

Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.



OMB No. 1545-0047





2021

Open to Public Inspection

For calendar year 2021, or tax year beginning 07-01-2021, and ending 06-30-2022

Name of foundation THE PERNA-ROSE FOUNDATION FOR HOPE INC		A Employer identification number 35-2357099	
% JANET PERNA			
Number and street (or P.O. box number if mail is not delivered to street address) 6499 CAREMA LANE	Room/suite	B Telephone number (see instructions) (914) 441-9887	
City or town, state or province, country, and ZIP or foreign postal code NAPLES, FL 34113		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,072,819	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	58,509	57,250		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	146,718			
	b	Gross sales price for all assets on line 6a 2,161,624				
	7	Capital gain net income (from Part IV, line 2)		146,718		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	Total. Add lines 1 through 11	205,227	203,968		
	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	 2,325			2,325
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
19	Depreciation (attach schedule) and depletion					
20	Occupancy					
21	Travel, conferences, and meetings					
22	Printing and publications					
23	Other expenses (attach schedule)	 18,793	18,602		191,117	
24	Total operating and administrative expenses. Add lines 13 through 23	21,118	18,602		2,516	
25	Contributions, gifts, grants paid	190,117			190,117	
26	Total expenses and disbursements. Add lines 24 and 25	211,235	18,602		192,633	
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	-6,008			
	b	Net investment income (if negative, enter -0-)		185,366		
	c	Adjusted net income (if negative, enter -0-)				

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year		
				(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing		217,488	98,599		
	2	Savings and temporary cash investments					
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U.S. and state government obligations (attach schedule)			1,485,129	1,202,069	
	b	Investments—corporate stock (attach schedule)	3,233,079		1,861,426	1,870,750	
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)					
	14	Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)		1,537		942		
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		3,452,104		3,446,096	3,072,819	
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue.					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe ▶ _____)					
	23	Total liabilities (add lines 17 through 22).					0
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>						
	and complete lines 24, 25, 29 and 30.						
	24	Net assets without donor restrictions					
	25	Net assets with donor restrictions					
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>						
	and complete lines 26 through 30.						
	26	Capital stock, trust principal, or current funds					
	27	Paid-in or capital surplus, or land, bldg., and equipment fund					
	28	Retained earnings, accumulated income, endowment, or other funds		3,452,104			3,446,096
	29	Total net assets or fund balances (see instructions)		3,452,104			3,446,096
30	Total liabilities and net assets/fund balances (see instructions) .		3,452,104		3,446,096		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	3,452,104
2	Enter amount from Part I, line 27a	2	-6,008
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	3,446,096
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	3,446,096

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a VNGRD INFO TECH ETF	P	2019-10-21	2022-04-12
b VNGRD HEALTH CARE ETFE	P	2015-10-13	2021-07-20
c ISHS 10 YR INVEST ETF	P	2019-04-10	2021-10-19
d VNGRD INTRM CORP BD ETF	P	2018-01-30	2022-01-12
e ISHS 10 YR INVEST ETF	P	2019-04-10	2022-01-31
ISHS INVSTMNT BD ETF	P	2015-10-13	2022-01-31
VNGRD INTRM CORP BD ETF	P	2018-07-18	2022-01-31
VNGRD CONSMR DISCRET ETF	P	2021-01-19	2022-01-12
VNGRD CONSMR DISCRET ETF	P	2021-01-22	2022-01-12
ISHARES CORE INTL BD ETF	P	2019-01-24	2022-01-13
ISHS INVSTMNT BD ETF	P	2018-04-11	2022-01-31
ISHS INVSTMNT BD ETF	P	2016-07-12	2022-01-31
SPDR SP PHARMA ETF	P	2020-07-07	2021-07-20
ISHS INVSTMNT BD ETF	P	2014-10-17	2022-01-31
SPDR SP BIOTECH ETF	P	2020-07-07	2021-07-20
VNGRD INTRM CORP BD ETF	P	2018-01-30	2022-01-31
ISHS US TELECOM ETF	P	2020-07-07	2022-04-12
VNGRD SHORT TERM BD ETF	P	2019-01-15	2022-01-31
VNGRD CONSMR DISCRET ETF	P	2021-04-16	2022-01-12
FID ADVS TTL BD I	P	2014-10-10	2022-01-31
FID ADVS TTL BD I	P	2017-07-18	2022-01-31
VNGRD SHORT TERM BD ETF	P	2019-02-12	2022-01-31
VNGRD INTRM CORP BD ETF	P	2018-07-24	2022-01-31
FID ADVS TTL BD I	P	2015-01-02	2022-01-31
FID ADVS TTL BD I	P	2014-12-01	2022-01-31
FID ADVS TTL BD I	P	2014-11-03	2022-01-31
FID ADVS TTL BD I	P	2014-12-22	2022-01-31
FID ADVS TTL BD I	P	2014-12-22	2022-01-31
ISHS CORE US AGGR BD ETF	P	2016-08-05	2022-01-31
ISHS US TELECOM ETF	P	2021-07-20	2022-04-12
ISHS 10 YR INVEST ETF	P	2021-02-19	2022-01-31
ISHS 5-10 YR INVEST ETF	P	2021-02-19	2022-01-31

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 137,104		75,781	61,323
b 48,716		24,645	24,071
c 74,948		64,825	10,123
d 150,974		142,486	8,488
e 89,824		81,919	7,905
76,501		69,966	6,535
85,787		79,625	6,162
39,448		34,017	5,431
40,451		35,447	5,004
116,138		111,701	4,437
53,678		49,361	4,317
133,876		129,954	3,922
26,522		23,451	3,071
48,960		46,033	2,927
25,987		23,299	2,688
60,954		58,290	2,664
36,000		33,741	2,259
118,545		116,584	1,961
21,396		20,087	1,309
80,749		79,889	860
64,684		63,994	690
34,396		33,917	479
5,870		5,434	436
173		171	2
168		166	2
105		104	1
76		75	1
46		45	1
199,462		200,884	-1,422
53,037		58,909	-5,872
162,290		170,703	-8,413
170,249		179,403	-9,154

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			61,323
b			24,071
c			10,123
d			8,488
e			7,905
			6,535
			6,162
			5,431
			5,004
			4,437
			4,317
			3,922
			3,071
			2,927
			2,688
			2,664
			2,259
			1,961
			1,309
			860
			690
			479
			436
			2
			2
			1
			1
			1
			-1,422
			-5,872
			-8,413
			-9,154

Capital gain net income or (net capital loss)		2	146,718
Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			
3		3	-11,695

Part V

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter

Bracket for line 1a

"N/A" on line 1.

1a

Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)

All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

3

Add lines 1 and 2.

3

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

5

6

Credits/Payments:

6a

2021 estimated tax payments and 2020 overpayment credited to 2021 1,649

6b

Exempt foreign organizations—tax withheld at source

6c

Tax paid with application for extension of time to file (Form 8868)

6d

Backup withholding erroneously withheld

7

Total credits and payments. Add lines 6a through 6d

7

1,649

8

Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

928

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

10

11

Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded

11

Part VI-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

Yes

No

1b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.

1b

No

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1c

Did the foundation file Form 1120-POL for this year?.

1c

No

2

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?

2

No

If "Yes," attach a detailed description of the activities.

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

4b

If "Yes," has it filed a tax return on Form 990-T for this year?

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5

No

If "Yes," attach the statement required by General Instruction T.

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV.

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)
►CT _____

8a

Yes

8b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

10

No

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Part VI-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►WWW.PERNAFOUNDATIONFORHOPE.ORG	13	Yes	
14	The books are in care of ►THE PERNA-ROSE FOUNDATION FOR HOPE INC Telephone no. ►(914) 441-9887 Located at ►6499 CAREMA LANE NAPLES FL ZIP+4 ►34113			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign				

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)		No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)		No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
c	Organizations relying on a current notice regarding disaster assistance check here. ►			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? If "Yes," list the years ► 20____, 20____, 20____, 20____	2a		No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	4b		No

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

(3) Provide a grant to an individual for travel, study, or other similar purposes?

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

b

If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

c

Organizations relying on a current notice regarding disaster assistance check here

d

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

8

Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Yes

No

5a(1)

No

5a(2)

No

5a(3)

No

5a(4)

No

5a(5)

No

5b

5d

6a

No

6b

No

7a

No

7b

8

No

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MELANIE ROSE 6499 CAREMA LANE NAPLES, FL 34113	PRESIDENT 010.00	0		
JANET PERNA 6499 CAREMA LANE NAPLES, FL 34113	TREASURER 010.00	0		
TINA WOODWARD 751 E CHARLESTON RD PALO ALTO, CA 94303	VICE-PRESIDENT 010.00	0		
GINGER PARRISH 401 LANE DR ELIZABETH CITY, NC 27909	SECRETARY 010.00	0		
JOAN CORLEY 176 OLD BRANCHVILLE RD RIDGEFIELD, CT 06877	DIRECTOR 010.00	0		
MERCY LAGMAY ABRAMS 6503 ROMA WAY NAPLES, FL 34113	DIRECTOR 010.00	0		

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances


Total number of other employees paid over \$50,000.

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. 

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0
2	
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	3,392,377
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,392,377
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	3,392,377
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	50,886
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.	5	3,341,491
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	167,075

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	167,075
2a	Tax on investment income for 2021 from Part V, line 5.	2a	2,577
b	Income tax for 2021. (This does not include the tax from Part V.).	2b	
c	Add lines 2a and 2b.	2c	2,577
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	164,498
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	164,498
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	164,498

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				164,498
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2021:				
a From 2016.	28,708			
b From 2017.	43,131			
c From 2018.				
d From 2019.	6,395			
e From 2020.	25,890			
f Total of lines 3a through e.	104,124			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ 192,633				
a Applied to 2020, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2021 distributable amount				164,498
e Remaining amount distributed out of corpus	28,135			
5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	132,259			
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)	28,708			
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a	103,551			
10 Analysis of line 9:				
a Excess from 2017	43,131			
b Excess from 2018				
c Excess from 2019.	6,395			
d Excess from 2020	25,890			
e Excess from 2021	28,135			

Part XIII

Private Operating Foundations (see instructions and Part VI-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2021	(b) 2020	(c) 2019	(d) 2018	
b	85% (0.85) of line 2a					
c	Qualifying distributions from Part XI, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test—enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .					
c	"Support" alternative test—enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

JANET PERNA

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a

The name, address, and telephone number or email address of the person to whom applications should be addressed:

b

The form in which applications should be submitted and information and materials they should include:

c

Any submission deadlines:

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year A CHILD FOR ALL CMR 450 BOX 1073 APO,AE 09705			ORPHANAGE SUPPORT	10,000
GUADALUPE CENTER 506 HOPE CIRCLE IMMOKALEE,FL 34142			EARLY CHILDHOOD DEVELOPMENT	10,000
CHAMPIONS 4 LEARNING 3606 ENTERPRISE AVE STE 150 NAPLES,FL 34104			SCHOLARSHIPS AND CLASSROOM GRANTS	10,000
PANGEA NETWORK PO BOX 9823 THE WOODLANDS,TX 77387			SCHOLARSHIPS	10,000
CHILDS PATH 15275 COLLIER BLVD 201-359 NAPLES,FL 34119			SCHOLARSHIPS	10,000
SPCA NENC PO BOX 1772 ELIZABETH CITY,NC 27906			ANIMAL RESCUE	25,000
OUR COMPANIONS PO BOX 673 BLOOMFIELD,CT 06002			ANIMAL RESCUE	15,000
EVERGLADES ANGELS DOG RESCUE 2637 E ATLANTIC BLVD STE 269 POMPANO BEACH,FL 33062			ANIMAL RESCUE	12,500
DOMESTIC VIOLENCE CENTER 1111 SUMMER ST STE 203 STAMFORD,CT 06905			DOMESTIC VIOLENCE SUPPORT	15,000
SUNY ONEONTA FOUNDATION 208 NETZER ADMINISTRATION BLDG ONEONTA,NY 13820			SCHOLARSHIPS	25,000
COLLEGE OF ALBEMARLE 1208 N ROAD ST ELIZABETH CITY,NC 27909			SCHOLARSHIPS	6,000
WOMENS BEAN PROJECT 3201 CURTIS ST DENVER,CO 80205			WOMAN EMPLOYMENT TRAINING	10,000
MASSAI GIRLS EDUCATION FUND (MGEF) 5800 MACARTHUR BLVD NW WASHINGTON,DC 200162512			SCHOLARSHIPS	11,617
NAPILI KAI FOUNDATION 5900 LOWER HONOAPIILANI RD LAHAINA,HI 96761			SCHOLARSHIPS	5,000
MUTTVILLE PO BOX 410207 SAN FRANCISCO,CA 94141			ANIMAL RESCUE	5,000
ALBEMARLE HOPELINE PO BOX 2064 ELIZABETH CITY,NC 27906			FOOD BANK	10,000
Total			► 3a	190,117
b Approved for future payment				
Total			► 3b	

Part XV-A

Unrelated business income

Excluded by section 512, 513, or 514

(e)
Related or exempt
function income
(See
instructions.)

(a)
Business
code

(b)
Amount

(c)
Exclusion code

(d)
Amount

b _____

C _____

d _____

e _____

f _____

g Fees and contracts from government agencies

2 Membership dues and assessments

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

■ ■ ■

5 Net rental income or (loss) from real estate:

a Debt-financed property.

b Not debt-financed property. . . .

6 Net rental income or (loss) from personal property

7 Other investment income

8 Gain or (loss) from sales of assets other than inventory

9 Net income or (loss) from special events:

10 Gross profit or (loss) from sales of inventory

11 Other revenue: **a** _____

b _____

C _____

d _____

e _____

12 Subtotal. Add columns (b), (d), and (e) . .

205,227

13 Total. Add line 12, columns (b), (d), and (e).	13	205,227
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(See worksheet in line 13 instructions to verify calculations.)

Part XV-B

Line No.

▼

Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVI

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash.

(2) Other assets.

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets. . . .

(4) Reimbursement arrangements.

(5) Loans or loan guarantees. . . .

(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

	2022-11-11
Signature of officer or trustee	Date

2022-11-11

Title

May the IRS discuss this return with the preparer shown below?
See instructions. ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	FRANZ C SCHNEIDER CPA		2022-11-11		P00634003
	Firm's name ▶ FC SCHNEIDER CPA LLC				Firm's EIN ▶ 84-170217
	Firm's address ▶ PO BOX 427 BATON ROUGE, LA 70821				Phone no. (225) 389-0032

Additional Data

[Return to Form](#)

Software ID: 21013554

Software Version: 21.0.5.0

Part VI Line 7 - Tax Paid with the Original Return: 1,649

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: THE PERNA-ROSE FOUNDATION FOR HOPE INC

EIN: 35-2357099

Software ID: 21013554

Software Version: 21.0.5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,325			2,325

TY 2021 IRS 990 e-File Render

Name: THE PERNA-ROSE FOUNDATION FOR HOPE INC

EIN: 35-2357099

Software ID: 21013554

Software Version: 21.0.5.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1507 shares of FEPIX FIDELITY ADVISOR TOTAL BOND CL I	16,117	14,587
11613 shares of THIX THORNBURG LTD TERM INCOME CL I	157,270	147,831
250 shares of IBM INTL BUSINESS MACHINES CORP	19,582	35,298
190 shares of AGG ISHARES CORE US AGGREGATE BOND ETF	21,383	19,319
259 shares of LQD ISHARES IBOXB INVESTMENT GRADE CORP BOND ETF	30,367	28,498
411 shares of IGLB ISHARES LONG TERM CORP BOND ETF	28,531	21,910
242 shares of IAGG ISHARES CORE INTL AGGREGATE BOND ETF	12,526	12,054
1491 shares of SDY SPDR SERIES TRUST SP DIVIDEND ETF	168,830	176,967
203 shares of BSV VANGUARD SHORT TERM BOND ETF	16,012	15,588
648 shares of VHT VANGUARD HEALTH CARE ETF	101,704	152,604
790 shares of VIS VANGUARD INDUSTRIALS ETF	139,702	129,039
335 shares of VGT VANGUARD INFORMATION TECHNOLOGY ETF	87,060	109,394
175 shares of VCIT VANGUARD INTERMEDIATE TERM CORP BOND EFT	14,630	14,004
1145 shares of VDC VANGUARD CONSUMER STAPLES ETF	199,144	212,214
460 shares of VNQ VANGUARD REAL ESTATE INDEX ETF	49,979	41,911
490 shares of PTH INVESCO DWA HEALTHCARE MOMENTUM ETF	70,637	56,232
1786 shares of DVY ISHARES SELECT DIVIDEND ETF	193,192	210,159
325 shares of IGIB ISHARES 5-10 YEAR INVESTMENT GRADE CORP BOND ETF	19,765	16,595
1778 shares of XPH SPDR SERIES TRUST SP PHARMACEUTICALS ETF	81,569	74,676
694 shares of XBI SPDR SERIES TRUST SP BIOTECH ETF	81,560	51,543
127 shares of VCR VANGUARD CONSUMER DISCRETIONARY ETF	39,860	28,887
50 shares of KD KYNDRYL HOLDINGS INC	858	489
233 shares of PBW INVESCO WILDERHILL CLEAN ENERGY ETF	19,974	10,650
1050 shares of XLE SECTOR ENERGY SELECT SECTOR SPDR ETF	70,362	75,086
4294 shares of VTIP VANGUARD SHORT TERM INFLATION PROTECTED SECURITIES ETF	220,812	215,215

TY 2021 IRS 990 e-File Render

Name: THE PERNA-ROSE FOUNDATION FOR HOPE INC

EIN: 35-2357099

Software ID: 21013554

Software Version: 21.0.5.0

**US Government Securities - End of
Year Book Value:**

**US Government Securities - End of
Year Fair Market Value:**

**State & Local Government
Securities - End of Year Book
Value:**

1,485,129

**State & Local Government
Securities - End of Year Fair
Market Value:**

1,202,069

TY 2021 IRS 990 e-File Render

Name: THE PERNA-ROSE FOUNDATION FOR HOPE INC

EIN: 35-2357099

Software ID: 21013554

Software Version: 21.0.5.0

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ORGANIZATION COSTS	1,537	942	

TY 2021 IRS 990 e-File Render

Name: THE PERNA-ROSE FOUNDATION FOR HOPE INC

EIN: 35-2357099

Software ID: 21013554

Software Version: 21.0.5.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES	18,602	18,602		
OFFICE EXPENSE	137			137
AMORTIZATION	595			595
TAX REFUND	-541			-541

TY 2021 IRS 990 e-File Render

Name: THE PERNA-ROSE FOUNDATION FOR HOPE INC

EIN: 35-2357099

Software ID: 21013554

Software Version: 21.0.5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
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