

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2022, or tax year beginning 01-01-2022, and ending 12-31-2022

Name of foundation: JOHN L NAU III FOUNDATION. A Employer identification number: 20-8457303. B Telephone number: (713) 848-1884. C If exemption application is pending, check here. D 1. Foreign organizations, check here. D 2. Foreign organizations meeting the 85% test, check here and attach computation. E If private foundation status was terminated under section 507(b)(1)(A), check here. F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. H Check type of organization: Section 501(c)(3) exempt private foundation. I Fair market value of all assets at end of year: \$14,624,718. J Accounting method: Cash.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include: 1 Contributions, gifts, grants, etc., received; 2 Check if foundation is not required to attach Sch. B; 3 Interest on savings and temporary cash investments; 4 Dividends and interest from securities; 5a Gross rents; b Net rental income or (loss); 6a Net gain or (loss) from sale of assets not on line 10; b Gross sales price for all assets on line 6a; 7 Capital gain net income; 8 Net short-term capital gain; 9 Income modifications; 10a Gross sales less returns and allowances; b Less: Cost of goods sold; c Gross profit or (loss); 11 Other income; 12 Total. Add lines 1 through 11; 13 Compensation of officers, directors, trustees, etc.; 14 Other employee salaries and wages; 15 Pension plans, employee benefits; 16a Legal fees; b Accounting fees; c Other professional fees; 17 Interest; 18 Taxes; 19 Depreciation and depletion; 20 Occupancy; 21 Travel, conferences, and meetings; 22 Printing and publications; 23 Other expenses; 24 Total operating and administrative expenses; Add lines 13 through 23; 25 Contributions, gifts, grants paid; 26 Total expenses and disbursements; Add lines 24 and 25; 27 Subtract line 26 from line 12; a Excess of revenue over expenses and disbursements; b Net investment income; c Adjusted net income.

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	27,228	6,283	6,283
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	277,472	252,467	252,467
	b Investments—corporate stock (attach schedule)	10,149,900	2,806,077	2,806,077
	c Investments—corporate bonds (attach schedule)	922,615	787,683	787,683
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	10,847,652	10,772,208	10,772,208
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	22,224,867	14,624,718	14,624,718	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22).	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0	0	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	22,224,867	14,624,718	
	28 Retained earnings, accumulated income, endowment, or other funds	0	0	
29 Total net assets or fund balances (see instructions)	22,224,867	14,624,718		
30 Total liabilities and net assets/fund balances (see instructions)	22,224,867	14,624,718		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	22,224,867
2 Enter amount from Part I, line 27a	2	-5,691,014
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	16,533,853
5 Decreases not included in line 2 (itemize) ▶ _____	5	1,909,135
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	14,624,718

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a VANGUARD FTSE EMERGING	P	2017-05-10	2022-04-14
b VANGUARD FTSE EMERGING	P	2017-08-22	2022-04-14
c LINDE PLC COM	P	2022-06-18	2022-08-12
d THERMO FISHER SCIENTIFIC INC	P	2021-04-14	2022-12-08
e THERMO FISHER SCIENTIFIC INC	P	2021-07-13	2022-12-08
VISA INC	P	2021-05-27	2022-08-12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,773		6,062	711
b 25,046		23,967	1,079
c 288		268	20
d 69		59	10
e 118		108	10
171		185	-14

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i)
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a			711
b			1,079
c			20
d			10
e			10
			-14

Capital gain net income or (net capital loss)	2	1,816
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes sub-rows 1a-11 and 6a-6d. Values include 3,278, 0, 44,265, 20,000, 64,265, 60,987.

Part VI-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes sub-rows 1a-10. Columns include Yes/No. Values include 0, TX, 0.

Part VI-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of CAROLINE BAKER Telephone no. (713) 848-1884 Located at 2229 SAN FELIPE STE 1250 HOUSTON TX 77019 ZIP+4
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2022, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
c Organizations relying on a current notice regarding disaster assistance check here.
d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2022?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2022, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2022?. If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2022 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2022.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2022?

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)		No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)		No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
c	Organizations relying on a current notice regarding disaster assistance check <input type="checkbox"/>			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	5d		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN L NAU III 2229 SAN FELIPE STE 1250 HOUSTON, TX 77019	PRESIDENT, DIRECTOR 1.00	0	0	0
ELIZABETH NAU STEPANIAN 2229 SAN FELIPE STE 1250 HOUSTON, TX 77019	DIRECTOR 0.25	0	0	0
VICTORIA NAU JOHNSON 2229 SAN FELIPE STE 1250 HOUSTON, TX 77019	DIRECTOR 0.25	0	0	0
ROBERT BOBLITT 2229 SAN FELIPE STE 1250 HOUSTON, TX 77019	SECRETARY, DIRECTOR 0.50	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶ 0

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 **Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
WL GRAY JR 900 20TH AVE SOUTH SUITE 1305 NASHVILLE, TN 37212	INVESTMENT ADVISORY SERVICES	68,513

Total number of others receiving over \$50,000 for professional services. ▶

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 CONTRIBUTIONS OF CASH TO EDUCATION	52,843
2 CONTRIBUTIONS OF STOCK TO EDUCATION	5,850,729
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
2	
3 All other program-related investments. See instructions.	
Total. Add lines 1 through 3	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	17,438,627
b	Average of monthly cash balances.	1b	19,503
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	17,458,130
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	17,458,130
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	261,872
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.. . . .	5	17,196,258
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	859,813

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	859,813
2a	Tax on investment income for 2022 from Part V, line 5.	2a	3,278
b	Income tax for 2022. (This does not include the tax from Part V.).	2b	
c	Add lines 2a and 2b.	2c	3,278
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	856,535
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	856,535
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	856,535

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	5,906,847
b	Program-related investments—total from Part VIII-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.. . . .	4	5,906,847

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2021	(c) 2021	(d) 2022
1 Distributable amount for 2022 from Part X, line 7				856,535
2 Undistributed income, if any, as of the end of 2022:				
a Enter amount for 2021 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2022:				
a From 2017.	560,997			
b From 2018.	204,717			
c From 2019.	444,307			
d From 2020.	4,552,374			
e From 2021.	19,983,114			
f Total of lines 3a through e.	25,745,509			
4 Qualifying distributions for 2022 from Part XI, line 4: ▶ \$ _____ <u>5,906,847</u>				
a Applied to 2021, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2022 distributable amount				856,535
e Remaining amount distributed out of corpus	5,050,312			
5 Excess distributions carryover applied to 2022. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	30,795,821			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2021. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2022. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2023				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2017 not applied on line 5 or line 7 (see instructions)	560,997			
9 Excess distributions carryover to 2023. Subtract lines 7 and 8 from line 6a	30,234,824			
10 Analysis of line 9:				
a Excess from 2018	204,717			
b Excess from 2019	444,307			
c Excess from 2020.	4,552,374			
d Excess from 2021	19,983,114			
e Excess from 2022	5,050,312			

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

Table with 5 columns: (a) 2022, (b) 2021, (c) 2020, (d) 2019, (e) Total. Rows include questions 1a-1b, 2a-2e, 3a-3c, and 4a-4d regarding private operating foundation status and alternative tests.

Part Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) JOHN L NAU III

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> NATIONAL PARK FOUNDATION 1500 K STREET NW STE 700 WASHINGTON,DC 20005	N/A	PUBLIC CHARITY	EDUCATION	3,666,666
SUL ROSS BORDERLAND RESEARCH PO BOX C-21 ALPINE,TX 79832	N/A	PUBLIC CHARITY	EDUCATION	160,163
THE COLLEGE FOUNDATION OF UVA PO BOX 400807 CHARLOTTESVILLE,V A 22904	N/A	PUBLIC CHARITY	EDUCATION	1,018,500
THE UT FOUNDATION ONE UTSA CIRCLE NORTH PASEO BUILDING 5102 SAN ANTONIO,TX 78249	N/A	PUBLIC CHARITY	EDUCATION	206,106
UNIVERSITY OF VIRGINIA PO BOX 400331 CHARLOTTESVILLE,V A 229044331	N/A	PUBLIC CHARITY	EDUCATION	852,137
Total ▶ 3a				5,903,572
b <i>Approved for future payment</i> NATIONAL PARK FOUNDATION 1500 K STREET NW STE 700 WASHINGTON,DC 20005	N/A	PUBLIC CHARITY	EDUCATION	5,333,334
SUL ROSS BORDERLAND RESEARCH PO BOX C-21 ALPINE,TX 79832	N/A	PUBLIC CHARITY	EDUCATION	589,837
THE COLLEGE FOUNDATION OF UVA PO BOX 400110 CHARLOTTESVILLE,V A 22904	N/A	PUBLIC CHARITY	EDUCATION	2,094,000
THE UT FOUNDATION ONE UTSA CIRCLE NORTH PASEO BUILDING 5102 SAN ANTONIO,TX 78249	N/A	PUBLIC CHARITY	EDUCATION	393,894
UNIVERSITY OF VIRGINIA PO BOX 400331 CHARLOTTESVILLE,V A 229044331	N/A	PUBLIC CHARITY	EDUCATION	18,043,270
Total ▶ 3b				26,454,335

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

- 1 Program service revenue:
a
b
c
d
e
f
g Fees and contracts from government agencies
2 Membership dues and assessments
3 Interest on savings and temporary cash investments
4 Dividends and interest from securities
5 Net rental income or (loss) from real estate:
a Debt-financed property.
b Not debt-financed property.
6 Net rental income or (loss) from personal property
7 Other investment income
8 Gain or (loss) from sales of assets other than inventory
9 Net income or (loss) from special events:
10 Gross profit or (loss) from sales of inventory
11 Other revenue: a
b
c
d
e

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Includes rows for various income categories and a total row at the bottom.

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Additional Data

[Return to Form](#)

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2022 IRS 990 e-File Render

Name: JOHN L NAU III FOUNDATION

EIN: 20-8457303

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	13,100	9,825	0	3,275

Name: JOHN L NAU III FOUNDATION

EIN: 20-8457303

Identifier	Return Reference	Explanation
NATIONAL PARK FOUNDATION	PART XIV - SUPPLEMENTARY INFORMATION	JOHN L. NAU, III FOUNDATION CONTRIBUTED STOCK TO THE FOLLOWING RECIPIENT:RECIPIENT NAME: NATIONAL PARK FOUNDATIONDESCRIPTION: 365 SHARES OF ALPHABET INC. CAP STK CL C (GOOG)DATE OF GIFT: 02/28/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$251,693FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$979,899RECIPIENT NAME: NATIONAL PARK FOUNDATIONDESCRIPTION: 205 SHARES OF AMAZON.COM INC. (AMZN)DATE OF GIFT: 02/28/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$210,986FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$625,865RECIPIENT NAME: NATIONAL PARK FOUNDATIONDESCRIPTION: 1,035 SHARES OF COSTCO WHOLESALE CORP. COM (COST)DATE OF GIFT: 02/28/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$125,868FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$533,506RECIPIENT NAME: NATIONAL PARK FOUNDATIONDESCRIPTION: 2,551 SHARES OF TARGET CORP (TGT)DATE OF GIFT: 02/28/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$277,144FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$506,399
UNIVERSITY OF VIRGINIA	PART XIV - SUPPLEMENTARY INFORMATION	JOHN L. NAU, III FOUNDATION CONTRIBUTED STOCK TO THE FOLLOWING RECIPIENT:RECIPIENT NAME: UNIVERSITY OF VIRGINIA DESCRIPTION: 105 SHARES OF ALPHABET INC. CAP STK CL A (GOOGL)DATE OF GIFT: 04/18/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$49,445FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$267,114
UNIVERSITY OF VIRGINIA	PART XIV - SUPPLEMENTARY INFORMATION	JOHN L. NAU, III FOUNDATION CONTRIBUTED STOCK TO THE FOLLOWING RECIPIENT:RECIPIENT NAME: UNIVERSITY OF VIRGINIA DESCRIPTION: 2,950 SHARES OF MICROSOFT CORP. (MSFT)DATE OF GIFT: 08/11/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$146,339FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$852,137
NATIONAL PARK FOUNDATION	PART XIV - SUPPLEMENTARY INFORMATION	JOHN L. NAU, III FOUNDATION CONTRIBUTED STOCK TO THE FOLLOWING RECIPIENT:RECIPIENT NAME: NATIONAL PARK FOUNDATIONDESCRIPTION: 4,199 SHARES OF VISA INC. CL A (V)DATE OF GIFT: 08/11/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$248,457FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$890,377RECIPIENT NAME: NATIONAL PARK FOUNDATIONDESCRIPTION: 600 SHARES OF ANALOG DEVICES INC. COM (ADI)DATE OF GIFT: 08/11/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$54,076FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$105,753
UNIVERSITY OF TEXAS SAN ANTONIO	PART XIV - SUPPLEMENTARY INFORMATION	JOHN L. NAU, III FOUNDATION CONTRIBUTED STOCK TO THE FOLLOWING RECIPIENT:RECIPIENT NAME: UNIVERSITY OF TEXAS SAN ANTONIODESCRIPTION: 610 SHARES OF LINDE PLC COM (LIN)DATE OF GIFT: 08/11/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$108,314FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$187,776RECIPIENT NAME: UNIVERSITY OF TEXAS SAN ANTONIODESCRIPTION: 115 SHARES OF CHEVRON CORP. NEW COM (CVX)DATE OF GIFT: 08/11/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$13,248FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$18,330
UNIVERSITY OF VIRGINIA	PART XIV - SUPPLEMENTARY INFORMATION	JOHN L. NAU, III FOUNDATION CONTRIBUTED STOCK TO THE FOLLOWING RECIPIENT:RECIPIENT NAME: UNIVERSITY OF VIRGINIA DESCRIPTION: 2,240 SHARES OF ALPHABET INC. CAP STK (GOOGL)DATE OF GIFT: 12/07/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$79,073FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$214,588RECIPIENT NAME: UNIVERSITY OF VIRGINIA DESCRIPTION: 417 SHARES OF THERMO FISHER SCIENTIFIC INC. (TMO)DATE OF GIFT: 12/07/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$82,524FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$231,370RECIPIENT NAME: UNIVERSITY OF VIRGINIA DESCRIPTION: 805 SHARES OF MASTERCARD INCORPORATED CL A (MA)DATE OF GIFT: 12/07/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$33,295FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$277,451
BORDERLANDS RESEARCH FOUNDATION	PART XIV - SUPPLEMENTARY INFORMATION	JOHN L. NAU, III FOUNDATION CONTRIBUTED STOCK TO THE FOLLOWING RECIPIENT:RECIPIENT NAME: BORDERLANDS RESEARCH FOUNDATIONDESCRIPTION: 460 SHARES OF MICROSOFT INCORPORATED CL A (MA)DATE OF GIFT: 12/15/22BOOK VALUATION METHOD: PURCHASE PRICEBOOK VALUE OF GIFT: \$81,743FAIR MARKET VALUATION METHOD: COMPARABLE SALESFAIR VALUE OF GIFT: \$160,163

TY 2022 IRS 990 e-File Render**Name:** JOHN L NAU III FOUNDATION**EIN:** 20-8457303

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CITIGROUP INC BOND	207,800	207,800
ENERGY TRANSFER OPERATING LP BOND 6.625%	89,100	89,100
ENERGY TRANSFER OPERATING LP BOND 7.125%	250,500	250,500
HUNTINGTON BANCSHARES INC BOND	92,533	92,533
MPLX LP BOND	147,750	147,750

TY 2022 IRS 990 e-File Render**Name:** JOHN L NAU III FOUNDATION**EIN:** 20-8457303

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADOBE INC COM	115,766	115,766
ANALOG DEVICES INC COM	51,740	51,740
APPLE INC COM	1,291,606	1,291,606
CAPITAL ONE FINANCIAL CORP COM	413	413
CHEVRON CORP NEW COM	126,977	126,977
CISCO SYS INC COM	2,332	2,332
CITIGROUP INC COM	94,233	94,233
COSTCO WHOLESALE CORP COM	1,959	1,959
DISNEY WALT CO	36,229	36,229
HOME DEPOT INC COM	96,127	96,127
ICON PLC ORD EUR0.06	22,145	22,145
MASTERCARD INC CL A	266,167	266,167
MICROSOFT CORP	433,043	433,043
NEXTERA ENERGY INC COM	73,889	73,889
ORACLE CORP COM	83,153	83,153
TARGET CORP COM	2,606	2,606
TEXAS INSTRUMENTS INC COM	107,455	107,455
V F CORM COM	182	182
ZURN WATER SOLUTIONS CORP COM	55	55

TY 2022 IRS 990 e-File Render

Name: JOHN L NAU III FOUNDATION

EIN: 20-8457303

**US Government Securities - End of
Year Book Value:**

252,467

**US Government Securities - End of
Year Fair Market Value:**

252,467

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2022 IRS 990 e-File Render**Name:** JOHN L NAU III FOUNDATION**EIN:** 20-8457303

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMERICAN FUNDS CAPITAL WORLD GROWTH & INCOME FUND CLASS F2	FMV	230,627	230,627
AMERICAN FUNDS FUNDAMENTAL INVESTORS FUND CLASS F2	FMV	193,626	193,626
NATIXIS VAUGHAN NELSON SELECT FUND CLASS Y	FMV	293,287	293,287
VANGUARD FTSE EMERGING MARKETS ETF	FMV	128,634	128,634
VITRUS KAR SMALL-MID CP CORE FUND CLASS I	FMV	71,085	71,085
FIDELITY CONSERVATIV INCOME BOND FD CL	FMV	4,917,222	4,917,222
ARMISTICE CAPITAL OFFSHORE FUND	FMV	1,567,112	1,567,112
CONTEXT PARTNERS OFFSHORE, LTD	FMV	3,367,134	3,367,134
UBS INSURED SWEEP	FMV	3,481	3,481

TY 2022 IRS 990 e-File Render

Name: JOHN L NAU III FOUNDATION

EIN: 20-8457303

Description	Amount
UNREALIZED GAIN/LOSS ON INVESTMENTS	1,909,135

TY 2022 IRS 990 e-File Render

Name: JOHN L NAU III FOUNDATION

EIN: 20-8457303

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	72,234	72,234	0	0

TY 2022 IRS 990 e-File Render

Name: JOHN L NAU III FOUNDATION

EIN: 20-8457303

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CAPITAL GAIN DISTRIBUTION	9,174	9,174	
ORDINARY INCOME FROM PASSTHROUGH - ARMISTICE CAPITAL OFFSHORE FUND LTD.	143,727	143,727	

TY 2022 IRS 990 e-File Render

Name: JOHN L NAU III FOUNDATION

EIN: 20-8457303

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	770	770	0	0
FEDERAL TAXES PAID	20,000	0	0	0