Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

2023

Open to Public Inspection

For calendar year 2023, or tax year beginning 01-01-2023 , and ending 12-31-2023

Name of foundation
ROCKEFELLER BROTHERS FUND INC

A Employer identification number
13-1760106

Number and street (or P.O. box number if mail is not delivered to street address)
475 RIVERSIDE DRIVE 900

Room/suite

B Telephone number (see instructions)
(212) 812-4200

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10115

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 1,402,772,081

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	6,576,000			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	1,992	1,992		
	4	Dividends and interest from securities	7,757,749	22,093,801		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	54,290,277			
	b	Gross sales price for all assets on line 6a 659,293,005				
	7	Capital gain net income (from Part IV, line 2)		47,277,039		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	2,221,807	19,044,470		
	12	**Total.** Add lines 1 through 11	70,847,825	88,417,302		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	2,770,172	145,275		2,624,897
	14	Other employee salaries and wages	5,482,949	118,835		5,364,114
	15	Pension plans, employee benefits	3,316,473	106,850		3,121,904
	16a	Legal fees (attach schedule)	578,621	277,299		301,670
	b	Accounting fees (attach schedule)	284,142	84,054		109,400
	c	Other professional fees (attach schedule)	11,324,956	3,795,581		7,771,577
	17	Interest		1,207,411		
	18	Taxes (attach schedule) (see instructions)	2,034,276	447,392		104,802
	19	Depreciation (attach schedule) and depletion	2,171,178	0		
	20	Occupancy	1,135,320	16,052		1,147,916
	21	Travel, conferences, and meetings	1,499,286	27,729		1,324,376
	22	Printing and publications	85,554	1,878		73,734
	23	Other expenses (attach schedule)	2,019,366	11,429,037		2,049,014
	24	**Total operating and administrative expenses.** Add lines 13 through 23	32,702,293	17,657,393		23,993,404
	25	Contributions, gifts, grants paid	56,073,582			56,090,579
	26	**Total expenses and disbursements.** Add lines 24 and 25	88,775,875	17,657,393		80,083,983
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-17,928,050			
	b	**Net investment income** (if negative, enter -0-)		70,759,909		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	3,548,406	4,053,289	4,053,289
	2	Savings and temporary cash investments	24,311,876	13,045,702	13,045,702
	3	Accounts receivable ▶ 1,548,774			
		Less: allowance for doubtful accounts ▶	2,068,285	1,548,774	1,548,774
	4	Pledges receivable ▶ 2,275,000			
		Less: allowance for doubtful accounts ▶	443,500	2,275,000	2,275,000
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ 228,698			
		Less: allowance for doubtful accounts ▶ 0	348,698	228,698	228,698
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	1,306,160	857,355	857,355
	10a	Investments—U.S. and state government obligations (attach schedule)	11,841,029	18,635,557	18,635,557
	b	Investments—corporate stock (attach schedule)	141,398,220	128,729,976	128,729,976
	c	Investments—corporate bonds (attach schedule)	17,994,264	11,104,532	11,104,532
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans	2,408,543	1,134,144	1,134,144
	13	Investments—other (attach schedule)	1,109,875,323	1,185,073,641	1,185,073,641
	14	Land, buildings, and equipment: basis ▶ 74,224,566			
		Less: accumulated depreciation (attach schedule) ▶ 39,555,021	33,481,286	34,669,545	34,669,545
	15	Other assets (describe ▶)	2,199,455	1,415,868	1,415,868
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,351,225,045	1,402,772,081	1,402,772,081
Liabilities	17	Accounts payable and accrued expenses	10,069,759	9,582,759	
	18	Grants payable	23,312,217	23,295,220	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	4,980,909	4,867,930	
	23	**Total liabilities**(add lines 17 through 22)	38,362,885	37,745,909	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions	969,170,424	1,004,117,123	
	25	Net assets with donor restrictions	343,691,736	360,909,049	
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	1,312,862,160	1,365,026,172	
	30	**Total liabilities and net assets/fund balances** (see instructions)	1,351,225,045	1,402,772,081	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,312,862,160
2	Enter amount from Part I, line 27a	2	-17,928,050
3	Other increases not included in line 2 (itemize) ▶	3	70,758,684
4	Add lines 1, 2, and 3	4	1,365,692,794
5	Decreases not included in line 2 (itemize) ▶	5	666,622
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	1,365,026,172

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	SALES OF PUBLICLY TRADED SECURITIES	P		
b	AEOLUS PROPERTY CATASTROPHE FUND	P		
c	BEACH POINT DYNAMIC INCOME	P		
d	DCIG CAPITAL	P		
e	EUROPA FUND IV, L.P.	P		
	FOSSE CAPITAL FUND LIMITED	P		
	GENERATION IM CLIMATE SOLUTIONS FUND II	P		
	HUDSON BAY INTERNATIONAL FUND	P		
	KKR DISLOCATION OPPORTUNITIES (TE) FEEDER LP	P		
	MAINSTREAM RENEWABLE POWER LIMITED	P		
	P2 BRASIL PRIVATE INFRASTRUCTURE FUND III FEEDER, LP	P		
	TWO CREEKS CAPITAL OFFSHORE FUND LTD	P		
	GAINS THROUGH K-1S, NET OF UBI	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				13,887,091
b				-65,361
c				2,204,294
d				155,977
e				5,455
				145,228
				3,540,693
				3,366,730
				137,920
				-2
				10,134,250
				-356,581
				14,121,345

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				13,887,091
b				-65,361
c				2,204,294
d				155,977
e				5,455
				145,228
				3,540,693
				3,366,730
				137,920
				-2
				10,134,250
				-356,581
				14,121,345

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	47,277,039
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary–see instructions)			1	983,563
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2			3	983,563
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	983,563
6	Credits/Payments:				
a	2023 estimated tax payments and 2022 overpayment credited to 2023	6a	2,567,625		
b	Exempt foreign organizations—tax withheld at source	6b	0		
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d	0		
7	Total credits and payments. Add lines 6a through 6d			7	2,567,625
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,584,062
11	Enter the amount of line 10 to be: **Credited to 2024 estimated tax** ▶ 1,584,062	**Refunded** ▶		11	0

Part VI-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3	Yes	
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XIV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY, CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2023 or the taxable year beginning in 2023? See the instructions for Part XIII. If "Yes," complete Part XIII	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW.RBF.ORG

14 The books are in care of ▶GERALDINE F WATSON Telephone no. ▶(212) 812-4200

Located at ▶475 RIVERSIDE DRIVE NEW YORK NY ZIP+4 ▶ 10115

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year **15**

			Yes	No
16	At any time during calendar year 2023, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶ BR, CA, CH, CO, DA, GR, HU, ID, IS, KS, MY, NO, PE, PO, SW, TU, AE, UK

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	Yes	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	Yes	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2023?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2023, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2023?	2a		No
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2023 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2023.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2023?	4b		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)	Yes	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)	Yes	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		No
c	Organizations relying on a current notice regarding disaster assistance check ▶ ☐			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53.4945–5(d).*	5d	Yes	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
DAVID ROCKEFELLER JR 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
JUSTIN ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
MIRANDA M KAISER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
AYAD AKHTAR 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
JOSEPH PIERSON 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE, CHAIR 4.00	0	0	0
WILLIAM LEE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
PETER O'NEILL 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
HUGH LAWSON 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE (UNTIL NOVEMBER 15, 2023) 1.00	0	0	0
MARNIE S PILLSBURY 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE (UNTIL NOVEMBER 15, 2023) 1.00	0	0	0
JENNIFER NOLAN 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
HEATHER MCGHEE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
WYATT ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
MICHAEL QUATTRONE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
FEDERICA MOGHERINI 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
THEODORE SPENCER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
PETER BEINART 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
MARIA TERESA KUMAR 475 RIVERSIDE DRIVE SUITE 900	TRUSTEE 1.00	0	0	0

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NEW YORK, NY 10115				
ROBIN STRAWBRIDGE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE (EFFECTIVE NOVEMBER 15, 2023) 1.00	0	0	0
HENRY TIMMS 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE (EFFECTIVE NOVEMBER 15, 2023) 1.00	0	0	0
STEPHEN B HEINTZ 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE, PRESIDENT & CEO 45.00	794,172	95,459	8,293
ELIZABETH C CAMPBELL 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	EXECUTIVE VP, PROGRAMS& COMMUNICATIONS 45.00	487,802	61,221	4,680
GERALDINE F WATSON 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	EVP, FINANCE, OPERATIONS & POCANTICO 45.00	487,802	86,303	5,114
NANCY L MUIRHEAD 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	COUNSEL & CORPORATE SECRETARY 45.00	317,969	82,413	4,392
JUDY A CLARK 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	EXECUTIVE DIRECTOR - POCANTICO CENTER 45.00	333,256	66,252	4,202
MARK ADIEDO - VP PEOPLE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	CULTURE/CHIEF DIVERSITY OFFICER 45.00	349,171	76,037	4,552

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL NORTHROP 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	290,853	77,898	4,219
BENIGNO RODRIGUEZ-CUBENAS 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	276,013	51,143	4,955
SHENYU BELSKY 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	253,294	49,946	5,259
THOMAS KRUSE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	233,777	56,269	4,179
KEESHA GASKINS-NATHAN 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	234,515	52,697	3,996
Total number of other employees paid over $50,000. . . . . ▶				49

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
GREENROCK CORPORATION 200 LAKE ROAD SLEEPY HOLLOW,NY 10591	FACILITIES MAINTENANCE	4,064,593
AGILITY 7979 EAST TUFTS AVENUE SUITE 700 DENVER,CO 80237	INVESTMENT MANAGEMENT	3,000,626
PATTERSON BELKNAP WEBB & TYLER LLP 1133 AVENUE OF THE AMERICAS NEW YORK,NY 10036	LEGAL SERVICES	743,988
YORKE CONSTRUCTION CORPORATION 140 WEST 31ST STREET NEW YORK,NY 10001	CONSTRUCTION SERVICES	726,612
VENTUCOM LLC 275 WEST 39TH STREET 4TH FL NEW YORK,NY 10018	IT SERVICES	646,803

Total number of others receiving over $50,000 for professional services. . . . . ▶

37

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE POCANTICO CENTER CAMPUS, HOUSED ON THE GROUNDS OF THE POCANTICO HISTORIC AREA, IS MANAGED BY THE ROCKEFELLER BROTHERS FUND AS PART OF ITS AGREEMENT WITH THE NATIONAL TRUST FOR HISTORIC PRESERVATION, ENTERED INTO IN 1991, UNDER WHICH THE FUND IS RESPONSIBLE FOR THE RENOVATION, MAINTENANCE, AND OPERATION OF THIS HISTORIC SITE. THE CAMPUS SERVES AS A COMMUNITY RESOURCE FOR ALL AGES, AND OFFERS PUBLIC ACCESS THROUGH A SLATE OF CONFERENCES, ARTIST RESIDENCIES, A VISITATION PROGRAM, A MUSEUM, PERFORMANCES, PERMANENT EXHIBITIONS, EDUCATIONAL PROGRAMS, AND AN ANNUAL FAMILY-FOCUSED COMMUNITY DAY. DURING 2023, 64 PUBLIC PROGRAMS WERE HELD. THE POCANTICO CENTER WELCOMED MORE GUEST THAN EVER IN 2023, INCLUDING VISITS FOR PUBLIC PROGRAMS, THE VISITATION PROGRAM, CONFERENCES AND MEETINGS, ARTIST RESIDENCIES, AND LARGELY DUE TO THE NEW CAPACITY PROVIDED BY THE DAVID ROCKEFELLER CREATIVE ARTS CENTER (SEE STATEMENT 22 AND 24, BELOW).	8,432,659
2 THE DAVID ROCKEFELLER CREATIVE ARTS CENTER OPENED IN FALL OF 2022 FOLLOWING A CONSTRUCTION PROJECT CENTERED AROUND THE REUSE OF THE ORANGERIE, BUILT BY JOHN D. ROCKEFELLER IN 1908 ON THE HISTORIC ROCKEFELLER FAMILY ESTATE AND BEQUEATHED TO THE NATIONAL TRUST FOR HISTORIC PRESERVATION IN 1979 BY NELSON ROCKEFELLER WHICH TRANSFORMED THE LONG-DORMANT BUILDING INTO THIS NEW SPACE. ADDITIONAL DAY-TWO CONSTRUCTION WORK CONTINUED IN 2023. THE DAVID ROCKEFELLER CREATIVE ARTS CENTER IS A SUSTAINABLE AND INCLUSIVE HOME FOR THE CREATIVE PROCESS AT THE POCANTICO CENTER, WHERE ARTISTS AND THE COMMUNITY COME TOGETHER TO DEVELOP, PRESENT, AND EXPERIENCE NEW WORKS OF PERFORMING, LITERARY, AND VISUAL ARTS. THE CENTER INCLUDES A GALLERY, PERFORMANCE SPACE, AND A FLEXIBLE ARTISTS' STUDIO. COMMENCING IN 2022 AND CONTINUING IN 2023, THE POCANTICO PRIZE FOR VISUAL ARTISTS PAIRS A CASH PRIZE WITH A TWO-MONTH RESIDENCY AT THE DAVID ROCKEFELLER CREATIVE ARTS CENTER.	1,930,516
3 DURING 2023, 12 MEMBERS OF THE STAFF OF ROCKEFELLER BROTHERS FUND PROVIDED TECHNICAL ASSISTANCE TO CHARITABLE ORGANIZATIONS, GOVERNMENTAL BODIES AND COMMITTEES, AND 23 MEMBERS OF THE STAFF SERVED ON 66 CHARITABLE ORGANIZATIONS' BOARDS, COMMITTEES, PUBLIC COMMISSIONS, OR TASK FORCES.	672,346
4 THE POCANTICO CENTER OF THE ROCKEFELLER BROTHERS FUND, LOCATED WITHIN THE POCANTICO HISTORIC AREA (SEE STATEMENT 21), BEGAN OPERATIONS IN APRIL OF 1994. THE POCANTICO CENTER IS A VENUE FOR CONFERENCES AND MEETINGS THAT MEET VARIOUS CRITERIA INCLUDING DIRECT AND STRONG RELATIONSHIP TO THE FUND'S PROGRAM OBJECTIVES, AND DISPLAY OF DIVERSITY OF PERSPECTIVES, RANGE OF OPINIONS AND BREADTH OF EXPERIENCE. THE POCANTICO CENTER ALSO HOSTS ARTIST RESIDENCIES AND PROVIDES PERFORMANCE SPACE FOR ARTISTS SELECTED BY THE FUND'S GRANTEE ORGANIZATIONS BASED IN NEW YORK CITY. THE POCANTICO CENTER HELD 42 CONFERENCES AND MEETINGS AND 21 ARTISTS' RESIDENCIES IN 2023.FOR MORE INFORMATION ON THE FUND'S ACTIVITIES, REFER TO THE FUND'S WEBSITE WWW.RBF.ORG, INCLUDING WWW.RBF.ORG/ABOUT/TRANSPARENCY/ANNUAL-REVIEWS_ FOR ITS ANNUAL REVIEW	660,230

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . . ▶	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	1,329,468,977
b	Average of monthly cash balances			1b	4,842,166
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	1,334,311,143
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	1,334,311,143
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)			4	20,014,667
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3			5	1,314,296,476
6	**Minimum investment return.** Enter 5% (0.05) of line 5			6	65,714,824

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6			1	65,714,824
2a	Tax on investment income for 2022 from Part V, line 5	2a	983,563		
b	Income tax for 2022. (This does not include the tax from Part V.)	2b	217,331		
c	Add lines 2a and 2b			2c	1,200,894
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	64,513,930
4	Recoveries of amounts treated as qualifying distributions			4	17,924
5	Add lines 3 and 4			5	64,531,854
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1			7	64,531,854

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	80,083,983
b	Program-related investments—total from Part VIII-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	3,359,437
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4	4	83,443,420

Part XII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2022	(c) 2022	(d) 2023
1 Distributable amount for 2023 from Part X, line 7				64,531,854
2 Undistributed income, if any, as of the end of 2022:				
a Enter amount for 2022 only.			0	
b Total for prior years: 20 ___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2022:				
a From 2018.				
b From 2019.				
c From 2020. 10,786,079				
d From 2021. 15,680,343				
e From 2022. 22,063,087				
f **Total** of lines 3a through e	48,529,509			
4 Qualifying distributions for 2023 from Part XI, line 4: ▶ $ 83,443,420				
a Applied to 2022, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2023 distributable amount				64,531,854
e Remaining amount distributed out of corpus	18,911,566			
5 Excess distributions carryover applied to 2023. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	67,441,075			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2022. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2023. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2024				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	1,509,050			
8 Excess distributions carryover from 2018 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2024.** Subtract lines 7 and 8 from line 6a	65,932,025			
10 Analysis of line 9:				
a Excess from 2019				
b Excess from 2020 9,277,029				
c Excess from 2021. . . . 15,680,343				
d Excess from 2022 22,063,087				
e Excess from 2023 18,911,566				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2023, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2023	**(b)** 2022	**(c)** 2021	**(d)** 2020	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

REFER TO WEBSITE HTTPSWWWRBFORGGRAN
475 RIVERSIDE DRIVE 900
NEW YORK,NY 10115
(212) 812-4200

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 27

c Any submission deadlines:

SEE STATEMENT 27

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENTS 27 AND 28

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a PUBLIC PROGRAMS					15,690
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,992	
4 Dividends and interest from securities	901101	163,137	14	7,594,612	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	404,099	
8 Gain or (loss) from sales of assets other than inventory	901101	2,581,198	18	51,709,079	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a TAX REFUNDS			01	1,784,094	
b UBI THROUGH K-1S	901101	-833,742	14	833,742	
c GRANT REFUNDS			01	17,924	
d FEDERAL AND STATE UBIT TAX CREDITS			01		
e					
12 Subtotal. Add columns (b), (d), and (e)		1,910,593		62,345,542	15,690
13 **Total.** Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)				13	64,271,825

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
1 A	THE POCANTICO CENTER SHARES THE 100-YEAR HISTORY OF KYKUIT, THE ROCKEFELLER FAMILY HOME, WITH THE PUBLIC, AND OFFERS PROGRAMS THAT REFLECT THE VALUES OF THE ROCKEFELLER BROTHERS FUND AND THE NATIONAL TRUST FOR HISTORIC PRESERVATION. PUBLIC PROGRAMS MAKE USE OF THE ESTATE'S BUILDINGS, GROUNDS, AND GARDENS TO NURTURE LEARNING AND ARTISTIC EXPERIENCES. THE POCANTICO CENTER OFFERS LEARNING EXPERIENCES UTILIZING THE COLLECTIONS AND GARDENS OF KYKUIT. A VARIETY OF OTHER LEARNING EXPERIENCES ARE PRESENTED THROUGH CLASSES AND WORKSHOPS CONDUCTED BY SCHOLARS AND EXPERTS. PARTICIPANTS OF ALL AGES ARE OFFERED A VARIETY OF EDUCATIONAL OPPORTUNITIES INCLUDING GARDENS, FINE ARTS, DECORATIVE ARTS, AND HISTORY. THE POCANTICO CENTER EXTENDS THE WORK OF THE FUND'S GRANTEES THROUGH THE POCANTICO ARTS COLLABORATIVE WHICH LINKS THE FUND'S CHARLES E. CULPEPER ARTS & CULTURE GRANTS WITH THE RESOURCES AND BEAUTY OF THE POCANTICO ESTATE. THE EVENTS SHOWCASE DANCE, MUSIC, THEATER AND MORE. SEE STATEMENT 29.

Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Signature of officer or trustee — Date 2024-11-12 — ▶ Title

May the IRS discuss this return with the preparer shown below? See instructions. ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶☐	PTIN
JOSEPH L ALI CPA		2024-11-12		P02093808

Firm's name ▶ PKF O'CONNOR DAVIES ADVISORY LLC — Firm's EIN ▶87-3231666

Firm's address ▶ 500 MAMARONECK AVENUE SUITE 301 HARRISON, NY 105281633 — Phone no. (914) 381-8900

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B

(Form 990)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2023

Name of the organization	**Employer identification number**
ROCKEFELLER BROTHERS FUND INC	13-1760106

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
ROCKEFELLER BROTHERS FUND INC

Employer identification number
13-1760106

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

Contributors

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CLIMATEWORKS FOUNDATION 235 MONTGOMERY ST 13TH FLOOR SAN FRANCISCO, C A 94104	$ 3,700,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
2	NATIONAL TRUST FOR HISTORIC PRESERVATION THE WATERGATE OFFICE BUILDING 2600 WASHINGTON, D C 20037	$ 700,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
3	O'NEILL FAMILY CHARITABLE TRUST 45 ROCKEFELLER PLAZA FLOOR 5 NEW YORK, N Y 10111	$ 155,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
4	ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET 10TH FLOOR NEW YORK, N Y 10036	$ 11,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
5	THE WILLIAM & FLORA HEWLETT FOUNDATION 2121 SAND HILL ROAD MENLO PARK, C A 94025	$ 2,000,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
6	ROSEMARY CORBETT C/O ROCK SIBS LLC ONE ROCKEFELLER P NEW YORK, N Y 10020	$ 10,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
ROCKEFELLER BROTHERS FUND INC

Employer identification number
13-1760106

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

Name of organization
ROCKEFELLER BROTHERS FUND INC

Employer identification number
13-1760106

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $ _____**

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Return to Form

Software ID:

Software Version:

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AUDIT AND TAX SERVICES	225,005	84,054		50,263
AUDIT SERVICES - 401K PLAN AUDIT	20,224	0		20,224
GLOBAL TAX SERVICES	17,531	0		17,531
OUTSOURCED ACCOUNTING FEES - CHINA OFFICE	21,382	0		21,382

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
CATALYST FOR PEACE	40 TWIN PONDS DRIVE FALMOUTH, ME 04105	2023-08-30	75,000	FOR ITS CONSTELLATING PEACE 2 PROCESS	67,887	NONE TO OUR KNOWLEDGE	2/23/2024		
CHONGQING LIANGJIANG VOLUNTARY SERVICE CENTER	RM 12-7 TIANJIANGYUAN 198 SHANGQING I STREET CHONGQING, YUZHONG DISTRICT 400041 CH	2023-05-26	120,000	FOR GENERAL SUPPORT	120,000	NONE TO OUR KNOWLEDGE	8/20/2024		
CREATURA PROMOTORA DEL PENSAMIENTO CRITICO SOCIEDAD CIVIL	POPOCATEPETL 18 HIPODROMO CUAUHTEMO MEXICO CITY 06100 MX	2021-12-13	240,000	FOR ITS COLLABORATIVE FUNDING INITIATIVE TO STRENGTHEN CENTRAL AMERICAN CIVIL SOCIETY	240,000	NONE TO OUR KNOWLEDGE	6/8/2022, 4/12/2023, 8/8/2024		
CREATURA PROMOTORA DEL PENSAMIENTO CRITICO SOCIEDAD CIVIL	POPOCATEPETL 18 HIPODROMO CUAUHTEMO MEXICO CITY 06100 MX	2023-12-13	150,000	FOR ITS COLLABORATIVE FUNDING INITIATIVE TO STRENGTHEN CENTRAL AMERICAN CIVIL SOCIETY	35,000	NONE TO OUR KNOWLEDGE	8/8/2024		
DAILY PRESS DOO	TRG NEZAVISNOSTI BB PODGORICA 81000 MJ	2022-08-24	40,000	FOR ITS CHARITABLE PROJECT, AGAINST MISOGYNY AND SEXISM	40,000	NONE TO OUR KNOWLEDGE	3/23/2023, 3/25/2024		
FOUNDATION FOR MIDDLE EAST PEACE	2025 M STREET NW WASHINGTON, DC 20036	2023-03-15	60,000	FOR GENERAL SUPPORT	60,000	NONE TO OUR KNOWLEDGE	2/29/2024		
FUNDACION CENTRO DE ALTA TECNOLOGIA (FUNCENAT)	EDIFICIO DR FRANKLIN CHANG DIAZ 13 KM NORTH OF US EMBASSY IN COSTA RIC SAN JOSE 1200 CS	2023-07-05	100,000	FOR ITS 2024 STATE OF THE REGION REPORT	76,372	NONE TO OUR KNOWLEDGE	3/26/2024		
JUSTICA AMBIENTAL	RUA WILLY WADDINGTON N-102 BAIRRO DA COOP MAPUTO MZ	2023-04-12	85,000	FOR ITS CHARITABLE PROJECT CLIMATE JUSTICE, LAND, AND HUMAN RIGHTS	85,000	NONE TO OUR KNOWLEDGE	6/24/2024		
MISSOURI GREEN BANC	930 KEHRS MILL ROAD BALLWIN, MO 63011	2023-04-26	75,000	FOR ITS ZERO EMISSIONS TECHNOLOGIES ACCELERATOR PROJECT	75,000	NONE TO OUR KNOWLEDGE	2/26/2024		
NEW YORK FOUNDATION	150 W 30TH STREET NEW YORK, NY 10001	2022-08-10	250,000	FOR ITS PROJECT, THE YOUTH ORGANIZING AND CULTURE CHANGE FUND	250,000	NONE TO OUR KNOWLEDGE	2/28/2023, 2/29/2024		
NEW YORK FOUNDATION	150 W 30TH STREET NEW YORK, NY 10001	2022-12-12	158,000	FOR ITS PROJECT, THE YOUTH ORGANIZING AND CULTURE CHANGE FUND	158,000	NONE TO OUR KNOWLEDGE	2/28/2023, 2/29/2024		
POLYLAT	CHEMIN DU PORT-NOIR 6 GENEVA 1207 SZ	2023-01-18	400,000	FOR ITS WORK ON DEBT AND CLIMATE	400,000	NONE TO OUR KNOWLEDGE	5/15/2024		
RAMON MAGSAYSAY AWARD FOUNDATION	1680 ROXAS BOULEVARD MALATE MANILA 1004 RP	2023-08-30	50,000	FOR ITS 65TH ANNIVERSARY AWARDS CELEBRATION	50,000	NONE TO OUR KNOWLEDGE	4/4/2024		
SHANGHAI ECOCYCLE CIRCULAR ECONOMY RESEARCH CENTER	RM C03 BLDG 5 NO 958 LINGSHAN ROAD SHANGHAI, PUDONG DISTRICT 200135 CH	2022-05-09	120,000	FOR SUPPORTING CIRCULAR ECONOMY RESEARCH	120,000	NONE TO OUR KNOWLEDGE	2/11/2023, 3/7/2024		
SHANGHAI ECOCYCLE CIRCULAR ECONOMY RESEARCH CENTER	RM C03 BLDG 5 NO 958 LINGSHAN ROAD SHANGHAI, PUDONG DISTRICT 200135 CH	2023-04-17	120,000	FOR GENERAL SUPPORT	65,523	NONE TO OUR KNOWLEDGE	3/18/2024		

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Identifier	Return Reference	Explanation
	FORM 990-PF, PART VII, LINE 1, COLUMN (C), COMPENSATION	ALL REPORTED COMPENSATION AMOUNTS INCLUDE SALARY, FEES, BONUSES, AND SEVERANCE PAYMENTS RECEIVED BY EACH INDIVIDUAL LISTED, AS WELL AS CURRENT YEAR PAYMENTS OF AMOUNTS REPORTED OR REPORTABLE AS DEFERRED COMPENSATION IN CURRENT OR PRIOR YEARS. AS A RESULT, THE AMOUNT REPORTED IN TOTAL ON PART VII, LINE 1 MAY DIFFER FROM THE AMOUNT REPORTED ON PART I, LINE 13, COLUMN (A) WHICH WOULD NOT INCLUDE DEFERRED COMPENSATION REFLECTED PER BOOKS IN PRIOR YEARS.
DISTRIBUTIONS OUT OF CORPUS	PART XII, LINE 7	THE FOUNDATION RECEIVED CONTRIBUTIONS FROM OTHER PRIVATE FOUNDATIONS WHICH IT EXPENSED OR REDISTRIBUTED. IN ACCORDANCE WITH IRS REG. 53-4942(A)-3(D) THE FOUNDATION IS ELECTING TO TREAT $1,384,050 NOT AS A QUALIFYING DISTRIBUTION BUT AS A DISTRIBUTION OUT OF CORPUS.PURSUANT TO REG. 53.4942(A)-3(C)(2)(IV), THE FOUNDATION HEREBY ELECTS TO TREAT, AS A CURRENT CORPUS DISTRIBUTION, THE FOLLOWING UNUSED PRIOR TAX YEARS' DISTRIBUTIONS THAT WERE TREATED AS CORPUS DISTRIBUTIONS UNDER REG. 53.4942(A)-3(D)(1)(III) IN SUCH PRIOR TAX YEARS:TAX YEAR AMOUNT 2020 $1,384,050
	FORM 990-PF, PART XIV, LINE 2	PART XIV, LINE 2AGRANT INQUIRIES ARE ACCEPTED THROUGHOUT THE YEAR AT HTTPS://WWW.RBF.ORG/GRANTS/FOR-GRANT-SEEKERS. THE ONLINE GRANT INQUIRY IS THE STARTING POINT FOR APPLYING FOR A GRANT FROM THE ROCKEFELLER BROTHERS FUND. PART XIV, LINE 2BFOUNDED IN 1940, THE ROCKEFELLER BROTHERS FUND ADVANCES SOCIAL CHANGE THAT CONTRIBUTES TO A MORE JUST, SUSTAINABLE, AND PEACEFUL WORLD. THE FUND'S GRANTMAKING IS ORGANIZED AROUND THREE THEMATIC PROGRAMS DEMOCRATIC PRACTICE, SUSTAINABLE DEVELOPMENT, AND PEACEBUILDING THAT SUPPORT WORK IN THE UNITED STATES AND AT THE GLOBAL LEVEL, AND THREE PIVOTAL PLACE PROGRAMS THAT ADDRESS THESE THEMES IN SPECIFIC CONTEXTS: CHINA, THE WESTERN BALKANS, AND CENTRAL AMERICA. THE CHARLES E. CULPEPER ARTS & CULTURE PROGRAM NURTURES A VIBRANT AND INCLUSIVE ARTS COMMUNITY IN THE FUND'S HOME CITY OF NEW YORK.ROCKEFELLER BROTHERS FUND STAFF ARE HIGHLY ENGAGED IN THE FIELDS IN WHICH THEY PROVIDE SUPPORT. STAFF MEMBERS ACTIVELY IDENTIFY ORGANIZATIONS THAT ARE WELL-POSITIONED TO MEET PROGRAMMATIC GOALS. WHILE THE FUND REMAINS OPEN TO UNSOLICITED REQUESTS, APPLICANTS SHOULD BE AWARE THAT THE LIKELIHOOD OF AN UNSOLICITED REQUEST BECOMING A GRANT IS LOW. APPLICATIONS FOR FUNDING ARE CONSIDERED THROUGHOUT THE YEAR. THE FIRST STEP FOR PROSPECTIVE APPLICANTS IS TO SUBMIT AN ONLINE GRANT INQUIRY. THE REVIEW PROCESS MAY TAKE UP TO THREE MONTHS FROM THE TIME THE INQUIRY IS RECEIVED.STAFF REVIEW EACH INQUIRY FOR FIT WITHIN CURRENT PROGRAM GUIDELINES, FUNDING PRIORITIES, AND BUDGET CONSTRAINTS. IF THERE IS INTEREST IN CONSIDERING A GRANT, STAFF WILL CONTACT THE APPLICANT FOR ADDITIONAL INFORMATION, INCLUDING A DETAILED PROPOSAL. APPLICANTS SHOULD NOT SEND A FULL PROPOSAL UNLESS REQUESTED TO DO SO BY FUND STAFF. THE REVIEW PROCESS MAY TAKE UP TO THREE MONTHS FROM THE TIME THE INQUIRY IS RECEIVED.ONCE A GRANT IS APPROVED, GRANTEES WILL RECEIVE AN AGREEMENT LETTER FROM THE FUND OUTLINING THE TERMS OF THE GRANT, INCLUDING THE EXPECTED REPORTING REQUIREMENTS AND PAYMENT SCHEDULE. THE FIRST PAYMENT ON THE GRANT IS GENERALLY ISSUED UPON RETURN OF THE COUNTERSIGNED AWARD LETTER TO THE FUND.RBF STAFF MEMBERS MONITOR GRANTS THROUGHOUT THEIR LIFE CYCLE. EACH GRANTEE IS REQUIRED TO SUBMIT NARRATIVE AND FINANCIAL REPORTS AT SPECIFIED INTERVALS DURING THE GRANT AS WELL AS AT ITS CONCLUSION. GRANT REPORTS BECOME PART OF THE FUND'S PERMANENT RECORDS.PART XIV, LINE 2CTHE CHARLES E. CULPEPER ARTS & CULTURE PROGRAM HONORS THE LEGACY OF CHARLES E. CULPEPER BY SUPPORTING THE ARTISTS AND CULTURAL ORGANIZATIONS THAT MAKE NEW YORK CITY ONE OF THE WORLD'S MOST DYNAMIC CREATIVE CAPITALS. THESE GRANTS SUPPORT ARTISTS IN THE CREATIVE PROCESS; PROVIDE ARTIST RESIDENCIES; AND ELEVATE ARTS ADVOCACY EFFORTS, FIELD RESEARCH, CULTURAL-CIVIC MOVEMENTS, AND CULTURAL LEADERSHIP OPPORTUNITIES; AND PROMOTE DIVERSITY IN THE CITY'S CREATIVE LIFE.ELIGIBILITYORGANIZATIONS BASED WITHIN THE FIVE BOROUGHS OF NEW YORK CITYORGANIZATIONS WITH 501(C)(3) TAX STATUS OR PROJECTS OF SPONSORING ORGANIZATIONS WITH 501(C)(3) TAX STATUSGENERALLY, NO CAPITAL CAMPAIGNS, FESTIVALS, MEETINGS, CASH RESERVE FUNDS, OR ENDOWMENTSSUPPORT FOR ARTS EDUCATION HAS BEEN PHASED OUTPART XIV, LINE 2DTHE ROCKEFELLER BROTHERS FUND AWARDS GRANTS THROUGHOUT THE YEAR TO SUPPORT A VARIETY OF CHARITABLE PROJECTS IN THE UNITED STATES AND ABROAD THAT SEEK TO EXPAND KNOWLEDGE, CLARIFY VALUES AND CRITICAL CHOICES, NURTURE CREATIVE EXPRESSION, AND SHAPE PUBLIC POLICY.TO BE ELIGIBLE FOR A GRANT, ORGANIZATIONS SHOULD BE CONSIDERED TAX-EXEMPT OR SEEKING SUPPORT FOR A PROJECT THAT WOULD QUALIFY AS EDUCATIONAL OR CHARITABLE. THE FUND'S GRANTMAKING IS ORGANIZED AROUND THREE THEMATIC PROGRAMS AND THREE PLACE-BASED PROGRAMS. THE RBF AWARDS A LIMITED NUMBER OF PHILANTHROPIC STEWARDSHIP AND SPECIAL OPPORTUNITIES GRANTS THAT SUPPORT NONPROFIT INFRASTRUCTURE ORGANIZATIONS AND HELP TO FURTHER THE FUND'S MISSION. PLEASE SEE WHAT WE DO NOT FUND AT HTTPS://WWW.RBF.ORG/GRANTS/FOR-GRANT-SEEKERS.RBF PROGRAM STATEMENTTHE ROCKEFELLER BROTHERS FUND STRIVES TO BE BOLD, GROUNDED, INCLUSIVE, AND RESPONSIVE IN OUR GRANTMAKING TO ADVANCE THE FUND'S MISSION. WE DEPLOY THE FINANCIAL ASSETS GIVEN TO THE RBF BY THE ROCKEFELLER FAMILY AND OTHERS TO HELP OUR PARTNERS IN CIVIL SOCIETY STRENGTHEN COALITIONS, CONVENE PEOPLE OF DIVERSE BACKGROUNDS, ELEVATE NEW AND BROADER PERSPECTIVES, GENERATE NEW IDEAS, AND ADVANCE SYSTEMS CHANGE. WE OFFER SPACE FOR CONVENINGS AND ARTIST RESIDENCIES AT THE POCANTICO CENTER TO EXTEND OUR GRANTMAKING IMPACT. WE ALSO LEVERAGE THE FUND AND FAMILY LEGACY TO ACCESS, INFLUENCE,

Identifier	Return Reference	Explanation
		AND COLLABORATE WITH PHILANTHROPIC PEERS, POLICYMAKERS, AND OTHERS IN SERVICE OF GRANTEE WORK. OUR GRANTMAKING IS ORGANIZED INTO SEVEN PROGRAMS. THREE THEMATIC PROGRAMSDEMOCRATIC PRACTICE, SUSTAINABLE DEVELOPMENT, AND PEACEBUILDINGADDRESS THE INTERRELATED CHALLENGES OF DEMOCRATIC DECLINE, CLIMATE CHANGE, AND VIOLENT CONFLICT. THE CULPEPER ARTS & CULTURE PROGRAM, CREATED THROUGH THE 2008 MERGER WITH THE CHARLES E. CULPEPER FOUNDATION, SUPPORTS ARTS ORGANIZATIONS AND ARTISTS IN THE NEW YORK CITY REGION THAT SHAPE UNDERSTANDING OF THESE CRITICAL CONCERNS AND THE HUMAN EXPERIENCE. PIVOTAL PLACE PROGRAMS IN CHINA, CENTRAL AMERICA, AND THE WESTERN BALKANS FOCUS ON ONE OR MORE RBF THEMES IN A SPECIFIC GEOGRAPHIC CONTEXT THAT WE BELIEVE HAS DISPROPORTIONATE SIGNIFICANCE FOR THE FUTURE OF THE SURROUNDING REGIONS, AN ECOSYSTEM, OR THE WORLD. AS INTERDEPENDENCE AMONG STATES, COMMUNITIES, INDIVIDUALS, ECOSYSTEMS, AND ISSUES ACCELERATES, DEVELOPMENTS IN ANY OF THESE PROGRAM AREAS HAVE IMPLICATIONS FOR THE OTHERS. RECOGNIZING THAT THE PROBLEMS THE RBF AIMS TO ADDRESS ARE PROFOUND AND OUR RESOURCES ARE MODEST, OUR GRANTMAKING PROGRAMS SHARE COMMON APPROACHES TO ACHIEVE ENDURING IMPACT:NURTURE BOLD IDEAS FROM THE GROUND UP. PROGRESS REQUIRES REMEDYING ROOT CAUSES WHILE PRESERVING PAST GAINS. WE FOCUS OUR GRANTMAKING ON MOVEMENT BUILDING AND POLICY DEVELOPMENT TO HELP GRANTEES TRANSFORM SYSTEMS AND CREATE LASTING SOLUTIONS TO PERSISTENT CHALLENGES.SUPPORT SOLUTIONS GROUNDED IN EVIDENCE AND LIVED EXPERIENCE. ENTRENCHED PROBLEMS CALL FOR GRASSROOTS ENGAGEMENT AND POLICYMAKER ACTION. WE WORK WITH EMERGING AND ESTABLISHED THOUGHT LEADERS AND COMMUNITY ADVOCATES, PROVIDING RESOURCES FOR THEIR ORGANIZATIONS TO EXPERIMENT WITH NEW APPROACHES, EXPAND CAPACITY, DEVELOP POLICY IDEAS, AND FOSTER COLLABORATION TO MEET SHARED GOALS. ADVANCE EQUITY AND INCLUSION. POWER IS ALWAYS CONTESTED IN THE STRUGGLE FOR SOCIAL PROGRESS. WE ARE MINDFUL OF OUR CONTINUING PRIVILEGE AS A U.S. PHILANTHROPIC FOUNDATION AND WORK TO ENSURE THAT OUR GRANTS INCLUDE SUPPORT FOR MARGINALIZED OR EXCLUDED GROUPS WORKING TO BUILD POWER AND PURSUE JUSTICE.RESPOND TO CHANGING CONTEXTS. TRANSFORMATIVE SOCIAL CHANGE CAN TAKE YEARS OR EVEN DECADES. WE STAND BY OUR COMMITMENTS TO AMBITIOUS, LONG-TERM GOALS BUT WE LISTEN TO OUR PARTNERS AND REMAIN NIMBLE TO TAKE UP NEAR-TERM OPPORTUNITIES THAT COULD HAVE CATALYTIC IMPACT. EACH PROGRAM HAS DISTINCT GUIDELINES THAT SUMMARIZE OUR UNDERSTANDING OF THE FIELD, ADAPT DIMENSIONS OF OUR APPROACH TO CONTEXT, AND PRESENT OUR PRIORITIES FOR FUNDING. GRANT SEEKERS SHOULD STUDY THE PROGRAM GUIDELINES CLOSELY BEFORE APPLYING.RBF PROGRAMSDEMOCRATIC PRACTICE PROGRAM GUIDELINESFOR DEMOCRACY TO FLOURISH AND DELIVER ON ITS PROMISESINCLUDING POLITICAL PARTICIPATION, HUMAN RIGHTS, ACCESS TO JUSTICE, A GOOD EDUCATION, AN IMPROVED QUALITY OF LIFE, A HEALTHY ENVIRONMENT, AND PERSONAL SECURITYITS CITIZENS MUST BE INFORMED, ENGAGED, EMPOWERED, AND ASSERTIVE. SIMILARLY, INSTITUTIONS OF GOVERNANCE MUST BE INCLUSIVE, TRANSPARENT, ACCOUNTABLE, AND RESPONSIVE.THE FREQUENT FAILURE OF BOTH NEW AND ESTABLISHED DEMOCRACIES TO DELIVER ON THEIR PROMISES UNDERMINES THE COMMITMENT TO DEMOCRATIC PRACTICES. WEALTHY AND POWERFUL ACTORS EXERCISE UNDUE INFLUENCE, AND VOICES THAT HISTORICALLY HAVE BEEN EXCLUDED REMAIN UNHEARD IN DECISION-MAKING PROCESSES.THE UNITED STATES CONTINUES TO FACE A NUMBER OF DEMOCRATIC DEFICITS: A DECLINE IN MANY TRADITIONAL FORMS OF CIVIC ENGAGEMENT; REDUCED PARTICIPATION IN THE FORMAL INSTITUTIONS OF DEMOCRACY, INCLUDING BUT NOT LIMITED TO VOTING; AND DECLINING TRUST IN ALL INSTITUTIONS, ESPECIALLY INSTITUTIONS OF GOVERNMENT. THESE DEFICITS ARE BEING EXACERBATED BY DEEPLY ROOTED ECONOMIC INEQUALITY, AND AMERICAN SOCIETY IS BECOMING INCREASINGLY POLARIZED, SOCIALLY, ECONOMICALLY, AND POLITICALLY.AT THE SAME TIME, THE PROCESS OF GLOBALIZATION HAS SIMILARLY PRODUCED DEMOCRATIC DEFICITS IN GLOBAL GOVERNANCE. GLOBAL POWER AND WEALTH INEQUITIES HAVE DEEPENED, WHILE THE SIGNIFICANCE OF DECISIONS MADE BY TRANSNATIONAL INSTITUTIONS SUCH AS MULTILATERAL ORGANIZATIONS, MULTINATIONAL CORPORATIONS, AND INTERNATIONAL FINANCIAL INSTITUTIONS HAS INCREASED. IN THIS PATCHWORK OF INSTITUTIONS AND PRACTICES, GLOBAL GOVERNANCE DECISIONS ARE MADE WITH INADEQUATE INCLUSIVENESS, ACCOUNTABILITY, AND TRANSPARENCY, OFTEN PRE-EMPTING OR DISTORTING LEGITIMATE NATIONAL AND LOCAL DECISION-MAKING PROCESSES.THE FUND'S DEMOCRATIC PRACTICE PROGRAM HAS TWO PARTS: ADVANCING A VIBRANT AND INCLUSIVE DEMOCRACY IN THE UNITED STATES AND STRENGTHENING DEMOCRATIC PRACTICE IN GLOBAL GOVERNANCE. BASED ON A CAREFUL ASSESSMENT OF LOCAL NEEDS AND PRIORITIES, THE FUND ALSO PURSUES ONE OR MORE OF THE DEMOCRATIC PRINCIPLES UNDERLYING THE PROGRAM IN ITS "PIVOTAL PLACES." RECOGNIZING THAT THERE IS NO SINGLE MODEL OF EFFECTIVE DEMOCRATIC PRACTICE, THE FUND EMPHASIZES FLEXIBILITY AND ADAPTABILITY TO DIFFERENT CONTEXTS IN THESE PIVOTAL PLACES.DEMOCRACY IN THE UNITED STATESDEMOCRACY IN THE UNITED STATES IS FACING MYRIAD CH
	FORM 990-PF, PART XIV, LINE 2D, CONTINUED	THE FUND FOCUSES ON GUATEMALA, EL SALVADOR, AND HONDURAS, A SUBREGION THAT SHARES AN INTERDEPENDENT HISTORY AND ECOSYSTEM AND THAT WILL BENEFIT BY TAKING A REGIONAL APPROACH TO ITS MOST PRESSING ISSUES AND IMPORTANT INTERNATIONAL ENGAGEMENTS. THE FUND ENDORSES A DEEPER, CROSS-SECTORAL, BILATERAL RELATIONSHIP BETWEEN CENTRAL AMERICA AND THE UNITED STATES. THE REGION'S RICH ETHNIC, GENDER, AGE, CULTURAL, AND RACIAL DIVERSITY IS THE BASIS OF THE DYNAMISM NEEDED TO ADDRESS CONTEMPORARY CHALLENGES AND FIND INNOVATIVE SOLUTIONS, INCLUDING SOCIAL JUSTICE INITIATIVES ROOTED IN THE REGION'S ARTISTIC COMMUNITY. THE FUND SUPPORTS THE EFFORTS OF INCLUSIVE CIVIL SOCIETY GROUPS, INDEPENDENT MEDIA, SOCIAL MOVEMENTS, MULTILATERAL INSTITUTIONS, AND OTHER PARTNERS TO STRENGTHEN DEMOCRATIC PRACTICE, ADVANCE RECONCILIATION AND PEACE, AND PROMOTE SUSTAINABLE AND JUST RESOURCE USE. GOAL: ADVANCE A DEMOCRATIC, INCLUSIVE, AND SUSTAINABLE CENTRAL AMERICA. STRATEGIES:-FOSTERING TRANSPARENCY AND ANTI-IMPUNITY EFFORTS TO BOLSTER THE RULE OF LAW AND DEMOCRATIC GOVERNANCE.-STRENGTHENING CONSTITUENCIES TO ADVANCE DEMOCRATIC CULTURE AND EQUITABLE CIVIC PARTICIPATION. -PROMOTING TRANSITIONAL JUSTICE, RECONCILIATION, AND INNOVATIVE APPROACHES TO CONFLICT TRANSFORMATION. -SUPPORTING

Identifier	Return Reference	Explanation
		SUSTAINABLE DEVELOPMENT AND CLIMATE CHANGE INITIATIVES, ESPECIALLY REGARDING SOIL RESILIENCE AND WATER SECURITY. THE FUND PRIORITIZES SUPPORT FOR ORGANIZATIONS IN CENTRAL AMERICA.
	PART XV-B, PUBLIC PROGRAMS, CONTINUED	OPENED IN FALL 2022, THE DAVID ROCKEFELLER CREATIVE ARTS CENTER (DR CENTER) IS A SUSTAINABLE AND INCLUSIVE HOME FOR THE CREATIVE PROCESS AT THE POCANTICO CENTER AND INCLUDES A GALLERY, PERFORMANCE SPACE, AND FLEXIBLE ARTISTS' STUDIO, HOUSED IN JOHN D. ROCKEFELLER'S HISTORIC ORANGERIE. THE OPENING OF THE DR CENTER HAS INCREASED THE ANNUAL PERFORMANCE SERIES, OFFERED YEAR-ROUND. THE DR CENTER ALSO HOSTS OPEN REHEARSALS, FORUMS, LECTURES, WORKSHOPS, AND OTHER PUBLIC PROGRAMS FOR THE COMMUNITY. THE GALLERY AT THE DR CENTER PRESENTS ROTATING EXHIBITIONS OF VISUAL ART DRAWN FROM THE PERMANENT COLLECTION AT POCANTICO, AS WELL AS NEW COMMISSIONS, LOANS, AND MUSEUM PARTNERSHIPS. FOR MORE INFORMATION ON THE FUND'S ACTIVITIES, REFER TO THE FUND'S WEBSITE WWW.RBF.ORG, INCLUDING WWW.RBF.ORG/ABOUT/TRANSPARENCY/ANNUAL-REVIEWS_ FOR ITS ANNUAL REVIEW
MISSION-ALIGNED-INVESTING	PART II, LINES 10A, B, C AND 13	GIVEN THE ENORMOUS AND COMPLEX CHALLENGES FACING TODAY'S INCREASINGLY INTERDEPENDENT WORLD, THE FUND HAS WORKED OVER THE LAST DECADE TO LEVERAGE 95 PERCENT OF ITS FINANCIAL PORTFOLIO TO ADVANCE ITS MISSION TO HELP BUILD A MORE JUST, SUSTAINABLE, AND PEACEFUL WORLD THROUGH MISSION-ALIGNED INVESTING. A RIGOROUS INVESTMENT POLICY ENABLES THE FUND TO ACHIEVE ITS LONG-TERM FINANCIAL OBJECTIVES WHILE ALIGNING ITS INVESTMENTS WITH ITS PROGRAMMATIC PRIORITIES.THE JOURNEY TO ALIGN OUR ENDOWMENT WITH OUR MISSION HAS BEEN AN ITERATIVE ONE, AS WE HAVE SOUGHT TO EMBED OUR PRIORITIES THROUGHOUT THE INVESTMENT PORTFOLIO. THESE EFFORTS, IN PARTNERSHIP WITH OUR INVESTMENT COMMITTEE AND AGILITY, INCLUDE:DIVESTING FROM INVESTMENTS IN FOSSIL FUELS;ADOPTING AN ESG LENS;IMPACT INVESTING;INCREASING DIVERSITY AMONG ITS INVESTMENT MANAGERS;EXERCISING ACTIVE OWNERSHIP; ANDLEVERAGING RBF'S INFLUENCE TO BUILD THE FIELD OF MISSION-ALIGNED INVESTING.A DISTINGUISHING CHARACTERISTIC OF THE RBF'S MISSION-ALIGNED INVESTMENT STRATEGY IS THE REQUIREMENT THAT ALL OUR INVESTMENTS MEET MARKET-RATE RETURN AND RISK ATTRIBUTES. THE PURSUIT OF MARKET-RATE MISSION-ALIGNED INVESTMENTS AT THE RBF IS MEANT TO SUPPORT THE IDEA THAT WHAT IS GOOD FOR PEOPLE AND PLANET CAN ALSO BE GOOD FOR THE BOTTOM LINE.WE HAVE BENEFITED FROM THE LEARNING OF FELLOW PHILANTHROPIC ASSET OWNERS, MISSION-ALIGNED INVESTING NETWORKS, AND SUPPORTIVE ASSET MANAGERS AND WE UNDERSTAND THE VALUE OF SHARED LESSONS TO SUPPORT AND ADVANCE THE BROADER FIELD OF MISSION-ALIGNED INVESTING. THE RBF HAS WORKED TO BE AS TRANSPARENT AS POSSIBLE ABOUT OUR MISSION-ALIGNED INVESTING STRATEGIES, DECISIONS, MOTIVATIONS, AND DEFINITIONS, AS WELL AS OUR RESULTS, TO PROVIDE PRACTICAL INFORMATION FOR OTHER INVESTORS.IN 2020, WE RELEASED INVESTING IN OUR MISSION, A CASE STUDY DETAILING HOW THE FUND'S INVESTMENT RETURNS BEAT MARKET BENCHMARKS SINCE DIVESTING FROM FOSSIL FUELS IN 2014. THAT PUBLICATION OUTLINED OUR JOURNEY TOWARD DIVESTMENT, THE CHALLENGES WE FACED, AND THE PROGRESS WE ACHIEVED.THE NEW REPORT, MISSION ALIGNED INVESTING: HOW WE ASSESS OUR PROGRESS, FOCUSES ON IMPACT AND ESG INVESTING TO SHARE HOW OUR INVESTMENT TEAM THINKS ABOUT THE NON-FINANCIAL RETURNS FROM OUR PORTFOLIO. IMPACT AND ESG INVESTMENT METRICS REMAIN A WORK IN PROGRESS ACROSS THE INDUSTRY. OVER TIME, AGILITY AND THE RBF HAVE ADOPTED AN INCREASINGLY MORE COMPREHENSIVE LENS TO ASSESS THE NON-FINANCIAL OUTCOMES OF OUR MISSION-ALIGNED INVESTMENTS. THE REPORT DETAILS THE RBF'S 2021 TRANSITION TO A MULTI-PRONGED APPROACH TO IMPACT AND ESG METRICS, INCLUDING:CONSIDERING BOTH QUALITATIVE AND QUANTITATIVE DATA, RECOGNIZING THAT IMPACTS CAN BE POSITIVE OR NEGATIVE.USING THE GLOBAL IMPACT INVESTING NETWORK (GIIN) IRIS+ CATALOG OF GENERALLY ACCEPTED IMPACT PERFORMANCE METRICS TO MEASURE SOCIAL, ENVIRONMENTAL, AND FINANCIAL SUCCESS.ADOPTING THE UNITED NATION'S 17 SUSTAINABLE DEVELOPMENT GOALS (SDGS), WHICH MANY HAVE SEEN AS AN INDUSTRY STANDARD, AS A METHOD FOR CATEGORIZING ESG AND IMPACT GOALS.ACTIVELY ENGAGING WITH INDUSTRY COALITIONS AND EFFORTS TO FURTHER STANDARDIZE ESG AND IMPACT METRICS.ALIGNING OUR ENDOWMENT WITH OUR MISSION EXTENDS BEYOND THE INVESTMENTS IN THE PORTFOLIO TO OTHER STEPS WE, AS INVESTORS, CAN TAKE TO INFLUENCE CORPORATIONS, FUND MANAGERS, AND OTHER ASSET OWNERS. THE REPORT ALSO DETAILS OUR FOCUS AREAS FOR INTENDED IMPACT, AREAS OF GROWTH, AND SHAREHOLDER ENGAGEMENT ACTIVITIES. THE RBF DEVELOPED AND IMPLEMENTED REVISED PROXY VOTING GUIDELINES IN 2017 AND PARTNERS WITH AGILITY AND INSTITUTIONAL SHAREHOLDER SERVICES, INC., IN THE IMPLEMENTATION AND SUBSEQUENT REPORTING PHASES OF SHAREHOLDER ENGAGEMENT AND PROXY VOTING. THE RBF EXERCISED ITS PROXY VOTES ON A RANGE OF ISSUES IN 2021, INCLUDING HUMAN RIGHTS, WORKPLACE AND BOARD DIVERSITY, SUSTAINABILITY AND CLIMATE CHANGE, AND OTHERS. THE REPORT SHOWS HOW RBF PROXY VOTING REFLECTS AND BUILDS ON LARGER TRENDS IN SHAREHOLDER ENGAGEMENT OVER THE LAST SEVERAL YEARS.GIVEN THE ENORMOUS AND COMPLEX CHALLENGES FACING TODAY'S INCREASINGLY INTERDEPENDENT WORLD, THE FUND HAS WORKED OVER THE LAST DECADE TO LEVERAGE 95 PERCENT OF ITS FINANCIAL PORTFOLIO TO ADVANCE ITS MISSION TO HELP BUILD A MORE JUST, SUSTAINABLE, AND PEACEFUL WORLD THROUGH MISSION-ALIGNED INVESTING. A RIGOROUS INVESTMENT POLICY ENABLES THE FUND TO ACHIEVE ITS LONG-TERM FINANCIAL OBJECTIVES WHILE ALIGNING ITS INVESTMENTS WITH ITS PROGRAMMATIC PRIORITIES.REFER TO OUR WEBSITE FOR FURTHER MORE DETAILED INFORMATION HTTPS://WWW.RBF.ORG/MISSION-ALIGNED-INVESTING/FINANCE

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY BANK NA	48,135	48,135
WELLS FARGO BANK NA	24,034	24,034
MORGAN STANLEY	48,510	48,510
UNITED AIRLINES 2014-1 CLASS A	89,448	89,448
ALLY FINANCIAL INC	96,566	96,566
AMERICAN EXPRESS CO	117,504	117,504
BANK OF AMERICA CORP	92,537	92,537
BANK OF AMERICA CORP	105,402	105,402
BANK OF AMERICA CORP	37,078	37,078
CNH INDUSTRIAL CAPITAL LLC	87,165	87,165
CITIGROUP INC	105,284	105,284
FORD MOTOR CREDIT CO LLC	92,243	92,243
GENERAL MOTORS FINANCIAL CO IN	147,650	147,650
GOLDMAN SACHS GROUP INC/THE	92,102	92,102
JPMORGAN CHASE & CO	82,748	82,748
JPMORGAN CHASE & CO	143,082	143,082
JPMORGAN CHASE & CO	170,175	170,175
KEYBANK NA/CLEVELAND OH	119,984	119,984
MORGAN STANLEY	83,134	83,134
MORGAN STANLEY	256,670	256,670
CITIZENS BANK NA/PROVIDENCE RI	205,551	205,551
WELLS FARGO & CO	71,594	71,594
WESTERN UNION CO/THE	105,567	105,567
AMGEN INC	180,088	180,088
CVS HEALTH CORP	88,887	88,887
HCA INC	165,981	165,981
UPMC	327,318	327,318
UNITEDHEALTH GROUP INC	83,314	83,314
AGREE LP	108,503	108,503
FEDERAL REALTY OP LP	87,705	87,705
SERVICE PROPERTIES TRUST	136,534	136,534
BOEING CO/THE	239,335	239,335
BOEING CO/THE	47,261	47,261
L3HARRIS TECHNOLOGIES INC	209,362	209,362
NORTHROP GRUMMAN CORP	105,634	105,634
CONSTELLATION BRANDS INC	94,677	94,677
WESTINGHOUSE AIR BRAKE TECHNOL	72,515	72,515
AMEREN CORP	73,318	73,318
AMEREN CORP	120,600	120,600
CONSUMERS ENERGY CO	173,155	173,155
EVERSOURCE ENERGY	83,832	83,832
FLORIDA POWER & LIGHT CO	61,381	61,381
VIRGINIA ELECTRIC AND POWER CO	83,037	83,037

Name of Bond	End of Year Book Value	End of Year Fair Market Value
WEC ENERGY GROUP INC	88,831	88,831
T-MOBILE USA INC	101,413	101,413
SKYWORKS SOLUTIONS INC	83,470	83,470
TSMC ARIZONA CORP	64,448	64,448
HOME DEPOT INC/THE	138,827	138,827
ALASKA AIRLINES 2020-1 CL 144A	37,176	37,176
ERAC USA FINANCE LLC 144A	74,605	74,605
EQUITABLE FINANCIAL LIFE 144A	94,621	94,621
GA GLOBAL FUNDING TRUST 144A	50,633	50,633
GA GLOBAL FUNDING TRUST 144A	148,940	148,940
HALEON US CAPITAL LLC 144A	120,346	120,346
HIGHMARK INC 144A	100,477	100,477
JACKSON NATIONAL LIFE GLO 144A	150,842	150,842
JACKSON NATIONAL LIFE GLO 144A	47,940	47,940
MET TOWER GLOBAL FUNDING 144A	136,506	136,506
NORTHWESTERN MUTUAL GLOBA 144A	97,112	97,112
NORTHWESTERN MUTUAL GLOBA 144A	74,656	74,656
PACIFIC LIFE GLOBAL FUNDI 144A	142,289	142,289
PRINCIPAL LIFE GLOBAL FUN 144A	80,461	80,461
PRINCIPAL LIFE GLOBAL FUN 144A	131,477	131,477
SEALED AIR CORP 144A	67,562	67,562
SPRINT SPECTRUM CO LLC / 144A	177,784	177,784
ARBOR MULTIFAMILY MF3 A2 144A	264,016	264,016
BX COMMERCIAL MORTGA XL A 144A	129,027	129,027
BRAVO RESIDENTIAL NQM8 A1 144A	175,712	175,712
BX COMMERCIAL MORTG XL3 A 144A	200,124	200,124
GS MORTGAGE-BACKE PJ4 A15 144A	79,365	79,365
JP MORGAN MORTGAGE T 9 A4 144A	56,508	56,508
JP MORGAN MORTGAGE T 6 A6 144A	245,534	245,534
J.P. MORGAN MORTGAGE 8 A4 144A	111,471	111,471
LSTAR COMMERCIAL MOR 4 A3 144A	97,886	97,886
SEQUOIA MORTGAGE TRU 3 A4 144A	126,516	126,516
SEQUOIA MORTGAGE TR 1 1A1 144A	132,227	132,227
TOWD POINT MORTGAG SJ1 A1 144A	88,630	88,630
TOWD POINT MORTGA SJ2 A1A 144A	55,470	55,470
VERUS SECURITIZAT INV3 A1 144A	136,924	136,924
VERUS SECURITIZATION 8 A1 144A	176,281	176,281
AMSR 2020-SFR2 TRU SFR2 A 144A	150,629	150,629
AMSR 2020-SFR1 TRU SFR1 A 144A	194,727	194,727
AMERICAN HOMES 4 R SFR1 A 144A	215,349	215,349
AMSR 2020-SFR5 TRU SFR5 A 144A	102,295	102,295
AVIS BUDGET RENTAL C 1A A 144A	122,822	122,822
AVIS BUDGET RENTAL C 3A A 144A	246,365	246,365
AVIS BUDGET RENTAL C 5A A 144A	258,986	258,986
HERTZ VEHICLE FINANC 1A A 144A	91,704	91,704
HERTZ VEHICLE FINANC 2A A 144A	190,260	190,260
INVITATION HOMES 2 SFR4 A 144A	157,381	157,381

Name of Bond	End of Year Book Value	End of Year Fair Market Value
PROGRESS RESIDENTI SFR1 A 144A	123,307	123,307

TY 2023 IRS 990 e-File Render

Name:	ROCKEFELLER BROTHERS FUND INC
EIN:	13-1760106
US Government Securities - End of Year Book Value:	18,635,557
US Government Securities - End of Year Fair Market Value:	18,635,557
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
GOVERNMENT OF CANADA	FMV	88,891	88,891
AUSTRALIA GOVERNMENT BOND REGS	FMV	147,793	147,793
NEW ZEALAND GOVERNMENT BOND	FMV	80,182	80,182
NEW ZEALAND GOVERNMENT BO REGS	FMV	148,323	148,323
BRAZIL NOTAS DO TESOURO NACION	FMV	68,299	68,299
MEXICAN BONOS	FMV	216,592	216,592
DEUTSCHE BUNDESREPUBLIK I REGS	FMV	374,820	374,820
SPAIN GOVERNMENT INFLATIO 144A	FMV	360,760	360,760
FRENCH REPUBLIC GOVERNMEN 144A	FMV	177,358	177,358
FRENCH REPUBLIC GOVERNMEN 144A	FMV	250,746	250,746
FRENCH REPUBLIC GOVERNMEN 144A	FMV	191,964	191,964
FRENCH REPUBLIC GOVERNMEN 144A	FMV	96,596	96,596
FRENCH REPUBLIC GOVERNMEN 144A	FMV	121,672	121,672
ITALY BUONI POLIENNALI DE 144A	FMV	206,697	206,697
ITALY BUONI POLIENNALI DE 144A	FMV	191,164	191,164
ITALY BUONI POLIENNALI DE REGS	FMV	187,615	187,615
ITALY BUONI POLIENNALI DE 144A	FMV	184,450	184,450
UNITED KINGDOM INFLATION- REGS	FMV	549,735	549,735
UNITED KINGDOM INFLATION- REGS	FMV	208,518	208,518
UNITED KINGDOM INFLATION- REGS	FMV	43,585	43,585
UNITED KINGDOM INFLATION- REGS	FMV	29,513	29,513
UNITED KINGDOM INFLATION- REGS	FMV	102,707	102,707
UNITED KINGDOM INFLATION- REGS	FMV	99,847	99,847
UNITED KINGDOM INFLATION- REGS	FMV	111,423	111,423
AERCAP IRELAND CAPITAL DAC / A	FMV	87,972	87,972
BANK OF NOVA SCOTIA/THE	FMV	55,291	55,291
MITSUBISHI UFJ FINANCIAL GROUP	FMV	69,446	69,446
MIZUHO FINANCIAL GROUP INC	FMV	109,700	109,700
AIR CANADA 2020-2 CLASS A 144A	FMV	67,172	67,172
TSMC GLOBAL LTD 144A	FMV	138,534	138,534
UBS GROUP AG 144A	FMV	114,710	114,710
SUMITOMO MITSUI TRUST BAN 144A	FMV	138,911	138,911
UBS GROUP AG 144A	FMV	72,315	72,315
APIDOS CLO XXV 25A A1R 144A	FMV	99,964	99,964
BALBOA BAY LOAN FUN 1A AR 144A	FMV	129,909	129,909
BARINGS CLO LTD 202 2A AR 144A	FMV	159,894	159,894
BENEFIT STREET PA 12A A1R 144A	FMV	148,855	148,855
CARLYLE GLOBAL MA 5A A1RR 144A	FMV	83,261	83,261
CARLYLE US CLO 2018 1A A1 144A	FMV	107,025	107,025
DRYDEN 64 CLO LTD 64A A 144A	FMV	106,372	106,372
HPS LOAN MANAGEMENT 19 X 144A	FMV	19,998	19,998
MADISON PARK FUND 18A ARR 144A	FMV	112,305	112,305
MADISON PARK FUNDI 26A AR 144A	FMV	96,404	96,404
MADISON PARK FUNDI 23A AR 144A	FMV	58,167	58,167
NEUBERGER BERMAN 15A A1R2 144A	FMV	61,459	61,459
NEWARK BSL CLO 2 L 1A A1R 144A	FMV	71,173	71,173
OCTAGON INVESTMENT 1A A1 144A	FMV	118,416	118,416
REGATTA XIV FUNDING 3A A 144A	FMV	145,145	145,145
DCIG CAPITAL LTD	FMV	27,372,264	27,372,264
AGILITY GLOBAL ESG INTEGRATION LLC	FMV	91,299,967	91,299,967
AIKYA EMERGING MARKETS LP	FMV	10,018,883	10,018,883
BAKER BROTHERS HCIF OFFSHORE LP	FMV	14,020,331	14,020,331
CANTILLON GLOBAL EQUITY L.P.	FMV	84,859,376	84,859,376
EAM LONG-ONLY EMERGING MARKETS FEEDER FUND LTD.	FMV	11,883,441	11,883,441
ECHO STREET GOODCO SELECT II LP	FMV	29,608,883	29,608,883
FOSSE CAPITAL FUND LIMITED	FMV	21,565,988	21,565,988
GENERATION IM GLOBAL EQUITY FUND LLC	FMV	81,963,280	81,963,280
HAZELVIEW GLOBAL REAL ESTATE CORE FUND, L.P.	FMV	13,539,589	13,539,589
PFM BIOTECH OPPORTUNITIES LP	FMV	2,526,395	2,526,395
PFM HEALTHCARE FUND, L.P.	FMV	18,104,958	18,104,958
REDWOOD GROVE CAPITAL FUND LP	FMV	16,578,554	16,578,554
SHERIDAN SQUARE FUND, L.P.	FMV	20,511,435	20,511,435
TENSILE CAPITAL PARTNERS LP	FMV	37,188,561	37,188,561
TWO CREEKS CAPITAL OFFSHORE FUND LTD	FMV	20,936,603	20,936,603
ISHARES 7-10 YEAR TREASURY BOND ETF	FMV	13,868,400	13,868,400
NUVEEN ESG LARGE CAP VALUE ETF	FMV	7,988,862	7,988,862
SPDR BLOOMBERG INTERNATIONAL TREASURY BOND ETF	FMV	19,172,062	19,172,062
SPDR GOLD SHARES ETF	FMV	12,381,316	12,381,316
VANECK AGRIBUSINESS ETF	FMV	16,892,077	16,892,077
VANGUARD GLOBAL EX-U.S. REAL ESTATE ETF	FMV	2,685,470	2,685,470
VANGUARD REAL ESTATE ETF	FMV	8,287,461	8,287,461
ARROWMARK INCOME OPPORTUNITY FUND QP, LP	FMV	16,721,199	16,721,199
BEACH POINT DYNAMIC INCOME OFFSHORE FUND LTD.	FMV	6,179,742	6,179,742
BRANDYWINE GLOBAL OPPORTUNISTIC SRI FUND	FMV	16,240,186	16,240,186
CERBERUS GLOBAL RESIDENTIAL LOAN STRATEGY FUND LP	FMV	10,319,613	10,319,613
COLCHESTER GLOBAL BOND FUND	FMV	15,133,159	15,133,159
CPA OPPORTUNITY FUND I, L.P.	FMV	4,199,534	4,199,534
SCULPTOR CREDIT OPPORTUNITIES OVERSEAS FUND, LP	FMV	129,373	129,373
GRAIN COMMUNICATIONS OPPORTUNITY FUND III L.P.	FMV	7,657,867	7,657,867
NEW ENERGY CAPITAL INFRASTRUCTURE CREDIT FUND II LP	FMV	14,526,412	14,526,412
NEW ENERGY CAPITAL INFRASTRUCTURE CREDIT FUND, L.P.	FMV	980,850	980,850
P2 BRASIL PRIVATE INFRASTRUCTURE FUND III FEEDER, LP	FMV	4,028,391	4,028,391
VISION RIDGE SUSTAINABLE ASSET FUND	FMV	5,099,300	5,099,300
VISION RIDGE SUSTAINABLE ASSET FUND II	FMV	12,605,092	12,605,092
VISION RIDGE SUSTAINABLE ASSET FUND III	FMV	8,849,113	8,849,113
AEOLUS PROPERTY CATASTROPHE KEYSTONE PF FUND	FMV	1,177,189	1,177,189
COATUE STRUCTURED FUND LP	FMV	3,485,255	3,485,255
COATUE TACTICAL SOLUTIONS CT FUND B LP	FMV	1,096,277	1,096,277
FARALLON CAPITAL F5 INVESTORS I, L.P.	FMV	19,865,387	19,865,387
HG VORA OPPORTUNISTIC CREDIT FUND II	FMV	10,729,380	10,729,380
HG VORA SPECIAL OPPORTUNITIES FUND LTD	FMV	19,232,129	19,232,129
HUDSON BAY INTL FUND LTD	FMV	35,261,653	35,261,653
137 OPPORTUNITY FUND	FMV	3,317,029	3,317,029
137 VENTURES FUND V	FMV	12,367,487	12,367,487
ACCEL LEADERS 4 LP	FMV	890,115	890,115
AMBIENTA III SCSP	FMV	15,262,683	15,262,683
AQUA CAPITAL FUND III LP	FMV	3,077,320	3,077,320
ARCH VENTURE FUND IX OVERAGE, L.P.	FMV	4,179,812	4,179,812
ARCH VENTURE FUND X OVERAGE, L.P.	FMV	14,228,436	14,228,436
ARCH VENTURE FUND XI L.P.	FMV	10,520,755	10,520,755
ARCH VENTURES FUND IX, LP	FMV	14,157,851	14,157,851
ARCH VENTURES FUND X, L.P.	FMV	13,644,861	13,644,861
ARROWMARK GLOBAL OPPORTUNITY FUND, LTD.	FMV	35,024	35,024
BLUE BEAR 2022 COINVEST FUND LP	FMV	227,168	227,168
BLUE BEAR CAPITAL PARTNERS II	FMV	7,551,671	7,551,671
BLUE BEAR CAPITAL PARTNERS III LP	FMV	1,254,009	1,254,009
BLUE BEAR IMPACT EXTENSION VEHICLE	FMV	2,037,249	2,037,249
CENTEROAK EQUITY FUND I, L.P.	FMV	6,905,319	6,905,319
CENTEROAK EQUITY FUND II	FMV	16,735,669	16,735,669
CENTRE LANE PARTNERS V, L.P.	FMV	14,821,292	14,821,292
CLEARVUE PARTNERS II LTD.	FMV	10,675,885	10,675,885
COP EXTERMINATORS INVESTMENT LLC (PALMETTO)	FMV	2,088,491	2,088,491
ELEVAR EQUITY III, L.P.	FMV	32,243,787	32,243,787
GENERATION IM CLIMATE SOLUTIONS FUND II	FMV	5,868,199	5,868,199
GHO CAPITAL FUND III	FMV	8,256,780	8,256,780
IEF - 2008 TERM TRANCHE	FMV	12,641,029	12,641,029
IEF - 2010 SPECIAL TERM TRANCHE	FMV	2,715,821	2,715,821
IEF - 2011 SPECIAL TERM TRANCHE	FMV	12,313,946	12,313,946
IEF - 2012 TERM TRANCHE	FMV	21,418,033	21,418,033
KKR DISLOCATION OPPORTUNITIES (TE) FEEDER LP	FMV	7,775,405	7,775,405
MAINSAIL PARTNERS VI LP	FMV	2,434,081	2,434,081
NB CO-INVESTMENT PARTNERS LP	FMV	143,503	143,503
NEA 10	FMV	227,548	227,548
OCEANSOUND FUND I	FMV	13,111,836	13,111,836
OCEANSOUND FUND II	FMV	3,792,342	3,792,342
OCEANSOUND PARTNERS CO-INVESTMENT FUND	FMV	9,421,010	9,421,010
OSP VALUE FUND II, L.P.	FMV	2,177,169	2,177,169
PEAKSPAN CAPITAL GROWTH PARTNERS III LP	FMV	3,966,351	3,966,351
PEAKSPAN CGP III CO-INVEST LP	FMV	1,105,009	1,105,009
PROSPECT II	FMV	9,879	9,879
RALLY TECHNOLOGY PARTNERS FUND III, L.P.	FMV	2,165,523	2,165,523
RALLY VENTURES FUND III, L.P.	FMV	9,695,228	9,695,228
RETHINK IMPACT II, LP	FMV	5,059,864	5,059,864
RIVERSTONE/CARLYLE GLOBAL ENERGY III	FMV	131,541	131,541
RRG SUSTAINABLE WATER IMPACT FUND LP	FMV	11,624,451	11,624,451
SIGULER GUFF SMALL BUYOUT OPPORTUNITIES FUND LP	FMV	83,496	83,496
STELLEX CAPITAL FUND II	FMV	7,359,759	7,359,759
STIRLING SQUARE CAPITAL PARTNERS 4TH FUND B LP	FMV	12,619,312	12,619,312
STIRLING SQUARE CAPITAL PARTNERS EDT CO-INVESTMENT LP	FMV	1,233,966	1,233,966
STIRLING SQUARE MEDISUP CO-INVESTMENT	FMV	1,091,451	1,091,451
VALAR VELOCITY FUND 2	FMV	6,806,980	6,806,980
WARBURG PINCUS CHINA-SOUTHEAST ASIA II LP	FMV	6,160,571	6,160,571
EUROPA FUND IV, L.P.	FMV	2,335,417	2,335,417
HSREP IV CO-INVESTMENT	FMV	370,705	370,705
MAINSTREAM RENEWABLE POWER LIMITED	FMV	62,746	62,746
MORGAN STANLEY RE FUND V	FMV	1	1
PALATINE CO-INVESTMENT FUND A LP	FMV	1,479,483	1,479,483
PALATINE REAL ESTATE FUND IV	FMV	2,029,077	2,029,077
PRIME FINANCE PARTNERS V	FMV	851,293	851,293
SCULPTOR REAL ESTATE FUND IV A, LP	FMV	11,744,171	11,744,171
SCULPTOR REAL ESTATE MWID CO-INVESTMENT FUND LP	FMV	5,139,271	5,139,271
TURNER MULTIFAMILY IMPACT FUND LP	FMV	46,576	46,576

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
OFFICE AND OTHER EQUIPMENT	2,945,202	2,790,653	154,549	154,549
COMPUTER EQUIPMENT AND SOFTWARE	2,869,201	2,769,118	100,083	100,083
LEASEHOLD AND OTHER IMPROVEMENTS	68,327,754	33,917,641	34,410,113	34,410,113
AUTOMOBILES	82,409	77,609	4,800	4,800

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - GENERAL COUNSEL	405,812	277,299		128,861
LEGAL FEES RE: EMPLOYEE BENEFITS	87,305	0		87,305
LEGAL FEES RELATED TO PROGRAMS	85,504	0		85,504

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENTS	510,000	510,000	510,000
TAX REFUNDS RECEIVABLE	966,442	905,868	905,868
RIGHT OF USE ASSET - OPERATING LEASE, NET	723,013		

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Amount
PROVISION FOR DEFERRED FEDERAL EXCISE TAX	610,035
AMOUNTS NOT YET RECOGNIZED - NET PERIODIC BENEFIT COST ADJUSTMENT	56,587

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOOKS & SUBSCRIPTIONS	19,205	335		18,870
CAPITAL EXPENDITURES	121,686	1,365		120,321
DUES	83,140	21,982		61,158
EQUIPMENT LEASES & RENTALS	52,284	906		50,990
INSURANCE	561,789	6,979		534,479
INVESTMENT MANAGEMENT EXPENSES - FOREIGN INVESTMENTS	0	129,734		0
INVESTMENT RELATED PORTFOLIO DEDUCTIONS THROUGH K-1S	0	11,246,289		0
IT SECURITY MEASURES	192,636	4,816		187,820
MAINTENANCE & REPAIRS	261,063	4,133		401,058
POCANTICO COLLECTIONS MAINTENANCE	178,685	0		168,800
POSTAGE & DELIVERY	4,558	76		4,554
PRINTING & STATIONARY	3,782	45		3,737
SUPPLIES	44,292	659		47,455
TELEPHONE & INTERNET	89,460	1,377		80,377
TEMPORARY STAFFING	80,455	0		104,167
OUTSOURCE SUPPORT SERVICES	66,545	332		66,213
FINANCIAL MANAGEMENT EXPENSES	259,786	10,009		199,015

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	404,099	19,719,426	404,099
OTHER INCOME (LOSS) THROUGH K-1S		-674,956	
PUBLIC PROGRAMS	15,690		15,690
TAX REFUNDS	1,784,094		1,784,094
GRANT REFUNDS	17,924		17,924

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	70,758,684

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED EXCISE TAX AND OTHER TAXES PAYABLE	4,257,896	4,867,930
LEASE PAYABLE	723,013	0

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FACILITIES MAINTENANCE	4,377,437	0		5,440,177
INVESTMENT MANAGEMENT FEES	4,045,434	3,315,472		0
CUSTODY FEES	391,152	391,152		0
INFORMATION TECHNOLOGY SERVICES	181,561	0		170,245
HONORARIUM	1,500	0		1,500
CONSULTING FEES - PROGRAM RELATED	1,217,748	0		1,200,026
CONSULTING FEES - GENERAL MANAGEMENT	469,915	88,957		374,993
CONSULTING FEES - HUMAN RESOURCES	640,209	0		584,636

TY 2023 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	1,592,375	0		0
PROPERTY TAXES	104,402	0		104,802
FEDERAL AND STATE UBI TAXES	337,499	0		0
FOREIGN TAXES THROUGH K-1S	0	447,392		0