

For calendar year 2023, or tax year beginning 01-01-2023 , and ending 12-31-2023

Name of foundation RITA ALLEN FOUNDATION INC		A Employer identification number 13-6116429	
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 116		Room/suite	B Telephone number (see instructions) (609) 683-8010
City or town, state or province, country, and ZIP or foreign postal code PRINCETON, NJ 085421116		C If exemption application is pending, check here ☐	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ <u>189,867,123</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method: ☐ Cash ☐ Accrual ☒ Other (specify) <u>Modified Cash</u> (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	815,261	815,261		
	4 Dividends and interest from securities	1,991,601	2,518,402		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	5,166,669			
	b Gross sales price for all assets on line 6a _____ 35,081,421				
	7 Capital gain net income (from Part IV, line 2)		5,433,668		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	366,995	387,170		
	12 Total. Add lines 1 through 11	8,340,526	9,154,501		
	13 Compensation of officers, directors, trustees, etc.	930,666	0		930,666
	14 Other employee salaries and wages	592,378	0		592,378
	15 Pension plans, employee benefits	412,618	0		412,618
	16a Legal fees (attach schedule)	2,475	0		2,475
	b Accounting fees (attach schedule)	74,500	0		74,500
	c Other professional fees (attach schedule)	943,210	1,022,991		21,730
	17 Interest		20,666		
	18 Taxes (attach schedule) (see instructions)	70,000	44,109		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	119,695	0		119,695
	21 Travel, conferences, and meetings	56,130	0		56,130
	22 Printing and publications	1,211	0		1,211
	23 Other expenses (attach schedule)	599,213	342,135		595,963
	24 Total operating and administrative expenses. Add lines 13 through 23	3,802,096	1,429,901		2,807,366
	25 Contributions, gifts, grants paid	6,760,118			6,760,118
	26 Total expenses and disbursements. Add lines 24 and 25	10,562,214	1,429,901		9,567,484
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-2,221,688			
	b Net investment income (if negative, enter -0-)		7,724,600		
c Adjusted net income (if negative, enter -0-)					

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		55,020	67,733	67,733
	2	Savings and temporary cash investments		7,184,314	7,142,875	7,142,875
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)		3,015,930	615,295	615,295
	b	Investments—corporate stock (attach schedule)		65,376,617	74,885,649	74,885,649
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)		99,989,383	107,149,518	107,149,518
	14	Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)		6,053	6,053	6,053	
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		175,627,317	189,867,123	189,867,123	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22).		0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☒ and complete lines 24, 25, 29 and 30.					
	24	Net assets without donor restrictions		171,852,301	186,090,255	
	25	Net assets with donor restrictions		3,775,016	3,776,868	
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances (see instructions)		175,627,317	189,867,123	
30	Total liabilities and net assets/fund balances (see instructions) .		175,627,317	189,867,123		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1 175,627,317
2	Enter amount from Part I, line 27a	2 -2,221,688
3	Other increases not included in line 2 (itemize) ▶ _____	3 16,461,494
4	Add lines 1, 2, and 3	4 189,867,123
5	Decreases not included in line 2 (itemize) ▶ _____	5 0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6 189,867,123

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES	P		
b ORCHARD LIQUID CREDIT FUND	P		
c COLCHIS INCOME FUND, LTD.	P		
d INDIA ACORN FUND CAYMAN LTD	P		
e BIRCH GROVE CREDIT STRATEGIES FUND LTD	P		
YORK CREDIT OPPORTUNITIES	P		
FUNDSMITH EQUITY FUND, L.P.	P		
DALTON INVESTMENTS TRUST - SERIES B	P		
MGG SF EVERGREEN UNLEVERED FUND LP	P		
NEW GENERATION TURNAROUND FUND (DELAWARE) L.P.	P		
GQG PARTNERS INTERNATIONAL EQUITY FUND	P		
WARBURG PINCUS PRIVATE EQUITY XII, L.P.	P		
ECHO STREET GOODCO SELECT II LP	P		
WARBURG PINCUS GLOBAL GROWTH, L.P.	P		
WARBURG PINCUS GLOBAL GROWTH (E&P)-2, L.P.	P		
THE OVERLOOK PARTNERS FUND, L.P.	P		
FORESITE CAPITAL FUND V, LP	P		
FORESITE CAPITAL OPPORTUNITY FUND V, LP	P		
INNOVATION ENDEAVORS IV, LP	P		
OWLS NEST PARTNERS LONG ONLY FUND, LP	P		
MAREN CAPITAL MAREN FUND LP	P		
FORESITE CAPITAL FUND VI, L.P.	P		
WARBURG PINCUS PRIVATE EQUITY XII, L.P.	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 26,812,550		23,674,111	3,138,439
b 1,250,000		1,255,744	-5,744
c 94,612		77,141	17,471
d 866,355		564,965	301,390
e 1,871,024		1,522,226	348,798
129,534		182,540	-53,006
			-62,865
			-10,814
			23,230
			12,577
			114,855
			395,733
			1,610,180
			-13,415
			434
			-65,917
			2,726
			3,102
			-250
			-325,574
			1,644
			204
			470

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(j) F.M.V. as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (i) over col. (j), if any	
a			3,138,439
b			-5,744
c			17,471
d			301,390
e			348,798
			-53,006
			-62,865
			-10,814
			23,230
			12,577
			114,855
			395,733
			1,610,180
			-13,415
			434
			-65,917
			2,726
			3,102
			-250
			-325,574
			1,644
			204
			470

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	5,433,668
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	107,372
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	107,372
6	Credits/Payments:		
a	2023 estimated tax payments and 2022 overpayment credited to 2023	6a	162,964
b	Exempt foreign organizations—tax withheld at source	6b	0
c	Tax paid with application for extension of time to file (Form 8868)	6c	150,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d	7	312,964
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.	10	205,592
11	Enter the amount of line 10 to be: Credited to 2024 estimated tax Refunded	11	0

Part VI-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1a				No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?			No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0 (2) On foundation managers. \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV.	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) NJ, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2023 or the taxable year beginning in 2023? See the instructions for Part XIII. If "Yes," complete Part XIII	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.RITAALLEN.ORG	13	Yes	
14	The books are in care of KARI WILLIAMS TREASURER Telephone no. (609) 683-8010 Located at PO BOX 116 PRINCETON NJ ZIP+4 085421116			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2023, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country	16	Yes	No

Part VI-B **Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a** During the year did the foundation (either directly or indirectly):
- (1)** Engage in the sale or exchange, or leasing of property with a disqualified person?
- (2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
- (3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person?
- (4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
- (5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
- (6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
- b** If any answer is "Yes" to 1a(1)–(6); did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
- c** Organizations relying on a current notice regarding disaster assistance check here.  
- d** Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2023?
- 2** Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
- a** At the end of tax year 2023, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2023?
If "Yes," list the years  20____, 20____, 20____, 20____
- b** Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)
- c** If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
 20____, 20____, 20____, 20____
- 3a** Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
- b** If "Yes," did it have excess business holdings in 2023 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2023.)
- 4a** Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
- b** Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2023?

	Yes	No
1a(1)		No
1a(2)		No
1a(3)	Yes	
1a(4)	Yes	
1a(5)		No
1a(6)		No
1b		No
1d		No
2a		No
2b		
3a		No
3b		
4a		No
4b		No

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

(3) Provide a grant to an individual for travel, study, or other similar purposes?

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

b

If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

c

Organizations relying on a current notice regarding disaster assistance check

d

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

6b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

7b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

8

Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Yes

No

5a(1)

No

5a(2)

No

5a(3)

No

5a(4)

No

5a(5)

No

5b

5d

6a

No

6b

No

7a

No

7b

8

No

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM F GADSDEN PO BOX 116 PRINCETON, NJ 085421116	CHAIRPERSON OF THE BOARD 2.00	7,000	0	0
ELIZABETH G CHRISTOPHERSON PO BOX 116 PRINCETON, NJ 085421116	PRESIDENT & CEO 40.00	551,285	87,083	0
KARI A WILLIAMS PO BOX 116 PRINCETON, NJ 085421116	FINANCE, TREASURER 40.00	175,000	44,161	0
KATHERINE BELYI PO BOX 116 PRINCETON, NJ 085421116	SECRETARY/KNOWLEDGE 40.00	185,381	28,777	0
ANDREW K GOLDEN PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	0	0	0
LANDON Y JONES PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	0	0	0
RODNEY PRIESTLEY PHD PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	4,000	0	0
SAMUEL S-H WANG PHD PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	4,000	0	0
SIVAN HONG PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	0	0	0
THE HONORABLE THOMAS H KEAN PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	0	0	0
CARL NATHAN MD PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	4,000	0	0
DAVID NIRENBERG PHD PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	0	0	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RANDI CHMIELEWSKI PO BOX 116 PRINCETON, NJ 085421116	STRATEGIC ENGAGEMENT 40.00	194,445	39,903	0
JANIE SCURTI PO BOX 116 PRINCETON, NJ 085421116	EXECUTIVE ASSISTANT 40.00	94,754	40,119	0
TAMIKA DAVIS PO BOX 116 PRINCETON, NJ 085421116	PROGRAM ADMINISTRATI 40.00	79,688	31,989	0
FATU J BADIANE MARKEY PO BOX 116 PRINCETON, NJ 085421116	SCIENCE PROGRAMS 40.00	82,990	27,190	0
ELIZABETH FISHER PO BOX 116 PRINCETON, NJ 085421116	COLLABORATION PROJEC 40.00	82,676	12,821	0
Total number of other employees paid over \$50,000.				1

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
THE COLONY GROUP LLC 201 WASHINGTON ST 11TH FL BOSTON,MA 02108	INVESTMENT MANAGEMENT	432,727
BROWN ADVISORY MANAGEMENT LLC 901 S BOND STREET SUITE 400 BALTIMORE,MD 21231		
JUNXION STRATEGY (CANADA) INC 225 8TH AVE W SUITE 300 VANCOUVER,BC CA	CONSULTANT	65,647

Total number of others receiving over \$50,000 for professional services. 

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 DISCOVERY SCIENCE KNOWLEDGE AND FIELD BUILDING:DISCOVERY SCIENCE KNOWLEDGE AND FIELD BUILDING (EXAMPLES: SCHOLARS VIRTUAL AND IN-PERSON SYMPOSIA, PUBLICATIONS FOR THE FIELD, SCIENCE PHILANTHROPY ALLIANCE, HEALTH RESEARCH ALLIANCE) PAIN RESEARCH KNOWLEDGE AND FIELD BUILDING (EXAMPLES: PAIN SCHOLARS MEETINGS, PAIN RESEARCH FUNDING CALLS, PUBLICATIONS FOR THE FIELD)	104,287
2 CIVIC SCIENCE AND PHILANTHROPY KNOWLEDGE AND FIELD BUILDING:CIVIC SCIENCE KNOWLEDGE AND FIELD BUILDING (EXAMPLES: CIVIC SCIENCE FELLOWS VIRTUAL AND IN-PERSON LABS AND CONVENINGS, PRACTICE AND SCIENCE OF CIVIC SCIENCE ADVISORY COMMITTEE, CIVIC SCIENCE FELLOWS PROGRAM ADMINISTRATION AND PARTNERSHIPS DEVELOPMENT, CIVIC SCIENCE HUB AND RESOURCES, RESEARCH AND PUBLICATIONS FOR THE FIE LD, CIVIC SCIENCE NEWSLETTER, SCIENCE IN SOCIETY FUNDER COLLABORATIVE, OPEN RESEARCH FUNDERS GROUP, TRANSFORMING EVIDENCE NETWORK) OTHER PHILANTHROPY KNOWLEDGE AND FIELD BUILDING (EXAMPLES: FUND FOR SHARED INSIGHT, MEDIA IMPACT FUNDERS, PHILANTHROPY FOR ACTIVE CIVICENGAGEMENT)	919,631
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	174,791,424
b	Average of monthly cash balances.	1b	6,882,906
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	181,674,330
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	181,674,330
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	2,725,115
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.. . . .	5	178,949,215
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	8,947,461

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	8,947,461
2a	Tax on investment income for 2022 from Part V, line 5.	2a	107,372
b	Income tax for 2022. (This does not include the tax from Part V.).	2b	
c	Add lines 2a and 2b.	2c	107,372
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	8,840,089
4	Recoveries of amounts treated as qualifying distributions.	4	123
5	Add lines 3 and 4.	5	8,840,212
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1. . . .	7	8,840,212

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	9,567,484
b	Program-related investments—total from Part VIII-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.. . . .	4	9,567,484

Part XII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2022	(c) 2022	(d) 2023
1 Distributable amount for 2023 from Part X, line 7				8,840,212
2 Undistributed income, if any, as of the end of 2022:				
a Enter amount for 2022 only.			8,816,364	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2022:				
a From 2018.				
b From 2019.				
c From 2020.				
d From 2021.				
e From 2022.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2023 from Part XI, line 4: ▶ \$ 9,567,484				
a Applied to 2022, but not more than line 2a			8,816,364	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2023 distributable amount				751,120
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2023. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2022. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2023. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2024				8,089,092
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2018 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2024. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2019				
b Excess from 2020				
c Excess from 2021.				
d Excess from 2022				
e Excess from 2023				

a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2023, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total	
		(a) 2023	(b) 2022	(c) 2021	(d) 2020	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed						
b 85% (0.85) of line 2a						
c Qualifying distributions from Part XI, line 4 for each year listed						
d Amounts included in line 2c not used directly for active conduct of exempt activities						
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.						
3 Complete 3a, b, or c for the alternative test relied upon:						
a "Assets" alternative test—enter:						
(1) Value of all assets						
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)						
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .						
c "Support" alternative test—enter:						
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)						
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .						
(3) Largest amount of support from an exempt organization						
(4) Gross investment income						

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AGORA INSTITUTE AT JOHNS HOPKINS UNIVERSITY 3400 N CHARLES STREET BALTIMORE, MD 21218	N/A	PC	CIVIC SCIENCE FELLOW	18,750
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE NW WASHINGTON, DC 20005	N/A	PC	CATALYST FUND - GENERAL SUPPORT CONTRIBUTION	50,000
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE NW WASHINGTON, DC 20005	N/A	PC	ENABLING SUSTAINED EXCELLENCE IN BRINGING AI EXPERTISE TO THE FEDERAL GOVERNMENT	100,000
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE NW WASHINGTON, DC 20005	N/A	PC	SCILINE EXPERT WATCHING SERVICE ASSESSMENT	57,500
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE 1200 NEW YORK AVENUE NW WASHINGTON, DC 20005	N/A	PC	STEMM OPPORTUNITY ALLIANCE	100,000
AMERICAN PHYSICAL SOCIETY ONE PHYSICS ELLIPSE COLLEGE PARK, MD 207403844	N/A	PC	CIVIC SCIENCE FELLOW	75,000
AMERICAN SOCIETY FOR MICROBIOLOGY 1752 N ST NW WASHINGTON, DC 20036	N/A	PC	BRAD FENWICK EDWARD FENWICK FOR THE ADVANCEMENT OF CIVIC SCIENCE	50,000
APLU 1720 1ST NW WASHINGTON, DC 20005	N/A	PC	CIVIC SCIENCE FELLOW	5,000
ASSOCIATION OF SCIENCE-TECHNOLOGY CENTERS (ASTC) 818 CONNECTICUT AVENUE NW WASHINGTON, DC 20006	N/A	PC	ASTC SEEDING ACTION CIVIC SCIENCE FELLOW	60,000
ASSOCIATION OF SCIENCE-TECHNOLOGY CENTERS (ASTC) 818 CONNECTICUT AVENUE NW WASHINGTON, DC 20006	N/A	PC	LANDSCAPE OF FEDERAL SCIENCE ENGAGEMENT RESOURCES	60,000
ASSOCIATION OF SCIENCE-TECHNOLOGY CENTERS (ASTC) 818 CONNECTICUT AVENUE NW WASHINGTON, DC 20006	N/A	PC	LANDSCAPE OF FEDERAL SCIENCE ENGAGEMENT RESOURCES	63,635
ASSOCIATION OF SCIENCE-TECHNOLOGY CENTERS (ASTC) 818 CONNECTICUT AVENUE NW WASHINGTON, DC 20006	N/A	PC	PROJECT SUPPORT	13,000
401 PARK DRIVE BOSTON, MA 02215 BOSTON UNIVERSITY COLLEGE OF COMMUNICATION	N/A	N/A	CIVIC SCIENCE FELLOW	73,000
1 SILBER WAY BOSTON, MA 02215	N/A	PC	GENERAL SUPPORT CONTRIBUTION	750
BOSTON UNIVERSITY COLLEGE OF COMMUNICATION 1 SILBER WAY BOSTON, MA 02215	N/A	PC	SCIENCE MISINFORMATION: PREVALENT MISCONCEPTIONS AND EFFECTIVE MITIGATION STRATEGIES	5,000
BRANDEIS UNIVERSITY 415 SOUTH STREET MAILSTOP 110 WALTHAM, MA 02454	N/A	PC	WILL-MANDATED GIVING 2023	190,000
BROWN UNIVERSITY 1 PROSPECT STREET PROVIDENCE, RI 029121860	N/A	PC	2022 SCHOLAR	100,000
BUCKNELL UNIVERSITY 301 MARKET ST SUITE 2 LEWISBURG, PA 17837	N/A	PC	PROJECT SUPPORT	5,000
CALIFORNIA COUNCIL ON SCIENCE AND TECHNOLOGY 1017 L ST 438 SACRAMENTO, CA 95814	N/A	PC	GENERAL SUPPORT CONTRIBUTION	3,000
CALIFORNIA INSTITUTE OF TECHNOLOGY 351 ARMS LABORATORY PASADENA, CA 91125	N/A	PC	2020 SCHOLAR	100,000
CENTER FOR EFFECTIVE PHILANTHROPY 675 MASSACHUSETTS AVENUE 7TH FLOOR CAMBRIDGE, MA 02139	N/A	PC	THE EFFECTS OF LARGE, UNRESTRICTED GIFTS ON NONPROFITS (YEARS 2 AND 3)	50,000
CENTER FOR EFFECTIVE PHILANTHROPY 675 MASSACHUSETTS AVENUE 7TH FLOOR CAMBRIDGE, MA 02139	N/A	PC	ELEVATING NONPROFIT VOICES TO HELP INDIVIDUAL AND FOUNDATION DONORS BE MORE EFFECTIVE: A THREE-YEAR RESEARCH EFFORT	50,000
CENTER FOR MEDIA ENGAGEMENT UNIVERSITY OF TEXAS AT AUSTIN 300 W DEAN KEETON A0900 AUSTIN, TX 787121069	N/A	PC	BEST PRACTICES FOR ETHICS IN SCIENCE PHILANTHROPY	23,952
CENCIA PUERTO RICO 55 HIGHT LANE HAMDEN, CT 06517	N/A	PC	CENCIA COLAB CIVIC SCIENCE FELLOW	80,000
CENCIA PUERTO RICO 55 HIGHT LANE HAMDEN, CT 06517	N/A	PC	REFLEXIVITY WORKBOOK: A TOOL FOR INCLUSIVE AND EQUITABLE CIVIC SCIENCE AND PUBLIC ENGAGEMENT	25,000
CENCIA PUERTO RICO (CENTER FOR A NEW ECONOMY INC FISCAL SPONSOR) AV. QUINTESQUELA SAN JUAN, PR 00919	N/A	PC	CIVIC SCIENCE FELLOW	18,698
CITY REPORT INC 85 BROAD ST NEW YORK, NY 10004	N/A	PC	GENERAL OPERATING SUPPORT	7,500
CLIMATE CENTRAL ONE PALMER SQUARE PRINCETON, NJ 08542	N/A	PC	CIVIC SCIENCE FELLOW	120,000
CLIMATE CENTRAL ONE PALMER SQUARE PRINCETON, NJ 08542	N/A	PC	CIVIC SCIENCE FELLOW	5,000
COLD SPRING HARBOR LABORATORY COLD SPRING HARBOR, NY 11724	N/A	PC	2021 SCHOLAR	100,000
COLUMBIA UNIVERSITY 3701 SACRAMENTO STREET 4 SAN FRANCISCO, CA 94118	N/A	PC	2022 SCHOLAR	100,000
CORWATONAL 535 WEST 15TH STREET NEW YORK, NY 10027	N/A	PC	GENERAL OPERATING SUPPORT	10,000
560 CALIFORNIA STREET SAN FRANCISCO, CA 94104 CORNELL UNIVERSITY OFFICE OF SPONSORED PROGRAMS ITHACA, NY 14853	N/A	PC	CITIZENS AND TECHNOLOGY LAB CIVIC SCIENCE FELLOW	100,000
COUNCIL FOR THE ADVANCEMENT OF SCIENCE WRITING PO BOX 910 HEDGESVILLE, WV 25427	N/A	PC	THE CONNECTOR: A ONE-STOP SHOP FOR SCIENCE JOURNALISM AND COMMUNICATION	50,000
COUNCIL OF NEW JERSEY GRANTMAKERS 1977 NORTH OLDEN AVE SUITE 238 EWING, NJ 08618	N/A	PC	2024 MEMBERSHIP GRANT	11,250
COUNCIL ON FOUNDATIONS 1255 33RD ST NW SUITE 200 WASHINGTON, DC 20037	N/A	PC	2023 MEMBERSHIP GRANT	9,000
FRANKLIN INSTITUTE 222 NORTH 20TH STREET PHILADELPHIA, PA 19103	N/A	PC	CIVIC SCIENCE FELLOW	120,000
FRED HUTCHINSON CANCER CENTER 1100 FAIRVIEW AVENUE NORTH SEATTLE, WA 981041024	N/A	PC	2019 SCHOLAR	100,000
FRIENDS OF HERRONTOWN WOODS PO BOX 1325 PRINCETON, NJ 08542	N/A	PC	GENERAL OPERATING SUPPORT	5,000
FULBRIGHT U.S.UK FOUNDATION 3701 SACRAMENTO STREET 4 SAN FRANCISCO, CA 94118	N/A	PC	GENERAL SUPPORT CONTRIBUTION	300
GIVEDIRECTLY INC PO BOX 3221 NEW YORK, NY 10008	N/A	PC	PROJECT SUPPORT	15,000
GREEN FARMS ACADEMY 35 BEACHSIDE AVENUE WESTPORT, CT 06880	N/A	PC	PROJECT SUPPORT	10,000
HARVARD MEDICAL SCHOOL 25 SHATTUCK STREET BOSTON, MA 02115	N/A	PC	2022 SCHOLAR	100,000
HARVARD MEDICAL SCHOOL 25 SHATTUCK STREET BOSTON, MA 02115	N/A	PC	2023 SCHOLAR	110,000
HARVARD UNIVERSITY MASSACHUSETTS HALL CAMBRIDGE, MA 02138	N/A	PC	2020 SCHOLAR	110,000
HEALTHRIGHT INTERNATIONAL 14 E 4TH STREET 3RD FLOOR NEW YORK, NY 10012	N/A	PC	GENERAL OPERATING SUPPORT	5,000
HOBBS SOUND COMMUNITY CHEST POST OFFICE BOX 511 HOBBS SOUND, FL 334750511	N/A	PC	GENERAL OPERATING SUPPORT	10,000
HOMEFRONT 1880 PRINCETON AVE LAWRENCEVILLE, GA 086484518	N/A	PC	GENERAL OPERATING SUPPORT	5,000
HOPEWELL FUND 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON, DC 20036	N/A	PC	SCIENCE IN SOCIETY FUNDER COLLABORATIVE	125,000
HORIZONS NATIONAL 120 POST ROAD WEST WESTPORT, CT 06880	N/A	PC	GENERAL OPERATING SUPPORT	20,000
HOUSING INITIATIVES OF PRINCETON 33 MERCER STREET PRINCETON, NJ 08540	N/A	PC	GENERAL OPERATING SUPPORT	30,000
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	CIVIC SCIENCE FELLOW	100,000
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	IAS SCIENCE JOURNALISM WORKSHOP	15,000
INSTITUTE FOR ADVANCED STUDY 1 EINSTEIN DRIVE PRINCETON, NJ 08540	N/A	PC	GENERAL SUPPORT CONTRIBUTION	10,000
INTERNATIONAL WOMEN'S FORUM 1155 15TH ST NW WASHINGTON, DC 20005	N/A	PC	PROJECT SUPPORT	20,000
MEDIA ALLIANCE (DBA THE SANCTUARY FOR INDEPENDENT MEDIA) 3561 6TH AVENUE TROY, NY 12180	N/A	PC	WATER JUSTICE MEDIA: YOUTH SCIENTISTS REPORTING ON WATER CIVICS AND POLLUTION IN TROY, NY	15,000
MEDIA IN NEIGHBORHOODS (MUSIC CONSERVATORY OF WESTCHESTER FISCAL SPONSOR) 1500 CHESTNUT STREET PHILADELPHIA, PA 19102	N/A	PC	MUSIC VETS AND SOUND HEALTH NETWORK PRESENT: A FILM AND MUSICAL HEALING FORUM	15,000
MEMORIAL SLOAN KETTERING PO BOX 27166 NEW YORK, NY 10087	N/A	PC	PROJECT SUPPORT	5,000
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065	N/A	PC	2020 SCHOLAR	100,000
MONTCLAIR STATE UNIVERSITY FOUNDATION 1 NORMAL AVENUE MONTCLAIR, NJ 07043	N/A	PC	CENTER FOR COOPERATIVE MEDIA CIVIC SCIENCE FELLOW	85,000
MONTCLAIR STATE UNIVERSITY FOUNDATION 1 NORMAL AVENUE MONTCLAIR, NJ 07043	N/A	PC	CROSS-FIELD COLLABORATION GRANTS	40,000
MORGENTHAU INSTITUTE FOR RESEARCH 330 NORTH ORCHARD STREET MADISON, WI 53715	N/A	PC	CIVIC SCIENCE FELLOW	90,000
MUCKROCK FOUNDATION INC 263 HUNTINGTON AVE BOSTON, MA 02115	N/A	PC	MAPPING CHICAGO'S AIR POLLUTION HOTSPOTS AND FUTURE URBAN AIR QUALITY NETWORKS	15,000
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET NW WASHINGTON, DC 20001	N/A	PC	GENERAL SUPPORT CONTRIBUTION	10,000
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET NW WASHINGTON, DC 20001	N/A	PC	ADVANCING COMMITTEE ON ADVANCING SCIENCE COMMUNICATION	100,000
NATIONAL ACADEMY OF SCIENCES 500 FIFTH STREET NW WASHINGTON, DC 20001	N/A	PC	GENERAL SUPPORT CONTRIBUTION - 2023 CIVIC SCIENCE FELLOWS AND PARTNERS	15,000
NATIONAL SCIENCE POLICY NETWORK (MULTIPLIER FISCAL SPONSOR) 549 MARKET ST SAN FRANCISCO, CA 941045401	N/A	PC	SCIENCE & POLICY CROSSROADS	15,000
PACE (PHILANTHROPY FOR ACTIVE CIVIC ENGAGEMENT) 1717 RHODE ISLAND AVE NW SUITE 700 WASHINGTON, DC 20036	N/A	PC	THE VALLEJO PEOPLE'S ARCHIVE	50,000
PEOPLE & STORIES/GENTE Y CUENTOS 295 EGGERTS CROSSING ROAD LAWRENCEVILLE, GA 30046	N/A	PC	CIVIC LANGUAGE PERCEPTIONS PROJECT	15,000
PHILANTHROPY NEW YORK 1500 BROADWAY 7TH FLOOR NEW YORK, NY 10036	N/A	PC	PROJECT SUPPORT	5,000
PIERREFONT SCHOOL 120 POST ROAD WEST WESTPORT, CT 06880	N/A	PC	2020 SCHOLAR	100,000
PLANET DETROIT (MICHIGAN ENVIRONMENTAL COUNCIL FISCAL SPONSOR) 602 W IONIA ST LANSING, MI 48933	N/A	PC	GENERAL OPERATING SUPPORT	10,000
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1033 MASSACHUSETTS AVENUE 5TH FL CAMBRIDGE, MA 02138	N/A	PC	TELLING THE HUMAN STORY OF DETROIT'S FALLING AIR QUALITY	15,000
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1033 MASSACHUSETTS AVENUE 5TH FL CAMBRIDGE, MA 02138	N/A	PC	LOCAL TELEVISION NEWS STUDY	10,000
PRINCETON PUBLIC LIBRARY FOUNDATION SANDS LIBRARY BUILDING PRINCETON, NJ 08542	N/A	PC	CIVIC SCIENCE FELLOW	5,000
PRINCETON THEOLOGICAL SEMINARY PO BOX 821 PRINCETON, NJ 085420803	N/A	PC	GENERAL OPERATING SUPPORT	5,000
PRINCETON-BLAIRSTOWN CENTER 1505 EDEL ROAD PRINCETON, NJ 08540	N/A	PC	GENERAL SUPPORT CONTRIBUTION	25,000
PROPUBLICA ONE EXCHANGE PLAZA NEW YORK, NY 10066	N/A	PC	PROJECT SUPPORT	25,000
RESEARCH FOUNDATION CUNY 85 SAINT NICHOLAS TERRACE NEW YORK, NY 10031264	N/A	PC	CUNY ADVANCED SCIENCE RESEARCH CENTER CIVIC SCIENCE FELLOW	120,000
RESEARCHCHAMERICA 241 18TH ST SOUTH ALEXANDRIA, VA 22202	N/A	PC	SETTING A STRATEGIC COURSE FOR CIVIC SCIENCE	100,000
ROCKEFELLER UNIVERSITY 1230 YORK AVE NEW YORK, NY 10065	N/A	PC	CENTER FOR CLINICAL AND TRANSLATIONAL SCIENCE CIVIC SCIENCE FELLOW	100,000
ROSALIND FRANKLIN SOCIETY 140 HUGUENOT STREET NEW ROCHELLE, NY 10801	N/A	PC	RFS AWARD IN SCIENCE	30,000
RUTGERS CANCER INSTITUTE OF NEW JERSEY (RUTGERS UNIVERSITY FOUNDATION) 120 ALBANY STREET NEW BRUNSWICK, NJ 08901	N/A	PC	PROJECT SUPPORT	5,000
RUTGERS UNIVERSITY FOUNDATION TOWER TWO-SECOND FLOOR NEW BRUNSWICK, NJ 08901	N/A	PC	PROJECT SUPPORT	1,000
RUTGERS UNIVERSITY FOUNDATION TOWER TWO-SECOND FLOOR NEW BRUNSWICK, NJ 08901	N/A	PC	PROJECT SUPPORT	1,000
RUTGERS THE STATE UNIVERSITY OF NEW JERSEY OFFICE OF RESEARCH AND ECONOMIC DEVELOPMENT PISCATAWAY, NJ 08854	N/A	PC	2022 SCHOLAR	110,000
RUTGERS THE STATE UNIVERSITY OF NEW JERSEY OFFICE OF RESEARCH AND ECONOMIC DEVELOPMENT PISCATAWAY, NJ 08854	N/A	PC	2023 SCHOLAR	50,000
RUTGERS THE STATE UNIVERSITY OF NEW JERSEY OFFICE OF RESEARCH AND ECONOMIC DEVELOPMENT PISCATAWAY, NJ 08854	N/A	PC	EAGLETON INSTITUTE CIVIC SCIENCE FELLOW	75,000
SALK INSTITUTE FOR BIOLOGICAL STUDIES PO BOX 85801 SAN DIEGO, CA 92186	N/A	PC	2023 SCHOLAR	100,000
SCIENCE COMMUNICATION LAB INC 600 16TH ST NW 2240 SAN FRANCISCO, CA 94143	N/A	PC	SCIENCE	100,000
SCIENCE FRIDAY INITIATIVE 19 W 44TH STREET NEW YORK, NY 10036	N/A	PC	INCREASING ACCESS TO SCIENCE POLICY REPORTING	50,000
SCIENCE PHILANTHROPY ALLIANCE (ROCKEFELLER PHILANTHROPY ADVISORS FISCAL SPO 1259 EL CAMINO REAL UNIT 1100 MENLO PARK, CA 94025	N/A	PC	CIVIC SCIENCE FELLOW	85,000
SCIENCE PHILANTHROPY ALLIANCE (ROCKEFELLER PHILANTHROPY ADVISORS FISCAL SPO 1259 EL CAMINO REAL UNIT 1100 MENLO PARK, CA 94025	N/A	PC	CIVIC SCIENCE FELLOW	18,750
SMITHSONIAN GARDENS ARCHIVES OF AMERICAN GARDENS PO BOX 37012 WASHINGTON, DC 200137012	N/A	PC	PROJECT SUPPORT	3,500
SPARC (NEW VENTURE FUND FISCAL SPONSOR) 1201 CONNECTICUT AVE NW WASHINGTON, DC 20036	N/A	PC	OPEN RESEARCH FUNDERS GROUP (ORFG)	50,000
STANFORD UNIVERSITY OFFICE OF THE PRESIDENT STANFORD, CA 94305	N/A	PC	2022 SCHOLAR	100,000
STANFORD UNIVERSITY OFFICE OF THE PRESIDENT STANFORD, CA 94305	N/A	PC	2021 SCHOLAR	100,000
STANFORD UNIVERSITY OFFICE OF THE PRESIDENT STANFORD, CA 94305	N/A	PC	2019 SCHOLAR	100,000
STANFORD UNIVERSITY OFFICE OF THE PRESIDENT STANFORD, CA 94305	N/A	PC	2023 SCHOLAR	100,000
STEM NEXT OPPORTUNITY FELLOWS NETWORK 2305 HISTORIC DECATUR RD SAN DIEGO, CA 92106	N/A	PC	STEM NEXT OPPORTUNITY FELLOWS NETWORK	50,000
THE ANDREW K DWYER FOUNDATION 108 BASSETT CREEK TRAIL HOBBS SOUND, FL 33455	N/A	PC	GENERAL OPERATING SUPPORT	3,500
THE ASPEN INSTITUTE 2300 N STREET NW WASHINGTON, DC 20037	N/A	PC	SIX DEGREES FROM SCIENCE	15,000
THE ASPEN INSTITUTE 2300 N STREET NW WASHINGTON, DC 20037	N/A	PC	ASPEN INSTITUTE CIVIC SCIENCE FELLOW - OUR FUTURE IS SCIENCE	5,750
THE CENTER AT WEST PARK 165 W 86TH ST NEW YORK, NY 10024	N/A	PC	GENERAL OPERATING SUPPORT	7,500
THE COMMUNICATIONS NETWORK 1717 N NAPER BLVD SUITE 200-20 NAPERVILLE, IL 60563	N/A	PC	GENERAL SUPPORT CONTRIBUTION - COMNET23	25,000
THE CURRENT MEDIA INC 313 JEFFERSON STREET LA FAYETTE, LA 70501	N/A	PC	LIVING WITH WATER	15,000
THE DAILY PENNSYLVANIAN INC 4015 WALNUT STREET PHILADELPHIA, PA 191046198	N/A	PC	GENERAL OPERATING SUPPORT	3,000
THE FRONTLINE OBSERVER (ARTS CONNECTION OF SAN BERNARDINO COUNTY FISCAL SPO 536 W 11TH STREET SAN BERNARDINO, CA 92410	N/A	PC	CONCRETE JUNGLE - ARE INDUSTRY POLITICAL CONTRIBUTIONS HELPING SHAPE WAREHOUSE GROWTH IN CALIFORNIA'S INLAND EMPIRE?	15,000
THE JOHNS HOPKINS UNIVERSITY BALTIMORE, MD 21218	N/A	PC	2021 SCHOLAR	110,000
THE KNOWLEDGE HOUSE FELLOWSHIP INC 26 BRUCKNER BLVD BRONX, NY 10454	N/A	PC	CIVIC SCIENCE FELLOW	85,000
THE NANTUCKET PROJECT PO BOX 568 NANTUCKET, MA 02554	N/A	PC	GENERAL SUPPORT CONTRIBUTION	25,000
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA BERKELEY 1608 FOURTH STREET SUITE 220 BERKELEY, CA 947101749	N/A	PC	DATA INNOVATION LAB CIVIC SCIENCE FELLOW	70,000
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA UCLA CHANCELLORS OFFICE LOS ANGELES, CA 90095	N/A	PC	UCLA CENTER FOR SMART HEALTH CIVIC SCIENCE FELLOW	120,000
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	N/A	PC	2021 SCHOLAR	100,000
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	N/A	PC	2019 SCHOLAR	100,000
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	N/A	PC	2020 SCHOLAR	100,000
THE SALT LAKE TRIBUNE 90 SOUTH 400 WEST SUITE 600 SALT LAKE CITY, UT 84101	N/A	PC	WATER DOCUMENTERS	15,000
THE SEEING EYE INC PO BOX 375 MORRISTOWN, NJ 17963	N/A	PC	GENERAL OPERATING SUPPORT	30,000
THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 1 COLLEGE HALL PHILADELPHIA, PA 19104	N/A	PC	2021 SCHOLAR	50,000
TUFTS UNIVERSITY RESEARCH ADMINISTRATION BOSTON, MA 021111817	N/A	PC	CIVIC SCIENCE FELLOWSHIP NETWORK SUPPORT	60,000
UNITED JEWISH APPEAL 130 EAST 93TH STREET NEW YORK, NY 10022	N/A	PC	WILL-MANDATED GIVING 2023 - MIGRANT SHELTERS	95,000
UNITED STATES ASSOCIATION FOR THE STUDY OF PAIN 7 GLADDEN ROAD ANNAPOLIS, MD 21401	N/A	PC	GENERAL SUPPORT CONTRIBUTION	10,000
UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILMAN DRIVE LA JOLLA, CA 920930934	N/A	PC	2019 SCHOLAR	100,000
UNIVERSITY OF MICHIGAN 2074 FLEMING ADMINISTRATION BUILDING ANN ARBOR, MI 481091340	N/A	PC	2019 SCHOLAR	110,000
UNIVERSITY OF PITTSBURGH 107 CATHEDRAL OF LEARNING PITTSBURGH, PA 15260	N/A	PC	2021 SCHOLAR	50,000
UNIVERSITY OF RHODE ISLAND FOUNDATION 79 UPPER COLLEGE ROAD KINGSTON, RI 02881	N/A	PC	UR/METCAL INSTITUTE INCLUDES SCIENCE SYMPOSIUM	25,000
UNIVERSITY OF WISCONSIN UNIVERSITY OF WISCONSIN-MADISON MADISON, WI 53706	N/A	PC	NATIONAL ACADEMIES CIVIC SCIENCE FELLOW	283
VANDERBILT UNIVERSITY CHANCELLORS OFFICE NASHVILLE, TN 37212	N/A	PC	2023 SCHOLAR	100,000
WATERSHED INSTITUTE 311 TITUS MILL RD PENNINGTON, NJ 08540	N/A	PC	GENERAL OPERATING SUPPORT	5,000
WILLIAMS COLLEGE ALUMNI FUND 75 PARK ST WILMINGTON, MA 01267	N/A	PC	GENERAL OPERATING SUPPORT	50
WITF 4801 LINDLE ROAD HARRISBURG, PA 17111	N/A	PC	CLIMATE SOLUTIONS THEATER PRODUCTION	15,000
YALE UNIVERSITY PO BOX 208229 NEW HAVEN, CT 065208229	N/A	PC	2020 SCHOLAR	100,000
YALE UNIVERSITY PO BOX 208229 NEW HAVEN, CT 065208229	N/A	PC	2021 SCHOLAR	100,000
YALE UNIVERSITY PO BOX 208229 NEW HAVEN, CT 065208229	N/A	PC	2023 SCHOLAR	100,000
YALE UNIVERSITY PO BOX 208229 NEW HAVEN, CT 065208229	N/A	PC	2023 SCHOLAR	50,000
UNIVERSITE DE QUERBEC - NORTH AMERICAN PAIR SCHOOL 3725 UNIVERSITY ST MONTREAL, QUEBEC H3A 2B4 CA	N/A	PC	GENERAL SUPPORT CONTRIBUTION	15,000
Total				6,760,118

Enter gross amounts unless otherwise indicated.

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVI

Yes

No

1a(1)

No

1a(2)

No

1b(1)

No

1b(2)

No

1b(3)

No

1b(4)

No

1b(5)

No

1b(6)

No

1c

No

[illegible]☐ Yes☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

2024-10-31

Date

Title

See instructions. ☐ Yes ☐ No

See instructions. ☐ Yes ☐ No

P02104034

Firm's EIN ► 87-3231666

Phone no.
(908) 272-6200

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2023 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FINANCIAL STATEMENT AUDIT AND TAX RETURNS PREPARATION	44,500	0		44,500
BOOKKEEPING	30,000	0		30,000

Name: RITA ALLEN FOUNDATION INC
EIN: 13-6116429

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABORATORIES	240,723	240,723
ABBVIE INC	688,687	688,687
ACCENTURE PLC	1,266,434	1,266,434
ADOBE INC	1,018,396	1,018,396
AERCAP HOLDINGS NV	294,827	294,827
AGILENT TECHNOLOGIES INC	532,485	532,485
AIRBNB INC	272,280	272,280
ALIGN TECHNOLOGY INC	553,206	553,206
ALPHABET INC	3,095,778	3,095,778
AMAZON.COM INC	2,542,108	2,542,108
AMERICAN TOWER CORP	513,147	513,147
AMERIPRISE FINANCIAL INC	1,175,194	1,175,194
ANALOG DEVICES INC	1,079,571	1,079,571
APPLE INC	2,824,608	2,824,608
APTIV PLC	149,743	149,743
AUTODESK INC	222,541	222,541
AUTOMATIC DATA PROCESSING INC	721,741	721,741
AVANTOR INC	705,333	705,333
AXALTA COATING SYSTEMS LTD	224,202	224,202
BAKER HUGHES CO	989,511	989,511
BALL CORP	248,141	248,141
BANK OF AMERICA CORP	1,578,820	1,578,820
BAXTER INTERNATIONAL INC	371,136	371,136
BECTON DICKINSON AND CO	156,539	156,539
BERKSHIRE HATHAWAY INC	1,708,752	1,708,752
BEST BUY CO INC	294,411	294,411
BLACKSTONE INC	998,920	998,920
BOOKING HOLDINGS INC	773,294	773,294
BRIGHT HORIZONS FAMILY Solutio	312,123	312,123
CANADIAN NATIONAL RAILWAY CO	405,031	405,031
CARMAX INC	421,763	421,763
CARRIER GLOBAL CORP	354,237	354,237
CARTER'S INC	307,049	307,049
CATALENT INC	124,007	124,007
CHUBB LTD	604,550	604,550
CISCO SYSTEMS INC	470,745	470,745
CLARIVATE PLC	194,969	194,969
COGENT COMMUNICATIONS HOLDINGS	534,474	534,474
COMCAST CORP	560,842	560,842
CORNING INC	374,535	374,535
CUMMINS INC	412,300	412,300
CVS HEALTH CORP	499,264	499,264
DANAHER CORP	273,444	273,444

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DEVON ENERGY CORP	154,020	154,020
DOW INC	258,625	258,625
EDWARDS LIFESCIENCES CORP	722,469	722,469
ELANCO ANIMAL HEALTH INC	372,500	372,500
ELEVANCE HEALTH INC	697,437	697,437
ELI LILLY & CO	68,202	68,202
ENTEGRIS INC	264,203	264,203
EQUINIX INC	131,279	131,279
FERGUSON PLC	1,281,985	1,281,985
FIRST CITIZENS BANCSHARES INC	664,078	664,078
FISERV INC	526,578	526,578
GARTNER INC	166,008	166,008
GENERAL ELECTRIC CO	449,768	449,768
GENERAL MOTORS CO	219,112	219,112
GILEAD SCIENCES INC	376,697	376,697
GRAPHIC PACKAGING HOLDING CO	372,215	372,215
HANNON ARMSTRONG SUSTAINABLE I	195,404	195,404
HOME DEPOT INC/THE	592,601	592,601
INFINERA CORP	111,606	111,606
INTERNATIONAL FLAVORS & FRAGRA	121,455	121,455
INTUIT INC	1,063,176	1,063,176
IQVIA HOLDINGS INC	233,925	233,925
JPMORGAN CHASE & CO	642,638	642,638
KENVUE INC	206,817	206,817
KINDER MORGAN INC	416,816	416,816
KKR & CO INC	1,387,489	1,387,489
LINDE PLC	772,956	772,956
LIONS GATE ENTERTAINMENT CORP	371,935	371,935
LIVENT CORP	107,880	107,880
LOWE'S COS INC	826,551	826,551
MARTIN MARIETTA MATERIALS INC	227,004	227,004
MASTERCARD INC	1,981,139	1,981,139
MCDONALD'S CORP	680,194	680,194
MEDTRONIC PLC	420,715	420,715
MERCK & CO INC	1,181,886	1,181,886
META PLATFORMS INC	1,326,642	1,326,642
MICRON TECHNOLOGY INC	377,630	377,630
MICROSOFT CORP	5,167,166	5,167,166
MOHAWK INDUSTRIES INC	307,395	307,395
NETFLIX INC	730,320	730,320
NIKE INC	121,381	121,381
NOMAD FOODS LTD	414,936	414,936
NOVARTIS AG	517,067	517,067
NOVO NORDISK A/S ADR	148,451	148,451
OTIS WORLDWIDE CORP	279,325	279,325
PALO ALTO NETWORKS INC	149,504	149,504
PAYPAL HOLDINGS INC	472,304	472,304

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PINTEREST INC	310,469	310,469
PROCTER & GAMBLE CO/THE	601,986	601,986
PROGRESSIVE CORP/THE	681,400	681,400
REGIONS FINANCIAL CORP	94,962	94,962
SALESFORCE INC	369,449	369,449
SBA COMMUNICATIONS CORP	413,768	413,768
SENSATA TECHNOLOGIES HOLDING P	371,943	371,943
SERVICENOW INC	501,608	501,608
SHERWIN-WILLIAMS CO/THE	265,427	265,427
SS&C TECHNOLOGIES HOLDINGS INC	372,771	372,771
SUNCOR ENERGY INC	616,994	616,994
TAIWAN SEMICONDUCTOR MANUFACTU	783,224	783,224
TARGET CORP	441,644	441,644
THERMO FISHER SCIENTIFIC INC	186,838	186,838
TJX COS INC/THE	526,837	526,837
T-MOBILE US INC	1,092,168	1,092,168
TOPGOLF CALLAWAY BRANDS CORP	332,688	332,688
TRUIST FINANCIAL CORP	396,890	396,890
UBER TECHNOLOGIES INC	389,369	389,369
UNILEVER PLC	475,540	475,540
UNITED PARCEL SERVICE INC	456,910	456,910
UNITED RENTALS INC	748,887	748,887
UNITEDHEALTH GROUP INC	1,958,995	1,958,995
URBAN OUTFITTERS INC	85,656	85,656
VISA INC	2,014,068	2,014,068
WALT DISNEY CO/THE	300,214	300,214
WORKDAY INC	164,808	164,808
ZIMMER BIOMET HOLDINGS INC	376,053	376,053
ZOETIS INC	184,738	184,738

TY 2023 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

**US Government Securities - End of
Year Book Value:**

615,295

**US Government Securities - End of
Year Fair Market Value:**

615,295

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

Name: RITA ALLEN FOUNDATION INC
EIN: 13-6116429

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ARGYLE	FMV	2,378,781	2,378,781
BOND CAPITAL FUND LP	FMV	1,375,898	1,375,898
BOND II, LP	FMV	867,610	867,610
BOND III, LP	FMV	157,910	157,910
COLCHIS	FMV	266	266
COLCHIS INCOME FUND LTD	FMV	318,904	318,904
DALTON INVESTMENTS TRUST	FMV	2,550,405	2,550,405
DG VALUE PARTNERS II OFFSHORE FUND	FMV	2,854,792	2,854,792
ECHO STREET II	FMV	5,528,626	5,528,626
FHLMC MULTICLASS STRIP 355 300 3.000% 08/15/2047 DD 08/01/17	FMV	599,560	599,560
FNMA GTD REMIC P/T 11-127 ZU 3.500% 12/25/2041 DD 11/01/11	FMV	1,207,801	1,207,801
FORESITE CAPITAL FUND V LP	FMV	146,654	146,654
FORESITE CAPITAL FUND VI LP	FMV	491,142	491,142
FORESITE CAPITAL OPP FUND V LP	FMV	115,944	115,944
FUNDSMITH EQUITY FUND LP CLASS	FMV	4,717,950	4,717,950
GMO RESOURCES FUND-III	FMV	3,087,938	3,087,938
GNMA GTD REMIC P/T 22-127 QM	FMV	475,433	475,433
GNMA GTD REMIC P/T 22-152 CN	FMV	436,286	436,286
GNMA GTD REMIC P/T 22-152 DN	FMV	553,105	553,105
GNMA GTD REMIC P/T 23-106 LZ	FMV	269,754	269,754
GNMA GTD REMIC P/T 23-18 AZ	FMV	513,719	513,719
GNMA GTD REMIC P/T 23-56 KJ	FMV	193,834	193,834
GNMA GTD REMIC P/T 23-7 Z	FMV	456,150	456,150
GNMA GTD REMIC P/T 23-99 EL	FMV	366,086	366,086
GNMA GTD REMIC P/T 17-38 Z	FMV	575,718	575,718
GNMA GTD REMIC P/T 21-130 SA VAR RT 07/20/2051 DD 07/20/21	FMV	16,487	16,487
GNMA GTD REMIC P/T 21-156 CZ	FMV	252,184	252,184
GNMA GTD REMIC P/T 21-193 QF VAR RT 11/20/2051 DD 11/20/21	FMV	844,308	844,308
GNMA GTD REMIC P/T 21-193 TF VAR RT 11/20/2051 DD 11/20/21	FMV	1,027,512	1,027,512
GNMA GTD REMIC P/T 21-213 QF VAR RT 12/20/2051 DD 12/20/21	FMV	1,132,781	1,132,781
GNMA GTD REMIC P/T 22-10 FH VAR RT 01/20/2052 DD 01/20/22	FMV	559,601	559,601
GNMA GTD REMIC P/T 22-12 AF VAR RT 01/20/2052 DD 01/01/22	FMV	272,322	272,322
GNMA GTD REMIC P/T 22-139 ZE	FMV	127,210	127,210
GQG PARTNERS INTERNATIONAL	FMV	4,434,389	4,434,389
INDIA ACORN FUND (CAYMAN) LTD	FMV	4,332,532	4,332,532
INNOVATION ENDEAVORS IV LP	FMV	515,807	515,807
MAINGATE MLP FUND-I	FMV	4,288,320	4,288,320
MAREN CAPITAL	FMV	3,777,379	3,777,379

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MGG SF EVERGREEN UNLEVERED	FMV	4,643,778	4,643,778
NEW GENERATION TURNAROUND FUND	FMV	167,880	167,880
NOVALIS LIFESCIENCES INVESTMENTS II, LP	FMV	792,711	792,711
NOVALPINA CAPITAL PTNRS I SCSP	FMV	767,615	767,615
ORCHARD LIQUID CREDIT FUND	FMV	1,833,459	1,833,459
OVERLOOK PARTNERS FD LP	FMV	3,334,003	3,334,003
OWLS NEST PARTNERS	FMV	2,514,152	2,514,152
THIRD POINT OFFSHORE FUND LTD	FMV	2,533,578	2,533,578
THIRD POINT STRUCTURED CREDIT OPPORTUNITIES OFFSHORE FUND LP	FMV	4,535,617	4,535,617
VANGUARD ST TRSY INDX	FMV	20,427,603	20,427,603
WARBURG PINCUS GLOBAL GROWTH	FMV	2,418,979	2,418,979
WARBURG PINCUS GLOBAL GROWTH 14	FMV	579,083	579,083
WARBURG PINCUS PE XII LP	FMV	2,067,435	2,067,435
WF ASIAN SMALLER COMPANIES	FMV	2,728,914	2,728,914
YORK CREDIT OPPORTUNITIES	FMV	305,633	305,633
YORK DIST ASSET IV FD CYM LTD	FMV	2,453,382	2,453,382
YORK DISTRESSED ASSET FD III	FMV	1,994,005	1,994,005
YORK EUROPEAN DISTRESSED	FMV	1,230,593	1,230,593

TY 2023 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL AND ADMINISTRATIVE LEGAL COUNSEL	2,475	0		2,475

TY 2023 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITY DEPOSIT	6,053	6,053	6,053

TY 2023 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	13,302	0		13,302
OFFICE EXPENSES	5,812	0		5,812
MEMBERSHIPS AND DUES	28,644	0		28,644
ANNUAL REPORT FILING	1,533	0		1,533
SCIENTIFIC ADVISORY HONORARIA	42,000	0		42,000
PAYROLL SERVICE	4,256	0		4,256
GRANT SOFTWARE & WEB SERVICES	52,393	0		52,393
OFFICE FURNITURE AND EQUIPMENT	5,380	0		5,380
PROGRAM/COMMUNICATIONS EXPENSE	41,593	0		41,593
SYMPOSIUMS AND WORKSHOP	13,800	0		13,800
OTHER INVESTMENT EXPENSES THRU SCHEDULE K-1S	0	338,885		0
DIRECT CHARITABLE ACTIVITES	386,494	0		386,494
BANK FEES	3,250	3,250		0
STAFF DEVELOPMENT	756	0		756

TY 2023 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER PARTNERSHIP INCOME	363,654	0	363,654
CLASS ACTION SETTLEMENT	82	82	82
OTHER MISCELLANEOUS INCOME	3,136	3,136	3,136
OTHER INVESTMENT INCOME THROUGH SCHEDULE K-1S	0	383,952	0
GRANT REFUNDS	123		123

TY 2023 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	16,461,494

TY 2023 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT CUSTODIAL, ADVISORY AND MANAGEMENT FEES	921,480	1,022,991		0
OTHER PROFESSIONAL FEES	4,780	0		4,780
IT SERVICES	13,200	0		13,200
HR AND STAFFING CONSULTANTS	3,750	0		3,750

TY 2023 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	70,000	0		0
FOREIGN TAXES WITHHELD THROUGH SCHEDULE K-1S	0	44,109		0