

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2023, or tax year beginning 01-01-2023, and ending 12-31-2023

Name of foundation: The Swaha Foundation. A Employer identification number: 83-3505984. B Telephone number: (303) 596-4283. C If exemption application is pending, check here. D 1. Foreign organizations, check here. E If private foundation status was terminated under section 507(b)(1)(A), check here. F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. H Check type of organization: Section 501(c)(3) exempt private foundation. J Accounting method: Cash.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

Table with 4 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	240,187	223,974	223,974
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	542,651	618,174	618,174
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)	746,321	736,493	736,493
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	16,456,235	16,289,803	16,289,803
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	100,000	100,000	100,000	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	18,085,394	17,968,444	17,968,444	
Liabilities	17 Accounts payable and accrued expenses		7,065	
	18 Grants payable			
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		7,065	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	18,085,394	17,961,379	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	18,085,394	17,961,379		
30 Total liabilities and net assets/fund balances (see instructions)	18,085,394	17,968,444		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	18,085,394
2 Enter amount from Part I, line 27a	2	-1,153,664
3 Other increases not included in line 2 (itemize) ▶ _____	3	1,029,649
4 Add lines 1, 2, and 3	4	17,961,379
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	17,961,379

Part IV Capital Gains and Losses for Tax on Investment Income

Table with columns (a) through (k) for capital gains and losses. Includes rows for sales of publicly traded securities and summary rows 2 and 3.

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table for excise tax calculations. Includes rows 1a through 11 with various sub-rows (a, b, c, d) for credits and payments, and a final row for tax due and overpayment.

Part VI-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.
c Did the foundation file Form 1120-POL for this year?
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. (2) On foundation managers.
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T.
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
By language in the governing instrument, or
By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
7 Did the foundation have at least \$5,000 in assets at any time during the year?
8a Enter the states to which the foundation reports or with which it is registered (see instructions)
CO
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2023 or the taxable year beginning in 2023?
If "Yes," complete Part XIII
10 Did any persons become substantial contributors during the tax year?
If "Yes," attach a schedule listing their names and addresses.
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)?
If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?
If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
Website address

Table with 3 columns: Question ID, Yes, No. Rows 1a through 13.

14 The books are in care of Julie Goldstein Telephone no. (303) 596-4283
Located at PO Box 2214 Boulder CO ZIP+4 803062214

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here
and enter the amount of tax-exempt interest received or accrued during the year 15

16 At any time during calendar year 2023, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Table with 3 columns: Question ID, Yes, No. Rows 16.

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a** During the year did the foundation (either directly or indirectly):
 - (1)** Engage in the sale or exchange, or leasing of property with a disqualified person?
 - (2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
 - (3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person?
 - (4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
 - (5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
 - (6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
- b** If any answer is "Yes" to 1a(1)–(6); did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
- c** Organizations relying on a current notice regarding disaster assistance check here.
- d** Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2023?
- 2** Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
 - a** At the end of tax year 2023, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2023?
If "Yes," list the years ► 20___, 20___, 20___, 20___
 - b** Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)
 - c** If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20___, 20___, 20___, 20___
- 3a** Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
- b** If "Yes," did it have excess business holdings in 2023 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2023.)
- 4a** Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
- b** Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2023?

	Yes	No
1a(1)		No
1a(2)		No
1a(3)	Yes	
1a(4)	Yes	
1a(5)		No
1a(6)		No
1b		No
1d		No
2a		No
2b		
3a		No
3b		
4a		No
4b		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
 - (1)** Carry on propagand, or otherwise attempt to influence legislation (section 4945(e))?
 - (2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
 - (3)** Provide a grant to an individual for travel, study, or other similar purposes?
 - (4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions
 - (5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?
- b** If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
- c** Organizations relying on a current notice regarding disaster assistance check
- d** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
If "Yes," attach the statement required by Regulations section 53.4945-5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
- b** If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
- 8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

	Yes	No
5a(1)		No
5a(2)		No
5a(3)		No
5a(4)		No
5a(5)		No
5b		
5d		
6a		No
6b		No
7a		No
7b		
8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Julie Goldstein PO Box 2214 Boulder, CO 803062214	President 40.00	80,847		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."


(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 **Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Pearl Wealth LLC 2507 Briarwood Dr Boulder, C O 80305	Fdn Mgmt	92,479

Total number of others receiving over \$50,000 for professional services. 

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 _____ _____	

2 _____ _____	

3 _____ _____	

4 _____ _____	


Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 _____ _____	

2 _____ _____	

All other program-related investments. See instructions.	
3 _____ _____	

Total. Add lines 1 through 3 

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	12,888,801
b	Average of monthly cash balances.	1b	131,632
c	Fair market value of all other assets (see instructions).	1c	4,620,748
d	Total (add lines 1a, b, and c).	1d	17,641,181
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	17,641,181
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	264,618
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.. . . .	5	17,376,563
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	868,828

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	868,828
2a	Tax on investment income for 2022 from Part V, line 5.	2a	1,308
b	Income tax for 2022. (This does not include the tax from Part V.).	2b	
c	Add lines 2a and 2b.	2c	1,308
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	867,520
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	867,520
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	867,520

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,022,478
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.. . . .	4	1,022,478

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2022	(c) 2022	(d) 2023
1 Distributable amount for 2023 from Part X, line 7				867,520
2 Undistributed income, if any, as of the end of 2022:				
a Enter amount for 2022 only.			845,360	
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2022:				
a From 2018.				
b From 2019.				
c From 2020.				
d From 2021.				
e From 2022.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2023 from Part XI, line 4: ▶ \$ <u>1,022,478</u>				
a Applied to 2022, but not more than line 2a			845,360	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2023 distributable amount				177,118
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2023. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2022. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2023. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2024				690,402
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2018 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2024. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2019				
b Excess from 2020				
c Excess from 2021.				
d Excess from 2022				
e Excess from 2023				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2023, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed

Table with 5 columns: (a) 2023, (b) 2022, (c) 2021, (d) 2020, (e) Total. Rows include 2a, 2b, 2c, 2d, 2e, 3, 3a, 3b, 3c, 3d, 3e, 3f, 3g, 3h, 3i, 3j, 3k, 3l, 3m, 3n, 3o, 3p, 3q, 3r, 3s, 3t, 3u, 3v, 3w, 3x, 3y, 3z.

Part Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> AJWS 45 West 36th Street New York, NY 10018	N/A	P C	General Support	200,000
Girl Rising 28 Verandah Place Brooklyn, NY 11201	N/A	P C	General Support	110,000
Conservation Colorado Education Fun 1536 Wynkoop Street Suite 510 Denver, CO 80202	N/A	P C	General Support	30,000
Jewish Colorado 300 S Dahlia St Ste 300 Denver, CO 80246	N/A	P C	General Support	1,000
Bridge House 4150 Earhart Boulevard New Orleans, LA 70125	N/A	P C	General Support	1,350
Valley Settlement 1901 Grand Avenue Suite 206 Glenwood Springs, CO 81601	N/A	P C	General Support	15,000
Lewis Clark College 615 S Palatine Hill Road Portland, OR 97219	N/A	P C	General Support	1,867
Moving Traditions 8380 Old York Road Suite 4300 Elkins Park, PA 19027	N/A	P C	General Support	5,000
Independence Pass Fund PO Box 1700 Aspen, CO 81612	N/A	P C	General Support	500
English in Action PO Box 4856 Basalt, CO 81621	N/A	P C	General Support	5,350
Protect Our Winters 4676 Broadway Street Boulder, CO 80304	N/A	P C	General Support	15,000
Watershed School 1661 Alpine Avenue Boulder, CO 80304	N/A	P C	General Support	106,000
RESPONSE 405 Castle Creek Road Suite 203 Aspen, CO 81611	N/A	P C	General Support	3,500
Cambodian Children's 2309 Santa Monica Blvd PMB 833 Santa Monica, CA 90404	N/A	P C	General Support	1,200
AJWS 45 West 36th Street New York, NY 10018	N/A	P C	General Support	540
Free Flow Foundation PO Box 50153	N/A	P C	General Support	5,000

Jacksonville Beach, FL 32240 Fred Hutch Cancer Research	N/A	P C	General Support	10,000
PO Box 19024 Seattle, WA 98109				
Girl Ventures	N/A	P C	General Support	60,000
119 Filbert Street Oakland, C A 94607				
Style Her Empowered	N/A	P C	General Support	60,000
304 S 1200 E Salt Lake City, UT 84102				
Thorne Nature Experience	N/A	P C	General Support	25,000
1466 63rd St Boulder, C O 80303				
Friendship Bridge	N/A	P C	General Support	60,000
1105 Spruce St Boulder, C O 80302				
7th Generation Fund for Indigenous	N/A	P C	General Support	10,000
PO Box 4569 Arcata, C A 95518				
Aspen Community Foundation	N/A	P C	General Support	10,000
455 Gold Rivers Ct Ste 515 Basalt, C O 81621				
Queer Asterisk	N/A	P C	General Support	5,000
1575 Franklin Street Denver, C O 80218				
FRIDA The Young Feminist Fund	N/A	P C	General Support	10,000
The Presidio PO Box 29903 San Francisco, C A 94129				
Amplify Girls Inc	N/A	P C	General Support	50,000
228 Park Ave S Suite 34731 New York, NY 10003				
Naropa University	N/A	P C	General Support	5,000
2130 Arapahoe Avenue Boulder, C O 80302				
NFGKIMOKEO Foundation	N/A	P C	General Support	515
95 Mahalani St Suite 12 Wailuku, HI 96793				
ByKids	N/A	P C	General Support	500
330 West End Avenue 7A New York, NY 10023				
indigenous Climate Action	N/A	P C	General Support	50,000
PO Box 52167 RPO Garneau Edmonton, Alberta T6G 2T5 C A				
Boulder JCC	N/A	P C	General Support	1,000
6007 Oreg Ave Boulder, C O 80303				
Rios to Rivers	N/A	P C	General Support	3,500
1280 Ute Avenue Ste 4 Aspen, C O 81611				
Total			3a	861,822

b *Approved for future payment*

Total ▶ **3b**

Additional Data

[Return to Form](#)

Software ID: 23017517

Software Version: 2023v5.1

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B

Schedule of Contributors

OMB No. 1545-0047

(Form 990)
Department of the Treasury
Internal Revenue Service

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ Go to www.irs.gov/Form990 for the latest information.

2023

Name of the organization The Swaha Foundation	Employer identification number 83-3505984
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Organization type (check one):

Filers of:

Section:

- Form 990 or 990-EZ
 - 501(c)() (enter number) organization
 - 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
 - 527 political organization
- Form 990-PF
 - 501(c)(3) exempt private foundation
 - 4947(a)(1) nonexempt charitable trust treated as a private foundation
 - 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
The Swaha Foundation

Employer identification number
83-3505984

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Micah Foundation 250 Steele St 375 Denver, C O 80206	\$ 5,169	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization
The Swaha Foundation

Employer identification number

83-3505984

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____

Name of organization The Swaha Foundation	Employer identification number 83-3505984
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	

Additional Data

[Return to Form](#)

Software ID: 23017517

Software Version: 2023v5.1

TY 2023 IRS 990 e-File Render

Name: The Swaha Foundation

EIN: 83-3505984

Software ID: 23017517

Software Version: 2023v5.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	24,130	0	0	24,130

TY 2023 IRS 990 e-File Render**Name:** The Swaha Foundation**EIN:** 83-3505984**Software ID:** 23017517**Software Version:** 2023v5.1

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Bank of America 10/22/25	58,314	58,314
Equinix Inc 1.55% 3/15/28	35,130	35,130
Verizon Communications 3.875% 2/8/29	38,780	38,780
Pfizer Inc 2.625% 4/1/30	36,160	36,160
Alphabet Inc 1.1% 8/15/30	45,647	45,647
Commonwealth FA 2.023% 6/1/24	19,738	19,738
Univ CA 3.159% 5/15/25	19,556	19,556
Interntnl Bk 0.375% 7/28/25	42,214	42,214
European Invt Bk 2.5% 10/15/24	58,859	58,859
Morgan Stanley VAR 25 10/21/25	52,820	52,820
KFW 1% 10/1/26	4,603	4,603
NextEra Energy CA 1.9% 6/15/28	44,412	44,412
JP Morgan Chase VAR 25 8/9/25	53,225	53,225
Interntnl Bk 0.5% 10/28/25	9,321	9,321
Interntnl FIN 2.125% 26F 4/7/26	47,721	47,721
Wells Fargo & Co Var 26 8/15/26	54,446	54,446
Archer Daniels MI 2.9% 32 3/1/32	26,601	26,601
Intel Corp 4.15% 32 8/5/32	29,324	29,324
Comcast Corp 4.65% 33 2/15/33	40,445	40,445
Inter Amern Dev 3.5% 33F 4/12/33	19,177	19,177

TY 2023 IRS 990 e-File Render

Name: The Swaha Foundation

EIN: 83-3505984

Software ID: 23017517

Software Version: 2023v5.1

**US Government Securities - End of
Year Book Value:**

116,954

**US Government Securities - End of
Year Fair Market Value:**

116,954

**State & Local Government
Securities - End of Year Book
Value:**

501,220

**State & Local Government
Securities - End of Year Fair
Market Value:**

501,220

TY 2023 IRS 990 e-File Render

Name: The Swaha Foundation

EIN: 83-3505984

Software ID: 23017517

Software Version: 2023v5.1

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Allign Impact Fund II, LP	AT COST	523,751	523,751
Align TMIF II Access Fund LP	AT COST	350,543	350,543
Beneficial Return LLC	AT COST	14,198	14,198
Greenbacker Renewable Energy Class PI	AT COST	109,712	109,712
International Columbia US LLC	AT COST	25,792	25,792
Low Income Investment Fund	AT COST	12,060	12,060
Rose Affordable Housing V LP	AT COST	497,643	497,643
SJF Ventures Fund V LP	AT COST	80,731	80,731
Sonen Global Sustainable LLC	AT COST	110,987	110,987
UP Fund LP	AT COST	48,093	48,093
Putting America Back to Work Fund	AT COST	186,852	186,852
Odin Capital LP	AT COST	286,372	286,372
Calvert Impact CA 1.5% 3/15/24	FMV	247,943	247,943
Achieve Partners Workforce Fund	AT COST	289,975	289,975
CIM Enterprise Loan Fund LP	AT COST	596,919	596,919
Colorado Enterprise Fund	AT COST	240,000	240,000
Founders First Capital Partners	AT COST	99,997	99,997
HCAP Partners V	AT COST	142,712	142,712
Impact Venture IV	AT COST	218,379	218,379
Rethink Education III	AT COST	172,863	172,863
Rose Affordable Housing	AT COST	305,000	305,000
Windsail Credit QP Fund	AT COST	284,768	284,768
Zeal Capital Partners Fund I	AT COST	138,334	138,334
Greenbacker Renewable Energy Class SF	AT COST	530,184	530,184
Rethink Capital Partners	AT COST	16,079	16,079
iShares Esg Aware MSCI EAFE, 2,592 Sh	FMV	195,800	195,800
iShares Esg Aware MSCI USA, 8960.2101 Sh	FMV	940,105	940,105
iShares MSCI Global, 8,138 Sh	FMV	506,725	506,725
Nuveen ESG Emerging Mkt, 4,090 Sh	FMV	110,225	110,225
Nuveen ESG Mid Cap, 3,673 Sh	FMV	115,846	115,846
Nuveen ESG Small Cap, 19,521 Sh	FMV	763,271	763,271
Calvert Emerging Markets, 33,285.964 Sh	FMV	542,561	542,561
Calvert Equity I, 8,597.06 Sh	FMV	786,115	786,115
Calvert International, 32,301.682 Sh	FMV	929,965	929,965
Calvert Mid Cap, 11,288.375 Sh	FMV	485,287	485,287
Calvert Small Cap, 22,551.9890 Sh	FMV	753,011	753,011
Calvert US Large Cap Core, 34,242.278 Sh	FMV	1,428,588	1,428,588
Calvert US Large Cap Value, 5,525.317 Sh	FMV	163,936	163,936
DFA Em Mkts, 27,777.218 Sh	FMV	251,939	251,939
DFA Intl Sustainability, 74,475.999 Sh	FMV	911,586	911,586
Versus Cap Multi-Man, 8017.5030 Sh	FMV	206,771	206,771
Iroquois Valley Farmland, 1,332.8303 Sh	FMV	142,613	142,613
Invesco MSCI Sustainable, 13,320.3567 Sh	FMV	514,181	514,181
JPMorgan Ultra Short Income ETF	FMV	348,395	348,395
iShares ESG Aware MSCI EM ETF, 2549 Sh	FMV	81,721	81,721
Impax Global Environment, PGINX	FMV	605,395	605,395

TY 2023 IRS 990 e-File Render

Name: The Swaha Foundation

EIN: 83-3505984

Software ID: 23017517

Software Version: 2023v5.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Legal Fees	2,370	0	0	2,370

TY 2023 IRS 990 e-File Render

Name: The Swaha Foundation

EIN: 83-3505984

Software ID: 23017517

Software Version: 2023v5.1

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Bank Fees	57			57
Dues & Memberships	7,513			7,513
Filing Fees	100			100
Miscellaneous Expenses	1,414			1,414
Other Investment Expenses	1,050	1,050		
Postage, Mailing Service	173			173
Website	2,574			2,574

TY 2023 IRS 990 e-File Render

Name: The Swaha Foundation

EIN: 83-3505984

Software ID: 23017517

Software Version: 2023v5.1

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Miscellaneous Revenue	14,811		
Partnership Income	-4,964	-2,567	

TY 2023 IRS 990 e-File Render

Name: The Swaha Foundation

EIN: 83-3505984

Software ID: 23017517

Software Version: 2023v5.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Advisor Fees	96,324	96,324	0	0