Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

**2022**

**Open to Public Inspection**

**For calendar year 2022, or tax year beginning 10-01-2022 , and ending 09-30-2023**

Name of foundation
CARNEGIE CORPORATION OF NEW YORK

**A Employer identification number**
13-1628151

Number and street (or P.O. box number if mail is not delivered to street address)
437 MADISON AVENUE

Room/suite

**B** Telephone number (see instructions)
(212) 371-3200

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10022

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here..... ▶ ☐
**2.** Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

**H** Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

**I** Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 4,098,600,165

**J** Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
*(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc., received (attach schedule) | 0 | | | |
| | 2 | Check ▶ ☑ if the foundation is **not** required to attach Sch. B | | | | |
| | 3 | Interest on savings and temporary cash investments | 15,621,983 | 14,737,206 | | |
| | 4 | Dividends and interest from securities | 1,378,429 | 1,161,329 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 509,084,510 | | | |
| | b | Gross sales price for all assets on line 6a 2,723,677,380 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 329,023,995 | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances 0 | | | | |
| | b | Less: Cost of goods sold 0 | | | | |
| | c | Gross profit or (loss) (attach schedule) | 0 | | | |
| | 11 | Other income (attach schedule) | 22,638,489 | 71,283,080 | 0 | |
| | 12 | **Total.** Add lines 1 through 11 | 548,723,411 | 416,205,610 | 0 | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc. | 4,130,048 | 1,772,323 | | 2,357,725 |
| | 14 | Other employee salaries and wages | 14,554,612 | 4,549,202 | | 10,005,411 |
| | 15 | Pension plans, employee benefits | 8,011,942 | 1,144,286 | | 6,843,697 |
| | 16a | Legal fees (attach schedule) | 239,583 | 80,158 | 0 | 159,425 |
| | b | Accounting fees (attach schedule) | 447,194 | 44,460 | 0 | 200,334 |
| | c | Other professional fees (attach schedule) | 19,117,552 | 1,870,148 | 0 | 1,190,129 |
| | 17 | Interest | 286,667 | | | 372,223 |
| | 18 | Taxes (attach schedule) (see instructions) | 5,804,040 | 0 | 0 | 0 |
| | 19 | Depreciation (attach schedule) and depletion | 892,531 | 0 | 0 | |
| | 20 | Occupancy | 3,110,681 | 322,144 | | 2,788,794 |
| | 21 | Travel, conferences, and meetings | 2,063,390 | 251,380 | | 1,551,643 |
| | 22 | Printing and publications | 1,022,675 | 5,552 | | 853,255 |
| | 23 | Other expenses (attach schedule) | 1,842,009 | 234,607 | 0 | 1,577,253 |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 61,522,924 | 10,274,260 | 0 | 27,899,889 |
| | 25 | Contributions, gifts, grants paid | 159,585,015 | | | 147,909,206 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 221,107,939 | 10,274,260 | 0 | 175,809,095 |
| | 27 | Subtract line 26 from line 12: | | | | |
| | a | **Excess of revenue over expenses and disbursements** | 327,615,472 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 405,931,350 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | 0 | |

**Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash—non-interest-bearing | 15,377 | 5,141 | 5,141 |
| | 2 | Savings and temporary cash investments | 167,925 | 317,714 | 317,714 |
| | 3 | Accounts receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | 0 | 0 | 0 |
| | 4 | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | 0 | 0 |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | 0 | 0 | 0 |
| | 7 | Other notes and loans receivable (attach schedule) ▶ 0 | | | |
| | | Less: allowance for doubtful accounts ▶ 0 | 0 | 0 | 0 |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | 445,734 | 142,572 | 142,572 |
| | 10a | Investments—U.S. and state government obligations (attach schedule) | 0 | 0 | 0 |
| | b | Investments—corporate stock (attach schedule) | 137,075,417 | 51,423,048 | 51,423,048 |
| | c | Investments—corporate bonds (attach schedule) | 229,733,612 | 364,896,129 | 364,896,129 |
| | 11 | Investments—land, buildings, and equipment: basis ▶ 0 | | | |
| | | Less: accumulated depreciation (attach schedule) ▶ 0 | 0 | | 0 |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | 3,692,986,540 | 3,658,290,560 | 3,658,290,560 |
| | 14 | Land, buildings, and equipment: basis ▶ 13,235,259 | | | |
| | | Less: accumulated depreciation (attach schedule) ▶ 9,224,200 | 4,598,661 | 4,011,059 | 4,011,059 |
| | 15 | Other assets (describe ▶ ) | 257,051 | 19,513,942 | 19,513,942 |
| | 16 | **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I) | 4,065,280,317 | 4,098,600,165 | 4,098,600,165 |
| Liabilities | 17 | Accounts payable and accrued expenses | 5,948,400 | 1,384,440 | |
| | 18 | Grants payable | 97,716,550 | 109,630,563 | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | 0 | 0 | |
| | 21 | Mortgages and other notes payable (attach schedule) | 0 | 0 | |
| | 22 | Other liabilities (describe ▶ ) | 14,864,598 | 53,808,342 | |
| | 23 | **Total liabilities**(add lines 17 through 22) | 118,529,548 | 164,823,345 | |
| Net Assets or Fund Balances | | **Foundations that follow FASB ASC 958, check here** ▶ ☐ **and complete lines 24, 25, 29 and 30.** | | | |
| | 24 | Net assets without donor restrictions | | | |
| | 25 | Net assets with donor restrictions | 3,946,750,769 | 3,933,776,820 | |
| | | **Foundations that do not follow FASB ASC 958, check here** ▶ ☐ **and complete lines 26 through 30.** | | | |
| | 26 | Capital stock, trust principal, or current funds | | | |
| | 27 | Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 28 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 29 | **Total net assets or fund balances** (see instructions) | 3,946,750,769 | 3,933,776,820 | |
| | 30 | **Total liabilities and net assets/fund balances** (see instructions) | 4,065,280,317 | 4,098,600,165 | |

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,946,750,769 |
| 2 | Enter amount from Part I, line 27a | 2 | 327,615,472 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0 |
| 4 | Add lines 1, 2, and 3 | 4 | 4,274,366,241 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 340,589,421 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 | 6 | 3,933,776,820 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1 a | Publicly Traded Securities | | 2023-09-30 | 2023-09-30 |
| b | Non-K1 Net Gain or (Loss) | | 2023-09-30 | 2023-09-30 |
| c | K1 Net Gain or (Loss) and UBTI Tax Adjustments | | 2023-09-30 | 2023-09-30 |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 2,242,636,806 | | 2,214,592,870 | 28,043,936 |
| b | 204,852,643 | | | 204,852,643 |
| c | 96,127,416 | | | 96,127,416 |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|---|
| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | | | 0 | 28,043,936 |
| b | | | 0 | 204,852,643 |
| c | | | 0 | 96,127,416 |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 329,023,995 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | 3 | 0 |

## Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)** | 1 | 5,642,446 |
| b | All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 5,642,446 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 5,642,446 |
| 6 | Credits/Payments: | | |
| a | 2022 estimated tax payments and 2021 overpayment credited to 2022 — 6a: 8,505,840 | | |
| b | Exempt foreign organizations—tax withheld at source — 6b: | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: | | |
| d | Backup withholding erroneously withheld — 6d: | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 8,505,840 |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2221 is attached. | 8 | 0 |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 2,863,394 |
| 11 | Enter the amount of line 10 to be: **Credited to 2023 estimated tax** ▶ 2,863,394 **Refunded** ▶ | 11 | 0 |

## Part VI-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ _____ **(2)** On foundation managers. ▶ $ _____ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ _____ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | Yes | |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | Yes | |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | Yes | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XIV.* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA, NY | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2022 or the taxable year beginning in 2022? See the instructions for Part XIII. If "Yes," complete Part XIII | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.* | 10 | | No |

## Part VI-A Statements Regarding Activities (continued)

| | | | | |
|---|---|---|---|---|
| **11** | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions | **11** | **Yes** | |
| **12** | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | **12** | **Yes** | |
| **13** | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | **13** | **Yes** | |

Website address ▶ https://www.carnegie.org

**14** The books are in care of ▶ William Moon Telephone no. ▶ (212) 371-3200

Located at ▶ 437 Madison Avenue New York NY ZIP+4 ▶ 10022

**15** Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ **15**

| | | | Yes | No |
|---|---|---|---|---|
| **16** | At any time during calendar year 2022, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | **16** | **Yes** | |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶ KS, TH, UK, CA | | | |

## Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

| | | | Yes | No |
|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | |
| **1a** | During the year did the foundation (either directly or indirectly): | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? | **1a(1)** | | **No** |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | **1a(2)** | | **No** |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? | **1a(3)** | | **No** |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | **1a(4)** | **Yes** | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | **1a(5)** | | **No** |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | **1a(6)** | | **No** |
| **b** | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | **1b** | | **No** |
| **c** | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **d** | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2022? | **1d** | | **No** |
| **2** | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| **a** | At the end of tax year 2022, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2022? | **2a** | | **No** |
| | If "Yes," list the years ▶ 20____, 20____, 20____, 20____ | | | |
| **b** | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) | **2b** | | |
| **c** | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____ | | | |
| **3a** | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | **3a** | | **No** |
| **b** | If "Yes," did it have excess business holdings in 2022 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2022.)* | **3b** | | |
| **4a** | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | **4a** | | **No** |
| **b** | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2022? | **4b** | | **No** |

## Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to: | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | 5a(1) | | No |
| | **(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | 5a(2) | Yes | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? | 5a(3) | Yes | |
| | **(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions | 5a(4) | Yes | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | 5a(5) | | No |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | 5b | | No |
| **c** | Organizations relying on a current notice regarding disaster assistance check ▶ ☐ | | | |
| **d** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | 5d | Yes | |
| | *If "Yes," attach the statement required by Regulations section 53.4945–5(d).* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | 6a | | No |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | No |
| | *If "Yes" to 6b, file Form 8870.* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | 7a | | No |
| **b** | If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? | 7b | | |
| **8** | Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? | 8 | Yes | |

## Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| Thomas H Kean<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Chairman<br>2.000 | 0 | 0 | 0 |
| Janet Robinson<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Vice Chairman<br>2.000 | 0 | 0 | 0 |
| Pedro Aspe<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee<br>2.000 | 4,350 | 0 | 0 |
| Lionel Barber<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee<br>2.000 | 0 | 0 | 0 |
| Martin Baron<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee<br>2.000 | 0 | 0 | 0 |
| Jared Cohon<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee (resigned 12/8/22)<br>2.000 | 0 | 0 | 0 |
| Kevin Conway<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee<br>2.000 | 0 | 0 | 0 |
| John DeGioa<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee<br>2.000 | 0 | 0 | 0 |
| Edward Djerejian<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee<br>2.000 | 0 | 0 | 0 |
| Leymah Roberta Gbowee<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee<br>2.000 | 8,700 | 0 | 0 |
| Jean-Marie Guhenno<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee<br>2.000 | 11,100 | 0 | 0 |
| Maria Elena Lagomasino<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee<br>2.000 | 0 | 0 | 0 |
| Marcia McNutt<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee<br>2.000 | 0 | 0 | 0 |
| Martha Minow<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee<br>2.000 | 0 | 0 | 0 |
| Steve Oxman<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee (new 3/9/23)<br>2.000 | 0 | 0 | 0 |
| Louise Richardson Trustee<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | (resigned 12/31/22)<br>2.000 | 0 | 0 | 0 |
| Kurt Schmoke<br>437 MADISON AVENUE | Trustee<br>2.000 | 0 | 0 | 0 |

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NEW YORK, NY 10022 | | | | |
| Anne Tatlock<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee (resigned 3/9/23)<br>2.000 | 3,675 | 0 | 0 |
| Astrid Tuminez<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee (new 3/9/23)<br>2.000 | 0 | 0 | 0 |
| Judy Woodruff<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee (resgined 12/8/22)<br>2.000 | 0 | 0 | 0 |
| Ann Claire Williams<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Trustee<br>2.000 | 0 | 0 | 0 |
| Louise Richardson President<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | (started 1/1/23)<br>50.000 | 712,500 | 312,353 | 0 |
| Mark Baumgartner<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Chief Investment Officer<br>50.000 | 1,772,323 | 153,702 | 0 |
| Deana Arsenian<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | VP, International Program, and Program Directo,r Russia & Eurasia<br>50.000 | 428,400 | 119,815 | 0 |
| LaVerne Srinivasan<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | VP, National Program and Program Director, Education<br>50.000 | 428,000 | 133,372 | 0 |
| William Moon<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | VP, Chief Financial Officer<br>50.000 | 425,000 | 142,392 | 0 |
| Nicole Howe-Buggs<br>437 MADISON AVENUE<br>NEW YORK, NY 10022 | Chief Adminstrative Officer & Corporate Secretary<br>50.000 | 336,000 | 114,275 | 0 |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| Jon-Michael Consalvo<br>437 MADISON AVENUE<br>NEW YORK,NY 10022 | Managing Director<br>50.000 | 867,645 | 122,032 | 0 |
| Zachary Mees<br>437 MADISON AVENUE<br>NEW YORK,NY 10022 | SrDir Investments<br>50.000 | 589,190 | 98,714 | 0 |
| Preet Chawla<br>437 MADISON AVENUE<br>NEW YORK,NY 10022 | SrDir Investments<br>50.000 | 572,967 | 98,863 | 0 |
| Bradley Kay<br>437 MADISON AVENUE<br>NEW YORK,NY 10022 | SrDir Inv Analytics<br>50.000 | 572,967 | 115,601 | 0 |
| Mervin Burton resigned 31323<br>437 MADISON AVENUE<br>NEW YORK,NY 10022 | Managing Director<br>50.000 | 501,275 | 56,298 | 0 |

**Total** number of other employees paid over $50,000. . . . . ▶ 87

**Part VII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| BNY Mellon<br>One Mellon Center<br>Pittsburgh, PA 15258 | Custody and Recordkeeping Services | 610,513 |
| Albourne America<br>425 California Street<br>San Francisco, CA 94104 | Investment Research | 607,583 |
| RSM US LLP<br>5155 Paysphere Circle<br>Chicago, IL 60674 | Audit and Tax Fees | 519,306 |
| Simpson Thacher & Bartlett<br>425 Lexington Avenue<br>Floor 4 Willow House<br>New York, NY 10017 | Legal Fees | 194,002 |
| Gracious Thyme Catering<br>73 Crotana Avenue<br>Harrison, NY 10528 | Catering Fees | 167,295 |

**Total** number of others receiving over $50,000 for professional services. . . . . ▶

24

## Part VIII- Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| **1** Public and dissemination of educational materials. Articles, books and other reading material, generated from the meetings mentioned above, from CCNY supported grantees and CCNY staff, are regularly produced and distributed by CCNY to the general public and to those individuals most directly interested in their contents. The corporation maintains a file of names and address to facilitate the dissemination program. | 4,749,904 |
| **2** Technical Assistance to grantees and other charitable organizations. This amount represent salary and overhead allocations of program staff for time spent advising grantees and other charitable organizations on a variety of subjects including, but not limited to, obtaining additional funding from other sources reducing operating expenses, complying with government regulation, and maintaining accurate financial records. | 920,341 |
| **3** Services on board or advisory committees. The amount represents the cost to support the service of CCNY's staff on board or advisory committees of other charitable organizations. | 398,289 |
| **4** The majority of this category represents the allocation of salaries, benefits, and overhead for staff who contribute portions of their time to the administration of CCNY-sponsored activity: for catalyzing integrated approaches to innovation in education reform and developing new approaches to learning and continuous improvement and the program dissemination fund. | 196,332 |

## Part VIII- Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| **1** None | |
| **2** | |
| All other program-related investments. See instructions. | |
| **3** | |
| **Total.** Add lines 1 through 3 . . . . . ▶ | 0 |

## Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | | |
| a | Average monthly fair market value of securities | | | 1a | 4,086,417,539 |
| b | Average of monthly cash balances | | | 1b | -2,692,552 |
| c | Fair market value of all other assets (see instructions) | | | 1c | 0 |
| d | **Total** (add lines 1a, b, and c) | | | 1d | 4,083,724,987 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0 | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | | 2 | |
| 3 | Subtract line 2 from line 1d | | | 3 | 4,083,724,987 |
| 4 | Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions) | | | 4 | 61,255,875 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 | | | 5 | 4,022,469,112 |
| 6 | **Minimum investment return.** Enter 5% (0.05) of line 5 | | | 6 | 201,123,456 |

## Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part IX, line 6 | | | 1 | 201,123,456 |
| 2a | Tax on investment income for 2022 from Part V, line 5 | 2a | 5,642,446 | | |
| b | Income tax for 2022. (This does not include the tax from Part V.) | 2b | 529,680 | | |
| c | Add lines 2a and 2b | | | 2c | 6,172,126 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 194,951,330 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | 274,902 |
| 5 | Add lines 3 and 4 | | | 5 | 195,226,232 |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1 | | | 7 | 195,226,232 |

## Part XI Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 | 1a | 175,809,095 |
| b | Program-related investments—total from Part VIII-B | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | 290,040 |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | 0 |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4 | 4 | 176,099,135 |

## Part XII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2021 | (c) 2021 | (d) 2022 |
|---|---|---|---|---|
| 1 Distributable amount for 2022 from Part X, line 7 | | | | 195,226,232 |
| 2 Undistributed income, if any, as of the end of 2022: | | | | |
| a Enter amount for 2021 only. . . . . | | | 27,891,805 | |
| b Total for prior years: 20___, 20___, 2018 | | 0 | | |
| 3 Excess distributions carryover, if any, to 2022: | | | | |
| a From 2017. . . . . 0 | | | | |
| b From 2018. . . . . 0 | | | | |
| c From 2019. . . . . 0 | | | | |
| d From 2020. . . . . 0 | | | | |
| e From 2021. . . . . 0 | | | | |
| f **Total** of lines 3a through e . . . . . | 0 | | | |
| 4 Qualifying distributions for 2022 from Part XI, line 4: ▶ $ 176,099,135 | | | | |
| a Applied to 2021, but not more than line 2a | | | 27,891,805 | |
| b Applied to undistributed income of prior years (Election required—see instructions). . . . . | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). . . . . | 0 | | | |
| d Applied to 2022 distributable amount . . . . . | | | | 148,207,330 |
| e Remaining amount distributed out of corpus | 0 | | | |
| 5 Excess distributions carryover applied to 2022. *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | | | 0 |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0 | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b . . . . . | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . | | | | |
| d Subtract line 6c from line 6b. Taxable amount —see instructions . . . . . | | 0 | | |
| e Undistributed income for 2021. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . . | | | 0 | |
| f Undistributed income for 2022. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2023 . . . . . | | | | 47,018,902 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . . | 0 | | | |
| 8 Excess distributions carryover from 2017 not applied on line 5 or line 7 (see instructions) . . . | 0 | | | |
| 9 **Excess distributions carryover to 2023.** Subtract lines 7 and 8 from line 6a . . . . . | 0 | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2018 . . . . 0 | | | | |
| b Excess from 2019 . . . . 0 | | | | |
| c Excess from 2020. . . . 0 | | | | |
| d Excess from 2021 . . . . 0 | | | | |
| e Excess from 2022 . . . . 0 | | | | |

## Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2022, enter the date of the ruling . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | **(a)** 2022 | **(b)** 2021 | **(c)** 2020 | **(d)** 2019 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed . . . . . | | | | | |
| **b** 85% (0.85) of line 2a . . . . . | | | | | |
| **c** Qualifying distributions from Part XI, line 4 for each year listed . . . . . | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . . | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| **a** "Assets" alternative test—enter: | | | | | |
| **(1)** Value of all assets . . . . . | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . . | | | | | |
| **c** "Support" alternative test—enter: | | | | | |
| **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

## Part Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Nicole Howe Buggs
c/o of Carnegie Corp of NY 437 Madi
son Avenue
New York, N Y 10022
(212) 371-3200
grantsinfo@carnegie.org

**b** The form in which applications should be submitted and information and materials they should include:

The charter of the Corporation ("CCNY") provides that all funds are to be used to promote "the advancement and diffusion of knowledge and understanding." Grants must be broadly education in nature, but need not be limited to the formal educational systems or institutions. CCNY makes it a policy to select a few areas in which to concentrate its grants over a period of years. Only proposals presented in CCNY's format will be considered for funding. Grantseekers who would like to approach CCNY with a preliminary request for funding are encouraged to submit a letter of inquiry. CCNY's letters of inquiry can be found on its grants portal: https://carnegie.fluxx.io. If the project described in the letter fits CCNY's guidelines, the sender will be contacted and asked to submit a proposal in CCNY's format. A request to submit a proposal is not an indication of an intention or commitment to award a grant.

**c** Any submission deadlines:

The Corporation accepts and requests for funding at all times of the year with no deadlines.

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

CCNY currently operates grant programs in four broad areas; Education, International Peace and Security, International Development, and Strengthening U.S. Democracy. CCNY does not make grants for construction, maintenance of facilities or endowments, and does not make program-related investments. CCNY does not generally make grants to individuals or to local or regional community service organizations. CCNY usually considers only projects that have the potential for national or international impact. Questions can be addressed to Nicole How Buggs, Chief Administrative Officer and Corporate Secretary

## Part XV-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 15,621,983 | |
| 4 Dividends and interest from securities | | | 14 | 1,378,429 | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | 901101 | 10,513,292 | 18 | 498,571,218 | |
| 9 Net income or (loss) from special events: | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a Partnership Income | 901101 | -1,301,352 | 14 | 23,939,841 | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 9,211,940 | | 539,511,471 | 0 |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 548,723,411

(See worksheet in line 13 instructions to verify calculations.)

## Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| | |

## Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| **1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| **(1)** Cash | 1a(1) | | No |
| **(2)** Other assets | 1a(2) | | No |
| **b** Other transactions: | | | |
| **(1)** Sales of assets to a noncharitable exempt organization | 1b(1) | | No |
| **(2)** Purchases of assets from a noncharitable exempt organization | 1b(2) | | No |
| **(3)** Rental of facilities, equipment, or other assets | 1b(3) | | No |
| **(4)** Reimbursement arrangements | 1b(4) | | No |
| **(5)** Loans or loan guarantees | 1b(5) | | No |
| **(6)** Performance of services or membership or fundraising solicitations | 1b(6) | | No |
| **c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | No |

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| **(a)** Line No. | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

**b** If "Yes," complete the following schedule.

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Signature of officer or trustee — Date 2024-08-12 — ▶ Title

May the IRS discuss this return with the preparer shown below? See instructions. ☐ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ▶☐ | PTIN |
|---|---|---|---|---|
| LYNNE JOHNSON | | | | P00757336 |
| Firm's name ▶ RSM US LLP | | | | Firm's EIN ▶42-0714325 |
| Firm's address ▶ 4 TIMES SQUARE NEW YORK, NY 10036 | | | | Phone no. (212) 372-1000 |

## Additional Data

Return to Form

**Software ID:** 22016089
**Software Version:** 2022v5.0

**Form 990PF - Special Condition Description:**

| Special Condition Description |
| --- |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| Accounting Fees | 447,194 | 44,460 | | 200,334 |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Description of Property | Date Acquired | Cost or Other Basis | Prior Years' Depreciation | Computation Method | Rate / Life (# of years) | Current Year's Depreciation Expense | Net Investment Income | Adjusted Net Income | Cost of Goods Sold Not Included |
|---|---|---|---|---|---|---|---|---|---|
| Leasehold Improvements | | 23,899,797 | | | 0 % | 618,873 | 0 | | |
| Furniture and Equipment | | 3,962,516 | | | 0 % | 273,658 | 0 | | |

**Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.**

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK
**EIN:** 13-1628151
**Software ID:** 22016089
**Software Version:** 2022v5.0

| Grantee's Name | Grantee's Address | Grant Date | Grant Amount | Grant Purpose | Amount Expended By Grantee | Any Diversion By Grantee? | Dates of Reports By Grantee | Date of Verification | Results of Verification |
|---|---|---|---|---|---|---|---|---|---|
| 2Revolutions | 77 Broadview Avenue<br>New Rochelle, NY 10804 | 2021-09-02 | 200,000 | For a project to test a scalable and cost-effective approach to curriculum-based professional learning | 200,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 2/23/2023 | 2023-04-10 | |
| Associated Press | 200 Liberty St<br>NY, NY 10281 | 2021-09-02 | 1,000,000 | For project support to expand education reporting through the AP Education Reporting Network | 814,485 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | Extended to 7.31.2024 |
| Associated Press | 200 Liberty St<br>NY, NY 10281 | 2023-02-06 | 200,000 | As a one-time only grant for reporting on nuclear security issues | 53,840 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 2/27/2024 | 2024-02-29 | |
| Association of College and University Educators | 745 Fifth Avenue 500<br>New York, NY 10151 | 2022-03-10 | 250,000 | For support of the first National Collegiate Teaching Conference | 250,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 9/27/2023 | 2024-04-22 | |
| Association of College and University Educators | 745 Fifth Avenue 500<br>New York, NY 10151 | 2023-09-14 | 300,000 | For project support of the Fostering a Culture of Belonging course, to certify up to 1,000 postsecondary faculty and staff nationwide | 0 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| Building Impact | 44 Howard Avenue<br>New Haven, CT 06519 | 2022-08-01 | 45,000 | As a final grant for the Joyful Impact Accelerator project | 40,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 5/2/2023 | 2023-09-20 | Final reports forthcoming. |
| Carnegie Foundation for the Advancement of Teaching | 51 Vista Lane<br>Stanford, CA 94305 | 2018-09-13 | 2,500,000 | For continuation of the iLEAD project | 2,367,287 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 9/29/2023 | 2023-09-30 | 196,440 carried over to 57941(includes carryover amount of $63,727 from 55215); ready to close |
| Carnegie Foundation for the Advancement of Teaching | 51 Vista Lane<br>Stanford, CA 94305 | 2019-12-20 | 200,000 | For a project called "The Chicago Story: Lessons for Building an Educational Improvement Infrastructure," which seeks to capture and share lessons from Chicago's educational improvement over the past three decades | 200,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 11/19/2021 | 2022-03-06 | |
| Carnegie Foundation for the Advancement of Teaching | 51 Vista Lane<br>Stanford, CA 94305 | 2020-12-10 | 1,125,000 | For the iLEAD network of schools of education and partner districts and the 2021 Summit on Improvement in Education | 1,321,440 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 9/29/2023 | 2023-09-30 | Includes $196,440 carried over from 56221; ready to close |
| Carnegie Foundation for the Advancement of Teaching | 51 Vista Lane<br>Stanford, CA 94305 | 2021-12-02 | 500,000 | For general support | 500,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 4/7/2023 | 2024-06-03 | |
| Carnegie Foundation for the Advancement of Teaching | 51 Vista Lane<br>Stanford, CA 94305 | 2022-01-18 | 25,000 | For support of the 2022 Summit on Improvement in Education | 25,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 9/1/2022 | 2022-12-07 | |
| Carnegie Foundation for the Advancement of Teaching | 51 Vista Lane<br>Stanford, CA 94305 | 2022-01-18 | 50,000 | For the project, "The Chicago Story: Lessons for Building an Educational Improvement Infrastructure" | 50,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 12/5/2023 | 2024-04-22 | |
| Carnegie Foundation for the Advancement of Teaching | 51 Vista Lane<br>Stanford, CA 94305 | 2023-06-08 | 1,500,000 | For support of the Advancing Social and Economic Opportunity through Education Initiative | 0 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| Carnegie Hero Fund Commission | 436 Seventh Avenue Suite 1101<br>Pittsburg, PA 152191841 | 2013-08-13 | 150,000 | As a one-time grant for general support | 134,398 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 3/1/2023 | 2023-09-25 | Refund rec'd in the amt. $15,601.96 of 4.3.2023; ready to close |
| Chumir Foundation for Ethics in Leadership | 10 East 62nd Street<br>New York, NY 10065 | 2022-11-17 | 200,000 | As a one-time only grant for general support | 116,700 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| DailyChatter | 32 Atlantic Avenue The Pilot House-<br>Lewis Wharf<br>Boston, MA 02110 | 2021-04-08 | 17,500 | As a final grant for helping college students know the world and combat misinformation | 17,500 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 1/29/2022 | 2022-04-25 | |
| DailyChatter | 32 Atlantic Avenue The Pilot House-<br>Lewis Wharf<br>Boston, MA 02110 | 2022-05-13 | 25,000 | As a final grant for helping college students know the world better and combat misinformation | 17,500 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| Education First | PO Box 22871<br>Seattle, WA 98122071 | 2021-09-02 | 95,000 | For communications related to and planning for the future of the Coherence Lab Fellowship, a project launched as part of the Corporation's Integration Design Consortium | 95,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 3/1/2023 | 2023-10-13 | |
| Education First | PO Box 22871<br>Seattle, WA 98122071 | 2023-06-29 | 45,000 | For project support of the Strategic Staffing Funder Group Landscape Analysis | 45,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 2/20/2024 | 2024-05-30 | |
| Education Strategy Group | 2 Wisconsin Circle<br>Chevy Chase, MD 20815 | 2022-08-15 | 100,000 | For project support to strengthen public understanding around postsecondary pathways and the shifting economy 2018 | 100,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 1/8/2024 | 2024-02-02 | |
| EducationCounsel | 101 Constitution Avenue NW Suite 90 0<br>0<br>Washington, DC 20001 | 2022-12-08 | 500,000 | For continued work to advance a learning systems approach in education | 463,442 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | carryover pending in the amount of $36,558 renewal 60927 (approved FY24) |
| EducationCounsel | 101 Constitution Avenue NW Suite 90 0<br>0<br>Washington, DC 20001 | 2023-02-06 | 50,000 | For exploring a plan for a funder collaborative to combat the anti-equity backlash in higher education | 45,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| EducationCounsel | 101 Constitution Avenue NW Suite 90 0<br>0<br>Washington, DC 20001 | 2023-09-06 | 200,000 | For project support of the implementation of Higher Education Forward Funder Collaborative | 50,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| Institute of Nuclear Materials Management | 1120 Rt 73 Suite 200<br>Mount Laurel, NJ 08054 | 2021-07-31 | 125,000 | For increasing racial diversity in the nuclear security sector | 46,272 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| International Center on NonViolent Conflict | 1775 Pennsylvania Ave NW Suite 1200<br>DC, WA 20006 | 2020-03-19 | 200,000 | For a one-time only grant on advancing research and online education on non-violent conflict and resistance | 200,398 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 3/13/2024 | 2024-03-25 | (includes $398 of interest) |
| Jain Family Institute | 568 Broadway Suite 601<br>NY, NY 10012 | 2023-09-14 | 250,000 | As one-time funding for "a project on multilateral urban investments" as part of a Request for Proposals (RFP) on "Reimagining Multilateralism and U.S. Foreign Policy" | 0 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | Grant transferred from Berggruen Institute effective February 1, 2024) |
| MarGrady Research | 38 West 89th Street 2<br>New York, NY 10024 | 2021-10-12 | 150,000 | For analyzing national school data and building a data dashboard | 150,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 2/1/2023 | 2023-03-22 | |
| MarGrady Research | 38 West 89th Street 2<br>New York, NY 10024 | 2022-07-11 | 200,000 | For analyzing national school data and building a data dashboard | 200,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 7/30/2023 | 2024-04-25 | |
| MarGrady Research | 38 West 89th Street 2<br>New York, NY 10024 | 2023-03-21 | 200,000 | For developing and disseminating a national school data dashboard | 195,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 5/13/2024 | 2024-04-25 | |
| Mark L Goldberg LLC | 1135 S York Street<br>Denver, CO 80210 | 2021-12-14 | 100,000 | For support of the Global Dispatches podcast | 100,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 4/30/2024 | 2024-06-28 | |
| Modern States - Education Alliance | 1633 Broadway 48th Floor<br>New York, NY 10019 | 2023-09-14 | 150,000 | For continued support of the enhanced Freshman Year for Free program, designed to keep college-bound high school seniors on a college track | 150,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 1/8/2024 | 2024-02-02 | |
| Modern States - Education Alliance | 1633 Broadway 48th Floor<br>New York, NY 10019 | 2023-09-14 | 300,000 | For project support and replication of the Freshman Year for Free program providing wraparound services and supports to under-resourced high schools to improve college enrollment and completion | 54,715 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| Playmatics | 106 Morningside Drive 96<br>NY, NY 10027 | 2020-08-24 | 25,000 | For a board game on strategic philanthropy designed to educate and inspire action | 20,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | n/a | 2024-05-01 | Grantee failed to submit final reporting after several attempts for GM and program staff. The organization is blacklisted and will NOT receive future funding. |
| Shared Lane Consulting Services | 395 Riverside Drive Apt 8D<br>New York, NY 10025 | 2022-06-16 | 100,000 | For support to continue building the Technology Career Exploration Program | 100,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 8/28/2023 | 2024-01-30 | |
| Shared Lane Consulting Services | 395 Riverside Drive Apt 8D<br>New York, NY 10025 | 2023-06-05 | 100,000 | For project support for aligning high school, workforce training & the labor market | 77,869 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 4/8/2024 | 2024-05-06 | |
| Shared Lane Consulting Services | 395 Riverside Drive Apt 8D<br>New York, NY 10025 | 2020-09-10 | 250,000 | For support to continue building the Technology Career Exploration Program (TCEP) | 145,087 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 3/23/2022 | 2022-12-06 | |
| Spitfire Strategies | 2300 N Street Suite 610<br>Washington, DC 20037 | 2020-08-12 | 150,000 | For a project on capacity building and training in communications for nuclear security experts | 134,436 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 5/2/2024 | 2023-01-31 | |
| StandardsWork Inc | 29 Carter Street<br>Quincy, MA 02169 | 2022-08-15 | 200,000 | For a project to conduct school tours in OpenSciEd states and support the Curriculum Matters Professional Learning Network | 200,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 9/7/2023 | 2023-05-31 | |
| Univision | 8551 NW 30th Terrace<br>Miami, FL 33122 | 2020-06-09 | 30,000 | For project support of a televised segment to inform families of available education-related resources | 30,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 1/22/2021 | 2021-02-01 | |
| Univision | 8551 NW 30th Terrace<br>Miami, FL 33122 | 2021-07-31 | 30,000 | For a project to support a New York City Post-Secondary Resources Series | 30,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | | | Final Report Overdue |
| Urban Schools Human Capital Academy | 10705 Cross School Road<br>Reston, VA 20191 | 2021-06-03 | 500,000 | For general support | 500,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 2/26/2024 | 2024-02-28 | |
| Urban Schools Human Capital Academy | 10705 Cross School Road<br>Reston, VA 20191 | 2023-06-08 | 500,000 | For general support | 143,374 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| Valens Global | 3180 Cypress Pond Pass<br>Duluth, GA 300973718 | 2018-06-27 | 50,000 | For assessing the threat of nuclear terrorism through an actor-centric and demand-focused framework | 46,595 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 3/26/2020 | 2022-04-08 | Refund rec'd $3,405.27; Ready to Close |
| War on the Rocks Media LLC | 80 M St SE C/O WeWork<br>Washington DC, DC 20003 | 2019-08-20 | 80,000 | For a documentary podcast on the nuclear age and the weapons it produced | 75,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 6/13/2024 | 2024-06-25 | Final payment of $5K will be canceled. |
| Atomic Reporters | Badgasse 56<br>Gumpoldskirchen, NONE 2352<br>AU | 2023-03-21 | 150,000 | For a project to enhance reporting on nuclear security issues | 40,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| Bloomsbury Publishing | 50 Bedford Square<br>London, NONE WC1B3DP<br>GC | 2020-09-10 | 155,000 | For publishing and disseminating critical globally-relevant research of early-career African scholars | 0 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | Extended to 11/30/24 |
| Caine Prize for African Writing | 51 Southwark Street<br>London, NONE SE11RU<br>GC | 2019-08-20 | 100,000 | As a final grant for the Caine Prize and workshops that support talented African writers | 51,467 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | Extension pending to 10.31.2024 |
| Carnegie Dunfermline Trust | Abbey Park House/Andrew Carnegie Ho<br>Abbey Park Place<br>Pittencrieff Stre<br>Dunfermline, NONE KY128AW<br>OC | 2022-06-07 | 150,000 | As a one-time only grant for the "Pittencrieff Park Ambition" Project | 67,786 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| Carnegie Trust for the Universities of Scotland | Andrew Carnegie House<br>Pittencrieff Street<br>Dunfermline KY128Aw<br>GC | 2023-09-06 | 100,000 | As a one-time only grant for support of anniversary planning and activities | 0 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| Ghana Academy of Arts and Sciences | PO Box M32<br>Accra, NONE GH | 2022-08-31 | 116,000 | For research and policy dialogues on higher education reforms in Ghana | 40,526 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| International School on Disarmament and Research on Conflicts (Isodarco) | via della Rotonda 4<br>Rome, NONE 00186<br>IT | 2019-09-12 | 150,000 | For two advanced courses on arms control and nuclear risk | 150,000 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 4/29/2024 | 2024-05-01 | |
| International School on Disarmament and Research on Conflicts (Isodarco) | via della Rotonda 4<br>Rome, NONE 00186<br>IT | 2023-06-05 | 150,000 | For two advanced international courses on new technologies and strategic stability | 74,400 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| Pugwash Conferences on Science and World Affairs | Accademia Nazionale dei Lincei<br>via della Lungara 10<br>Roma, NONE 00165<br>IT | 2023-09-06 | 200,000 | For dialogues on reducing nuclear risks | 0 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | No report due as of 9/30/23 | | |
| Ridgeway Information Ltd | 136 High Holborn<br>London, NONE WC1V6PX<br>OC | 2018-06-14 | 600,000 | For research on global nonproliferation issues and development of methodologies to use multimedia open sources in support of the International Atomic Energy Agency's Department of Safeguards | 596,476 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 5/1/2024 | 2024-05-01 | $4,005.00 carried over to renewal 57244; Ready to Close |
| Ridgeway Information Ltd | 136 High Holborn<br>London, NONE WC1V6PX<br>OC | 2020-06-04 | 400,000 | As a final grant for open-source multimedia analysis in support of nuclear nonproliferation | 403,524 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 5/1/2024 | 2024-05-01 | Program Review in progress. Includes carryover from previous grant 55908. |
| University World News (Africa) | 8 Mons Avenue<br>Claremont<br>Cape Town, Western Cape 7708<br>SF | 2022-03-10 | 395,000 | For support of University World News (Africa) higher education editorial projects | 197,500 | NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES. | 6/1/2023 | 2023-06-07 | |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Name | Date Acquired | How Acquired | Date Sold | Purchaser Name | Gross Sales Price | Basis | Basis Method | Sales Expenses | Total (net) | Accumulated Depreciation |
|---|---|---|---|---|---|---|---|---|---|---|
| Publicly Traded Securities | 2023-09 | | 2023-09 | | 2,242,636,806 | 2,214,592,870 | | | 28,043,936 | |
| Partnership net Gains or (Loss) | 2023-09 | | 2023-09 | | 481,040,574 | 0 | | | 481,040,574 | |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| BNY Mellon Cash Reserve | 0 | 0 |
| JP Morgan US Treasuries Plus Institutional | 196,921,890 | 196,921,890 |
| Dreyfus Treasury Securities | 40,369,402 | 40,369,402 |
| Prime Money Market Funds Institutional | 26,327,399 | 26,327,399 |
| Trade Date Cash | 22,739,868 | 22,739,868 |
| Parametric Cash | 78,537,570 | 78,537,570 |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| Due from Broker | 19,548,233 | 19,548,233 |
| Microsoft Corp | 0 | 0 |
| Snowflake Inc | 0 | 0 |
| Kinder Morgan Inc | 33 | 33 |
| Airbnb Inc | 1,405,854 | 1,405,854 |
| Crowdstrike Holdings Inc | 172,569 | 172,569 |
| Doordash Inc | 1,295,202 | 1,295,202 |
| Doximity Inc | 616,208 | 616,208 |
| Freshworks Inc | 209,618 | 209,618 |
| Nu Holdings Ltd/Cayman Islands | 6,466,188 | 6,466,188 |
| Structure Therapeutics Inc | 53,849 | 53,849 |
| Uipath Inc | 154,623 | 154,623 |
| Granite Ridge Resources Inc | 20,984,116 | 20,984,116 |
| Doordash Inc | 516,555 | 516,555 |

# TY 2023 1RS 990 e-file Report

**Name:** CARMEGIE CORPORATION OF NEW YORK
**EIN:** 13-1628151
**Software ID:** 22016089
**Software Version:** 2022v5.0

| Category / Item | Listed at Cost / FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| ALDUTAN FUND LTD | FMV | 136,546,003. | 136,546,003. |
| AQUILO CAPITAL OFFSHORE | FMV | | |
| ARCHIPELAGO LTD | FMV | 51,775,032. | 51,775,032. |
| BLS GLOBAL EQUITIES FUND LLC | FMV | 41,596,258. | 41,596,258. |
| BRIDGEWAY SMALLER CAP OFFSHORE | FMV | 30,865,010. | 30,865,010. |
| BRIARWOOD CAPITAL (OFFSHORE) LTD | FMV | 36,986,660. | 36,986,660. |
| CEPHEI CAPITAL & GRAHAM FUND | FMV | | |
| CHANNO BRASIL FUND LTD | FMV | 27,238,331. | 27,238,331. |
| ECHO STREET GOODCO SELECT II LP | FMV | 30,821,958. | 30,821,958. |
| ECHO STREET SELECT FUND OFFSHORE | FMV | 8,825,073. | 8,825,073. |
| EGON ZEHNDER CAPITAL FUND (CAYMAN) LP | FMV | 53,753,820. | 53,753,820. |
| EGON ZEHNDER CAPITAL FUND (CAYMAN) LP | FMV | 74,173,779. | 74,173,779. |
| EASTEN ASIA PACIFIC OFFSHORE FUND | FMV | 39,117,821. | 39,117,821. |
| KYMMEDICINE ENERGY ENGAGEMENT PARTNERS II | FMV | 22,030,790. | 22,030,790. |
| LONE CASCADE OFFSHORE FUND (MRG) CL D | FMV | | |
| LONE CASCADE | FMV | 47,391,232. | 47,391,232. |
| MARGAN INVESTORS | FMV | | |
| NEON LORXAYER | FMV | 1,404,201. | 1,404,201. |
| NEW VENTURE FUND | FMV | 135,331. | 135,331. |
| NINE TEN PARTNERSHIP | FMV | | |
| PARVUS GLOBAL OPPORTUNITIES FUND | FMV | 60,275,502. | 60,275,502. |
| SEQUOIA CAPITAL FUND | FMV | 32,807,503. | 32,807,503. |
| SILCHESTER INTL VALUE EQUITY TRUST | FMV | | |
| SOUGAVA EQUITY MAS LTD | FMV | | |
| STEINBERG INDIA EMERGING OPP. FUND | FMV | | |
| STONE FOREST CAPITAL | FMV | 5,257,529. | 5,257,529. |
| VERMOCK HEALTHCARE CAPITAL PARTNERS | FMV | 29,514,355. | 29,514,355. |
| ACCEL X | FMV | 8,851,675. | 8,851,675. |
| ACCEL XI | FMV | 3,327,985. | 3,327,985. |
| ACCEL XII | FMV | 10,296,828. | 10,296,828. |
| ACCEL XIII | FMV | 9,034,762. | 9,034,762. |
| ACCEL XIV | FMV | 7,257,835. | 7,257,835. |
| ACCEL GROWTH FUND I | FMV | 5,455,761. | 5,455,761. |
| ACCEL GROWTH FUND III | FMV | 6,198,540. | 6,198,540. |
| ACCEL GROWTH FUND IV | FMV | 11,102,968. | 11,102,968. |
| ACCEL GROWTH FUND V | FMV | 12,156,634. | 12,156,634. |
| ACCEL LEADERS FUND LP | FMV | 6,005,614. | 6,005,614. |
| ACCEL LEADERS FUND LP II | FMV | 3,663,062. | 3,663,062. |
| BH CMI FUND IV | FMV | 7,948,168. | 7,948,168. |
| 44 CHK EDO I | FMV | 2,917,667. | 2,917,667. |
| BH GAMES FUND I | FMV | 2,016,724. | 2,016,724. |
| BLUE LAKE SCIENCE VENTURES IV | FMV | 8,945,421. | 8,945,421. |
| ARCH VENTURE FUND XII | FMV | 4,998,955. | 4,998,955. |
| CATHAY CAPITAL HOLDINGS | FMV | 198,447. | 198,447. |
| CDH VENTURE PARTNERS III | FMV | 1,327,566. | 1,327,566. |
| CHAMPIONS FUND I | FMV | 2,291,313. | 2,291,313. |
| COLUMN GROUP OPPORTUNITY FUND III LP | FMV | 3,378,435. | 3,378,435. |
| CORRELATION VENTURES III | FMV | 6,855,085. | 6,855,085. |
| EMERGENCE CAPITAL PARTNERS III | FMV | 12,442,600. | 12,442,600. |
| EMERGENCE CAPITAL PARTNERS IV | FMV | 4,982,366. | 4,982,366. |
| EMERGENCE CAPITAL PARTNERS V | FMV | 8,717,270. | 8,717,270. |
| EMERGENCE CAPITAL PARTNERS VI | FMV | 2,726,220. | 2,726,220. |
| EMERGENCE CAPITAL PARTNERS OPP FUND I | FMV | 2,786,200. | 2,786,200. |
| FORESITE CAPITAL FUND VI | FMV | 2,666,554. | 2,666,554. |
| FORESITE CAPITAL FUND VII | FMV | 3,153,985. | 3,153,985. |
| FOUNDATION CAPITAL X LP | FMV | 5,887,779. | 5,887,779. |
| FOUNDATION CAPITAL LEADERSHIP FUND III | FMV | 9,259,900. | 9,259,900. |
| H CAPITAL MANAGEMENT | FMV | 5,002,800. | 5,002,800. |
| H CAPITAL IV | FMV | 19,903,831. | 19,903,831. |
| H CAPITAL V | FMV | 16,362,587. | 16,362,587. |
| H CAPITAL VI | FMV | 9,904,315. | 9,904,315. |
| HELION VENTURE PARTNERS | FMV | 11,313,990. | 11,313,990. |
| HELION VENTURE PARTNERS II | FMV | 10,276,221. | 10,276,221. |
| HELION VENTURE PARTNERS III | FMV | 5,356,174. | 5,356,174. |
| IDG-ACCEL CHINA GROWTH FUND | FMV | 3,154,150. | 3,154,150. |
| IDG-ACCEL CHINA CAPITAL II | FMV | 5,647,917. | 5,647,917. |
| IDG-ACCEL CHINA GROWTH FUND II | FMV | 1,771,870. | 1,771,870. |
| IDG ACCEL CHINA GROWTH FUND III | FMV | 5,577,614. | 5,577,614. |
| KEYSTONE VENTURES | FMV | 1,457,997. | 1,457,997. |
| KEYSTONE VENTURES II | FMV | 10,267,340. | 10,267,340. |
| MATRIX PARTNERS VIII | FMV | 3,290,960. | 3,290,960. |
| MATRIX PARTNERS IX | FMV | 9,602,856. | 9,602,856. |
| MOHR DAVIDOW VENTURES (MDV) IX | FMV | 19,903,831. | 19,903,831. |
| MONINGSIDE CHAN TIET FUND II | FMV | 10,362,587. | 10,362,587. |
| NEWUS INDIA CAPITAL I | FMV | 13,143,134. | 13,143,134. |
| NEUS VENTURES II | FMV | 21,043,607. | 21,043,607. |
| NEUS VENTURES IV | FMV | 15,402,515. | 15,402,515. |
| NEUS VENTURES V | FMV | 10,362,587. | 10,362,587. |
| NEUS VENTURES VI | FMV | 9,584,155. | 9,584,155. |
| NEUS VENTURES VII | FMV | 3,858,746. | 3,858,746. |
| NEUS VENTURES VIII | FMV | 3,624,424. | 3,624,424. |
| NEXUS OPPORTUNITY FUND II | FMV | 4,532,408. | 4,532,408. |
| SAMSARA BIOSCIENCE | FMV | 5,011,900. | 5,011,900. |
| SEQUOIA CAPITAL XII | FMV | 2,805,282. | 2,805,282. |
| SEQUOIA CAPITAL XIII | FMV | 8,673,440. | 8,673,440. |
| SEQUOIA CAPITAL XVI | FMV | 2,266,938. | 2,266,938. |
| SEQUOIA CAPITAL XVII | FMV | 1,018,671. | 1,018,671. |
| SEQUOIA CAPITAL CHINA I | FMV | 1,103,203. | 1,103,203. |
| SEQUOIA CAPITAL CHINA II | FMV | 2,441,312. | 2,441,312. |
| SEQUOIA CAPITAL CHINA VENTURE FUND VII | FMV | 1,238,419. | 1,238,419. |
| SEQUOIA CAPITAL CHINA VENTURE FUND VIII | FMV | 1,011,089. | 1,011,089. |
| SEQUOIA CAPITAL CHINA GROWTH I | FMV | 206,160. | 206,160. |
| SEQUOIA CAPITAL CHINA GROWTH 2010 | FMV | 2,607,508. | 2,607,508. |
| SEQUOIA CAPITAL CHINA GROWTH VI | FMV | 11,542,521. | 11,542,521. |
| SEQUOIA CAPITAL CHINA GROWTH V | FMV | 3,885,375. | 3,885,375. |
| SEQUOIA CAPITAL CHINA GROWTH VII | FMV | 2,495,161. | 2,495,161. |
| SEQUOIA CAPITAL CHINA GROWTH VIII | FMV | 420,424. | 420,424. |
| SEQUOIA CAPITAL CHINA SEED FUND I | FMV | 1,061,110. | 1,061,110. |
| SEQUOIA CAPITAL CHINA SEED FUND II | FMV | 1,324,800. | 1,324,800. |
| SEQUOIA CAPITAL CHINA SEED FUND III | FMV | 84,903. | 84,903. |
| SEQUOIA CAPITAL CHINA SEED FUND IV | FMV | 3,034,353. | 3,034,353. |
| SEQUOIA CAPITAL GLOBAL GROWTH FUND | FMV | 8,661,236. | 8,661,236. |
| SEQUOIA CAPITAL GROWTH FUND I | FMV | 2,808,410. | 2,808,410. |
| SEQUOIA CAPITAL INDIA GROWTH FUND I | FMV | 2,562,333. | 2,562,333. |
| SEQUOIA CAPITAL INDIA GROWTH FUND II | FMV | 305,987. | 305,987. |
| SEQUOIA CAPITAL INDIA GROWTH FUND III | FMV | 7,331,825. | 7,331,825. |
| SEQUOIA CAPITAL INDIA GROWTH FUND IV | FMV | 317,156. | 317,156. |
| SEQUOIA CENTURI 2010 | FMV | 35,211,048. | 35,211,048. |
| SEQUOIA CAPITAL(US) GROWTH FUND III | FMV | 387,069. | 387,069. |
| SEQUOIA CAPITAL(US) GROWTH FUND IV | FMV | 5,589,449. | 5,589,449. |
| SEQUOIA CAPITAL US GROWTH FUND V | FMV | 1,034,551. | 1,034,551. |
| SEQUOIA CAPITAL US GROWTH FUND VI | FMV | 2,033,170. | 2,033,170. |
| SEQUOIA CAPITAL US GROWTH FUND VII | FMV | 1,166,936. | 1,166,936. |
| SEQUOIA CAPITAL US GROWTH FUND VIII | FMV | 6,373,882. | 6,373,882. |
| SEQUOIA CAPITAL US GROWTH FUND IX | FMV | 3,932,750. | 3,932,750. |
| SEQUOIA CAPITAL US SCOUT SEED FUND III | FMV | 1,853,083. | 1,853,083. |
| SEQUOIA CAPITAL US SCOUT SEED FUND IV | FMV | 805,651. | 805,651. |
| VERSANT VANTAGE III LP | FMV | 208,006. | 208,006. |
| VERSANT VANTAGE IV LP | FMV | 164,670. | 164,670. |
| VERSANT VCVEQUITIES LP | FMV | 84,489. | 84,489. |
| WESTBRIDGE CAPITAL | FMV | 781,599. | 781,599. |
| W J HARPER INC DUNN VI LTD | FMV | 45,649. | 45,649. |
| ABRY PARTNERS V | FMV | 28,344. | 28,344. |
| ABRY PARTNERS VI | FMV | 257,737. | 257,737. |
| ABRY PARTNERS VII | FMV | 2,612,706. | 2,612,706. |
| ABRY PARTNERS VIII | FMV | 2,599,444. | 2,599,444. |
| ABRY PARTNERS IX | FMV | 18,354,045. | 18,354,045. |
| ABRY PARTNERS SENIOR EQUITY | FMV | 5,209,284. | 5,209,284. |
| ABRY HERITAGE PARTNERS II | FMV | 1,687,899. | 1,687,899. |
| ALPINE INVESTORS III | FMV | 403,802. | 403,802. |
| ALPINE INVESTORS IV | FMV | 9,791,288. | 9,791,288. |
| AMERICAN SECURITIES PARTNERS V | FMV | 12,263. | 12,263. |
| ANGELES EQUITY PARTNERS I | FMV | 6,881,230. | 6,881,230. |
| ARCLINE CAPITAL PARTNERS LP I | FMV | 27,863,525. | 27,863,525. |
| ARCLINE CAPITAL PARTNERS LP II | FMV | 11,064,124. | 11,064,124. |
| ARCLINE CAPITAL PARTNERS LP III | FMV | 2,253,608. | 2,253,608. |
| BAIN CAPITAL VII | FMV | 2,004,002. | 2,004,002. |
| BAIN CAPITAL FUND X | FMV | 957,549. | 957,549. |
| BAIN CAPITAL 400 FUND II | FMV | 2,337,078. | 2,337,078. |
| BAIN CAPITAL CREDIT FUND III | FMV | 473,621. | 473,621. |
| BERKSHIRE FUND VI | FMV | 2,247,390. | 2,247,390. |
| BERKSHIRE FUND VII | FMV | 581,150. | 581,150. |
| BERKSHIRE FUND VIII | FMV | 9,356,099. | 9,356,099. |
| BERKSHIRE FUND IX | FMV | 16,709,921. | 16,709,921. |
| BERKSHIRE FUND IX - CO-INVESTMENT FUND | FMV | 4,654,674. | 4,654,674. |
| CDH CHINA FUND III (incl supplementary) | FMV | 211,889. | 211,889. |
| CDH CHINA FUND IV | FMV | 1,765,988. | 1,765,988. |
| CDH CHINA FUND V | FMV | 5,413,250. | 5,413,250. |
| CITIC CAPITAL | FMV | | |
| CITIC CAPITAL CHINA I | FMV | 1,311,663. | 1,311,663. |
| CITIC CAPITAL CHINA II | FMV | 10,668,248. | 10,668,248. |
| FLEXPOINT FUND II | FMV | 6,759,263. | 6,759,263. |
| FLEXPOINT FUND III | FMV | 20,440,315. | 20,440,315. |
| FLEXPOINT/FLEXPOINT OVERAGE FUND IV | FMV | 20,000,047. | 20,000,047. |
| FRANCISCO ALLEY PARTNERS LP | FMV | 7,791,753. | 7,791,753. |
| FRANCISCO ALLEY PARTNERS II LP | FMV | 4,483,225. | 4,483,225. |
| FRANCISCO PARTNERS IV | FMV | 9,892,214. | 9,892,214. |
| FRANCISCO PARTNERS V | FMV | 24,532,171. | 24,532,171. |
| FRANCISCO PARTNERS VI A | FMV | 5,751,375. | 5,751,375. |
| FTV INVESTMENT MANAGEMENT V | FMV | | |
| GOLDEN GATE CAPITAL IV | FMV | 170,268. | 170,268. |
| GOLDEN GATE CAPITAL OPPORTUNITY FUND | FMV | 6,335,840. | 6,335,840. |
| INDUSTRIAL GROWTH PARTNERS IV | FMV | 827,341. | 827,341. |
| INDUSTRIAL GROWTH PARTNERS V | FMV | 5,589,276. | 5,589,276. |
| INDUSTRIAL GROWTH PARTNERS VI | FMV | 4,695,595. | 4,695,595. |
| LL CAPITAL PARTNERS I (ref Sim AR of 7/1/17) | FMV | 6,069,173. | 6,069,173. |
| LINSALATA CAPITAL PARTNERS VII | FMV | 2,016,268. | 2,016,268. |
| LUMINATE CAPITAL PARTNERS III | FMV | 6,156,618. | 6,156,618. |
| MADISON WILLIAMS II | FMV | 20,909,240. | 20,909,240. |
| MADISON WILLIAMS FOUNDER | FMV | 4,991,335. | 4,991,335. |
| MADISON WILLIAMS VENTURE | FMV | 18,607. | 18,607. |
| MONITOR CAPITAL PARTNERS III - BETA LP | FMV | 2,174,639. | 2,174,639. |
| SHOAMORE PARTNERS | FMV | 8,899,321. | 8,899,321. |
| SYCAMORE PARTNERS II | FMV | 11,470,864. | 11,470,864. |
| SYCAMORE PARTNERS III | FMV | 21,287,873. | 21,287,873. |
| TIGER GLOBAL PARTNERS V | FMV | 1,204,607. | 1,204,607. |
| ACADIA STRATEGIC OPP FUND II | FMV | 651,000. | 651,000. |
| ACADIA STRATEGIC OPP FUND III | FMV | 798,327. | 798,327. |
| ACADIA STRATEGIC OPP FUND IV | FMV | 2,746,672. | 2,746,672. |
| ACADIA STRATEGIC OPP FUND V | FMV | 12,474,242. | 12,474,242. |
| AVANTI INVESTORS | FMV | 3,177,340. | 3,177,340. |
| AVANTI INVESTORS V | FMV | 13,195,573. | 13,195,573. |
| AVANTI INVESTORS VI | FMV | 16,365,289. | 16,365,289. |
| AVANTI INVESTORS VIII | FMV | 13,833,419. | 13,833,419. |
| AGLAN REALTY II (TRANSWESTERN) | FMV | | |
| BROOKDALE INVESTORS VIII | FMV | 3,571,440. | 3,571,440. |
| BROOKDALE INVESTORS IX | FMV | 2,224,839. | 2,224,839. |
| CARMEL PARTNERS FUND III | FMV | 1,162,217. | 1,162,217. |
| CARMEL PARTNERS FUND V | FMV | 9,088,765. | 9,088,765. |
| CARMEL PARTNERS FUND VI | FMV | 13,378,850. | 13,378,850. |
| CARMEL PARTNERS FUND VII | FMV | 7,810,565. | 7,810,565. |
| CARMEL PARTNERS FUND VIII | FMV | 7,838,715. | 7,838,715. |
| FPA APARTMENT OPPORTUNITY FUND III-A | FMV | 83,343. | 83,343. |
| FPA APARTMENT OPPORTUNITY FUND IV | FMV | 5,000. | 5,000. |
| FPA APARTMENT OPPORTUNITY FUND VI-A | FMV | 6,322,965. | 6,322,965. |
| FPA APARTMENT OPPORTUNITY FUND VII-A | FMV | 19,222,275. | 19,222,275. |
| FPA APARTMENT OPPORTUNITY FUND VIII-A | FMV | 9,897,000. | 9,897,000. |
| GCPREP REIT II | FMV | | |
| GCP REP REIT III | FMV | 16,272,630. | 16,272,630. |
| GREEN OAK EUROPE II | FMV | 4,692,933. | 4,692,933. |
| HAMPSHIRE PARTNERS REIT VIII | FMV | 1,281,208. | 1,281,208. |
| HAMPSHIRE PARTNERS REIT VIII | FMV | 577,717. | 577,717. |
| HAMPSHIRE PARTNERS REIT IX | FMV | 1,102,610. | 1,102,610. |
| JBG INVESTMENT FUND VIII | FMV | 1,407,506. | 1,407,506. |
| JBG INVESTMENT FUND IX | FMV | 973,818. | 973,818. |
| LBA LOGISTICS VALUE FUND VI LP | FMV | 11,986,110. | 11,986,110. |
| LBA LOGISTICS VALUE FUND IX | FMV | 6,476,732. | 6,476,732. |
| LBA REALTY FUND | FMV | 380,170. | 380,170. |
| LBA REALTY FUND II | FMV | 2,153,736. | 2,153,736. |
| LBA REALTY FUND III | FMV | 4,447,450. | 4,447,450. |
| LBA REALTY FUND IV | FMV | 1,004,658. | 1,004,658. |
| LBA REALTY FUND V | FMV | 2,069,162. | 2,069,162. |
| LBA REALTY FUND VI | FMV | 4,810,062. | 4,810,062. |
| M&H REALTY PARTNERS V (former SWAP) | FMV | 147,822. | 147,822. |
| MADISON REFI REALTY FUND V | FMV | 17,427. | 17,427. |
| MARCUS CAPITAL PARTNERS IV | FMV | 2,119,249. | 2,119,249. |
| MERLONE GEIER PARTNERS | FMV | 2,776,344. | 2,776,344. |
| MERLONE GEIER PARTNERS IV | FMV | 796,013. | 796,013. |
| MERLONE GEIER PARTNERS V | FMV | 5,861,161. | 5,861,161. |
| MERLONE GEIER PARTNERS XI | FMV | 6,674,564. | 6,674,564. |
| MERLONE GEIER PARTNERS XII | FMV | 7,879,419. | 7,879,419. |
| NORTHWOOD R.E. CO-INVESTORS SERIES I | FMV | 5,958,777. | 5,958,777. |
| NORTHWOOD R.E. CO-INVESTORS SERIES II | FMV | 3,011,348. | 3,011,348. |
| NORTHWOOD R.E. CO-INVESTORS SERIES III | FMV | 8,545,414. | 8,545,414. |
| NORTHWOOD R.E. PARTNERS SERIES V | FMV | 10,252,314. | 10,252,314. |
| NORTHWOOD R.E. PARTNERS SERIES VI | FMV | 8,574,566. | 8,574,566. |
| SPF REALTY FUND III | FMV | 3,174,709. | 3,174,709. |
| SPF REALTY FUND IV | FMV | | |
| SRI EIGHT REIT | FMV | 14,486,578. | 14,486,578. |
| SRI TEN REIT | FMV | 282,147. | 282,147. |
| SRI TWELVE REIT | FMV | 1,050,850. | 1,050,850. |
| WOODBOURNE CANADA PARTNERS II | FMV | 246,864. | 246,864. |
| WOODBOURNE CANADA PARTNERS III | FMV | 10,394,734. | 10,394,734. |
| WOODBOURNE CANADA PARTNERS IV | FMV | 13,511,416. | 13,511,416. |
| ARYAN NATURAL RESOURCES FUND I | FMV | 436,357. | 436,357. |
| BLACK BAY ENERGY LP | FMV | 8,205,269. | 8,205,269. |
| CARNEGIAN ENERGY CAPITAL I | FMV | 399,440. | 399,440. |
| CARNEGIAN ENERGY CAPITAL II LP | FMV | 7,259,621. | 7,259,621. |
| CARNEGIAN ENERGY CAPITAL III LP | FMV | 22,005,829. | 22,005,829. |
| CARNEGIAN ENERGY CAPITAL IV LP | FMV | 13,432,320. | 13,432,320. |
| CARNEGIAN EDGEWOOD CO-INVEST LP | FMV | 12,081,666. | 12,081,666. |
| CARNEGIAN EDGEWOOD FUND LP | FMV | 871,167. | 871,167. |
| DENHAM COMMODITY FUND V | FMV | 2,682,851. | 2,682,851. |
| DENHAM COMMODITY FUND VI | FMV | 1,760,701. | 1,760,701. |
| DENHAM MINING FUND LP | FMV | 21,398,217. | 21,398,217. |
| ENERGY CAPITAL PARTNERS IV | FMV | | |
| ENERGY CAPITAL PARTNERS V-A | FMV | 475,000. | 475,000. |
| ENERGY CAPITAL PARTNERS III | FMV | 4,576,862. | 4,576,862. |
| ENERGY CAPITAL PARTNERS IV-A | FMV | 10,788,380. | 10,788,380. |
| ENERGY CAPITAL PARTNERS V-B | FMV | 5,912,124. | 5,912,124. |
| CP TERRA-GEN GROWTH FUND LP | FMV | 5,006,100. | 5,006,100. |
| DNG FUND II | FMV | 10,708,985. | 10,708,985. |
| DNG FUND III | FMV | 5,375,705. | 5,375,705. |
| GREY ROCK ENERGY FUND III-B | FMV | 5,355,612. | 5,355,612. |
| GREY ROCK ENERGY FUND III-A | FMV | 15,828,492. | 15,828,492. |
| GREY ROCK INVESTMENT OPPORTUNITIES FUND I | FMV | 4,331,325. | 4,331,325. |
| GREY ROCK NET ZERO WALLT-CO INVEST LP | FMV | 185,474. | 185,474. |
| GREY ROCK OVERFUNDED ENERGY FUND IV (aka Greyrock Energy Fund IV-B) | FMV | 16,832,117. | 16,832,117. |
| GRINNELL FUND VI LP | FMV | 16,336,810. | 16,336,810. |
| LIME ROCK PARTNERS IV | FMV | 33,154. | 33,154. |
| LIME ROCK PARTNERS VII | FMV | 20,981,105. | 20,981,105. |
| LIME ROCK PARTNERS X | FMV | 1,390,832. | 1,390,832. |
| LIME ROCK PARTNERS XI | FMV | 18,642,000. | 18,642,000. |
| LIME ROCK PARTNERS VIII | FMV | 17,071,768. | 17,071,768. |
| NEWT ENERGY CANADA | FMV | | |
| NEWT ENERGY PARTNERS IV | FMV | 3,460,546. | 3,460,546. |
| NEWT ENERGY PARTNERS I | FMV | 13,867,580. | 13,867,580. |
| NEWT ENERGY PARTNERS 3 | FMV | 9,983,717. | 9,983,717. |
| OLD IRONSIDES ENERGY FUND 2B | FMV | 7,495,350. | 7,495,350. |
| OLD IRONSIDES ENERGY FUND 3 | FMV | 6,980,460. | 6,980,460. |
| RESOURCE CAPITAL FUND IV | FMV | 1,354,245. | 1,354,245. |
| RESOURCE CAPITAL FUND VI | FMV | 5,825,044. | 5,825,044. |
| SCP-VI PARTNERS | FMV | 25,110. | 25,110. |
| SCP-VII PARTNERS | FMV | 2,635,409. | 2,635,409. |
| SCP-VIII PARTNERS | FMV | 10,226,800. | 10,226,800. |
| SCP-IX PARTNERS | FMV | 18,097,670. | 18,097,670. |
| WEST WIND ENERGY II | FMV | 1,962,000. | 1,962,000. |
| ABRY SENIOR EQUITY IV | FMV | 331,771. | 331,771. |
| ABRY SENIOR EQUITY V | FMV | 3,766,447. | 3,766,447. |
| ABRY SENIOR EQUITY VI | FMV | 4,003,341. | 4,003,341. |
| CHAMPLAIN REAL ESTATE CAPITAL FUND V | FMV | 9,266,328. | 9,266,328. |
| FORTRESS SPECIAL ASSETS FUND | FMV | 1,066,387. | 1,066,387. |
| FLEXPORT ASSETS OPP FUND IIA | FMV | 1,930,552. | 1,930,552. |
| HOLLYCO OPPORTUNITY FUND VIII | FMV | 2,474,000. | 2,474,000. |
| MAVERICK LEN FUND V | FMV | 2,970,472. | 2,970,472. |
| MAVERICK LEN FUND VI | FMV | 8,822,414. | 8,822,414. |
| MAVERICK LEN FUND VI CO-INVEST | FMV | 5,547,582. | 5,547,582. |
| MAVERICK LEN FUND VII OFFSHORE FUND LTD | FMV | 26,198,700. | 26,198,700. |
| AQR ADAPTIVE MULTI-ASSET OFFSHORE FUND II | FMV | 91,209,862. | 91,209,862. |
| BAUPOST VALUE PARTNERS | FMV | 39,535,781. | 39,535,781. |
| BAYBERRY OFFSHORE FUND | FMV | 10,285,700. | 10,285,700. |
| BEACON LIGHT OFFSHORE FUND | FMV | 10,000,000. | 10,000,000. |
| BLUE RIDGE OFFSHORE FUND | FMV | 192,937. | 192,937. |
| BROCKSIDE | FMV | 13,154. | 13,154. |
| CHAMPLAIN KENSINGTON GLOBAL STRAT. FUND | FMV | 174,703,750. | 174,703,750. |
| 20 OPPORTUNITY FUND | FMV | 63,681,947. | 63,681,947. |
| CHAP (PRESIDENT'S CLUB) OFFSHORE LTD | FMV | 85,699,110. | 85,699,110. |
| FARMSTEAD OFFSHORE, LTD | FMV | 2,002,773. | 2,002,773. |
| FBR TREE INTERNATIONAL VALUE FUND | FMV | | |
| GRAY STREET STRATEGIES I LTD | FMV | 47,767,815. | 47,767,815. |
| LONE PINON FUND I (DBA SAURIUS)(LONE CEDAR) | FMV | | |
| LONE PINON, EXCLUSIVE OPPORTUNITIES | FMV | 9,571,850. | 9,571,850. |
| MAN INSTITUTIONAL PORTFOLIO NEDDHMLUPH LTD | FMV | 105,328,695. | 105,328,695. |
| MAN INSTITUTIONAL PORTFOLIO NEDDHMLUPH LTD (TARGET REDC CLASS B) | FMV | 93,265,277. | 93,265,277. |
| SENTYN TI PARTNERS | FMV | | |
| NICHE PLUS OFFSHORE FUND LTD | FMV | 30,422,734. | 30,422,734. |
| SERVIUS PARTNERS, LTD | FMV | 35,444,000. | 35,444,000. |
| SYSTEM2 | FMV | 48,116,973. | 48,116,973. |
| TWIN TREE CAPITAL | FMV | 50,549,477. | 50,549,477. |
| TAL CITRON FOCUS FUND, LTD | FMV | 41,750,000. | 41,750,000. |
| TIGER GLOBAL LTD | FMV | 29,147,884. | 29,147,884. |
| TWO SIGMA SPECTRUM CAYMAN FUND | FMV | 51,862,146. | 51,862,146. |
| TWO SIGMA CORNADO ENHANCED CAYMAN | FMV | 24,326,296. | 24,326,296. |
| TWO SIGMA MESA LTD | FMV | 28,728,104. | 28,728,104. |
| TWINDERCO CAPITAL OFFSHORE FUND | FMV | 54,934,493. | 54,934,493. |
| WAGE EARNER FUND (OFFSHORE) | FMV | 4,403,147. | 4,403,147. |
| WIKOF FUND IV | FMV | 14,496,578. | 14,496,578. |
| PARKING CAPITAL PARTNERS | FMV | 51,260,591. | 51,260,591. |
| PARKLEIGH CAPITAL PARTNERS | FMV | 4,403,147. | 4,403,147. |
| WIKOF FUND IV | FMV | 14,496,578. | 14,496,578. |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Category / Item | Cost / Other Basis | Accumulated Depreciation | Book Value | End of Year Fair Market Value |
|---|---|---|---|---|
| Leasehold Improvoements | 9,272,743 | 5,814,209 | 3,458,534 | 3,458,534 |
| Furniture and Equipment | 3,962,516 | 3,409,991 | 552,525 | 552,525 |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| Legal Fees | 239,583 | 80,158 | | 159,425 |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|---|---|---|---|
| Right of use asset | 0 | 14,627,054 | 14,627,054 |
| Taxes Receivable | 257,051 | 4,886,888 | 4,886,888 |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Description | Amount |
| --- | --- |
| Unrealized Losses net of deferred taxes | 340,589,421 |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| Office Expenses | 884,811 | 80,472 | | 813,487 |
| IT Expenses | 784,795 | 144,521 | | 627,882 |
| Association Memberships | 36,564 | 9,614 | | 26,950 |
| Insurance | 135,839 | 0 | | 108,934 |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|---|---|---|---|
| Book Income from Partnerships | 22,638,489 | 3,382,956 | |
| Tax adjustment for Partnerships | | 61,815,913 | |
| Foreign partnership loss | | -54,911 | |
| Subpart F income | | 6,139,122 | |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Description | Beginning of Year - Book Value | End of Year - Book Value |
|---|---|---|
| Deferred Taxes Liability | 14,864,598 | 11,725,693 |
| Derivative Liability | 0 | 16,957,128 |
| Swap Collateral Liability | 0 | 6,500,000 |
| Lease Liability | 0 | 18,625,521 |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| Investment Management and Custody | 17,978,283 | 1,870,148 | 0 | 0 |
| Program Consulting | 1,139,269 | 0 | 0 | 1,190,129 |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| Federal Excise & Unrelated Business Income Tax | 5,742,723 | | | |
| States Unrelated Business Income Tax | 61,317 | | | |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Name | US / Foreign Address | EIN | Description | Amount |
|---|---|---|---|---|
| Stratus Capital Partners III-Beta LP | 50 Lothian Road<br>Festival Square<br>Edinburgh EH39WJ<br>UK | 98-1393083 | None | 0 |
| SFC Foresta Fund LTD | 40 Thornley Drive<br>Chatham, NJ 07928 | 000000000 | Redemption Proceeds | 61,751,415 |
| **Total** | | | | |

# TY 2022 IRS 990 e-File Render

**Name:** CARNEGIE CORPORATION OF NEW YORK

**EIN:** 13-1628151

**Software ID:** 22016089

**Software Version:** 2022v5.0

| Name | US / Foreign Address | EIN | Description | Amount |
|---|---|---|---|---|
| AFBI Multi-Strategy Offshore Fund LTD | 605 Lincoln Road Suite 303<br>Miami Beach, FL 33139 | 000000000 | Capital Contribution | 33,000,000 |
| AQR Adaptive Mutil-Asset Offshore Fund II LP | c/o Ogier Global Cayman Limited<br>89 Nexus Way Camana Bay<br>Grand Cayman KY19009<br>CJ | 000000000 | Capital Contribution | 100,000,000 |
| Man Institutional Portfolio Neodymium LTD | Maples Corporate Services LTD<br>PO Box 309 Ugland House<br>Grand Cayman KY11104<br>CJ | 000000000 | Capital Contribution | 100,000,000 |
| **Total** | | | | |