Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

Part V

Other expenses (insert no.)

Part VI

13,235,010

7676 FORSYTH BLVD SUITE 2100

SAINT LOUIS, MO 63105

Part VII

12,160,691

Total fundraising expenses (Part IX, column (C), line 12)

Part VIII

174,398,631

No

Part IX

41,926,003

Grants and similar amounts paid (Part IX, column (A), lines 1-3)

Part X

101,926,705

Total number of independent voting members of the governing body (Part VI, line 2b)

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

For Paperwork Reduction Act Notice, see the separate instructions.

Date 2024-03-25

Sign Here

LAURA SCOTT CPO

Paid Preparer Use Only

Firm's name ► RUBIN BROWN LLP

Firm's address ► 7676 FORSYTH BLVD SUITE 2100

SAINT LOUIS, MO 63105

Phone no. (314) 290-3300

May the IRS discuss this return with the preparer shown above? See Instructions.

Yes ☐ No ☐

Print/Type preparer's name

Preparer's signature

Check if self-employed

PTIN

Firm's EIN ► 43-0765316

Cat. No. 11282Y Form 990 (2022)
Part III

THE AMERICAN LUNG ASSOCIATION’S MISSION IS TO SAVE LIVES THROUGH IMPROVING LUNG HEALTH AND PREVENTING LUNG DISEASE. WE DO THIS THROUGH EDUCATION, ADVOCACY, AND RESEARCH.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes No

If “Yes,” describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes No

If “Yes,” describe these changes on Schedule O.

4 Describe the organization’s program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses $ 56,291,897 including grants of $ 2,611,452 ) (Revenue $ 18,828,433 )

LUNG CANCER, ASThma, Lung Disease and Tobacco Control: Lung Disease, including COPD and Lung Cancer, is a LEADING CAUSE OF DEATH IN THE U.S. AND LUNG CANCER IS THE LEADING CAUSE OF CANCER DEATH. THE COVID-19 PANDEMIC, IN ITS FOURTH YEAR, CONTINUES TO BE A PRIORITY FOR THE COUNTRY AND FOR THE AMERICAN LUNG ASSOCIATION. THE LUNG ASSOCIATION WORKS EVERY DAY ACROSS THE U.S. TO RAISE AWARENESS FOR THE NEED TO PREVENT LUNG CANCER, FOREIGN ASH AND APPROACH NEW PATIENTS, AND HELP COUNTIES, HEALTH SYSTEMS, AND COMMUNITIES THROUGH DISEASE AND THEIR CAREGIVERS, AND RAISE FUNDS FOR RESEARCH TO BENEFIT EVERYONE’S LUNGS. AS THE COVID-19 PANDEMIC EVOLVED IN THIS COUNTRY, OUR RESPONSE ADAPTED AS WELL. WE LEVERAGE OUR MIYRGAD COMMUNICATIONS VEHICLES, PR EFFORTS AND SOCIAL MEDIA TO HELP THE PUBLIC UNDERSTAND THE IMPACT OF COVID-19 ON LUNG HEALTH AND THE IMPACT ON AFRICAN AMERIANS AND DISPROPORTIONATELY BURDENED COMMUNITIES. THE MINNEAPOLIS COMMUNITY INITIATIVE, LAUNCHED IN THE FIRST YEAR OF THE PANDEMIC, CONTINUED BECAUSE THE DISEASE WAS FAR FROM GONE, AND PREVENTING FUTURE PANDEMCES LIKE COVID-19 IS ONE OF ITS PRIMARY GOALS. THE INITIATIVE HAS BEEN AN ONGOING CATALYST FOR NEW COVID ADVOCACY, OUTREACH AND THE COVID-19 AND OUR RESPIRATORY VIRUS HANDBOOK AWARD WHICH PROVIDES UP TO $100,000 A YEAR FOR TWO YEARS. OUR LUNNEIST POOL RET SERIES CONTINUES TO PROVIDE HEALTHCARE PROFESSIONALS WITH THE LATEST SCIENCE IN COVID-19, AND OTHER LUNG TOPICS AND INCLUDING SESSIONS ON COPD, LUNG CANCER SCREENING AND HARMFUL TO LUNGS. WE ALSO FUND RESEARCH AND RELATED EXPENSES THROUGH OUR LUNG CANCER RESEARCH FUNDING, AND PROVIDE EDUCATION AND SUPPORT TO LUNG CANCER PATIENTS AND CAREGIVERS. IN JUNE 2022, WE MARKED THE MILESTONE OF ONE MILLION LUNG CANCER SCREENING ELIGIBILITY QUESTIONS FILLED OUT FOR THE SCAN CAMPAIGN. SINCE WE LAUNCHED SAVED BY THE SCAN IN 2017 IN PARTNERSHIP WITH THE AMERICAN SOCIETY FOR COLONIC RASING CANCER (ASCCC), RASING CANCER SPATIAL PROSTATE CANCER (SPPC) AND OTHER PARTNERS, WE HAVE HELPED MORE THAN 3,000 PEOPLE DISCOVER LUNG CANCERS EARLY. IN JUNE 2022, WE OBSERVED LUNG CANCER AWARENESS MONTH BY RELEASING OUR ANNUAL "STATE OF LUNG CANCER" REPORT WHICH SHOWS THE TOLL LUNG CANCER TAKES ON EACH STATE IN THE COUNTRY. THIS REPORT REVEALED THAT STATES MUST DO MORE TO PROTECT THEIR RESIDENTS FROM LUNG CANCER. FOR THE THIRD CONSECUTIVE YEAR, THE "STATE OF LUNG CANCER" REPORT ALSO EXAMINES LUNG CANCER BURDEN AMONG SOCIAL AND ETHNIC GROUPS AT THE NATIONAL AND STATE LEVELS. LUNG CANCER IS THE SECOND LEADING CAUSE OF DEATH IN THE UNITED STATES. IN JUNE 2022, WE ENCOURAGED EVERYONE TO TEST THEIR HOMES FOR RADON AND SEEK RADON MITIGATION IF NECESSARY. THE LUNG ASSOCIATION PLAYED A LEADING ROLE IN PASSING RADON ACTION MONTH, A BILL INTENDED TO PROVIDE RESOURCES AND SUPPORT TO MIDDLE-SIZED AND SMALLER BUSINESSES. IN JUNE 2022, WE ALSO LAUNCHED AN ONLINE COURSE, APPROPRIATE FOR ANYONE WHO WANTS TO LEARN MORE ABOUT RADON AND ABOUT HOW TO TEST FOR IT AND FIX PROBLEMS. IN MARCH, WE HELD OUR EIGHTH ANNUAL LUNG FORCE AWARD DAY. LUNG FORCE HEROES - THOSE PERSONALLY AFFECTED BY LUNG CANCER - CAME TOGETHER FROM ACROSS THE COUNTRY TO CELEBRATE THEIR STORIES, THEIR DEDICATION TO THEIR HOMES, THEIR ENDURANCE AND THEIR ABILITY TO INSPIRE OTHERS. ON JULY 27, 2022, THE U.S. CONGRESS ADOPTED BILLS TO HELP FIGHT LUNG CANCER. THE BIOTECHNOLOGY INNOVATION ACT, WHICH WAS INNOVATION IN THE Htek EXHALE TECHNICAL PACKAGE TO IMPROVE ASTHMA CONTROL IN POPULATIONS. THIS RESOURCE JOINED OTHER RESOURCES, SUCH AS THE RADON BAN ON AUGUST 22, 2022 WE LAUNCH OUR PROMOTING ASTHMA FRIENDLY ENVIRONMENTS THROUGH PARTNERSHIPS AND COLLABORATIONS PROJECT WHICH USES PROVEN-EFFECTIVE STRATEGIES OUTLINED IN THE CDC EXHALE TECHNICAL PACKAGE TO IMPROVE ASTHMA CONTROL IN POPULATIONS. THIS RESOURCE JOINED OTHER RESOURCES, SUCH AS THE RADON BAN...
Did the organization cease conducting, or make significant changes in how it conducts, any program services (Describe in Schedule O.)

Part III

\section*{DISEASE. WE DO THIS THROUGH EDUCATION, ADVOCACY, AND RESEARCH.}

Form 990 (2022)

4a

\section*{Part III}

\section*{DISEASE. WE DO THIS THROUGH EDUCATION, ADVOCACY, AND RESEARCH.}

\subsection*{Other program services (Describe in Schedule O.)}

\begin{tabular}{|l|l|l|}
\hline
\textbf{(Code: 4d)} & \textbf{(Expenses $18,828,433 including grants of $873,475)} & \textbf{(Revenue $873,475)} \\
\hline
\textbf{4e Total program service expenses $97,236,490} & & \\
\hline
\end{tabular}

\begin{itemize}
\item \textbf{ADVOCACY AND ENVIRONMENTAL:} EVERY YEAR, THE AMERICAN LUNG ASSOCIATION SUPPORTS POLICIES AND LEGISLATION THAT CAN LEAD TO BETTER LUNG HEALTH ACROSS THE NATION. THESE INCLUDE LAWS THAT PROTECT OUR CHILDREN FROM DEADLY AIR POLLUTION AND TOBACCO PRODUCTS, SUPPORT FOR CRITICAL LUNG DISEASE RESEARCH AND HEALTH PROGRAMS AT THE FEDERAL LEVEL, PROVIDE AFFORDABLE, QUALITY HEALTHCARE FOR MORE PEOPLE, AND MUCH MORE. THIS YEAR WE FUNDED MULTIPLE RESEARCH PROJECTS THAT WILL BUILD OUR UNDERSTANDING OF THE MANY WAYS AIR POLLUTION IMPACTS OUR LUNGS, WE ALSO ADVOCATED FOR STRONGER POLICIES TO CLEAN UP AIR POLLUTION AND CURB CLIMATE CHANGE. WE WORKED TO EDUCATE THE PUBLIC, MEDIA AND OUR NATION'S LEADERS ABOUT THE HARMFUL EFFECT DIRTY AIR HAS ON HEALTH AND WHAT WE NEED TO DO TO CLEAN IT UP. WITHOUT QUESTION, OUR CHANGING CLIMATE IS HARMING HEALTH NOW. DROUGHT, WILDFIRES, HEAT, FLOODING, INCREASED AIR POLLUTION ARE JUST A FEW OF THE CHALLENGES WE ENCOUNTER FROM CLIMATE CHANGE. A REAL-LIFE EXAMPLE WAS THE WILDFIRES IN CANADA, WHICH BLANKETED MUCH OF THE U.S. IN LIFE-THREATENING PARTICLE POLLUTION DURING THE SUMMER OF 2022. WE WORKED HARD TO HELP THE PUBLIC UNDERSTAND THE RISK AND STAY SAFE BY SUPPLYING THE MEDIA WITH EXPERT INFORMATION AND PROVIDING ESSENTIAL TIPS AND INFORMATION AT LUNG.ORG/WILDFIRES.
\end{itemize}

\begin{itemize}
\item THIS PAST YEAR, WE SAW THE POSITIVE EFFECTS OF OUR CLEAN AIR ADVOCACY, SUCH AS WHEN CALIFORNIA VOTED TO REQUIRE 100% ZERO-EMISSION VEHICLE SALES BY 2035. IN OCTOBER 2022, EPA ANNOUNCED NEARLY $1 BILLION IN AWARDS FOR SCHOOL DISTRICTS TO PURCHASE CLEAN SCHOOL BUSES. WE RAN A VIGOROUS ADVOCACY CAMPAIGN IN SUPPORT OF CONGRESS PASSING INVESTMENTS IN ELECTRIC SCHOOL BUSES. THIS IS A MAJOR STEP FORWARD FOR HEALTH, BOTH FOR KIDS WHO RIDE BUSES AND SCHOOL COMMUNITIES AND NEIGHBORHOODS WHO ARE EXPOSED TO DANGEROUS EMISSIONS FROM DIESEL BUSES. IT'S ALSO A VICTORY FOR HEALTH EQUITY, WITH 99% OF THE FUNDS GOING TO DISTRICTS SERVING LOW-INCOME, RURAL OR TRIBAL STUDENTS.
\end{itemize}

\begin{itemize}
\item \textbf{TOBACCO-FREE FUTURE.} THE YEAR 2022 WAS CRUCIAL TO OUR TOBACCO ADVOCACY, AS SUCH AS WHEN FDA CLOSED THE "PUFF BAR LOOPHOLE" WHICH GAVE A PASS TO PRODUCTS CONTAINING ARTIFICIAL NICOTINE. AND WHEN A COURT ORDER WAS ISSUED THAT REQUIRED TOBACCO COMPANIES TO POST SIGNS ABOUT HEALTH RISKS OF SMOKING AT 200,000 STORES ACROSS U.S.
\end{itemize}

\begin{itemize}
\item \textbf{CONTINUED OUR FOCUS ON REDUCING污染, WHICH DRIVES AIR POLLUTION AND CLIMATE CHANGE WITH A SERIES OF REPORTS. IN OCTOBER 22, WE RELEASED "DELIVERING CLEAN AIR: HEALTH BENEFITS OF ZERO-EMISSION TRUCKS AND ELECTRICITY" SHOWING A MOVE TO ZERO-EMISSION TRUCKS COULD SAVE MORE THAN 66,000 LIVES, RESULT IN $735 BILLION IN PUBLIC HEALTH BENEFITS OVER THE NEXT 30 YEARS AND HELP CREATE A MORE EQUITABLE FUTURE. IN JUNE OF 2023 OUR REPORT "DRIVING TO CLEAN AIR: HEALTH BENEFITS OF ZERO-EMISSION CARS AND ELECTRICITY." FURTHER SHOWED THAT A WIDESPREAD TRANSITION TO ZERO-EMISSION PASSENGER VEHICLES AND ELECTRICITY WOULD DRAMATICALLY IMPROVE HEALTH AND SAVE LIVES. IN SEPTEMBER 2022, WE RELEASED "NEW FRONTIER: HEALTH IMPACTS OF COMBUSTION IN HOMES," EXPOSED THE HEALTH AND ENVIRONMENTAL IMPACTS OF INDOOR COMBUSTION AND THE LITERATURE ON THE NUMBER AND TYPE OF APPLIANCES IN U.S. HOMES, THEIR EMISSIONS, AND IMPACTS ON HEALTH AND THE ENVIRONMENT. TWO THIRDS OF U.S. HOUSEHOLDS BURN FUEL IN THEIR HOMES, WHICH PRODUCES EMISSIONS THAT ARE HARMFUL TO HUMAN HEALTH AND THE ENVIRONMENT. IN APRIL, WE RELEASED OUR ANNUAL "STATE OF THE AIR" REPORT, WHICH REVEALED GROWING DISPARITIES IN EXPOSURE TO AIR POLLUTION THIS 24TH ANNUAL REPORT ALSO FINDS THAT 1 IN 3 PEOPLE LIVING IN THE U.S. ARE EXPOSED TO UNHEALTHY LEVELS OF AIR POLLUTION. THE REPORT FINDS THAT PEOPLE OF COLOR ARE SIGNIFICANTLY MORE LIKELY TO BREATHE POLLUTED AIR THAN WHITE PEOPLE. AS THE NATION WORKS TO ADDRESS CLIMATE CHANGE AND CONTINUE REDUCING AIR POLLUTION, WE MUST PRIORITIZE THE HEALTH OF DISPROPORTIONATELY BURDENED COMMUNITIES. QUALITY AND AFFORDABLE HEALTHCARE ACCESS IS CRUCIAL TO ALL AMERICANS, ESPECIALLY LUNG DISEASE PATIENTS, AND OUR ADVOCACY TEAM WORKED TO PROTECT ACCESS TO HEALTHCARE. WE CELEBRATED AN IMPORTANT VICTORY, WITH THE PASSAGE OF THE INFLATION REDUCTION ACT WHICH HAS PROVISIONS TO PROTECT HEALTHCARE FOR MILLIONS. WHEN HEALTHCARE ENROLLMENT OPENED AT HEALTHCARE.GOV, WE ROLLED OUT A SERIES OF UPDATED TOOLS TO HELP PEOPLE UNDERSTAND THEIR CHOICES AND THE ENROLLMENT PROCESS.
\end{itemize}
### Part IV Checklist of Required Schedules

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If &quot;Yes,&quot; complete Schedule A</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Is the organization required to complete Schedule B, Schedule of Contributors? See instructions</td>
<td>Yes</td>
</tr>
<tr>
<td>3</td>
<td>Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If &quot;Yes,&quot; complete Schedule C, Part I</td>
<td>No</td>
</tr>
<tr>
<td>4</td>
<td>Sécion 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If &quot;Yes,&quot; complete Schedule C, Part II</td>
<td>Yes</td>
</tr>
<tr>
<td>5</td>
<td>Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Rev. Proc. 98-19? If &quot;Yes,&quot; complete Schedule C, Part III</td>
<td>No</td>
</tr>
<tr>
<td>6</td>
<td>Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If &quot;Yes,&quot; complete Schedule D, Part I</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If &quot;Yes,&quot; complete Schedule D, Part II</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Did the organization maintain collections of works of art, historical treasures, or other similar assets? If &quot;Yes,&quot; complete Schedule D, Part III</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If &quot;Yes,&quot; complete Schedule D, Part IV</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If &quot;Yes,&quot; complete Schedule D, Part V</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>If the organization’s answer to any of the following questions is “Yes,” then complete Schedule D, Parts VI, VII, VIII, IX, or X, as applicable.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>aDid the organization report an amount for land, buildings, and equipment in Part X, line 10? If &quot;Yes,&quot; complete Schedule D, Part VI</td>
<td></td>
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<tr>
<td></td>
<td>bDid the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If &quot;Yes,&quot; complete Schedule D, Part VII</td>
<td></td>
</tr>
<tr>
<td></td>
<td>cDid the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If &quot;Yes,&quot; complete Schedule D, Part VIII</td>
<td></td>
</tr>
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<td>dDid the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If &quot;Yes,&quot; complete Schedule D, Part IX</td>
<td></td>
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<td>eDid the organization report an amount for other liabilities in Part X, line 25? If &quot;Yes,&quot; complete Schedule D, Part X</td>
<td></td>
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<td></td>
<td>fDid the organization’s separate or consolidated financial statements for the tax year include a footnote that addresses the organization’s liability for uncertain tax positions under FIN 48 (ASC 740)?</td>
<td>Yes</td>
</tr>
<tr>
<td>12</td>
<td>If the organization is a private foundation, was it required to file independent audited financial statements for the tax year? If &quot;Yes,&quot; complete Schedule D, Parts XI and XII</td>
<td>No</td>
</tr>
<tr>
<td></td>
<td>bWas the organization included in consolidated, independent audited financial statements for the tax year? If &quot;Yes,&quot; and if the organization answered &quot;No&quot; to line 12a, then completing Schedule D, Parts XI and XII is optional</td>
<td>Yes</td>
</tr>
<tr>
<td>13</td>
<td>Is the organization a school described in section 170(b)(1)(A)(ii)? If &quot;Yes,&quot; complete Schedule E</td>
<td>No</td>
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<tr>
<td>14</td>
<td>Did the organization maintain an office, employees, or agents outside of the United States?</td>
<td>No</td>
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<td></td>
<td>bDid the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? If &quot;Yes,&quot; complete Schedule F, Parts I and IV</td>
<td>No</td>
</tr>
<tr>
<td>15</td>
<td>Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? If &quot;Yes,&quot; complete Schedule F, Parts II and IV</td>
<td>No</td>
</tr>
<tr>
<td>16</td>
<td>Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? If &quot;Yes,&quot; complete Schedule F, Parts III and IV</td>
<td>No</td>
</tr>
<tr>
<td>17</td>
<td>Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11? If &quot;Yes,&quot; complete Schedule G, Part I. See instructions</td>
<td>Yes</td>
</tr>
<tr>
<td>18</td>
<td>Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If &quot;Yes,&quot; complete Schedule G, Part II</td>
<td>Yes</td>
</tr>
<tr>
<td>19</td>
<td>Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? If &quot;Yes,&quot; complete Schedule G, Part III</td>
<td>No</td>
</tr>
<tr>
<td>20a</td>
<td>Did the organization operate one or more hospital facilities? If &quot;Yes,&quot; complete Schedule H</td>
<td>No</td>
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<tr>
<td></td>
<td>bIf &quot;Yes&quot; to line 20a, did the organization attach a copy of its audited financial statements to this return?</td>
<td>Yes</td>
</tr>
<tr>
<td>21</td>
<td>Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If &quot;Yes,&quot; complete Schedule I, Parts I and II</td>
<td></td>
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</tbody>
</table>
### Part IV Checklist of Required Schedules (continued)

<table>
<thead>
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<th>Yes</th>
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<tr>
<td>38</td>
<td>Yes</td>
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</tbody>
</table>

### Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V.

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable</td>
<td>512</td>
</tr>
<tr>
<td>1b</td>
<td>Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable</td>
<td>0</td>
</tr>
<tr>
<td>1c</td>
<td>Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?</td>
<td></td>
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</tbody>
</table>
### Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

<table>
<thead>
<tr>
<th>Statement</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>2a Enter the number of employees reported on Form W-3, Transmittal of Wage</td>
<td></td>
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</tr>
<tr>
<td>3a Did the organization have unrelated business gross income of $1,000 or</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>4a At any time during the calendar year, did the organization have an</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>5a Did the sponsoring organization make a distribution to a donor, donor</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>6a Does the organization have annual gross receipts that are normally</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>7a Organizations that may receive deductible contributions under section</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>8 Sponsoring organizations maintaining donor advised funds. Did a donor</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>9 Sponsoring organizations maintaining donor advised funds. Did the</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>10 Section 501(c)(7) organizations. Enter:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 Section 501(c)(12) organizations. Enter:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13a Section 501(c)(29) qualified nonprofit health insurance issuers. Is</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14a Did the organization receive any payments for indoor tanning services</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>15 Is the organization subject to the section 4960 tax on payment(s) of</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>16 If the organization maintains a financial account, file a Form 1071</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>17 Section 501(c)(21) organizations. Did the trust, or any disqualified</td>
<td>No</td>
<td></td>
</tr>
</tbody>
</table>

**Notes:**

- **Section 501(c)(7) organizations.**
  - **Section 501(c)(12) organizations.**
  - **Section 4947(a)(1) non-exempt charitable trusts.**
  - **Section 501(c)(29) qualified nonprofit health insurance issuers.**

---

**Alignment:**

- **Alignment:**
  - **Alignment:**
  - **Alignment:**
  - **Alignment:**
  - **Alignment:**
  - **Alignment:**

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**References:**

- **References:**
  - **References:**
  - **References:**
  - **References:**

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**Form 990 (2022)**

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**Page 5**
Section A. Governing Body and Management

1a Enter the number of voting members of the governing body at the end of the tax year: 16

1b Enter the number of voting members included in line 1a, above, who are independent:

2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? No

3 Did the organization delegate control over management duties customarily performed by or under direct supervision of officers, directors or trustees, or key employees to a management company or other person? No

4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? No

5 Has the organization become aware during the year of a significant diversion of the organization’s assets? No

6 Did the organization have members or stockholders? No

7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? No

7b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? No

8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:

8a The governing body? Yes

8b Each committee with authority to act on behalf of the governing body? Yes

9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization’s mailing address? If “Yes,” provide the names and addresses in Schedule O No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

10a Did the organization have local chapters, branches, or affiliates? No

10b If “Yes,” did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization’s exempt purposes? No

11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? Yes

11b Describe on Schedule O the process, if any, used by the organization to review this Form 990. Upon request

12a Did the organization have a written conflict of interest policy? If “No,” go to line 13 Yes

12b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? Yes

12c Did the organization regularly and consistently monitor and enforce compliance with the policy? If “Yes,” describe on Schedule O how this was done Yes

13 Did the organization have a written whistleblower policy? Yes

14 Did the organization have a written document retention and destruction policy? Yes

15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?

15a The organization’s CEO, Executive Director, or top management official Yes

15b Other officers or key employees of the organization Yes

If “Yes” to line 15a or 15b, describe the process on Schedule O. See instructions.

16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? No

16b If “Yes,” did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization’s exempt status with respect to such arrangements? No

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed:


18 Section 6104 requires an organization to make its Form 1023 (1024 or 1024-A, if applicable), 990, and 990-T (section 501(c)(3)’s only) available for public inspection. Indicate how you made these available. Check all that apply.

✓ Own website □ Another’s website ✓ Upon request □ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization’s books and records:

LAURA SCOTT CFO 3000 KELLY LANE SPRINGFIELD, IL 62711 (217) 787-5864
### Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization’s tax year.

- List all of the organization’s current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization’s current key employees, if any. See the instructions for definition of "key employee."
- List the organization’s five current highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (box 5 of Form W-2, box 6 of Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than $100,000 from the organization and any related organizations.
- List all of the organization’s former officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization’s former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

<table>
<thead>
<tr>
<th>(A) Name and title</th>
<th>(B) Average hours per week (list any hours for related organizations below dotted line)</th>
<th>(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)</th>
<th>(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)</th>
<th>(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)</th>
<th>(F) Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) CHERYL A CALHOUN CPA MBA</td>
<td>2.00</td>
<td>CHAIR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2) MICHAEL V CARSTENS</td>
<td>2.00</td>
<td>SECRETARY / TREASURER</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3) SUMITA B KHATRI MD MS</td>
<td>2.00</td>
<td>CHAIR ELECT, DIRECTOR (THRU 3/23)</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(4) STEPHEN R O'KANE</td>
<td>2.00</td>
<td>PAST CHAIR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(5) JOHNNY A SMITH JR MA</td>
<td>2.00</td>
<td>VICE CHAIR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(6) FELIX AGUILAR MD MPH</td>
<td>2.00</td>
<td>DIRECTOR (THRU 5/23)</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(7) RABIH I BECHARA MD FCCP</td>
<td>2.00</td>
<td>DIRECTOR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(8) ANNE E DIXON MD</td>
<td>2.00</td>
<td>DIRECTOR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(9) AFIF EL-HASAN MD</td>
<td>2.00</td>
<td>DIRECTOR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(10) DAVID G HILL MD</td>
<td>2.00</td>
<td>DIRECTOR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(11) MARK C JOHNSON CFA MBA</td>
<td>2.00</td>
<td>DIRECTOR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(12) WENDY LAWSON MPH RRT</td>
<td>2.00</td>
<td>DIRECTOR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(13) COLLEEN M MCINTOSH JD LLM</td>
<td>2.00</td>
<td>DIRECTOR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(14) SEAN R MULDOON MD MPH FCCP</td>
<td>2.00</td>
<td>DIRECTOR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(15) JOE OCHIPINTI</td>
<td>2.00</td>
<td>DIRECTOR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(16) JONATHON K ROSEN BA</td>
<td>2.00</td>
<td>DIRECTOR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>......</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(17) LAURIE SHELBY</td>
<td>2.00</td>
<td>DIRECTOR</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>
Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization’s tax year.

<table>
<thead>
<tr>
<th>(A) Name and business address</th>
<th>(B) Description of services</th>
<th>(C) Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td>PRODUCTION SOLUTIONS</td>
<td>MARKETING</td>
<td>4,460,810</td>
</tr>
<tr>
<td>1953 GALLOWES RD SUITE 500</td>
<td></td>
<td></td>
</tr>
<tr>
<td>VIENNA, WA 22182</td>
<td></td>
<td></td>
</tr>
<tr>
<td>AD COUNCIL</td>
<td>PUBLIC SERVICE ADVERTISING</td>
<td>2,981,070</td>
</tr>
<tr>
<td>815 SECOND AVENUE 9TH FLOOR</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NEW YORK, NY 10017</td>
<td></td>
<td></td>
</tr>
<tr>
<td>RR DONELLEY</td>
<td>MARKETING</td>
<td>2,854,643</td>
</tr>
<tr>
<td>35 W WACKER DRIVE CHICAGO, IL 60601</td>
<td></td>
<td></td>
</tr>
<tr>
<td>INNOVARRIEBRICKMILL MARKETING SERVICES</td>
<td>MARKETING</td>
<td>2,261,054</td>
</tr>
<tr>
<td>2 EXECUTIVE CAMPUS SUITE 200</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CHERVY HILL, NY 06802</td>
<td></td>
<td></td>
</tr>
<tr>
<td>RESCUE AGENCY PUBLIC BENEFIT LLC</td>
<td>MARKETING</td>
<td>1,219,257</td>
</tr>
<tr>
<td>2437 MORENA BLVD SAN DIEGO, CA 92110</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization. 2 7
### Statement of Revenue

**Contributions, Gifts, Grants, and Other Amt Similar Amounts**

<table>
<thead>
<tr>
<th>(A) Total revenue</th>
<th>(B) Related or exempt function revenue</th>
<th>(C) Unrelated business revenue</th>
<th>(D) Revenue excluded from tax under sections 512 – 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a Federated campaigns</td>
<td>1b Membership dues</td>
<td>1c Fundraising events</td>
<td>1d Related organizations</td>
</tr>
<tr>
<td>13,603,221</td>
<td>1,727,266</td>
<td>463,652</td>
<td>463,652</td>
</tr>
</tbody>
</table>

**Program Service Revenue**

<table>
<thead>
<tr>
<th>Business Code</th>
<th>(A) Total revenue</th>
<th>(B) Related or exempt function revenue</th>
<th>(C) Unrelated business revenue</th>
<th>(D) Revenue excluded from tax under sections 512 – 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>2a PROGRAM PARTICIPANT FEE</td>
<td>888,559</td>
<td>888,559</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2b PROGRAM SERVICE CONTRACTS</td>
<td>178,576</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2c MEMBERSHIP DUES</td>
<td>81,463</td>
<td>81,463</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2d</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2e</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2f All other program service revenue.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2g</td>
<td>2,320,005</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total. Add lines 2a–2f.

| Total revenue. See instructions | 108,589,062 | 4,510,923 | 0 | 3,768,468 |

**Program Revenue**

<table>
<thead>
<tr>
<th>Business Code</th>
<th>(A) Total revenue</th>
<th>(B) Related or exempt function revenue</th>
<th>(C) Unrelated business revenue</th>
<th>(D) Revenue excluded from tax under sections 512 – 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>3 Investment income (including dividends, interest, and other income from investments).</td>
<td>4,570,324</td>
<td>4,570,324</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Investment income from sale or disposition of tax-exempt bond proceeds</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Royalties</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6a (i) Real</td>
<td>178,576</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6b (ii) Personal</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6c Rental income or (loss)</td>
<td>178,576</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7a Gross amount from sales of assets other than inventory</td>
<td>9,345,721</td>
<td>13,368</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7b Less: cost or other basis and sales expenses</td>
<td>10,661,484</td>
<td>22,606</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7c Gain or (loss)</td>
<td>-9,238</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7d Net gain or (loss)</td>
<td>-1,419,180</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8a Gross income from fundraising events (not including $13,603,221 of contributions reported on line 1c). See Part IV, line 18</td>
<td>1,603,124</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8b Less: direct expenses</td>
<td>3,022,304</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8c Net income or (loss) from fundraising events</td>
<td>-1,419,180</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9a Gross income from gaming activities. See Part IV, line 19</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9b Less: direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9c Net income or (loss) from gaming activities</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10a Gross sales of inventory, less returns and allowances</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10b Less: cost of goods sold</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10c Net income or (loss) from sales of inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Other Revenue**

<table>
<thead>
<tr>
<th>Business Code</th>
<th>(A) Total revenue</th>
<th>(B) Related or exempt function revenue</th>
<th>(C) Unrelated business revenue</th>
<th>(D) Revenue excluded from tax under sections 512 – 514</th>
</tr>
</thead>
<tbody>
<tr>
<td>11a RESEARCH GRANT SERVICE FEE</td>
<td>1,727,266</td>
<td>1,727,266</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11b</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11c</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11d All other revenue</td>
<td>463,652</td>
<td>463,652</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11e Total</td>
<td>2,190,918</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Other Revenue Misc Amt**

<table>
<thead>
<tr>
<th></th>
<th>(A) Total revenue</th>
<th>(B) Related or exempt function revenue</th>
<th>(C) Unrelated business revenue</th>
<th>(D) Revenue excluded from tax under sections 512 – 514</th>
</tr>
</thead>
</table>

<p>| Total revenue. See instructions | 108,589,062 | 4,510,923 | 0 | 3,768,468 |</p>
<table>
<thead>
<tr>
<th></th>
<th>(A) Total expenses</th>
<th>(B) Program service expenses</th>
<th>(C) Management and general expenses</th>
<th>(D) Fundraising expenses</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21</td>
<td>13,632,659</td>
<td>13,632,659</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Grants and other assistance to domestic individuals. See Part IV, line 22</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Benefits paid to or for members</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Compensation of current officers, directors, trustees, and key employees</td>
<td>3,362,632</td>
<td>2,959,112</td>
<td>100,885</td>
</tr>
<tr>
<td>6</td>
<td>Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Other salaries and wages</td>
<td>33,805,780</td>
<td>28,836,722</td>
<td>888,125</td>
</tr>
<tr>
<td>8</td>
<td>Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)</td>
<td>2,777,646</td>
<td>2,372,001</td>
<td>71,104</td>
</tr>
<tr>
<td>9</td>
<td>Other employee benefits</td>
<td>3,791,775</td>
<td>3,242,886</td>
<td>97,887</td>
</tr>
<tr>
<td>10</td>
<td>Payroll taxes</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>Fees for services (non-employees):</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Management</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Legal</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Accounting</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>Lobbying</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Professional fundraising services. See Part IV, line 17</td>
<td>378,000</td>
<td></td>
<td>378,000</td>
</tr>
<tr>
<td>f</td>
<td>Investment management fees</td>
<td>84,840</td>
<td></td>
<td>84,840</td>
</tr>
<tr>
<td>g</td>
<td>Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)</td>
<td>30,555,502</td>
<td>29,557,449</td>
<td>348,058</td>
</tr>
<tr>
<td>12</td>
<td>Advertising and promotion</td>
<td>1,451,874</td>
<td>1,119,965</td>
<td>3,630</td>
</tr>
<tr>
<td>13</td>
<td>Office expenses</td>
<td>1,173,693</td>
<td>950,615</td>
<td>57,307</td>
</tr>
<tr>
<td>14</td>
<td>Information technology</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15</td>
<td>Royalties</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16</td>
<td>Occupancy</td>
<td>2,949,868</td>
<td>2,309,895</td>
<td>232,504</td>
</tr>
<tr>
<td>17</td>
<td>Travel</td>
<td>1,165,585</td>
<td>104,801,99</td>
<td>43,095</td>
</tr>
<tr>
<td>18</td>
<td>Payments of travel or entertainment expenses for any federal, state, or local public officials</td>
<td>165,118</td>
<td>127,740</td>
<td>27,665</td>
</tr>
<tr>
<td>19</td>
<td>Conferences, conventions, and meetings</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>20</td>
<td>Interest</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21</td>
<td>Payments to affiliates</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>22</td>
<td>Depreciation, depletion, and amortization</td>
<td>387,983</td>
<td>387,983</td>
<td></td>
</tr>
<tr>
<td>23</td>
<td>Insurance</td>
<td>487,554</td>
<td>369,500</td>
<td>40,865</td>
</tr>
<tr>
<td>24</td>
<td>Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>DIRECT MAIL</td>
<td>12,789,430</td>
<td>7,801,553</td>
<td>639,472</td>
</tr>
<tr>
<td>b</td>
<td>MISCELLANEOUS</td>
<td>1,149,706</td>
<td>496,126</td>
<td>468,787</td>
</tr>
<tr>
<td>c</td>
<td>PRINTING</td>
<td>131,642</td>
<td>98,431</td>
<td>1,201</td>
</tr>
<tr>
<td>d</td>
<td>POSTAGE &amp; SHIPPING</td>
<td>120,235</td>
<td>88,267</td>
<td>2,978</td>
</tr>
<tr>
<td>e</td>
<td>All other expenses</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>25</td>
<td>Total functional expenses. Add lines 1 through 24e</td>
<td>112,961,068</td>
<td>97,236,490</td>
<td>3,563,887</td>
</tr>
<tr>
<td>26</td>
<td>Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☑ if following SOP 98-2 (ASC 958-720).</td>
<td>12,789,430</td>
<td>7,801,553</td>
<td>639,472</td>
</tr>
</tbody>
</table>
### Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX.

<table>
<thead>
<tr>
<th></th>
<th>(A) Beginning of year</th>
<th>(B) End of year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Cash—non-interest-bearing</td>
<td>144,821</td>
</tr>
<tr>
<td>2</td>
<td>Savings and temporary cash investments</td>
<td>27,213,680</td>
</tr>
<tr>
<td>3</td>
<td>Pledges and grants receivable, net</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Accounts receivable, net</td>
<td>17,309,277</td>
</tr>
<tr>
<td>5</td>
<td>Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons</td>
<td>5</td>
</tr>
<tr>
<td>6</td>
<td>Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>Notes and loans receivable, net</td>
<td>10,687</td>
</tr>
<tr>
<td>8</td>
<td>Inventories for sale or use</td>
<td>121,536</td>
</tr>
<tr>
<td>9</td>
<td>Prepaid expenses and deferred charges</td>
<td>2,298,896</td>
</tr>
<tr>
<td>10a</td>
<td>Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D</td>
<td>21,386,226</td>
</tr>
<tr>
<td>10b</td>
<td>Less: accumulated depreciation</td>
<td>10,692,463</td>
</tr>
<tr>
<td>11</td>
<td>Investments—publicly traded securities</td>
<td>120,122,477</td>
</tr>
<tr>
<td>12</td>
<td>Investments—other securities. See Part IV, line 11</td>
<td>12</td>
</tr>
<tr>
<td>13</td>
<td>Investments—program-related. See Part IV, line 11</td>
<td>13</td>
</tr>
<tr>
<td>14</td>
<td>Intangible assets</td>
<td>14</td>
</tr>
<tr>
<td>15</td>
<td>Other assets. See Part IV, line 11</td>
<td>36,789,972</td>
</tr>
<tr>
<td>16</td>
<td><strong>Total assets:</strong> Add lines 1 through 15 (must equal line 33)</td>
<td>215,049,116</td>
</tr>
<tr>
<td>17</td>
<td>Accounts payable and accrued expenses</td>
<td>12,591,730</td>
</tr>
<tr>
<td>18</td>
<td>Grants payable</td>
<td>12,257,372</td>
</tr>
<tr>
<td>19</td>
<td>Deferred revenue</td>
<td>11,447,314</td>
</tr>
<tr>
<td>20</td>
<td>Tax-exempt bond liabilities</td>
<td>20</td>
</tr>
<tr>
<td>21</td>
<td>Escrow or custodial account liability. Complete Part IV of Schedule D</td>
<td>21</td>
</tr>
<tr>
<td>22</td>
<td>Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons</td>
<td>22</td>
</tr>
<tr>
<td>23</td>
<td>Secured mortgages and notes payable to unrelated third parties</td>
<td>1,781,745</td>
</tr>
<tr>
<td>24</td>
<td>Unsecured notes and loans payable to unrelated third parties</td>
<td>11,307,430</td>
</tr>
<tr>
<td>25</td>
<td>Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D</td>
<td>24</td>
</tr>
<tr>
<td>26</td>
<td><strong>Total liabilities.</strong> Add lines 17 through 25</td>
<td>49,385,591</td>
</tr>
</tbody>
</table>

### Organizations that follow FASB ASC 958, check here ▶️ and complete lines 27, 28, 32, and 33.

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>27</td>
<td>Net assets without donor restrictions</td>
</tr>
<tr>
<td>28</td>
<td>Net assets with donor restrictions</td>
</tr>
</tbody>
</table>

### Organizations that do not follow FASB ASC 958, check here ▶️ and complete lines 29 through 33.

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>29</td>
<td>Capital stock or trust principal, or current funds</td>
</tr>
<tr>
<td>30</td>
<td>Paid-in or capital surplus, or land, building or equipment fund</td>
</tr>
</tbody>
</table>
### Part XI  Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI.

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total revenue (must equal Part VIII, column (A), line 12)</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Total expenses (must equal Part IX, column (A), line 25)</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>Revenue less expenses. Subtract line 2 from line 1</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>Net unrealized gains (losses) on investments</td>
<td>5</td>
</tr>
<tr>
<td>6</td>
<td>Donated services and use of facilities</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>Investment expenses</td>
<td>7</td>
</tr>
<tr>
<td>8</td>
<td>Prior period adjustments</td>
<td>8</td>
</tr>
<tr>
<td>9</td>
<td>Other changes in net assets or fund balances (explain in Schedule O)</td>
<td>9</td>
</tr>
<tr>
<td>10</td>
<td>Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))</td>
<td>10</td>
</tr>
</tbody>
</table>

### Part XII  Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII.

<table>
<thead>
<tr>
<th></th>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Accounting method used to prepare the Form 990:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Cash   ✔ Accrual   □ Other</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>If the organization changed its method of accounting from a prior year or checked &quot;Other,&quot; explain on Schedule O.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2a</td>
<td>Were the organization’s financial statements compiled or reviewed by an independent accountant?</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td></td>
<td>If ‘Yes,’ check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>□ Separate basis   □ Consolidated basis   □ Both consolidated and separate basis</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2b</td>
<td>Were the organization’s financial statements audited by an independent accountant?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td></td>
<td>If ‘Yes,’ check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>✔ Separate basis   □ Consolidated basis   □ Both consolidated and separate basis</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2c</td>
<td>If &quot;Yes,&quot; to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td></td>
<td>If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3a</td>
<td>As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Uniform Guidance, 2 C.F.R. Part 200, Subpart F?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>3b</td>
<td>If &quot;yes,&quot; did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.</td>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>
Public Charity Status and Public Support
Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
Attach to Form 990 or Form 990-EZ. Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization
AMERICAN LUNG ASSOCIATION
Employer identification number
13-1632524

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.
The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

1 □ A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
2 □ A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990).)
3 □ A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
4 □ A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state:

5 □ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
6 □ A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
7 □ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
8 □ A community trust described in section 170(b)(1)(A)(vii). (Complete Part II.)
9 □ An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:

10 □ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
11 □ An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
12 □ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.

a □ Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B.

b □ Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C.

c □ Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E.

d □ Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V.

e □ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f □ Enter the number of supported organizations

 g □ Provide the following information about the supported organization(s).

<table>
<thead>
<tr>
<th>(i) Name of supported organization</th>
<th>(ii) EIN</th>
<th>(iii) Type of organization (described on lines 1-10 above (see instructions))</th>
<th>(iv) Is the organization listed in your governing document?</th>
<th>(v) Amount of monetary support (see instructions)</th>
<th>(vi) Amount of other support (see instructions)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Yes</td>
<td>No</td>
<td></td>
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</tr>
</tbody>
</table>

Total

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.
### Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

#### Section A. Public Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2018</th>
<th>(b) 2019</th>
<th>(c) 2020</th>
<th>(d) 2021</th>
<th>(e) 2022</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gifts, grants, contributions, and membership fees received.</td>
<td>93,429,565</td>
<td>89,851,926</td>
<td>83,540,236</td>
<td>112,291,164</td>
<td>100,309,671</td>
<td>479,422,562</td>
</tr>
<tr>
<td>Tax revenues levied for the organization’s benefit and either paid to or expended on its behalf</td>
<td>4,659,481</td>
<td>4,822,544</td>
<td>4,656,339</td>
<td>8,400,917</td>
<td>6,512,649</td>
<td>29,051,930</td>
</tr>
<tr>
<td>The value of services or facilities furnished by a governmental unit to the organization without charge</td>
<td>5,053,253</td>
<td>452,244</td>
<td>311,677</td>
<td></td>
<td></td>
<td>5,817,174</td>
</tr>
<tr>
<td><strong>Total.</strong> Add lines 1 through 3</td>
<td>93,429,565</td>
<td>89,851,926</td>
<td>83,540,236</td>
<td>112,291,164</td>
<td>100,309,671</td>
<td>479,422,562</td>
</tr>
</tbody>
</table>

#### Section B. Total Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2018</th>
<th>(b) 2019</th>
<th>(c) 2020</th>
<th>(d) 2021</th>
<th>(e) 2022</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gross amounts from line 4</td>
<td>93,429,565</td>
<td>89,851,926</td>
<td>83,540,236</td>
<td>112,291,164</td>
<td>100,309,671</td>
<td>479,422,562</td>
</tr>
<tr>
<td>Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources</td>
<td>4,659,481</td>
<td>4,822,544</td>
<td>4,656,339</td>
<td>8,400,917</td>
<td>6,512,649</td>
<td>29,051,930</td>
</tr>
<tr>
<td>Net income from unrelated business activities, whether or not the business is regularly carried on</td>
<td>5,053,253</td>
<td>452,244</td>
<td>311,677</td>
<td></td>
<td></td>
<td>5,817,174</td>
</tr>
<tr>
<td><strong>Total support.</strong> Add lines 7 through 10</td>
<td>93,429,565</td>
<td>89,851,926</td>
<td>83,540,236</td>
<td>112,291,164</td>
<td>100,309,671</td>
<td>479,422,562</td>
</tr>
<tr>
<td>Gross receipts from related activities, etc. (see instructions)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>32,143,361</td>
</tr>
<tr>
<td><strong>First 5 years.</strong> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Section C. Computation of Public Support Percentage

<table>
<thead>
<tr>
<th>Public support percentage for 2022 (line 6, column (f) divided by line 11, column (f))</th>
<th>92.240 %</th>
</tr>
</thead>
<tbody>
<tr>
<td>Public support percentage for 2020 Schedule A, Part II, line 14</td>
<td>90.200 %</td>
</tr>
<tr>
<td><strong>33 1/3% support test—2022.</strong> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization</td>
<td></td>
</tr>
<tr>
<td><strong>33 1/3% support test—2021.</strong> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization</td>
<td></td>
</tr>
<tr>
<td><strong>10%-facts-and-circumstances test—2022.</strong> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the &quot;facts-and-circumstances&quot; test, check this box and stop here. Explain in Part VI how the organization meets the &quot;facts-and-circumstances&quot; test. The organization qualifies as a publicly supported organization</td>
<td></td>
</tr>
<tr>
<td><strong>10%-facts-and-circumstances test—2021.</strong> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the &quot;facts-and-circumstances&quot; test, check this box and stop here. Explain in Part VI how the organization meets the &quot;facts-and-circumstances&quot; test. The organization qualifies as a publicly supported organization</td>
<td></td>
</tr>
<tr>
<td><strong>Private foundation.</strong> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions</td>
<td></td>
</tr>
</tbody>
</table>
### Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

#### Section A. Public Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2018</th>
<th>(b) 2019</th>
<th>(c) 2020</th>
<th>(d) 2021</th>
<th>(e) 2022</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Gifts, grants, contributions, and membership fees received. (Do not include any “unusual grants.”)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization’s tax-exempt purpose</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Gross receipts from activities that are not an unrelated trade or business under section 513</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Tax revenues levied for the organization’s benefit and either paid to or expended on its behalf</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 The value of services or facilities furnished by a governmental unit to the organization without charge</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Total. Add lines 1 through 5</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7a Amounts included on lines 1, 2, and 3 received from disqualified persons</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7c Add lines 7a and 7b</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Public support. (Subtract line 7c from line 6.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Section B. Total Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2018</th>
<th>(b) 2019</th>
<th>(c) 2020</th>
<th>(d) 2021</th>
<th>(e) 2022</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>9 Amounts from line 6</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10c Add lines 10a and 10b</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 Net income from unrelated business activities not included on line 10b, whether or not the business is regularly carried on</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13 Total support. (Add lines 9, 10c, 11, and 12.)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14 First 5 years. If the Form 990 is for the organization’s first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Section C. Computation of Public Support Percentage

<table>
<thead>
<tr>
<th>(a) Public support percentage for 2022 (line 8, column (f) divided by line 13, column (f))</th>
<th>15</th>
</tr>
</thead>
<tbody>
<tr>
<td>(b) Public support percentage from 2021 Schedule A, Part III, line 15</td>
<td>16</td>
</tr>
</tbody>
</table>

#### Section D. Computation of Investment Income Percentage

<table>
<thead>
<tr>
<th>(a) Investment income percentage for 2022 (line 10c, column (f) divided by line 13, column (f))</th>
<th>17</th>
</tr>
</thead>
<tbody>
<tr>
<td>(b) Investment income percentage from 2021 Schedule A, Part III, line 17</td>
<td>18</td>
</tr>
</tbody>
</table>

19a **33 1/3% support tests—2022.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization.

19b **33 1/3% support tests—2021.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization.

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions.
### Section A. All Supporting Organizations

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Are all of the organization’s supported organizations listed by name in the organization’s governing documents? If “No,” describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If “Yes,” explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</td>
<td></td>
</tr>
<tr>
<td>3a</td>
<td>Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If “Yes,” answer lines 3b and 3c below.</td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If “Yes,” describe in Part VI when and how the organization made the determination.</td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If “Yes,” explain in Part VI what controls the organization put in place to ensure such use.</td>
<td></td>
</tr>
<tr>
<td>4a</td>
<td>Was any supported organization not organized in the United States (“foreign supported organization”)? If “Yes” and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.</td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If “Yes,” describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If “Yes,” explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</td>
<td></td>
</tr>
<tr>
<td>5a</td>
<td>Did the organization add, substitute, or remove any supported organizations during the tax year? If “Yes,” answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization’s organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization’s organizing document?</td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Substitutions only. Was the substitution the result of an event beyond the organization’s control?</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization’s supported organizations? If “Yes,” provide detail in Part VI.</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If “Yes,” complete Part I of Schedule L (Form 990).</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7? If “Yes,” complete Part I of Schedule L (Form 990).</td>
<td></td>
</tr>
<tr>
<td>9a</td>
<td>Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a) (1) or (2))? If “Yes,” provide detail in Part VI.</td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If “Yes,” provide detail in Part VI.</td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If “Yes,” provide detail in Part VI.</td>
<td></td>
</tr>
<tr>
<td>10a</td>
<td>Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If “Yes,” answer line 10b below.</td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).</td>
<td></td>
</tr>
</tbody>
</table>
### Part IV Supporting Organizations (continued)

11a Has the organization accepted a gift or contribution from any of the following persons?

- A person who directly or indirectly controls, either alone or together with persons described on lines 11b and 11c below, the governing body of a supported organization?

11b A family member of a person described on 11a above?

11c A 35% controlled entity of a person described on line 11a or 11b above? If "Yes" to 11a, 11b, or 11c, provide detail in Part VI.

### Section B. Type I Supporting Organizations

1 Did the officers, directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization’s directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization’s activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.

2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.

### Section C. Type II Supporting Organizations

1 Were a majority of the organization’s directors or trustees during the tax year also a majority of the directors or trustees of each of the organization’s supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).

### Section D. All Type III Supporting Organizations

1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization’s tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization’s governing documents in effect on the date of notification, to the extent not previously provided?

2 Were any of the organization’s officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).

3 By reason of the relationship described in line 2 above, did the organization’s supported organizations have a significant voice in the organization’s investment policies and in directing the use of the organization’s income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization’s supported organizations played in this regard.

### Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):

- a The organization satisfied the Activities Test. Complete line 2 below.

- b The organization is the parent of each of its supported organizations. Complete line 3 below.

- c The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

2 Activities Test. Answer lines 2a and 2b below.

- a Did substantially all of the organization’s activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If “Yes,” then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.

- b Did the activities described on line 2a, above constitute activities that, but for the organization’s involvement, one or more of the organization’s supported organization(s) would have been engaged in? If “Yes,” explain in Part VI the reasons for the organization’s position that its supported organization(s) would have engaged in these activities but for the organization’s involvement.

3 Parent of Supported Organizations. Answer lines 3a and 3b below.

- a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? If “Yes” or “No”, provide details in Part VI.

- b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? If “Yes,” describe in Part VI the role played by the organization in this regard.
### Part V  Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

**Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

#### Section A - Adjusted Net Income

<table>
<thead>
<tr>
<th></th>
<th>(A) Prior Year</th>
<th>(B) Current Year (optional)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Net short-term capital gain</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Recoveries of prior-year distributions</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>Other gross income (see instructions)</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Add lines 1 through 3</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>Depreciation and depletion</td>
<td>5</td>
</tr>
<tr>
<td>6</td>
<td>Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>Other expenses (see instructions)</td>
<td>7</td>
</tr>
<tr>
<td>8</td>
<td>Adjusted Net Income (subtract lines 5, 6 and 7 from line 4)</td>
<td>8</td>
</tr>
</tbody>
</table>

#### Section B - Minimum Asset Amount

<table>
<thead>
<tr>
<th></th>
<th>(A) Prior Year</th>
<th>(B) Current Year (optional)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>a Average monthly value of securities</td>
<td>1a</td>
</tr>
<tr>
<td></td>
<td>b Average monthly cash balances</td>
<td>1b</td>
</tr>
<tr>
<td></td>
<td>c Fair market value of other non-exempt-use assets</td>
<td>1c</td>
</tr>
<tr>
<td></td>
<td>d Total (add lines 1a, 1b, and 1c)</td>
<td>1d</td>
</tr>
<tr>
<td></td>
<td>e Discount claimed for blockage or other factors (explain in detail in Part VI):</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Acquisition indebtedness applicable to non-exempt use assets</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2 from line 1d</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>Net value of non-exempt-use assets (subtract line 4 from line 3)</td>
<td>5</td>
</tr>
<tr>
<td>6</td>
<td>Multiply line 5 by 0.035</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>Recoveries of prior-year distributions</td>
<td>7</td>
</tr>
<tr>
<td>8</td>
<td>Minimum Asset Amount (add line 7 to line 6)</td>
<td>8</td>
</tr>
</tbody>
</table>

#### Section C - Distributable Amount

<table>
<thead>
<tr>
<th></th>
<th></th>
<th>Current Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Adjusted net income for prior year (from Section A, line 8, Column A)</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Enter 85% of line 1</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>Minimum asset amount for prior year (from Section B, line 8, Column A)</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Enter greater of line 2 or line 3</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>Income tax imposed in prior year</td>
<td>5</td>
</tr>
<tr>
<td>6</td>
<td>Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)</td>
<td>6</td>
</tr>
</tbody>
</table>

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)</td>
</tr>
</tbody>
</table>
### Part V
**Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

#### Section D - Distributions (continued)

<table>
<thead>
<tr>
<th>Current Year</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Amounts paid to supported organizations to accomplish exempt purposes</td>
</tr>
<tr>
<td>2</td>
<td>Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity</td>
</tr>
<tr>
<td>3</td>
<td>Administrative expenses paid to accomplish exempt purposes of supported organizations</td>
</tr>
<tr>
<td>4</td>
<td>Amounts paid to acquire exempt-use assets</td>
</tr>
<tr>
<td>5</td>
<td>Qualified set-aside amounts <em>(prior IRS approval required - provide details in Part VI)</em></td>
</tr>
<tr>
<td>6</td>
<td>Other distributions <em>(describe in Part VI)</em>. See instructions</td>
</tr>
<tr>
<td>7</td>
<td><strong>Total annual distributions.</strong> Add lines 1 through 6.</td>
</tr>
<tr>
<td>8</td>
<td>Distributions to attentive supported organizations to which the organization is responsive <em>(provide details in Part VI)</em>. See instructions</td>
</tr>
<tr>
<td>9</td>
<td>Distributable amount for 2022 from Section C, line 6</td>
</tr>
<tr>
<td>10</td>
<td>Line 8 amount divided by Line 9 amount</td>
</tr>
</tbody>
</table>

#### Section E - Distribution Allocations

*(see instructions)*

<table>
<thead>
<tr>
<th></th>
<th>(i) Excess Distributions</th>
<th>(ii) Underdistributions Pre-2022</th>
<th>(iii) Distributable Amount for 2022</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Distributable amount for 2022 from Section C, line 6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Underdistributions, if any, for years prior to 2022 <em>(reasonable cause required-- explain in Part VI)</em></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>See instructions.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Excess distributions carryover, if any, to 2022:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a From 2017.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b From 2018.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c From 2019.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>d From 2020.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>e From 2021.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>f <strong>Total</strong> of lines 3a through e</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>g Applied to underdistributions of prior years</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>h Applied to 2022 distributable amount</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>i Carryover from 2017 not applied <em>(see instructions)</em></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>j Remainder. Subtract lines 3g, 3h, and 3i from line 3f.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Distributions for 2022 from Section D, line 7:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>$</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a Applied to underdistributions of prior years</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b Applied to 2022 distributable amount</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c Remainder. Subtract lines 4a and 4b from line 4.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Remaining underdistributions for years prior to 2022, if any. Subtract lines 3g and 4a from line 2. <em>(If the amount is greater than zero, explain in Part VI)</em></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>See instructions.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Remaining underdistributions for 2022. Subtract lines 3h and 4b from line 1. <em>(If the amount is greater than zero, explain in Part VI)</em> See instructions.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td><strong>Excess distributions carryover to 2023.</strong> Add lines 3j and 4c.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Breakdown of line 7:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>a Excess from 2018.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>b Excess from 2019.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>c Excess from 2020.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>d Excess from 2021.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>e Excess from 2022.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**Part VI**  
Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

<table>
<thead>
<tr>
<th>Return Reference</th>
<th>Explanation</th>
</tr>
</thead>
</table>
# Schedule B

## (Form 990)

### Department of the Treasury

### Internal Revenue Service

#### Schedule of Contributors

Attach to Form 990, 990-EZ, or 990-PF.

Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

---

**Name of the organization:**

AMERICAN LUNG ASSOCIATION

**Employer identification number:**

13-1632524

---

### Organization type (check one):

- **Filers of:**
  - **Form 990 or 990-EZ**
    - √ 501(c)( ) (enter number) organization
    - √ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
    - √ 527 political organization
  - **Form 990-PF**
    - √ 501(c)(3) exempt private foundation
    - √ 4947(a)(1) nonexempt charitable trust treated as a private foundation
    - √ 501(c)(3) taxable private foundation

---

### Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

#### General Rule

- √ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

#### Special Rules

- √ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33⅓% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) $5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- √ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- √ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions totaling $5,000 or more during the year.

---

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).
<table>
<thead>
<tr>
<th>No.</th>
<th>Name, address, and ZIP + 4</th>
<th>Total contributions</th>
<th>Type of contribution</th>
</tr>
</thead>
<tbody>
<tr>
<td>RESTRICTED</td>
<td>$ RESTRICTED</td>
<td>Noncash</td>
<td></td>
</tr>
</tbody>
</table>

(Complete Part II for noncash contributions.)
## Part II Noncash Property

(see instructions). Use duplicate copies of Part II if additional space is needed.

<table>
<thead>
<tr>
<th>No. from Part I</th>
<th>Description of noncash property given</th>
<th>FMV (or estimate) (See instructions)</th>
<th>Date received</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
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</tbody>
</table>
**Part III**

*Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.)*

$ __________________________

Use duplicate copies of Part III if additional space is needed.

<table>
<thead>
<tr>
<th>(a) No. from Part I</th>
<th>(b) Purpose of gift</th>
<th>(c) Use of gift</th>
<th>(d) Description of how gift is held</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>(e) Transfer of gift</td>
<td>Transferee's name, address, and ZIP 4</td>
<td>Relationship of transferor to transferee</td>
<td></td>
</tr>
<tr>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>(a) No. from Part I</th>
<th>(b) Purpose of gift</th>
<th>(c) Use of gift</th>
<th>(d) Description of how gift is held</th>
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<tr>
<td>(e) Transfer of gift</td>
<td>Transferee's name, address, and ZIP 4</td>
<td>Relationship of transferor to transferee</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>(a) No. from Part I</th>
<th>(b) Purpose of gift</th>
<th>(c) Use of gift</th>
<th>(d) Description of how gift is held</th>
</tr>
</thead>
<tbody>
<tr>
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</tr>
<tr>
<td>(e) Transfer of gift</td>
<td>Transferee's name, address, and ZIP 4</td>
<td>Relationship of transferor to transferee</td>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>(a) No. from Part I</th>
<th>(b) Purpose of gift</th>
<th>(c) Use of gift</th>
<th>(d) Description of how gift is held</th>
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<td></td>
<td></td>
</tr>
<tr>
<td>(e) Transfer of gift</td>
<td>Transferee's name, address, and ZIP 4</td>
<td>Relationship of transferor to transferee</td>
<td></td>
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<td></td>
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</tbody>
</table>
SCHEDULE C
(Form 990)

Political Campaign and Lobbying Activities
For Organizations Exempt From Income Tax Under section 501(c) and section 527

Complete if the organization is described below. Attach to Form 990 or Form 990-EZ.
Go to www.irs.gov/Form990 for instructions and the latest information.

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then
- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then
- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then
- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization
AMERICAN LUNG ASSOCIATION

Employer identification number
13-1632524

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV. See instructions for definition of "political campaign activities."

2 Political campaign activity expenditures. See instructions ................................................................. $

3 Volunteer hours for political campaign activities. See instructions ...................................................

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 .......................... $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ................. $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? .................................

Yes ☐ No ☐

4a Was a correction made? .........................................................................................................................

Yes ☐ No ☐

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ..... $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ................................................................. $

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b........ $

4 Did the filing organization file Form 1120-POL for this year? .................................................................

Yes ☐ No ☐

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name

(b) Address

(c) EIN

(d) Amount paid from filing organization's funds. If none, enter -0-.

(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.

---

1

2

3

4

5

6

For Paperwork Reduction Act Notice, see the instructions for Form 990.
## Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

### A
Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

### B
Check if the filing organization checked box A and "limited control" provisions apply.

### Limits on Lobbying Expenditures

(The term "expenditures" means amounts paid or incurred.)

<table>
<thead>
<tr>
<th></th>
<th>(a) Filing organization's totals</th>
<th>(b) Affiliated group totals</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Total lobbying expenditures to influence public opinion (grass roots lobbying)</td>
<td></td>
</tr>
<tr>
<td>1b</td>
<td>Total lobbying expenditures to influence a legislative body (direct lobbying)</td>
<td></td>
</tr>
<tr>
<td>1c</td>
<td>Total lobbying expenditures (add lines 1a and 1b)</td>
<td></td>
</tr>
<tr>
<td>1d</td>
<td>Other exempt purpose expenditures</td>
<td></td>
</tr>
<tr>
<td>1e</td>
<td>Total exempt purpose expenditures (add lines 1c and 1d)</td>
<td></td>
</tr>
<tr>
<td>1f</td>
<td>Lobbying nontaxable amount. Enter the amount from the following table in both columns.</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>If the amount on line 1e, column (a) or (b) is:</th>
<th>The lobbying nontaxable amount is:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Not over $500,000</td>
<td>20% of the amount on line 1e.</td>
</tr>
<tr>
<td>Over $500,000 but not over $1,000,000</td>
<td>$100,000 plus 15% of the excess over $500,000.</td>
</tr>
<tr>
<td>Over $1,000,000 but not over $1,500,000</td>
<td>$175,000 plus 10% of the excess over $1,000,000.</td>
</tr>
<tr>
<td>Over $1,500,000 but not over $17,000,000</td>
<td>$225,000 plus 5% of the excess over $1,500,000.</td>
</tr>
<tr>
<td>Over $17,000,000</td>
<td>$1,000,000.</td>
</tr>
</tbody>
</table>

### 4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

### Lobbying Expenditures During 4-Year Averaging Period

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2018</th>
<th>(b) 2019</th>
<th>(c) 2020</th>
<th>(d) 2021</th>
<th>(e) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>2a Lobbying nontaxable amount</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Lobbying ceiling amount (150% of line 2a, column(e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Total lobbying expenditures</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d Grassroots nontaxable amount</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e Grassroots ceiling amount (150% of line 2d, column(e))</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f Grassroots lobbying expenditures</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**Part II-B** Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(a)</th>
<th>(b)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Volunteers?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Media advertisements?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>Mailings to members, legislators, or the public?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Publications, or published or broadcast statements?</td>
<td>Yes</td>
<td>17,899</td>
</tr>
<tr>
<td>f</td>
<td>Grants to other organizations for lobbying purposes?</td>
<td>Yes</td>
<td>44,080</td>
</tr>
<tr>
<td>g</td>
<td>Direct contact with legislators, their staffs, government officials, or a legislative body?</td>
<td>Yes</td>
<td>875</td>
</tr>
<tr>
<td>h</td>
<td>Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?</td>
<td>Yes</td>
<td>32,175</td>
</tr>
<tr>
<td>i</td>
<td>Other activities?</td>
<td>Yes</td>
<td>672,937</td>
</tr>
<tr>
<td>j</td>
<td>Total. Add lines 1c through 1i</td>
<td>No</td>
<td>9,262</td>
</tr>
<tr>
<td>2a</td>
<td>Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>If &quot;Yes,&quot; enter the amount of any tax incurred under section 4912</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>If &quot;Yes,&quot; enter the amount of any tax incurred by organization managers under section 4912</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?</td>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>

**Part III-A** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Were substantially all (90% or more) dues received nondeductible by members?</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Did the organization make only in-house lobbying expenditures of $2,000 or less?</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Did the organization agree to carry over lobbying and political expenditures from the prior year?</td>
<td>3</td>
<td></td>
</tr>
</tbody>
</table>

**Part III-B** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(a)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Dues, assessments and similar amounts from members</td>
<td>1</td>
</tr>
<tr>
<td>2a</td>
<td>Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid)</td>
<td>2a</td>
</tr>
<tr>
<td>2b</td>
<td>Carryover from last year</td>
<td>2b</td>
</tr>
<tr>
<td>2c</td>
<td>Total</td>
<td>2c</td>
</tr>
<tr>
<td>3</td>
<td>Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues.</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>Taxable amount of lobbying and political expenditures. See Instructions</td>
<td>5</td>
</tr>
</tbody>
</table>

**Part IV** Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

<table>
<thead>
<tr>
<th>Return Reference</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>PART II-B, LINE 1:</strong></td>
<td>THE AMERICAN LUNG ASSOCIATION VOLUNTEERS AND STAFF ENGAGE IN A WIDE RANGE OF ADVOCACY ACTIVITIES TO FURTHER OUR MISSION TO SAVE LIVES BY IMPROVING LUNG HEALTH AND PREVENTING LUNG DISEASE. OUR WORK INCLUDES EFFORTS TO EDUCATE ELECTED OFFICIALS, THEIR STAFF AND THE PUBLIC ON LUNG HEALTH ISSUES AND ACCESS TO HEALTHCARE. WE ADVOCATE FOR CLEAN, HEALTHY AIR TO REDUCE THE HEALTH IMPACTS OF AIR POLLUTION AND CURB CLIMATE CHANGE. WE FOCUS ON SUPPORTING THE IMPLEMENTATION AND STRENGTHENING OF THE NATION'S CLEAN AIR LAWS. WE STRONGLY SUPPORT THE PUBLIC HEALTH INFRASTRUCTURE AND LUNG HEALTH RESEARCH FUNDING INCLUDING FUNDING FOR LUNG CANCER, CHRONIC OBSTRUCTIVE PULMONARY DISEASE (COPD), ASTHMA, TUBERCULOSIS AND OTHER LUNG DISEASES. FURTHERMORE, THE AMERICAN LUNG ASSOCIATION VOLUNTEERS AND STAFF ACTIVELY ADVOCATE AT THE NATIONAL, STATE AND LOCAL LEVELS FOR TOBACCO CONTROL LAWS, INCLUDING EFFORTS TO REGULATE TOBACCO PRODUCTS INCLUDING E-CIGARETTES, PROMOTE TOBACCO cessation and eliminate exposure to secondhand smoke.</td>
</tr>
</tbody>
</table>
### Part I  Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

<table>
<thead>
<tr>
<th></th>
<th>(a) Donor advised funds</th>
<th>(b) Funds and other accounts</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total number at end of year</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Aggregate value of contributions to (during year)</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Aggregate value of grants from (during year)</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Aggregate value at end of year</td>
<td></td>
</tr>
</tbody>
</table>

5. Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization’s property, subject to the organization’s exclusive legal control?  
   - [ ] Yes  
   - [ ] No

6. Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?  
   - [ ] Yes  
   - [ ] No

### Part II  Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1. Purpose(s) of conservation easements held by the organization (check all that apply).
   - Preservation of land for public use (e.g., recreation or education)
   - Protection of natural habitat
   - Preservation of a certified historic structure
   - Preservation of open space

2. Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.
   - a. Total number of conservation easements
   - b. Total acreage restricted by conservation easements
   - c. Number of conservation easements on a certified historic structure included in (a)
   - d. Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register

3. Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year

4. Number of states where property subject to conservation easement is located

5. Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?  
   - [ ] Yes  
   - [ ] No

6. Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year

7. Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year

8. Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?  
   - [ ] Yes  
   - [ ] No

9. In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization’s financial statements that describes the organization’s accounting for conservation easements.

### Part III  Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1. If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

2. If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
   - (i) Revenue included on Form 990, Part VIII, line 1
   - (ii) Assets included in Form 990, Part X

   2a. Revenue included on Form 990, Part VIII, line 1
   - $<br>   2b. Assets included in Form 990, Part X
Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization’s acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
   a [ ] Public exhibition
   b [ ] Scholarly research
   c [ ] Preservation for future generations

4 Provide a description of the organization’s collections and explain how they further the organization’s exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization’s collection?...
   Yes [ ] No [ ]

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ...
   Yes [ ] No [ ]

   b If "Yes," explain the arrangement in Part XIII and complete the following table:

<table>
<thead>
<tr>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1c</td>
</tr>
<tr>
<td>1d</td>
</tr>
<tr>
<td>1e</td>
</tr>
<tr>
<td>1f</td>
</tr>
</tbody>
</table>

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?...
   Yes [ ] No [ ]

   b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII ...

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

1a Beginning of year balance .....
   | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
   | 7,970,231 | 9,016,928 | 8,600,852 | 8,779,996 | 7,773,457 |

   b Contributions .....
   | 1,005,405 | 5,300 | 5,000 | 6,100 | 1,003,500 |

   c Net investment earnings, gains, and losses
   | 648,658 | -952,335 | 1,326,442 | -40,790 | 256,966 |

   d Grants or scholarships .....
   | 519,731 | 99,662 | 915,366 | 144,454 | 253,927 |

   e Other expenditures for facilities and programs .....

   f Administrative expenses .....

   g End of year balance .....
   | 9,104,563 | 7,970,231 | 9,016,928 | 8,600,852 | 8,779,996 |

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
   a Board designated or quasi-endowment...
   b Permanent endowment ...
   c Term endowment ...

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
   (i) Unrelated organizations
   (ii) Related organizations

   b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?...
   Yes [ ] No [ ]

3b

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

<table>
<thead>
<tr>
<th>Description of property</th>
<th>(a) Cost or other basis (investment)</th>
<th>(b) Cost or other basis (other)</th>
<th>(c) Accumulated depreciation</th>
<th>(d) Book value</th>
</tr>
</thead>
</table>
| 1a Land .....
| 2,582,203
| 2,582,203 |
| 1b Buildings .....
| 14,536,948
| 8,992,831 |
| 1c Leasehold improvements
| 455,278
| 336,108 |
| 1d Equipment .....
| 3,811,797
| 3,722,238 |
| 1e Other .....

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)
### Part VII  Investments - Other Securities.
Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

<table>
<thead>
<tr>
<th>(a)</th>
<th>(b)</th>
<th>(c)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description of security or category</td>
<td>Book value</td>
<td>Method of valuation: Cost or end-of-year market value</td>
</tr>
<tr>
<td>Financial derivatives</td>
<td>. . . . . . .</td>
<td></td>
</tr>
<tr>
<td>Closely-held equity interests</td>
<td>. . . . . . .</td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Part VIII  Investments - Program Related.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

<table>
<thead>
<tr>
<th>(a)</th>
<th>(b)</th>
<th>(c)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description of investment</td>
<td>Book value</td>
<td>Method of valuation: Cost or end-of-year market value</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Part IX  Other Assets.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

<table>
<thead>
<tr>
<th>(a)</th>
<th>(b)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>Book value</td>
</tr>
<tr>
<td>Beneficial interest in perpetual trusts</td>
<td>36,725,226</td>
</tr>
<tr>
<td>Amounts held on behalf of others</td>
<td>1,093,269</td>
</tr>
<tr>
<td>Refundable deposits</td>
<td>251,198</td>
</tr>
<tr>
<td>Right-of-use assets</td>
<td>4,286,831</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Part X  Other Liabilities.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

<table>
<thead>
<tr>
<th>(a)</th>
<th>(b)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description of liability</td>
<td>Book value</td>
</tr>
<tr>
<td>Federal income taxes</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Additional Information
1. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII.
### Part XI  Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total revenue, gains, and other support per audited financial statements</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Amounts included on line 1 but not on Form 990, Part VIII, line 12:</td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Net unrealized gains (losses) on investments</td>
<td>2a</td>
</tr>
<tr>
<td>b</td>
<td>Donated services and use of facilities</td>
<td>2b</td>
</tr>
<tr>
<td>c</td>
<td>Recoveries of prior year grants</td>
<td>2c</td>
</tr>
<tr>
<td>d</td>
<td>Other (Describe in Part XIII.)</td>
<td>2d</td>
</tr>
<tr>
<td>e</td>
<td>Add lines 2a through 2d</td>
<td>2e</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2e from line 1</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Amounts included on Form 990, Part VIII, line 12, but not on line 1:</td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Investment expenses not included on Form 990, Part VIII, line 7b</td>
<td>4a</td>
</tr>
<tr>
<td>b</td>
<td>Other (Describe in Part XIII.)</td>
<td>4b</td>
</tr>
<tr>
<td>c</td>
<td>Add lines 4a and 4b</td>
<td>4c</td>
</tr>
<tr>
<td>5</td>
<td>Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)</td>
<td>5</td>
</tr>
</tbody>
</table>

### Part XII  Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total expenses and losses per audited financial statements</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Amounts included on line 1 but not on Form 990, Part IX, line 25:</td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Donated services and use of facilities</td>
<td>2a</td>
</tr>
<tr>
<td>b</td>
<td>Prior year adjustments</td>
<td>2b</td>
</tr>
<tr>
<td>c</td>
<td>Other losses</td>
<td>2c</td>
</tr>
<tr>
<td>d</td>
<td>Other (Describe in Part XIII.)</td>
<td>2d</td>
</tr>
<tr>
<td>e</td>
<td>Add lines 2a through 2d</td>
<td>2e</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2e from line 1</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Amounts included on Form 990, Part IX, line 25, but not on line 1:</td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Investment expenses not included on Form 990, Part VIII, line 7b</td>
<td>4a</td>
</tr>
<tr>
<td>b</td>
<td>Other (Describe in Part XIII.)</td>
<td>4b</td>
</tr>
<tr>
<td>c</td>
<td>Add lines 4a and 4b</td>
<td>4c</td>
</tr>
<tr>
<td>5</td>
<td>Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)</td>
<td>5</td>
</tr>
</tbody>
</table>

### Part XIII  Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

<table>
<thead>
<tr>
<th>Return Reference</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>PART V, LINE 4:</td>
<td>PROCEEDS EARNED FROM THE CORPUS OF THESE NUMEROUS ENDOWMENT FUNDS MAY BE EXPENDED FOR RESEARCH, RESEARCH FELLOWSHIPS, LUNG-HEALTH EDUCATION, CONTINUING MEDICAL EDUCATION LECTURES, SCHOLARSHIPS, PATIENT ASSISTANCE, ADVOCACY, TOBACCO CESSATION ASSISTANCE, AND GENERAL OPERATIONS IN ACCORDANCE WITH DONOR STIPULATIONS.</td>
</tr>
<tr>
<td>PART X, LINE 2:</td>
<td>THE ASSOCIATION IS DESIGNATED AS A NON-PROFIT ORGANIZATION AND IS EXEMPT FROM INCOME TAXES UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE. IT HAS BEEN CLASSIFIED AS AN ORGANIZATION THAT IS NOT A PRIVATE FOUNDATION. THEREFORE, CHARITABLE CONTRIBUTIONS ARE TAX DEDUCTIBLE.</td>
</tr>
<tr>
<td>PART XI, LINE 2D - OTHER ADJUSTMENTS:</td>
<td>CHANGE IN FAIR VALUE OF BENEFICIAL INTEREST IN TRUSTS 1,456,320. CHANGE IN VALUE OF SPLIT-INTEREST TRUSTS -2,416. CHANGE IN VALUE OF EQUITY METHOD INVESTMENTS 2,554,103. PENSION AND POSTRETIREMENT PLAN CHANGES -42,070.</td>
</tr>
</tbody>
</table>
**Part I  Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17.

Form 990-EZ filers are not required to complete this part.

<table>
<thead>
<tr>
<th>(i) Name and address of individual or entity (fundraiser)</th>
<th>(ii) Activity</th>
<th>(iii) Did fundraiser have custody or control of contributions?</th>
<th>(iv) Gross receipts from activity</th>
<th>(v) Amount paid to (or retained by) fundraiser listed in col. (i)</th>
<th>(vi) Amount paid to (or retained by) organization</th>
</tr>
</thead>
<tbody>
<tr>
<td>NNE MARKETING 1666 MASSACHUSETTS AVE SUITE 14</td>
<td>DIRECT MARKETING</td>
<td>No</td>
<td>15,408,376</td>
<td>378,000</td>
<td>15,030,376</td>
</tr>
</tbody>
</table>

**Total** 15,408,376 378,000 15,030,376

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

### Part II Fundraising Events

Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

#### Revenue

<table>
<thead>
<tr>
<th>Event</th>
<th>Gross receipts</th>
<th>Less: Contributions</th>
<th>Gross income (line 1 minus line 2)</th>
<th>Total events (add col. (a) through col. (c))</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Event #1</td>
<td>6,368,037</td>
<td>2,279,213</td>
<td>6,559,095</td>
<td>15,206,345</td>
</tr>
<tr>
<td>(b) Event #2</td>
<td>2,279,213</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(c) Other events</td>
<td>42</td>
<td></td>
<td>4,955,971</td>
<td>13,603,221</td>
</tr>
</tbody>
</table>

#### Direct Expenses

<table>
<thead>
<tr>
<th>Expense</th>
<th>Cash prizes</th>
<th>Noncash prizes</th>
<th>Rent/facility costs</th>
<th>Food and beverages</th>
<th>Entertainment</th>
<th>Other direct expenses</th>
<th>Total expenses</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td></td>
<td>59,061</td>
<td>199,682</td>
<td>30,248</td>
<td>14,387</td>
<td>509,904</td>
<td>932,460</td>
</tr>
<tr>
<td>5</td>
<td>2,279,213</td>
<td>7,269</td>
<td>70,212</td>
<td>16,410</td>
<td>13,052</td>
<td>246,370</td>
<td>958,532</td>
</tr>
<tr>
<td>6</td>
<td>6,368,037</td>
<td>101,516</td>
<td>421,706</td>
<td>446,569</td>
<td>32,607</td>
<td>853,311</td>
<td>3,022,304</td>
</tr>
</tbody>
</table>

10 Direct expense summary. Add lines 4 through 9 in column (d).

11 Net income summary. Subtract line 10 from line 3, column (d).

### Part III Gaming

Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

#### Revenue

<table>
<thead>
<tr>
<th>Gaming</th>
<th>Bingo</th>
<th>Pull tabs/Instant bingo/progressive bingo</th>
<th>Other gaming</th>
<th>Total gaming (add col. (a) through col. (c))</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(b)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(c)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

9 Enter the state(s) in which the organization conducts gaming activities:

<table>
<thead>
<tr>
<th>State(s)</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
</table>

10a Were any of the organization’s gaming licenses revoked, suspended or terminated during the tax year?

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
</table>

10b If "Yes," explain:

------------------------------------------------------------------------------------------

11 Does the organization conduct gaming activities with nonmembers? □ Yes □ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? □ Yes □ No

13 Indicate the percentage of gaming activity conducted in:
   a The organization's facility ................................................................. 13a %
   b An outside facility ........................................................................ 13b %

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:
   Name ........................................................................................................
   Address ........................................................................................................

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? □ Yes □ No
   b If "Yes," enter the amount of gaming revenue received by the organization $ ___________ and the amount of gaming revenue retained by the third party $ ___________.
   c If "Yes," enter name and address of the third party:
      Name ........................................................................................................
      Address ........................................................................................................

16 Gaming manager information:
   Name ........................................................................................................
   Gaming manager compensation $ ______________________
   Description of services provided .................................................................
      □ Director/officer □ Employee □ Independent contractor

17 Mandatory distributions:
   a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? □ Yes □ No
   b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year $ ___________.

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

<table>
<thead>
<tr>
<th>Instruction</th>
<th>Return Reference</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>SCHEDULE G, PART I, LINE 2B, COLUMN (V)</td>
<td>THE AMERICAN LUNG ASSOCIATION ACQUIRES CONTRIBUTIONS FROM DIRECT MAIL AND TELEMARKETING SERVICES. IN ORDER TO MANAGE THESE ACTIVITIES, THE AMERICAN LUNG ASSOCIATION CONTRACTS WITH PROFESSIONAL FUNDRAISERS TO DEVELOP FUNDRAISING STRATEGIES ON THESE INITIATIVES.</td>
<td></td>
</tr>
<tr>
<td>State</td>
<td>501(c)(3)</td>
<td>Cash Assistance</td>
</tr>
<tr>
<td>------</td>
<td>-----------</td>
<td>----------------</td>
</tr>
<tr>
<td>KS</td>
<td>200,000</td>
<td>297,500</td>
</tr>
<tr>
<td>CA</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>OR</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>MA</td>
<td>372,397</td>
<td>0</td>
</tr>
<tr>
<td>IN</td>
<td>150,000</td>
<td>0</td>
</tr>
<tr>
<td>MA</td>
<td>845,000</td>
<td>0</td>
</tr>
<tr>
<td>OH</td>
<td>585,462</td>
<td>0</td>
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<tr>
<td>TX</td>
<td>271,842</td>
<td>0</td>
</tr>
<tr>
<td>WA</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

For the latest information, go to www.irs.gov/Form990.
### Part III Grants and Other Assistance to Domestic Individuals

Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th>(a) Type of grant or assistance</th>
<th>(b) Number of recipients</th>
<th>(c) Amount of cash grant</th>
<th>(d) Amount of noncash assistance</th>
<th>(e) Method of valuation (book, FMV, appraisal, other)</th>
<th>(f) Description of noncash assistance</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>(3)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(5)</td>
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<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(7)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Part IV Supplemental Information

Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference | Explanation
--- | ---
PART I, LINE 2: | AWARD RECIPIENTS ARE REQUIRED TO SUBMIT A RENEWAL APPLICATION AFTER THEIR FIRST YEAR OF FUNDING. RENEWAL APPLICATIONS ARE THEN REVIEWED BY OUR RESEARCH COMMITTEE CHAIRS FOR APPROVAL OF SECOND YEAR FUNDING. AT THE TIME OF TERMINATION (AFTER THE SECOND YEAR OF FUNDING), AWARD RECIPIENTS ARE REQUIRED TO SUBMIT A SUMMARY OF THEIR ACTIVITIES, COPIES OF PRESENTATIONS AND/OR PUBLICATIONS, AND A CASH DISBURSEMENT REPORT FOR THE ENTIRE GRANT TIME.
# Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
- Attach to Form 990.
- Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

## Part I Questions Regarding Compensation

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>First-class or charter travel</td>
<td>☐</td>
<td>☑</td>
</tr>
<tr>
<td>Travel for companions</td>
<td>☐</td>
<td>☑</td>
</tr>
<tr>
<td>Tax indemnification and gross-up payments</td>
<td>☐</td>
<td>☑</td>
</tr>
<tr>
<td>Discretionary spending account</td>
<td>☐</td>
<td>☑</td>
</tr>
<tr>
<td>Housing allowance or residence for personal use</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>Payments for business use of personal residence</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>Health or social club dues or initiation fees</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>Personal services (e.g., maid, chauffeur, chef)</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If &quot;No,&quot; complete Part III to explain</td>
<td>☐</td>
<td>☑</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>Compensation committee</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>Independent compensation consultant</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>Form 990 of other organizations</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>Written employment contract</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>Compensation survey or study</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>Approval by the board or compensation committee</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
</tr>
<tr>
<td>During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Receive a severance payment or change-of-control payment?</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Participate in, or receive payment from, a supplemental nonqualified retirement plan?</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Participate in, or receive payment from, an equity-based compensation arrangement?</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td></td>
<td></td>
</tr>
<tr>
<td>For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>The organization?</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Any related organization?</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>If &quot;Yes,&quot; on line 5a or 5b, describe in Part III.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>The organization?</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Any related organization?</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>If &quot;Yes,&quot; on line 6a or 6b, describe in Part III.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td></td>
<td></td>
</tr>
<tr>
<td>For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? &quot;Yes,&quot; describe in Part III.</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>8</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If &quot;Yes,&quot; describe in Part III.</td>
<td>☑</td>
<td>☐</td>
</tr>
<tr>
<td>9</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If &quot;Yes&quot; on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?</td>
<td>☑</td>
<td>☐</td>
</tr>
</tbody>
</table>

For Paperwork Reduction Act Notice, see the Instructions for Form 990.
### Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

<table>
<thead>
<tr>
<th>(A) Name and Title</th>
<th>(B) Breakdown of W-2, 1099-MISC compensation, and/or 1099-NEC</th>
<th>(C) Retirement and other deferred compensation</th>
<th>(D) Nontaxable benefits</th>
<th>(E) Total of columns (B)(i)-(D)</th>
<th>(F) Compensation in column (B) reported as deferred on prior Form 990</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 HAROLD WIMMER</td>
<td>(i) 539,964</td>
<td>(ii)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PRESIDENT &amp; CEO</td>
<td>(i) 539,964</td>
<td>(ii)</td>
<td>0</td>
<td>34,145</td>
<td>265,327</td>
</tr>
<tr>
<td></td>
<td>50,000</td>
<td>0</td>
<td>31,218</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>0</td>
<td>0</td>
<td>655,327</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 LAURA SCOTT</td>
<td>(i) 273,372</td>
<td>(ii)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CHIEF FINANCIAL OFFICER</td>
<td>273,372</td>
<td>(ii)</td>
<td>0</td>
<td>29,062</td>
<td>328,349</td>
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<tr>
<td></td>
<td>24,000</td>
<td>0</td>
<td>1,915</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 JEFF SEYLER</td>
<td>(i) 263,280</td>
<td>(ii)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CHIEF FIELD OFFICER</td>
<td>263,280</td>
<td>(ii)</td>
<td>0</td>
<td>33,596</td>
<td>325,779</td>
</tr>
<tr>
<td></td>
<td>18,000</td>
<td>0</td>
<td>10,903</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 SUE SWAN</td>
<td>(i) 257,073</td>
<td>(ii)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CHIEF DEVELOPMENT OFFICER</td>
<td>257,073</td>
<td>(ii)</td>
<td>0</td>
<td>30,736</td>
<td>324,071</td>
</tr>
<tr>
<td></td>
<td>15,000</td>
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<td>21,262</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 JULIA FITZGERALD</td>
<td>(i) 263,280</td>
<td>(ii)</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>CHIEF MARKETING OFFICER</td>
<td>263,280</td>
<td>(ii)</td>
<td>0</td>
<td>16,192</td>
<td>318,847</td>
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<td>18,000</td>
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<td>21,375</td>
<td></td>
<td></td>
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<tr>
<td>6 DEBORAH BROWN</td>
<td>(i) 257,073</td>
<td>(ii)</td>
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<td></td>
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<tr>
<td>CHIEF MISSION OFFICER</td>
<td>257,073</td>
<td>(ii)</td>
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<td>29,034</td>
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<td>15,000</td>
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<td>11,880</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 SARAH KRIKORIAN</td>
<td>(i) 245,000</td>
<td>(ii)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CHIEF HUMAN RESOURCES OFFICER</td>
<td>245,000</td>
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<td>16,866</td>
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<td>15,000</td>
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<td>20,661</td>
<td></td>
<td></td>
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<tr>
<td>8 NEIL BALENTINE</td>
<td>(i) 210,372</td>
<td>(ii)</td>
<td></td>
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</tr>
<tr>
<td>CHIEF TECHNOLOGY OFFICER</td>
<td>210,372</td>
<td>(ii)</td>
<td>0</td>
<td>22,257</td>
<td>261,523</td>
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<td>15,000</td>
<td>0</td>
<td>13,894</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9 PAUL BILLINGS</td>
<td>(i) 220,263</td>
<td>(ii)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NATIONAL SENIOR VP, PUBLIC POLICY</td>
<td>220,263</td>
<td>(ii)</td>
<td>0</td>
<td>27,018</td>
<td>260,261</td>
</tr>
<tr>
<td></td>
<td>10,879</td>
<td>0</td>
<td>2,101</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10 ALLISON HICKEY</td>
<td>(i) 207,971</td>
<td>(ii)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>EXECUTIVE VP, MOUNTAIN PACIFIC &amp; CA</td>
<td>207,971</td>
<td>(ii)</td>
<td>0</td>
<td>17,081</td>
<td>246,772</td>
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<tr>
<td></td>
<td>10,252</td>
<td>0</td>
<td>11,468</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 SUSAN RAPPAPORT</td>
<td>(i) 198,412</td>
<td>(ii)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NATIONAL VP, RESEARCH</td>
<td>198,412</td>
<td>(ii)</td>
<td>0</td>
<td>23,542</td>
<td>238,406</td>
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<tr>
<td></td>
<td>3,947</td>
<td>0</td>
<td>12,505</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12 LEA GUTIERREZ</td>
<td>(i) 192,042</td>
<td>(ii)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CHIEF DIVERSITY OFFICER</td>
<td>192,042</td>
<td>(ii)</td>
<td>0</td>
<td>9,571</td>
<td>222,613</td>
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<tr>
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<td>10,000</td>
<td>0</td>
<td>11,722</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13 JOEENELL HENRY-TANNER</td>
<td>197,841</td>
<td>(ii)</td>
<td>0</td>
<td>9,891</td>
<td>219,732</td>
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<tr>
<td>CHIEF OF STAFF, SVP GOVERNANCE</td>
<td>197,841</td>
<td>(ii)</td>
<td>0</td>
<td>1,531</td>
<td>219,732</td>
</tr>
<tr>
<td></td>
<td>10,000</td>
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<td>1,531</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14 EMILY MURPHY</td>
<td>(i) 173,988</td>
<td>(ii)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DIVISION SENIOR VP, DEVELOPMENT</td>
<td>173,988</td>
<td>(ii)</td>
<td>0</td>
<td>17,587</td>
<td>231,470</td>
</tr>
<tr>
<td></td>
<td>8,619</td>
<td>0</td>
<td>13,276</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15 MARIA VANGAS-ZEA</td>
<td>(i) 155,373</td>
<td>(ii)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NATIONAL AVP, HUMAN RESOURCES</td>
<td>155,373</td>
<td>(ii)</td>
<td>0</td>
<td>19,260</td>
<td>204,326</td>
</tr>
<tr>
<td></td>
<td>9,912</td>
<td>0</td>
<td>19,781</td>
<td></td>
<td></td>
</tr>
<tr>
<td>16 EMILY MURPHY</td>
<td>(i) 173,988</td>
<td>(ii)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DIVISION SENIOR VP, DEVELOPMENT</td>
<td>173,988</td>
<td>(ii)</td>
<td>0</td>
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</tr>
<tr>
<td></td>
<td>8,619</td>
<td>0</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>17 MARIA VANGAS-ZEA</td>
<td>(i) 155,373</td>
<td>(ii)</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>NATIONAL AVP, HUMAN RESOURCES</td>
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</tr>
<tr>
<td></td>
<td>9,912</td>
<td>0</td>
<td>19,781</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
## Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

<table>
<thead>
<tr>
<th>Return Reference</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>PART I, LINE 4B</td>
<td>HAROLD WIMMER RECEIVED $33,045 FROM A 457(F) PLAN.</td>
</tr>
</tbody>
</table>
## Noncash Contributions

Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.  
Attach to Form 990.  
Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

### Part I  Types of Property

<table>
<thead>
<tr>
<th>(a) Check if applicable</th>
<th>(b) Number of contributions or items contributed</th>
<th>(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g</th>
<th>(d) Method of determining noncash contribution amounts</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Art—Works of art</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Art—Historical treasures</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Art—Fractional interests</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Books and publications</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Clothing and household goods</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Cars and other vehicles</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 Boats and planes</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Intellectual property</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9 Securities—Publicly traded</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10 Securities—Closely held stock</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 Securities—Partnership, LLC, or trust interests</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12 Securities—Miscellaneous</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13 Qualified conservation contribution—Historic structures</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14 Qualified conservation contribution—Other</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15 Real estate—Residential</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16 Real estate—Commercial</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>17 Real estate—Other</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>18 Collectibles</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>19 Food inventory</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>20 Drugs and medical supplies</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21 Taxidermy</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>22 Historical artifacts</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>23 Scientific specimens</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>24 Archeological artifacts</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>25 Other (SUPPLIES)</td>
<td>X</td>
<td>50</td>
<td>87,360 FMV</td>
</tr>
<tr>
<td>26 Other (_______)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>27 Other (_________)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>28 Other (_______)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

| 29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement | 29 |

| 30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period? |
|---|---|
| Yes | No |
|   |   |

b If "Yes," describe the arrangement in Part II.

| 31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions? |
|---|---|
| Yes | No |
| Yes |   |

| 32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? |
|---|---|
| Yes | No |
| No |   |

b If "Yes," describe in Part II.

| 33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II. |
|---|---|
| Yes | No |
|    |   |
**Part II Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

<table>
<thead>
<tr>
<th>Return Reference</th>
<th>Explanation</th>
</tr>
</thead>
</table>

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Schedule M (Form 990) (2022)
Software ID:
Software Version:
**EXECUTIVE COMMITTEE:** The Executive Committee shall act in place of and with the full authority of the Board of Directors when the Board of Directors is not in session, subject to the Board's power to amend or change those actions which have not been implemented prior to the Board meeting or meetings following the Executive meeting at which such action was taken. The Board of Directors has the power to authorize and delegate to the Executive Committee to the extent permitted by the Association's bylaws and applicable law. The Executive Committee shall not have the authority to amend the articles of incorporation, adopt a plan of merger or consolidation, authorize the sale or other disposition of all or substantially all of the property and assets of the Association, authorize the voluntary dissolution of the Association or revocation of such dissolution, or amend the bylaws of the Association. The Executive Committee may establish a leadership subcommittee consisting of the Chair, Vice-Chair, and Past-Chair, which shall serve as the Executive Committee's liaison to the President and CEO. The Governance Committee, taking into account geography, expertise, race, ethnicity, gender, age and other diversity factors, shall present annually to the Board of Directors its recommended nominees for members of the Board of Directors, Members of the Governance Committee and Officers (other than the President and Chief Executive Officer) of the Association (including a recommendation where appropriate, for the designation of the Vice-Chair as Chair-elect). Other nominations may not be made at the meeting of the Board of Directors from the floor.

**AMERICAN LUNG ASSOCIATION HAS ESTABLISHED THE FOLLOWING REVIEW PROCESS TO ENSURE THAT THE INFORMATION REPORTED IS COMPLETE AND ACCURATE. FORM 990 IS PREPARED BY AN OUTSIDE ACCOUNTING FIRM AND REVIEWED BY THE CFO. PRIOR TO ELECTRONIC SUBMISSION, IT IS REVIEWED BY THE ORGANIZATION'S DELEGATED RESPONSIBLE BODY, THE AUDIT AND RISK OVERSIGHT COMMITTEE.**

**ALA CURRENTLY HAS IN PLACE A CONFLICT OF INTEREST POLICY WHICH IT MONITORS AND ENFORCES ANNUALLY AND HAS A STANDING GOVERNANCE COMMITTEE THAT OVERSEES ITS EXECUTION. THE ORGANIZATION CURRENTLY MANDATES THAT ALL MEMBERS OF THE GOVERNING BODY, COMMITTEE MEMBERS AND ALL STAFF ANNUALLY SIGN A CONFLICT OF INTEREST POLICY AND DISCLOSE ANY POTENTIAL OR ACTUAL CONFLICTS THAT MAY EXIST. THE SIGNED CONFLICT OF INTEREST POLICY STATEMENTS ARE SUBMITTED TO THE GOVERNANCE COMMITTEE. THESE STATEMENTS ARE REVIEWED FOR POTENTIAL OR ACTUAL CONFLICTS.**

**THE AMERICAN LUNG ASSOCIATION HAS ESTABLISHED A COMPENSATION POLICY FOR ITS LEADERSHIP COMMITTEE TO FOLLOW IN ESTABLISHING THE COMPENSATION FOR ITS CFO, TOP MANAGEMENT OFFICIAL, OTHER OFFICERS OR KEY EMPLOYEES. THE POLICY MANDATES THAT EXECUTIVE COMPENSATION BE PERIODICALLY REVIEWED BY THE COMMITTEE AND THAT THE COMMITTEE SHOULD BE FREE OF CONFLICTS OF INTEREST. IN ADDITION, THE APPROVING COMMITTEE NEEDS TO REVIEW APPROPRIATE AND ADEQUATE DATA TO DETERMINE THE REASONABLENESS OF THE COMPENSATION BEING CONSIDERED. THE COMMITTEE MAY USE A VARIETY OF INFORMATION AND STUDIES THAT ARE AVAILABLE TO DETERMINE THAT THE APPROPRIATE LEVEL OF COMPENSATION IS BEING PAID TO ITS EXECUTIVES. THE COMMITTEE'S DECISION ON THE AMOUNT OF COMPENSATION PAID IS DOCUMENTED IN A CONTEMPORANEOUSLY WRITTEN FORMAT AND DOCUMENTS THE DATE OF THE DECISION. THE MEMBERS PRESENT DURING THE MEETING AND THOSE WHO VOTED ON IT. THE DETAILS OF THE TRANSACTION THAT WAS APPROVED AND THE COMPARABILITY DATA USED AND RELIED UPON TO MAKE THE DECISION. ALA CONDUCTS PERIODIC COMPENSATION REVIEW FOR THE CEO AS WELL AS OTHER OFFICERS AND KEY EMPLOYEES.**

**THE THREE MOST RECENT YEARS OF FORM 990 AND ANNUAL REPORTS ARE AVAILABLE ON AMERICAN LUNG ASSOCIATION'S WEBSITE, WWW.LUNG.ORG. GOVERNING DOCUMENTS AND CONFLICT OF INTEREST POLICY ARE AVAILABLE TO THE PUBLIC UPON REQUEST. OUR WEBSITE ALSO PROVIDES THE NAMES OF OUR BOARD OF DIRECTORS AND OUR ETHICS POLICY.**


**CHANGE IN FAIR VALUE OF BENEFICIAL INTEREST IN TRUSTS 1,456,320. CHANGE IN VALUE OF SPLIT-INTEREST TRUSTS -2,416. CHANGE IN VALUE OF EQUITY METHOD INVESTMENTS 2,554,103. PENSION AND RETIREMENT PLAN CHANGES -42,070.**

**THE PROCESS HAS NOT CHANGED FROM THE PRIOR YEAR.**