

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Open to Public Inspection

For calendar year 2021, or tax year beginning 12-01-2021, and ending 11-30-2022

Name of foundation: Woodcock Foundation. A Employer identification number: 34-1606085. B Telephone number: (212) 549-5370. C If exemption application is pending, check here. D 1. Foreign organizations, check here. E If private foundation status was terminated under section 507(b)(1)(A), check here. F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include: 1 Contributions, gifts, grants, etc., received; 2 Check if the foundation is not required to attach Sch. B; 3 Interest on savings and temporary cash investments; 4 Dividends and interest from securities; 5a Gross rents; 5b Net rental income or (loss); 6a Net gain or (loss) from sale of assets not on line 10; 6b Gross sales price for all assets on line 6a; 7 Capital gain net income; 8 Net short-term capital gain; 9 Income modifications; 10a Gross sales less returns and allowances; 10b Less: Cost of goods sold; 10c Gross profit or (loss); 11 Other income; 12 Total; 13 Compensation of officers, directors, trustees, etc.; 14 Other employee salaries and wages; 15 Pension plans, employee benefits; 16a Legal fees; 16b Accounting fees; 16c Other professional fees; 17 Interest; 18 Taxes; 19 Depreciation; 20 Occupancy; 21 Travel, conferences, and meetings; 22 Printing and publications; 23 Other expenses; 24 Total operating and administrative expenses; 25 Contributions, gifts, grants paid; 26 Total expenses and disbursements; 27 Subtract line 26 from line 12; a Excess of revenue over expenses and disbursements; b Net investment income; c Adjusted net income.

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	609,602	4,113,026	4,113,026
	<b>2</b> Savings and temporary cash investments . . . . .			
	<b>3</b> Accounts receivable ▶ <u>51,706</u>			
	Less: allowance for doubtful accounts ▶ _____	57,161	51,706	51,706
	<b>4</b> Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ <u>1,969,771</u>			
	Less: allowance for doubtful accounts ▶ _____	1,988,916	1,969,771	1,969,771
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	26,462,940	38,349,220	33,309,196
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	16,499,955	13,506,255	13,882,061
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
<b>12</b> Investments—mortgage loans . . . . .				
<b>13</b> Investments—other (attach schedule) . . . . .				
<b>14</b> Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
<b>15</b> Other assets (describe ▶ _____)	40,580,924	32,351,620	36,618,978	
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	86,199,498	90,341,598	89,944,738	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue. . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	61,577,106	86,868,025	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds . . . . .	24,622,392	3,473,573	
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	86,199,498	90,341,598		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	86,199,498	90,341,598		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	86,199,498
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	3,473,573
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	668,527
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	90,341,598
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	<b>6</b>	90,341,598

**Part IV Capital Gains and Losses for Tax on Investment Income**

<b>(a)</b> List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
<b>1 a</b> SEE STATEMENT - ST	P		
<b>b</b> SEE STATEMENT - ST	P		
<b>c</b> SEE STATEMENT - ST	P		
<b>d</b> SEE STATEMENT - LT	P		
<b>e</b> SEE STATEMENT - LT	P		
SEE STATEMENT - LT	P		
SEE STATEMENT - LT	P		

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 1,586,656		1,620,968	-34,312
<b>b</b> 15,334,006		17,679,010	-2,345,004
<b>c</b> 28,667			28,667
<b>d</b> 742,868		585,652	157,216
<b>e</b> 12,809,847		12,297,751	512,096
5,790,824			5,790,824
916			916

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(i)</b>
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>a</b>			-34,312
<b>b</b>			-2,345,004
<b>c</b>			28,667
<b>d</b>			157,216
<b>e</b>			512,096
			5,790,824
			916

Capital gain net income or (net capital loss)	<b>2</b>	4,110,403
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	<b>3</b>	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here [ ] and enter [ ] Bracket for line 1a
1a Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
3 Add lines 1 and 2.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-
6 Credits/Payments:
6a 2021 estimated tax payments and 2020 overpayment credited to 2021
6b Exempt foreign organizations—tax withheld at source
6c Tax paid with application for extension of time to file (Form 8868)
6d Backup withholding erroneously withheld
7 Total credits and payments. Add lines 6a through 6d
8 Enter any penalty for underpayment of estimated tax. Check here [ ] if Form 2220 is attached.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.
11 Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded

Part VI-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.
1c Did the foundation file Form 1120-POL for this year?
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. (2) On foundation managers.
3 Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
4b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
By language in the governing instrument, or
By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
7 Did the foundation have at least \$5,000 in assets at any time during the year?
8a Enter the states to which the foundation reports or with which it is registered (see instructions)
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021?
10 Did any persons become substantial contributors during the tax year?

Part VI-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address www.woodcockfdn.org
14 The books are in care of W GOLDNER Telephone no. (212) 549-5370 Located at C/O ROCK CO - 45 ROCKEFELLER PLAZ NEW YORK NY 10111 ZIP+4
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
c Organizations relying on a current notice regarding disaster assistance check here.
d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?

**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

- 5a** During the year did the foundation pay or incur any amount to:
  - (1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
  - (2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
  - (3)** Provide a grant to an individual for travel, study, or other similar purposes?
  - (4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions
  - (5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?
- b** If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
- c** Organizations relying on a current notice regarding disaster assistance check here
- d** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  
If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  
If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
- b** If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
- 8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

	Yes	No
<b>5a(1)</b>		No
<b>5a(2)</b>		No
<b>5a(3)</b>		No
<b>5a(4)</b>	Yes	
<b>5a(5)</b>		No
<b>5b</b>		No
<b>5d</b>	Yes	
<b>6a</b>		No
<b>6b</b>		No
<b>7a</b>		No
<b>7b</b>		No
<b>8</b>		

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEREMY GUTH RTC NA PO Box 5016 New York, NY 101855016	TRUSTEE 0	0	0	0
LINDSAY D SHEA RTC NA PO Box 5016 New York, NY 101855016	TRUSTEE 0	0	0	0
OLGA M DAVIDSON RTC NA PO Box 5016 New York, NY 101855016	TRUSTEE 0	0	0	0
STUART DAVIDSON RTC NA PO Box 5016 New York, NY 101855016	TRUSTEE 0	0	0	0
WINTHROP RUTHERFURD ATTN RHD C O KOZUSKO HARRIS DUNCAN 25201 CHAGRIN BLVD SUITE 370 BEACHWOOD, OH 44122	TRUSTEE 0	135,140	0	0
MARGOT BRANDENBURG RTC NA P O Box 5016 New York, NY 101855016	TRUSTEE 0	138,377	0	0
SABRINA GUTH RTC NA P O Box 5016 New York, NY 101855016	TRUSTEE 0	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

**Total** number of other employees paid over \$50,000.




**Part VIII- Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> _____ _____	
<b>2</b> _____ _____	
<b>3</b> _____ _____	
<b>4</b> _____ _____	

**Part VIII- Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b> LOCAL INITIATIVES SUPPORT CORPORATION	
<b>2</b> NESS SELF LLC	
<b>3</b> All other program-related investments. See instructions. _____ _____	
<b>Total.</b> Add lines 1 through 3 . . . . . 	

**Part IX Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	64,218,583
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	1,011,529
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	65,230,112
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	65,230,112
<b>4</b>	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	978,452
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3.	<b>5</b>	64,251,660
<b>6</b>	<b>Minimum investment return.</b> Enter 5% (0.05) of line 5. . . . .	<b>6</b>	3,212,583

**Part X Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part IX, line 6. . . . .	<b>1</b>	3,212,583
<b>2a</b>	Tax on investment income for 2021 from Part V, line 5. . . . .	<b>2a</b>	63,665
<b>b</b>	Income tax for 2021. (This does not include the tax from Part V.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	63,665
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	3,148,918
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	3,148,918
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1. . . . .	<b>7</b>	3,148,918

**Part XI Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	
<b>b</b>	Program-related investments—total from Part VIII-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part XII, line 4.	<b>4</b>	

**Part XII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
<b>1</b> Distributable amount for 2021 from Part X, line 7				3,148,918
<b>2</b> Undistributed income, if any, as of the end of 2021:				
<b>a</b> Enter amount for 2020 only. . . . .			0	
<b>b</b> Total for prior years: 2019, 2018, 2017		0		
<b>3</b> Excess distributions carryover, if any, to 2021:				
<b>a</b> From 2016. . . . .				2,394,441
<b>b</b> From 2017. . . . .				4,790,624
<b>c</b> From 2018. . . . .				3,697,243
<b>d</b> From 2019. . . . .				2,928,714
<b>e</b> From 2020. . . . .				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	13,811,022			
<b>4</b> Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ <u>6,228,971</u>				
<b>a</b> Applied to 2020, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2021 distributable amount				3,148,918
<b>e</b> Remaining amount distributed out of corpus	3,080,053			
<b>5</b> Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	16,891,075			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)	2,394,441			
<b>9</b> <b>Excess distributions carryover to 2022.</b> Subtract lines 7 and 8 from line 6a	14,496,634			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2017	4,790,624			
<b>b</b> Excess from 2018	3,697,243			
<b>c</b> Excess from 2019. . . . .	2,928,714			
<b>d</b> Excess from 2020				
<b>e</b> Excess from 2021	3,080,053			



**Part** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> SEE STATEMENT ATTACHED P O BOX 5016 NEW YORK, NY 10185	NONE	P C	GENERAL	5,568,352
<b>Total</b> . . . . . ▶ <b>3a</b>				5,568,352

**b** *Approved for future payment*

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**Total** . . . . . ▶ **3b**

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

- 1 Program service revenue:
a
b
c
d
e
f
g Fees and contracts from government agencies
2 Membership dues and assessments
3 Interest on savings and temporary cash investments
4 Dividends and interest from securities
5 Net rental income or (loss) from real estate:
a Debt-financed property.
b Not debt-financed property.
6 Net rental income or (loss) from personal property
7 Other investment income
8 Gain or (loss) from sales of assets other than inventory
9 Net income or (loss) from special events:
10 Gross profit or (loss) from sales of inventory
11 Other revenue: a
b
c
d
e

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Includes rows for interest on savings (14, 468,230) and gain on sales (18, 4,110,403). Totals: 5,694,955.

12 Subtotal. Add columns (b), (d), and (e).
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes.



## **Additional Data**

[Return to Form](#)

**Software ID:**

**Software Version:**

**Form 990PF - Special Condition Description:**

**Special Condition Description**

# TY 2021 IRS 990 e-File Render

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROCK & CO - TAX & ACCOUNTING F	50,132	50,132		

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**TY 2021 IRS 990 e-File Render**

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
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**Name:** Woodcock Foundation

**EIN:** 34-1606085

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
Root Capital	955 Massachusetts Avenue 5th Floor Cambridge, MA 02139	2010-05-06		Provide financing to international agricultural entrepreneurs who fall is the missing middle		NO	September 30, 2016		The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
Lumni Inc	251 Crandon Blvd Suite 327 Key Biscayne, FL 33149	2011-05-24		To give students the opportunity to invest in their education using the value of future earnings		NO	September 30, 2016		The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
CLEARBON INC	60 29th Street 131 San Francisco, CA 94110	2013-02-08		To raise resident awareness about supporting local businesses		NO	09302016	2016-09-30	The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
Farmland Renewal	1649 County Road 7A Copake, NY 12516	2015-07-08		Conserving farmland, making it accessible to farmers at an affordable rate		NO	09302016	2016-09-30	The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
ACUMEN CAPITAL MARKETS	74 TRINITY PLACE 9TH FLOOR NEW YORK, NY 10006	2009-09-01		To change the way the world tackles poverty		NO	09/30/2016	2016-09-30	The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
California Harvesters Inc	16350 Driver Road Bakersfield, CA 93308	2018-06-13		Financing		NO			The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
The Calvert Foundation	7315 Wisconsin Avenue Suite 1000W Bethesda, MD 20814			Support Women Investing in Women Initiative		NO			The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
Rural Community Assistance Corporation	3120 Freeboard Drive Suite 201 West Sacramento, CA 95691	2018-09-27				NO			The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
Sixup Community Fund I LLC	77 Van Ness Avenue Suite 101 San Francisco, CA 94102			Financing		NO			The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
Vineyard Fish & Fleet				Support Martha's Vineyard Preservation Trust		NO			The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
The Meloy Fund	1310 North Courthouse Road Suite 1 Arlington, VA 22201			Invest in fish-related enterprises		NO			The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.

# TY 2021 IRS 990 e-File Render

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BONDS		

## TY 2021 IRS 990 e-File Render

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITIES		
APERIO		
CYMABAY THERAPEUTICS INC		

# TY 2021 IRS 990 e-File Render

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	41,877			41,877

## TY 2021 IRS 990 e-File Render

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Description	Amount
PRIOR PERIOD ADJUSTMENT	0

## TY 2021 IRS 990 e-File Render

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEES AND LICENSES	1,500	1,500		
OFFICE EXPENSES	9,601	9,601		
MEMBERSHIPS	22,850	22,850		
OTHER MISCELLANEOUS EXPENSES	22,353	22,353		
DONATIONS	22,482			22,482
THRU PARTNERSHIPS - PORT EXPS	364,247	364,247		
NONDEDUCTIBLE EXPENSES	3,049	3,049		
THRU PARTNERSHIPS				

# TY 2021 IRS 990 e-File Render

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME	273,128	273,128	

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**TY 2021 IRS 990 e-File  
Render**

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Borrower's Name	Relationship to Insider	Original Amount of Loan	Balance Due	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Security Provided by Borrower	Purpose of Loan	Description of Lender Consideration	Consideration FMV
CLEARBON INC		50,000	50,000		2022-12	ACCRUED INTEREST PLUS OUTSTANDING PRINCIPAL QTRLY	6 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
CALIFORNIA HARVESTERS INC		250,000	250,000	2018-06	2025-06	MONTHLY PAYMENTS OF ACCRUED INTEREST AND PRINCIPAL	7 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
RURAL COMMUNITY ASSISTANCE CORPORATION		250,000	250,000	2018-09	2028-09		2 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
SIXUP COMMUNITY FUND I LLC		250,000	250,000		2023-09	ACCRUED INTEREST PLUS OUTSTANDING PRINCIPAL QTRLY	250 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
CATCH INVEST VINEYARD FISH & FLEET		242,232	169,771	2020-01	2024-04	PRINCIPAL AMOUNT DUE WITH SIMPLE INTEREST	3 %		SUPPORT MARTHA'S VINEYARD PRESERVATION TRUST	INVEST IN FISH-RELATED ENTERPRISES	
LOCAL INITIATIVES SUPPORT CORP		250,000	250,000	2020-01	2024-03		275 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
NESS SOCIAL ENTERPRISE LOAN FUND LLC		250,000	250,000	2020-01	2023-02		0 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
NH COMMUNITY LOAN		250,000	250,000		2023-08		150 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
REDF IMPACT INVESTING		250,000	250,000		2026-04		300 %			INVEST IN FISH-RELATED ENTERPRISES	

## TY 2021 IRS 990 e-File Render

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CUSTODY FEES	3,200	3,200		
OTHER PROFESSIONAL FEES	174,723	174,723		
MANAGEMENT & ADVISORY FEES	278,646	278,646		

# TY 2021 IRS 990 e-File Render

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Name	Address
PWG JHJ GUTH CLT 17	45 ROCKEFELLER PLAZA 5TH FL NEW YORK, NY 10111

## TY 2021 IRS 990 e-File Render

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	29,332	29,332		
FEDERAL EXCISE TAXES	129,533			
STATE TAXES	843			