNDATION RECEIVED CONTRIBUTIONS FROM OTHER PRIVATE FOUNDATIONS WHICH IT EXPENSED OR REDISTRIBUTED. IN ACCORDANCE WITH IRS REG. 53-4942(A)-3(D) THE FOUNDATION IS ELECTING TO TREAT $1,833,430 NOT AS A QUALIFYING DISTRIBUTION BUT AS A DISTRIBUTION OUT OF CORPUS.PURSUANT TO REG. 53.4942(A)-3(C)(2)(IV), THE FOUNDATION HEREBY ELECTS TO TREAT, AS A CURRENT CORPUS DISTRIBUTION, THE FOLLOWING UNUSED PRIOR TAX YEARS' DISTRIBUTIONS THAT WERE TREATED AS CORPUS DISTRIBUTIONS UNDER REG. 53.4942(A)-3(D)(1)(III) IN SUCH PRIOR TAX YEARS:TAX YEAR AMOUNT 2020 $1,833,430
	FORM 990-PF, PART XIV	PART XIV, LINE 2AROCKEFELLER BROTHERS FUND475 RIVERSIDE DRIVE #900NEW YORK, NY 10115WWW.RBF.ORG/GRANTS/FOR-GRANT-SEEKERS. PART XIV, LINE 2BTHE ONLINE GRANT INQUIRY IS THE STARTING POINT FOR APPLYING FOR A GRANT FROM THE ROCKEFELLER BROTHERS FUND. PROSPECTIVE APPLICANTS MAY SUBMIT AN ONLINE GRANT INQUIRY AT WWW.RBF.ORG/GRANTS/FOR-GRANT-SEEKERS. STAFF REVIEW EACH INQUIRY FOR FIT WITHIN CURRENT PROGRAM GUIDELINES, FUNDING PRIORITIES, AND BUDGET CONSTRAINTS. IF STAFF ARE CONSIDERING A GRANT, THEY WILL CONTACT THE APPLICANT FOR ADDITIONAL INFORMATION, INCLUDING A DETAILED PROPOSAL. APPLICANTS SHOULD NOT SEND A FULL PROPOSAL UNLESS REQUESTED TO DO SO BY RBF STAFF.IF A GRANT IS APPROVED, GRANTEES WILL RECEIVE AN AGREEMENT LETTER FROM THE RBF OUTLINING THE TERMS OF THE GRANT, INCLUDING THE EXPECTED REPORTING REQUIREMENTS AND PAYMENT SCHEDULE. THE FIRST PAYMENT ON THE GRANT IS GENERALLY ISSUED UPON RETURN OF THE COUNTERSIGNED AGREEMENT LETTER.RBF STAFF MEMBERS MONITOR EACH GRANT THROUGHOUT ITS LIFE CYCLE. EACH GRANTEE IS REQUIRED TO SUBMIT NARRATIVE AND FINANCIAL REPORTS AT SPECIFIED INTERVALS DURING THE GRANT, AS WELL AS AT ITS CONCLUSION. PART XIV, LINE 2CAPPLICATIONS FOR FUNDING ARE CONSIDERED THROUGHOUT THE YEAR. THE REVIEW PROCESS MAY TAKE UP TO THREE MONTHS FROM THE TIME THE INQUIRY IS RECEIVED.PART XIV, LINE 2DFOUNDED IN 1940, THE ROCKEFELLER BROTHERS FUND ADVANCES SOCIAL CHANGE THAT CONTRIBUTES TO A MORE JUST, SUSTAINABLE, AND PEACEFUL WORLD. THE FUND'S GRANTMAKING IS ORGANIZED AROUND THREE GLOBAL THEMATIC PROGRAMS DEMOCRATIC PRACTICE, SUSTAINABLE DEVELOPMENT, AND PEACE BUILDING AND THREE PIVOTAL PLACE PROGRAMS THAT ADDRESS THESE THEMES IN SPECIFIC CONTEXTS: CHINA, THE WESTERN BALKANS, AND CENTRAL AMERICA. THE CHARLES E. CULPEPER ARTS & CULTURE PROGRAM NURTURES A VIBRANT AND INCLUSIVE ARTS COMMUNITY IN THE FUND'S HOME CITY OF NEW YORK.DEMOCRATIC PRACTICE PROGRAM GOALS: ADVANCE A VIBRANT AND INCLUSIVE DEMOCRACY IN THE UNITED STATES AND ADVANCE TRANSBORDER DEMOCRATIC PRACTICES FOR SOCIAL AND ENVIRONMENTAL JUSTICE.SUSTAINABLE DEVELOPMENT PROGRAM GOAL: ADVANCE SOLUTIONS TO CLIMATE CHANGE.PEACEBUILDING PROGRAM GOAL: ADVANCE JUST AND DURABLE PEACE.PIVOTAL PLACE: CHINA PROGRAM GOAL: ADVANCE HEALTHY AND LOW-CARBON DEVELOPMENT.PIVOTAL PLACE: WESTERN BALKANS GOAL: ADVANCE A PEACEFUL, DEMOCRATIC, AND EUROPEAN WESTERN BALKANS. PIVOTAL PLACE: CENTRAL AMERICA PROGRAM GOAL: ADVANCE A DEMOCRATIC, INCLUSIVE, AND SUSTAINABLE CENTRAL AMERICA. CULPEPER ARTS & CULTURE PROGRAM GOAL: SUPPORT A VIBRANT AND INCLUSIVE ARTS COMMUNITY IN NEW YORK CITY.ROCKEFELLER BROTHERS FUND STAFF ARE HIGHLY ENGAGED IN THE FIELDS IN WHICH THEY PROVIDE SUPPORT. STAFF MEMBERS ACTIVELY IDENTIFY ORGANIZATIONS THAT ARE WELL-POSITIONED TO MEET PROGRAMMATIC GOALS. WHILE THE FUND REMAINS OPEN TO UNSOLICITED REQUESTS, APPLICANTS SHOULD BE AWARE THAT THE LIKELIHOOD OF AN UNSOLICITED REQUEST BECOMING A GRANT IS LOW. THE RBF AWARDS A LIMITED NUMBER OF PHILANTHROPIC STEWARDSHIP AND SPECIAL OPPORTUNITIES GRANTS THAT SUPPORT NONPROFIT INFRASTRUCTURE ORGANIZATIONS AND HELP TO FURTHER THE FUND'S MISSION. TO BE ELIGIBLE FOR A GRANT, ORGANIZATIONS SHOULD BE CONSIDERED TAX-EXEMPT OR SEEKING SUPPORT FOR A PROJECT THAT WOULD QUALIFY AS EDUCATIONAL OR CHARITABLE. PLEASE SEE WHAT WE DO NOT FUND AT WWW.RBF.ORG/GRANTS/FOR-GRANT-SEEKERS.THE ROCKEFELLER BROTHERS FUND WEBSITE PROVIDES ADDITIONAL DETAILS ON ELIGIBILITY, GUIDELINES, AND THE APPLICATION PROCESS. WWW.RBF.ORG
MISSION-ALIGNED-INVESTING	PART II, LINES 10A, B, C AND 13	GIVEN THE ENORMOUS AND COMPLEX CHALLENGES FACING TODAY'S INCREASINGLY INTERDEPENDENT WORLD, THE FUND HAS WORKED OVER THE LAST DECADE TO LEVERAGE APPROXIMATELY 95 PERCENT OF ITS FINANCIAL PORTFOLIO TO ADVANCE ITS MISSION TO HELP BUILD A MORE JUST, SUSTAINABLE, AND PEACEFUL WORLD THROUGH MISSION-ALIGNED INVESTING. A RIGOROUS INVESTMENT POLICY ENABLES THE FUND TO ACHIEVE ITS LONG-TERM FINANCIAL OBJECTIVES WHILE ALIGNING ITS INVESTMENTS WITH ITS PROGRAMMATIC PRIORITIES.ROCKEFELLER BROTHERS FUND'S WEBSITE PROVIDES ADDITIONAL DETAILS ON ITS MISSION ALIGNED INVESTING EFFORTS: HTTPS://WWW.RBF.ORG/MISSION-ALIGNED-INVESTING/FINANCE
	PART VIII-A: SUMMARY OF DIRECT CHARITABLE ACTIVITIES	ROCKEFELLER BROTHERS FUND'S WEBSITE PROVIDES ADDITIONAL DETAILS ON THE FUND'S CHARITABLE ACTIVITES: HTTPS://WWW.RBF.ORG INCLUDING WWW.RBF.ORG/ABOUT/TRANSPARENCY/ANNUAL-REVIEWS FOR ITS ANNUAL REVIEW.

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Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ADAPTHEALTH LLC 144A	67,448	67,448
ALLEGIANT TRAVEL CO 144A	50,261	50,261
ALTRIA GROUP INC	73,306	73,306
AMERICAN EXPRESS CREDIT AC 1 A	149,294	149,294
AMGEN INC	111,889	111,889
BANK OF AMERICA CORP	71,505	71,505
BANK OF AMERICA CORP	132,155	132,155
BAT CAPITAL CORP	79,514	79,514
BLACKSTONE PRIVATE CREDIT FUND	71,460	71,460
BLUE OWL CAPITAL CORP	72,659	72,659
BOEING CO/THE	69,618	69,618
BROOKLYN UNION GAS CO/THE 144A	172,666	172,666
CANTOR FITZGERALD LP 144A	78,384	78,384
CF INDUSTRIES INC	88,159	88,159
CHARTER COMMUNICATIONS OPERATI	111,226	111,226
CITIGROUP INC	134,703	134,703
CNO GLOBAL FUNDING 144A	123,854	123,854
COLUMBIA PIPELINES HOLDIN 144A	74,090	74,090
COMMONWEALTH EDISON CO	55,565	55,565
CONCENTRIX CORP	127,138	127,138
EBAY INC	59,694	59,694
EVERSOURCE ENERGY	72,889	72,889
F&G ANNUITIES & LIFE INC	72,791	72,791
FORD MOTOR CREDIT CO LLC	195,604	195,604
FORTREA HOLDINGS INC 144A	75,125	75,125
GENERAL MOTORS FINANCIAL CO IN	149,905	149,905
GLOBAL PAYMENTS INC	72,806	72,806
GOLDMAN SACHS GROUP INC/THE	71,539	71,539
GOLDMAN SACHS GROUP INC/THE	144,517	144,517
HARLEY-DAVIDSON FINANCIAL 144A	100,116	100,116
HEWLETT PACKARD ENTERPRISE CO	73,181	73,181
HUMANA INC	69,613	69,613
HYUNDAI AUTO LEASE S C A3 144A	300,150	300,150
HYUNDAI CAPITAL AMERICA 144A	101,618	101,618
ICAHN ENTERPRISES LP / IC 144A	75,177	75,177
INTEL CORP	119,895	119,895
JPMORGAN CHASE & CO	71,990	71,990
JPMORGAN CHASE & CO	90,078	90,078
MORGAN STANLEY	150,056	150,056
MORGAN STANLEY BANK NA	49,684	49,684
MPLX LP	91,940	91,940
MYLAN INC	41,439	41,439
NOV INC	74,277	74,277

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ONEMAIN FINANCE CORP	75,934	75,934
ONEOK INC	76,897	76,897
ONESKY FLIGHT LLC 144A	50,035	50,035
PAYPAL HOLDINGS INC	149,073	149,073
PRIME HEALTHCARE SERVICES 144A	121,589	121,589
PUGET SOUND ENERGY INC	71,525	71,525
SOLVENTUM CORP 144A	73,065	73,065
SOUTH BOW USA INFRASTRUCT 144A	73,682	73,682
SYNCHRONY FINANCIAL	82,970	82,970
TARGA RESOURCES CORP	73,808	73,808
TEACHERS INSURANCE & ANNU 144A	133,506	133,506
UNUM GROUP	99,290	99,290
WALGREENS BOOTS ALLIANCE INC	74,241	74,241
WELLS FARGO & CO	62,806	62,806
WELLS FARGO & CO	72,685	72,685
WELLS FARGO BANK NA	24,819	24,819
XEROX HOLDINGS CORP 144A	67,321	67,321

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Name:	ROCKEFELLER BROTHERS FUND INC
EIN:	13-1760106
US Government Securities - End of Year Book Value:	17,374,442
US Government Securities - End of Year Fair Market Value:	17,374,442
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

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Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
137 HOLDINGS AI II LLC	FMV	5,727,628	5,727,628
137 OPPORTUNITY FUND	FMV	4,439,129	4,439,129
137 VENTURES FUND V	FMV	14,262,064	14,262,064
ACCEL LEADERS 4 LP	FMV	3,334,671	3,334,671
AEOLUS PROPERTY CATASTROPHE KEYSTONE FUND-J21	FMV	797,230	797,230
AGILITY GLOBAL ESG INTEGRATION LLC	FMV	92,689,544	92,689,544
AGROSUPER SA 144A	FMV	178,374	178,374
AIGA CLIMATE FUND I LP	FMV	3,234,052	3,234,052
AIGA SE CO-INVESTMENT SMA	FMV	3,347,607	3,347,607
AIKYA EMERGING MARKETS LP	FMV	10,237,209	10,237,209
AMBIENTA III SCSP	FMV	13,567,776	13,567,776
AQUA CAPITAL FUND III LP	FMV	4,585,382	4,585,382
ARCH VENTURE FUND IX OVERAGE, L.P.	FMV	3,353,028	3,353,028
ARCH VENTURE FUND X OVERAGE, L.P.	FMV	12,329,094	12,329,094
ARCH VENTURE FUND XI L.P.	FMV	11,109,943	11,109,943
ARCH VENTURE FUND XIII, L.P.	FMV	1,960,505	1,960,505
ARCH VENTURES FUND IX, LP	FMV	10,680,929	10,680,929
ARCH VENTURES FUND X, L.P.	FMV	11,867,034	11,867,034
ARCTOS SPF II CP CIV LP	FMV	3,207,213	3,207,213
ARROWMARK INCOME OPPORTUNITY FUND QP, LP	FMV	18,225,337	18,225,337
AS MILEAGE PLAN IP LTD 144A	FMV	97,606	97,606
BAKER BROTHERS HCIF OFFSHORE LP	FMV	13,584,733	13,584,733
BLUE BEAR 2022 COINVEST FUND LP	FMV	424,991	424,991
BLUE BEAR CAPITAL PARTNERS II	FMV	8,098,646	8,098,646
BLUE BEAR CAPITAL PARTNERS III LP	FMV	2,546,462	2,546,462
BLUE BEAR IMPACT EXTENSION VEHICLE	FMV	1,947,285	1,947,285
BRANDYWINE GLOBAL OPPORTUNISTIC SRI FUND	FMV	13,767,778	13,767,778
BRAZIL NOTAS DO TESOURO NACION	FMV	125,473	125,473
BROADVAIL FUND IV SIDECAR I L.P.	FMV	1,405,593	1,405,593
BROADVAIL GROWTH EQUITY FUND IV, L.P.	FMV	2,563,028	2,563,028
CANTILLON GLOBAL EQUITY L.P.	FMV	81,589,590	81,589,590
CENTEROAK EQUITY FUND I, L.P.	FMV	6,966,923	6,966,923
CENTEROAK EQUITY FUND II	FMV	16,662,528	16,662,528
CENTRE LANE PARTNERS V, L.P.	FMV	20,610,057	20,610,057
CERBERUS GLOBAL RESIDENTIAL LOAN STRATEGY FUND LP	FMV	11,841,683	11,841,683
CLEARVUE PARTNERS II LTD.	FMV	6,881,904	6,881,904
COATUE STRUCTURED FUND LP	FMV	6,711,314	6,711,314
COATUE TACTICAL SOLUTIONS CT FUND B LP	FMV	3,495,695	3,495,695
COLCHESTER GLOBAL BOND FUND	FMV	13,049,590	13,049,590
COMISION FEDERAL DE ELECT 144A	FMV	188,844	188,844
COP EXTERMINATORS INVESTMENT LLC (PALMETTO)	FMV	2,367,751	2,367,751
CPA OPPORTUNITY FUND I, L.P.	FMV	11,746,964	11,746,964
DCIG CAPITAL LTD	FMV	26,572,928	26,572,928
DEUTSCHE BUNDESREPUBLIK I REGS	FMV	139,430	139,430
EAM LONG-ONLY EMERGING MARKETS FEEDER FUND LTD.	FMV	12,111,578	12,111,578
ELEVAR EQUITY III, L.P.	FMV	29,006,107	29,006,107
EUROPA FUND IV, L.P.	FMV	2,318,220	2,318,220
FARALLON CAPITAL F5 INVESTORS I, L.P.	FMV	23,200,256	23,200,256
FERNBRIDGE CAPITAL ONSHORE FUND LP	FMV	28,170,248	28,170,248
FOSSE CAPITAL FUND LIMITED	FMV	18,291,554	18,291,554
FRENCH REPUBLIC GOVERNMEN 144A	FMV	88,559	88,559
FRENCH REPUBLIC GOVERNMEN 144A	FMV	116,777	116,777
FRENCH REPUBLIC GOVERNMEN 144A	FMV	179,612	179,612
FRENCH REPUBLIC GOVERNMEN 144A	FMV	316,454	316,454
GENERATION IM CLIMATE SOLUTIONS FUND II	FMV	3,437,941	3,437,941
GENERATION IM GLOBAL EQUITY FUND LLC	FMV	59,244,225	59,244,225
GHO CAPITAL FUND III	FMV	8,718,329	8,718,329
GRAIN COMMUNICATIONS OPPORTUNITY FUND III L.P.	FMV	10,110,866	10,110,866
HAZELVIEW GLOBAL REAL ESTATE CORE FUND, L.P.	FMV	13,898,713	13,898,713
HG VORA OPPORTUNISTIC CREDIT FUND II	FMV	16,082,034	16,082,034
HG VORA SPECIAL OPPORTUNITIES FUND LTD	FMV	19,997,118	19,997,118
HSBC HOLDINGS PLC	FMV	228,314	228,314
HUDSON BAY INTL FUND LTD	FMV	28,303,801	28,303,801
HUDSON BAY SPECIAL OPPORTUNITIES USTE FUND LP	FMV	781,545	781,545
IEF - 2008 TERM TRANCHE	FMV	5,415,995	5,415,995
IEF - 2010 SPECIAL TERM TRANCHE	FMV	2,167,593	2,167,593
IEF - 2011 SPECIAL TERM TRANCHE	FMV	12,154,889	12,154,889
IEF - 2012 TERM TRANCHE	FMV	19,962,762	19,962,762
ISHARES 1-3 YEAR TREASRY BOND ETF (NMS)	FMV	4,107,526	4,107,526
ISHARES 7-10 YEAR TREASURY BOND ETF	FMV	25,153,796	25,153,796
ISHARES GOLD TRUST	FMV	601,070	601,070
ISHARES PARIS ALG CLM MSC USA ETF (NMS)	FMV	2,030,159	2,030,159
ITALY BUONI POLIENNALI DE 144A	FMV	39,546	39,546
ITALY BUONI POLIENNALI DE 144A	FMV	208,711	208,711
ITALY BUONI POLIENNALI DE 144A	FMV	424,310	424,310
ITALY BUONI POLIENNALI DE REGS	FMV	180,700	180,700
JBS USA HOLDING LUX SARL/ JBS	FMV	152,583	152,583
KKR DISLOCATION OPPORTUNITIES (TE) FEEDER LP	FMV	6,369,199	6,369,199
LATAM AIRLINES GROUP SA 144A	FMV	75,927	75,927
MAINSAIL FULLBAY SPV L.P.	FMV	2,649,940	2,649,940
MAINSAIL PARTNERS VI LP	FMV	6,697,100	6,697,100
MAINSTREAM RENEWABLE POWER LIMITED	FMV	62,746	62,746
NB CO-INVESTMENT PARTNERS LP	FMV	109,412	109,412
NEA 10	FMV	242,658	242,658
NEW ENERGY CAPITAL INFRASTRUCTURE CREDIT FUND II LP	FMV	17,593,809	17,593,809
NEW ENERGY CAPITAL INFRASTRUCTURE CREDIT FUND, L.P.	FMV	1,002,554	1,002,554
NEW ZEALAND GOVERNMENT BOND	FMV	75,119	75,119
NEXUS SPECIAL SITUATIONS IV, L.P.	FMV	689,884	689,884
OCEANSOUND FUND I	FMV	12,139,550	12,139,550
OCEANSOUND FUND II	FMV	7,156,575	7,156,575
OCEANSOUND PARTNERS CO-INVESTMENT FUND	FMV	8,986,456	8,986,456
ORBIA ADVANCE CORP SAB DE 144A	FMV	167,970	167,970
OSP CO-INVEST SIDECAR A LP	FMV	3,251,094	3,251,094
OSP VALUE FUND II, L.P.	FMV	1,765,887	1,765,887
P2 BRASIL PRIVATE INFRASTRUCTURE FUND III FEEDER, LP	FMV	2,791,863	2,791,863
PALATINE CO-INVESTMENT FUND A LP	FMV	1,622,366	1,622,366
PALATINE REAL ESTATE FUND IV	FMV	4,570,449	4,570,449
PEAKSPAN CAPITAL GROWTH PARTNERS III LP	FMV	8,684,036	8,684,036
PEAKSPAN CGP 02 - FINALLY LLC	FMV	3,315,108	3,315,108
PEAKSPAN CGP III CO-INVEST LP	FMV	1,704,936	1,704,936
PFM BIOTECH OPPORTUNITIES LP	FMV	1,606,782	1,606,782
PFM HEALTHCARE FUND, L.P.	FMV	13,633,852	13,633,852
PRIME FINANCE PARTNERS V	FMV	620,365	620,365
RALLY TECHNOLOGY PARTNERS FUND III, L.P.	FMV	2,390,755	2,390,755
RALLY VENTURES FUND III, L.P.	FMV	10,717,793	10,717,793
REDWOOD GROVE CAPITAL FUND LP	FMV	17,431,794	17,431,794
RETHINK IMPACT II, LP	FMV	6,270,886	6,270,886
RRG SUSTAINABLE WATER IMPACT FUND LP	FMV	14,998,782	14,998,782
SCULPTOR CREDIT OPPORTUNITIES OVERSEAS FUND, LP	FMV	56,960	56,960
SCULPTOR REAL ESTATE FUND IV A, LP	FMV	10,956,996	10,956,996
SCULPTOR REAL ESTATE MWID CO-INVESTMENT FUND LP	FMV	5,499,036	5,499,036
SHERIDAN SQUARE FUND, L.P.	FMV	18,977,245	18,977,245
SPAIN GOVERNMENT INFLATIO 144A	FMV	142,610	142,610
SPAIN GOVERNMENT INFLATIO 144A	FMV	345,286	345,286
SPDR BLOOMBERG INTERNATIONAL TREASURY BOND ETF	FMV	11,940,005	11,940,005
SPDR GOLD SHARES ETF	FMV	15,681,792	15,681,792
STELLEX CAPITAL FUND II	FMV	10,800,645	10,800,645
STIRLING SQUARE CAPITAL PARTNERS 4TH FUND B LP	FMV	14,685,090	14,685,090
STIRLING SQUARE CAPITAL PARTNERS EDT CO-INVESTMENT LP	FMV	671,258	671,258
STIRLING SQUARE MEDISUP CO-INVESTMENT	FMV	1,487,636	1,487,636
TENSILE CAPITAL PARTNERS LP	FMV	29,311,265	29,311,265
TEUCRIUM WHEAT FUND	FMV	69,890	69,890
TEVA PHARMACEUTICAL FINANCE NE	FMV	71,890	71,890
TURNER MULTIFAMILY IMPACT FUND LP	FMV	31,309	31,309
TWO CREEKS CAPITAL OFFSHORE FUND LTD	FMV	19,790,195	19,790,195
UNITED KINGDOM INFLATION- REGS	FMV	28,267	28,267
UNITED KINGDOM INFLATION- REGS	FMV	47,740	47,740
UNITED KINGDOM INFLATION- REGS	FMV	96,182	96,182
UNITED KINGDOM INFLATION- REGS	FMV	196,904	196,904
UNITED KINGDOM INFLATION- REGS	FMV	270,446	270,446
UNITED KINGDOM INFLATION- REGS	FMV	328,050	328,050
UNITED KINGDOM INFLATION- REGS	FMV	400,332	400,332
VALAR VELOCITY FUND 2	FMV	8,080,142	8,080,142
VALE OVERSEAS LTD	FMV	75,945	75,945
VANECK AGRIBUSINESS ETF	FMV	7,844,471	7,844,471
VANGUARD GLOBAL EX-U.S. REAL ESTATE ETF	FMV	2,494,416	2,494,416
VANGUARD REAL ESTATE ETF	FMV	8,354,991	8,354,991
VISION CO-INVESTMENT FUND I LP	FMV	3,035,370	3,035,370
VISION RIDGE SUSTAINABLE ASSET FUND	FMV	5,017,442	5,017,442
VISION RIDGE SUSTAINABLE ASSET FUND II	FMV	12,417,005	12,417,005
VISION RIDGE SUSTAINABLE ASSET FUND III	FMV	12,391,524	12,391,524
WARBURG PINCUS CHINA-SOUTHEAST ASIA II LP	FMV	6,186,117	6,186,117

TY 2024 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
OFFICE AND OTHER EQUIPMENT	3,025,069	2,837,531	187,538	187,538
COMPUTER EQUIPMENT AND SOFTWARE	2,921,619	2,834,929	86,690	86,690
LEASEHOLD AND OTHER IMPROVEMENTS	73,806,789	36,256,442	37,550,347	37,550,347
AUTOMOBILES	82,408	82,408	0	

TY 2024 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - GENERAL COUNSEL	246,250	154,154		105,110
LEGAL FEES RE: EMPLOYEE BENEFITS	33,486	0		33,488
LEGAL FEES RELATED TO PROGRAMS	103,708	0		103,708

TY 2024 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENTS	510,000	510,000	510,000
TAX REFUNDS RECEIVABLE	905,868	1,759,305	1,759,305
RIGHT OF USE ASSET - OPERATING LEASE, NET		5,233,171	5,233,171

TY 2024 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Amount
PROVISION FOR DEFERRED FEDERAL EXCISE TAX	171,265

TY 2024 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SUPPLIES	33,711	422		28,211
PRINTING & STATIONARY	3,075	33		3,042
POSTAGE & DELIVERY	6,889	138		6,771
CAPITAL EXPENDITURES	75,526	853		74,673
FINANCIAL MANAGEMENT EXPENSES	341,809	10,289		385,456
IT SECURITY MEASURES	61,972	1,426		62,946
MAINTENANCE & REPAIRS	340,918	5,362		202,156
EQUIPMENT LEASES & RENTALS	49,969	738		46,400
DUES	96,285	18,688		61,150
OUTSOURCE SUPPORT SERVICES	9,253	56		9,197
BOOKS & SUBSCRIPTIONS	15,263	330		14,933
TELEPHONE & INTERNET	101,995	1,404		102,508
TEMPORARY STAFFING	65,791	967		64,825
INSURANCE	599,567	7,358		540,482
INVESTMENT MANAGEMENT EXPENSES - FOREIGN INVESTMENTS	0	74,493		0
POCANTICO COLLECTIONS MAINTENANCE	226,741	0		236,626
INVESTMENT RELATED PORTFOLIO DEDUCTIONS THROUGH K-1S	0	11,391,484		0

TY 2024 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	378,644	971,327	378,644
OTHER INCOME (LOSS) THROUGH K-1S		-131,266	
PUBLIC PROGRAMS	19,537		19,537
TAX REFUNDS	707,500		707,500
GRANT REFUNDS	519		519

TY 2024 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Amount
AMOUNTS NOT YET RECOGNIZED - NET PERIODIC BENEFIT	478,886
UNREALIZED GAIN ON INVESTMENTS	26,176,064

TY 2024 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED EXCISE TAX AND OTHER TAXES PAYABLE	4,867,930	5,040,055
LEASE PAYABLE	0	5,233,171

TY 2024 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING FEES - PROGRAM RELATED	558,027	0		514,275
CONSULTING FEES - GENERAL MANAGEMENT	592,814	154,816		425,739
CONSULTING FEES - HUMAN RESOURCES	641,196	0		684,224
CUSTODY FEES	383,304	383,304		0
INFORMATION TECHNOLOGY SERVICES	187,006	0		127,555
INVESTMENT MANAGEMENT FEES	4,101,156	3,433,508		0
FACILITIES MAINTENANCE	5,059,536	0		3,196,858
HONORARIUM	12,907	0		12,907

TY 2024 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	76,563	0		0
PROPERTY TAXES	106,982	0		106,982
FEDERAL AND STATE UBI TAXES	321,461	0		0
FOREIGN TAXES THROUGH K-1S	0	485,145		0