Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

2023

Open to Public Inspection

For calendar year 2023, or tax year beginning 01-01-2023 , and ending 12-31-2023

Name of foundation
WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

A Employer identification number
04-6037391

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
2319 WHITNEY AVENUE 2B

B Telephone number (see instructions)
(203) 230-3330

City or town, state or province, country, and ZIP or foreign postal code
HAMDEN, CT 06518

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 122,654,873

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	509,123			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	325,416	325,416		
	4	Dividends and interest from securities	265,867	265,867		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	4,436,619			
	b	Gross sales price for all assets on line 6a 21,246,314				
	7	Capital gain net income (from Part IV, line 2)		4,437,720		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	444,845	444,845	0	
	12	**Total.** Add lines 1 through 11	5,981,870	5,473,848	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	588,940	188,752	0	0
	14	Other employee salaries and wages	1,303,836	95,483	0	0
	15	Pension plans, employee benefits	589,639	19,097	0	0
	16a	Legal fees (attach schedule)	16,309	326	0	0
	b	Accounting fees (attach schedule)	147,799	83,849	0	0
	c	Other professional fees (attach schedule)	379,521	379,521	0	0
	17	Interest	136,485	136,485	0	0
	18	Taxes (attach schedule) (see instructions)	221,401	0	0	1,500
	19	Depreciation (attach schedule) and depletion	29,445	0	0	
	20	Occupancy	186,226	3,725	0	0
	21	Travel, conferences, and meetings	70,060	17,515	0	0
	22	Printing and publications	6,523	0	0	0
	23	Other expenses (attach schedule)	1,073,554	0	0	0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	4,749,738	924,753	0	1,500
	25	Contributions, gifts, grants paid	5,319,103			5,319,103
	26	**Total expenses and disbursements.** Add lines 24 and 25	10,068,841	924,753	0	5,320,603
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-4,086,971			
	b	**Net investment income** (if negative, enter -0-)		4,549,095		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	500	500	500
	2	Savings and temporary cash investments	5,350,548	13,678,547	13,678,547
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)	6,024,311	4,361,349	4,361,349
	b	Investments—corporate stock (attach schedule)	25,786,140	18,601,179	18,601,179
	c	Investments—corporate bonds (attach schedule)	4,323,833	3,008,043	3,008,043
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	79,794,313	81,612,939	81,612,939
	14	Land, buildings, and equipment: basis ▶ 556,290			
		Less: accumulated depreciation (attach schedule) ▶ 477,573	88,621	78,717	78,717
	15	Other assets (describe ▶)	1,356,205	1,313,599	1,313,599
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	122,724,471	122,654,873	122,654,873
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	471,439	490,416	
	23	**Total liabilities**(add lines 17 through 22)	471,439	490,416	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions	122,253,032	122,164,457	
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	122,253,032	122,164,457	
	30	**Total liabilities and net assets/fund balances** (see instructions)	122,724,471	122,654,873	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	122,253,032
2	Enter amount from Part I, line 27a	2	-4,086,971
3	Other increases not included in line 2 (itemize) ▶	3	4,029,661
4	Add lines 1, 2, and 3	4	122,195,722
5	Decreases not included in line 2 (itemize) ▶	5	31,265
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	122,164,457

Form 3

Form 3: Notice Sent and Costs for Tax in Arrears Process

Name of the person to whom the notice is sent (Name, Address, Pin Code)	Date of Notice	Date of Service of Notice	Cost
1. Smt. Ashok Kumar Sharma, Sector 12, Dwarka, New Delhi-110075	14-03-2023	16-03-2023	250.00
2. Smt. Ashok Kumar Sharma, Sector 12, Dwarka, New Delhi-110075	14-03-2023	16-03-2023	250.00
3. Smt. Ashok Kumar Sharma, Sector 12, Dwarka, New Delhi-110075	14-03-2023	16-03-2023	250.00

Part VI-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XIV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CT, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2023 or the taxable year beginning in 2023? See the instructions for Part XIII. If "Yes," complete Part XIII	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶WWW.WCGMF.ORG	13	Yes	
14	The books are in care of ▶THE ORGANIZATION Telephone no. ▶(203) 230-3330 Located at ▶2319 WHITNEY AVENUE 2B HAMDEN CT ZIP+4 ▶06518			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2023, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	Yes	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	Yes	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2023?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2023, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2023?	2a		No
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2023 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2023.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2023?	4b		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)		No
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)		No
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
c	Organizations relying on a current notice regarding disaster assistance check ▶ ☐			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	5d		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM C GRAUSTEIN ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 5.00	6,849	0	0
LISA GRAUSTEIN ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 2.00	0	0	0
BARBARA TINNEY ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 2.00	21,950	0	0
LAURA A BERRY ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 2.00	50,500	0	0
R DAVID ADDAMS ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518	EXECUTIVE DIRECTOR 50.00	310,212	75,229	0
LUCAS CODOGNOLLA ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 2.00	17,600	0	0
ANNA BLANDING ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 5.00	56,500	0	0
CONSTANCE ROYSTER ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 5.00	35,150	0	0
JESSICA SAGER ONE HAMDEN CENTER SUITE 2B 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 5.00	14,950	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM JOHNSON ONE HAMDEN CENTER2B2319 WHITNEY AVE HAMDEN,CT 06158	DIR. OF EDUCATION ST 40.00	185,227	50,419	0
CARMEN SIBERON ONE HAMDEN CENTER2B2319 WHITNEY AVE HAMDEN,CT 06158	SENIOR PROGRAM OFFIC 40.00	194,095	38,130	0
SHEENA STRAWTER-ANTHONY ONE HAMDEN CENTER2B2319 WHITNEY AVE HAMDEN,CT 06158	DIRECTOR OF IMPACT S 40.00	175,083	25,932	0
RAQUEL SANTIAGO-MARTINEZ ONE HAMDEN CENTER2B2319 WHITNEY AVE HAMDEN,CT 06158	DIRECTOR OF FINANCE 40.00	122,713	53,719	0
PRISCILLA FAUSTINI ONE HAMDEN CENTER2B2319 WHITNEY AVE HAMDEN,CT 06518	DIR OF OPERATIONS - 40.00	135,985	31,575	0
Total number of other employees paid over $50,000 ▶				0

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
CAMBRIDGE ASSOCIATES 100 SUMMER STREET BOSTON,MA 02110	INVESTMENT ADVISORS	241,638
LAUNCH CONSULTING LLC 43 DORRANCE PLACE HAMDEN,CT 06518	CONSULTING	215,437
T M BYXBEE COMPANY PC 2319 WHITNEY AVENUE HAMDEN,CT 06518	ACCOUNTING	110,200
REAP UNLIMITED PO BOX 453 MOSSYROCK,WA 95864	CONSULTING	102,537
LA RAMA RECORDS PO BOX 719 DURHAM,CT 06422	CONSULTING	63,000

Total number of others receiving over $50,000 for professional services. . . . . ▶

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 PROGRAMS TO:BUILD ASSETS WITHIN CHILDREN'S HOMES, SCHOOLS, NEIGHBORHOODS, AND SOCIETY TO REVERSE THE EFFECTS OF STRUCTURAL RACISM AND POVERTY.INVEST IN A SMALL NUMBER OF HIGHLY DISTRESSED NEIGHBORHOODS AS THE CENTER OF OUR WORK AGAINST POVERTY AND RACISM.SUPPORT PEOPLE MOST AFFECTED, ESPECIALLY PARENTS, TO NAVIGATE AND CHANGE THE BARRIERS THEY SEE.PROMOTE GREATER AWARENESS AND UNDERSTANDING OF THE DISPARITIES RESULTING FROM STRUCTURAL RACISM, THE EFFECTS OF POVERTY AND THE INTERSECTION OF THE TWO.ENCOURAGE HEALING IN OUR SOCIETY BY SUPPORTING DIALOGUE, ACTION, POLICIES AND PRACTICES THAT ADVANCE RACIAL AND ECONOMIC EQUITY.	68,210
2 COMMUNITY LEADERSHIP PROGRAMSTHE COMMUNITY LEADERSHIP PROGRAMS OFFER NONPROFIT STAFF, VOLUNTEERS, BOARD MEMBERS AND MEMBERS OF THE GREATER NEW HAVEN COMMUNITY OPPORTUNITIES TO LEARN ABOUT AND DISCUSS IMPORTANT ISSUES. THROUGH MEETINGS, FULL DAY SEMINARS, AND YEAR-LONG LEADERSHIP TRAINING, PARTICIPANTS BUILD SKILLS AND DEVELOP A NETWORK OF LEADERS WITHIN THE COMMUNITY, WORKING ON CRITICAL SOCIAL ISSUES.	464,373
3 NO-COST MEETING SPACE FOR CONNECTICUT NONPROFITSTHE MEMORIAL FUND PROVIDES MEETING SPACE FOR NONPROFIT ORGANIZATIONS, AT NO OR LOW COST TO THEM, IN ORDER TO BRING PEOPLE TOGETHER FOR TRAINING, CONSULTATION, PLANNING, PROGRAM OVERSIGHT, EVALUATION AND OTHER ACTIVITIES THAT IMPROVE THE STATUS OF CHILDREN AND FAMILIES IN CONNECTICUT.	65,072
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . . ▶	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	36,055,046
b	Average of monthly cash balances			1b	4,579,521
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	40,634,567
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	40,634,567
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)			4	609,519
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3			5	40,025,048
6	**Minimum investment return.** Enter 5% (0.05) of line 5			6	2,001,252

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6			1	2,001,252
2a	Tax on investment income for 2022 from Part V, line 5	2a	63,232		
b	Income tax for 2022. (This does not include the tax from Part V.)	2b	27,547		
c	Add lines 2a and 2b			2c	90,779
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	1,910,473
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	1,910,473
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1			7	1,910,473

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	5,320,603
b	Program-related investments—total from Part VIII-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	20,174
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4	4	5,340,777

Part XII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2022	(c) 2022	(d) 2023
1 Distributable amount for 2023 from Part X, line 7				1,910,473
2 Undistributed income, if any, as of the end of 2022:				
a Enter amount for 2022 only.			0	
b Total for prior years: 20 ___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2022:				
a From 2018. 2,217,972				
b From 2019. 982,236				
c From 2020. 4,704,955				
d From 2021. 374,273				
e From 2022. 5,449,624				
f **Total** of lines 3a through e	13,729,060			
4 Qualifying distributions for 2023 from Part XI, line 4: ▶ $ 5,340,777				
a Applied to 2022, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2023 distributable amount				1,910,473
e Remaining amount distributed out of corpus	3,430,304			
5 Excess distributions carryover applied to 2023. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	17,159,364			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2022. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2023. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2024				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2018 not applied on line 5 or line 7 (see instructions) . . .	2,217,972			
9 **Excess distributions carryover to 2024.** Subtract lines 7 and 8 from line 6a	14,941,392			
10 Analysis of line 9:				
a Excess from 2019 982,236				
b Excess from 2020 4,704,955				
c Excess from 2021. . . . 374,273				
d Excess from 2022 5,449,624				
e Excess from 2023 3,430,304				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2023, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2023	**(b)** 2022	**(c)** 2021	**(d)** 2020	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

WILLIAM C GRAUSTEIN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

GISLAINE NGOUNOU CEO WILLIAM CASPAR
1 HAMDEN CENTER SUITE 2B 2319
WHITNEY AVE
HAMDEN,CT 06518
(203) 230-3330

b The form in which applications should be submitted and information and materials they should include:

A BRIEF LETTER SHOULD INCLUDE A PROBLEM AND OPPORTUNITY STATEMENT, THE METHODS FOR ADDRESSING THE PROBLEM, AND ITS PROPOSED SOLUTION. ENCLOSE A CURRENT COPY OF IRS 501(C)(3) DETERMINATION LETTER. AFTER THE LETTER IS REVIEWED, AN APPLICATION FORM IS PROVIDED, WHEN APPROPRIATE, FOR COMPLETION BY THE APPLICANT.

c Any submission deadlines:

NO ESTABLISHED DEADLINE.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE MEMORIAL FUND WILL MAKE GRANTS TO SUPPORT THEIR MISSION TO ACHIEVE EQUITY IN EDUCATION BY WORKING WITH THOSE AFFECTED AND INSPIRING ALL TO END RACISM AND POVERTY. GRANTS ARE NOT MADE TO ORGANIZATIONS THAT ARE NOT RECOGNIZED AS 501(C)(3), INDIVIDUALS, RELIGIOUS ORGANIZATIONS FOR SECTARIAN PURPOSES, CAPITAL CAMPAIGNS, POLITICAL CAUSES AND ACTIVITIES, AND SCHOLARSHIPS.

Part 2: Creditors Who Do Not Have Claims Listed on Any Other Part

Name and address Name if claim is not for a person	Date the claim was incurred or the debt was owed Date	Contingent	Unliquidated	Disputed	Amount
3 METROTECH CENTER TRANSIT MANAGEMENT CONSULTING BROOKLYN, NY 11201					1,000.00
3 MILLER AVE SMITHTOWN, NY 11787					1,000.00
3 MILLER AVE SMITHTOWN SMITHTOWN, NY 11787					1,000.00
3 MILLER AVE SMITHTOWN SMITHTOWN, NY 11787					1,000.00
3 MILLER AVE SMITHTOWN SMITHTOWN, NY 11787					1,000.00
3M NORTH AMERICA 3M CENTER, BUILDING 220-9E-02 ST PAUL, MN 55144-1000

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	325,416	
4 Dividends and interest from securities			14	265,867	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	902001	126,612	14	318,233	
8 Gain or (loss) from sales of assets other than inventory			18	4,436,619	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		126,612		5,346,135	0
13 **Total.** Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)				13	5,472,747

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Signature of officer or trustee — Date 2024-11-15 — ▶ Title

May the IRS discuss this return with the preparer shown below? See instructions. ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶☐	PTIN
PAMELA J MATOCHA				P00572001
Firm's name ▶ T M BYXBEE COMPANY PC				Firm's EIN ▶06-1386456
Firm's address ▶ P O BOX 187169 HAMDEN, CT 06518				Phone no. (203) 281-4933

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B

(Form 990)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2023

Name of the organization	**Employer identification number**
WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND	04-6037391

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

Employer identification number
04-6037391

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

Contributors

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	WILLIAM GRAUSTEIN ONE HAMDEN CENTER SUITE 2B 2319 WHI HAMDEN, CT 06518	$ 425,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

Employer identification number
04-6037391

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

Name of organization
WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

Employer identification number
04-6037391

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $ _____**

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Return to Form

Software ID:

Software Version:

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AUDITORS	36,000	0	0	0
ACCOUNTANTS	111,799	83,849	0	0

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
EQUIPMENT	2015-01-01	49,307	19,895	200DB	7.000000000000	6,376	0	6,376	
FURNITURE	2015-01-01	139,959	83,526	200DB	7.000000000000	15,996	0	15,996	
LEASEHOLD IMPROVEMENTS	2015-01-01	367,024	344,707	200DB	7.000000000000	7,073	0	7,073	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
EQUIPMENT DISPOSAL	2018-01	PURCHASED	2023-12			1,101	COST	0	-1,101	

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ABBVIE INC 3.2% 11-21-2029	98,275	98,275
ALEXANDRIA REAL ESTATE EQUITIES INC 4.9%DUE 12-15-2030/03-26-2020 REG	45,129	45,129
AMERICAN ELEC PWR CO INC 1.0% DUE 11-01-2025 REG	83,556	83,556
AMERICAN EXPRESS CO 2.5% DUE 07-30-2024/06-29-2024 REG	78,578	78,578
AMERICAN TOWER CORP NEW 1.6% DUE 04-15-2026 BEO	10,170	10,170
AMERICAN TOWER CORP NEW 3.125% DUE 01-15-2027/09-30-2016 REG	42,559	42,559
AMERN HONDA FIN CORP MED TERM NTS TRANCHE # TR 83 1.2% 07-08-2025	108,993	108,993
AT&T INC 4.3% 02-15-2030 BEO	107,881	107,881
BANK AMER CORP 1.898% DUE 07-23-2031	81,945	81,945
BANK NEW YORK MELLON CORP MEDIUM TERM SRNT BOOK ENTRY TRANCHE # TR 00033 DUE	103,904	103,904
BOSTON PPTYS LTD PARTNERSHIP 2.75% DUE 10-01-2026/08-17-2016 BEO	51,036	51,036
CARRIER GLOBAL CORP 2.242% 02-15-2025	43,613	43,613
CITIGROUP INC 3.2% DUE 10-21-2026/04-21-2017 REG	81,115	81,115
CVS HEALTH CORP 4.3% DUE 03-25-2028/03-09-2018 REG	98,420	98,420
DISNEY WALT CO 2.0% DUE 09-01-2029/09-06-2019 REG	88,766	88,766
DTE ENERGY CO FIXED 1.05% DUE 06-01-2025	117,802	117,802
ENBRIDGE INC 4.25% DUE 12-01-2026/11-29-2016 REG	49,349	49,349
EVERSOURCE ENERGY 1.65% DUE 08-15-2030/08-14-2020 REG	101,549	101,549
FIFTH THIRD BANCORP 6.361% 10-27-2028	100,699	100,699
HOME DEPOT INC 2.95% DUE 06-15-2029/06-17-2019 REG	94,089	94,089
JPMORGAN CHASE & CO 2.58% 04-22-2032	139,826	139,826
LINCOLN NATL CORP IND 3.05% DUE 01-15-2030/08-19-2019 REG	39,805	39,805
LOCKHEED MARTIN CORP 3.9% 06-15-2032	125,549	125,549
MORGAN STANLEY 6.342% 10-18-2033	124,226	124,226
NORTHROP GRUMMAN CORP 3.25% DUE 01-15-2028/10-13-2017 REG	47,799	47,799
PITTSBURGH PA 1.679% DUE 09-01-2030 BEO TAXABLE	105,746	105,746
PNC FINL SVCS GROUP INC 3.5% DUE 01-23-2024/12-24-2023 REG	49,927	49,927
PROGRESSIVE CORP OH 3.0% 03-15-2032	98,307	98,307
PUBLIC SVC ENTERPRISE GROUP INC 2.875% DUE 06-15-2024	78,972	78,972
ROYAL BK CDA GLOBAL MEDIUM TERM SR BK NTS BOOK ENTRY 6.0% DUE 11-01-2027 R	120,566	120,566
SEMPRA ENERGY 3.4% 01-12-2018	100,156	100,156
SHELL INTL FIN B V 2.875% DUE 05-10-2026REG	57,775	57,775
SIMON PPTY GROUP FIXED 2% 09-13-2024	92,833	92,833
TORONTO DOMINION BK SR MEDIUM TERM TRANCHE # TR 62 01/06/2021 .75%	92,413	92,413
UNITEDHEALTH GROUP INC 3.85% DUE 06-15-2028 REG	97,885	97,885
XCEL ENERGY INC 4.0% DUE 06-15-2028/06-25-2018 REG	48,830	48,830

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADR INFINEON TECHNOLOGIES AG SPONSORED ADR ADR STK ISIN# US45662N1037	202,805	202,805
ADR NOVARTIS AG	133,078	133,078
AIR PRODUCTS AND CHEMICALS, INC., COMMON STOCK, $1 PAR	219,588	219,588
ALPHABET INC CAP STK USD0.001 CL C	181,941	181,941
ALPHABET INC CAPITAL STOCK USD0.001 CL A	414,321	414,321
AMAZON COM INC COM	557,316	557,316
ANALOG DEVICES, INC., COMMON STOCK, $.16 2/3 PAR	175,130	175,130
APPLE INC COM STK	317,675	317,675
ARISTA NETWORKS INC COM	383,175	383,175
BECTON, DICKINSON AND CO., COMMON STOCK,$1 PAR	227,493	227,493
BERKSHIRE HATHAWAY INC COM USD0.0033 CLASS'B'	266,068	266,068
BOOKING HLDGS INC COM	202,192	202,192
CANADIAN PAC KANS CITY LTD	206,109	206,109
CHARTER COMMUNICATIONS INC NEW CL A CL A	167,521	167,521
CHIPOTLE MEXICAN GRILL INC COM STK	240,131	240,131
COMCAST CORP NEW-CL A	210,919	210,919
COSTCO WHOLESALE CORP NEW COM	296,376	296,376
DELTA AIR LINES INC DEL COM NEW COM NEW	160,196	160,196
DOLLAR GEN CORP NEW COM	217,520	217,520
FEDEX CORP COM	267,895	267,895
GDR NESTLE S A SPONSORED ADR REPSTG REG SH	212,759	212,759
INTUITIVE SURGICAL INC COM NEW STK	247,960	247,960
JOHNSON & JOHNSON COM USD1	227,430	227,430
JPMORGAN CHASE & CO COM	342,071	342,071
MASTERCARD INC CL A	373,623	373,623
META PLATFORMS INC COM USD0.000006 CL 'A'	476,430	476,430
MICROSOFT CORP COM	617,458	617,458
MOODYS CORP COM	150,366	150,366
NETFLIX INC COM STK	258,533	258,533
NIKE INC CL B	185,438	185,438
PROGRESSIVE CORP., OHIO, COMMON STOCK, $1 PAR	122,168	122,168
ROSS STORES INC COM	181,153	181,153
S&P GLOBAL INC COM	142,728	142,728
SALESFORCE INC COM USD0.001	135,517	135,517
SBA COMMUNICATIONS CORP COM USD0.01 CL A	232,126	232,126
SCHWAB CHARLES CORP COMMON STOCK NEW	133,334	133,334
T-MOBILE US INC COM	233,280	233,280
TEXAS INSTRUMENTS INC COM	208,473	208,473
THERMO FISHER SCIENTIFIC INC COM USD1	330,682	330,682
TJX COS INC COMMON NEW	157,413	157,413
TRANSDIGM GROUP INC COM	200,297	200,297
UNITEDHEALTH GROUP INC COM	189,529	189,529
WABTEC CORP COM	181,721	181,721
WORKDAY INC CL A COM USD0.001	282,962	282,962
YUM BRANDS INC COM	162,802	162,802
MFO ARIEL INVT TR ARIEL FD INSTL CL	4,604,136	4,604,136
MFO BROWN CAP MGMT MUT FDS INTL SMALL COINSTL SHS USD	2,963,341	2,963,341

TY 2023 IRS 990 e-File Render

Name:	WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND
EIN:	04-6037391
US Government Securities - End of Year Book Value:	2,434,824
US Government Securities - End of Year Fair Market Value:	2,434,824
State & Local Government Securities - End of Year Book Value:	1,926,525
State & Local Government Securities - End of Year Fair Market Value:	1,926,525

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ACCOLADE PARTNERS VI	FMV	1,709,884	1,709,884
ACCOLADE PARTNERS VI	FMV	2,764,593	2,764,593
ALTRA VUE FUND I	FMV	5,323,641	5,323,641
AVANATH AFFORDABLE HOUSING IV	FMV	1,292,251	1,292,251
AXIOM ASIA IV	FMV	1,111,735	1,111,735
BASE10 ADVANCEMENT	FMV	786,450	786,450
BASE10 ADVANCEMENT II	FMV	141,976	141,976
BRONZE VENTURE FUND II	FMV	2,576,021	2,576,021
COMMONFUND CAPITAL PEP IX	FMV	559,423	559,423
COMMONFUND CAPITAL PEP VI	FMV	5,806	5,806
COMMONFUND CAPITAL PEP VII	FMV	215,119	215,119
COMMONFUND CAPITAL PEP VIII	FMV	278,570	278,570
COMMONFUND INTERNATIONAL PARTNERS VI	FMV	224,331	224,331
COMMONFUND INTERNATIONAL PARTNERS VII	FMV	203,171	203,171
COMMONFUND INTERNATIONAL PARTNERS VIII	FMV	438,934	438,934
COMMONFUND NATURAL RESOURCE PARTNERS IX	FMV	1,091,261	1,091,261
COMMONFUND NATURAL RESOURCE PARTNERS VII	FMV	224,123	224,123
COMMONFUND NATURAL RESOURCE PARTNERS VIII	FMV	144,275	144,275
COMMONFUND NATURAL RESOURCE PARTNERS X	FMV	1,237,241	1,237,241
COMMONFUND VENTURE PARTNERS IX	FMV	678,001	678,001
COMMONFUND VENTURE PARTNERS VII	FMV	181,578	181,578
COMMONFUND VENTURE PARTNERS VIII	FMV	924,269	924,269
COMMONFUND VENTURE PARTNERS X	FMV	967,605	967,605
COMMONFUND VENTURE PARTNERS XI	FMV	2,049,435	2,049,435
CROW HOLDINGS VII-A	FMV	11,574	11,574
CROW HOLDINGS VIII	FMV	33,769	33,769
CROW REALTY VI-A	FMV	39,220	39,220
DOUBLE BOTTOM LINE	FMV	1,270,554	1,270,554
FAIRVIEW PRIVATE MARKETS FUND V	FMV	2,282,318	2,282,318
FAIRVIEW PRIVATE MARKETS VI	FMV	451,070	451,070
FEMALE FOUNDERS FUND III	FMV	426,969	426,969
FIR TREE REAL ESTATE FUND	FMV	1,316	1,316
GENERATION GLOBAL	FMV	6,339,637	6,339,637
GENERATION IM ASIA	FMV	824,969	824,969
GENERATION IM ASIA	FMV	2,295,981	2,295,981
GREENBACKER RENEWABLE ENERGY	FMV	1,535,470	1,535,470
ILLUMEN CAPITAL	FMV	994,776	994,776
ILLUMEN CAPITAL II	FMV	287,982	287,982
IMPACT AMERICA III	FMV	78,977	78,977
IMPACT ENGINE PRIVATE EQUITY	FMV	439,774	439,774
INCLUSIVE CPSPRING INT VALUEACT	FMV	333,315	333,315
KAPOR CAPITAL III	FMV	450,148	450,148

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
KKR MEZZANINE PARTNERS	FMV	42,453	42,453
KLINE HILL PARTNERS	FMV	691,787	691,787
KLINE HILL PARTNERS II	FMV	646,613	646,613
MAGNITUDE	FMV	507,189	507,189
MATERIAL IMPACT FUND II	FMV	752,746	752,746
MATERIAL IMPACT FUND III	FMV	171,563	171,563
MONDRIAN IEF, LP	FMV	2,693,817	2,693,817
MUNI CAPITAL APPRECIAITON PTNRS	FMV	140,120	140,120
OAK HILL STRATEGIC CREDIT FUND	FMV	444,702	444,702
OBVIOUS VENTURES III	FMV	1,871,451	1,871,451
OWL VENTURES	FMV	906,304	906,304
OWL VENTURES II	FMV	1,223,467	1,223,467
OWNERSHIP CAPITAL GLOBAL EQUITY	FMV	3,979,800	3,979,800
PINEHURST	FMV	402,939	402,939
POMONA CAPITAL VI	FMV	20,129	20,129
PRESERVER	FMV	5,220,797	5,220,797
RETHINK IMPACT II	FMV	632,484	632,484
RRG GLOBAL PARTNERS FUND	FMV	1,116,197	1,116,197
RRG SUSTAINABLE WATER IMPACT FUND	FMV	1,866,601	1,866,601
SEAE VENTRUES I	FMV	925,725	925,725
STEWART EMERGING MAHOUT GLOBAL	FMV	2,153,652	2,153,652
TIFF PARTNERS V - US	FMV	40,558	40,558
TIFF PEP 2007	FMV	328,476	328,476
TIFF PEP 2008	FMV	224,762	224,762
TIFF PEP 2009	FMV	20,493	20,493
TIFF PEP 2010	FMV	139,846	139,846
TIFF PEP 2011	FMV	283,925	283,925
TIFF PEP 2012	FMV	458,359	458,359
TIFF PEP 2013	FMV	522,480	522,480
TIFF PEP 2014	FMV	785,002	785,002
TIFF PEP 2015	FMV	1,367,526	1,367,526
TIFF PEP 2016	FMV	1,315,780	1,315,780
TIFF PEP 2017	FMV	1,271,657	1,271,657
TIFF R&R 2008	FMV	127,670	127,670
TIFF R&R 2009	FMV	2,226	2,226
TIFF REAL ESTATE PARTNERS I	FMV	23,950	23,950
TIFF REAL ESTATE PARTNERS II	FMV	32,677	32,677
TIFF REALTY & RESOURCES IV	FMV	480,760	480,760
TIFF REALTY & RESOURCES LIMITED PARTNERSHIP II	FMV	7,172	7,172
TIFF REALTY & RESOURCES LIMITED PARTNERSHIP III	FMV	77,783	77,783
TIFF ROF	FMV	91,926	91,926
TIFF SECONDARY PARTNERS	FMV	51,179	51,179
TIFF SPECIAL OPPORTUNITY FUND	FMV	227,240	227,240
TRIDENT AMERICAN DREAMS	FMV	1,241,511	1,241,511
TUCKERBROOK GDF FUND	FMV	360,270	360,270
WHITEBOX MULTI-STRATEGY FUND	FMV	422,893	422,893

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
XPONANCE	FMV	2,068,770	2,068,770

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
EQUIPMENT	49,307	26,271	23,036	
FURNITURE	139,959	99,522	40,437	
LEASEHOLD IMPROVEMENTS	367,024	351,780	15,244	

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL	16,309	326	0	0

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITY DEPOSITS	12,999	12,999	12,999
PRI-CAPITAL FOR CHANGE	500,000	500,000	500,000
CDFI'S & CD'S (PRI'S)	800,000	800,000	800,000
OTHER RECEIVABLE - NYES LEDGE	43,206		
OTHER		600	600

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Description	Amount
CHANGE IN POST-RETIREMENT BENEFIT OBLIGATION	31,265

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL FEES	2,257	0	0	0
DUES, FEES, MEMBERSHIPS	157,070	0	0	0
TRAINING BOARD & STAFF	12,907	0	0	0
OFFICE SUPPLIES	7,130	0	0	0
OFFICE	19,923	0	0	0
COMPUTER MAINTENANCE & SUPPLIES	39,620	0	0	0
POSTAGE	3,007	0	0	0
CONVENING	150,173	0	0	0
TELECOMMUNICATIONS	9,457	0	0	0
LIABILITY INSURANCE	34,821	0	0	0
COMMUNICATIONS	87,756	0	0	0
SOFTWARE	23,532	0	0	0
PROGRAM DEVELOPMENT	494,370	0	0	0
TECHNICAL ASSISTANCE	19,531	0	0	0
COMMUNITY LIASONS	10,000	0	0	0
BOARD RETREAT	2,000	0	0	0

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PTSHP INCOME - ACCOLADE VI	-39,884	-39,884	
PTSHP INCOME - ACCOLADE VII	-67,547	-67,547	
PTSHP INCOME - ALTRAVUE	22,700	22,700	
PTSHP INCOME - AVANATH	-20,852	-20,852	
PTSHP INCOME - AXIOM ASIA IV	-6,926	-6,926	
PTSHP INCOME - BASE10 ADVANCEMENT I	-83,932	-83,932	
PTSHP INCOME - BASE10 ADVANCEMENT II	-19,280	-19,280	
PTSHP INCOME - BRONZE VENTURE FUND II	-34,526	-34,526	
PTSHP INCOME - CF CAPITAL INTERNATIONAL PARTNERS VI,L.P.	29,840	29,840	
PTSHP INCOME - CF CAPITAL INTERNATIONAL PARTNERS VII	21,928	21,928	
PTSHP INCOME - CF CAPITAL INTERNATIONAL PARTNERS VIII	60,616	60,616	
PTSHP INCOME - CF CAPITAL NATURAL RESOURCES PARTNERS IX	227,620	227,620	
PTSHP INCOME - CF CAPITAL NATURAL RESOURCES PARTNERS VII	71,762	71,762	
PTSHP INCOME - CF CAPITAL NATURAL RESOURCES PARTNERS VIII	32,861	32,861	
PTSHP INCOME - CF CAPITAL NATURAL RESOURCES PARTNERS X	82,509	82,509	
PTSHP INCOME - CF CAPITAL PRIVATE EQUITY PARTNERS IX	20,978	20,978	
PTSHP INCOME - CF CAPITAL PRIVATE EQUITY PARTNERS VI LP	2,635	2,635	
PTSHP INCOME - CF CAPITAL PRIVATE EQUITY PARTNERS VII	94,276	94,276	
PTSHP INCOME - CF CAPITAL PRIVATE EQUITY PARTNERS VIII	29,400	29,400	
PTSHP INCOME - CF CAPITAL VENTURE PARTNERS IX	52,009	52,009	
PTSHP INCOME - CF CAPITAL VENTURE PARTNERS VII LP	19,000	19,000	
PTSHP INCOME - CF CAPITAL VENTURE PARTNERS VIII	62,080	62,080	
PTSHP INCOME - CF CAPITAL VENTURE PARTNERS X	27,945	27,945	
PTSHP INCOME - CF CAPITAL VENTURE PARTNERS XI	46,607	46,607	
PTSHP INCOME - CROW HOLDINGS, VI	-238	-238	
PTSHP INCOME - CROW HOLDINGS, VII	-86	-86	
PTSHP INCOME - CROW HOLDINGS, VIII	4,762	4,762	
PTSHP INCOME - DBL PARTNERS	4,466	4,466	
PTSHP INCOME - FAIRVIEW PRIVATE MARKETS FUND	-40,547	-40,547	
PTSHP INCOME - FAIRVIEW PRIVATE MARKETS FUND V	-85,696	-85,696	
PTSHP INCOME - FEMALE FOUNDERS FUND III	-44,171	-44,171	
PTSHP INCOME - FIR TREE	252	252	
PTSHP INCOME - GENERATION GLOBAL	-236,449	-236,449	
PTSHP INCOME - GENERATION IM ASIA	-95,136	-95,136	
PTSHP INCOME - GREENBACKER	61,611	61,611	
PTSHP INCOME - ILLUMEN CAPITAL	-47,782	-47,782	
PTSHP INCOME - ILLUMEN CAPITAL II	-36,459	-36,459	
PTSHP INCOME - IMPACT AMERICA III	-37,926	-37,926	
PTSHP INCOME - IMPACT ENGINE	-69,238	-69,238	
PTSHP INCOME - INCLUSIVE	-118,499	-118,499	
PTSHP INCOME - KAPOR CAPITAL III	-41,842	-41,842	
PTSHP INCOME - KKR MEZZANINE	540	540	
PTSHP INCOME - KLINE HILL I	57,053	57,053	
PTSHP INCOME - KLINE HILL II	102,514	102,514	
PTSHP INCOME - MAGNITUDE	40,258	40,258	
PTSHP INCOME - MATERIAL IMPACT FUND II	-34,237	-34,237	
PTSHP INCOME - MATERIAL IMPACT FUND III	-39,303	-39,303	
PTSHP INCOME - MCAP	-2,399	-2,399	
PTSHP INCOME - MONDRIAN	112,983	112,983	
PTSHP INCOME - OAK HILL	69,900	69,900	
PTSHP INCOME - OBVIOUS VENTURES III	-146,873	-146,873	
PTSHP INCOME - OWL VENTURES	101,833	101,833	
PTSHP INCOME - OWL VENTURES II	-21,070	-21,070	
PTSHP INCOME - OWNERSHIP CAPITAL GLOBAL EQUITY	480,353	480,353	
PTSHP INCOME - PINEHURST	1,822	1,822	
PTSHP INCOME - POMONA	-22,659	-22,659	
PTSHP INCOME - PRESERVER	66,243	66,243	
PTSHP INCOME - RETHINK IMPACT FUND II	-31,406	-31,406	
PTSHP INCOME - RRG GLOBAL PARTNERS FUND	-70,453	-70,453	
PTSHP INCOME - RRG SUSTAINABLE WATER IMPACT	45,495	45,495	
PTSHP INCOME - SEAE VENTURES	-86,583	-86,583	
PTSHP INCOME - STEWART INVESTORS	194,861	194,861	
PTSHP INCOME - TIFF PARTNERS V - US	-71,775	-71,775	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2005	-42,195	-42,195	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2006	-111,399	-111,399	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2007	-3,035	-3,035	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2008	-9,224	-9,224	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2009	3,578	3,578	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2010	7,718	7,718	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2011	1,130	1,130	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2012	15,919	15,919	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2013	-2,136	-2,136	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2014	15,757	15,757	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2015	110,419	110,419	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2016	-19,419	-19,419	
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2017	81,398	81,398	
PTSHP INCOME - TIFF REAL & RESOURCE PARTNERS II	-58,917	-58,917	
PTSHP INCOME - TIFF REAL & RESOURCE PARTNERS III	-16,004	-16,004	
PTSHP INCOME - TIFF REAL & RESOURCE PARTNERS IV	45,936	45,936	
PTSHP INCOME - TIFF REAL ESTATE I	-65,816	-65,816	
PTSHP INCOME - TIFF REAL ESTATE II	407	407	
PTSHP INCOME - TIFF REALTY AND RESOURCES 2008	-61,825	-61,825	
PTSHP INCOME - TIFF REALTY AND RESOURCES 2009	-28,906	-28,906	
PTSHP INCOME - TIFF REALTY OPPORTUNITY FUND	-4,971	-4,971	
PTSHP INCOME - TIFF SECONDARY PARTNERS II	18,455	18,455	
PTSHP INCOME - TIFF SPECIAL OPPORTUNITY FUND	21,414	21,414	
PTSHP INCOME - TRIDENT AMERICAN DREAMS	-121,565	-121,565	
PTSHP INCOME - TUCKERBROOK	-6,113	-6,113	
PTSHP INCOME - WHITEBOX MULTI-STRATEGY	34,311	34,311	
PTSHP INCOME - XPONANCE	43,990	43,990	
UBIT	-126,612	-126,612	
UBIT	126,612	126,612	

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Description	Amount
CHANGE IN UNREALIZED APPRECIATION	4,029,661

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Description	Beginning of Year - Book Value	End of Year - Book Value
POST-RETIREMENT BENEFIT OBLIGATION	459,151	490,416
OTHER PAYABLE	12,288	0

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT	363,062	363,062	0	0
INVESTMENT MANAGEMENT FEES - PASS-THROUGH	2,409	2,409	0	0
RECRUITMENT/BENEFIT CONSULTANT	4,850	4,850	0	0
HONORARIUMS	9,200	9,200	0	0

TY 2023 IRS 990 e-File Render

Name: WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

EIN: 04-6037391

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS CHARITABLE FILING FEE	1,500	0	0	1,500
	219,901	0	0	0