Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No. 1545-0047

2023

Open to Public Inspection

For calendar year 2023, or tax year beginning 01-01-2023 , and ending 12-31-2023

Name of foundation
THE CHARLES A DANA FOUNDATION
INCORPORATED

A Employer identification number
06-6036761

Number and street (or P.O. box number if mail is not delivered to street address)
1270 AVENUE OF THE AMERICAS 12TH F

Room/suite

B Telephone number (see instructions)
(212) 223-4040

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100201725

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 258,637,512

J Accounting method: ☐ Cash ☑ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	644	644		
	4	Dividends and interest from securities	626,360	19,376,453		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	4,592,222			
	b	Gross sales price for all assets on line 6a 60,023,472				
	7	Capital gain net income (from Part IV, line 2)		5,237,089		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	48,482	98,679		
	12	**Total.** Add lines 1 through 11	5,267,708	24,712,865		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	1,112,200	142,880		969,320
	14	Other employee salaries and wages	1,980,220	81,666		1,898,554
	15	Pension plans, employee benefits	1,438,167	82,068		1,296,336
	16a	Legal fees (attach schedule)	83,519	0		75,427
	b	Accounting fees (attach schedule)	59,768	0		59,768
	c	Other professional fees (attach schedule)	937,510	473,144		347,530
	17	Interest		548,288		
	18	Taxes (attach schedule) (see instructions)	102,395	124,511		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy	332,163	0		213,975
	21	Travel, conferences, and meetings	134,219	0		200,720
	22	Printing and publications	69,575	0		0
	23	Other expenses (attach schedule)	717,898	1,689,503		730,763
	24	**Total operating and administrative expenses.** Add lines 13 through 23	6,967,634	3,142,060		5,792,393
	25	Contributions, gifts, grants paid	9,310,621			2,579,911
	26	**Total expenses and disbursements.** Add lines 24 and 25	16,278,255	3,142,060		8,372,304
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-11,010,547			
	b	**Net investment income** (if negative, enter -0-)		21,570,805		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	4,915,135	12,089,739	12,089,739
2	Savings and temporary cash investments	317,416	1,603,311	1,603,311
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶	1,822		
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)	0	16,348,672	16,348,672
b	Investments—corporate stock (attach schedule)	6,942,411	0	0
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	221,583,505	227,194,245	227,194,245
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	1,686,762	1,401,545	1,401,545
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	235,447,051	258,637,512	258,637,512
Liabilities 17	Accounts payable and accrued expenses	131,842	299,389	
18	Grants payable	1,269,206	7,999,916	
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)	4,286,967	4,449,814	
23	**Total liabilities**(add lines 17 through 22)	5,688,015	12,749,119	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
24	Net assets without donor restrictions	229,759,036	245,888,393	
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
26	Capital stock, trust principal, or current funds			
27	Paid-in or capital surplus, or land, bldg., and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds			
29	**Total net assets or fund balances** (see instructions)	229,759,036	245,888,393	
30	**Total liabilities and net assets/fund balances** (see instructions)	235,447,051	258,637,512	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	229,759,036
2	Enter amount from Part I, line 27a	2	-11,010,547
3	Other increases not included in line 2 (itemize) ▶	3	27,469,904
4	Add lines 1, 2, and 3	4	246,218,393
5	Decreases not included in line 2 (itemize) ▶	5	330,000
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	245,888,393

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	PUBLICLY TRADED SECURITIES	P		
b	ABBOTT CAPITAL PRIVATE EQUITY FUND V, L.P.	P		
c	ADAMAS OPPORTUNITIES, LP	P		
d	CANTILLON GLOBAL EQUITY FUND	P		
e	KING STREET CAPITAL, LTD.	P		
	THRU 1607 CAPITAL INTER EQU FD, LP K-1	P		
	THRU ABBOTT CAP PRIVATE EQU FD VI LP K-1	P		
	THRU ADAGE CAPITAL PARTNERS, L.P. K-1	P		
	THRU AS CAP GLO ALL CT ALP EXT FD KY LTD K-1	P		
	THRU CA RE FD US TX-EX LP-SER K K-1	P		
	THRU SE RD RK VE VI CA RE FD US TX-EX LP K-1	P		
	THRU COMMONFUND CAP NAT RES PTR IX LP K-1	P		
	THRU COMMONFUND CAP PRIV EQU PTR VI, LP K-1	P		
	THRU COMMONFUND CAP VENTURE PTR VIII, LP K-1	P		
	THRU COMMONFUND INTERNATIONAL PTR VI, LP K-1	P		
	THRU COMMONFUND PRIVATE EQU PTR VII, LP K-1	P		
	THRU DAVIDSON KEMPNER INST PTR LP K-1	P		
	THRU DODDINGTON EMERGING MARKETS FD, LLC K-1	P		
	THRU ENCAP FLATROCK MIDSTREAM FD IV, LP K-1	P		
	THRU ITE RAIL FUND, LP K-1	P		
	THRU KHC PARTNERS FUND LP K-1	P		
	THRU KLINE HILL PARTNERS FUND II LP K-1	P		
	THRU KLINE HILL PARTNERS FUND III LP K-1	P		
	THRU KLINE HILL PTR OPPORT FD III LP K-1	P		
	THRU KNIGHTSBRIDGE VEN CAP VI LP SER VC K-1	P		
	THRU LEAD EDGE CAPITAL V, LP K-1	P		
	THRU LEAD EDGE CAPITAL VI, LP K-1	P		
	THRU MOONRISE CHINA PARTNERS II LP K-1	P		
	THRU QIMING VENTURE PARTNERS VII, LP K-1	P		
	THRU REN. INST DIVER GLO EQU ONS FD LP K-1	P		
	THRU SILCHESTER INT INV INT VAL EQU TRU K-1	P		
	THRU THE OVERLOOK PARTNERS FUND LP K-1	P		
	THRU TRUEBRIDGE CAPITAL PTR FUND V LP K-1	P		
	THRU TRUEBRIDGE CAPITAL PTR FUND VI LP K-1	P		
	THRU TRUEBRIDGE CAPITAL PTR FUND VII LP K-1	P		
	THRU TRUEBRIDGE GLOBAL PREMIER I, L.P. K-1	P		
	THRU WARBURG PINCUS GLO GRO (E&P)-2 LP K-1	P		
	THRU WARBURG PINCUS GLOBAL GROWTH LP K-1	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	48,050,464		46,356,390	1,694,074
b	191,648		577,095	-385,447
c	31,841			31,841
d	11,423,464		8,497,765	2,925,699
e	326,055			326,055
				2,897
				30,766
				-19,726
				129,190
				-110
				-7,391
				104,252
				15,719
				147,846
				69,193
				412,063
				-60,837
				-27,685
				11,320
				408
				52
				69,839
				123,740
				15,330
				-51,904
				-25,330
				3,502
				14,815
				-13,446
				-198,447
				35,453
				-146,693
				35,416
				26,215
				-2,266
				-3,882
				1,518
				-46,950

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				1,694,074
b				-385,447
c				31,841
d				2,925,699
e				326,055
				2,897
				30,766
				-19,726
				129,190
				-110
				-7,391
				104,252
				15,719
				147,846
				69,193
				412,063
				-60,837
				-27,685
				11,320
				408
				52
				69,839
				123,740
				15,330
				-51,904
				-25,330
				3,502
				14,815
				-13,446
				-198,447
				35,453
				-146,693
				35,416
				26,215
				-2,266
				-3,882
				1,518
				-46,950

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	5,237,089
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: __________ **(attach copy of letter if necessary–see instructions)**	1	299,834
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	299,834
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	299,834
6	Credits/Payments:		
a	2023 estimated tax payments and 2022 overpayment credited to 2023 — 6a: 521,678		
b	Exempt foreign organizations—tax withheld at source . . . . . . — 6b: 0		
c	Tax paid with application for extension of time to file (Form 8868) . . . — 6c: 0		
d	Backup withholding erroneously withheld . . . . — 6d: 0		
7	Total credits and payments. Add lines 6a through 6d	7	521,678
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached. . . . . ▶	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . ▶	10	221,844
11	Enter the amount of line 10 to be: **Credited to 2024 estimated tax** ▶ 221,844 **Refunded** ▶	11	0

Part VI-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.	1b		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XIV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY, MD			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2023 or the taxable year beginning in 2023? See the instructions for Part XIII. If "Yes," complete Part XIII	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW.DANA.ORG

14 The books are in care of ▶GERALDINE AMERA Telephone no. ▶(212) 223-4040

Located at ▶1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK NY ZIP+4 ▶100201725

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2023, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

		Yes	No
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	Yes	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	Yes	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
c Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2023?	1d		No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2023, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2023?	2a		No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b If "Yes," did it have excess business holdings in 2023 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2023.)*	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2023?	4b		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)		No
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)	Yes	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		No
c	Organizations relying on a current notice regarding disaster assistance check ▶ ☐			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	5d	Yes	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
CAROLINE MONTOJO 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR, PRESIDENT 35.00	500,000	126,147	0
STEVEN E HYMAN 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR, CHAIRMAN 2.00	6,000	0	0
CHARLES A DANA III 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR, VICE CHAIRMAN 2.00	6,000	0	0
WALLACE COOK 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR, SECRETARY 2.00	6,000	0	0
EDWARD BLEIER 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR, DECEASED OCTOBER 2023 2.00	4,500	0	0
HILDEGARDE MAHONEY 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR 2.00	6,000	0	0
PETER NADOSY 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR 2.00	6,000	0	0
HUSSEINI K MANJI 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR, BEGAN JULY 2023 2.00	3,000	0	0
PAULA A KERGER 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR, BEGAN JULY 2023 2.00	3,000	0	0
GERALDINE AMERA 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	CHIEF OPERATING OFFICER, TREASURER 35.00	293,600	96,438	0
KHARA RAMOS 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	VP OF NEUROSCIENCE & SOCIETY 35.00	278,100	79,046	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JAMES RUTT 1270 AVE OF THE AMERICAS 12TH FL NY,NY 100201725	CHIEF INFORMATION OF 35.00	259,200	109,801	0
PAT MANGINI 1270 AVE OF THE AMERICAS 12TH FL NY,NY 100201725	DIRECTOR, HUMAN RESO 35.00	135,400	87,157	0
BARBARA BEST 1270 AVE OF THE AMERICAS 12TH FL NY,NY 100201725	VICE PRESIDENT, DABI 0.50	203,665	13,765	0
BRIGIDA CADIZ-GAY 1270 AVE OF THE AMERICAS 12TH FL NY,NY 100201725	CONTROLLER 35.00	134,800	53,317	0
ANN WHITMAN 1270 AVE OF THE AMERICAS 12TH FL NY,NY 100201725	DIRECTOR, COMMUNICAT 35.00	125,000	49,896	0
Total number of other employees paid over $50,000 ▶				11

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
CAMBRIDGE ASSOCIATES LLC 125 HIGH STREET BOSTON,MA 021102112	INVESTMENT MGMT. & ADVISORY	287,485
MATTHEW SCHWARTZ DESIGN STUDIO LLC 82 NASSAU STREET 909 NEW YORK,NY 100383703	WEB DESIGN	168,381
GIVINGDATA LLC 45 PROSPECT STREET CAMBRIDGE,MA 021392402	GRANTS MGMT. SOFTWARE	105,155
PKF O'CONNOR DAVIES 500 MAMARONECK AVENUE SUITE 301 HARRISON,NY 105281633	AUDIT AND TAX COMPLIANCE	96,578
SPENCER STUART 353 N CLARK STREET SUITE 2400 CHICAGO,IL 606543479	PERSONNEL SEARCH	75,799

Total number of others receiving over $50,000 for professional services. . . . . ▶

1

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 COMMUNICATIONS -WEBSITE: THE FOUNDATION'S WEBSITE FEATURES INFORMATION ABOUT THE ORGANIZATION; GRANTS INFORMATION; OCCASIONAL STORIES AND FACT SHEETS ON NEUROSCIENCE; PUBLICATIONS; LINKS TO SOCIAL MEDIA; AND THE ABILITY TO SUBSCRIBE TO THE FOUNDATION'S MONTHLY NEWSLETTER. THE BRAIN AWARENESS WEEK WEBSITE OFFERS PARTNERS AND THE PUBLIC ACCESS TO THE INTERNATIONAL CALENDAR OF EVENTS; FREE DOWNLOADABLE MATERIALS AND EDUCATIONAL RESOURCES; AND INFORMATION ABOUT THE ANNUAL CAMPAIGN.SOCIAL MEDIA: COMMUNICATIONS STAFF SCHEDULE AND POST REGULARLY ON THE FOUNDATION'S SIX SOCIAL MEDIA PLATFORMS. POSTS PROMOTE THE FOUNDATION, FOUNDATION GRANTS AND GRANTMAKING PROGRAMS, ITS PARTNERS' INITIATIVES, AND PROVIDES RESOURCES TO THE PUBLIC. OCCASIONALLY STAFF USE PAID PROMOTION ON THE PLATFORMS TO REACH TARGETED AUDIENCES.	1,077,551
2 GRANTS ADMINISTRATION -THE FOUNDATION ADMINISTERS PROGRAMS TO SUPPORT ITS NEUROSCIENCE AND SOCIETY FOCUS, THROUGH THE SPECIFICALLY DESIGNED OBJECTIVES ESTABLISHED BY THE FOUNDATION'S BOARD OF DIRECTORS. THE FOUNDATION'S PRINCIPAL INTEREST IS IN NEUROSCIENCE AND SOCIETY, BUT ALSO SUPPORTS QUALIFIED CIVIC AND CULTURAL ORGANIZATIONS AND MAINTAINS A MATCHING CHARITABLE GIFTS PROGRAM FOR ITS EMPLOYEES.	4,815,960
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities.			1a	17,053,330
b	Average of monthly cash balances.			1b	8,480,193
c	Fair market value of all other assets (see instructions).			1c	226,085,921
d	**Total** (add lines 1a, b, and c).			1d	251,619,444
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d.			3	251,619,444
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).			4	3,774,292
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3.			5	247,845,152
6	**Minimum investment return.** Enter 5% (0.05) of line 5.			6	12,392,258

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6.			1	12,392,258
2a	Tax on investment income for 2022 from Part V, line 5.	2a	299,834		
b	Income tax for 2022. (This does not include the tax from Part V.).	2b			
c	Add lines 2a and 2b.			2c	299,834
3	Distributable amount before adjustments. Subtract line 2c from line 1.			3	12,092,424
4	Recoveries of amounts treated as qualifying distributions.			4	21,794
5	Add lines 3 and 4.			5	12,114,218
6	Deduction from distributable amount (see instructions).			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.			7	12,114,218

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	8,372,304
b	Program-related investments—total from Part VIII-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	8,372,304

Part XII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2022	(c) 2022	(d) 2023
1 Distributable amount for 2023 from Part X, line 7					12,114,218
2 Undistributed income, if any, as of the end of 2022:					
a Enter amount for 2022 only.				0	
b Total for prior years: 20 ___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2022:					
a From 2018.	2,923,051				
b From 2019.	792,285				
c From 2020.					
d From 2021.					
e From 2022.					
f **Total** of lines 3a through e		3,715,336			
4 Qualifying distributions for 2023 from Part XI, line 4: ▶ $ 8,372,304					
a Applied to 2022, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2023 distributable amount					8,372,304
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2023. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		3,715,336			3,715,336
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		0			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0		
d Subtract line 6c from line 6b. Taxable amount —see instructions			0		
e Undistributed income for 2022. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2023. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2024					26,578
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2018 not applied on line 5 or line 7 (see instructions) . . .		0			
9 **Excess distributions carryover to 2024.** Subtract lines 7 and 8 from line 6a		0			
10 Analysis of line 9:					
a Excess from 2019					
b Excess from 2020					
c Excess from 2021. . . .					
d Excess from 2022					
e Excess from 2023					

Part XIII **Private Operating Foundations** (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2023, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2023	**(b)** 2022	**(c)** 2021	**(d)** 2020	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

CELINA SOOKSATAN
1270 AVENUE OF THE AMERICAS 12TH
FLOOR
NEW YORK,NY 100201725
(212) 223-4040
CSOOKSATAN@DANA.ORG

b The form in which applications should be submitted and information and materials they should include:

A LETTER FULLY DESCRIBING THE PROPOSED REQUEST INCLUDING PROPOSED FUNDING

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

GRANTS ARE GENERALLY MADE UNDER THE FOUNDATION'S NEUROSCIENCE AND SOCIETY PROGRAMS AND DIRECTOR GRANT RULES. RECIPIENTS MUST BE QUALIFIED ORG'S.

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AHLZEIMER'S ASSOCIATION 225 NORTH MICHIGAN AVENUE CHICAGO,IL 60601	N/A	PC	NEUROSCIENCE & SOCIETY	105
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE (AAAS) 1200 NEW YORK AVENUE NW WASHINGTON,DC 20005	N/A	PC	NEUROSCIENCE & SOCIETY	184,000
AMERICAN BRAIN COALITION 6257 QUANTICO LANE N MAPLE GROVE,MN 55311	N/A	PC	NEUROSCIENCE & SOCIETY	3,000
AMERICAN BRAIN FOUNDATION 201 CHICAGO AVE MINNEAPOLIS,MN 55415	N/A	PC	NEUROSCIENCE & SOCIETY	250
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST AT 79TH STREET NEW YORK,NY 10024	N/A	PC	NEUROSCIENCE & SOCIETY	5,000
AMERICAN REPERTORY THEATER 64 BRATTLE STREET CAMBRIDGE,MA 02138	N/A	PC	GENERAL OPERATING SUPPORT	10,000
ASSOCIATION FOR FRONTOTEMPORAL DEGENERATION 2700 HORIZON DRIVE SUITE 120 KING OF PRUSSIA,PA 19406	N/A	PC	GENERAL OPERATING SUPPORT	5,000
BARD GRADUATE CENTER 18 WEST 86TH STREET NEW YORK,NY 10024	N/A	PC	GENERAL OPERATING SUPPORT	9,000
BIOBUS 1361 AMSTERDAM SUITE 340 NEW YORK,NY 10027	N/A	PC	NEUROSCIENCE & SOCIETY	7,300
BOYS & GIRLS CLUB OF PALM BEACH COUNTY 800 NORTHPOINT PARKWAY SUITE 204 WEST PALM BEACH,FL 33407	N/A	PC	GENERAL OPERATING SUPPORT	15,000
BREAST CANCER RESEARCH FOUNDATION INC 28 W 44 STREET SUITE 609 NEW YORK,NY 10036	N/A	PC	GENERAL OPERATING SUPPORT	5,000
BRIDGEHAMPTON CHAMBER MUSIC FESTIVAL 135 EAST 57TH STREET 14TH FLOOR NEW YORK,NY 10022	N/A	PC	GENERAL OPERATING SUPPORT	5,000
BRONX LACROSSE 1 MANHATTANVILLE ROAD PURCHASE,NY 10577	N/A	PC	NEUROSCIENCE & SOCIETY	500
BROOKLYN BOTANIC GARDEN 1000 WASHINGTON AVENUE BROOKLYN,NY 11225	N/A	PC	NEUROSCIENCE & SOCIETY	2,500
BRUNSWICK SCHOOL 100 MAHER AVENUE GREENWICH,CT 06830	N/A	PC	GENERAL OPERATING SUPPORT	25,000
BUCKLEY SCHOOL 113 EAST 73RD STREET NEW YORK,NY 10021	N/A	PC	GENERAL OPERATING SUPPORT	5,000
CAMBRIDGE IN AMERICA CLARE COLLEGE NEW YORK,NY 10036	N/A	PC	GENERAL OPERATING SUPPORT	10,000
CHS NORTH AMERICAN FOUNDATION INC 21C ORINDA WAY ORINDA,CA 94563	N/A	PC	GENERAL OPERATING SUPPORT	7,000
CLEAN AIR TASK FORCE INC 114 STATE STREET BOSTON,MA 02109	N/A	PC	GENERAL OPERATING SUPPORT	2,000
COLD SPRING HARBOR LABORATORY 1 BUNGTOWN RD COLD SPRING HARBOR,NY 11724	N/A	PC	GENERAL OPERATING SUPPORT	5,000
COLUMBIA UNIVERSITY DEPARTMENT OF OPHTHALMOLOGY 635 W 165 STREET BOX 13 NEW YORK,NY 10032	N/A	PC	GENERAL OPERATING SUPPORT	5,000
COOPER UNION 30 COOPER SQUARE NEW YORK,NY 10003	N/A	PC	GENERAL OPERATING SUPPORT	10,000
CRISTO REY 14 E JACKSON BLVD SUITE 1200 CHICAGO,IL 60604	N/A	PC	NEUROSCIENCE & SOCIETY	250
DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVENUE BOSTON,MA 02445	N/A	PC	GENERAL OPERATING SUPPORT	55,000
EAST HAMPTON HEALTHCARE FOUNDATION 200 PANTIGO PLACE EAST HAMPTON,NY 11937	N/A	PC	GENERAL OPERATING SUPPORT	5,000
EUROPEAN BRAIN COUNCIL RUE DEGMONT 11 BRUSSELS BE	N/A	PC	NEUROSCIENCE & SOCIETY	10,000
FEDERATION OF EUROPEAN NEUROSCIENCE SOCIETIES (FENS) 11 RUE DEGMONT BERLIN GM	N/A	PC	NEUROSCIENCE & SOCIETY	35,000
FJIC 1 PEMBERTON SQUARE 4300 BOSTON,MA 02108	N/A	PC	NEUROSCIENCE & SOCIETY	100,100
FRANKLIN INSTITUTE 222 N 20TH ST PHILADELPHIA,PA 19103	N/A	PC	NEUROSCIENCE & SOCIETY	54,000
FRICK COLLECTION 1 E 70 STREET NEW YORK,NY 10021	N/A	PC	NEUROSCIENCE & SOCIETY	5,000
FRIENDS OF ST FRANCIS XAVIER UNIVERSITY 375 CONCORD AVENUE SUITE 004 BELMONT,MA 02478	N/A	PC	GENERAL OPERATING SUPPORT	25,000
GREENWICH ACADEMY 200 N MAPLE AVE GREENWICH,CT 06830	N/A	PC	GENERAL OPERATING SUPPORT	30,000
GUIDE DOG FOUNDATION FOR THE BLIND INC 371 EAST JERICHO TURNPIKE SMITHTOWN,NY 11746	N/A	PC	GENERAL OPERATING SUPPORT	6,000
GUILD HALL OF EAST HAMPTON 158 MAIN STREET STREET EAST HAMPTON,NY 11937	N/A	PC	GENERAL OPERATING SUPPORT	5,000
HARLEM ACADEMY 1330 FIFTH AVENUE NEW YORK,NY 10026	N/A	PC	GENERAL OPERATING SUPPORT	25,000
HARVARD SCHOLARS AT RISK 32 QUINCY STREET CAMBRIDGE,MA 02138	N/A	PC	GENERAL OPERATING SUPPORT	5,000
HEALTHY BRAINS GLOBAL INITIATIVE INC 100 MAHER AVENUE GREENWICH,CT 06830	N/A	PC	GENERAL OPERATING SUPPORT	25,000
ICAHN SCOOL OF MEDICINE AT MOUNT SINAI ONE GUSTAVE L LEVY PLACE NEW YORK,NY 10029	N/A	PC	GENERAL OPERATING SUPPORT	3,000
INSTITUTE FOR METHODS INNOVATION 1752 CHARLES AVE ARCATA,CA 95521	N/A	PC	NEUROSCIENCE & SOCIETY	160,000
INTERNATIONAL BRAIN BEE 1121 14TH STREET NW WASHINGTON,DC 20005	N/A	PC	NEUROSCIENCE & SOCIETY	25,000
INTERNATIONAL BRAIN RESEARCH ORGANIZATION (IBRO) 255 RUE SAINT-HONORE PARIS FR	N/A	PC	NEUROSCIENCE & SOCIETY	126,000
INTERNATIONAL NEUROETHICS SOCIETY 4938 HAMPDEN LANE 553 PHILADELPHIA,PA 19104	N/A	PC	NEUROSCIENCE & SOCIETY	67,000
JAMES C FERRER EDUCATION FUND SHINNECOCK HILLS GOLF CLUB 200 TUCKAHOE ROAD SOUTHHAMPTON,NY 11968	N/A	PC	GENERAL OPERATING SUPPORT	5,000
KNOWABLE MAG 1875 S GRANT ST SUITE 700 PALO ALTO,CA 94303	N/A	PC	NEUROSCIENCE & SOCIETY	75,600
LELAND STANFORD JUNIOR UNIVERSITY 1201 WELCH ROAD P-206 STANFORD,CA 94305	N/A	PC	GENERAL OPERATING SUPPORT	2,000
LOUIS ARMSTRONG HOUSE MUSEUM 34-56 107TH ST CORONA,NY 11368	N/A	PC	NEUROSCIENCE & SOCIETY	500
LOYOLA UNIVERSITY OF CHICAGO 820 N MICHIGAN AVE CHICAGO,IL 60660	N/A	PC	NEUROSCIENCE & SOCIETY	250,000
MAYO CLINIC 200 FIRST STREET SW ROCHESTER,MN 55905	N/A	PC	GENERAL OPERATING SUPPORT	40,000
MEDICAL UNIVERSITY OF SOUTH CAROLINA FOUNDATION 18 BEE STREET MSC450 CHARLESTON,SC 29425	N/A	PC	GENERAL OPERATING SUPPORT	50,000
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK,NY 10028	N/A	PC	NEUROSCIENCE & SOCIETY	3,000
METROPOLITAN OPERA ASSOCIATION LINCOLN CENTER NEW YORK,NY 10023	N/A	PC	NEUROSCIENCE & SOCIETY	2,750
MORGAN LIBRARY & MUSEUM 225 MADISON AVENUE NEW YORK,NY 10016	N/A	PC	NEUROSCIENCE & SOCIETY	7,500
MUSEUM OF MODERN ART 11 WEST 53RD STREET NEW YORK,NY 10019	N/A	PC	NEUROSCIENCE & SOCIETY	5,000
MUSEUM OF THE CITY OF NEW YORK 1220 FIFTH AVENUE AT 103RD STREET NEW YORK,NY 10029	N/A	PC	NEUROSCIENCE & SOCIETY	2,500
NATIONAL ACADEMIES OF SCIENCE 500 FIFTH ST NW WASHINGTON,DC 20001	N/A	PC	NEUROSCIENCE & SOCIETY	20,000
NATIONAL KIDNEY FOUNDATION 30 EAST 33RD STREET NEW YORK,NY 10016	N/A	PC	NEUROSCIENCE & SOCIETY	256
NATIONAL KIDNEY FOUNDATION 30 EAST 33RD STREET NEW YORK,NY 10016	N/A	PC	GENERAL OPERATING SUPPORT	2,000
NATIONAL MULTIPLE SCLEROSIS SOCIETY 733 THIRD AVENUE NEW YORK,NY 10017	N/A	PC	NEUROSCIENCE & SOCIETY	250
NATIONAL MULTIPLE SCLEROSIS SOCIETY 733 THIRD AVENUE NEW YORK,NY 10017	N/A	PC	GENERAL OPERATING SUPPORT	10,000
NATIONAL PKU ALLIANCE 954 LEXINGTON AVENUE NEW YORK,NY 10021	N/A	PC	GENERAL OPERATING SUPPORT	2,000
NEUE GALERIE NEW YORK 1048 FIFTH AVENUE NEW YORK,NY 10028	N/A	PC	NEUROSCIENCE & SOCIETY	5,000
NEUROMATCH INC 9450 SW GEMINI DRIVE BEAVERTON,OR 97008	N/A	PC	NEUROSCIENCE & SOCIETY	100,000
NEW VENTURE FUND 1828 L STREET NW SUITE 300A WASHINGTON,DC 20036	N/A	PC	NEUROSCIENCE & SOCIETY	50,000
NEW YORK BOTANICAL GARDEN OFFICE OF THE PRESIDENT 2900 SOUTHERN BLVD BRONX,NY 10458	N/A	PC	NEUROSCIENCE & SOCIETY	2,500
NEW YORK PHILHARMONIC AVERY FISHER HALL 10 LINCOLN CENTER PLAZA NEW YORK,NY 10023	N/A	PC	NEUROSCIENCE & SOCIETY	5,000
NEW YORK PRESBYTERIAN FUND DEPARTMENT OF REHABILITATION 525 EAST 68TH STREET 18TH FLOOR NEW YORK,NY 10065	N/A	PC	GENERAL OPERATING SUPPORT	30,000
NEWPORT HOSPITAL FOUNDATION INC 11 FRIENDSHIP STREET NEWPORT,RI 02840	N/A	PC	GENERAL OPERATING SUPPORT	25,000
PALM BEACH CIVIC ASSOCIATION 139 N COUNTY ROAD SUITE 33 PALM BEACH,FL 33480	N/A	PC	GENERAL OPERATING SUPPORT	8,000
PALM BEACH POLICE & FIRE FOUNDATION INC 190 NORTH COUNTY ROAD SUITE 26 PALM BEACH,FL 33480	N/A	PC	GENERAL OPERATING SUPPORT	5,000
PHILANTHROPY NY 320 E 43RD ST NEW YORK,NY 10017	N/A	PC	NEUROSCIENCE & SOCIETY	8,000
PRESIDENT & FELLOWS OF HARVARD COLLEGE MASSACHUSETTS HALL CAMBRIDGE,MA 02138	N/A	PC	NEUROSCIENCE & SOCIETY	268,000
RESEARCH AMERICA 241 18TH STREET SOUTH NO 501 ARLINGTON,VA 22202	N/A	PC	NEUROSCIENCE & SOCIETY	10,000
ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET 10TH FLR NEW YORK,NY 10036	N/A	PC	NEUROSCIENCE & SOCIETY	200,000
ROSEMONT COLLEGE 1400 MONTGOMERY AVENUE ROSEMONT,PA 19010	N/A	PC	GENERAL OPERATING SUPPORT	5,000
RUBIN MUSEUM OF ART 150 WEST 17TH STREET NEW YORK,NY 10011	N/A	PC	NEUROSCIENCE & SOCIETY	5,000
SCIENCE LIVE PRODUCTIONS INC 560 2ND STREET 2F BROOKLYN,NY 11215	N/A	PC	NEUROSCIENCE & SOCIETY	16,500
SOCIETY FOR NEUROSCIENCE (SFN) 1121 14TH STREET NW SUITE 1010 WASHINGTON,DC 20005	N/A	PC	NEUROSCIENCE & SOCIETY	50,000
SOCIETY OF THE FOUR ARTS 2 FOUR ARTS PLAZA PALM BEACH,FL 33480	N/A	PC	GENERAL OPERATING SUPPORT	10,000
SOLOMON R GUGGENHEIM FOUNDATION ONE LIBERTY PLAZA 24TH FLOOR NEW YORK,NY 10006	N/A	PC	NEUROSCIENCE & SOCIETY	5,000
SOUTHAMPTON HOSPITAL FUND 240 MEETING HOUSE LANE SOUTHAMPTON,NY 11968	N/A	PC	GENERAL OPERATING SUPPORT	15,000
ST ANDREW'S PRESERVATION FUND PO BOX 1245 SOUTHAMPTON,NY 11969	N/A	PC	GENERAL OPERATING SUPPORT	5,000
ST THOMAS MORE PLAY GROUP 65 E 89 STREET NEW YORK,NY 10128	N/A	PC	GENERAL OPERATING SUPPORT	5,000
STUYVESANT HS ALUMNI ASSOCIATION PO BOX 3531 NEW YORK,NY 10008	N/A	PC	GENERAL OPERATING SUPPORT	1,000
SYRACUSE UNIVERSITY NEWHOUSE SCHOOL OF COMMUNICATIONS 215 UNIVERSITY PLACE SYRACUSE,NY 13244	N/A	PC	NEUROSCIENCE & SOCIETY	250
THE BREARLEY SCHOOL 610 EAST 83RD STREET NEW YORK,NY 10029	N/A	PC	GENERAL OPERATING SUPPORT	10,000
THE BROOKLYN MUSEUM 200 EASTERN PARKWAY BROOKLYN,NY 11238	N/A	PC	NEUROSCIENCE & SOCIETY	2,500
THE COLLEGE FOUNDATION AT THE UNIVERSITY OF VIRGINIA BOX 800566 CHARLOTTESVILLE,VA 22908	N/A	PC	GENERAL OPERATING SUPPORT	35,000
THE COUNCIL ON FOREIGN RELATIONS 58 EAST 68TH STREET NEW YORK,NY 10021	N/A	PC	GENERAL OPERATING SUPPORT	25,000
THE HOLE IN THE WALL GANG FUND INC 555 LONG WHARF DRIVE NEW HAVEN,CT 06511	N/A	PC	GENERAL OPERATING SUPPORT	1,000
THE JEWISH MUSEUM 1109 5TH AVENUE NEW YORK,NY 10128	N/A	PC	NEUROSCIENCE & SOCIETY	5,000
THE PUBLIC THEATER 425 LAFAYETTE STREET NEW YORK,NY 10003	N/A	PC	GENERAL OPERATING SUPPORT	5,000
UJA FEDERATION 130 EAST 59TH STREET NEW YORK,NY 10022	N/A	PC	GENERAL OPERATING SUPPORT	25,000
UNIVERSITY OF CALIFORNIA IRVINE 100 THEORY IRVINE,CA 92697	N/A	PC	NEUROSCIENCE & SOCIETY	14,800
UNIVERSITY OF VIRGINIA SCHOOL OF LAW FOUNDATION NORTH GROUNDS CHARLOTTESVILLE,VA 22903	N/A	PC	GENERAL OPERATING SUPPORT	25,000
WEILL CORNELL MEDICINE BRAIN & SPINE CENTER 515 EAST 71ST ST S-114 NEW YORK,NY 10021	N/A	PC	GENERAL OPERATING SUPPORT	10,000
WEILL CORNELL MEDICINE DEPARTMENT OF NEUROLOGY 515 EAST 71ST ST S-114 NEW YORK,NY 10021	N/A	PC	GENERAL OPERATING SUPPORT	10,000
WHITNEY MUSEUM OF AMERICAN ART 945 MADISON AVENUE NEW YORK,NY 10021	N/A	PC	NEUROSCIENCE & SOCIETY	5,000
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX,NY 10460	N/A	PC	NEUROSCIENCE & SOCIETY	5,000
WORLD WILDLIFE FUND 1250 24TH STREET NW WASHINGTON,DC 20037	N/A	PC	GENERAL OPERATING SUPPORT	2,000
Total			▶ 3a	2,579,911
b *Approved for future payment*				
AMERICAN BRAIN COALITION 6257 QUANTICO LANE N MAPLE GROVE,MN 55311	N/A	PC	NEUROSCIENCE & SOCIETY	153,000
AMERICAN FRIENDS OF MAGEN DAVID ADOM 352 SEVENTH AVENUE SUITE 400 NEW YORK,NY 10001	N/A	PC	GENERAL OPERATING SUPPORT	10,000
AMERICAN RED CROSS (PALM BEACH & TREASURE COAST CHAPTER) 1250 NORTHPOINT PARKWAY PALM BEACH,FL 33407	N/A	PC	GENERAL OPERATING SUPPORT	10,000
BAW OUTREACH GRANTS 1270 AVENUE OF THE AMERICAS 12TH FLOOR NEW YORK,NY 10020	N/A	PC	BRAIN AWARENESS WEEK OUTREACH	26,406
BREAST CANCER RESEARCH FOUNDATION INC 28 W 44 STREET SUITE 609 NEW YORK,NY 10036	N/A	PC	GENERAL OPERATING SUPPORT	15,000
BRIGHAM AND WOMEN'S HOSPITAL INC 75 FRANCIS STREET BOSTON,MA 02116	N/A	PC	CLINICAL NEUROSCIENCE RESEARCH	150,000
BROWN UNIVERSITY 190 THAYER ST BOX 1821 PROVIDENCE,RI 02912	N/A	PC	NEUROSCIENCE & SOCIETY	146,006
CHICAGO COUNCIL ON SCIENCE & TECHNOLOGY 10 W 35TH STREET CHICAGO,IL 60616	N/A	PC	NEUROSCIENCE & SOCIETY	150,000
FEDERATION OF EUROPEAN NEUROSCIENCE SOCIETIES (FENS) 11 RUE DEGMONT BERLIN BE	N/A	PC	NEUROSCIENCE & SOCIETY	30,000
FRANK LLOYD WRIGHT'S MARTIN HOUSE CORPORATION 143 JEWETT PARKWAY BUFFALO,NY 14214	N/A	PC	GENERAL OPERATING SUPPORT	10,000
HOTCHKISS SCHOOL ALUMNI AND DEVELOPMENT OFFICE PO BOX 800 11 INTERLAKEN RD LAKEVILLE,CT 06039	N/A	PC	GENERAL OPERATING SUPPORT	5,000
ICAHN SCOOL OF MEDICINE AT MOUNT SINAI ONE GUSTAVE L LEVY PLACE NEW YORK,NY 10029	N/A	PC	GENERAL OPERATING SUPPORT	5,000
INSTITUTE FOR METHODS INNOVATION 1752 CHARLES AVE ARCATA,CA 95521	N/A	PC	NEUROSCIENCE & SOCIETY	37,000
INTERNATIONAL BRAIN BEE 1121 14TH STREET NW WASHINGTON,DC 20005	N/A	PC	NEUROSCIENCE & SOCIETY	35,000
ISRAEL SCHOLARSHIP EDUCATION FOUNDATION 520 8TH AVENUE 4TH FLOOR NEW YORK,NY 10018	N/A	PC	GENERAL OPERATING SUPPORT	5,000
JUPITER MEDICAL CENTER FOUNDATION INC 1210 S OLD DIXIE HIGHWAY JUPITER,FL 33458	N/A	PC	GENERAL OPERATING SUPPORT	5,000
LOYOLA UNIVERSITY OF CHICAGO 820 N MICHIGAN AVE CHICAGO,IL 60660	N/A	PC	NEUROSCIENCE & SOCIETY	750,000
MASS GENERAL BRIGHAM INC 399 REVOLUTION DRIVE STE 645 SOMERVILLE,MA 02145	N/A	PC	NEUROSCIENCE & SOCIETY	150,000
NATIONAL MUSEUMS OF THE SMITHSONIAN INSTITUTION PO BOX 37012 WASHINGTON,DC 20013	N/A	PC	GENERAL OPERATING SUPPORT	15,000
NEW YORK UNIVERSITY SCHOOL OF MEDICINE 450 EAST 29TH ST ALEXANDRIA EAST TOWER 9TH FLOOR NEW YORK,NY 10016	N/A	PC	IMAGING	100,000
PARTNERS IN HEALTH A NONPROFIT CORPORATION 800 BOYLSTON STREET BOSTON,MA 02199	N/A	PC	GENERAL OPERATING SUPPORT	3,000
PENNSYLVNIA STATE UNIVERSITY 500 UNIVERSITY DRIVE H110 ABINGTON,PA 17033	N/A	PC	NEUROSCIENCE & SOCIETY	5,000
PRESERVATION FOUNDATION OF PALM BEACH INC 311 PERUVIAN AVENUE PALM BEACH,FL 33480	N/A	PC	GENERAL OPERATING SUPPORT	5,000
SCIENCE FRIDAY 30 BROAD STREET SUITE 801 NEW YORK,NY 10004	N/A	PC	NEUROSCIENCE & SOCIETY	90,924
SOCIETY FOR NEUROSCIENCE (SFN) 1121 14TH STREET NW SUITE 1010 WASHINGTON,DC 20005	N/A	PC	NEUROSCIENCE & SOCIETY	170,000
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 615 WEST 131ST STREET 6TH FLOOR NEW YORK,NY 10027	N/A	PC	NEUROSCIENCE & SOCIETY	142,504
TRUSTEES OF DARTMOUTH COLLEGE 6066 DEVELOPMENT OFFICE HANOVER,NH 03755	N/A	PC	GENERAL OPERATING SUPPORT	5,000
UNIVERSITY OF CALIFORNIA BERKELEY 1111 FRANKLIN STREET 6TH FLOOR BERKELEY,CA 94702	N/A	PC	NEUROSCIENCE & SOCIETY	218,000
UNIVERSITY OF CALIFORNIA IRVINE 100 THEORY IRVINE,CA 92697	N/A	PC	NEUROSCIENCE & SOCIETY	180,000
UNIVERSITY OF CALIFORNIA LOS ANGELES 635 CHARLES E YOUNG DRIVE SOUTH NRB313 LOS ANGELES,CA 90095	N/A	PC	NEUROSCIENCE & SOCIETY	5,000,000
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT ST PHILADELPHIA,PA 19104	N/A	PC	NEUROSCIENCE & SOCIETY	149,906
UNIVERSITY OF PENNSYLVANIA THE PERELMAN SCHOOL OF MEDICINE ARC 516G 3615 CIVIC CENTER BOULEVARD PHILADELPHIA,PA 19104	N/A	PC	IMAGING	100,000
UNIVERSITY OF WASHINGTON 750 REPUBLICAN STREET BLDG E RM 213 SEATTLE,WA 98109	N/A	PC	NEUROSCIENCE & SOCIETY	123,170
Total			▶ 3b	7,999,916

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
1 Program service revenue:	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a BOOK ROYALTIES					3,742
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	644	
4 Dividends and interest from securities	525990	11,018	14	615,342	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income			01	22,946	
8 Gain or (loss) from sales of assets other than inventory	525990	41,287	18	4,550,935	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a GRANT RECOVERY			01	21,794	
b OTHER K-1 UBIT INCOME	525990	-103,651		103,651	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e) . .		-51,346		5,315,312	3,742

13 **Total.** Add line 12, columns (b), (d), and (e). 13 5,267,708

(See worksheet in line 13 instructions to verify calculations.)

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
1 A	IN ACCORDANCE WITH THE FOUNDATION'S MISSION, THE FOUNDATION ENTERED INTO VARIOUS CONTRACTS WITH CONTENT PROVIDERS, WHEREBY THE FOUNDATION MADE SOURCE MATERIAL ACCESSIBLE AND EARNED ROYALITIES BASED ON THE TERMS AS OUTLINED IN THE CONTRACTS

Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date: 2024-11-06 | Title

May the IRS discuss this return with the preparer shown below? See instructions. ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
THOMAS F BLANEY CPA CFE		2024-11-13		P00234022

Firm's name ▶ PKF O'CONNOR DAVIES ADVISORY LLC — Firm's EIN ▶ 87-3231666

Firm's address ▶ 500 MAMARONECK AVENUE SUITE 301 HARRISON, NY 105281633 — Phone no. (914) 381-8900

Additional Data

Return to Form

Software ID:
Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2023 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FINANCIAL STATEMENT AUDIT AND TAX COMPLIANCE	59,768	0		59,768

TY 2023 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Election: THE DANA FOUNDATION HEREBY ELECTS UNDER REG SEC 53-4942(A)-3(D)(20) TO APPLY THE UNDISTRIBUTED INCOME OF $ FROM 2017 AND 2018

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2023 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
CHADWICK FOUNDATION	PO BOX 3046 PALM BEACH, FL 33480	2022-05-17	10,000	GENERAL OPERATING SUPPORT		TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED.	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT ANY UPDATES ARE OF DUBIOUS ACCURACY OR RELIABILITY.

TY 2023 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Identifier	Return Reference	Explanation
	FORM 990-PF, PART VI-A, LINE 11 - SCHEDULE OF CONTROLLED ENTITIES	NAME OF CONTROLLED ENTITY - THE DANA ALLIANCE FOR BRAIN INITIATIVES, INC.EMPLOYER ID NO - 06-1360140ADDRESS - 1270 AVENUE OF THE AMERICANS, 12TH FLOOR, NEW YORK, NY 10020-1725EXCESS BUSINESS HOLDINGS [ ] YES [X] NOTRANSFERS TO CONTROLLED ENTITY:AMOUNT OF TRANSFER - NONEDESCRIPTION OF TRANSFER - N/ATRANSFERS FROM CONTROLLED ENTITY:AMOUNT OF TRANSFER - NONEDESCRIPTION OF TRANSFER - N/A

TY 2023 IRS 990 e-File Render

Name:	THE CHARLES A DANA FOUNDATION INCORPORATED
EIN:	06-6036761
US Government Securities - End of Year Book Value:	16,348,672
US Government Securities - End of Year Fair Market Value:	16,348,672
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
1315 CAPITAL EMERGING GROWTH & BUYOUT PARALLEL, LP	FMV	50,690	50,690
1315 CAPITAL III PARALLEL, LP	FMV	104,038	104,038
1607 CAPITAL INTERNATIONAL EQUITE FUND, LP	FMV	9,211,222	9,211,222
ABBOTT CAPITAL PRIVATE EQUITY FUND VI, L.P.	FMV	1,613,901	1,613,901
ABERDEEN REAL ASSETS PARTNERS, LP	FMV	20,818	20,818
ACCOLADE PARTNERS BLOCKCHAIN II FEEDER, L.P.	FMV	677,948	677,948
ACCOLADE PARTNERS BLOCKCHAIN III SEED FEEDER, L.P.	FMV	17,869	17,869
ACCOLADE PARTNERS BLOCKCHAIN III VENTURE FEEDER, L.P.	FMV	96,314	96,314
ADAGE CAPITAL PARTNERS, L.P.	FMV	50,660,209	50,660,209
ARROWSTREET CAPITAL GLOBAL ALL COUNTRY ALPHA EXTENSION FUND (CAYMAN) LIMITED	FMV	7,278,407	7,278,407
ASPEX GLOBAL FUND-CLASS B-CLASS B	FMV	6,671,651	6,671,651
BLACK LIGHT POWER 7.44 SHARES	FMV	17,844	17,844
CA RESOURCES FUND (U.S. TAX-EXEMPT), L.P.-SERIES K	FMV	2,100,432	2,100,432
COMMONFUND CAPITAL NATURAL RESOURCES PARTNERS IX LP	FMV	1,818,768	1,818,768
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS VI, LP	FMV	29,005	29,005
COMMONFUND CAPITAL VENTURE PARTNERS VIII, L.P.	FMV	1,848,532	1,848,532
COMMONFUND INTERNATIONAL PARTNERS VI, LP	FMV	560,819	560,819
COMMONFUND PRIVATE EQUITY PARTNERS VII, LP	FMV	1,075,559	1,075,559
DAVIDSON KEMPNER INSTITUTIONAL PARTNERS L.P.	FMV	9,124,546	9,124,546
DIAMETER OFFSHORE FUND LP	FMV	6,969,051	6,969,051
DODDINGTON EMERGING MARKETS FUND, LLC	FMV	4,811,930	4,811,930
DOVER STREET IX CAYMAN FUND L.P.	FMV	1,211,905	1,211,905
DOVER STREET X FEEDER FUND L.P.	FMV	1,684,741	1,684,741
DURABLE CAPITAL OFFSHORE FUND LTD.	FMV	4,574,674	4,574,674
ELLIOTT INTERNATIONAL LIMITED	FMV	9,317,693	9,317,693
ENCAP FLATROCK MIDSTREAM FUND IV, L.P.	FMV	584,431	584,431
FINE TROY OUNCES OF ALLOCATED GOLD 6,388.430 QUANTITY	FMV	13,277,713	13,277,713
HIGHLAND EUROPE TECHNOLOGY GROWTH V LIMITED PARTNERSHIP	FMV	277,268	277,268
HIMALAYA CAPITAL INVESTORS (OFFSHORE), L.P.	FMV	4,812,420	4,812,420
ITE RAIL FUND, LP	FMV	7,480,077	7,480,077
KHC PARTNERS FUND LP	FMV	930,250	930,250
KING STREET CAPITAL, LTD.	FMV	810,431	810,431
KLINE HILL PARTNERS FUND II LP	FMV	638,386	638,386
KLINE HILL PARTNERS FUND III, LP	FMV	919,514	919,514
KLINE HILL PARTNERS OFFSHORE FUND IV LP	FMV	1,755,970	1,755,970
KLINE HILL PARTNERS OPPORTUNITY FUND III, LP	FMV	338,757	338,757
KLINE HILL PARTNERS OPPORTUNITY OFFSHORE FUND	FMV	583,082	583,082

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
IV LP			
KNIGHTSBRIDGE VENTURE CAPITAL VI LP SERIES VC	FMV	1,342,473	1,342,473
LEAD EDGE CAPITAL V, LP	FMV	1,451,275	1,451,275
LEAD EDGE CAPITALI VI, LP	FMV	770,630	770,630
LUMINATE CAPITAL PARTNERS III-A, LP	FMV	1,296,719	1,296,719
MOONRISE CHINA PARTNERS II LP	FMV	1,063,865	1,063,865
ONE RIVER BITCOIN FEEDER FUND, LTD.	FMV	1,433,735	1,433,735
QIMING VENTURE PARTNERS VII, LP	FMV	2,340,640	2,340,640
QIMING VENTURE PARTNERS VIII, LP	FMV	530,697	530,697
RENAISSANCE INSTITUTIONAL DIVERSIFIED GLOBAL EQUITIES ONSHORE FUND LP	FMV	5,550,090	5,550,090
ROCK SPRINGS CAPITAL OFFSHORE FUND LP	FMV	5,588,714	5,588,714
RUBICON TECHNOLOGY PARTNERS III L.P.	FMV	3,070,513	3,070,513
SERIES THIRD ROCK VENTURES VI - CA RESOURCES FUND (U.S. TAX-EXEMPT), L.P.	FMV	612,484	612,484
THE MANGROVE PARTNERS FUND (CAYMAN DRAWDOWN), L.P.	FMV	3,000,000	3,000,000
THE OVERLOOK PARTNERS FUND LP	FMV	4,678,764	4,678,764
THE SILCHESTER INTERNATIONAL INVESTORS INTERNATIONAL VALUE EQUITY TRUST	FMV	8,145,071	8,145,071
TRUEBRIDGE CAPITAL PARTNERS FUND V, L.P.	FMV	5,304,362	5,304,362
TRUEBRIDGE CAPITAL PARTNERS FUND VI, L.P.	FMV	3,384,544	3,384,544
TRUEBRIDGE CAPITAL PARTNERS FUND VII, L.P.	FMV	1,199,621	1,199,621
TRUEBRIDGE CAPITAL PARTNERS FUND VIII (CAYMAN FEEDER), L.P.	FMV	20,116	20,116
TRUEBRIDGE GLOBAL PREMIER I, L.P.	FMV	94,674	94,674
VANGUARD TOTAL WORLD STOCK INDEX FUND (VT) 10,772.974 SHARES	FMV	1,108,324	1,108,324
VIKING GLOBAL OPPORTUNITIES III LP	FMV	11,967,680	11,967,680
WARBURG PINCUS GLOBAL GROWTH (E&P)-2, L.P.	FMV	233,329	233,329
WARBURG PINCUS GLOBAL GROWTH, L.P.	FMV	4,502,289	4,502,289
WARBURG PINCUS-WP GG EUROPA (CAYMAN-B), L.P.	FMV	3,839,503	3,839,503
WHALE ROCK LONG OPPORTUNITIES FUND LTD.	FMV	707,268	707,268

TY 2023 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL AND ADMINISTRATIVE LEGAL COUNSEL	83,519	0		75,427

TY 2023 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PREPAID EXPENSES	457	491	491
PREPAID FEDERAL EXCISE TAX AND FEDERAL AND STATE UBIT	393,587	393,587	393,587
RIGHT OF USE ASSETS - OPERATING LEASE, NET	1,226,058	975,996	975,996
SECURITY DEPOSITS	66,660	31,471	31,471

TY 2023 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Description	Amount
CHANGE IN DEFERRED EXCISE TAXES	330,000

TY 2023 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INFORMATION TECHNOLOGY	421,537	0		498,530
INSURANCE	106,744	0		106,744
OFFICE AND OTHER EXPENSES	189,617	0		125,489
OTHER K-1 EXPENSES, NET OF UBI	0	1,689,503		0

TY 2023 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER K-1 INCOME, NET OF UBI	0	71,991	0
SECURITIES LITIGATION PROCEEDS	22,946	22,946	22,946
BOOK ROYALTIES	3,742	3,742	3,742
GRANT RECOVERY	21,794		21,794

TY 2023 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Description	Amount
UNREALIZED LOSS ON INVESTMENTS	27,338,904
POSTRETIREMENT BENEFIT OBLIGATION ADJUSTMENT	131,000

TY 2023 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED FEDERAL EXCISE TAX	400,000	730,000
POSTRETIREMENT BENEFIT OBLIGATION	2,538,815	2,481,316
LEASE PAYABLE	1,348,152	1,238,498

TY 2023 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK AND INVESTMENT	473,144	473,144		0
OTHER PROFESSIONAL SERVICES	256,271	0		139,435
PERSONNEL SEARCH	208,095	0		208,095

TY 2023 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION INCORPORATED

EIN: 06-6036761

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE AND UNRELATED BUSINESS TAX PROVISION	102,395	0		0
FOREIGN TAXES THRU K-1S, NET OF UBI	0	124,511		0