

990

Return of Organization Exempt From Income Tax

OMB No. 1545-

0047 2021

Open to Public Inspection

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations) Do not enter social security numbers on this form as it may be made public.

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Form 990 Department of the Treasury Internal Revenue Service

For the 2021 calendar year, or tax year beginning 07-01-2021, and ending 06-30-2022

Form 990 header section containing fields A through M: A) For the 2021 calendar year... B) Check if applicable... C) Name of organization... D) Employer identification number... E) Telephone number... F) Name and address of principal officer... G) Gross receipts... H) Is this a group return... I) Tax-exempt status... J) Website... K) Form of organization... L) Year of formation... M) State of legal domicile...

Part I Summary

Table with 3 main sections: 1) Activities & Governance (lines 1-7b), 2) Revenue (lines 8-12), 3) Expenses (lines 13-19), 4) Net Assets or Fund Balances (lines 20-22). Includes a table for Revenue and Expenses comparing Prior Year and Current Year.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature block area with fields for Sign Here, Signature of officer, Date, and Name/Title of officer.

Paid Preparer Use Only section with fields for Preparer's name, signature, date, firm's name, address, and phone number.

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III

**1** Briefly describe the organization's mission:

National Jewish Health's mission since 1899 is to heal, discover and educate as a preeminent healthcare institution. We serve by providing the best integrated and innovative care for patients and their families; by understanding and finding cures for the diseases we research; and, by educating and training the next generation of healthcare professionals to be leaders in medicine and science.

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes  No

If "Yes," describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes  No

If "Yes," describe these changes on Schedule O.

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

**4a** (Code: ) (Expenses \$ **221,573,029** including grants of \$ ) (Revenue \$ **224,764,617** )

National Jewish Health is a nationally recognized, academic medical center with specialized expertise in the evaluation and management of respiratory, cardiac, allergic and immunologic diseases. National Jewish Health serves as a referral center for patients across the United States, particularly for those patients with diseases that are exceptionally difficult to diagnose and/or treat. National Jewish Health provides care to adult and pediatric patients on both an inpatient and outpatient basis and offers a comprehensive spectrum of clinical services. Clinical services include but are not limited to: pulmonary, critical care and sleep medicine, allergy/immunology, occupational and environmental health sciences, cardiology, pulmonary, hypertension, rheumatology, gastroenterology, infectious disease and mycobacterial infections, cystic fibrosis, neurology, neuromuscular medicine and ALS, thoracic surgery, immediate care, otolaryngology and oncology. In the fiscal year ended June 30, 2022, National Jewish Health provided over 109,037 outpatient visits. Additionally, our physicians provided over 21,242 inpatient encounters, primarily critical care services, pulmonary consultative service and hospitalist services, at multiple facilities across metropolitan Denver, including the National Jewish Health main campus. Our patients come from virtually every state across the United States, with residents from our Colorado community constituting the largest single group. National Jewish Health was founded under the motto, "None may enter who can pay; None can pay who enter." While today, National Jewish Health accepts paying patients, a significant amount of charity care is provided and all appointments are offered on a first come, first served basis regardless of the ability to pay. National Jewish Health adapted its clinical programs to meet the unique needs of SARS CoV-2, adding clinical testing on multiple platforms, dedicating multi-disciplinary clinics for patients suffering and recovering from COVID and providing free vaccines throughout the community. National Jewish Health has collaborated with local hospitals to provide a combined state of the art outpatient clinic and inpatient care setting.

**4b** (Code: ) (Expenses \$ **69,716,660** including grants of \$ ) (Revenue \$ **2,824,748** )

National Jewish Health receives various types of grants. In addition to the above, National Jewish Health received \$57,177,248 of funding through grants which are included in Part VIII (revenues), lines 1e and 1f. National Jewish Health conducts extensive basic, translational and clinical biomedical research. In addition to translational research programs in its areas of clinical specialties, National Jewish Health conducts research in basic immunology, genetics, proteomics, cell biology, signal transduction, structural biology, cancer biology, and oxidant biology. Research activities have resulted in a number of scientific discoveries that have improved care for patients worldwide. National Jewish Health was awarded several grants to study and identify emerging SARS-CoV-2 strains and their effect on severity of COVID-19 illnesses. Funds for National Jewish Health's research are provided by grants from private and governmental agencies which include the National Institute of Health (NIH), the Department of Defense (DOD), National Science Foundation (NSF) and charitable contributions from private industry.

**4c** (Code: ) (Expenses \$ **11,551,311** including grants of \$ ) (Revenue \$ **459,564** )

National Jewish Health is the nations' largest nonprofit provider of phone-based commercial tobacco cessation services, delivering evidence-based, personalized telephone and online coaching programs in 21 states and for more than 100 health plans, employer groups and wellness companies. The state grant contract revenue of \$12,712,739 is included in Part VIII, line 1e, while the rest of the revenue is reported in section 4c above. Since the development of our Quitline program in 2002, National Jewish Health has assisted more than 2.0 million people with their quit attempts. National Jewish Health leverages emerging research and some of the industry's most prominent thinkers to continually adapt and improve our program in order to meet the needs of our clients and participants. The Quitline program follows the best practices and industry standards published by the Centers for Diseases Control and Prevention (CDC) and North American Quitline Consortium (NAQC). National Jewish Health's protocols are research- and evidence -based. The Quitline is staffed by more than 80 professionals who are devoted to commercial tobacco use prevention and cessation. The Tobacco Cessation Coaches (Coaches) undergo rigorous training that enables them to tailor their coaching services based on participant needs using our proven-successful coaching model. For each person who reaches out to the Quitline for help, the coaches immediately engage them in their quit journey to foster success, and our results demonstrate our proven track record. Our surveys, conducted by an independent third party, show that individuals who receive our evidence-based coaching services and use cessation medications have a 37% long-term quit rate, one of the best quit rates in the nation.

(Code: ) (Expenses \$ **4,855,290** including grants of \$ ) (Revenue \$ **12,290,445** )

National Jewish Health provides other programs and services such as professional education for medical professionals, specialized physicians services to local hospitals, and shares its expertise regarding specialized diagnostic techniques and treatment protocols with two other hospital's Respiratory Institutes.

**4d** Other program services (Describe in Schedule O.)  
(Expenses \$ **4,855,290** including grants of \$ **0** ) (Revenue \$ **12,290,445** )

**4e** **Total program service expenses** **307,696,290**

Part IV Checklist of Required Schedules

Table with 3 columns: Question ID, Question Text, and Yes/No columns. Rows include questions 1 through 21, with sub-questions 11a-e and 12a-b. Each row has a corresponding 'Yes' and 'No' column for the answer.

**Part IV Checklist of Required Schedules (continued)**

|            |  | Yes | No |
|------------|--|-----|----|
| <b>22</b>  | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> . . . . .   |     | No |
| <b>23</b>  | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> . . . . .   | Yes |    |
| <b>24a</b> | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i> . . . . .  | Yes |    |
| <b>24b</b> | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .  |     | No |
| <b>24c</b> | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .   |     | No |
| <b>24d</b> | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?  |     | No |
| <b>25a</b> | <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> . . . . .   |     | No |
| <b>25b</b> | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>   |     | No |
| <b>26</b>  | Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons?   |     | No |
| <b>27</b>  | Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> |     | No |
| <b>28</b>  | Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):  |     |    |
| <b>28a</b> | A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .  |     | No |
| <b>28b</b> | A family member of any individual described in line 28a? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .   | Yes |    |
| <b>28c</b> | A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .  |     | No |
| <b>29</b>  | Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . . . .  | Yes |    |
| <b>30</b>  | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions?   |     | No |
| <b>31</b>  | Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>  |     | No |
| <b>32</b>  | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> . . . . .  |     | No |
| <b>33</b>  | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3?   | Yes |    |
| <b>34</b>  | Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> . . . . .  | Yes |    |
| <b>35a</b> | Did the organization have a controlled entity within the meaning of section 512(b)(13)?  |     | No |
| <b>35b</b> | If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .   |     |    |
| <b>36</b>  | <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .   |     | No |
| <b>37</b>  | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>   |     | No |
| <b>38</b>  | Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O. . . . .   | Yes |    |

**Part V Statements Regarding Other IRS Filings and Tax Compliance**  
 Check if Schedule O contains a response or note to any line in this Part V . . . . .

|           |  | Yes | No |
|-----------|--|-----|----|
| <b>1a</b> | Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable   |     |    |
| <b>1b</b> | Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable  |     |    |
| <b>1c</b> | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . . | Yes |    |

**Part V Statements Regarding Other IRS Filings and Tax Compliance** (continued)

|   |                        |  |
|---|------------------------|--|
| <p><b>2a</b> Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . . . . .</p>  | <p><b>2a</b> 2,183</p> |  |
| <p><b>b</b> If at least one is reported on line 2a, did the organization file all required federal employment tax returns?<br/><b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file. See instructions.</p>                             | <p><b>2b</b> Yes</p>   |  |
| <p><b>3a</b> Did the organization have unrelated business gross income of \$1,000 or more during the year? . . . . .</p>  | <p><b>3a</b> Yes</p>   |  |
| <p><b>b</b> If "Yes," has it filed a Form 990-T for this year?<i>If "No" to line 3b, provide an explanation in Schedule O . . . . .</i></p>   | <p><b>3b</b> Yes</p>   |  |
| <p><b>4a</b> At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?</p>                     | <p><b>4a</b> No</p>    |  |
| <p><b>b</b> <i>Enter the name of the foreign country: _____</i><br/>See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).</p>   |                        |  |
| <p><b>5a</b> Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . . . .</p>  | <p><b>5a</b> No</p>    |  |
| <p><b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?</p>  | <p><b>5b</b> No</p>    |  |
| <p><b>c</b> If "Yes," to line 5a or 5b, did the organization file Form 8886-T? . . . . .</p>  | <p><b>5c</b></p>       |  |
| <p><b>6a</b> Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . . . .</p>  | <p><b>6a</b> No</p>    |  |
| <p><b>b</b> If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . .</p>   | <p><b>6b</b></p>       |  |
| <p><b>7 Organizations that may receive deductible contributions under section 170(c).</b></p>   |                        |  |
| <p><b>a</b> Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? . . . . .</p>   | <p><b>7a</b> Yes</p>   |  |
| <p><b>b</b> If "Yes," did the organization notify the donor of the value of the goods or services provided? . . . . .</p>   | <p><b>7b</b> Yes</p>   |  |
| <p><b>c</b> Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . .</p>  | <p><b>7c</b> Yes</p>   |  |
| <p><b>d</b> If "Yes," indicate the number of Forms 8282 filed during the year . . . . .</p>   | <p><b>7d</b> 2</p>     |  |
| <p><b>e</b> Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?</p>   | <p><b>7e</b> No</p>    |  |
| <p><b>f</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?</p>  | <p><b>7f</b> No</p>    |  |
| <p><b>g</b> If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? . . . . .</p>  | <p><b>7g</b></p>       |  |
| <p><b>h</b> If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? . . . . .</p>  | <p><b>7h</b></p>       |  |
| <p><b>8 Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?</p>   | <p><b>8</b></p>        |  |
| <p><b>9 Sponsoring organizations maintaining donor advised funds.</b></p>   |                        |  |
| <p><b>a</b> Did the sponsoring organization make any taxable distributions under section 4966?</p>  | <p><b>9a</b></p>       |  |
| <p><b>b</b> Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? . . . . .</p>   | <p><b>9b</b></p>       |  |
| <p><b>10 Section 501(c)(7) organizations.</b> Enter:</p>  |                        |  |
| <p><b>a</b> Initiation fees and capital contributions included on Part VIII, line 12 . . . . .</p>  | <p><b>10a</b></p>      |  |
| <p><b>b</b> Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities . . . . .</p>   | <p><b>10b</b></p>      |  |
| <p><b>11 Section 501(c)(12) organizations.</b> Enter:</p>   |                        |  |
| <p><b>a</b> Gross income from members or shareholders . . . . .</p>   | <p><b>11a</b></p>      |  |
| <p><b>b</b> Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.) . . . . .</p>   | <p><b>11b</b></p>      |  |
| <p><b>12a Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?</p>  |                        |  |
| <p><b>b</b> If "Yes," enter the amount of tax-exempt interest received or accrued during the year.</p>  | <p><b>12b</b></p>      |  |
| <p><b>13 Section 501(c)(29) qualified nonprofit health insurance issuers.</b></p>   |                        |  |
| <p><b>a</b> Is the organization licensed to issue qualified health plans in more than one state?<br/><b>Note.</b> See the instructions for additional information the organization must report on Schedule O.</p>   | <p><b>13a</b></p>      |  |
| <p><b>b</b> Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans . . . . .</p>   | <p><b>13b</b></p>      |  |
| <p><b>c</b> Enter the amount of reserves on hand . . . . .</p>  | <p><b>13c</b></p>      |  |
| <p><b>14a</b> Did the organization receive any payments for indoor tanning services during the tax year? . . . . .</p>  | <p><b>14a</b> No</p>   |  |
| <p><b>b</b> If "Yes," has it filed a Form 720 to report these payments?<i>If "No," provide an explanation in Schedule O . . . . .</i></p>   | <p><b>14b</b></p>      |  |
| <p><b>15</b> Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?</p>   | <p><b>15</b> Yes</p>   |  |
| <p><b>16</b> If the organization is a trust, did it file Form 720, Schedule D, to report the section 4968 excise tax on net investment income?<br/><i>If "Yes," complete Form 4720, Schedule O.</i></p>   | <p><b>16</b> No</p>    |  |
| <p><b>17 Section 501(c)(21) organizations.</b> Did the trust, any disqualified person, or mine operator engage in any activities that would result in the imposition of an excise tax under section 4951, 4952, or 4953? . . . . .<br/><i>If "Yes," complete Form 6069.</i></p> | <p><b>17</b></p>       |  |

Part VI Governance, Management, and Disclosure. For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

Table with 3 main columns: Question, Yes, No. Rows include: 1a Enter the number of voting members... 1b Enter the number of voting members... 2 Did any officer, director, trustee... 3 Did the organization delegate control... 4 Did the organization make any significant changes... 5 Did the organization become aware... 6 Did the organization have members... 7a Did the organization have members... 7b Are any governance decisions... 8 Did the organization contemporaneously document... 8a The governing body... 8b Each committee... 9 Is there any officer, director, trustee...

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 main columns: Question, Yes, No. Rows include: 10a Did the organization have local chapters... 10b If "Yes," did the organization have written policies... 11a Has the organization provided a complete copy... 11b Describe on Schedule O the process... 12a Did the organization have a written conflict of interest policy... 12b Were officers, directors, or trustees... 12c Did the organization regularly and consistently monitor... 13 Did the organization have a written whistleblower policy... 14 Did the organization have a written document retention... 15 Did the process for determining compensation... 15a The organization's CEO... 15b Other officers or key employees... 16a Did the organization invest in, contribute assets to... 16b If "Yes," did the organization follow a written policy...

Section C. Disclosure

Table with 1 main column: Question. Rows include: 17 List the states with which a copy of this Form 990 is required to be filed. 18 Section 6104 requires an organization to make its Form 1023... 19 Describe in Schedule O whether (and if so, how) the organization made its governing documents... 20 State the name, address, and telephone number of the person who possesses the organization's books and records:

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See the instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (box 5 of Form W-2, Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

| (A)<br>Name and title  | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC/1099-NEC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|--|---|-----------------------|---------|--------------|------------------------------|--------|---|--|---|
|  |  | Individual trustee or director  | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former |   |  |   |
| (1) Michael S Salem MD<br>.....<br>President and CEO, BOD Member                           | 50<br>.....  | X   |                       | X       |              |                              |        | 1,964,099   | 0  | 0   |
| (2) Gregory Downey MD<br>.....<br>EVP Academic Affairs & Provost                           | 50<br>.....  |   |                       |         | X            |                              |        | 842,750   | 0  | 0   |
| (3) Glenn Hirsch MD<br>.....<br>Chief, Division of Cardiology/Professor                    | 50<br>.....  |   |                       |         |              | X                            |        | 666,046   | 0  | 0   |
| (4) Christine K Forkner<br>.....<br>EVP Corporate Affairs and CFO, Ass't Treasurer         | 50<br>.....  |   |                       | X       |              |                              |        | 604,068   | 0  | 0   |
| (5) Jeffrey King MD<br>.....<br>Associate Professor, Division of Gastroenterology          | 50<br>.....  |   |                       |         |              | X                            |        | 601,445   | 0  | 0   |
| (6) Stephen Frankel MD<br>.....<br>EVP Clinical Affairs & CCO                              | 50<br>.....  |   |                       |         | X            |                              |        | 573,540   | 0  | 0   |
| (7) Kevin K Brown MD<br>.....<br>Chair, Department of Medicine                             | 50<br>.....  |   |                       |         | X            |                              |        | 560,270   | 0  | 0   |
| (8) Robert Kantor MD<br>.....<br>Clinical Faculty Member, Division of Oncology             | 50<br>.....  |   |                       |         |              | X                            |        | 521,586   | 0  | 0   |
| (9) Rao Mushtaq MD<br>.....<br>Assistant Professor, Division of Oncology                   | 50<br>.....  |   |                       |         |              | X                            |        | 511,462   | 0  | 0   |
| (10) Christopher K Dyke MD<br>.....<br>Associate Professor, Division of Cardiology         | 50<br>.....  |   |                       |         |              | X                            |        | 501,242   | 0  | 0   |
| (11) Pamela L Zeitlin MD PhD<br>.....<br>Chair, Department of Pediatrics                   | 50<br>.....  |   |                       |         | X            |                              |        | 486,266   | 0  | 0   |
| (12) Sarah L Walker<br>.....<br>VP Chief Administrative Officer                            | 50<br>.....  |   |                       |         |              |                              | X      | 436,781   | 0  | 0   |
| (13) Irina Petrache MD<br>.....<br>Chief, Division Pulmonology/Professor                   | 50<br>.....  |   |                       |         |              |                              | X      | 431,659   | 0  | 0   |
| (14) Debra Dyer MD<br>.....<br>Chair, Department of Radiology                              | 50<br>.....  |   |                       |         |              |                              | X      | 425,783   | 0  | 0   |
| (15) Lisa Tadori<br>.....<br>Vice President Development                                    | 50<br>.....  |   |                       |         | X            |                              |        | 412,967   | 0  | 0   |
| (16) Carrie A Horn MD<br>.....<br>Chief Medical Officer                                    | 50<br>.....  |   |                       |         |              |                              | X      | 370,838   | 0  | 0   |
| (17) Philippa Marrack PhD<br>.....<br>Chair, Department of Immunology and Genomic Medicine | 50<br>.....  |   |                       |         |              |                              | X      | 307,048   | 0  | 0   |

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

| (A)<br>Name and title  | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |            | (D)<br>Reportable compensation from the organization (W-2/1099-MISC/1099-NEC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|--|---|-----------------------|---------|--------------|------------------------------|------------|---|--|---|
|  |  | Individual trustee or director  | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former     |   |  |   |
| (18) Kristi Melton<br>VP Clinical Business Operations          | 50   |   |                       |         | X            |                              |            | 286,547   | 0  | 0   |
| (19) Jandel T Allen-Davis MD<br>Member, BOD                    | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (20) Margaret Sue Allon<br>Member, BOD                         | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (21) Stephen W Arent<br>Lifetime Member, BOD                   | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (22) Richard N Baer<br>Member, BOD                             | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (23) Geoff H Barker<br>Member, BOD                             | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (24) James B Berenbaum<br>Member, BOD                          | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (25) Norman Brownstein<br>Lifetime Member, BOD                 | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (26) Robin D Chotin<br>Vice Chair and Secretary, BOD           | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (27) Ross S Chotin<br>Member, BOD                              | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (28) Warren P Cohen<br>Member, BOD                             | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (29) Steven C Demby<br>Member, BOD                             | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (30) Christopher Dinsdale<br>Member, BOD                       | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (31) R Stanton Dodge<br>Member, BOD                            | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (32) David Engleberg<br>Lifetime Member, BOD                   | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (33) Brad C Farber<br>Member, BOD                              | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (34) Daniel J Feiner<br>Member, BOD                            | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (35) Michael A Feiner<br>Member, BOD                           | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (36) Thomas A Gart<br>Member, BOD                              | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (37) Lawrence P Gelfond<br>Lifetime Member, BOD                | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (38) Roger A Gibson<br>Member, BOD                             | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (39) S Jerry Glauser<br>Member, BOD                            | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (40) Charles Gwirtsman<br>Member, BOD                          | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (41) Robin S Hickenlooper<br>Member, BOD                       | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (42) A Barry Hirschfeld<br>Lifetime Member, BOD                | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (43) Lydia W Jumonville<br>Member, BOD                         | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (44) Lewis M Kling<br>Member, BOD                              | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (45) Steven D Kris<br>Member, BOD                              | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (46) Bradley A Levin<br>Member, BOD                            | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (47) Bonnie Mandarich<br>Member, BOD                           | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (48) Connie G McArthur<br>Member, BOD                          | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (49) Marvin I Moskowitz<br>Member, BOD                         | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (50) Brian J Parks<br>Member, BOD                              | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (51) Kathryn A Paul<br>Member, BOD                             | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (52) John J Reilly Jr MD<br>Member, BOD                        | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (53) Blair E Richardson<br>Member, BOD                         | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (54) Edward A Robinson<br>Lifetime Member, BOD                 | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (55) Meyer M Saltzman<br>Lifetime Member, BOD                  | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (56) Richard A Schierburg<br>Lifetime Member, BOD              | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (57) Michael K Schonbrun<br>Member, BOD                        | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (58) Martin Semple<br>Lifetime Member, BOD                     | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (59) Stephen B Siegel<br>Member, BOD                           | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (60) Wendy M Siegel<br>Member, BOD                             | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (61) Donald A Silversmith<br>Member, BOD                       | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (62) Marc D Steron<br>Member, BOD                              | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (63) Debra M Tuchman<br>Member, BOD                            | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (64) Daniel W Yohannes<br>Member, BOD                          | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| (65) Evan H Zucker<br>Member, BOD                              | 2  | X   |                       |         |              |                              |            | 0   | 0  | 0   |
| <b>1b Sub-Total</b>  |  |   |                       |         |              |                              |            |   |  |   |
| <b>c Total from continuation sheets to Part VII, Section A</b> |  |   |                       |         |              |                              |            |   |  |   |
| <b>d Total (add lines 1b and 1c)</b>                           |  |   |                       |         |              |                              | 10,504,397 | 0   | 0  | 0   |

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶ 274**

|  | Yes | No  |
|--|-----|-----|
| <b>3</b> Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>  | 3   | Yes |
| <b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> | 4   | Yes |
| <b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>                       | 5   | No  |

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A)<br>Name and business address  | (B)<br>Description of services     | (C)<br>Compensation |
|---|------------------------------------|---------------------|
| Alliscope Media<br>44 S Broadway<br>Suite 100 OFC 140<br>White Plains, NY 10601 | Media Planning and Consulting      | 1,034,380           |
| Fuse LLC<br>12355 Sunrise Valley Dr Ste 240<br>Reston, VA 20190                 | Direct Mail & Consulting Services  | 1,024,288           |
| SP Plus Corporation<br>200 E Randolph St Ste 7700<br>Chicago, IL 60601          | Valet & Parking Services           | 807,751             |
| Dimassimo<br>220 E 23rd Street<br>2nd Floor<br>New York, NY 10010               | Advertising & Professional Fees    | 633,968             |
| PlatformQ Health Education LLC<br>100 Cresent Rd<br>Needham, MA 02494           | Educational Collaboration Services | 614,840             |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **▶ 47**



**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

|   | (A)<br>Total revenue | (B)<br>Related or<br>exempt<br>function<br>revenue | (C)<br>Unrelated<br>business<br>revenue | (D)<br>Revenue<br>excluded from<br>tax under sections<br>512 - 514 |
|---|----------------------|--|---|--|
| Contributions, Gifts, Grants, and Other Amt Similar Amounts                                   |                      |  |   |  |
| <b>1a</b> Federated campaigns . . . . .   |                      |  | 206,590                                 |  |
| <b>b</b> Membership dues . . . . .  |                      |  | 0                                       |  |
| <b>c</b> Fundraising events . . . . .   |                      |  | 6,350,524                               |  |
| <b>d</b> Related organizations . . . . .  |                      |  | 0                                       |  |
| <b>e</b> Government grants (contributions)  |                      |  | 64,358,627                              |  |
| <b>f</b> All other contributions, gifts, grants,<br>and similar amounts not included<br>above |                      |  | 35,138,568                              |  |
| <b>g</b> Noncash contributions included in<br>lines 1a - 1f:\$                                |                      |  | 1,739,752                               |  |
| <b>h Total.</b> Add lines 1a-1f . . . . .   |                      |  |   | 106,054,309  |

| Program Service Revenue                             |  | Business Code |             |             |           |     |
|---|--|---------------|-------------|-------------|-----------|-----|
|   |  |               | (A)         | (B)         | (C)       | (D) |
| <b>2a</b> Patient, Clinical and Laboratory Services |  | 622000        | 224,764,617 | 220,970,322 | 3,794,295 | 0   |
| <b>b</b> Research                                   |  | 541700        | 2,824,748   | 2,824,748   | 0         | 0   |
| <b>c</b>  |  |               |             |             |           |     |
| <b>d</b>  |  |               |             |             |           |     |
| <b>e</b>  |  |               |             |             |           |     |
| <b>f</b> All other program service revenue.         |  |               | 13,380,008  | 13,227,728  | 152,280   | 0   |
| <b>g Total.</b> Add lines 2a-2f. . . . .            |  |               | 240,969,373 |             |           |     |

|   |  |   |             |             |           |            |            |
|---|--|---|-------------|-------------|-----------|------------|------------|
| Other Revenue   | <b>3</b> Investment income (including dividends, interest, and other similar amounts)  |   | 3,170,178   | 0           | 0         | 3,170,178  |            |
|   | <b>4</b> Income from investment of tax-exempt bond proceeds  |   | 0           | 0           | 0         | 0          |            |
|   | <b>5</b> Royalties . . . . .   |   | 134,776     | 0           | 0         | 134,776    |            |
|   | <b>6a</b> Gross rents  | (i) Real  | 11,934      | 0           |           |            |            |
|   |  | (ii) Personal   |             |             |           |            |            |
|   |  | <b>6b</b> Less: rental expenses                                 | 0           | 0           |           |            |            |
|   |  | <b>6c</b> Rental income or (loss)                               | 11,934      | 0           |           |            |            |
|   | <b>d</b> Net rental income or (loss) . . . . .   |   | 11,934      | 0           | 0         | 11,934     |            |
|   | <b>7a</b> Gross amount from sales of assets other than inventory   | (i) Securities  | 71,044,093  | 15,303      |           |            |            |
|   |  | (ii) Other  |             |             |           |            |            |
|   |  | <b>7b</b> Less: cost or other basis and sales expenses          | 62,168,239  | 0           |           |            |            |
|   |  | <b>7c</b> Gain or (loss)  | 8,875,854   | 15,303      |           |            |            |
|   | <b>d</b> Net gain or (loss) . . . . .  |   | 8,891,157   | 0           | 0         | 8,891,157  |            |
|   | <b>8a</b> Gross income from fundraising events (not including \$ 6,350,524 of contributions reported on line 1c). See Part IV, line 18 . . . . . |   | 657,717     |             |           |            |            |
|   |  | <b>8b</b> Less: direct expenses                                 | 2,716,541   |             |           |            |            |
|   |  | <b>c</b> Net income or (loss) from fundraising events . . . . . |             | -2,058,824  | 0         | 0          | -2,058,824 |
|   | <b>9a</b> Gross income from gaming activities. See Part IV, line 19 . . . . .  |   | 0           |             |           |            |            |
|   |  | <b>9b</b> Less: direct expenses                                 | 0           |             |           |            |            |
|   |  | <b>c</b> Net income or (loss) from gaming activities . . . . .  |             | 0           | 0         | 0          | 0          |
|   | <b>10a</b> Gross sales of inventory, less returns and allowances . . . . .   |   | 0           |             |           |            |            |
| <b>10b</b> Less: cost of goods sold                             |  | 0   |             |             |           |            |            |
| <b>c</b> Net income or (loss) from sales of inventory . . . . . |  |   | 0           | 0           | 0         | 0          |            |
| Miscellaneous Revenue   |  | Business Code   |             |             |           |            |            |
| <b>11a</b> Cafeteria  |  | 722212  | 560,096     | 0           | 0         | 560,096    |            |
| <b>b</b> School - for chronically ill children/patients         |  | 611110  | 543,773     | 0           | 0         | 543,773    |            |
| <b>c</b>  |  |   |             |             |           |            |            |
| <b>d</b> All other revenue . . . . .                            |  |   | 0           | 0           | 0         | 0          |            |
| <b>e Total.</b> Add lines 11a-11d . . . . .                     |  |   | 1,103,869   |             |           |            |            |
| <b>12 Total revenue.</b> See instructions . . . . .             |  |   | 358,276,772 | 237,022,798 | 3,946,575 | 11,253,090 |            |

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

|  | (A)<br>Total expenses | (B)<br>Program service expenses | (C)<br>Management and general expenses | (D)<br>Fundraising expenses |
|--|-----------------------|---------------------------------|--|-----------------------------|
| <b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21  | 0                     | 0                               |  |                             |
| <b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22   | 0                     | 0                               |  |                             |
| <b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.   | 0                     | 0                               |  |                             |
| <b>4</b> Benefits paid to or for members   | 0                     | 0                               |  |                             |
| <b>5</b> Compensation of current officers, directors, trustees, and key employees  | 8,154,808             | 5,207,553                       | 1,690,140                              | 1,257,115                   |
| <b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)   | 0                     | 0                               | 0                                      | 0                           |
| <b>7</b> Other salaries and wages  | 145,523,435           | 125,863,747                     | 15,889,042                             | 3,770,646                   |
| <b>8</b> Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)  | 7,255,831             | 6,275,595                       | 792,231                                | 188,005                     |
| <b>9</b> Other employee benefits   | 11,194,615            | 9,102,442                       | 1,609,804                              | 482,369                     |
| <b>10</b> Payroll taxes  | 8,610,469             | 7,919,118                       | 444,747                                | 246,604                     |
| <b>11</b> Fees for services (non-employees):   |                       |                                 |  |                             |
| <b>a</b> Management  | 0                     | 0                               | 0                                      | 0                           |
| <b>b</b> Legal   | 640,063               | 206,963                         | 356,996                                | 76,104                      |
| <b>c</b> Accounting  | 213,790               | 0                               | 213,790                                | 0                           |
| <b>d</b> Lobbying  | 157,545               | 0                               | 157,545                                | 0                           |
| <b>e</b> Professional fundraising services. See Part IV, line 17   | 320,400               |                                 |  | 320,400                     |
| <b>f</b> Investment management fees  | 446,520               | 0                               | 446,520                                | 0                           |
| <b>g</b> Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)  | 13,646,463            | 12,509,416                      | 943,653                                | 193,394                     |
| <b>12</b> Advertising and promotion  | 3,225,446             | 2,778,542                       | 21,909                                 | 424,995                     |
| <b>13</b> Office expenses  | 4,765,111             | 2,587,934                       | 783,965                                | 1,393,212                   |
| <b>14</b> Information technology   | 5,804,222             | 5,315,262                       | 239,818                                | 249,142                     |
| <b>15</b> Royalties  | 0                     | 0                               | 0                                      | 0                           |
| <b>16</b> Occupancy  | 7,367,891             | 5,590,163                       | 1,069,389                              | 708,339                     |
| <b>17</b> Travel   | 596,498               | 448,429                         | 17,696                                 | 130,373                     |
| <b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials   | 0                     | 0                               | 0                                      | 0                           |
| <b>19</b> Conferences, conventions, and meetings   | 605,847               | 397,766                         | 117,547                                | 90,534                      |
| <b>20</b> Interest   | 2,171,790             | 2,028,813                       | 122,678                                | 20,299                      |
| <b>21</b> Payments to affiliates   | 0                     | 0                               | 0                                      | 0                           |
| <b>22</b> Depreciation, depletion, and amortization  | 12,419,946            | 10,853,436                      | 1,403,634                              | 162,876                     |
| <b>23</b> Insurance  | 846,472               | 0                               | 846,472                                | 0                           |
| <b>24</b> Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)  |                       |                                 |  |                             |
| <b>a</b> Medical and drug supplies   | 92,421,342            | 91,529,632                      | 890,966                                | 744                         |
| <b>b</b> Central supply and distribution for medical supplies  | 0                     | 1,412,613                       | -1,412,613                             | 0                           |
| <b>c</b> Collaborative research agreements   | 8,593,335             | 8,593,335                       | 0                                      | 0                           |
| <b>d</b> UBIT 990T   | 508                   | 508                             | 0                                      | 0                           |
| <b>e</b> All other expenses  | 11,532,477            | 9,075,023                       | 2,318,971                              | 138,483                     |
| <b>25</b> <b>Total functional expenses.</b> Add lines 1 through 24e  | 346,514,824           | 307,696,290                     | 28,964,900                             | 9,853,634                   |
| <b>26</b> <b>Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720). |                       |                                 |  |                             |

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part IX

|   |  | (A)<br>Beginning of year |             | (B)<br>End of year    |
|---|--|--------------------------|-------------|-----------------------|
| <b>Assets</b>   | <b>1</b> Cash-non-interest-bearing . . . . .   | 4,148,000                | <b>1</b>    | 386,000               |
|   | <b>2</b> Savings and temporary cash investments  | 40,151,000               | <b>2</b>    | 40,121,000            |
|   | <b>3</b> Pledges and grants receivable, net . . . . .  | 44,057,000               | <b>3</b>    | 41,610,000            |
|   | <b>4</b> Accounts receivable, net . . . . .  | 40,361,000               | <b>4</b>    | 39,403,000            |
|   | <b>5</b> Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons | 0                        | <b>5</b>    | 0                     |
|   | <b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)   | 0                        | <b>6</b>    | 0                     |
|   | <b>7</b> Notes and loans receivable, net . . . . .   | 0                        | <b>7</b>    | 0                     |
|   | <b>8</b> Inventories for sale or use . . . . .   | 3,097,000                | <b>8</b>    | 2,917,000             |
|   | <b>9</b> Prepaid expenses and deferred charges . . . . .   | 2,418,000                | <b>9</b>    | 2,777,000             |
|   | <b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D   | <b>10a</b> 223,582,000   |             |                       |
|   | <b>b</b> Less: accumulated depreciation  | <b>10b</b> 146,392,000   | 73,256,000  | <b>10c</b> 77,190,000 |
|   | <b>11</b> Investments—publicly traded securities . . . . .   | 135,827,000              | <b>11</b>   | 118,221,000           |
|   | <b>12</b> Investments—other securities. See Part IV, line 11 . . . . .   | 31,178,000               | <b>12</b>   | 30,596,000            |
|   | <b>13</b> Investments—program-related. See Part IV, line 11 . . . . .  | 0                        | <b>13</b>   | 0                     |
|   | <b>14</b> Intangible assets . . . . .  | 300,000                  | <b>14</b>   | 0                     |
|   | <b>15</b> Other assets. See Part IV, line 11   | 79,180,000               | <b>15</b>   | 84,934,000            |
| <b>16 Total assets:</b> Add lines 1 through 15 (must equal line 33) . . . . .   | 453,973,000  | <b>16</b>                | 438,155,000 |                       |
| <b>Liabilities</b>  | <b>17</b> Accounts payable and accrued expenses . . . . .  | 33,281,000               | <b>17</b>   | 26,315,000            |
|   | <b>18</b> Grants payable . . . . .   | 0                        | <b>18</b>   | 0                     |
|   | <b>19</b> Deferred revenue . . . . .   | 1,911,000                | <b>19</b>   | 2,278,000             |
|   | <b>20</b> Tax-exempt bond liabilities . . . . .  | 20,518,000               | <b>20</b>   | 17,958,000            |
|   | <b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D  | 0                        | <b>21</b>   | 0                     |
|   | <b>22</b> Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons     | 0                        | <b>22</b>   | 0                     |
|   | <b>23</b> Secured mortgages and notes payable to unrelated third parties . . . . .   | 65,299,000               | <b>23</b>   | 73,300,000            |
|   | <b>24</b> Unsecured notes and loans payable to unrelated third parties . . . . .   | 0                        | <b>24</b>   | 0                     |
| <b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D | 40,116,000   | <b>25</b>                | 38,260,000  |                       |
| <b>26 Total liabilities.</b> Add lines 17 through 25 . . . . .  | 161,125,000  | <b>26</b>                | 158,111,000 |                       |
| <b>Net Assets or Fund Balances</b>  | <b>Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.</b>   |                          |             |                       |
|   | <b>27</b> Net assets without donor restrictions . . . . .  | 114,812,000              | <b>27</b>   | 109,804,000           |
|   | <b>28</b> Net assets with donor restrictions   | 178,036,000              | <b>28</b>   | 170,240,000           |
|   | <b>Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.</b>  |                          |             |                       |
|   | <b>29</b> Capital stock or trust principal, or current funds . . . . .   |                          | <b>29</b>   |                       |
|   | <b>30</b> Paid-in or capital surplus, or land, building or equipment fund . . . . .  |                          | <b>30</b>   |                       |
|   | <b>31</b> Retained earnings, endowment, accumulated income, or other funds   |                          | <b>31</b>   |                       |
|   | <b>32</b> Total net assets or fund balances  | 292,848,000              | <b>32</b>   | 280,044,000           |
| <b>33</b> Total liabilities and net assets/fund balances  | 453,973,000  | <b>33</b>                | 438,155,000 |                       |

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

|           |  |           |             |
|-----------|--|-----------|-------------|
| <b>1</b>  | Total revenue (must equal Part VIII, column (A), line 12)  | <b>1</b>  | 358,276,772 |
| <b>2</b>  | Total expenses (must equal Part IX, column (A), line 25)   | <b>2</b>  | 346,514,824 |
| <b>3</b>  | Revenue less expenses. Subtract line 2 from line 1   | <b>3</b>  | 11,761,948  |
| <b>4</b>  | Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))                      | <b>4</b>  | 292,848,000 |
| <b>5</b>  | Net unrealized gains (losses) on investments   | <b>5</b>  | -24,565,948 |
| <b>6</b>  | Donated services and use of facilities   | <b>6</b>  | 0           |
| <b>7</b>  | Investment expenses  | <b>7</b>  | 0           |
| <b>8</b>  | Prior period adjustments   | <b>8</b>  | 0           |
| <b>9</b>  | Other changes in net assets or fund balances (explain in Schedule O)   | <b>9</b>  | 0           |
| <b>10</b> | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (A)) | <b>10</b> | 280,044,000 |

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

|           |   | Yes | No |
|-----------|---|-----|----|
| <b>1</b>  | Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____<br>If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule O.   |     |    |
| <b>2a</b> | Were the organization's financial statements compiled or reviewed by an independent accountant?<br>If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:<br><input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis |     | No |
| <b>b</b>  | Were the organization's financial statements audited by an independent accountant?<br>If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:<br><input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis                | Yes |    |
| <b>c</b>  | If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?<br>If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.  | Yes |    |
| <b>3a</b> | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?  | Yes |    |
| <b>b</b>  | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.   | Yes |    |

**Additional Data**

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**Software ID:** 21013178

**Software Version:** v1.00

**Form 990, Special Condition Description:**

**Special Condition Description**

**SCHEDULE A**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
 Attach to Form 990 or Form 990-EZ.  
 Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2021**

**Open to Public Inspection**

Name of the organization  
NATIONAL JEWISH HEALTH

Employer identification number  
74-2044647

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2  A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990).)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9  An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations . . . . .
  - g Provide the following information about the supported organization(s).

| (i) Name of supported organization | (ii) EIN | (iii) Type of organization (described on lines 1- 10 above (see instructions)) | (iv) Is the organization listed in your governing document? |    | (v) Amount of monetary support (see instructions) | (vi) Amount of other support (see instructions) |
|------------------------------------|----------|--|---|----|---|---|
|                                    |          |  | Yes   | No |   |   |
|                                    |          |  |   |    |   |   |
|                                    |          |  |   |    |   |   |
| <b>Total</b>                       |          |  |   |    |   |   |

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Table with 7 columns: (a) 2017, (b) 2018, (c) 2019, (d) 2020, (e) 2021, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Tax revenues levied for the organization's benefit; 3 The value of services or facilities furnished by a governmental unit; 4 Total; 5 The portion of total contributions by each person; 6 Public support.

Section B. Total Support

Table with 7 columns: (a) 2017, (b) 2018, (c) 2019, (d) 2020, (e) 2021, (f) Total. Rows include: 7 Amounts from line 4; 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources; 9 Net income from unrelated business activities; 10 Other income; 11 Total support.

12 Gross receipts from related activities, etc. (see instructions) 12 237,022,798
13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

14 Public support percentage for 2021 (line 6, column (f) divided by line 11, column (f)) 14 94.851%
15 Public support percentage for 2020 Schedule A, Part II, line 14 15 94.326%
16a 33 1/3% support test—2021. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization
b 33 1/3% support test—2020. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization
17a 10%-facts-and-circumstances test—2021. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization
b 10%-facts-and-circumstances test—2020. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

| Calendar year<br>(or fiscal year beginning in) ►  | (a) 2017 | (b) 2018 | (c) 2019 | (d) 2020 | (e) 2021 | (f) Total |
|---|----------|----------|----------|----------|----------|-----------|
| <b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .   |          |          |          |          |          |           |
| <b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose |          |          |          |          |          |           |
| <b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 . . . . .   |          |          |          |          |          |           |
| <b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . .  |          |          |          |          |          |           |
| <b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge  |          |          |          |          |          |           |
| <b>6 Total.</b> Add lines 1 through 5   |          |          |          |          |          |           |
| <b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons  |          |          |          |          |          |           |
| <b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.          |          |          |          |          |          |           |
| <b>c</b> Add lines 7a and 7b. .   |          |          |          |          |          |           |
| <b>8 Public support.</b> (Subtract line 7c from line 6.)  |          |          |          |          |          |           |

**Section B. Total Support**

| Calendar year<br>(or fiscal year beginning in) ►  | (a) 2017 | (b) 2018 | (c) 2019 | (d) 2020 | (e) 2021 | (f) Total |
|---|----------|----------|----------|----------|----------|-----------|
| <b>9</b> Amounts from line 6. . . . .   |          |          |          |          |          |           |
| <b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .   |          |          |          |          |          |           |
| <b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.   |          |          |          |          |          |           |
| <b>c</b> Add lines 10a and 10b.   |          |          |          |          |          |           |
| <b>11</b> Net income from unrelated business activities not included on line 10b, whether or not the business is regularly carried on.  |          |          |          |          |          |           |
| <b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . . . .   |          |          |          |          |          |           |
| <b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.) . . . . .  |          |          |          |          |          |           |
| <b>14 First 5 years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here.</b> . . . . . <input type="checkbox"/> |          |          |          |          |          |           |

**Section C. Computation of Public Support Percentage**

|  |           |  |
|--|-----------|--|
| <b>15</b> Public support percentage for 2021 (line 8, column (f) divided by line 13, column (f)) . . . . . | <b>15</b> |  |
| <b>16</b> Public support percentage from 2020 Schedule A, Part III, line 15 . . . . .                      | <b>16</b> |  |

**Section D. Computation of Investment Income Percentage**

|  |           |  |
|--|-----------|--|
| <b>17</b> Investment income percentage for <b>2021</b> (line 10c, column (f) divided by line 13, column (f)) . . . . . | <b>17</b> |  |
| <b>18</b> Investment income percentage from <b>2020</b> Schedule A, Part III, line 17 . . . . .                        | <b>18</b> |  |

**19a 33 1/3% support tests—2021.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . .

**b 33 1/3% support tests—2020.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . .

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions . . . . .



**Part IV Supporting Organizations**

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, of Part I, complete Sections A and B. If you checked box 12b, of Part I, complete Sections A and C. If you checked box 12c, of Part I, complete Sections A, D, and E. If you checked box 12d, of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

|            |   | Yes | No |
|------------|---|-----|----|
| <b>1</b>   | Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.  |     |    |
| <b>2</b>   | Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).   |     |    |
| <b>3a</b>  | Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.   |     |    |
| <b>b</b>   | Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.   |     |    |
| <b>c</b>   | Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.  |     |    |
| <b>4a</b>  | Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.   |     |    |
| <b>b</b>   | Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.  |     |    |
| <b>c</b>   | Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.   |     |    |
| <b>5a</b>  | Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document). |     |    |
| <b>b</b>   | <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?  |     |    |
| <b>c</b>   | <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?   |     |    |
| <b>6</b>   | Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in <b>Part VI</b> .   |     |    |
| <b>7</b>   | Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990) .   |     |    |
| <b>8</b>   | Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7? If "Yes," complete Part I of Schedule L (Form 990).   |     |    |
| <b>9a</b>  | Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in <b>Part VI</b> .   |     |    |
| <b>b</b>   | Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in <b>Part VI</b> .   |     |    |
| <b>c</b>   | Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in <b>Part VI</b> .  |     |    |
| <b>10a</b> | Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.   |     |    |
| <b>b</b>   | Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).  |     |    |

**Part IV Supporting Organizations** (continued)

|           |   | Yes | No |
|-----------|---|-----|----|
| <b>11</b> | Has the organization accepted a gift or contribution from any of the following persons?   |     |    |
| <b>a</b>  | A person who directly or indirectly controls, either alone or together with persons described on lines 11b and 11c below, the governing body of a supported organization? |     |    |
| <b>b</b>  | A family member of a person described on 11a above?   |     |    |
| <b>c</b>  | A 35% controlled entity of a person described on line 11a or 11b above? <i>If "Yes" to 11a, 11b, or 11c, provide detail in Part VI</i>                                    |     |    |

**Section B. Type I Supporting Organizations**

|          |  | Yes | No |
|----------|--|-----|----|
| <b>1</b> | Did the officers, directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i> |     |    |
| <b>2</b> | Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>  |     |    |

**Section C. Type II Supporting Organizations**

|          |   | Yes | No |
|----------|---|-----|----|
| <b>1</b> | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i> |     |    |

**Section D. All Type III Supporting Organizations**

|          |  | Yes | No |
|----------|--|-----|----|
| <b>1</b> | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? |     |    |
| <b>2</b> | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>   |     |    |
| <b>3</b> | By reason of the relationship described in line 2 above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>   |     |    |

**Section E. Type III Functionally-Integrated Supporting Organizations**

**1** Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):

- a**  The organization satisfied the Activities Test. Complete **line 2** below.
- b**  The organization is the parent of each of its supported organizations. Complete **line 3** below.
- c**  The organization supported a governmental entity. Describe in **Part VI** how you supported a government entity (see instructions)

**2** Activities Test. **Answer lines 2a and 2b below.**

|          |  | Yes | No |
|----------|--|-----|----|
| <b>a</b> | Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i> |     |    |
| <b>b</b> | Did the activities described on line 2a, above constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>   |     |    |

**3** Parent of Supported Organizations. **Answer lines 3a and 3b below.**

|          |  | Yes | No |
|----------|--|-----|----|
| <b>a</b> | Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>If "Yes" or "No", provide details in Part VI.</i>                            |     |    |
| <b>b</b> | Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI. the role played by the organization in this regard.</i> |     |    |

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1**  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (*explain in Part VI*). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

**Section A - Adjusted Net Income**

(A) Prior Year

(B) Current Year  
(optional)

- |   |          |  |  |
|---|----------|--|--|
| <b>1</b> Net short-term capital gain  | <b>1</b> |  |  |
| <b>2</b> Recoveries of prior-year distributions   | <b>2</b> |  |  |
| <b>3</b> Other gross income (see instructions)  | <b>3</b> |  |  |
| <b>4</b> Add lines 1 through 3  | <b>4</b> |  |  |
| <b>5</b> Depreciation and depletion   | <b>5</b> |  |  |
| <b>6</b> Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | <b>6</b> |  |  |
| <b>7</b> Other expenses (see instructions)  | <b>7</b> |  |  |
| <b>8 Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)  | <b>8</b> |  |  |

**Section B - Minimum Asset Amount**

(A) Prior Year

(B) Current Year  
(optional)

- |  |           |  |  |
|--|-----------|--|--|
| <b>1</b> Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): | <b>1</b>  |  |  |
| <b>a</b> Average monthly value of securities   | <b>1a</b> |  |  |
| <b>b</b> Average monthly cash balances   | <b>1b</b> |  |  |
| <b>c</b> Fair market value of other non-exempt-use assets  | <b>1c</b> |  |  |
| <b>d Total</b> (add lines 1a, 1b, and 1c)  | <b>1d</b> |  |  |
| <b>e Discount</b> claimed for blockage or other factors ( <i>explain in detail in Part VI</i> ):   |           |  |  |
| <b>2</b> Acquisition indebtedness applicable to non-exempt use assets  | <b>2</b>  |  |  |
| <b>3</b> Subtract line 2 from line 1d  | <b>3</b>  |  |  |
| <b>4</b> Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).                                  | <b>4</b>  |  |  |
| <b>5</b> Net value of non-exempt-use assets (subtract line 4 from line 3)  | <b>5</b>  |  |  |
| <b>6</b> Multiply line 5 by 0.035  | <b>6</b>  |  |  |
| <b>7</b> Recoveries of prior-year distributions  | <b>7</b>  |  |  |
| <b>8 Minimum Asset Amount</b> (add line 7 to line 6)   | <b>8</b>  |  |  |

**Section C - Distributable Amount**

Current Year

- |  |          |  |
|--|----------|--|
| <b>1</b> Adjusted net income for prior year (from Section A, line 8, Column A)   | <b>1</b> |  |
| <b>2</b> Enter 85% of line 1   | <b>2</b> |  |
| <b>3</b> Minimum asset amount for prior year (from Section B, line 8, Column A)  | <b>3</b> |  |
| <b>4</b> Enter greater of line 2 or line 3   | <b>4</b> |  |
| <b>5</b> Income tax imposed in prior year  | <b>5</b> |  |
| <b>6 Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions) | <b>6</b> |  |

- 7**  Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

(continued)

| Section D - Distributions  |           | Current Year |
|--|-----------|--------------|
| <b>1</b> Amounts paid to supported organizations to accomplish exempt purposes   | <b>1</b>  |              |
| <b>2</b> Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity             | <b>2</b>  |              |
| <b>3</b> Administrative expenses paid to accomplish exempt purposes of supported organizations   | <b>3</b>  |              |
| <b>4</b> Amounts paid to acquire exempt-use assets   | <b>4</b>  |              |
| <b>5</b> Qualified set-aside amounts (prior IRS approval required - provide details in <b>Part VI</b> )  | <b>5</b>  |              |
| <b>6</b> Other distributions (describe in <b>Part VI</b> ). See instructions   | <b>6</b>  |              |
| <b>7 Total annual distributions.</b> Add lines 1 through 6.  | <b>7</b>  |              |
| <b>8</b> Distributions to attentive supported organizations to which the organization is responsive (provide details in <b>Part VI</b> ). See instructions | <b>8</b>  |              |
| <b>9</b> Distributable amount for 2021 from Section C, line 6  | <b>9</b>  |              |
| <b>10</b> Line 8 amount divided by Line 9 amount   | <b>10</b> |              |

| Section E - Distribution Allocations<br>(see instructions)   | (i)<br>Excess Distributions | (ii)<br>Underdistributions<br>Pre-2021 | (iii)<br>Distributable<br>Amount for 2021 |
|--|-----------------------------|--|---|
| <b>1</b> Distributable amount for 2021 from Section C, line 6  |                             |  |   |
| <b>2</b> Underdistributions, if any, for years prior to 2021 (reasonable cause required-- explain in <b>Part VI</b> ). See instructions.   |                             |  |   |
| <b>3</b> Excess distributions carryover, if any, to 2021:  |                             |  |   |
| <b>a</b> From 2016. . . . .  |                             |  |   |
| <b>b</b> From 2017. . . . .  |                             |  |   |
| <b>c</b> From 2018. . . . .  |                             |  |   |
| <b>d</b> From 2019. . . . .  |                             |  |   |
| <b>e</b> From 2020. . . . .  |                             |  |   |
| <b>f Total</b> of lines 3a through e   |                             |  |   |
| <b>g</b> Applied to underdistributions of prior years  |                             |  |   |
| <b>h</b> Applied to 2021 distributable amount  |                             |  |   |
| <b>i</b> Carryover from 2016 not applied (see instructions)  |                             |  |   |
| <b>j</b> Remainder. Subtract lines 3g, 3h, and 3i from line 3f.  |                             |  |   |
| <b>4</b> Distributions for 2021 from Section D, line 7:<br>\$  |                             |  |   |
| <b>a</b> Applied to underdistributions of prior years  |                             |  |   |
| <b>b</b> Applied to 2021 distributable amount  |                             |  |   |
| <b>c</b> Remainder. Subtract lines 4a and 4b from line 4.  |                             |  |   |
| <b>5</b> Remaining underdistributions for years prior to 2021, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in <b>Part VI</b> . See instructions. |                             |  |   |
| <b>6</b> Remaining underdistributions for 2021. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in <b>Part VI</b> . See instructions.                        |                             |  |   |
| <b>7 Excess distributions carryover to 2022.</b> Add lines 3j and 4c.  |                             |  |   |
| <b>8</b> Breakdown of line 7:  |                             |  |   |
| <b>a</b> Excess from 2017. . . . .   |                             |  |   |
| <b>b</b> Excess from 2018. . . . .   |                             |  |   |
| <b>c</b> Excess from 2019. . . . .   |                             |  |   |
| <b>d</b> Excess from 2020. . . . .   |                             |  |   |
| <b>e</b> Excess from 2021. . . . .   |                             |  |   |

**Part VI Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

### Facts And Circumstances Test

| Return Reference             | Explanation   |
|------------------------------|---|
| Schedule A, Part II, Line 10 | National Jewish Health, a 170(b)(1)(A)(iii) organization and 501(c)3 is not required to complete this portion of Schedule A. National Jewish Health is voluntarily completing the schedule in order to qualify for Special Rule Reporting regarding Schedule B. As such National Jewish will be required to report contributions over 2% of total contributions for FY2022 (Part VIII line 1h). Qualifying contributions have been attached on Schedule B for FY2022. Total other income includes cafeteria sales and school tuition. |

## **Additional Data**

**Return to Form**

**Software ID:** 21013178

**Software Version:** v1.00

**Political Campaign and Lobbying Activities**

**For Organizations Exempt From Income Tax Under section 501(c) and section 527**

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

**If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

|  |  |
|--|--|
| Name of the organization<br>NATIONAL JEWISH HEALTH | Employer identification number<br>74-2044647 |
|--|--|

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- Provide a description of the organization's direct and indirect political campaign activities in Part IV. See instructions for definition of "political campaign activities."
- Political campaign activity expenditures. See instructions ..... ▶ \$ \_\_\_\_\_
- Volunteer hours for political campaign activities. See instructions .....

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- Enter the amount of any excise tax incurred by the organization under section 4955 ..... \$ \_\_\_\_\_
- Enter the amount of any excise tax incurred by organization managers under section 4955 ..... \$ \_\_\_\_\_
- If the organization incurred a section 4955 tax, did it file Form 4720 for this year? .....  Yes  No
- a Was a correction made? .....  Yes  No
- b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- Enter the amount directly expended by the filing organization for section 527 exempt function activities ..... \$ \_\_\_\_\_
- Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_
- Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b..... \$ \_\_\_\_\_
- Did the filing organization file **Form 1120-POL** for this year? .....  Yes  No
- Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds. If none, enter -0-. | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-. |
|----------|-------------|---------|---|--|
| <b>1</b> |             |         |   |  |
| <b>2</b> |             |         |   |  |
| <b>3</b> |             |         |   |  |
| <b>4</b> |             |         |   |  |
| <b>5</b> |             |         |   |  |
| <b>6</b> |             |         |   |  |

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

- A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check  if the filing organization checked box A and "limited control" provisions apply.

| <b>Limits on Lobbying Expenditures</b><br><b>(The term "expenditures" means amounts paid or incurred.)</b>   | <b>(a)</b> Filing organization's totals                  | <b>(b)</b> Affiliated group totals |                    |                               |   |  |   |  |  |   |                   |              |  |  |
|--|--|------------------------------------|--------------------|-------------------------------|---|--|---|--|--|---|-------------------|--------------|--|--|
| <b>1a</b> Total lobbying expenditures to influence public opinion (grass roots lobbying) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>c</b> Total lobbying expenditures (add lines 1a and 1b) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>d</b> Other exempt purpose expenditures .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>e</b> Total exempt purpose expenditures (add lines 1c and 1d) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.  |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:50%; text-align: left;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width:50%; text-align: left;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table> | If the amount on line 1e, column (a) or (b) is:          | The lobbying nontaxable amount is: | Not over \$500,000 | 20% of the amount on line 1e. | Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000. | Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000. | Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000. | Over \$17,000,000 | \$1,000,000. |  |  |
| If the amount on line 1e, column (a) or (b) is:  | The lobbying nontaxable amount is:                       |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Not over \$500,000   | 20% of the amount on line 1e.                            |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Over \$500,000 but not over \$1,000,000  | \$100,000 plus 15% of the excess over \$500,000.         |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Over \$1,000,000 but not over \$1,500,000  | \$175,000 plus 10% of the excess over \$1,000,000.       |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Over \$1,500,000 but not over \$17,000,000   | \$225,000 plus 5% of the excess over \$1,500,000.        |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| Over \$17,000,000  | \$1,000,000.   |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>g</b> Grassroots nontaxable amount (enter 25% of line 1f) .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>h</b> Subtract line 1g from line 1a. If zero or less, enter -0- .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>i</b> Subtract line 1f from line 1c. If zero or less, enter -0- .....   |  |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |
| <b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? .....   | <input type="checkbox"/> Yes <input type="checkbox"/> No |                                    |                    |                               |   |  |   |  |  |   |                   |              |  |  |

**4-Year Averaging Period Under Section 501(h)**  
**(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)**

| <b>Lobbying Expenditures During 4-Year Averaging Period</b>      |          |          |          |          |           |
|--|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in)                      | (a) 2018 | (b) 2019 | (c) 2020 | (d) 2021 | (e) Total |
| <b>2a</b> Lobbying nontaxable amount                             |          |          |          |          |           |
| <b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))    |          |          |          |          |           |
| <b>c</b> Total lobbying expenditures                             |          |          |          |          |           |
| <b>d</b> Grassroots nontaxable amount                            |          |          |          |          |           |
| <b>e</b> Grassroots ceiling amount (150% of line 2d, column (e)) |          |          |          |          |           |
| <b>f</b> Grassroots lobbying expenditures                        |          |          |          |          |           |



Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

Table with columns (a) Yes/No and (b) Amount. Rows 1-2a detailing lobbying activities and tax amounts.

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

Table with columns Yes/No. Rows 1-3 regarding dues and lobbying expenditures.

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

Table with columns 1-5. Rows 1-5 detailing dues, nondeductible lobbying expenses, and taxable amounts.

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Table with columns Return Reference and Explanation. Row 1: Schedule C, Part II-B, Line 1; National Jewish Health is continually expanding its research programs...

## **Additional Data**

**Return to Form**

**Software ID:** 21013178

**Software Version:** v1.00

SCHEDULE D (Form 990)

Supplemental Financial Statements

OMB No. 1545-0047

2021

Open to Public Inspection

Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury Internal Revenue Service

Name of the organization NATIONAL JEWISH HEALTH

Employer identification number

74-2044647

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 2 columns: (a) Donor advised funds, (b) Funds and other accounts. Rows 1-4: Total number at end of year, Aggregate value of contributions to (during year), Aggregate value of grants from (during year), Aggregate value at end of year.

- 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

- 1 Purpose(s) of conservation easements held by the organization (check all that apply).
2a Total number of conservation easements
2b Total acreage restricted by conservation easements
2c Number of conservation easements on a certified historic structure included in (a)
2d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register

Table with 2 columns: Held at the End of the Year. Rows 2a, 2b, 2c, 2d.

- 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year
4 Number of states where property subject to conservation easement is located
5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?
6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?
9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.
1b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a**  Public exhibition
  - b**  Scholarly research
  - c**  Preservation for future generations
  - d**  Loan or exchange programs
  - e**  Other .....
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . .  **Yes**  **No**

**Part IV Escrow and Custodial Arrangements.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? . . . . .  **Yes**  **No**
- b** If "Yes," explain the arrangement in Part XIII and complete the following table:
- |  | Amount    |
|--|-----------|
| <b>c</b> Beginning balance . . . . .             | <b>1c</b> |
| <b>d</b> Additions during the year . . . . .     | <b>1d</b> |
| <b>e</b> Distributions during the year . . . . . | <b>1e</b> |
| <b>f</b> Ending balance . . . . .                | <b>1f</b> |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  **Yes**  **No**
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII . . . .

**Part V Endowment Funds.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

|   | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|---|------------------|----------------|--------------------|----------------------|---------------------|
| <b>1a</b> Beginning of year balance . . . . .                     | 133,492,000      | 110,731,000    | 110,149,000        | 105,022,000          | 101,232,000         |
| <b>b</b> Contributions . . . . .                                  | 739,000          | 1,920,000      | 9,136,000          | 3,969,000            | 9,107,000           |
| <b>c</b> Net investment earnings, gains, and losses               | -10,105,000      | 22,371,000     | 1,524,000          | 4,457,000            | 5,983,000           |
| <b>d</b> Grants or scholarships . . . . .                         | 0                | 0              | 0                  | 0                    | 0                   |
| <b>e</b> Other expenditures for facilities and programs . . . . . | 4,513,000        | 1,530,000      | 10,078,000         | 3,299,000            | 11,300,000          |
| <b>f</b> Administrative expenses . . . . .                        | 0                | 0              | 0                  | 0                    | 0                   |
| <b>g</b> End of year balance . . . . .                            | 119,613,000      | 133,492,000    | 110,731,000        | 110,149,000          | 105,022,000         |

- 2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a** Board designated or quasi-endowment ▶ 43.11 %
  - b** Permanent endowment ▶ 54.78 %
  - c** Term endowment ▶ 2.11 %
- The percentages on lines 2a, 2b, and 2c should equal 100%.

- 3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- |  | Yes           | No |
|--|---------------|----|
| <b>(i)</b> Unrelated organizations . . . . .   | <b>3a(i)</b>  | No |
| <b>(ii)</b> Related organizations . . . . .  | <b>3a(ii)</b> | No |
| <b>b</b> If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R? . . . . . | <b>3b</b>     |    |

**4** Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property  | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|--|--------------------------------------|---------------------------------|------------------------------|----------------|
| <b>1a</b> Land . . . . .   | 0                                    | 13,081,000                      |                              | 13,081,000     |
| <b>b</b> Buildings . . . . .   | 0                                    | 114,333,000                     | 66,777,000                   | 47,556,000     |
| <b>c</b> Leasehold improvements  | 0                                    | 0                               | 0                            | 0              |
| <b>d</b> Equipment . . . . .   | 0                                    | 95,048,000                      | 79,519,000                   | 15,529,000     |
| <b>e</b> Other . . . . .   | 0                                    | 1,120,000                       | 96,000                       | 1,024,000      |
| <b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) . . . ▶ |                                      |                                 |                              | 77,190,000     |

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| (a) Description of security or category<br>(including name of security)   | (b) Book value | (c) Method of valuation:<br>Cost or end-of-year market value |
|---|----------------|--|
| (1) Financial derivatives . . . . .                                       | 0              |  |
| (2) Closely-held equity interests . . . . .                               | 0              |  |
| (3) Other _____   |                |  |
| (A) Alternative Investments   | 30,596,000     | F  |
| (B)   |                |  |
| (C)   |                |  |
| (D)   |                |  |
| (E)   |                |  |
| (F)   |                |  |
| (G)   |                |  |
| (H)   |                |  |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 12.) | 30,596,000     |  |

**Part VIII Investments - Program Related.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment  | (b) Book value | (c) Method of valuation:<br>Cost or end-of-year market value |
|--|----------------|--|
| (1)  |                |  |
| (2)  |                |  |
| (3)  |                |  |
| (4)  |                |  |
| (5)  |                |  |
| (6)  |                |  |
| (7)  |                |  |
| (8)  |                |  |
| (9)  |                |  |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col.(B) line 13.) |                |  |

**Part IX Other Assets.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

| (a) Description  | (b) Book value |
|--|----------------|
| (1) Right-of-use-asset - Building Finance Lease                          | 70,699,000     |
| (2) Right-of-use-assets - operating leases                               | 5,531,000      |
| (3) Contributions Receivable   | 5,303,000      |
| (4) Other Assets   | 3,116,000      |
| (5) Right-of-use-assets - Financing Leases                               | 285,000        |
| (6)  |                |
| (7)  |                |
| (8)  |                |
| (9)  |                |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col.(B) line 15.) | 84,934,000     |

**Part X Other Liabilities.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1. (a) Description of liability  | (b) Book value |
|--|----------------|
| (1) Federal income taxes   | 103,000        |
| (7)  |                |
| (8)  |                |
| (9)  |                |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col.(B) line 25.) | 38,260,000     |

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

|          |  |           |             |                       |
|----------|--|-----------|-------------|-----------------------|
| <b>1</b> | Total revenue, gains, and other support per audited financial statements . . . . .                       |           | <b>1</b>    | 333,264,304           |
| <b>2</b> | Amounts included on line 1 but not on Form 990, Part VIII, line 12:                                      |           |             |                       |
| <b>a</b> | Net unrealized gains (losses) on investments . . . . .   | <b>2a</b> | -24,565,948 |                       |
| <b>b</b> | Donated services and use of facilities . . . . .   | <b>2b</b> | 0           |                       |
| <b>c</b> | Recoveries of prior year grants . . . . .  | <b>2c</b> | 0           |                       |
| <b>d</b> | Other (Describe in Part XIII.)<br>. . . . .  | <b>2d</b> | 0           |                       |
| <b>e</b> | Add lines <b>2a</b> through <b>2d</b> . . . . .  |           |             | <b>2e</b> -24,565,948 |
| <b>3</b> | Subtract line <b>2e</b> from line <b>1</b> . . . . .   |           |             | <b>3</b> 357,830,252  |
| <b>4</b> | Amounts included on Form 990, Part VIII, line 12, but not on line 1:                                     |           |             |                       |
| <b>a</b> | Investment expenses not included on Form 990, Part VIII, line 7b . . . . .                               | <b>4a</b> | 446,520     |                       |
| <b>b</b> | Other (Describe in Part XIII.) . . . . .   | <b>4b</b> | 0           |                       |
| <b>c</b> | Add lines <b>4a</b> and <b>4b</b> . . . . .  |           |             | <b>4c</b> 446,520     |
| <b>5</b> | Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12.) . . . . . |           |             | <b>5</b> 358,276,772  |

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

|          |   |           |          |                      |
|----------|---|-----------|----------|----------------------|
| <b>1</b> | Total expenses and losses per audited financial statements . . . . .                                      |           | <b>1</b> | 346,068,304          |
| <b>2</b> | Amounts included on line 1 but not on Form 990, Part IX, line 25:   |           |          |                      |
| <b>a</b> | Donated services and use of facilities . . . . .  | <b>2a</b> | 0        |                      |
| <b>b</b> | Prior year adjustments . . . . .  | <b>2b</b> | 0        |                      |
| <b>c</b> | Other losses . . . . .  | <b>2c</b> | 0        |                      |
| <b>d</b> | Other (Describe in Part XIII.)<br>. . . . .   | <b>2d</b> | 0        |                      |
| <b>e</b> | Add lines <b>2a</b> through <b>2d</b> . . . . .   |           |          | <b>2e</b> 0          |
| <b>3</b> | Subtract line <b>2e</b> from line <b>1</b> . . . . .  |           |          | <b>3</b> 346,068,304 |
| <b>4</b> | Amounts included on Form 990, Part IX, line 25, but not on line 1:  |           |          |                      |
| <b>a</b> | Investment expenses not included on Form 990, Part VIII, line 7b . . . . .                                | <b>4a</b> | 446,520  |                      |
| <b>b</b> | Other (Describe in Part XIII.)<br>. . . . .   | <b>4b</b> | 0        |                      |
| <b>c</b> | Add lines <b>4a</b> and <b>4b</b> . . . . .   |           |          | <b>4c</b> 446,520    |
| <b>5</b> | Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18.) . . . . . |           |          | <b>5</b> 346,514,824 |

**Part XIII Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

| Return Reference           | Explanation   |
|----------------------------|---|
| Schedule D, Part V, Line 4 | The intended uses for National Jewish Health's endowment funds range from the restricted purposes set by the donors, for example immunology research, pediatric asthma, asthma research, indigent care, chairs and fellowships to capital projects and equipment purchases, faculty recruitment and investments in program services to achieve strategic goals established by the Board of Directors. |

## Additional Data

[Return to Form](#)

**Software ID:** 21013178

**Software Version:** v1.00

**Supplemental Information Regarding Fundraising or Gaming Activities**  
 Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.  
 Attach to Form 990 or Form 990-EZ.  
 Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Name of the organization  
 NATIONAL JEWISH HEALTH

Employer identification number  
 74-2044647

**Part I Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a**  Mail solicitations
  - b**  Internet and email solicitations
  - c**  Phone solicitations
  - d**  In-person solicitations
  - e**  Solicitation of non-government grants
  - f**  Solicitation of government grants
  - g**  Special fundraising events
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  Yes  No
- b** If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

| (i) Name and address of individual or entity (fundraiser)                         | (ii) Activity   | (iii) Did fundraiser have custody or control of contributions? |    | (iv) Gross receipts from activity | (v) Amount paid to (or retained by) fundraiser listed in col. (i) | (vi) Amount paid to (or retained by) organization |
|---|---|--|----|-----------------------------------|---|---|
|   |   | Yes  | No |                                   |   |   |
| 1<br><br>Fuse LLC<br>12355 Sunrise Valley Drive<br>Suite 240<br>Reston, V A 20190 | Fuse provided account strategy and production management services for the fiscal year ended June 30, 2022. Additional fundraising expenses are paid to Fuse for printing, postage, letter shop work, etc. based on the contract terms. These fees totaled \$722,231 for the same period and were listed separately on each invoice. |  | No | 2,069,825                         | 320,400   | 1,749,425   |
| 2   |   |  |    |                                   |   |   |
| 3   |   |  |    |                                   |   |   |
| 4   |   |  |    |                                   |   |   |
| 5   |   |  |    |                                   |   |   |
| 6   |   |  |    |                                   |   |   |
| 7   |   |  |    |                                   |   |   |
| 8   |   |  |    |                                   |   |   |
| 9   |   |  |    |                                   |   |   |
| 10  |   |  |    |                                   |   |   |
| <b>Total</b>  |   |  |    | 2,069,825                         | 320,400   | 1,749,425   |

**3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.



**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

|  |   | (a)Event #1<br><b>Beaux Arts Ball</b><br>(event type) | (b) Event #2<br><b>NY Real Estate Gala</b><br>(event type) | (c)Other events<br><b>18</b><br>(total number) | (d) Total events<br>(add col. (a) through col. (c)) |
|--|---|---|--|--|---|
| <b>Revenue</b>   | <b>1</b> Gross receipts . . . . .   | 1,847,309   | 1,694,326  | 3,466,606                                      | 7,008,241   |
|  | <b>2</b> Less: Contributions . . . . .  | 1,764,809   | 1,626,826  | 2,958,889                                      | 6,350,524   |
|  | <b>3</b> Gross income (line 1 minus line 2) . . . . .                             | 82,500  | 67,500   | 507,717  | 657,717   |
| <b>Direct Expenses</b>   | <b>4</b> Cash prizes . . . . .  | 0   | 0  | 0  | 0   |
|  | <b>5</b> Noncash prizes . . . . .   | 0   | 0  | 0  | 0   |
|  | <b>6</b> Rent/facility costs . . . . .  | 57,408  | 141,150  | 426,870  | 625,428   |
|  | <b>7</b> Food and beverages . . . . .   | 204,611   | 110,291  | 463,004  | 777,906   |
|  | <b>8</b> Entertainment . . . . .  | 200,574   | 106,030  | 167,750  | 474,354   |
|  | <b>9</b> Other direct expenses . . . . .  | 203,380   | 311,995  | 323,478  | 838,853   |
|  | <b>10</b> Direct expense summary. Add lines 4 through 9 in column (d) . . . . . ▶ |   |  |  | 2,716,541   |
| <b>11</b> Net income summary. Subtract line 10 from line 3, column (d) . . . . . ▶ |   |   |  | -2,058,824                                     |   |

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

|   |   | (a) Bingo   | (b) Pull tabs/Instant bingo/progressive bingo                       | (c) Other gaming | (d) Total gaming (add col.(a) through col.(c)) |
|---|---|---|---|------------------|--|
| <b>Revenue</b>  | <b>1</b> Gross revenue . . . . .                                    |   |   |                  |  |
| <b>Direct Expenses</b>  | <b>2</b> Cash prizes . . . . .                                      |   |   |                  |  |
|   | <b>3</b> Noncash prizes . . . . .                                   |   |   |                  |  |
|   | <b>4</b> Rent/facility costs . . . . .                              |   |   |                  |  |
|   | <b>5</b> Other direct expenses . . . . .                            |   |   |                  |  |
| <b>6</b> Volunteer labor . . . . .  | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No |                  |  |
| <b>7</b> Direct expense summary. Add lines 2 through 5 in column (d) . . . . . ▶        |   |   |   |                  |  |
| <b>8</b> Net gaming income summary. Subtract line 7 from line 1, column (d) . . . . . ▶ |   |   |   |                  |  |

**9** Enter the state(s) in which the organization conducts gaming activities: \_\_\_\_\_

**a** Is the organization licensed to conduct gaming activities in each of these states?  Yes  No

**b** If "No," explain: \_\_\_\_\_

-----

-----

**10a** Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  Yes  No

**b** If "Yes," explain: \_\_\_\_\_

-----

-----

11 Does the organization conduct gaming activities with nonmembers?  Yes  No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No

13 Indicate the percentage of gaming activity conducted in:

|   |                             |     |   |
|---|-----------------------------|-----|---|
| a | The organization's facility | 13a | % |
| b | An outside facility         | 13b | % |

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ -----

Address ▶ -----

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_.

c If "Yes," enter name and address of the third party:

Name ▶ -----

Address ▶ -----

16 Gaming manager information:

Name ▶ -----

Gaming manager compensation ▶ \$ -----

Description of services provided ▶ -----

Director/officer       Employee       Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See Instructions.

| Return Reference | Explanation |
|------------------|-------------|
|------------------|-------------|

**SCHEDULE H  
(Form 990)**

**Hospitals**

OMB No. 1545-0047

**2021**

**Open to Public Inspection**

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, question 20.**  
 ▶ **Attach to Form 990.**  
 ▶ **Go to [www.irs.gov/Form990EZ](http://www.irs.gov/Form990EZ) for instructions and the latest information.**

Department of the Treasury

Name of the organization  
NATIONAL JEWISH HEALTH

Employer identification number

74-2044647

**Part I Financial Assistance and Certain Other Community Benefits at Cost**

|   | Yes | No |
|---|-----|----|
| <b>1a</b> Did the organization have a financial assistance policy during the tax year? If "No," skip to question 6a . . . . .   | Yes |    |
| <b>1b</b> If "Yes," was it a written policy? . . . . .  | Yes |    |
| <b>2</b> If the organization had multiple hospital facilities, indicate which of the following best describes application of the financial assistance policy to its various hospital facilities during the tax year.<br><input type="checkbox"/> Applied uniformly to all hospital facilities <input type="checkbox"/> Applied uniformly to most hospital facilities<br><input type="checkbox"/> Generally tailored to individual hospital facilities |     |    |
| <b>3</b> Answer the following based on the financial assistance eligibility criteria that applied to the largest number of the organization's patients during the tax year.   |     |    |
| <b>a</b> Did the organization use Federal Poverty Guidelines (FPG) as a factor in determining eligibility for providing <i>free</i> care? If "Yes," indicate which of the following was the FPG family income limit for eligibility for free care:<br><input type="checkbox"/> 100% <input type="checkbox"/> 150% <input type="checkbox"/> 200% <input type="checkbox"/> Other _____ %  |     | No |
| <b>b</b> Did the organization use FPG as a factor in determining eligibility for providing <i>discounted</i> care? If "Yes," indicate which of the following was the family income limit for eligibility for discounted care: . . . . .<br><input type="checkbox"/> 200% <input type="checkbox"/> 250% <input type="checkbox"/> 300% <input type="checkbox"/> 350% <input checked="" type="checkbox"/> 400% <input type="checkbox"/> Other _____ %    | Yes |    |
| <b>c</b> If the organization used factors other than FPG in determining eligibility, describe in Part VI the criteria used for determining eligibility for free or discounted care. Include in the description whether the organization used an asset test or other threshold, regardless of income, as a factor in determining eligibility for free or discounted care.  |     |    |
| <b>4</b> Did the organization's financial assistance policy that applied to the largest number of its patients during the tax year provide for free or discounted care to the "medically indigent"? . . . . .   | Yes |    |
| <b>5a</b> Did the organization budget amounts for free or discounted care provided under its financial assistance policy during the tax year? . . . . .   | Yes |    |
| <b>b</b> If "Yes," did the organization's financial assistance expenses exceed the budgeted amount? . . . . .   |     | No |
| <b>c</b> If "Yes" to line 5b, as a result of budget considerations, was the organization unable to provide free or discounted care to a patient who was eligible for free or discounted care? . . . . .   |     |    |
| <b>6a</b> Did the organization prepare a community benefit report during the tax year? . . . . .  | Yes |    |
| <b>b</b> If "Yes," did the organization make it available to the public? . . . . .  | Yes |    |

| <b>7 Financial Assistance and Certain Other Community Benefits at Cost</b>                                  |  |                                      |  |                                      |  |                                     |
|---|--|--------------------------------------|--|--------------------------------------|--|-------------------------------------|
| <b>Financial Assistance and Means-Tested Government Programs</b>  | <b>(a) Number of activities or programs (optional)</b> | <b>(b) Persons served (optional)</b> | <b>(c) Total community benefit expense</b> | <b>(d) Direct offsetting revenue</b> | <b>(e) Net community benefit expense</b> | <b>(f) Percent of total expense</b> |
| <b>a</b> Financial Assistance at cost (from Worksheet 1) . . . . .  |  |                                      | 106,261                                    | 0                                    | 106,261                                  | 0.03 %                              |
| <b>b</b> Medicaid (from Worksheet 3, column a) . . . . .  |  |                                      | 28,988,297                                 | 19,792,726                           | 9,195,571                                | 2.66 %                              |
| <b>c</b> Costs of other means-tested government programs (from Worksheet 3, column b) . . . . .             |  |                                      | 721,163                                    | 136,841                              | 584,322                                  | 0.17 %                              |
| <b>d Total</b> Financial Assistance and Means-Tested Government Programs . . . . .                          | 0  | 0                                    | 29,815,721                                 | 19,929,567                           | 9,886,154                                | 2.86 %                              |
| <b>Other Benefits</b>   |  |                                      |  |                                      |  |                                     |
| <b>e</b> Community health improvement services and community benefit operations (from Worksheet 4). . . . . |  |                                      | 2,873,819                                  | 960,272                              | 1,913,547                                | 0.55 %                              |
| <b>f</b> Health professions education (from Worksheet 5) . . . . .  |  |                                      | 3,313,059                                  | 149,721                              | 3,163,338                                | 0.91 %                              |
| <b>g</b> Subsidized health services (from Worksheet 6) . . . . .  |  |                                      | 4,624,697                                  | 1,794,851                            | 2,829,846                                | 0.82 %                              |
| <b>h</b> Research (from Worksheet 7) . . . . .  |  |                                      | 77,963,706                                 | 62,187,743                           | 15,775,963                               | 4.56 %                              |
| <b>i</b> Cash and in-kind contributions for community benefit (from Worksheet 8) . . . . .                  |  |                                      | 29,800                                     | 0                                    | 29,800                                   | 0.01 %                              |
| <b>j Total.</b> Other Benefits . . . . .  | 0  | 0                                    | 88,805,081                                 | 65,092,587                           | 23,712,494                               | 6.85 %                              |
| <b>k Total.</b> Add lines 7d and 7j . . . . .   | 0  | 0                                    | 118,620,802                                | 85,022,154                           | 33,598,648                               | 9.71 %                              |

**Part II Community Building Activities** Complete this table if the organization conducted any community building activities during the tax year, and describe in Part VI how its community building activities promoted the health of the communities it serves.

|   | (a) Number of activities or programs (optional) | (b) Persons served (optional) | (c) Total community building expense | (d) Direct offsetting revenue | (e) Net community building expense |
|---|---|-------------------------------|--------------------------------------|-------------------------------|------------------------------------|
| (f) Percent of total expense                                |   |                               |                                      |                               |                                    |
| 1 Physical improvements and housing                         |   |                               |                                      |                               |                                    |
| 2 Economic development                                      |   |                               |                                      |                               |                                    |
| 3 Community support   |   |                               |                                      |                               |                                    |
| 4 Environmental improvements                                |   |                               |                                      |                               |                                    |
| 5 Leadership development and training for community members |   |                               |                                      |                               |                                    |
| 6 Coalition building  |   |                               |                                      |                               |                                    |
| 7 Community health improvement advocacy                     |   |                               |                                      |                               |                                    |
| 8 Workforce development                                     |   |                               |                                      |                               |                                    |
| 9 Other   |   |                               |                                      |                               |                                    |
| 10 Total  |   |                               |                                      |                               |                                    |

**Part III Bad Debt, Medicare, & Collection Practices**

**Section A. Bad Debt Expense**

|   |   |   |           |  |  |
|---|---|---|-----------|--|--|
| 1 | Did the organization report bad debt expense in accordance with Healthcare Financial Management Association Statement No. 15? . . . . .   |   |           |  |  |
| 2 | Enter the amount of the organization's bad debt expense. Explain in Part VI the methodology used by the organization to estimate this amount. . . . .   | 2 | 1,360,256 |  |  |
| 3 | Enter the estimated amount of the organization's bad debt expense attributable to patients eligible under the organization's financial assistance policy. Explain in Part VI the methodology used by the organization to estimate this amount and the rationale, if any, for including this portion of bad debt as community benefit. . . . . | 3 | 0         |  |  |
| 4 | Provide in Part VI the text of the footnote to the organization's financial statements that describes bad debt expense or the page number on which this footnote is contained in the attached financial statements.   |   |           |  |  |

**Section B. Medicare**

|   |   |   |             |
|---|---|---|-------------|
| 5 | Enter total revenue received from Medicare (including DSH and IME)  | 5 | 40,868,267  |
| 6 | Enter Medicare allowable costs of care relating to payments on line 5   | 6 | 73,394,057  |
| 7 | Subtract line 6 from line 5. This is the surplus (or shortfall)   | 7 | -32,525,790 |
| 8 | Describe in Part VI the extent to which any shortfall reported in line 7 should be treated as community benefit. Also describe in Part VI the costing methodology or source used to determine the amount reported on line 6. Check the box that describes the method used:<br><input type="checkbox"/> Cost accounting system <input checked="" type="checkbox"/> Cost to charge ratio <input type="checkbox"/> Other |   |             |

**Section C. Collection Practices**

|    |   |    |     |
|----|---|----|-----|
| 9a | Did the organization have a written debt collection policy during the tax year? . . . . .   | 9a | Yes |
| b  | If "Yes," did the organization's collection policy that applied to the largest number of its patients during the tax year contain provisions on the collection practices to be followed for patients who are known to qualify for financial assistance? Describe in Part VI | 9b | Yes |

**Part IV Management Companies and Joint Ventures** (owned 10% or more by officers, directors, trustees, key employees, and physicians—see instructions)

| (a) Name of entity | (b) Description of primary activity of entity | (c) Organization's profit % or stock ownership % | (d) Officers, directors, trustees, or key employees' profit % or stock ownership % | (e) Physicians' profit % or stock ownership % |
|--------------------|---|--|--|---|
| 1                  |   |  |  |   |
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| 11                 |   |  |  |   |
| 12                 |   |  |  |   |
| 13                 |   |  |  |   |

**Part V Facility Information**

**Section A. Hospital Facilities**

(list in order of size from largest to smallest —see instructions)

How many hospital facilities did the organization operate during the tax year?

Name, address, primary website address, and state license number (and if a group return, the name and EIN of the subordinate hospital organization that operates the hospital facility)

| Licensed hospital | General medical & surgical | Children's hospital | Teaching hospital | Critical access hospital | Research facility | ER-24 hours | ER-other | Other (describe) |
|-------------------|----------------------------|---------------------|-------------------|--------------------------|-------------------|-------------|----------|------------------|
|-------------------|----------------------------|---------------------|-------------------|--------------------------|-------------------|-------------|----------|------------------|

Facility reporting group

|   |  |   |  |   |   |  |  |  |
|---|--|---|--|---|---|--|--|--|
| 1 | National Jewish Health<br>1400 Jackson Street<br>Denver, C O 80206<br>www.njhealth.org<br>0104MU | X |  | X | X |  |  |  |
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**Part V Facility Information (continued)**

**Section B. Facility Policies and Practices**

(Complete a separate Section B for each of the hospital facilities or facility reporting groups listed in Part V, Section A)  
National Jewish Health

Name of hospital facility or letter of facility reporting group \_\_\_\_\_

Line number of hospital facility, or line numbers of hospital facilities in a facility reporting group (from Part V, Section A): \_\_\_\_\_ 1 \_\_\_\_\_

|  |  | Yes | No |
|--|--|-----|----|
| <b>Community Health Needs Assessment</b> |  |     |    |
| <b>1</b>                                 | Was the hospital facility first licensed, registered, or similarly recognized by a state as a hospital facility in the current tax year or the immediately preceding tax year? . . . . .   |     | No |
| <b>2</b>                                 | Was the hospital facility acquired or placed into service as a tax-exempt hospital in the current tax year or the immediately preceding tax year? If "Yes," provide details of the acquisition in Section C. . . . .   |     | No |
| <b>3</b>                                 | During the tax year or either of the two immediately preceding tax years, did the hospital facility conduct a community health needs assessment (CHNA)? If "No," skip to line 12. . . . .<br>If "Yes," indicate what the CHNA report describes (check all that apply):   | Yes |    |
|  | <b>a</b> <input checked="" type="checkbox"/> A definition of the community served by the hospital facility   |     |    |
|  | <b>b</b> <input checked="" type="checkbox"/> Demographics of the community   |     |    |
|  | <b>c</b> <input checked="" type="checkbox"/> Existing health care facilities and resources within the community that are available to respond to the health needs of the community   |     |    |
|  | <b>d</b> <input checked="" type="checkbox"/> How data was obtained   |     |    |
|  | <b>e</b> <input checked="" type="checkbox"/> The significant health needs of the community   |     |    |
|  | <b>f</b> <input checked="" type="checkbox"/> Primary and chronic disease needs and other health issues of uninsured persons, low-income persons, and minority groups   |     |    |
|  | <b>g</b> <input checked="" type="checkbox"/> The process for identifying and prioritizing community health needs and services to meet the community health needs   |     |    |
|  | <b>h</b> <input checked="" type="checkbox"/> The process for consulting with persons representing the community's interests  |     |    |
|  | <b>i</b> <input checked="" type="checkbox"/> The impact of any actions taken to address the significant health needs identified in the hospital facility's prior CHNA(s)   |     |    |
|  | <b>j</b> <input type="checkbox"/> Other (describe in Section C)  |     |    |
| <b>4</b>                                 | Indicate the tax year the hospital facility last conducted a CHNA: 20 <u>22</u>  |     |    |
| <b>5</b>                                 | In conducting its most recent CHNA, did the hospital facility take into account input from persons who represent the broad interests of the community served by the hospital facility, including those with special knowledge of or expertise in public health? If "Yes," describe in Section C how the hospital facility took into account input from persons who represent the community, and identify the persons the hospital facility consulted . . . . . | Yes |    |
| <b>6a</b>                                | Was the hospital facility's CHNA conducted with one or more other hospital facilities? If "Yes," list the other hospital facilities in Section C . . . . .   |     | No |
| <b>6b</b>                                | Was the hospital facility's CHNA conducted with one or more organizations other than hospital facilities? If "Yes," list the other organizations in Section C. . . . .   |     | No |
| <b>7</b>                                 | Did the hospital facility make its CHNA report widely available to the public? . . . . .<br>If "Yes," indicate how the CHNA report was made widely available (check all that apply):<br>www.nationaljewish.org/about/community-health-needs-   | Yes |    |
|  | <b>a</b> <input checked="" type="checkbox"/> Hospital facility's website (list url): <u>assessment/chna2022</u>  |     |    |
|  | <b>b</b> <input type="checkbox"/> Other website (list url): _____  |     |    |
|  | <b>c</b> <input type="checkbox"/> Made a paper copy available for public inspection without charge at the hospital facility  |     |    |
|  | <b>d</b> <input type="checkbox"/> Other (describe in Section C)  |     |    |
| <b>8</b>                                 | Did the hospital facility adopt an implementation strategy to meet the significant community health needs identified through its most recently conducted CHNA? If "No," skip to line 11. . . . .   | Yes |    |
| <b>9</b>                                 | Indicate the tax year the hospital facility last adopted an implementation strategy: 20 <u>22</u>  |     |    |
| <b>10</b>                                | Is the hospital facility's most recently adopted implementation strategy posted on a website? . . . . .<br>www.nationaljewish.org/getattachment/about/Community-Health-Needs-  | Yes |    |
|  | <b>a</b> If "Yes" (list url): <u>Assessment/NJH-CHIP-2022.pdf</u>  |     |    |
|  | <b>b</b> If "No," is the hospital facility's most recently adopted implementation strategy attached to this return? . . . . .  |     | No |
| <b>11</b>                                | Describe in Section C how the hospital facility is addressing the significant needs identified in its most recently conducted CHNA and any such needs that are not being addressed together with the reasons why such needs are not being addressed.   |     |    |
| <b>12a</b>                               | Did the organization incur an excise tax under section 4959 for the hospital facility's failure to conduct a CHNA as required by section 501(r)(3)? . . . . .  |     | No |
|  | <b>b</b> If "Yes" on line 12a, did the organization file Form 4720 to report the section 4959 excise tax? . . . . .  |     |    |
|  | <b>c</b> If "Yes" on line 12b, what is the total amount of section 4959 excise tax the organization reported on Form 4720 for all of its hospital facilities? \$ _____   |     |    |

**Part V Facility Information** (continued)

**Financial Assistance Policy (FAP)**

National Jewish Health

**Name of hospital facility or letter of facility reporting group** \_\_\_\_\_

Did the hospital facility have in place during the tax year a written financial assistance policy that:

**13** Explained eligibility criteria for financial assistance, and whether such assistance included free or discounted care?

If "Yes," indicate the eligibility criteria explained in the FAP:

- a** Federal poverty guidelines (FPG), with FPG family income limit for eligibility for free care of 0 %
- b** Income level other than the FPG (describe in Section C) Income level other than the FPG (describe in Section C) %
- c** Asset level
- d** Medical indigency
- e** Insurance status
- f** Underinsurance discount
- g** Residency
- h** Other (describe in Section C)

**14** Explained the basis for calculating amounts charged to patients? . . . . .

**15** Explained the method for applying for financial assistance? . . . . .

If "Yes," indicate how the hospital facility's FAP or FAP application form (including accompanying instructions) explained the method for applying for financial assistance (check all that apply):

- a** Described the information the hospital facility may require an individual to provide as part of his or her application
- b** Described the supporting documentation the hospital facility may require an individual to submit as part of his or her application
- c** Provided the contact information of hospital facility staff who can provide an individual with information about the FAP and FAP application process
- d** Provided the contact information of nonprofit organizations or government agencies that may be sources of assistance with FAP applications
- e** Other (describe in Section C)

**16** Was widely publicized within the community served by the hospital facility? . . . . .

If "Yes," indicate how the hospital facility publicized the policy (check all that apply):

- a** The FAP was widely available on a website (list url):  
www.njhealth.org
- b** The FAP application form was widely available on a website (list url):  
www.njhealth.org
- c** A plain language summary of the FAP was widely available on a website (list url):  
www.njhealth.org
- d** The FAP was available upon request and without charge (in public locations in the hospital facility and by mail)
- e** The FAP application form was available upon request and without charge (in public locations in the hospital facility and by mail)
- f** A plain language summary of the FAP was available upon request and without charge (in public locations in the hospital facility and by mail)
- g** Individuals were notified about the FAP by being offered a paper copy of the plain language summary of the FAP, by receiving a conspicuous written notice about the FAP on their billing statements, and via conspicuous public displays or
- h** Notified members of the community, and to attract patients' attention
- i** The FAP, FAP application form, and plain language summary of the FAP were translated into the primary language(s) spoken by LEP populations
- j** Other (describe in Section C)

|           | Yes | No |
|-----------|-----|----|
| <b>13</b> | Yes |    |
| <b>14</b> |     | No |
| <b>15</b> | Yes |    |
| <b>16</b> | Yes |    |

**Part V Facility Information** (continued)

**Billing and Collections**

National Jewish Health

**Name of hospital facility or letter of facility reporting group**

|           |   | Yes | No |
|-----------|---|-----|----|
| <b>17</b> | Did the hospital facility have in place during the tax year a separate billing and collections policy, or a written financial assistance policy (FAP) that explained all of the actions the hospital facility or other authorized party may take upon nonpayment? . . . . .   | Yes |    |
| <b>18</b> | Check all of the following actions against an individual that were permitted under the hospital facility's policies during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP:<br><b>a</b> <input type="checkbox"/> Reporting to credit agency(ies)<br><b>b</b> <input type="checkbox"/> Selling an individual's debt to another party<br><b>c</b> <input type="checkbox"/> Deferring, denying, or requiring a payment before providing medically necessary care due to nonpayment of a previous<br><b>d</b> <input type="checkbox"/> Actions that require a legal or judicial process<br><b>e</b> <input type="checkbox"/> Other similar actions (describe in Section C)<br><b>f</b> <input checked="" type="checkbox"/> None of these actions or other similar actions were permitted   |     |    |
| <b>19</b> | Did the hospital facility or other authorized party perform any of the following actions during the tax year before making reasonable efforts to determine the individual's eligibility under the facility's FAP? . . . . .<br>If "Yes," check all actions in which the hospital facility or a third party engaged:<br><b>a</b> <input type="checkbox"/> Reporting to credit agency(ies)<br><b>b</b> <input type="checkbox"/> Selling an individual's debt to another party<br><b>c</b> <input type="checkbox"/> Deferring, denying, or requiring a payment before providing medically necessary care due to nonpayment of a previous<br><b>d</b> <input type="checkbox"/> Actions that require a legal or judicial process<br><b>e</b> <input type="checkbox"/> Other similar actions (describe in Section C)  |     | No |
| <b>20</b> | Indicate which efforts the hospital facility or other authorized party made before initiating any of the actions listed (whether or not checked) in line 19. (check all that apply):<br><b>a</b> <input checked="" type="checkbox"/> Provided a written notice about upcoming ECAs (Extraordinary Collection Action) and a plain language summary of the<br><b>b</b> <input checked="" type="checkbox"/> Made a reasonable effort to orally notify individuals about the ECA and SCA application process (if not, describe in Section C)<br><b>c</b> <input checked="" type="checkbox"/> Processed incomplete and complete FAP applications (if not, describe in Section C)<br><b>d</b> <input checked="" type="checkbox"/> Made presumptive eligibility determinations (if not, describe in Section C)<br><b>e</b> <input type="checkbox"/> Other (describe in Section C)<br><b>f</b> <input type="checkbox"/> None of these efforts were made |     |    |

**Policy Relating to Emergency Medical Care**

|           |   |  |    |
|-----------|---|--|----|
| <b>21</b> | Did the hospital facility have in place during the tax year a written policy relating to emergency medical care that required the hospital facility to provide, without discrimination, care for emergency medical conditions to individuals regardless of their eligibility under the hospital facility's financial assistance policy?<br>If "No," indicate why: . . . . . |  | No |
| <b>a</b>  | <input checked="" type="checkbox"/> The hospital facility did not provide care for any emergency medical conditions   |  |    |
| <b>b</b>  | <input type="checkbox"/> The hospital facility's policy was not in writing  |  |    |
| <b>c</b>  | <input type="checkbox"/> The hospital facility limited who was eligible to receive care for emergency medical conditions (describe in Section   |  |    |
| <b>d</b>  | <input type="checkbox"/> Other (describe in Section C)  |  |    |



**Part V Facility Information** *(continued)*

**Charges to Individuals Eligible for Assistance Under the FAP (FAP-Eligible Individuals)**

National Jewish Health

**Name of hospital facility or letter of facility reporting group**

\_\_\_\_\_

**22** Indicate how the hospital facility determined, during the tax year, the maximum amounts that can be charged to FAP-eligible individuals for emergency or other medically necessary care.

- a  The hospital facility used a look-back method based on claims allowed by Medicare fee-for-service during a prior 12-month period
- b  The hospital facility used a look-back method based on claims allowed by Medicare fee-for-service and all private health insurers that pay claims to the hospital facility during a prior 12-month period
- c  The hospital facility used a look-back method based on claims allowed by Medicaid, either alone or in combination with Medicare fee-for-service and all private health insurers that pay claims to the hospital facility during a prior 12-month period
- d  The hospital facility used a prospective Medicare or Medicaid method

**23** During the tax year, did the hospital facility charge any FAP-eligible individual to whom the hospital facility provided emergency or other medically necessary services more than the amounts generally billed to individuals who had insurance covering such care?

If "Yes," explain in Section C. . . . .

**24** During the tax year, did the hospital facility charge any FAP-eligible individual an amount equal to the gross charge for any service provided to that individual? . . . . .

If "Yes," explain in Section C.

|           | Yes | No |
|-----------|-----|----|
|           |     |    |
| <b>23</b> |     | No |
|           |     |    |
| <b>24</b> |     | No |

**Part V Facility Information** (continued)

**Section C. Supplemental Information for Part V, Section B.** Provide descriptions required for Part V, Section B, lines 2, 3j, 5, 6a, 6b, 7d, 11, 13b, 13h, 15e, 16j, 18e, 19e, 20a, 20b, 20c, 20d, 20e, 21c, 21d, 23, and 24. If applicable, provide separate descriptions for each hospital facility in a facility reporting group, designated by facility reporting group letter and hospital facility line number from Part V, Section A ("A, 1," "A, 4," "B, 2," "B, 3," etc.) and name of hospital facility.

| Form and Line Reference  | Explanation   |
|--|---|
| Schedule H, Part V, Section B, Line 5-National Jewish Health   | In each of the past three years, community benefit discussions were held using a virtual format because of the pandemic. The 2020 meeting was held in August of 2020. The 2021 and 2022 meetings were held in June of each of those years. The meetings included an overview of National Jewish Health community health efforts and created an opportunity for community members, organizations and individuals to ask questions and share their input about priorities, needs and desires for improved community health. National Jewish Health senior leadership and community outreach leadership led an overview of the programs that provide community benefit. Following the 2022 meeting which was virtual and attended by individual citizens and representatives of several community organizations and government entities, National Jewish Health surveyed: Colorado Coalition for the Homeless, Early Child Pediatrics, Denver Health Community Health Clinics-Family Medicine and Pediatrics, 2040 Partners for Health, Clinica Tepeyac, STRIDE Community Health Center, and Salud Family Health Centers. National Jewish Health provided the Community Health Needs Assessment 2022 Implementation Plan in November 2022.   |
| Schedule H, Part V, Section B, Line 11-National Jewish Health  | National Jewish Health leadership has chosen to direct its time, resources, and extraordinary expertise to address these specific areas: Pediatric Asthma, Long COVID, Education, and Access to Specialty Care. National Jewish Health is addressing Pediatric Asthma through improving access through telehealth, hiring additional pediatric allergists and pulmonologists and extending the Pediatric Asthma Tune Up and Wellness Program. National Jewish Health is focusing on patients suffering from Long COVID by providing comprehensive care for those with Long COVID symptoms, expanding the number of physicians and nursing staff treating patients with Long COVID, and growing knowledge of Long COVID through research. National Jewish will provide education to patients and their families on how to manage asthma and other respiratory diseases through providing active training programs to health care professionals, expanding access to patient education classes and support groups, and growing its robust library of health content, authored exclusively by experts at National Jewish Health. National Jewish Health also operates a free K-8 school for up to 90 chronically ill children on the organization's main campus. The school teaches predominantly low-income and minority students and their families about how to manage their diseases, provides onsite highly skilled health care professionals to provide a safe learning environment, while the students fully participate in a full schedule of academic studies. The program helps them catch up on lost ground caused by absences as a result of their asthma and other chronic diseases. National Jewish Health is addressing Access to Specialty Care by opening the Immediate Care Center to triage acutely sick patients and members of the community, treat them or transport them to inpatient or emergency services. Additionally, National Jewish Health is focusing on recruiting and hiring faculty and staff, and delivering patient care expertise at more locations. National Jewish Health will continue to expand new programs for cardio-oncology, pediatric wellness and existing programs in pulmonary hypertension and scleroderma. National Jewish Health will also be expanding the radiology capabilities by adding new state-of-the-art MRI and CT equipment in fiscal year 2023. |
| Schedule H, Part V, Section B, Line 14-National Jewish Health  | National Jewish Health's full and plain language FAP includes the items used for eligibility criteria; Federal Poverty Guidelines (FPG) and liquid assets. The result of the formula "Liquid Assets (less a \$2,500 allowance per family member) at a minimum of -0- + annualized income - annualized medical expenses (including health insurance premiums)" is compared to the FPG levels to determine the discount percent allowed. The chart is included with the FAP plan summary on the National Jewish Health website (njhealth.org). This discount percent is applied to the self-pay portion of the charges and that amount is deducted from the patient balance.  |
| Schedule H, Part V, Section B, Line 16g-National Jewish Health | When patients inquire about financial assistance at various admission locations, the personnel refer them to the Financial Counselor's Office in the main admissions area. The counselors discuss the various assistance programs (including Medicaid, CICP, and the National Jewish Financial Assistance Program), pre-screen patients, and help them prepare applications. National Jewish Health FAP plain language paper copies are located in the Financial Counselor's office. Phone numbers and the website are on the patient private pay bills and signs are displayed in the admission area.  |
| Schedule H, Part V, Section B, Line 16j-National Jewish Health | National Jewish Health has a team dedicated to community outreach. This team meets with safety net clinics and members of the community at large to raise awareness of our clinical services and the financial assistance that we provide. To ensure that we are meeting the needs of our community and to raise awareness of the financial assistance we offer, we have meetings with civic groups, safety net clinics and community leaders. National Jewish Health physicians also provide care at safety net clinics and at hospitals throughout the area helping raise awareness of the clinical programs and financial assistance National Jewish Health provides.  |
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**Part V Facility Information** *(continued)***Section D. Other Health Care Facilities That Are Not Licensed, Registered, or Similarly Recognized as a Hospital Facility** (List in order of size, from largest to smallest)How many non-hospital health care facilities did the organization operate during the tax year? 2

| Name and address   | Type of Facility (describe)                       |
|--|---|
| <b>1</b> National Jewish Health South Denver<br>499 East Hampden Ave<br>Suite 300<br>Englewood, C O 80113              | Adult Speciality Outpatient Clinic                |
| <b>2</b> National Jewish Health Highlands Ranch<br>8671 South Quebec Street<br>Suite 120<br>Highlands Ranch, C O 80130 | Adult and Pediatric Speciality Outpatient Clinicc |
| <b>3</b>   |   |
| <b>4</b>   |   |
| <b>5</b>   |   |
| <b>6</b>   |   |
| <b>7</b>   |   |
| <b>8</b>   |   |
| <b>9</b>   |   |
| <b>10</b>  |   |

**Part VI Supplemental Information**

Provide the following information.

- 1 Required descriptions.** Provide the descriptions required for Part I, lines 3c, 6a, and 7; Part II and Part III, lines 2, 3, 4, 8 and 9b.
- 2 Needs assessment.** Describe how the organization assesses the health care needs of the communities it serves, in addition to any CHNAs reported in Part V, Section B.
- 3 Patient education of eligibility for assistance.** Describe how the organization informs and educates patients and persons who may be billed for patient care about their eligibility for assistance under federal, state, or local government programs or under the organization's financial assistance policy.
- 4 Community information.** Describe the community the organization serves, taking into account the geographic area and demographic constituents it serves.
- 5 Promotion of community health.** Provide any other information important to describing how the organization's hospital facilities or other health care facilities further its exempt purpose by promoting the health of the community (e.g., open medical staff, community board, use of surplus funds, etc.).
- 6 Affiliated health care system.** If the organization is part of an affiliated health care system, describe the respective roles of the organization and its affiliates in promoting the health of the communities served.
- 7 State filing of community benefit report.** If applicable, identify all states with which the organization, or a related organization, files a community benefit report.

| Form and Line Reference                  | Explanation  |
|--|--|
| Schedule H, Part I, Line 3c              | National Jewish Health is a voluntary participant in the Colorado Indigent Care Program (CICP) and has modeled their Financial Assistance Policy after the program. Pursuant to CICP guidelines, patients pay a minimal co-pay for care. National Jewish Health believes charging a minimal co-pay causes patients to be a full participant in their healthcare plan. For the National Jewish Health Financial Assistance program (FAP) National Jewish Health reviews income and liquid asset levels to determine patient financial need and discounts. Liquid assets (less an allowance based on family size) are added to annualized income (less annual medical expenses) to compare to the FPG. Through this formula National Jewish Health take into account medical indigence.  |
| Schedule H, Part I, Line 7               | National Jewish Health conducts an annual study to determine the costs of all major programs. Through this study, which allocated specific costs across major programs, we determine the cost of clinical care. This ratio is multiplied by the total bad debt charges to determine cost. It is the same methodology used to determine cost of free and reduced care. National Jewish Health classifies accounts as bad debt at the end of the collection cycle once contractual adjustments, financial assistance, and payments have been applied. An account is considered bad debt after all reasonable collection efforts have been made.  |
| Schedule H, Part III, Section A, Line 2  | The bad debt on line 2 is calculated at a cost to charge ratio, so is listed at an estimated cost lost. All accounts written off for private pay or underinsured patients who are determined to be uncollectible are considered implicit price concessions since we accept patients regardless of their ability to pay. The amount listed in line 2 is actually a reduction to gross revenue on our audited financial statements- as a price concession to reach net revenue. The note discussing Patient Revenue includes all related information and in footnote 3 of the audited financial statements.  |
| Schedule H, Part III, Section A, Line 4  | Consistent with FASB 605 and 606, revenue is recorded net of price concession, including bad debt. Bad debt is not reported on the financial statements, except when financial positions change for patients after their date of service. Financial Bad Debt was -0- for FY22 so as such there was no footnote.  |
| Schedule H, Part III, Section B, Line 8  | National Jewish Health is committed to providing specialty care to seniors. Patients benefit from extensive time with their healthcare providers, multi-specialty care focused on the whole patient, comprehensive patient education, rehabilitation and thorough diagnostic work-ups and treatments. This care is expensive and many aspects of it are not reimbursed adequately from Medicare. Many patients come to us as a last resort. The ability to access our care without regard to the limitations of insurance is an important benefit to these patients and to their community.  |
| Schedule H, Part III, Section C, Line 9b | National Jewish Health screens for financial assistance policy (FAP) eligibility for 240 days after the first self-pay balance statement. During the first 120 days National Jewish Health collects on all accounts (excluding extraordinary collection practices) - until a patient applies for financial assistance. If they are found eligible, the discount is calculated and applied, the balance due is determined and normal collection practices resume for the remaining balance. During the last 120 days, if a patient applies for National Jewish Health financial assistance, all collection efforts (including any extraordinary collection practices) are suspended. If the patient is determined to be FAP eligible, any extraordinary collection efforts are reversed, the discount is calculated and applied, the balance due calculated, and normal collection efforts are resumed for this balance.  |
| Schedule H, Part VI, Line 2              | National Jewish Health scrutinized published health data, held community input sessions, surveyed community health providers and consulted with our own faculty, who have extensive contacts and experience with the community, to understand the outstanding health needs of residents in our community.  |
| Schedule H, Part VI, Line 3              | National Jewish Health maintains a financial counseling department designed to help patients obtain needed assistance. The counselors inquire about financial need and educate patients on the various assistance programs available to them, including National Jewish's own financial assistance program and the Colorado Indigent Care Program. The counselors are available to assist patients in applying for need based on programs and in establishing payment plans and options.   |
| Schedule H, Part VI, Line 4              | As a specialty hospital focused on respiratory, cardiac, immune, and related diseases, National Jewish Health serves a very diverse community. The main National Jewish Health campus is located in central Denver neighborhood at 1400 Jackson Street. Adult and pediatric patients come to National Jewish Health from the local community as well as from throughout the State of Colorado and nationally. Since tobacco addiction is a significant contributor to the disease burden of our patients, National Jewish Health operates tobacco cessation programs for the Denver community and in 21 other states.  |
| Schedule H, Part VI, Line 5              | National Jewish Health invests significant resources in meeting the healthcare needs of our community. Since our founding over 120 years ago, when National Jewish Health was a free hospital for the care of indigent TB patients, National Jewish Health has been committed to meeting the medical needs of the under served in the community. National Jewish Health is only one of a handful of outpatient clinics in the area that schedules patients for services on a first come, first served basis regardless of ability to pay. All patients are provided a full scope of diagnostic and therapeutic services without regard to the patient's financial need. Our clinicians serve at multiple locations throughout the state in order to ease access to our services. As a teaching institution, our faculty educates and trains tomorrow's doctors, nurses, and other healthcare staff. Every year, National Jewish Health spends millions of dollars to conduct the full continuum of research from basic science to clinical application. National Jewish Health operates a K-8 school on our campus exclusively for |

Form and Line Reference

Explanation

chronically ill children with special medical needs. To our knowledge, it is the only school of its kind on a healthcare campus in the country. Overwhelmingly the students at the school live in poverty and qualify for free or reduced lunches. National Jewish Health offers free lung testing around the country. We subsidize programs throughout the community including an inner city asthma program in the Denver Public Schools, an Asthma Took Kit program for the western slope and a free asthma care and teaching program in the lower income communities of Colorado. National Jewish Health has created a comprehensive multi-disciplinary ALS (amyotrophic lateral sclerosis or Lou Gehrig's disease) program to meet the needs of patients in Colorado and Rocky Mountain Region who suffer from this very rare but severely debilitating condition. The care of these patients is exceptionally challenging and requires the coordinated input of physicians and other health care providers with specific expertise in ALS from multi-specialties including Neurology, Pulmonology, Palliative Care, Rehabilitation Services, Speech and Swallow Therapy, Gastroenterology, Nutrition and Nursing. National Jewish hosts one of only a handful of such programs in the Rocky Mountain region and invests hundreds of thousands of dollars in this critical community need annually. As a not-for-profit institution our Board of Directors, all of whom are community leaders, are heavily involved in the direction and strategies of furthering our mission "to heal, to discover, and to educate". The Colorado House and Senate unanimously recognized National Jewish Health for our vital role in serving the health needs of Colorado citizens. Throughout the COVID-19 pandemic, National Jewish Health kept its outpatient clinics open, provided testing to patients and the general public, and provided COVID-19 specific clinical programs for children and adults to treat both actively infected and recovering patients, including monoclonal antibody therapies. National Jewish Health quickly set up operations to provide vaccinations following the state's prioritization phases as soon as it received its first doses of the COVID-19 vaccine in December 2020. To facilitate the needs of the community and the general public, National Jewish Health set up a drive-thru vaccination site on its campus parking lot and partnered with a local university for high volume vaccination events. National Jewish Health also collaborated with groups in underserved communities and set up a site at a local church for several vaccination events. Through June 2021, National Jewish Health provided over 59,700 doses of the vaccine. National Jewish Health continues to focus on post-COVID recovery efforts in specialized clinics. There continues to be a demand for National Jewish Health's COVID-19 testing, both PCR molecular and antibody testing, by public health departments and for several large employer groups and school systems. Our researchers were highly focused on COVID-19. Over the course of the pandemic, our researchers participated in multiple clinical trials of experimental therapies as well as implemented research programs designed to better understand the mechanisms and potential novel treatments for the virus.

## **Additional Data**

[Return to Form](#)

**Software ID:** 21013178

**Software Version:** v1.00

**Schedule J**  
**(Form 990)**

**Compensation Information**

OMB No. 1545-0047

**For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

- ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**
- ▶ **Attach to Form 990.**
- ▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

**2021**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
NATIONAL JEWISH HEALTH

Employer identification number

74-2044647

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |   |  |
|---|--|
| <input type="checkbox"/> First-class or charter travel            | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions                    | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax idemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees   |
| <input type="checkbox"/> Discretionary spending account           | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

**b** If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee              | <input checked="" type="checkbox"/> Written employment contract                     |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input checked="" type="checkbox"/> Form 990 of other organizations     | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?

**Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization? If "Yes," on line 5a or 5b, describe in Part III.

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization? If "Yes," on line 6a or 6b, describe in Part III.

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

**9** If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

|           | Yes | No |
|-----------|-----|----|
| <b>1b</b> |     |    |
| <b>2</b>  |     |    |
| <b>4a</b> |     | No |
| <b>4b</b> | Yes |    |
| <b>4c</b> |     | No |
| <b>5a</b> |     | No |
| <b>5b</b> |     | No |
| <b>6a</b> |     | No |
| <b>6b</b> |     | No |
| <b>7</b>  |     | No |
| <b>8</b>  |     | No |
| <b>9</b>  |     |    |

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title  |      | (B) Breakdown of W-2, 1099-MISC compensation, and/or 1099-NEC |                                     |                                     | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column (B) reported as deferred on prior Form 990 |
|---|------|---|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|---|
|   |      | (i) Base compensation   | (ii) Bonus & incentive compensation | (iii) Other reportable compensation |  |                         |                                 |   |
| 1 Michael S Salem MD<br>President and CEO, BOD Member                           | (i)  | 1,221,449   | 727,650                             | 15,000                              | 26,000   | 30,047                  | 2,020,146                       | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 2 Gregory Downey MD<br>EVP Academic Affairs & Provost                           | (i)  | 626,450   | 216,300                             | 0                                   | 42,800   | 33,122                  | 918,672                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 3 Glenn Hirsch MD<br>Chief, Division of Cardiology/Professor                    | (i)  | 551,046   | 100,000                             | 15,000                              | 21,800   | 37,312                  | 725,158                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 4 Jeffrey King MD<br>Associate Professor, Division of Gastroenterology          | (i)  | 601,445   | 0                                   | 5,000                               | 39,000   | 41,428                  | 686,873                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 5 Christine K Forkner<br>EVP Corporate Affairs and CFO, Ass't Treasurer         | (i)  | 445,168   | 158,900                             | 0                                   | 26,000   | 37,295                  | 667,363                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 6 Kevin K Brown MD<br>Chair, Department of Medicine                             | (i)  | 431,270   | 129,000                             | 0                                   | 45,500   | 31,709                  | 637,479                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 7 Irina Petrache MD<br>Chief, Division Pulmonology/Professor                    | (i)  | 403,909   | 15,000                              | 12,750                              | 45,500   | 29,580                  | 506,739                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 8 Stephen Frankel MD<br>EVP Clinical Affairs & CCO                              | (i)  | 422,690   | 150,850                             | 0                                   | 25,992   | 522                     | 600,054                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 9 Christopher K Dyke MD<br>Associate Professor, Division of Cardiology          | (i)  | 501,242   | 0                                   | 0                                   | 45,500   | 240                     | 546,982                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 10 Robert Kantor MD<br>Clinical Faculty Member, Division of Oncology            | (i)  | 521,586   | 0                                   | 0                                   | 21,500   | 30,120                  | 573,206                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 11 Rao Mushtaq MD<br>Assistant Professor, Division of Oncology                  | (i)  | 511,462   | 0                                   | 0                                   | 19,500   | 9,268                   | 540,230                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 12 Debra Dyer MD<br>Chair, Department of Radiology                              | (i)  | 370,213   | 55,570                              | 0                                   | 26,000   | 29,923                  | 481,706                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 13 Pamela L Zeitlin MD PhD<br>Chair, Department of Pediatrics                   | (i)  | 374,166   | 103,600                             | 8,500                               | 26,000   | 24,876                  | 537,142                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 14 Sarah L Walker<br>VP Chief Administrative Officer                            | (i)  | 340,781   | 96,000                              | 0                                   | 13,800   | 40,362                  | 490,943                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 15 Carrie A Horn MD<br>Chief Medical Officer                                    | (i)  | 310,838   | 60,000                              | 0                                   | 39,000   | 24,909                  | 434,747                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 16 Lisa Tadari<br>Vice President Development                                    | (i)  | 320,499   | 92,468                              | 0                                   | 26,000   | 37,229                  | 476,196                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 17 Philippa Marrack PhD<br>Chair, Department of Immunology and Genomic Medicine | (i)  | 242,048   | 65,000                              | 0                                   | 0  | 24,818                  | 331,866                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |
| 18 Kristi Melton<br>VP Clinical Business Operations                             | (i)  | 219,047   | 67,500                              | 0                                   | 24,080   | 9,523                   | 320,150                         | 0   |
|   | (ii) | -<br>0  | 0                                   | 0                                   | 0  | -<br>0                  | -<br>0                          | 0   |



**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

| Return Reference           | Explanation   |
|----------------------------|---|
| Schedule J, Part I, Line 4 | During the year, Michael Salem MD, Gregory Downey MD, Christine Forkner and Stephen Frankel MD were participants in a supplemental nonqualified retirement plan. The participants did not receive any payments from the plan. |

## Additional Data

[Return to Form](#)

**Software ID:** 21013178

**Software Version:** v1.00

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**Schedule K  
(Form 990)**

**Supplemental Information on Tax-Exempt Bonds**

OMB No. 1545-0047

**2021**

**Open to Public  
Inspection**

▶ Complete if the organization answered "Yes" to Form 990, Part VI, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

Department of the Treasury  
Internal Revenue Service

Name of the organization  
NATIONAL JEWISH HEALTH

Employer identification number

74-2044647

**Part I Bond Issues**

|          | (a) Issuer name                      | (b) Issuer EIN | (c) CUSIP # | (d) Date issued | (e) Issue price | (f) Description of purpose   | (g) Defeased |    | (h) On behalf of issuer |    | (i) Pool financing |    |
|----------|--------------------------------------|----------------|-------------|-----------------|-----------------|--|--------------|----|-------------------------|----|--------------------|----|
|          |                                      |                |             |                 |                 |  | Yes          | No | Yes                     | No | Yes                | No |
| <b>A</b> | Colorado Health Facilities Authority | 84-0752932     | 196474V98   | 01-20-2005      | 13,500,000      | Construction of a clinical and research facility   |              | X  |                         | X  |                    | X  |
| <b>B</b> | Colorado Health Facilities Authority | 84-0752932     | 19648AXX8   | 03-20-2012      | 28,176,276      | Refunding of the Series 1998 and 1998B CHFA Bonds dated 4/1/98 and 11/1/98, respectively |              | X  |                         | X  |                    | X  |

**Part II Proceeds**

|           |  | A          |    | B          |    | C   |    | D   |    |
|-----------|--|------------|----|------------|----|-----|----|-----|----|
| <b>1</b>  | Amount of bonds retired . . . . .  | 1,800,000  |    | 0          |    |     |    |     |    |
| <b>2</b>  | Amount of bonds legally defeased . . . . .   | 0          |    | 0          |    |     |    |     |    |
| <b>3</b>  | Total proceeds of issue . . . . .  | 13,500,000 |    | 28,176,276 |    |     |    |     |    |
| <b>4</b>  | Gross proceeds in reserve funds . . . . .  | 782,800    |    | 2,704,750  |    |     |    |     |    |
| <b>5</b>  | Capitalized interest from proceeds . . . . .   | 0          |    | 0          |    |     |    |     |    |
| <b>6</b>  | Proceeds in refunding escrows . . . . .  | 0          |    | 0          |    |     |    |     |    |
| <b>7</b>  | Issuance costs from proceeds . . . . .   | 225,000    |    | 446,581    |    |     |    |     |    |
| <b>8</b>  | Credit enhancement from proceeds . . . . .   | 15,000     |    | 0          |    |     |    |     |    |
| <b>9</b>  | Working capital expenditures from proceeds . . . . .   | 0          |    | 0          |    |     |    |     |    |
| <b>10</b> | Capital expenditures from proceeds . . . . .   | 12,447,200 |    | 0          |    |     |    |     |    |
| <b>11</b> | Other spent proceeds . . . . .   | 0          |    | 25,004,945 |    |     |    |     |    |
| <b>12</b> | Other unspent proceeds . . . . .   | 0          |    | 0          |    |     |    |     |    |
| <b>13</b> | Year of substantial completion . . . . .   | 2007       |    |            |    |     |    |     |    |
|           |  | Yes        | No | Yes        | No | Yes | No | Yes | No |
| <b>14</b> | Were the bonds issued as part of a current refunding issue of tax-exempt bonds (or, if issued prior to 2020, a current refunding issue)? . . . . . |            | X  | X          |    |     |    |     |    |
| <b>15</b> | Were the bonds issued as part of an advance refunding issue of taxable bonds (or, if issued prior to 2020, an advance refunding issue)? . . . . .  |            | X  |            | X  |     |    |     |    |
| <b>16</b> | Has the final allocation of proceeds been made? . . . . .  | X          |    | X          |    |     |    |     |    |
| <b>17</b> | Does the organization maintain adequate books and records to support the final allocation of proceeds? . . . . .                                   | X          |    | X          |    |     |    |     |    |

**Part III Private Business Use**

|   | A   |        | B   |    | C   |    | D   |    |
|---|-----|--------|-----|----|-----|----|-----|----|
|   | Yes | No     | Yes | No | Yes | No | Yes | No |
| <b>1</b> Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? . . . . .   |     | X      |     |    |     |    |     |    |
| <b>2</b> Are there any lease arrangements that may result in private business use of bond-financed property? . . . . .  |     | X      |     |    |     |    |     |    |
| <b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .  |     | X      |     |    |     |    |     |    |
| <b>b</b> If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?   |     |        |     |    |     |    |     |    |
| <b>c</b> Are there any research agreements that may result in private business use of bond-financed property? . . . . .   | X   |        |     |    |     |    |     |    |
| <b>d</b> If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?   |     | X      |     |    |     |    |     |    |
| <b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶  |     | 0.49 % |     |    |     |    |     |    |
| <b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶ |     | 0 %    |     |    |     |    |     |    |
| <b>6</b> Total of lines 4 and 5 . . . . .   |     | 0.49 % |     |    |     |    |     |    |
| <b>7</b> Does the bond issue meet the private security or payment test? . . . . .   |     | X      |     |    |     |    |     |    |
| <b>8a</b> Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued? . . . . .  |     | X      |     |    |     |    |     |    |
| <b>b</b> If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of. . . . .   |     |        |     |    |     |    |     |    |
| <b>c</b> If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2? . . . . .  |     |        |     |    |     |    |     |    |
| <b>9</b> Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2? . . . . .                             |     | X      |     |    |     |    |     |    |

**Part IV Arbitrage**

|   | A   |    | B   |    | C   |    | D   |    |
|---|-----|----|-----|----|-----|----|-----|----|
|   | Yes | No | Yes | No | Yes | No | Yes | No |
| <b>1</b> Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? . . . . . |     | X  |     | X  |     |    |     |    |
| <b>2</b> If "No" to line 1, did the following apply? . . . . .  |     |    |     |    |     |    |     |    |
| <b>a</b> Rebate not due yet? . . . . .  |     | X  |     | X  |     |    |     |    |
| <b>b</b> Exception to rebate? . . . . .   |     | X  |     | X  |     |    |     |    |
| <b>c</b> No rebate due? . . . . .   | X   |    | X   |    |     |    |     |    |
| If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed . . . . .                                 |     |    |     |    |     |    |     |    |
| <b>3</b> Is the bond issue a variable rate issue? . . . . .   | X   |    |     | X  |     |    |     |    |

**Part IV Arbitrage** (Continued)

|  | A   |    | B   |    | C   |    | D   |    |
|--|-----|----|-----|----|-----|----|-----|----|
|  | Yes | No | Yes | No | Yes | No | Yes | No |
| <b>4a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? |     | X  |     | X  |     |    |     |    |
| <b>b</b> Name of provider . . . . .  |     |    |     |    |     |    |     |    |
| <b>c</b> Term of hedge . . . . .   |     |    |     |    |     |    |     |    |
| <b>d</b> Was the hedge superintegrated? . . . . .  |     |    |     |    |     |    |     |    |
| <b>e</b> Was the hedge terminated? . . . . .   |     |    |     |    |     |    |     |    |
| <b>5a</b> Were gross proceeds invested in a guaranteed investment contract (GIC)?  |     | X  |     | X  |     |    |     |    |
| <b>b</b> Name of provider . . . . .  |     |    |     |    |     |    |     |    |
| <b>c</b> Term of GIC . . . . .   |     |    |     |    |     |    |     |    |
| <b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? . . . . .           |     |    |     |    |     |    |     |    |
| <b>6</b> Were any gross proceeds invested beyond an available temporary period?  |     | X  |     | X  |     |    |     |    |
| <b>7</b> Has the organization established written procedures to monitor the requirements of section 148? . . .           | X   |    | X   |    |     |    |     |    |

**Part V Procedures To Undertake Corrective Action**

|  | A   |    | B   |    | C   |    | D   |    |
|--|-----|----|-----|----|-----|----|-----|----|
|  | Yes | No | Yes | No | Yes | No | Yes | No |
| Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations? | X   |    | X   |    |     |    |     |    |

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K. (See instructions).

| Return Reference  | Explanation  |
|---|--|
| Schedule K, Part IV, Line 2c-01/20/2005 13,500,000 Colorado Health Facilities Authority | Kutak Rock Arbitrage Consulting prepared the report concerning the arbitrage rebate liability dated May 27, 2020, which concluded there was no arbitrage rebate liability as of January 20, 2020. The next rebate calculation is January 20, 2025. |
| Schedule K, Part IV, Line 2c-03/20/2012 28,176,276 Colorado Health Facilities Authority | Kutak Rock Arbitrage Consulting prepared the report concerning the arbitrage rebate liability dated March 20, 2022, which concluded there was no arbitrage rebate liability as of March 20, 2022. The next rebate calculation is March 20, 2027.   |

**Additional Data**

[Return to Form](#)

**Software ID:** 21013178

**Software Version:** v1.00

**Schedule L**  
**(Form 990)**

**Transactions with Interested Persons**

OMB No. 1545-0047

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**

▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

**2021**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
NATIONAL JEWISH HEALTH

**Employer identification number**

74-2044647

**Part I Excess Benefit Transactions** (section 501(c)(3), section 501(c)(4), and section 501(c)(29) organizations only).  
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

| 1 | (a) Name of disqualified person | (b) Relationship between disqualified person and organization | (c) Description of transaction | (d) Corrected? |    |
|---|---------------------------------|---|--------------------------------|----------------|----|
|   |                                 |   |                                | Yes            | No |
|   |                                 |   |                                |                |    |
|   |                                 |   |                                |                |    |
|   |                                 |   |                                |                |    |
|   |                                 |   |                                |                |    |
|   |                                 |   |                                |                |    |

**2** Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958. \_\_\_\_\_

**3** Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . . ▶ \$ . ▶ \_\_\_\_\_

**Part II Loans to and/or From Interested Persons.**  
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

| (a) Name of interested person | (b) Relationship with organization | (c) Purpose of loan | (d) Loan to or from the organization? |      | (e) Original principal amount | (f) Balance due | (g) In default? |    | (h) Approved by board or committee? |    | (i) Written agreement? |    |
|-------------------------------|------------------------------------|---------------------|---------------------------------------|------|-------------------------------|-----------------|-----------------|----|-------------------------------------|----|------------------------|----|
|                               |                                    |                     | To                                    | From |                               |                 | Yes             | No | Yes                                 | No | Yes                    | No |
|                               |                                    |                     |                                       |      |                               |                 |                 |    |                                     |    |                        |    |
|                               |                                    |                     |                                       |      |                               |                 |                 |    |                                     |    |                        |    |
|                               |                                    |                     |                                       |      |                               |                 |                 |    |                                     |    |                        |    |
|                               |                                    |                     |                                       |      |                               |                 |                 |    |                                     |    |                        |    |

**Total** . . . . . ▶ \$ \_\_\_\_\_

**Part III Grants or Assistance Benefiting Interested Persons.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of assistance | (d) Type of assistance | (e) Purpose of assistance |
|-------------------------------|---|--------------------------|------------------------|---------------------------|
|                               |   |                          |                        |                           |
|                               |   |                          |                        |                           |
|                               |   |                          |                        |                           |
|                               |   |                          |                        |                           |

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | (e) Sharing of organization's revenues? |    |
|-------------------------------|---|---------------------------|--------------------------------|---|----|
|                               |   |                           |                                | Yes                                     | No |
| (1) Lisa Cicutto              | Spouse of EVP of Academic Affairs                               | 197,700                   | Salary                         |   | No |
| (2) Jane Baer                 | Child of member of the board of directors                       | 43,095                    | Salary                         |   | No |
|                               |   |                           |                                |   |    |
|                               |   |                           |                                |   |    |
|                               |   |                           |                                |   |    |
|                               |   |                           |                                |   |    |

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

Return Reference

Explanation



## Additional Data

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**Software ID:** 21013178

**Software Version:** v1.00

# Noncash Contributions

**2021**

**Open to Public Inspection**

- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
- ▶ **Attach to Form 990.**
- ▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
NATIONAL JEWISH HEALTH

Employer identification number

74-2044647

**Part I Types of Property**

|  | (a)<br>Check if applicable | (b)<br>Number of contributions or items contributed | (c)<br>Noncash contribution amounts reported on Form 990, Part VIII, line 1g | (d)<br>Method of determining noncash contribution amounts |
|--|----------------------------|---|--|---|
| 1 Art—Works of art . . . . .   |                            |   |  |   |
| 2 Art—Historical treasures . . . . .                                 |                            |   |  |   |
| 3 Art—Fractional interests . . . . .                                 |                            |   |  |   |
| 4 Books and publications . . . . .                                   |                            |   |  |   |
| 5 Clothing and household goods . . . . .                             |                            |   |  |   |
| 6 Cars and other vehicles . . . . .                                  |                            |   |  |   |
| 7 Boats and planes . . . . .   |                            |   |  |   |
| 8 Intellectual property . . . . .                                    |                            |   |  |   |
| 9 Securities—Publicly traded . . . . .                               | X                          | 39  | 1,559,543  | Fair Market Value   |
| 10 Securities—Closely held stock . . . . .                           |                            |   |  |   |
| 11 Securities—Partnership, LLC, or trust interests . . . . .         |                            |   |  |   |
| 12 Securities—Miscellaneous . . . . .                                |                            |   |  |   |
| 13 Qualified conservation contribution—Historic structures . . . . . |                            |   |  |   |
| 14 Qualified conservation contribution—Other . . . . .               |                            |   |  |   |
| 15 Real estate—Residential . . . . .                                 |                            |   |  |   |
| 16 Real estate—Commercial . . . . .                                  |                            |   |  |   |
| 17 Real estate—Other . . . . .                                       |                            |   |  |   |
| 18 Collectibles . . . . .  |                            |   |  |   |
| 19 Food inventory . . . . .  |                            |   |  |   |
| 20 Drugs and medical supplies . . . . .                              |                            |   |  |   |
| 21 Taxidermy . . . . .   |                            |   |  |   |
| 22 Historical artifacts . . . . .                                    |                            |   |  |   |
| 23 Scientific specimens . . . . .                                    |                            |   |  |   |
| 24 Archeological artifacts . . . . .                                 |                            |   |  |   |
| Other ( Auction  | X                          | 157   | 180,209  | Fair Market Value   |
| 25     ▶ Items )   |                            |   |  |   |
| 26 Other ▶ ( _____ )   |                            |   |  |   |
| 27 Other ▶ ( _____ )   |                            |   |  |   |
| 28 Other ▶ ( _____ )   |                            |   |  |   |

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement 29 2

|   | Yes | No |
|---|-----|----|
| 30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period? |     | No |
| b If "Yes," describe the arrangement in Part II.  |     |    |
| 31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?   | Yes |    |
| 32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?  |     | No |
| b If "Yes," describe in Part II.  |     |    |
| 33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.   |     |    |

**Part II Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

| Return Reference                | Explanation  |
|---------------------------------|--|
| Schedule M, Part I, Line 9      | National Jewish Health is reporting the number of contributions of publicly traded securities. |
| Schedule M, Part I, Lines 25-28 | National Jewish Health is reporting the number of other noncash contributions.                 |

## **Additional Data**

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**Software Version:** v1.00

**SCHEDULE O**  
**(Form 990)**Department of the Treasury  
Internal Revenue Service**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No. 1545-0047

**2021****Open to Public  
Inspection**Name of the organization  
NATIONAL JEWISH HEALTH**Employer identification number**

74-2044647

| Return Reference                       | Explanation   |
|--|---|
| Form 990, Part VI, Section A, Line 2   | The following officers, directors, trustees, or key employees have a family or business relationship with another officer, director, trustee or key employee: Barker, Geoff - business relationship, Brownstein, Norman - business relationship, Chotin, Robin - family relationship, Chotin, Ross - family relationship, Demby, Steven - business relationship, Dodge, R Statnon - business relationship, Feiner, Michael - business relationship and family relationship, Feiner, Daniel - family relationship, Levin, Bradley - business relationship, Parks, Brian - business relationship, Paul, Kathryn - business relationship, Richardson, Blair - business relationship, Robinson, Eddie - business relationship, and Zucker, Evan - business relationship.  |
| Form 990, Part VI, Section A, Line 4   | National Jewish Health updated their corporate bylaws in FY2020.  |
| Form 990, Part VI, Section B, Line 11b | The form was prepared by the Finance Staff and was reviewed by the Executive Director of Financial Reporting, EVP Corporate Affairs/Chief Financial Officer and President/Chief Executive Officer. It was distributed to the National Jewish Health Board of Directors prior to issuance. Board members are not required to review the form prior to filing.  |
| Form 990, Part VI, Section B, Line 12c | National Jewish Health requires all employees and board members to complete a conflict of interest (COI) declaration statement annually. All statements with COI's are reviewed by the Chief Compliance Officer (CCO) or Compliance Office designee. The General Counsel is the CCO. When conflicts are present, the CCO or designee develops a plan to either eliminate the conflict or develop a plan to manage the conflict. Conflicts involving the CEO would be taken to the Chairman of the Board for resolution. If the EVP Corp Affairs/CFO had a conflict, it would be resolved by the CEO. Board member conflicts are reviewed by the Audit Committee. Board members with conflicts are asked to recuse themselves from any Board deliberations, decisions, or negotiations related to their conflict. The National Jewish Health conflict of interest policy is available on the National Jewish Health website. |
| Form 990, Part VI, Section B, Line 15  | Executive compensation decisions are made by the Compensation Committee of the Board of Directors. The committee relies on a combination of reports of an independent compensation consultant and independent third-party survey data for similarly qualified individuals in comparable positions at similarly situated organizations. Contemporaneous documentation is maintained on committee deliberations and decisions.  |
| Form 990, Part VI, Section C, Line 19  | National Jewish Health's Articles of Incorporation are available to the general public through the Colorado Secretary of State's office. The most recent audited financial statements and other financial statistics are available on the National Jewish Health website and the Electronic Municipal Market Access system (EMMA). National Jewish Health does not make its Bylaws available to the public. The National Jewish Health Conflict of Interest & Commitment Policy is available on the National Jewish Health website.   |

## **Additional Data**

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**Software Version:** v1.00

**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No. 1545-0047  
**2021**  
**Open to Public Inspection**

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**  
▶ **Attach to Form 990.**  
▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
NATIONAL JEWISH HEALTH

**Employer identification number**

74-2044647

**Part I Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

| (a)<br>Name, address, and EIN (if applicable) of disregarded entity  | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Total income | (e)<br>End-of-year assets | (f)<br>Direct controlling entity |
|--|-------------------------|--|---------------------|---------------------------|----------------------------------|
| <b>(1)</b> National Jewish Illiquid Asset Holding Company<br>1400 Jackson Street<br>Denver, CO 80206<br>74-2044647 | Property Holding        | CO   | 0                   | 0                         | N/A                              |
|  |                         |  |                     |                           |                                  |
|  |                         |  |                     |                           |                                  |
|  |                         |  |                     |                           |                                  |
|  |                         |  |                     |                           |                                  |

**Part II Identification of Related Tax-Exempt Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

| (a)<br>Name, address, and EIN of related organization   | (b)<br>Primary activity   | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity                | (g)<br>Section 512(b)(13) controlled entity? |    |
|---|---|--|----------------------------|---|---|--|----|
|   |   |  |                            |   |   | Yes  | No |
| <b>(1)</b> NJH SJH INC<br>500 Eldorado Blvd<br>Suite 4300<br>Broomfield, CO 80021<br>47-1194849                   | Support combined clinical operations  | CO   | 501(c)(3)                  | 12a, I  | Sisters of Charity of Leavenworth Health System |  | No |
| <b>(2)</b> MS NJH Administrative Services LLC<br>One Gustave L Levy Place<br><br>New York, NY 10029<br>36-4826263 | Support the two related organizations in treating all forms of respiratory illness. | DE   | 501(c)(3)                  | 12a,I   | None  |  | No |
|   |   |  |                            |   |   |  |    |
|   |   |  |                            |   |   |  |    |
|   |   |  |                            |   |   |  |    |

**Part III Identification of Related Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

| (a)<br>Name, address, and EIN of related organization  | (b)<br>Primary activity   | (c)<br>Legal domicile (state or foreign country) | (d)<br>Direct controlling entity | (e)<br>Predominant income(related, unrelated, excluded from tax under sections 512-514) | (f)<br>Share of total income | (g)<br>Share of end-of-year assets | (h)<br>Disproportionate allocations? |    | (i)<br>Code V-UBI amount in box 20 of Schedule K-1 (Form 1065) | (j)<br>General or managing partner? |    | (k)<br>Percentage ownership |
|--|---|--|----------------------------------|---|------------------------------|------------------------------------|--------------------------------------|----|--|-------------------------------------|----|-----------------------------|
|  |   |  |                                  |   |                              |                                    | Yes                                  | No |  | Yes                                 | No |                             |
| (1) JH NJH Administrative Services LLC<br>834 Walnut Street<br>Suite 650<br>Philadelphia, PA 19107 | Support the two related organizations in treating all forms of respiratory illness. | DE   | N/A                              | Related   |                              |                                    |                                      | No |  |                                     | No | 50 %                        |
|  |   |  |                                  |   |                              |                                    |                                      |    |  |                                     |    |                             |
|  |   |  |                                  |   |                              |                                    |                                      |    |  |                                     |    |                             |
|  |   |  |                                  |   |                              |                                    |                                      |    |  |                                     |    |                             |
|  |   |  |                                  |   |                              |                                    |                                      |    |  |                                     |    |                             |
|  |   |  |                                  |   |                              |                                    |                                      |    |  |                                     |    |                             |
|  |   |  |                                  |   |                              |                                    |                                      |    |  |                                     |    |                             |
|  |   |  |                                  |   |                              |                                    |                                      |    |  |                                     |    |                             |

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

| (a)<br>Name, address, and EIN of related organization | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Direct controlling entity | (e)<br>Type of entity (C corp, S corp, or trust) | (f)<br>Share of total income | (g)<br>Share of end-of-year assets | (h)<br>Percentage ownership | (i)<br>Section 512(b)(13) controlled entity? |    |
|---|-------------------------|--|----------------------------------|--|------------------------------|------------------------------------|-----------------------------|--|----|
|   |                         |  |                                  |  |                              |                                    |                             | Yes  | No |
|   |                         |  |                                  |  |                              |                                    |                             |  |    |
|   |                         |  |                                  |  |                              |                                    |                             |  |    |
|   |                         |  |                                  |  |                              |                                    |                             |  |    |
|   |                         |  |                                  |  |                              |                                    |                             |  |    |
|   |                         |  |                                  |  |                              |                                    |                             |  |    |
|   |                         |  |                                  |  |                              |                                    |                             |  |    |
|   |                         |  |                                  |  |                              |                                    |                             |  |    |
|   |                         |  |                                  |  |                              |                                    |                             |  |    |



**Part V Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a** Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity . . . . .
- b** Gift, grant, or capital contribution to related organization(s) . . . . .
- c** Gift, grant, or capital contribution from related organization(s) . . . . .
- d** Loans or loan guarantees to or for related organization(s) . . . . .
- e** Loans or loan guarantees by related organization(s) . . . . .
- f** Dividends from related organization(s) . . . . .
- g** Sale of assets to related organization(s) . . . . .
- h** Purchase of assets from related organization(s) . . . . .
- i** Exchange of assets with related organization(s) . . . . .
- j** Lease of facilities, equipment, or other assets to related organization(s) . . . . .
- k** Lease of facilities, equipment, or other assets from related organization(s) . . . . .
- l** Performance of services or membership or fundraising solicitations for related organization(s)
- m** Performance of services or membership or fundraising solicitations by related organization(s) . . . . .
- n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) . . . . .
- o** Sharing of paid employees with related organization(s) . . . . .
- p** Reimbursement paid to related organization(s) for expenses . . . . .
- q** Reimbursement paid by related organization(s) for expenses . . . . .
- r** Other transfer of cash or property to related organization(s) . . . . .
- s** Other transfer of cash or property from related organization(s) . . . . .

|           | Yes | No |
|-----------|-----|----|
|           |     |    |
| <b>1a</b> |     | No |
| <b>1b</b> |     | No |
| <b>1c</b> |     | No |
| <b>1d</b> |     | No |
| <b>1e</b> |     | No |
|           |     |    |
| <b>1f</b> |     | No |
| <b>1g</b> |     | No |
| <b>1h</b> |     | No |
| <b>1i</b> |     | No |
| <b>1j</b> |     | No |
|           |     |    |
| <b>1k</b> |     | No |
| <b>1l</b> | Yes |    |
| <b>1m</b> | Yes |    |
| <b>1n</b> | Yes |    |
| <b>1o</b> |     | No |
|           |     |    |
| <b>1p</b> |     | No |
| <b>1q</b> |     | No |
|           |     |    |
| <b>1r</b> |     | No |
| <b>1s</b> | Yes |    |

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

| (a)<br>Name of related organization | (b)<br>Transaction type (a-s) | (c)<br>Amount involved | (d)<br>Method of determining amount involved |
|-------------------------------------|-------------------------------|------------------------|--|
|                                     |                               |                        |  |
|                                     |                               |                        |  |
|                                     |                               |                        |  |
|                                     |                               |                        |  |
|                                     |                               |                        |  |
|                                     |                               |                        |  |
|                                     |                               |                        |  |



**Part VII Supplemental Information**

Provide additional information for responses to questions on Schedule R. See instructions.

Return Reference

Explanation

Schedule R (Form 990) 2021

**Additional Data**[Return to Form](#)**Software ID:** 21013178**Software Version:** v1.00