Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No. 1545-0047

2023

Open to Public Inspection

For calendar year 2023, or tax year beginning 01-01-2023 , and ending 12-31-2023

Name of foundation
THE DAVID ROCKEFELLER FUND INC

A Employer identification number
13-3533359

Number and street (or P.O. box number if mail is not delivered to street address)
475 RIVERSIDE DRIVE 900

Room/suite

B Telephone number (see instructions)
(212) 812-4300

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10115

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 63,250,282

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	60,000			
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3 Interest on savings and temporary cash investments	75,114	75,114		
	4 Dividends and interest from securities	974,421	1,052,011		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,384,647			
	b Gross sales price for all assets on line 6a 29,341,492				
	7 Capital gain net income (from Part IV, line 2)		2,535,668		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	99,244	3,600		
	12 **Total.** Add lines 1 through 11	3,593,426	3,666,393		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	409,263	36,088		373,175
	14 Other employee salaries and wages	170,105	5,496		166,705
	15 Pension plans, employee benefits	208,508	14,470		215,800
	16a Legal fees (attach schedule)	22,056	0		7,549
	b Accounting fees (attach schedule)	36,220	16,628		10,438
	c Other professional fees (attach schedule)	321,236	222,453		99,324
	17 Interest		1,992		
	18 Taxes (attach schedule) (see instructions)	41,388	26,145		0
	19 Depreciation (attach schedule) and depletion	4,021	0		
	20 Occupancy	26,892	1,500		29,033
	21 Travel, conferences, and meetings	42,299	0		44,364
	22 Printing and publications	745	0		1,166
	23 Other expenses (attach schedule)	315,879	223,955		108,914
	24 **Total operating and administrative expenses.** Add lines 13 through 23	1,598,612	548,727		1,056,468
	25 Contributions, gifts, grants paid	4,533,875			4,262,391
	26 **Total expenses and disbursements.** Add lines 24 and 25	6,132,487	548,727		5,318,859
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-2,539,061			
	b **Net investment income** (if negative, enter -0-)		3,117,666		
	c **Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	408,494	229,329	229,329
	2 Savings and temporary cash investments	1,478,373	1,498,909	1,498,909
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ 9,436,709			
	Less: allowance for doubtful accounts ▶	9,300,916	9,436,709	9,436,709
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	16,560	18,821	18,821
	10a Investments—U.S. and state government obligations (attach schedule)	4,472,514	2,731,763	2,731,763
	b Investments—corporate stock (attach schedule)	16,812,708	19,096,336	19,096,336
	c Investments—corporate bonds (attach schedule)	1,267,364	1,038,718	1,038,718
	11 Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	26,110,026	29,127,600	29,127,600
	14 Land, buildings, and equipment: basis ▶ 30,667			
	Less: accumulated depreciation (attach schedule) ▶ 24,582	10,105	6,085	6,085
	15 Other assets (describe ▶)	74,279	66,012	66,012
	16 **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	59,951,339	63,250,282	63,250,282
Liabilities	17 Accounts payable and accrued expenses	161,077	151,996	
	18 Grants payable	810,000	1,080,000	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)	90,557	164,467	
	23 **Total liabilities**(add lines 17 through 22)	1,061,634	1,396,463	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24 Net assets without donor restrictions	48,094,077	51,451,211	
	25 Net assets with donor restrictions	10,795,628	10,402,608	
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 **Total net assets or fund balances** (see instructions)	58,889,705	61,853,819	
	30 **Total liabilities and net assets/fund balances** (see instructions)	59,951,339	63,250,282	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	58,889,705
2	Enter amount from Part I, line 27a	2	-2,539,061
3	Other increases not included in line 2 (itemize) ▶	3	5,577,085
4	Add lines 1, 2, and 3	4	61,927,729
5	Decreases not included in line 2 (itemize) ▶	5	73,910
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	61,853,819

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	PUBLICLY TRADED SECURITIES			
b	LIBRA FOSSIL FUEL FREE FUND, LP	P		
c	ROCKEFELLER ALTERNATIVE STRATEGIES FUND, (LP)	P		
d	ROCKEFELLER ACCESS FUND 06-I, LLC	P		
e	NEW ENERGY CAPITAL INFRASTRUCTURE CREDIT FUND II LP	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				2,195,583
b				347,058
c				383
d				-2,400
e				-4,956

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a				2,195,583
b				347,058
c				383
d				-2,400
e				-4,956

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	2,535,668
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**	1	43,336
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	43,336
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	43,336
6	Credits/Payments:		
a	2023 estimated tax payments and 2022 overpayment credited to 2023 — 6a: 50,862		
b	Exempt foreign organizations—tax withheld at source — 6b: 0		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d: 0		
7	Total credits and payments. Add lines 6a through 6d	7	50,862
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	7,526
11	Enter the amount of line 10 to be: **Credited to 2024 estimated tax** ▶ 7,526 **Refunded** ▶	11	0

Part VI-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.	1b		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XIV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2023 or the taxable year beginning in 2023? See the instructions for Part XIII. If "Yes," complete Part XIII	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ WWW.DRFUND.ORG

14 The books are in care of ▶ LEXI FISHER Telephone no. ▶ (212) 812-4300

Located at ▶ 475 RIVERSIDE DRIVE NEW YORK NY ZIP+4 ▶ 10115

15 ☐ Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ and enter the amount of tax-exempt interest received or accrued during the year 15

			Yes	No
16	At any time during calendar year 2023, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶	16		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	Yes	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	Yes	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2023?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2023, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2023?	2a		No
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2023 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2023.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2023?	4b		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)		No
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)	Yes	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		No
c	Organizations relying on a current notice regarding disaster assistance check ▶ ☐			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	5d	Yes	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMIE BENNETT 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR 2.50	2,000	0	0
CHRIS LINDSTROM 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR 1.00	0	0	0
MINDY FULLILOVE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR 2.00	1,000	0	0
KAYTURA FELIX 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR 1.00	2,000	0	0
NILI GILBERT 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR 5.00	2,000	0	0
ADAM GROWALD 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR 1.50	0	0	0
CHRISTINE HEENAN 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR 1.50	2,000	0	0
MICHAEL LAMBERT 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR 1.50	0	0	0
MAX MILLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR 1.50	0	0	0
MICHAEL QUATTRONE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR 2.50	0	0	0
ARIANA ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR 1.50	0	0	0
CLAY ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR 3.00	0	0	0
SUSAN ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR 1.50	0	0	0
CAMILLA ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	DIRECTOR/CHAIR 3.00	0	0	0
LOURDES RODRIGUEZ 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	CHIEF EXECUTIVE OFFICER 40.00	225,000	63,495	0
LEXI FISHER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	SECRETARY/CHIEF OPERATING OFFICER 40.00	169,608	61,387	0
LEAH D'ANGELO 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TREASURER 1.00	5,655	1,697	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CRISTINA FERNANDEZ 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM OFFICER 40.00	90,100	25,916	660

Total number of other employees paid over $50,000 ▶ 0

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
ROCKEFELLER CAPITAL MANAGEMENT 45 ROCKEFELLER PLZ FL 5 NEW YORK,NY 10111	INVESTMENT MANAGEMENT	365,677

Total number of others receiving over $50,000 for professional services. . . . . ▶

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 DURING 2023, 3 MEMBERS OF THE STAFF OF DAVID ROCKEFELLER FUND PROVIDED TECHNICAL ASSISTANCE TO CHARITABLE ORGANIZATIONS, AND 2 MEMBERS OF THE STAFF SERVED ON 2 CHARITABLE ORGANIZATIONS' BOARDS. 1 MEMBER OF THE STAFF SERVED ON TOTAL OF 2 COMMITTEES, PUBLIC COMMISSIONS, OR TASK FORCES IN ORDER TO PROVIDE TECHNICAL ASSISTANCE.	52,666
2	
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . . ▶	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	49,813,367
b	Average of monthly cash balances		1b	3,115,235
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	52,928,602
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	52,928,602
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)		4	793,929
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3		5	52,134,673
6	**Minimum investment return.** Enter 5% (0.05) of line 5		6	2,606,734

Part X **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6		1	2,606,734
2a	Tax on investment income for 2022 from Part V, line 5	2a 43,336		
b	Income tax for 2022. (This does not include the tax from Part V.)	2b		
c	Add lines 2a and 2b		2c	43,336
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	2,563,398
4	Recoveries of amounts treated as qualifying distributions		4	0
5	Add lines 3 and 4		5	2,563,398
6	Deduction from distributable amount (see instructions)		6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1		7	2,563,398

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26		1a	5,318,859
b	Program-related investments—total from Part VIII-B		1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes		2	
3	Amounts set aside for specific charitable projects that satisfy the:			
a	Suitability test (prior IRS approval required)		3a	
b	Cash distribution test (attach the required schedule)		3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4		4	5,318,859

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2022	(c) 2022	(d) 2023
1 Distributable amount for 2023 from Part X, line 7				2,563,398
2 Undistributed income, if any, as of the end of 2022:				
a Enter amount for 2022 only			0	
b Total for prior years: 20 ___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2022:				
a From 2018 593,750				
b From 2019 1,621,017				
c From 2020 8,473,946				
d From 2021 1,946,749				
e From 2022 2,863,922				
f **Total** of lines 3a through e	15,499,384			
4 Qualifying distributions for 2023 from Part XI, line 4: ▶ $ 5,318,859				
a Applied to 2022, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2023 distributable amount				2,563,398
e Remaining amount distributed out of corpus	2,755,461			
5 Excess distributions carryover applied to 2023. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	18,254,845			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2022. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2023. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2024				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2018 not applied on line 5 or line 7 (see instructions)	593,750			
9 **Excess distributions carryover to 2024.** Subtract lines 7 and 8 from line 6a	17,661,095			
10 Analysis of line 9:				
a Excess from 2019 1,621,017				
b Excess from 2020 8,473,946				
c Excess from 2021 1,946,749				
d Excess from 2022 2,863,922				
e Excess from 2023 2,755,461				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2023, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2023	(b) 2022	(c) 2021	(d) 2020	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

LEXI FISHER
475 RIVERSIDE DRIVE SUITE 900
NEW YORK,NY 10115
(212) 812-4300
LOI@DRFUND.ORG

b The form in which applications should be submitted and information and materials they should include:

THE DAVID ROCKEFELLER FUND WEBSITE STATES 1-2 PAGE LETTER OF INTENT SHOULD BE SUBMITTED TO LOI@DRFUND.ORG

c Any submission deadlines:

THE DAVID ROCKEFELLER FUND ACCEPTS UNSOLICITED REQUESTS ON A ROLLING BASIS.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE DAVID ROCKEFELLER FUND ONLY ACCEPTS UNSOLICITED REQUESTS THAT FIT ITS THREE KEY PROGRAM AREAS (ARTS, JUSTICE AND CLIMATE)

Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any officer, director, trustee, or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ALLIED 1 MAIN ST STE 800 #	None	N/C	TO ASSIST THE PUBLIC IN DEVELOPING AND DIRECTING PUBLIC POLICY AND PROGRAMS	150,000
ALLIANCE OF FAMILIES FOR PO BOX	None	P.C.	CONSTITUTIONAL AMENDMENTS TO PROTECT THE RIGHTS OF PARENTS AND FAMILIES TO DIRECT THE EDUCATION, UPBRINGING, AND CARE OF THEIR CHILDREN	10,000
ALLIANCE OF FAMILIES FOR PO BOX	None	P.C.	CONSTITUTIONAL AMENDMENTS TO PROTECT THE RIGHTS OF PARENTS AND FAMILIES TO DIRECT THE EDUCATION, UPBRINGING, AND CARE OF THEIR CHILDREN	2

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	75,114	
4 Dividends and interest from securities	901101		14	974,421	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	99,244	
8 Gain or (loss) from sales of assets other than inventory	901101	90	18	2,384,557	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a ORDINARY INCOME THROUGH K-1S	901101	-5,217	14	5,217	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		-5,127		3,538,553	0
13 **Total.** Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)				**13**	3,533,426

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)	Yes	
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
1a(1)	105,000	ACTION FOR SAFETY AND JUSTICE	GRANT PAYMENT TO EDUCATE THE PUBLIC ON PUBLIC SAFETY SOLUTIONS FOR REDUCING MASS CRIMINALIZATION AND INCARCERATION.
1a(1)	80,000	PODER	GRANT PAYMENT TO EDUCATE ARIZONA COMMUNITIES ABOUT CIVIC ENGAGEMENT AND OPPORTUNITIES TO ADVANCE THEIR HEALTH AND SAFETY AT THE LOCAL AND STATE LEVEL.
1a(1)	105,000	CLIMATE EMERGENCY ADVOCATES	GRANT PAYMENT TO SUPPORT STRATEGIC COMMUNICATIONS AND DIGITAL MEDIA CAMPAIGN TO ADVANCE CLIMATE ADVOCACY FOR RENEWABLE ENERGY SOLUTIONS.
1a(1)	55,000	GAMALIEL FAITH AND DEMOCRACY CAMPAIGN	GRANT PAYMENT TO SCALE UP THE TRANSFORMATIONAL JUSTICE IN ACTION CAMPAIGN'S IN-PRISON OUTREACH PROGRAM.
1a(1)	105,000	LEAGUE OF CONSERVATION VOTERS	GRANT PAYMENT FOR THE CHISPA PROGRAM TO ADVANCE CLIMATE SOLUTIONS AND ENVIRONMENTAL JUSTICE.
1a(1)	55,000	LUCHA	GRANT PAYMENT TO EDUCATE COMMUNITIES IN ARIZONA ABOUT LOCAL ECONOMIC AND SOCIAL ISSUES.
1a(1)	255,000	MICHIGAN CIVIC ACTION FUND	GRANT PAYMENT TO PROVIDE EDUCATION ABOUT CIVIC ENGAGEMENT OPPORTUNITIES AND PUBLIC POLICY ISSUES IN MICHIGAN, AND PROVIDE TECHNICAL ASSISTANCE INFRASTRUCTURE FOR STATE-BASED MOVEMENT PARTNER ORGANIZATIONS.
1a(1)	35,000	NEW LEFT ACCELERATOR	GRANT PAYMENT TO EMPOWER & PROTECT ARIZONA - AN EQUITY-INFORMED CAPACITY-BUILDING PROGRAM PROVIDING TECHNICAL ASSISTANCE TO ARIZONA-BASED ORGANIZATIONS ENGAGED IN POWER-BUILDING WORK.
1a(1)	80,000	PROGRESSIVE MULTIPLIER ACTION FUND	GRANT PAYMENT TO SUPPORT NONPROFIT VOTER ENGAGEMENT AND CLIMATE JUSTICE GROUPS IN THE IMPLEMENTATION OF INDEPENDENT, SCALABLE REVENUE GENERATION TECHNIQUES.
1a(1)	80,000	TIDES ADVOCACY	GRANT PAYMENT TO SUPPORT RESILIENT DEMOCRACY ACTION FUND - EFFORT TO BUILD THE TECHNOLOGICAL CAPACITY OF CIVIC ENGAGEMENT ORGANIZATIONS.
1a(1)	130,000	CLIMATE EQUITY ACTION FUND	GRANT TO STRENGTHEN ORGANIZATIONAL INFRASTRUCTURE IN THE MIDST OF AN ONGOING TRANSITION TO AN INDEPENDENT ENTITY DEDICATED TO BUILDING LONG-TERM POWER TO ENSURE AN EQUITABLE CLEAN ENERGY FUTURE.
1a(1)	25,000	COMMISSION SHIFT ACTION	GRANT TO DEVELOP THE ORGANIZATIONAL INFRASTRUCTURE AND COMMUNICATIONS CAPACITY NECESSARY TO ADVANCE THE GOAL OF BUILDING PUBLIC SUPPORT TO HOLD THE RAILROAD COMMISSION OF TEXAS (RRC) ACCOUNTABLE TO ITS MISSION IN A SHIFTING ENERGY LANDSCAPE.
1a(1)	55,000	ONE FOR DEMOCRACY	GRANT PAYMENT TO STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY COMMUNITIES, TO THRIVE.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee	Date	Title
	2024-11-12	

May the IRS discuss this return with the preparer shown below? See instructions. ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
JOSEPH L ALI CPA		2024-11-13		P02093808

Firm's name ▶ PKF O'CONNOR DAVIES ADVISORY LLC	Firm's EIN ▶ 87-3231666
Firm's address ▶ 500 MAMARONECK AVENUE SUITE 301 HARRISON, NY 105281633	Phone no. (914) 381-8900

Additional Data

Return to Form

Software ID:
Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B

(Form 990)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2023

Name of the organization
THE DAVID ROCKEFELLER FUND INC

Employer identification number
13-3533359

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE DAVID ROCKEFELLER FUND INC

Employer identification number
13-3533359

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

Contributors

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARGARET DULANY 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	$ 50,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
2	MICHAEL AND REBECCA LAMBERT 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	$ 10,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
THE DAVID ROCKEFELLER FUND INC

Employer identification number
13-3533359

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization
THE DAVID ROCKEFELLER FUND INC

Employer identification number
13-3533359

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.)** ▶ **$** _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Return to Form

Software ID:

Software Version:

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER	1,395	0		1,090
AUDIT AND TAX SERVICES	34,825	16,628		9,348

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
ACTION FOR SAFETY AND JUSTICE	1787 TRIBUTE RD SUITE K SACRAMENTO, CA 95815	2022-06-21	200,000	TO EDUCATE THE PUBLIC ON PUBLIC SAFETY SOLUTIONS FOR REDUCING MASS CRIMINALIZATION AND INCARCERATION.	200,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	8/30/23 & 9/16/24		
BRAVE NEW FILMS ACTION FUND	11213 WASHINGTON PLACE CULVER CITY, CA 90230	2022-06-21	25,000	TO CREATE AND DISTRIBUTE SHORT DOCUMENTARY FILMS FOCUSED ON CIVIC ENGAGEMENT.	25,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	3/3/23 & 2/29/24		
CLIMATE EMERGENCY ADVOCATES	529 MAIN STREET SUITE 200 CHARLESTOWN, MA 02129	2022-11-10	100,000	TO CONDUCT STRATEGIC COMMUNICATIONS AND DIGITAL MEDIA CAMPAIGNS TO EDUCATE YOUNG CLIMATE ACTIVISTS.	100,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	8/17/23 & 8/21/24		
GEN-Z FOR CHANGE	850 NEW BURTON ROAD SUITE 201 DOVER, DE 19904	2022-12-09	50,000	TO EDUCATE AND ENGAGE ACTIVISTS LEVERAGING THE POWER OF SOCIAL MEDIA TO DRIVE BOLD U.S. CLIMATE STRATEGY.	50,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/27/23 & 2/27/24		
HIP HOP CAUCUS ACTION FUND	20 F ST NW 7TH FLOOR WASHINGTON, DC 20001	2021-11-11	150,000	TO ENGAGE THE PUBLIC ON ENVIRONMENTAL JUSTICE THROUGH MEDIA.	150,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	10/19/22, 3/27/23 & 2/27/24		
LEAGUE OF CONSERVATION VOTERS	740 15TH ST NW 7TH FLOOR WASHINGTON, DC 20005	2022-06-21	100,000	CHISPA PROGRAM, ADVANCING CLIMATE SOLUTIONS AND ENVIRONMENTAL JUSTICE.	100,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/23 & 3/7/24		
MICHIGAN CIVIC ACTION FUND	28342 DARTMOUTH STREET MADISON HEIGHTS, MI 48071	2021-11-11	500,000	TO PROVIDE EDUCATION ABOUT PUBLIC POLICY ISSUES IN MICHIGAN.	500,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/22, 3/16/23 & 2/28/24		
NEW LEFT ACCELERATOR	850 LAUREL ST ALAMEDA, CA 94501	2022-11-10	30,000	EMPOWER & PROTECT ARIZONA - AN EQUITY-INFORMED CAPACITY-BUILDING PROGRAM PROVIDING TECHNICAL ASSISTANCE TO ARIZONA-BASED ORGANIZATIONS ENGAGED IN POWER-BUILDING WORK.	30,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/23 & 2/28/24		
NEW YORK FOUNDATION	150 W 30TH STREET SUITE 1401 NEW YORK, NY 10001	2022-11-10	150,000	YOUTH ORGANIZING & CULTURE CHANGE FUND - A PILOT PROGRAM TO INCREASE FUNDING AND SUPPORTS FOR YOUTH ORGANIZING AND CULTURAL STRATEGIES ACROSS THE COUNTRY.	150,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	5/1/23 & 2/29/24		
ONE FOR DEMOCRACY	107 GRAND STREET 7TH FLOOR NEW YORK, NY 10013	2021-06-22	200,000	TO STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY COMMUNITIES, TO THRIVE.	150,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/22, 2/24/23 & 2/26/24		
TIDES ADVOCACY	PO BOX 29903 SAN FRANCISCO, CA 94129	2022-06-21	75,000	TO SUPPORT EDUCATIONAL ACTIVITIES OF THE RESILIENT DEMOCRACY ACTION FUND, AN EFFORT TO BUILD THE TECHNOLOGICAL CAPACITY OF LOCAL CIVIC ENGAGEMENT ORGANIZATIONS.	75,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	3/6/23 & 3/26/24		
ACTION FOR SAFETY AND JUSTICE	1787 TRIBUTE RD SUITE K SACRAMENTO, CA 95815	2023-06-21	5,000	TO EDUCATE THE PUBLIC ON PUBLIC SAFETY SOLUTIONS FOR REDUCING MASS CRIMINALIZATION AND INCARCERATION. (THIS REPRESENTS AN ADDENDUM TO THE PREVIOUSLY APPROVED GRANT INSTALLMENT.)	5,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	9/16/24		
CLIMATE EQUITY ACTION FUND	1100 13TH STREET NW SUITE 800 WASHINGTON, DC 20005	2023-06-21	130,000	TO STRENGTHEN ORGANIZATIONAL INFRASTRUCTURE IN THE MIDST OF AN ONGOING TRANSITION TO AN INDEPENDENT ENTITY DEDICATED TO BUILDING LONG-TERM POWER TO ENSURE AN EQUITABLE CLEAN ENERGY FUTURE.	72,042	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/24		
CLIMATE EMERGENCY ADVOCATES	529 MAIN STREET SUITE 200 CHARLESTOWN, MA 02129	2023-11-10	230,000	TO SUPPORT STRATEGIC COMMUNICATIONS AND DIGITAL MEDIA CAMPAIGNS TO ADVANCE CLIMATE ADVOCACY FOR RENEWABLE ENERGY SOLUTIONS.	130,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	8/21/24		
COMMISSION SHIFT ACTION	212 FLORES AVENUE LAREDO, TX 78040	2023-12-14	25,000	TO DEVELOP THE ORGANIZATIONAL INFRASTRUCTURE AND COMMUNICATIONS CAPACITY NECESSARY TO ADVANCE THE GOAL OF BUILDING PUBLIC SUPPORT TO HOLD THE RAILROAD COMMISSION OF TEXAS (RRC) ACCOUNTABLE TO ITS MISSION IN A SHIFTING ENERGY LANDSCAPE.	6,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/24		
GAMALIEL FAITH AND DEMOCRACY CAMPAIGN	5401 S CORNELL AVENUE SUITE 301 CHICAGO, IL 60615	2023-06-21	55,000	TO SCALE THE TRANSFORMATIONAL JUSTICE IN ACTION CAMPAIGN'S IN-PRISON OUTREACH PROGRAM.	30,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	3/5/24		
LEAGUE OF CONSERVATION VOTERS	740 15TH ST NW 7TH FLOOR WASHINGTON, DC 20005	2023-06-21	105,000	CHISPA PROGRAM, ADVANCING CLIMATE SOLUTIONS AND ENVIRONMENTAL JUSTICE.	52,500	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	3/7/24		

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
LUCHA	5716 N 19TH AVENUE PHOENIX, AZ 85015	2023-11-10	155,000	TO EDUCATE COMMUNITIES IN ARIZONA ABOUT LOCAL ECONOMIC AND SOCIAL ISSUES.	0	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/29/24		
MICHIGAN CIVIC ACTION FUND	28342 DARTMOUTH STREET MADISON HEIGHTS, MI 48071	2023-11-10	255,000	TO PROVIDE EDUCATION ABOUT CIVIC ENGAGEMENT OPPORTUNITIES AND PUBLIC POLICY ISSUES IN MICHIGAN, AND PROVIDE TECHNICAL ASSISTANCE INFRASTRUCTURE FOR STATE-BASED MOVEMENT PARTNER ORGANIZATIONS.	177,492	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/24		
NEW LEFT ACCELERATOR	850 LAUREL ST ALAMEDA, CA 94501	2023-11-10	135,000	EMPOWER & PROTECT ARIZONA, AN EQUITY-INFORMED CAPACITY-BUILDING PROGRAM PROVIDING TECHNICAL ASSISTANCE TO ARIZONA-BASED ORGANIZATIONS ENGAGED IN POWER-BUILDING WORK.	4,158	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/29/24		
NEW YORK FOUNDATION	150 W 30TH STREET SUITE 1401 NEW YORK, NY 10001	2023-11-10	5,000	YOUTH ORGANIZING & CULTURE CHANGE FUND, A PILOT PROGRAM TO INCREASE FUNDING AND SUPPORTS FOR YOUTH ORGANIZING AND CULTURAL STRATEGIES ACROSS THE COUNTRY. (THIS REPRESENTS AN ADDENDUM TO THE PREVIOUSLY APPROVED GRANT INSTALLMENT.)	5,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/29/24		
ONE FOR DEMOCRACY	107 GRAND STREET 7TH FLOOR NEW YORK, NY 10013	2023-06-21	5,000	TO STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY COMMUNITIES, TO THRIVE. (THIS REPRESENTS AN ADDENDUM TO THE PREVIOUSLY APPROVED GRANT INSTALLMENT.)	5,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/26/24		
PODER	PO BOX XXX-XX-XXXX N MARYVALE PKWY PHOENIX, AZ 85063	2023-11-10	180,000	TO EDUCATE ARIZONA COMMUNITIES ABOUT CIVIC ENGAGEMENT AND OPPORTUNITIES TO ADVANCE THEIR HEALTH AND SAFETY AT THE LOCAL AND STATE LEVEL.	44,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	3/4/24		
PROGRESSIVE MULTIPLIER ACTION FUND	2733 WOODLEY PLACE NW WASHINGTON, DC 20008	2023-06-21	80,000	TO SUPPORT NONPROFIT VOTER ENGAGEMENT AND CLIMATE JUSTICE GROUPS IN THE IMPLEMENTATION OF INDEPENDENT, SCALABLE REVENUE GENERATION TECHNIQUES.	80,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	8/30/24		
TIDES ADVOCACY	PO BOX 29229 SAN FRANCISCO, CA 94129	2023-07-19	80,000	RESILIENT DEMOCRACY ACTION FUND - EFFORT TO BUILD THE TECHNOLOGICAL CAPACITY OF CIVIC ENGAGEMENT ORGANIZATIONS.	68,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	3/26/24		

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Identifier	Return Reference	Explanation
REGARDING GRANT PROGRAMS	990-PF, PART XIV, LINE 2	THE DAVID ROCKEFELLER FUND CURRENTLY HAS THREE PRIMARY PROGRAM AREAS: ARTS, CLIMATE, AND JUSTICE.THE ARTS PROGRAM FOCUSES ON CULTIVATING, SHOWCASING, AND DISSEMINATING THE STORIES OF INNOVATIVE ART FOR SOCIAL CHANGE; CLIMATE SUPPORTS AND SUSTAINS BOLD, SCIENCE-BASED U.S. LEADERSHIP ON CLIMATE SOLUTIONS; AND JUSTICE SUPPORTS BOLD NEW IDEAS AND POLICY INITIATIVES FOR TRANSFORMING U.S. DETENTION AND INCARCERATION POLICY. IN ADDITION TO THE PROGRAM AREAS OUTLINED ABOVE, THE FUND SUPPORTED A NUMBER OF CHARITABLE INITIATIVES DESIGNED TO ENCOURAGE TRUSTEES' INDIVIDUAL CHARITABLE GIVING.

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AMAZON.COM, INC. 3.15% DUE 08/22/27 CALL @ 100 05/21/27	14,430	14,430
AMAZON.COM, INC. 4.60% DUE 12/01/25 CALL @ 100 06/01/23	23,071	23,071
APPLE INC. 3.35% DUE 08/08/32 CALL @ 100 02/08/24	5,646	5,646
AT&T INC. 1.70% DUE 03/25/26 CALL @ 100 03/25/23	22,463	22,463
AT&T INC. 5.539% DUE 02/20/26	50,012	50,012
BANK OF AMERICA CORP 4.244% DUE 04/24/2038 CALL @ 100 04/24/2037	15,390	15,390
BANK OF AMERICA CORPORATION VAR% DUE 09/25/25 CALL @ 100 09/25/24	71,425	71,425
BRUNSWICK CORP 0.85% 08/18/2024	47,409	47,409
CITIGROUP INC. VAR% DUE 01/25/26 CALL @ 100 01/25/25	48,052	48,052
CITIGROUP INC. VAR% DUE 10/27/28 CALL @ 100 10/27/27	20,823	20,823
CVS HEALTH CORP 3.25% DUE 08/15/2029 CALL @ 100 05/15/2029	15,848	15,848
FIFTH THIRD BANCORP VAR% DUE 10/27/28 CALL @ 100 10/26/27	7,267	7,267
GENERAL MOTORS COMPANY 6.125% DUE 10/01/25 CALL @ 100 05/12/24	47,641	47,641
GOLDMAN SACHS GROUP INC 3.272% DUE 09/29/2025 CALL @ 100 09/29/2024	35,395	35,395
HOME DEPOT INC 5.875% DUE 12/16/2036	24,648	24,648
INTERNATIONAL BUSINESS MACHINES CORP 3.45% DUE 02/19/26 CALL @ 100 08/19/23	43,845	43,845
JPMORGAN CHASE & CO. VAR% DUE 07/25/33 CALL @ 100 07/24/32	22,777	22,777
JPMORGAN CHASE & CO. VAR% DUE 08/09/25 CALL @ 100 08/08/24	48,487	48,487
MORGAN STANLEY VAR% DUE 01/21/33 CALL @ 100 01/21/32	16,201	16,201
MORGAN STANLEY VAR% DUE 04/28/26 CALL @ 100 04/28/25	48,040	48,040
ORACLE CORPORATION 2.65% DUE 07/15/26 CALL @ 100 04/14/26	48,380	48,380
PFIZER INVESTMENT ENTERPRISES 4.45% DUE 05/19/26 CALL @ 100 04/19/2026	29,927	29,927
PFIZER INVESTMENT ENTERPRISES 4.75% DUE 05/19/33 CALL @ 100 02/19/2033	3,007	3,007
SIMON PROPERTY GROUP LP 3.375% DUE 06/15/2027 CALL @ 100 03/15/2027	15,339	15,339
THE GOLDMAN SACHS GROUP, INC. VAR% DUE 04/22/32 CALL @ 100 04/21/31	15,976	15,976
THE KROGER CO 3.50% DUE 02/01/26 CALL @ 100 07/15/23	51,635	51,635
THE PNC FINANCIAL SERVICES GROUP, INC. 5.582% DUE 06/12/29	7,147	7,147
THE PNC FINANCIAL SERVICES GROUP, INC. VAR% DUE 01/26/27 CALL @ 100 01/26/26	45,657	45,657
TRUIST FINANCIAL CORPORATION 1.20% DUE 08/05/25 CALL @ 100 07/03/25	48,808	48,808
TRUIST FINANCIAL CORPORATION 6.047R% DUE 06/08/27 CALL @ 100 06/07/26	7,125	7,125
TWDC ENTERPRISES 18 CORP. 1.85% DUE 07/30/26 CALL @ 100 01/12/23	48,647	48,647
U.S. BANCORP VAR% DUE 01/27/33 CALL @ 100 01/27/32	8,353	8,353
VERIZON COMMUNICATIONS INC. 0.85% DUE 11/20/25 CALL @ 100 11/20/22	47,375	47,375
VERIZON COMMUNICATIONS INC. 2.65% DUE 11/20/40 CALL @ 100 05/20/40	21,688	21,688
WELLS FARGO & COMPANY VAR% DUE 05/19/25 CALL @ 100 05/19/24	10,784	10,784

1 JULY 2023 SEE 4 JULY 2023 FOR ANNUAL

Name	**Net ASSETS (£)**	**Net ASSETS (£)**
ISIN	**31 DECEMBER 2022**	**30 JUNE 2023**
Name of the Bank	**Net of Fee Book Value**	**Net of Fee Book Value**
ABRDN ASIA LIMITED	1,177,000	1,177,000
ABRDN ASIA LIMITED	1,177,000	1,177,000
ABRDN PLC	78,000	78,000
ABRDN PLC - ADR	1,177,000	1,177,000
ACCESS ALL WEATHER RETAIL INVEST SHARES GB	1,177,000	1,177,000
ACCENTURE PLC	58,000	58,000
ACCESS ALL WEATHER - MANAGING ADR	58,000	58,000
ADOBE INC	58,000	58,000
ADVANCE PETRO INVEST INC	61,000	61,000
AEGON ASSET MANAGEMENT UK PLC	61,000	61,000
AEGON - SPONSORED ADR	61,000	61,000
AEGON - SPONSORED ADR	21,000	21,000
AIA GROUP LTD - SPONSORED ADR	21,000	21,000
AIA GROUP LTD - SPONSORED ADR	21,000	21,000
AIA GROUP LTD - SPONSORED ADR	21,000	21,000
AIRBUS SE

TY 2023 IRS 990 e-File Render

Name:	THE DAVID ROCKEFELLER FUND INC
EIN:	13-3533359
US Government Securities - End of Year Book Value:	2,023,281
US Government Securities - End of Year Fair Market Value:	2,023,281
State & Local Government Securities - End of Year Book Value:	708,482
State & Local Government Securities - End of Year Fair Market Value:	708,482

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
JANUS HENDERSON MORTGAGE BACKED ETF	FMV	107,729	107,729
ALEXANDRIA REAL ESTATE EQUITIES, INC.	FMV	6,846	6,846
AMERICAN TOWER CORPORATION	FMV	25,258	25,258
AVALONBAY COMMUNITIES, INC.	FMV	11,420	11,420
BRITISH LAND COMPANY PLC - SPON ADR	FMV	50,682	50,682
CBRE GROUP, INC.	FMV	19,828	19,828
CROWN CASTLE INTERNATIONAL CORP.	FMV	8,409	8,409
EQUINIX, INC.	FMV	10,470	10,470
EQUITY LIFESTYLE PROPERTIES, INC	FMV	8,324	8,324
EQUITY RESIDENTIAL PROPERTIES TRUST	FMV	6,177	6,177
ESSEX PROPERTY TRUST, INC.	FMV	5,207	5,207
EXTRA SPACE STORAGE INC.	FMV	9,459	9,459
HEALTHPEAK PROPERTIES INC	FMV	6,098	6,098
INVITATION HOMES INC	FMV	8,186	8,186
IRON MOUNTAIN INC.	FMV	18,825	18,825
KE HOLDINGS INC ADR	FMV	9,288	9,288
MID-AMERICA APARTMENT COMMUNITIES, INC.	FMV	7,530	7,530
PROLOGIS INC.	FMV	36,924	36,924
PUBLIC STORAGE INC.	FMV	33,550	33,550
REALTY INCOME CORPORATION	FMV	13,838	13,838
SBA COMMUNICATIONS CORPORATION	FMV	9,640	9,640
SIMON PROPERTY GROUP, INC.	FMV	7,845	7,845
SUN COMMUNITIES, INC.	FMV	6,415	6,415
UDR, INC.	FMV	6,165	6,165
VANGUARD MORTGAGE BACKED SECURITIES ETF	FMV	161,472	161,472
VENTAS, INC.	FMV	6,978	6,978
VICI PROPERTIES INC	FMV	8,321	8,321
WELLTOWER OP INC	FMV	12,984	12,984
CRA QUALIFIED INVESTMENT FUND	FMV	5,082,000	5,082,000
PAX WORLD GLOBAL ENVIRONMENTAL MARKETS FUND	FMV	6,889,500	6,889,500
NEW ENERGY CAPITAL FUND VI (OFFSHORE) LP	FMV	1,188,845	1,188,845
NEW ENERGY CAPITAL INFRASTRUCTURE CREDIT FUND II LP	FMV	1,089,478	1,089,478
ROCKEFELLER ALTERNATIVE STRATEGIES FUND,	FMV	10,604	10,604
ROCKEFELLER ACCESS FUND 06-I, LLC	FMV	135,993	135,993
LIBRA FOSSIL FUEL FREE FUND, L.P.	FMV	14,107,312	14,107,312

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
OFFICE EQUIPMENT	11,462	10,683	779	779
COMPUTER EQUIPMENT & SOFTWARE	19,205	13,899	5,306	5,306

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - GENERAL	22,056	0		7,549

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INVESTMENT RECEIVABLE	45,636	54,850	54,850
RECOVERABLE TAXES PAID	24,250	8,162	8,162
AFFILIATE/STAFF RECEIVABLES	4,393	3,000	3,000

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Description	Amount
DEFERRED FEDERAL EXCISE TAX PROVISION	73,910

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TELEPHONE & INTERNET	1,124	63		1,235
INSURANCE	18,373	1,025		17,348
GEN. OFFICE EXP: DUES & MEMBERSHIPS	15,377	10,347		8,967
GEN. OFFICE EXP: MAINTENANCE & REPAIRS	23,756	1,326		26,254
GENERAL OFFICE EXP: OTHER	46,708	135		45,531
PORTFOLIO DEDUCTIONS THROUGH PARTNERSHIPS	154,330	164,524		0
FOREIGN TAXES AND ADR FEES	45,331	45,331		0
GEN. OFFICE EXP: PAYROLL FEES	8,078	1,204		6,874
FILING FEES	1,750	0		1,750
MISCELLANEOUS GENERAL	1,052	0		955

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ORDINARY INCOME THROUGH K-1S	95,651	7	95,651
OTHER INVESTMENT INCOME	3,593	3,593	3,593

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	5,441,292
CONTRA-REVENUE DISCOUNT	135,793

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Description	Beginning of Year - Book Value	End of Year - Book Value
TAXES PAYABLE	90,557	164,467

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROGRAM CONSULTANTS	76,700	0		77,750
INVESTMENT ADVISORY COMMITTEE STIPENDS	4,000	4,000		0
INVESTMENT MANAGEMENT FEES	201,153	201,153		0
CONSULTANTS' FEES - BENEFIT RESOURCES	8,756	0		8,582
INFORMATION & TECHNOLOGY SERVICES	13,327	0		12,992
CUSTODY FEES	17,300	17,300		0

TY 2023 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	41,388	0		0
FOREIGN TAXES THROUGH K-1S	0	26,145		0