Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

2022

Open to Public Inspection

For calendar year 2022, or tax year beginning 01-01-2022 , and ending 12-31-2022

Name of foundation
ROCKEFELLER BROTHERS FUND INC

A Employer identification number
13-1760106

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
475 RIVERSIDE DRIVE 900

B Telephone number (see instructions)
(212) 812-4200

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10115

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 1,351,225,045

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	5,346,500			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	231,001	231,001		
	4	Dividends and interest from securities	5,291,027	17,921,666		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	45,544,041			
	b	Gross sales price for all assets on line 6a 863,345,920				
	7	Capital gain net income (from Part IV, line 2)		67,527,455		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,419,088	122,000		
	12	**Total.** Add lines 1 through 11	57,831,657	85,802,122		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	2,641,620	133,569		2,508,051
	14	Other employee salaries and wages	5,002,725	78,979		4,923,746
	15	Pension plans, employee benefits	3,428,299	8,372		3,310,441
	16a	Legal fees (attach schedule)	276,378	140,515		188,201
	b	Accounting fees (attach schedule)	332,631	77,445		191,056
	c	Other professional fees (attach schedule)	10,714,481	4,246,112		4,679,767
	17	Interest		957,254		
	18	Taxes (attach schedule) (see instructions)	2,519,939	501,681		94,412
	19	Depreciation (attach schedule) and depletion	2,600,670	0		
	20	Occupancy	954,931	14,438		753,916
	21	Travel, conferences, and meetings	1,245,783	20,729		1,192,635
	22	Printing and publications	142,094	3,402		147,576
	23	Other expenses (attach schedule)	1,589,619	11,472,380		1,763,386
	24	**Total operating and administrative expenses.** Add lines 13 through 23	31,449,170	17,654,876		19,753,187
	25	Contributions, gifts, grants paid	67,369,341			61,197,509
	26	**Total expenses and disbursements.** Add lines 24 and 25	98,818,511	17,654,876		80,950,696
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-40,986,854			
	b	**Net investment income** (if negative, enter -0-)		68,147,246		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	3,898,821	3,548,406	3,548,406
	2	Savings and temporary cash investments	51,396,866	24,311,876	24,311,876
	3	Accounts receivable ▶ 2,068,285			
		Less: allowance for doubtful accounts ▶	2,887,340	2,068,285	2,068,285
	4	Pledges receivable ▶ 443,500			
		Less: allowance for doubtful accounts ▶	1,935,000	443,500	443,500
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ 348,698			
		Less: allowance for doubtful accounts ▶ 0	468,698	348,698	348,698
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	652,388	1,306,160	1,306,160
	10a	Investments—U.S. and state government obligations (attach schedule)	12,964,131	11,841,029	11,841,029
	b	Investments—corporate stock (attach schedule)	158,057,288	141,398,220	141,398,220
	c	Investments—corporate bonds (attach schedule)	18,549,893	17,994,264	17,994,264
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans	2,177,265	2,408,543	2,408,543
	13	Investments—other (attach schedule)	1,372,670,476	1,109,875,323	1,109,875,323
	14	Land, buildings, and equipment: basis ▶ 70,865,129			
		Less: accumulated depreciation (attach schedule) ▶ 37,383,843	8,632,140	33,481,286	33,481,286
	15	Other assets (describe ▶)	19,714,484	2,199,455	2,199,455
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,654,004,790	1,351,225,045	1,351,225,045
Liabilities	17	Accounts payable and accrued expenses	18,190,244	10,069,759	
	18	Grants payable	17,141,885	23,312,217	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	12,402,796	4,980,909	
	23	**Total liabilities**(add lines 17 through 22)	47,734,925	38,362,885	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions	1,192,883,530	969,170,424	
	25	Net assets with donor restrictions	413,386,335	343,691,736	
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	1,606,269,865	1,312,862,160	
	30	**Total liabilities and net assets/fund balances** (see instructions)	1,654,004,790	1,351,225,045	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,606,269,865
2	Enter amount from Part I, line 27a	2	-40,986,854
3	Other increases not included in line 2 (itemize) ▶	3	7,590,210
4	Add lines 1, 2, and 3	4	1,572,873,221
5	Decreases not included in line 2 (itemize) ▶	5	260,011,061
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	1,312,862,160

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	SALES OF PUBLICLY TRADED SECURITIES	P		
b	AEOLUS PROPERTY CATASTROPHE FUND	P		
c	DCIG CAPITAL	P		
d	EUROPA FUND IV, L.P.	P		
e	GENERATION IM CLIMATE SOLUTIONS FUND II	P		
	ISHANA CAPITAL OFFSHORE FUND	P		
	KKR DISLOCATION OPPORTUNITIES (TE) FEEDER LP	P		
	NEW CENTURY ADVISORS	P		
	TWO CREEKS CAPITAL OFFSHORE FUND LTD	P		
	TYBOURNE EQUITY OFFSHORE	P		
	GAINS THROUGH K-1S, NET OF UBI	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				8,552,535
b				-966,385
c				1,204,167
d				2,742
e				1,009,771
				-176,673
				144,802
				-1,156,408
				49,902
				-2,175,171
				61,038,173

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				8,552,535
b				-966,385
c				1,204,167
d				2,742
e				1,009,771
				-176,673
				144,802
				-1,156,408
				49,902
				-2,175,171
				61,038,173

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	67,527,455
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**	1	947,247
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	947,247
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	947,247
6	Credits/Payments:		
a	2022 estimated tax payments and 2021 overpayment credited to 2022 — 6a: 3,064,872		
b	Exempt foreign organizations—tax withheld at source — 6b: 0		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 500,000		
d	Backup withholding erroneously withheld — 6d: 0		
7	Total credits and payments. Add lines 6a through 6d	7	3,564,872
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2221 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,617,625
11	Enter the amount of line 10 to be: **Credited to 2023 estimated tax** ▶ 617,625 **Refunded** ▶	11	2,000,000

Part VI-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XIV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY, CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2022 or the taxable year beginning in 2022? See the instructions for Part XIII. If "Yes," complete Part XIII	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VI-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	**11**	**Yes**	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	**12**		**No**
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	**13**	**Yes**	

Website address ▶ WWW.RBF.ORG

14 The books are in care of ▶ GERALDINE F WATSON Telephone no. ▶ (212) 812-4200

Located at ▶ 475 RIVERSIDE DRIVE NEW YORK NY ZIP+4 ▶ 10115

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ **15**

16	At any time during calendar year 2022, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	**16**	**Yes**	**No**
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶ BR CH CO DA GB HU ID IS KS MX NO PE PO SW TW AE UK			

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		**No**
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		**No**
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	**Yes**	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	**Yes**	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		**No**
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		**No**
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		**No**
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2022?	1d		**No**
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2022, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2022?	2a		**No**
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		**No**
b	If "Yes," did it have excess business holdings in 2022 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2022.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		**No**
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2022?	4b		**No**

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)	Yes	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)	Yes	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		No
c	Organizations relying on a current notice regarding disaster assistance check ▶ ☐			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	5d	Yes	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
DAVID ROCKEFELLER JR 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
VALERIE ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE, CHAIR (UNTIL JUNE 15, 2022) 5.00	0	0	0
JUSTIN ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
MIRANDA M KAISER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
AYAD AKHTAR 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
JOSEPH PIERSON 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE, CHAIR (EFFECTIVE JUNE 15. 22) 4.00	0	0	0
WILLIAM LEE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
DANIEL LEVY 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE (UNTIL JUNE 15, 2022) 1.50	0	0	0
PETER O'NEILL 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
HUGH LAWSON 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
MARNIE S PILLSBURY 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
JENNIFER NOLAN 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
RYAN CROCKER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE (UNTIL JUNE 15, 2022) 1.00	0	0	0
HEATHER MCGHEE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
WYATT ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
MICHAEL QUATTRONE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
FEDERICA MOGHERINI 476 RIVERSIDE DRIVE SUITE 900	TRUSTEE 1.00	0	0	0

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NEW YORK, NY 10115				
THEODORE SPENCER 477 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10116	TRUSTEE (EFFECTIVE JUNE 15, 2022) 1.00	0	0	0
PETER BEINART 478 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10117	TRUSTEE (EFFECTIVE JUNE 15, 2022) 1.00	0	0	0
STEPHEN B HEINTZ 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE, PRESIDENT & CEO 45.00	965,247	90,312	5,577
ELIZABETH C CAMPBELL 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	EXECUTIVE VP, PROGRAMS& COMMUNICATIONS 45.00	447,525	58,983	4,856
GERALDINE F WATSON 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	EXECUTIVE VP, FINANCE & OPERATIONS 45.00	468,835	80,585	4,855
NANCY L MUIRHEAD 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	COUNSEL & CORPORATE SECRETARY 45.00	305,740	80,001	4,402
JUDY A CLARK 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	EXECUTIVE DIRECTOR - POCANTICO CENTER 45.00	320,299	58,133	4,446
MARK ADIEDO - VP PEOPLE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	CULTURE/CHIEF DIVERSITY OFFICER 45.00	335,594	58,133	4,236

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL NORTHROP 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	306,300	75,793	4,674
SHENYU BELSKY 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	250,242	64,061	5,293
BENIGNO RODRIGUEZ-CUBENAS 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	253,223	55,573	4,376
KEESHA GASKINS-NATHAN 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	225,396	51,477	4,441
THOMAS KRUSE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10115	PROGRAM DIRECTOR 40.00	235,489	34,593	4,385
Total number of other employees paid over $50,000. . . . . ▶				42

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
YORKE CONSTRUCTION CORPORATION 140 WEST 31ST STREET NEW YORK,NY 10001	CONSTRUCTION MANAGEMENT	5,335,157
GREENROCK CORPORATION 200 LAKE ROAD SLEEPY HOLLOW,NY 10591	FACILITIES MAINTENANCE	3,590,133
AGILITY 767 FIFTH AVENUE NEW YORK,NY 10153	INVESTMENT MANAGEMENT	3,228,247
DP WOLFF INC 143 BEDFORD ROAD KATONAH,NY 10536	FACILITIES MAINTENANCE	1,079,863
VENTUCOM LLC 275 WEST 39TH STREET 4TH FL NEW YORK,NY 10018	IT SERVICES	593,104

Total number of others receiving over $50,000 for professional services. . . . . ▶

29

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE POCANTICO CENTER CAMPUS, HOUSED ON THE GROUNDS OF THE POCANTICO HISTORIC AREA, IS MANAGED BY THE ROCKEFELLER BROTHERS FUND AS PART OF ITS AGREEMENT WITH THE NATIONAL TRUST FOR HISTORIC PRESERVATION, ENTERED INTO IN 1991, UNDER WHICH THE FUND IS RESPONSIBLE FOR THE RENOVATION, MAINTENANCE, AND OPERATION OF THIS HISTORIC SITE. THE CAMPUS SERVES AS A COMMUNITY RESOURCE FOR ALL AGES, AND OFFERS PUBLIC ACCESS THROUGH A SLATE OF CONFERENCES, ARTIST RESIDENCIES, A VISITATION PROGRAM, MUSEUM, PERFORMANCES, EXHIBITIONS AND EDUCATIONAL PROGRAMS. DURING 2022, 34 PUBLIC PROGRAMS WERE HELD, AND MORE THAN 33,000 VISITORS CAME TO THE SITE, INCLUDING VISITS FOR PUBLIC PROGRAMS AND THE VISITATION PROGRAM, IN ADDITION TO CONFERENCES AND MEETINGS, ARTIST RESIDENCIES, AND A WIDE RANGE OF ACTIVITIES IN THE DAVID ROCKEFELLER CREATIVE ARTS CENTER (SEE STATEMENTS 24 AND 26).	8,620,238
2 A CONSTRUCTION PROJECT CENTERED AROUND THE REUSE OF THE ORANGERIE, BUILT BY JOHN D. ROCKEFELLER IN 1908 ON THE HISTORIC ROCKEFELLER FAMILY ESTATE AND BEQUEATHED TO THE NATIONAL TRUST FOR HISTORIC PRESERVATION IN 1979 BY NELSON ROCKEFELLER, CONTINUED DURING 2022. THE PROJECT TRANSFORMED THE LONG-DORMANT BUILDING INTO THE DAVID ROCKEFELLER CREATIVE ARTS CENTER, WHICH OPENED IN FALL OF 2022. THE DAVID ROCKEFELLER CREATIVE ARTS CENTER IS A SUSTAINABLE AND INCLUSIVE HOME FOR THE CREATIVE PROCESS AT THE POCANTICO CENTER, WHERE ARTISTS AND THE COMMUNITY COME TOGETHER TO DEVELOP, PRESENT, AND EXPERIENCE NEW WORKS OF PERFORMING, LITERARY, AND VISUAL ARTS. THE CENTER INCLUDES A GALLERY, PERFORMANCE SPACE, AND A FLEXIBLE ARTISTS' STUDIO. DURING 2022, THE INAUGURAL POCANTICO PRIZE FOR VISUAL ARTISTS WAS INITIATED, PAIRING A CASH PRIZE WITH A TWO-MONTH RESIDENCY.	6,744,559
3 DURING 2022, 11 MEMBERS OF THE STAFF OF ROCKEFELLER BROTHERS FUND PROVIDED TECHNICAL ASSISTANCE TO CHARITABLE ORGANIZATIONS, GOVERNMENTAL BODIES AND COMMITTEES, AND 17 MEMBERS OF THE STAFF SERVED ON 64 CHARITABLE ORGANIZATIONS' BOARDS, COMMITTEES, PUBLIC COMMISSIONS, OR TASK FORCES.	919,768
4 THE POCANTICO CENTER OF THE ROCKEFELLER BROTHERS FUND, LOCATED WITHIN THE POCANTICO HISTORIC AREA (SEE STATEMENT 23), BEGAN OPERATIONS IN APRIL OF 1994. THE POCANTICO CENTER IS A VENUE FOR CONFERENCES AND MEETINGS THAT MEET VARIOUS CRITERIA INCLUDING DIRECT AND STRONG RELATIONSHIP TO THE FUND'S PROGRAM OBJECTIVES, AND DISPLAY OF DIVERSITY OF PERSPECTIVES, RANGE OF OPINIONS AND BREADTH OF EXPERIENCE. THE POCANTICO CENTER ALSO HOSTS ARTIST RESIDENCIES AND PROVIDES PERFORMANCE SPACE FOR ARTISTS SELECTED BY THE FUND'S GRANTEE ORGANIZATION BASED IN NEW YORK CITY. THE POCANTICO CENTER HELD 46 CONFERENCES AND MEETINGS AND 10 ARTISTS' RESIDENCIES IN 2022.FOR MORE INFORMATION ON THE FUND'S ACTIVITIES, REFER TO THE FUND'S WEBSITE WWW.RBF.ORG, INCLUDING WWW.RBF.ORG/ABOUT/TRANSPARENCY/ANNUAL-REVIEWS_ FOR ITS ANNUAL REVIEW	496,609

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . . ▶	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	1,397,066,862
b	Average of monthly cash balances			1b	5,619,507
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	1,402,686,369
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	1,402,686,369
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)			4	21,040,296
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3			5	1,381,646,073
6	**Minimum investment return.** Enter 5% (0.05) of line 5			6	69,082,304

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6			1	69,082,304
2a	Tax on investment income for 2022 from Part V, line 5	2a	947,247		
b	Income tax for 2022. (This does not include the tax from Part V.)	2b	344,399		
c	Add lines 2a and 2b			2c	1,291,646
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	67,790,658
4	Recoveries of amounts treated as qualifying distributions			4	9,606
5	Add lines 3 and 4			5	67,800,264
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1			7	67,800,264

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	80,950,696
b	Program-related investments—total from Part VIII-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	8,921,901
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4	4	89,872,597

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2021	(c) 2021	(d) 2022
1 Distributable amount for 2022 from Part X, line 7				67,800,264
2 Undistributed income, if any, as of the end of 2022:				
a Enter amount for 2021 only.			0	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2022:				
a From 2017. 2,081,133				
b From 2018. 489,692				
c From 2019.				
d From 2020. 11,099,439				
e From 2021. 15,680,343				
f **Total** of lines 3a through e	29,350,607			
4 Qualifying distributions for 2022 from Part XI, line 4: ▶ $ 89,872,597				
a Applied to 2021, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2022 distributable amount				67,800,264
e Remaining amount distributed out of corpus	22,072,333			
5 Excess distributions carryover applied to 2022. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	51,422,940			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2021. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2022. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2023				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	2,884,185			
8 Excess distributions carryover from 2017 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2023.** Subtract lines 7 and 8 from line 6a	48,538,755			
10 Analysis of line 9:				
a Excess from 2018				
b Excess from 2019				
c Excess from 2020. . . . 10,786,079				
d Excess from 2021 15,680,343				
e Excess from 2022 22,072,333				

Part XIII **Private Operating Foundations** (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2022, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2022	**(b)** 2021	**(c)** 2020	**(d)** 2019	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

REFER TO WEBSITE HTTPSWWWRBFORGGRAN
475 RIVERSIDE DRIVE 900
NEW YORK,NY 10115
(212) 812-4200

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 30

c Any submission deadlines:

SEE STATEMENT 30

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENTS 30 AND 31

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a PUBLIC PROGRAMS					19,111
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	231,001	
4 Dividends and interest from securities	901101	15,252	14	5,275,775	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	895,186	
8 Gain or (loss) from sales of assets other than inventory	901101	378,557	18	45,165,484	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a TAX REFUNDS			01	1,584	
b UBI THROUGH K-1S	901101	1,720,876	14	-1,720,876	
c GRANT REFUNDS			01	9,606	
d FEDERAL AND STATE UBIT TAX CREDITS			01	493,601	
e					
12 Subtotal. Add columns (b), (d), and (e)		2,114,685		50,351,361	19,111
13 **Total.** Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)				13	52,485,157

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
1 A	THE POCANTICO CENTER SHARES THE 100-YEAR HISTORY OF KYKUIT, THE ROCKEFELLER FAMILY HOME, WITH THE PUBLIC, AND OFFERS PROGRAMS THAT REFLECT THE VALUES OF THE ROCKEFELLER BROTHERS FUND AND THE NATIONAL TRUST FOR HISTORIC PRESERVATION. PUBLIC PROGRAMS MAKE USE OF THE ESTATE'S BUILDINGS, GROUNDS, AND GARDENS TO NURTURE LEARNING AND ARTISTIC EXPERIENCES. THE POCANTICO CENTER OFFERS LEARNING EXPERIENCES UTILIZING THE COLLECTIONS AND GARDENS OF KYKUIT. A VARIETY OF OTHER LEARNING EXPERIENCES ARE PRESENTED THROUGH CLASSES AND WORKSHOPS CONDUCTED BY SCHOLARS AND EXPERTS. PARTICIPANTS OF ALL AGES ARE OFFERED A VARIETY OF EDUCATIONAL OPPORTUNITIES INCLUDING GARDENS, FINE ARTS, DECORATIVE ARTS, AND HISTORY. THE POCANTICO CENTER EXTENDS THE WORK OF THE FUND'S GRANTEES THROUGH THE POCANTICO ARTS COLLABORATIVE WHICH LINKS THE FUND'S CHARLES E. CULPEPER ARTS & CULTURE GRANTS WITH THE RESOURCES AND BEAUTY OF THE POCANTICO ESTATE. THE EVENTS SHOWCASE DANCE, MUSIC, THEATER AND MORE. SEE STATEMENT 32.

Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Signature of officer or trustee — Date 2023-11-07 — ▶ Title

May the IRS discuss this return with the preparer shown below? See instructions. ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶☐	PTIN
JOSEPH L ALI CPA		2023-11-07		P02093808

Firm's name ▶ PKF O'CONNOR DAVIES ADVISORY LLC — Firm's EIN ▶87-3231666

Firm's address ▶ 500 MAMARONECK AVENUE SUITE 301 HARRISON, NY 105281633 — Phone no. (914) 381-8900

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B

(Form 990)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2022

Name of the organization
ROCKEFELLER BROTHERS FUND INC

Employer identification number
13-1760106

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
ROCKEFELLER BROTHERS FUND INC

Employer identification number
13-1760106

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

Contributors

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CLIMATEWORKS FOUNDATION 235 MONTGOMERY ST 13TH FLOOR SAN FRANCISCO, C A 94104	$ 1,000,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
2	INGRID ROCKEFELLER 1651 NW 76TH TERRACE OCALA, FL 34482	$ 7,500	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
3	NATIONAL TRUST FOR HISTORIC PRESERVATION THE WATERGATE OFFICE BUILDING 2600 WASHINGTON, D C 20037	$ 565,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
4	O'NEILL FAMILY CHARITABLE TRUST 45 ROCKEFELLER PLAZA FLOOR 5 NEW YORK, N Y 10111	$ 155,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
5	ROCKEFELLER PHILANTHROPY ADVISORS 6 WEST 48TH STREET 10TH FLOOR NEW YORK, N Y 10036	$ 10,500	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
6	STEPHEN HEINTZ 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, N Y 10115	$ 5,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
ROCKEFELLER BROTHERS FUND INC

Employer identification number
13-1760106

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

Contributors

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	THE WILLIAM & FLORA HEWLETT FOUNDATION 2121 SAND HILL ROAD MENLO PARK, C A 94025	$ 3,600,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-			☐ Person ☐ Payroll

		$	☐ Noncash (Complete Part II for noncash contributions.)
(a) **No.**	**(b)** **Name, address, and ZIP + 4**	**(c)** **Total contributions**	**(d)** **Type of contribution**
.		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) **No.**	**(b)** **Name, address, and ZIP + 4**	**(c)** **Total contributions**	**(d)** **Type of contribution**
.		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) **No.**	**(b)** **Name, address, and ZIP + 4**	**(c)** **Total contributions**	**(d)** **Type of contribution**
.		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
(a) **No.**	**(b)** **Name, address, and ZIP + 4**	**(c)** **Total contributions**	**(d)** **Type of contribution**
.		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
ROCKEFELLER BROTHERS FUND INC

Employer identification number
13-1760106

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

Name of organization
ROCKEFELLER BROTHERS FUND INC

Employer identification number
13-1760106

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $ _____**

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Return to Form

Software ID:

Software Version:

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AUDIT AND TAX PREP. FEES AND AUDIT SUPPORT SERVICES	280,973	77,445		139,398
AUDIT SERVICES - 401K PLAN AUDIT	19,989	0		19,989
GLOBAL TAX SERVICES	8,717	0		8,717
OUTSOURCED ACCOUNTING FEES - CHINA OFFICE	22,952	0		22,952

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
CREATURA PROMOTORA DEL PENSAMIENTO CRITICO SOCIEDAD CIVIL	POPOCATEPETL 18 HIPODROMO CUAUHTEMO MEXICO CITY 06100 MX	2021-12-13	240,000	FOR ITS COLLABORATIVE FUNDING INITIATIVE TO STRENGTHEN CENTRAL AMERICAN CIVIL SOCIETY	191,000	NONE TO OUR KNOWLEDGE	6/8/2022, 4/12/2023		
DAILY PRESS DOO	TRG NEZAVISNOSTI BB PODGORICA 81000 MJ	2022-08-24	40,000	FOR ITS CHARITABLE PROJECT, AGAINST MISOGYNY AND SEXISM	26,620	NONE TO OUR KNOWLEDGE	3/23/2023		
FOUNDATION FOR MIDDLE EAST PEACE	2025 M STREET NW WASHINGTON, DC 20036	2022-04-04	50,000	FOR GENERAL SUPPORT	50,000	NONE TO OUR KNOWLEDGE	2/27/2023		
MATTIN GROUP	SQUARE BARON ALFRED BOUVIER 6/4 BRUSSELS 1060 BE	2021-09-01	60,000	FOR GENERAL SUPPORT	60,000	NONE TO OUR KNOWLEDGE	6/15/2022, 3/1/2023		
NEW YORK FOUNDATION	150 W 30TH STREET NEW YORK, NY 10001	2022-08-10	250,000	FOR ITS PROJECT, THE YOUTH ORGANIZING AND CULTURE CHANGE FUND	225,000	NONE TO OUR KNOWLEDGE	2/28/2023		
NEW YORK FOUNDATION	150 W 30TH STREET NEW YORK, NY 10001	2022-12-12	158,000	FOR ITS PROJECT, THE YOUTH ORGANIZING AND CULTURE CHANGE FUND	39,500	NONE TO OUR KNOWLEDGE	2/28/2023		
SHANGHAI ECOCYCLE CIRCULAR ECONOMY RESEARCH CENTER	ROOM 201 BUILDING 125 NO 501 XINNAN ROAD SHANGHAI, SONGJIANG DISTRIC 100027 CH	2022-05-09	120,000	FOR SUPPORTING CIRCULAR ECONOMY RESEARCH	70,718	NONE TO OUR KNOWLEDGE	2/11/2023		

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Identifier	Return Reference	Explanation
	FORM 990-PF, PART VI-A, LINE 11	THE FOLLOWING CONTROLLED ENTITIES ARE NOT EXCESS BUSINESS HOLDINGS:BRANDYWINE GLOBAL OPPORTUNISTIC FIXED INCOME SRI FUND1735 MARKET STREET, SUITE 1800PHILADELPHIA, PA 19103EIN# 82-3685022TRANSACTIONS: TRANSFER TO CONTROLLED ORGANIZATION: NONE TRANSFER FROM CONTROLLED ORGANIZATION: $8,082,790 DISTRIBUTION FROM LIMITED PARTNERSHIP
	FORM 990-PF, PART VII, LINE 1, COLUMN (C), COMPENSATION	ALL REPORTED COMPENSATION AMOUNTS INCLUDE SALARY, FEES, BONUSES, AND SEVERANCE PAYMENTS RECEIVED BY EACH INDIVIDUAL LISTED, AS WELL AS CURRENT YEAR PAYMENTS OF AMOUNTS REPORTED OR REPORTABLE AS DEFERRED COMPENSATION IN CURRENT OR PRIOR YEARS. AS A RESULT, THE AMOUNT REPORTED IN TOTAL ON PART VIII, LINE 1 MAY DIFFER FROM THE AMOUNT REPORTED ON PART I, LINE 13, COLUMN (A) WHICH WOULD NOT INCLUDE DEFERRED COMPENSATION REFLECTED PER BOOKS IN PRIOR YEARS.
DISTRIBUTIONS OUT OF CORPUS	PART XII, LINE 7	THE FOUNDATION RECEIVED CONTRIBUTIONS FROM OTHER PRIVATE FOUNDATIONS WHICH IT EXPENSED OR REDISTRIBUTED. IN ACCORDANCE WITH IRS REG. 53-4942(A)-3(D) THE FOUNDATION IS ELECTING TO TREAT $2,884,185 NOT AS A QUALIFYING DISTRIBUTION BUT AS A DISTRIBUTION OUT OF CORPUS.PURSUANT TO REG. 53.4942(A)-3(C)(2)(IV), THE FOUNDATION HEREBY ELECTS TO TREAT, AS A CURRENT CORPUS DISTRIBUTION, THE FOLLOWING UNUSED PRIOR TAX YEARS' DISTRIBUTIONS THAT WERE TREATED AS CORPUS DISTRIBUTIONS UNDER REG. 53.4942(A)-3(D)(1)(III) IN SUCH PRIOR TAX YEARS:TAX YEAR AMOUNT 2017 $2,081,133 2018 489,692 2020 313,360
	FORM 990-PF, PART XIV, LINE 2	PART XIV, LINE 2AGRANT INQUIRIES ARE ACCEPTED THROUGHOUT THE YEAR AT HTTPS://WWW.RBF.ORG/GRANTS/FOR-GRANT-SEEKERS. THE ONLINE GRANT INQUIRY IS THE STARTING POINT FOR APPLYING FOR A GRANT FROM THE ROCKEFELLER BROTHERS FUND. PART XIV, LINE 2BFOUNDED IN 1940, THE ROCKEFELLER BROTHERS FUND ADVANCES SOCIAL CHANGE THAT CONTRIBUTES TO A MORE JUST, SUSTAINABLE, AND PEACEFUL WORLD. THE FUND'S GRANTMAKING IS ORGANIZED AROUND THREE THEMATIC PROGRAMS DEMOCRATIC PRACTICE, SUSTAINABLE DEVELOPMENT, AND PEACEBUILDING THAT SUPPORT WORK IN THE UNITED STATES AND AT THE GLOBAL LEVEL, AND THREE PIVOTAL PLACE PROGRAMS THAT ADDRESS THESE THEMES IN SPECIFIC CONTEXTS: CHINA, THE WESTERN BALKANS, AND CENTRAL AMERICA. THE CHARLES E. CULPEPER ARTS & CULTURE PROGRAM NURTURES A VIBRANT AND INCLUSIVE ARTS COMMUNITY IN THE FUND'S HOME CITY OF NEW YORK.ROCKEFELLER BROTHERS FUND STAFF ARE HIGHLY ENGAGED IN THE FIELDS IN WHICH THEY PROVIDE SUPPORT. STAFF MEMBERS ACTIVELY IDENTIFY ORGANIZATIONS THAT ARE WELL-POSITIONED TO MEET PROGRAMMATIC GOALS. WHILE THE FUND REMAINS OPEN TO UNSOLICITED REQUESTS, APPLICANTS SHOULD BE AWARE THAT THE LIKELIHOOD OF AN UNSOLICITED REQUEST BECOMING A GRANT IS LOW. APPLICATIONS FOR FUNDING ARE CONSIDERED THROUGHOUT THE YEAR. THE FIRST STEP FOR PROSPECTIVE APPLICANTS IS TO SUBMIT AN ONLINE GRANT INQUIRY. THE REVIEW PROCESS MAY TAKE UP TO THREE MONTHS FROM THE TIME THE INQUIRY IS RECEIVED.STAFF REVIEW EACH INQUIRY FOR FIT WITHIN CURRENT PROGRAM GUIDELINES, FUNDING PRIORITIES, AND BUDGET CONSTRAINTS. IF THERE IS INTEREST IN CONSIDERING A GRANT, STAFF WILL CONTACT THE APPLICANT FOR ADDITIONAL INFORMATION, INCLUDING A DETAILED PROPOSAL. APPLICANTS SHOULD NOT SEND A FULL PROPOSAL UNLESS REQUESTED TO DO SO BY FUND STAFF. THE REVIEW PROCESS MAY TAKE UP TO THREE MONTHS FROM THE TIME THE INQUIRY IS RECEIVED.ONCE A GRANT IS APPROVED, GRANTEES WILL RECEIVE AN AGREEMENT LETTER FROM THE FUND OUTLINING THE TERMS OF THE GRANT, INCLUDING THE EXPECTED REPORTING REQUIREMENTS AND PAYMENT SCHEDULE. THE FIRST PAYMENT ON THE GRANT IS GENERALLY ISSUED UPON RETURN OF THE COUNTERSIGNED AWARD LETTER TO THE FUND.RBF STAFF MEMBERS MONITOR GRANTS THROUGHOUT THEIR LIFE CYCLE. EACH GRANTEE IS REQUIRED TO SUBMIT NARRATIVE AND FINANCIAL REPORTS AT SPECIFIED INTERVALS DURING THE GRANT AS WELL AS AT ITS CONCLUSION. GRANT REPORTS BECOME PART OF THE FUND'S PERMANENT RECORDS.PART XIV, LINE 2CTHE CHARLES E. CULPEPER ARTS & CULTURE PROGRAM HONORS THE LEGACY OF CHARLES E. CULPEPER BY SUPPORTING THE ARTISTS AND CULTURAL ORGANIZATIONS THAT MAKE NEW YORK CITY ONE OF THE WORLD'S MOST DYNAMIC CREATIVE CAPITALS. THESE GRANTS SUPPORT ARTISTS IN THE CREATIVE PROCESS; PROVIDE ARTIST RESIDENCIES; AND ELEVATE ARTS ADVOCACY EFFORTS, FIELD RESEARCH, CULTURAL-CIVIC MOVEMENTS, AND CULTURAL LEADERSHIP OPPORTUNITIES; AND PROMOTE DIVERSITY IN THE CITY'S CREATIVE LIFE.ELIGIBILITYORGANIZATIONS BASED WITHIN THE FIVE BOROUGHS OF NEW YORK CITYORGANIZATIONS WITH 501(C)(3) TAX STATUS OR PROJECTS OF SPONSORING ORGANIZATIONS WITH 501(C)(3) TAX STATUSGENERALLY, NO CAPITAL CAMPAIGNS, FESTIVALS, MEETINGS, CASH RESERVE FUNDS, OR ENDOWMENTSSUPPORT FOR ARTS EDUCATION HAS BEEN PHASED OUTPART XIV, LINE 2DTHE ROCKEFELLER BROTHERS FUND AWARDS GRANTS THROUGHOUT THE YEAR TO SUPPORT A VARIETY OF CHARITABLE PROJECTS IN THE UNITED STATES AND ABROAD THAT SEEK TO EXPAND KNOWLEDGE, CLARIFY VALUES AND CRITICAL CHOICES, NURTURE CREATIVE EXPRESSION, AND SHAPE PUBLIC POLICY.TO BE ELIGIBLE FOR A GRANT, ORGANIZATIONS SHOULD BE CONSIDERED TAX-EXEMPT OR SEEKING SUPPORT FOR A PROJECT THAT WOULD QUALIFY AS EDUCATIONAL OR CHARITABLE. THE FUND'S GRANTMAKING IS ORGANIZED AROUND THREE THEMATIC PROGRAMS AND THREE PLACE-BASED PROGRAMS. THE RBF AWARDS A LIMITED NUMBER OF PHILANTHROPIC STEWARDSHIP AND SPECIAL OPPORTUNITIES GRANTS THAT SUPPORT NONPROFIT INFRASTRUCTURE ORGANIZATIONS AND HELP TO FURTHER THE FUND'S MISSION. PLEASE SEE WHAT WE DO NOT FUND AT HTTPS://WWW.RBF.ORG/GRANTS/FOR-GRANT-SEEKERS.RBF PROGRAM STATEMENTTHE ROCKEFELLER BROTHERS FUND STRIVES TO BE BOLD, GROUNDED, INCLUSIVE, AND RESPONSIVE IN OUR GRANTMAKING TO ADVANCE THE FUND'S MISSION. WE DEPLOY THE FINANCIAL ASSETS GIVEN TO THE RBF BY THE ROCKEFELLER FAMILY AND OTHERS TO HELP OUR PARTNERS IN CIVIL SOCIETY

Identifier	Return Reference	Explanation
		STRENGTHEN COALITIONS, CONVENE PEOPLE OF DIVERSE BACKGROUNDS, ELEVATE NEW AND BROADER PERSPECTIVES, GENERATE NEW IDEAS, AND ADVANCE SYSTEMS CHANGE. WE OFFER SPACE FOR CONVENINGS AND ARTIST RESIDENCIES AT THE POCANTICO CENTER TO EXTEND OUR GRANTMAKING IMPACT. WE ALSO LEVERAGE THE FUND AND FAMILY LEGACY TO ACCESS, INFLUENCE, AND COLLABORATE WITH PHILANTHROPIC PEERS, POLICYMAKERS, AND OTHERS IN SERVICE OF GRANTEE WORK. OUR GRANTMAKING IS ORGANIZED INTO SEVEN PROGRAMS. THREE THEMATIC PROGRAMSDEMOCRATIC PRACTICE, SUSTAINABLE DEVELOPMENT, AND PEACEBUILDINGADDRESS THE INTERRELATED CHALLENGES OF DEMOCRATIC DECLINE, CLIMATE CHANGE, AND VIOLENT CONFLICT. THE CULPEPER ARTS & CULTURE PROGRAM, CREATED THROUGH THE 2008 MERGER WITH THE CHARLES E. CULPEPER FOUNDATION, SUPPORTS ARTS ORGANIZATIONS AND ARTISTS IN THE NEW YORK CITY REGION THAT SHAPE UNDERSTANDING OF THESE CRITICAL CONCERNS AND THE HUMAN EXPERIENCE. PIVOTAL PLACE PROGRAMS IN CHINA, CENTRAL AMERICA, AND THE WESTERN BALKANS FOCUS ON ONE OR MORE RBF THEMES IN A SPECIFIC GEOGRAPHIC CONTEXT THAT WE BELIEVE HAS DISPROPORTIONATE SIGNIFICANCE FOR THE FUTURE OF THE SURROUNDING REGIONS, AN ECOSYSTEM, OR THE WORLD. AS INTERDEPENDENCE AMONG STATES, COMMUNITIES, INDIVIDUALS, ECOSYSTEMS, AND ISSUES ACCELERATES, DEVELOPMENTS IN ANY OF THESE PROGRAM AREAS HAVE IMPLICATIONS FOR THE OTHERS. RECOGNIZING THAT THE PROBLEMS THE RBF AIMS TO ADDRESS ARE PROFOUND AND OUR RESOURCES ARE MODEST, OUR GRANTMAKING PROGRAMS SHARE COMMON APPROACHES TO ACHIEVE ENDURING IMPACT:NURTURE BOLD IDEAS FROM THE GROUND UP. PROGRESS REQUIRES REMEDYING ROOT CAUSES WHILE PRESERVING PAST GAINS. WE FOCUS OUR GRANTMAKING ON MOVEMENT BUILDING AND POLICY DEVELOPMENT TO HELP GRANTEES TRANSFORM SYSTEMS AND CREATE LASTING SOLUTIONS TO PERSISTENT CHALLENGES.SUPPORT SOLUTIONS GROUNDED IN EVIDENCE AND LIVED EXPERIENCE. ENTRENCHED PROBLEMS CALL FOR GRASSROOTS ENGAGEMENT AND POLICYMAKER ACTION. WE WORK WITH EMERGING AND ESTABLISHED THOUGHT LEADERS AND COMMUNITY ADVOCATES, PROVIDING RESOURCES FOR THEIR ORGANIZATIONS TO EXPERIMENT WITH NEW APPROACHES, EXPAND CAPACITY, DEVELOP POLICY IDEAS, AND FOSTER COLLABORATION TO MEET SHARED GOALS. ADVANCE EQUITY AND INCLUSION. POWER IS ALWAYS CONTESTED IN THE STRUGGLE FOR SOCIAL PROGRESS. WE ARE MINDFUL OF OUR CONTINUING PRIVILEGE AS A U.S. PHILANTHROPIC FOUNDATION AND WORK TO ENSURE THAT OUR GRANTS INCLUDE SUPPORT FOR MARGINALIZED OR EXCLUDED GROUPS WORKING TO BUILD POWER AND PURSUE JUSTICE.RESPOND TO CHANGING CONTEXTS. TRANSFORMATIVE SOCIAL CHANGE CAN TAKE YEARS OR EVEN DECADES. WE STAND BY OUR COMMITMENTS TO AMBITIOUS, LONG-TERM GOALS BUT WE LISTEN TO OUR PARTNERS AND REMAIN NIMBLE TO TAKE UP NEAR-TERM OPPORTUNITIES THAT COULD HAVE CATALYTIC IMPACT. EACH PROGRAM HAS DISTINCT GUIDELINES THAT SUMMARIZE OUR UNDERSTANDING OF THE FIELD, ADAPT DIMENSIONS OF OUR APPROACH TO CONTEXT, AND PRESENT OUR PRIORITIES FOR FUNDING. GRANT SEEKERS SHOULD STUDY THE PROGRAM GUIDELINES CLOSELY BEFORE APPLYING.RBF PROGRAMSDEMOCRATIC PRACTICE PROGRAM GUIDELINESFOR DEMOCRACY TO FLOURISH AND DELIVER ON ITS PROMISESINCLUDING POLITICAL PARTICIPATION, HUMAN RIGHTS, ACCESS TO JUSTICE, A GOOD EDUCATION, AN IMPROVED QUALITY OF LIFE, A HEALTHY ENVIRONMENT, AND PERSONAL SECURITYITS CITIZENS MUST BE INFORMED, ENGAGED, EMPOWERED, AND ASSERTIVE. SIMILARLY, INSTITUTIONS OF GOVERNANCE MUST BE INCLUSIVE, TRANSPARENT, ACCOUNTABLE, AND RESPONSIVE.THE FREQUENT FAILURE OF BOTH NEW AND ESTABLISHED DEMOCRACIES TO DELIVER ON THEIR PROMISES UNDERMINES THE COMMITMENT TO DEMOCRATIC PRACTICES. WEALTHY AND POWERFUL ACTORS EXERCISE UNDUE INFLUENCE, AND VOICES THAT HISTORICALLY HAVE BEEN EXCLUDED REMAIN UNHEARD IN DECISION-MAKING PROCESSES.THE UNITED STATES CONTINUES TO FACE A NUMBER OF DEMOCRATIC DEFICITS: A DECLINE IN MANY TRADITIONAL FORMS OF CIVIC ENGAGEMENT; REDUCED PARTICIPATION IN THE FORMAL INSTITUTIONS OF DEMOCRACY, INCLUDING BUT NOT LIMITED TO VOTING; AND DECLINING TRUST IN ALL INSTITUTIONS, ESPECIALLY INSTITUTIONS OF GOVERNMENT. THESE DEFICITS ARE BEING EXACERBATED BY DEEPLY ROOTED ECONOMIC INEQUALITY, AND AMERICAN SOCIETY IS BECOMING INCREASINGLY POLARIZED, SOCIALLY, ECONOMICALLY, AND POLITICALLY.AT THE SAME TIME, THE PROCESS OF GLOBALIZATION HAS SIMILARLY PRODUCED DEMOCRATIC DEFICITS IN GLOBAL GOVERNANCE. GLOBAL POWER AND WEALTH INEQUITIES HAVE DEEPENED, WHILE THE SIGNIFICANCE OF DECISIONS MADE BY TRANSNATIONAL INSTITUTIONS SUCH AS MULTILATERAL ORGANIZATIONS, MULTINATIONAL CORPORATIONS, AND INTERNATIONAL FINANCIAL INSTITUTIONS HAS INCREASED. IN THIS PATCHWORK OF INSTITUTIONS AND PRACTICES, GLOBAL GOVERNANCE DECISIONS ARE MADE WITH INADEQUATE INCLUSIVENESS, ACCOUNTABILITY, AND TRANSPARENCY, OFTEN PRE-EMPTING OR DISTORTING LEGITIMATE NATIONAL AND LOCAL DECISION-MAKING PROCESSES.THE FUND'S DEMOCRATIC PRACTICE PROGRAM HAS TWO PARTS: ADVANCING A VIBRANT AND INCLUSIVE DEMOCRACY IN THE UNITED STATES AND STRENGTHENING DEMOCRATIC PRACTICE IN GLOBAL GOVERNANCE. BASED ON A CAREFUL ASSESSMENT OF LOCAL NEEDS AND PRIORITIES, THE FUND ALSO PURSUES ONE OR MORE OF THE DEMOCRATIC PRINCIPLES UNDERLYING THE PROGRAM IN ITS "PIVOTAL PLACES." RECOGNIZING THAT THERE IS NO SINGLE MODEL OF EFFECTIVE DEMOCRATIC PRACTICE, THE FUND EMPHASIZES FLEXIBILITY AND ADAPTABILITY TO DIFFERENT CONTEXTS IN THESE PIVOTAL PLACES.DEMOCRACY IN THE UNITED STATESDEMOCRACY IN THE UNITED STATES IS FACING MYRIAD C
	FORM 990-PF, PART XIV, LINE 2D, CONTINUED	THE FUND FOCUSES ON GUATEMALA, EL SALVADOR, AND HONDURAS, A SUBREGION THAT SHARES AN INTERDEPENDENT HISTORY AND ECOSYSTEM AND THAT WILL BENEFIT BY TAKING A REGIONAL APPROACH TO ITS MOST PRESSING ISSUES AND IMPORTANT INTERNATIONAL ENGAGEMENTS. THE FUND ENDORSES A DEEPER, CROSS-SECTORAL, BILATERAL RELATIONSHIP BETWEEN CENTRAL AMERICA AND THE UNITED STATES. THE REGION'S RICH ETHNIC, GENDER, AGE, CULTURAL, AND RACIAL DIVERSITY IS THE BASIS OF THE DYNAMISM NEEDED TO ADDRESS CONTEMPORARY CHALLENGES AND FIND INNOVATIVE SOLUTIONS, INCLUDING SOCIAL JUSTICE INITIATIVES ROOTED IN THE REGION'S ARTISTIC COMMUNITY. THE FUND SUPPORTS THE EFFORTS OF INCLUSIVE CIVIL SOCIETY GROUPS, INDEPENDENT MEDIA, SOCIAL MOVEMENTS, MULTILATERAL INSTITUTIONS, AND OTHER PARTNERS TO STRENGTHEN DEMOCRATIC PRACTICE, ADVANCE RECONCILIATION AND PEACE, AND PROMOTE SUSTAINABLE AND JUST RESOURCE USE. GOAL: ADVANCE A DEMOCRATIC, INCLUSIVE, AND SUSTAINABLE CENTRAL

Identifier	Return Reference	Explanation
		AMERICA. STRATEGIES:-FOSTERING TRANSPARENCY AND ANTI-IMPUNITY EFFORTS TO BOLSTER THE RULE OF LAW AND DEMOCRATIC GOVERNANCE.-STRENGTHENING CONSTITUENCIES TO ADVANCE DEMOCRATIC CULTURE AND EQUITABLE CIVIC PARTICIPATION. -PROMOTING TRANSITIONAL JUSTICE, RECONCILIATION, AND INNOVATIVE APPROACHES TO CONFLICT TRANSFORMATION. -SUPPORTING SUSTAINABLE DEVELOPMENT AND CLIMATE CHANGE INITIATIVES, ESPECIALLY REGARDING SOIL RESILIENCE AND WATER SECURITY. THE FUND PRIORITIZES SUPPORT FOR ORGANIZATIONS IN CENTRAL AMERICA.
	PART XV-B, PUBLIC PROGRAMS, CONTINUED	OPENED IN FALL 2022, THE DAVID ROCKEFELLER CREATIVE ARTS CENTER (DR CENTER) IS A SUSTAINABLE AND INCLUSIVE HOME FOR THE CREATIVE PROCESS AT THE POCANTICO CENTER AND INCLUDES A GALLERY, PERFORMANCE SPACE, AND FLEXIBLE ARTISTS' STUDIO, HOUSED IN JOHN D. ROCKEFELLER'S HISTORIC ORANGERIE. THE OPENING OF THE DR CENTER HAS INCREASED THE ANNUAL PERFORMANCE SERIES, OFFERED YEAR-ROUND. THE DR CENTER ALSO HOSTS OPEN REHEARSALS, FORUMS, LECTURES, WORKSHOPS, AND OTHER PUBLIC PROGRAMS FOR THE COMMUNITY. THE GALLERY AT THE DR CENTER PRESENTS ROTATING EXHIBITIONS OF VISUAL ART DRAWN FROM THE PERMANENT COLLECTION AT POCANTICO, AS WELL AS NEW COMMISSIONS, LOANS, AND MUSEUM PARTNERSHIPS. FOR MORE INFORMATION ON THE FUND'S ACTIVITIES, REFER TO THE FUND'S WEBSITE WWW.RBF.ORG, INCLUDING WWW.RBF.ORG/ABOUT/TRANSPARENCY/ANNUAL-REVIEWS_ FOR ITS ANNUAL REVIEW
MISSION-ALIGNED-INVESTING	PART II, LINES 10A, B, C AND 13	GIVEN THE ENORMOUS AND COMPLEX CHALLENGES FACING TODAY'S INCREASINGLY INTERDEPENDENT WORLD, THE FUND HAS WORKED OVER THE LAST DECADE TO LEVERAGE 95 PERCENT OF ITS FINANCIAL PORTFOLIO TO ADVANCE ITS MISSION TO HELP BUILD A MORE JUST, SUSTAINABLE, AND PEACEFUL WORLD THROUGH MISSION-ALIGNED INVESTING. A RIGOROUS INVESTMENT POLICY ENABLES THE FUND TO ACHIEVE ITS LONG-TERM FINANCIAL OBJECTIVES WHILE ALIGNING ITS INVESTMENTS WITH ITS PROGRAMMATIC PRIORITIES.THE JOURNEY TO ALIGN OUR ENDOWMENT WITH OUR MISSION HAS BEEN AN ITERATIVE ONE, AS WE HAVE SOUGHT TO EMBED OUR PRIORITIES THROUGHOUT THE INVESTMENT PORTFOLIO. THESE EFFORTS, IN PARTNERSHIP WITH OUR INVESTMENT COMMITTEE AND AGILITY, INCLUDE:DIVESTING FROM INVESTMENTS IN FOSSIL FUELS;ADOPTING AN ESG LENS;IMPACT INVESTING;INCREASING DIVERSITY AMONG ITS INVESTMENT MANAGERS;EXERCISING ACTIVE OWNERSHIP; ANDLEVERAGING RBF'S INFLUENCE TO BUILD THE FIELD OF MISSION-ALIGNED INVESTING.A DISTINGUISHING CHARACTERISTIC OF THE RBF'S MISSION-ALIGNED INVESTMENT STRATEGY IS THE REQUIREMENT THAT ALL OUR INVESTMENTS MEET MARKET-RATE RETURN AND RISK ATTRIBUTES. THE PURSUIT OF MARKET-RATE MISSION-ALIGNED INVESTMENTS AT THE RBF IS MEANT TO SUPPORT THE IDEA THAT WHAT IS GOOD FOR PEOPLE AND PLANET CAN ALSO BE GOOD FOR THE BOTTOM LINE.WE HAVE BENEFITED FROM THE LEARNING OF FELLOW PHILANTHROPIC ASSET OWNERS, MISSION-ALIGNED INVESTING NETWORKS, AND SUPPORTIVE ASSET MANAGERS AND WE UNDERSTAND THE VALUE OF SHARED LESSONS TO SUPPORT AND ADVANCE THE BROADER FIELD OF MISSION-ALIGNED INVESTING. THE RBF HAS WORKED TO BE AS TRANSPARENT AS POSSIBLE ABOUT OUR MISSION-ALIGNED INVESTING STRATEGIES, DECISIONS, MOTIVATIONS, AND DEFINITIONS, AS WELL AS OUR RESULTS, TO PROVIDE PRACTICAL INFORMATION FOR OTHER INVESTORS.IN 2020, WE RELEASED INVESTING IN OUR MISSION, A CASE STUDY DETAILING HOW THE FUND'S INVESTMENT RETURNS BEAT MARKET BENCHMARKS SINCE DIVESTING FROM FOSSIL FUELS IN 2014. THAT PUBLICATION OUTLINED OUR JOURNEY TOWARD DIVESTMENT, THE CHALLENGES WE FACED, AND THE PROGRESS WE ACHIEVED.THE NEW REPORT, MISSION ALIGNED INVESTING: HOW WE ASSESS OUR PROGRESS, FOCUSES ON IMPACT AND ESG INVESTING TO SHARE HOW OUR INVESTMENT TEAM THINKS ABOUT THE NON-FINANCIAL RETURNS FROM OUR PORTFOLIO. IMPACT AND ESG INVESTMENT METRICS REMAIN A WORK IN PROGRESS ACROSS THE INDUSTRY. OVER TIME, AGILITY AND THE RBF HAVE ADOPTED AN INCREASINGLY MORE COMPREHENSIVE LENS TO ASSESS THE NON-FINANCIAL OUTCOMES OF OUR MISSION-ALIGNED INVESTMENTS. THE REPORT DETAILS THE RBF'S 2021 TRANSITION TO A MULTI-PRONGED APPROACH TO IMPACT AND ESG METRICS, INCLUDING:CONSIDERING BOTH QUALITATIVE AND QUANTITATIVE DATA, RECOGNIZING THAT IMPACTS CAN BE POSITIVE OR NEGATIVE.USING THE GLOBAL IMPACT INVESTING NETWORK (GIIN) IRIS+ CATALOG OF GENERALLY ACCEPTED IMPACT PERFORMANCE METRICS TO MEASURE SOCIAL, ENVIRONMENTAL, AND FINANCIAL SUCCESS.ADOPTING THE UNITED NATION'S 17 SUSTAINABLE DEVELOPMENT GOALS (SDGS), WHICH MANY HAVE SEEN AS AN INDUSTRY STANDARD, AS A METHOD FOR CATEGORIZING ESG AND IMPACT GOALS.ACTIVELY ENGAGING WITH INDUSTRY COALITIONS AND EFFORTS TO FURTHER STANDARDIZE ESG AND IMPACT METRICS.ALIGNING OUR ENDOWMENT WITH OUR MISSION EXTENDS BEYOND THE INVESTMENTS IN THE PORTFOLIO TO OTHER STEPS WE, AS INVESTORS, CAN TAKE TO INFLUENCE CORPORATIONS, FUND MANAGERS, AND OTHER ASSET OWNERS. THE REPORT ALSO DETAILS OUR FOCUS AREAS FOR INTENDED IMPACT, AREAS OF GROWTH, AND SHAREHOLDER ENGAGEMENT ACTIVITIES. THE RBF DEVELOPED AND IMPLEMENTED REVISED PROXY VOTING GUIDELINES IN 2017 AND PARTNERS WITH AGILITY AND INSTITUTIONAL SHAREHOLDER SERVICES, INC., IN THE IMPLEMENTATION AND SUBSEQUENT REPORTING PHASES OF SHAREHOLDER ENGAGEMENT AND PROXY VOTING. THE RBF EXERCISED ITS PROXY VOTES ON A RANGE OF ISSUES IN 2021, INCLUDING HUMAN RIGHTS, WORKPLACE AND BOARD DIVERSITY, SUSTAINABILITY AND CLIMATE CHANGE, AND OTHERS. THE REPORT SHOWS HOW RBF PROXY VOTING REFLECTS AND BUILDS ON LARGER TRENDS IN SHAREHOLDER ENGAGEMENT OVER THE LAST SEVERAL YEARS.GIVEN THE ENORMOUS AND COMPLEX CHALLENGES FACING TODAY'S INCREASINGLY INTERDEPENDENT WORLD, THE FUND HAS WORKED OVER THE LAST DECADE TO LEVERAGE 95 PERCENT OF ITS FINANCIAL PORTFOLIO TO ADVANCE ITS MISSION TO HELP BUILD A MORE JUST, SUSTAINABLE, AND PEACEFUL WORLD THROUGH MISSION-ALIGNED INVESTING. A RIGOROUS INVESTMENT POLICY ENABLES THE FUND TO ACHIEVE ITS LONG-TERM FINANCIAL OBJECTIVES WHILE ALIGNING ITS INVESTMENTS WITH ITS PROGRAMMATIC PRIORITIES.REFER TO OUR WEBSITE FOR FURTHER MORE DETAILED INFORMATION HTTPS://WWW.RBF.ORG/MISSION-ALIGNED-INVESTING/FINANCE

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Name of Bond	End of Year Book Value	End of Year Fair Market Value
HSBC HOLDINGS PLC	108,394	108,394
HSBC HOLDINGS PLC	82,127	82,127
MITSUBISHI UFJ FINANCIAL GROUP	125,490	125,490
MITSUBISHI UFJ FINANCIAL GROUP	91,143	91,143
MITSUBISHI UFJ FINANCIAL GROUP	66,107	66,107
MIZUHO FINANCIAL GROUP INC	104,668	104,668
NATWEST MARKETS PLC 144A	86,944	86,944
SUMITOMO MITSUI FINANCIAL GROU	65,399	65,399
SUMITOMO MITSUI TRUST BAN 144A	133,698	133,698
TORONTO-DOMINION BANK/THE	57,969	57,969
TRANSCANADA PIPELINES LTD	111,090	111,090
TSMC GLOBAL LTD 144A	90,347	90,347
TSMC GLOBAL LTD 144A	134,552	134,552
UBS AG/LONDON 144A	102,144	102,144
UBS GROUP AG 144A	68,916	68,916
UBS GROUP AG 144A	53,796	53,796
BANK OF AMERICA CORP	25,695	25,695
BANK OF AMERICA CORP	9,954	9,954
BANK OF AMERICA CORP	93,780	93,780
GOLDMAN SACHS GROUP INC/THE	50,075	50,075
JPMORGAN CHASE & CO	29,775	29,775
JPMORGAN CHASE & CO	464,220	464,220
MORGAN STANLEY	53,095	53,095
MORGAN STANLEY	30,198	30,198
MORGAN STANLEY	127,660	127,660
MORGAN STANLEY	80,004	80,004
MORGAN STANLEY	50,005	50,005
MORGAN STANLEY	39,824	39,824
MORGAN STANLEY	32,984	32,984
MORGAN STANLEY BANK NA	47,858	47,858
AERCAP IRELAND CAPITAL DAC / A	83,170	83,170
AGREE LP	102,635	102,635
ALASKA AIRLINES 2020-1 CL 144A	28,121	28,121
ALLY FINANCIAL INC	93,865	93,865
AMEREN CORP	70,562	70,562
AMERICAN EXPRESS CO	114,398	114,398
AMERICAN HONDA FINANCE CORP	97,189	97,189
AMERICREDIT AUTOMOBILE RE 2 A3	117,781	117,781
AMERICREDIT AUTOMOBILE RE 1 A2	65,898	65,898
AMERIPRISE FINANCIAL INC	287,655	287,655
ARBOR MULTIFAMILY MF3 A2 144A	257,932	257,932
ATHENE GLOBAL FUNDING 144A	152,298	152,298
AVIS BUDGET RENTAL C 2A A 144A	98,312	98,312
AVIS BUDGET RENTAL C 1A A 144A	117,673	117,673
AVIS BUDGET RENTAL C 3A A 144A	244,280	244,280
AVIS BUDGET RENTAL C 5A A 144A	259,582	259,582
BAT CAPITAL CORP	139,187	139,187
BAT INTERNATIONAL FINANCE PLC	155,062	155,062
BBCMS 2018-TALL MO TALL A 144A	78,516	78,516
BX TRUST 2021-ARIA ARIA A 144A	61,821	61,821
BANK OF AMERICA CORP	88,505	88,505
BANK OF AMERICA CORP	100,814	100,814
BANK OF AMERICA CORP	75,602	75,602
BANK OF MONTREAL	144,500	144,500
BANK OF NOVA SCOTIA/THE	75,629	75,629
BANK OF NOVA SCOTIA/THE	53,484	53,484
BANK 2020-BNK26 BN26 A1	22,620	22,620
BARCLAYS PLC	104,738	104,738
BAXTER INTERNATIONAL INC	110,960	110,960
BOEING CO/THE	231,965	231,965
BOEING CO/THE	45,478	45,478
BROADCOM INC	184,868	184,868
CNH INDUSTRIAL CAPITAL LLC	122,865	122,865
CNH INDUSTRIAL CAPITAL LLC	83,933	83,933
CSAIL 2020-C19 COMMERCI C19 A1	45,934	45,934
CVS HEALTH CORP	142,550	142,550
CVS HEALTH CORP	84,775	84,775
CANADIAN PACIFIC RAILWAY CO	98,164	98,164
CAPITAL ONE PRIME AUTO RE 1 A3	227,525	227,525
CARMAX AUTO OWNER TRUST 3 A2A	38,800	38,800
CARMAX AUTO OWNER TRUST 2 2 A3	126,435	126,435
CELANESE US HOLDINGS LLC	108,880	108,880
CELANESE US HOLDINGS LLC	110,009	110,009
CITIGROUP INC	269,502	269,502
CITIGROUP INC	74,880	74,880
CITIGROUP INC	99,873	99,873
CITIGROUP COMMERCIAL M GC46 A1	29,608	29,608
CONSOLIDATED EDISON INC	120,026	120,026
CREDIT SUISSE AG/NEW YORK NY	73,818	73,818
DELTA AIR LINES 2019-1 CLASS A	136,469	136,469
DISCOVER CARD EXECUTION N A2 A	149,873	149,873
ENTERPRISE FLEET FUN 1 A2 144A	162,757	162,757
ENTERPRISE FLEET FIN 1 A2 144A	81,577	81,577
EQUITABLE FINANCIAL LIFE 144A	90,752	90,752
CONSTELLATION ENERGY GENERATIO	134,029	134,029
FEDERAL REALTY OP LP	83,782	83,782
FORD MOTOR CREDIT CO LLC	161,020	161,020
FORD MOTOR CREDIT CO LLC	71,729	71,729
GA GLOBAL FUNDING TRUST 144A	48,882	48,882
GA GLOBAL FUNDING TRUST 144A	141,696	141,696
GS MORTGAGE SECURITIES GC12 A3	154,028	154,028
GM FINANCIAL CONSUMER AUT 2 A3	82,349	82,349
GM FINANCIAL CONSUMER AUT 3 A4	156,262	156,262
HALEON US CAPITAL LLC	116,548	116,548
GENERAL MOTORS FINANCIAL CO IN	99,632	99,632
GENERAL MOTORS FINANCIAL CO IN	118,197	118,197
GOLDMAN SACHS GROUP INC/THE	88,357	88,357
GREAT WOLF TRUST 2 WOLF A 144A	111,671	111,671
HCA INC	94,516	94,516
HCA INC 144A	159,584	159,584
HERTZ VEHICLE FINANC 1A A 144A	87,803	87,803
HERTZ VEHICLE FINANC 2A A 144A	91,504	91,504
HIGHMARK INC 144A	96,795	96,795
HYUNDAI AUTO RECEIVABLES B A3	142,061	142,061
JPMORGAN CHASE & CO	118,901	118,901
JPMORGAN CHASE & CO	78,498	78,498
JPMORGAN CHASE & CO	136,420	136,420
JPMORGAN CHASE & CO	88,567	88,567
JPMORGAN CHASE & CO	166,114	166,114
JACKSON NATIONAL LIFE GLO 144A	149,013	149,013
JACKSON NATIONAL LIFE GLO 144A	46,437	46,437
KEYBANK NA/CLEVELAND OH	123,464	123,464
LOWE'S COS INC	78,868	78,868
MPLX LP	142,819	142,819
WARNERMEDIA HOLDINGS INC 144A	225,703	225,703
MARATHON PETROLEUM CORP	93,617	93,617
MET TOWER GLOBAL FUNDING 144A	130,502	130,502
MORGAN STANLEY CAPITAL I L5 A2	140,867	140,867
MORGAN STANLEY	209,243	209,243
MORGAN STANLEY	80,962	80,962
MORGAN STANLEY	76,667	76,667
NEXTERA ENERGY CAPITAL HOLDING	206,810	206,810
NISOURCE INC	117,302	117,302
NISSAN AUTO RECEIVABLES 2 B A3	203,452	203,452
NORTHROP GRUMMAN CORP	107,195	107,195
NORTHWESTERN MUTUAL GLOBA 144A	92,992	92,992
WELLS FARGO & CO	110,782	110,782
PACIFIC LIFE GLOBAL FUNDI 144A	136,092	136,092
PHILLIPS 66	152,544	152,544
PRINCIPAL LIFE GLOBAL FUN 144A	77,366	77,366
PRINCIPAL LIFE GLOBAL FUN 144A	125,893	125,893
PROTECTIVE LIFE GLOBAL FU 144A	136,073	136,073
PUBLIC SERVICE ELECTRIC AND GA	108,717	108,717
CITIZENS BANK NA/PROVIDENCE RI	200,429	200,429
SANTANDER DRIVE AUTO RECE 1 A3	172,386	172,386
SEALED AIR CORP 144A	64,847	64,847
SERVICE PROPERTIES TRUST	128,646	128,646
SKYWORKS SOLUTIONS INC	79,249	79,249
SPRINT SPECTRUM CO LLC / 144A	207,142	207,142
TSMC ARIZONA CORP	62,532	62,532
3650R 2021-PF1 COMMERCI PF1 A1	193,191	193,191
TOYOTA AUTO RECEIVABLES 2 C A3	92,734	92,734
TRICON AMERICAN HO SFR1 A 144A	117,057	117,057
USAA AUTO OWNER TRUS A A3 144A	169,944	169,944
UPMC	321,878	321,878
UNIFY AUTO RECEIVAB 1A A3 144A	55,151	55,151
UNITED AIRLINES 2014-1 CLASS A	95,787	95,787
UNITEDHEALTH GROUP INC	82,311	82,311
UNITEDHEALTH GROUP INC	87,029	87,029
VENTAS REALTY LP	217,819	217,819
VERIZON MASTER TRUST 3 A	186,270	186,270
VIRGINIA ELECTRIC AND POWER CO	81,156	81,156
VMWARE INC	139,635	139,635
WEC ENERGY GROUP INC	84,816	84,816
WELLS FARGO & CO	69,542	69,542
WESTERN UNION CO/THE	100,775	100,775
WESTINGHOUSE AIR BRAKE TECHNOL	70,664	70,664
WORLD OMNI AUTOMOBILE LEA A A3	48,933	48,933

TY 2022 IRS 990 e-File Render

Name:	ROCKEFELLER BROTHERS FUND INC
EIN:	13-1760106
US Government Securities - End of Year Book Value:	11,841,029
US Government Securities - End of Year Fair Market Value:	11,841,029
State & Local Government Securities - End of Year Book Value:	0
State & Local Government Securities - End of Year Fair Market Value:	0

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AEOLUS PROPERTY CATASTROPHE KEYSTONE PF FUND	FMV	1,580,236	1,580,236
AGILITY - GLOBAL ESG INTEGRATION	FMV	76,924,985	76,924,985
ARROWPOINT INCOME OPPORTUNITY FUND QP, LP	FMV	20,470,643	20,470,643
ARROWPOINT GLOBAL OPPORTUNITY FUND, LTD.	FMV	90,921	90,921
BEACH POINT DYNAMIC INCOME OFFSHORE FUND LTD.	FMV	5,417,601	5,417,601
BAKER BROTHERS HCIF OFFSHORE LP	FMV	10,056,658	10,056,658
BRANDYWINE GLOBAL OPPORTUNISTIC SRI FUND	FMV	15,176,180	15,176,180
CANTILLON GLOBAL EQUITY L.P.	FMV	75,840,460	75,840,460
CERBERUS GLOBAL RESIDENTIAL LOAN STRATEGY FUND LP	FMV	8,730,045	8,730,045
COATUE TACTICAL SOLUTIONS CT FUND B LP	FMV	372,826	372,826
COATUE STRUCTURED FUND LP	FMV	3,300,940	3,300,940
DCIG CAPITAL LTD	FMV	25,288,017	25,288,017
EAM LONG-ONLY EMERGING MARKETS FEEDER FUND LTD.	FMV	14,357,094	14,357,094
ECHO STREET GOODCO SELECT II LP	FMV	29,043,349	29,043,349
FOSSE CAPITAL FUND LIMITED	FMV	18,527,293	18,527,293
ELEVAR EQUITY III, L.P.	FMV	36,795,034	36,795,034
FARALLON CAPITAL F5 INVESTORS I, L.P.	FMV	33,979,813	33,979,813
GENERATION IM GLOBAL EQUITY FUND LLC	FMV	94,692,597	94,692,597
HG VORA OPPORTUNISTIC CREDIT FUND II	FMV	4,101,741	4,101,741
HG VORA SPECIAL OPPORTUNITIES FUND LTD	FMV	17,549,966	17,549,966
HUDSON BAY INTL FUND LTD	FMV	44,970,949	44,970,949
NUVEEN ESG LARGE CAP VALUE ETF	FMV	8,742,191	8,742,191
SCULPTOR CREDIT OPPORTUNITIES OVERSEAS FUND, LP	FMV	92,689	92,689
PFM HEALTHCARE OFFSHORE FUND LTD	FMV	20,246,123	20,246,123
PFM BIOTECH OPPORTUNITIES LP	FMV	2,517,447	2,517,447
SHERIDAN SQUARE FUND, L.P.	FMV	18,153,362	18,153,362
TENSILE CAPITAL PARTNERS	FMV	31,448,816	31,448,816
TURNER MULTIFAMILY IMPACT FUND LP	FMV	359,952	359,952
TWO CREEKS CAPITAL OFFSHORE FUND LTD	FMV	22,180,498	22,180,498
COLCHESTER GLOBAL BOND FUND	FMV	9,600,874	9,600,874
ISHANA CAPITAL OFFSHORE FUND	FMV	967,930	967,930
HAZELVIEW GLOBAL REAL ESTATE CORE FUND, L.P.	FMV	12,425,644	12,425,644
VANECK AGRIBUSINESS ETF	FMV	19,034,076	19,034,076
VANGUARD GLOBAL EX-U.S. REAL ESTATE ETF	FMV	2,606,652	2,606,652
SPDR GOLD SHARES ETF	FMV	10,986,904	10,986,904
VANGUARD REAL ESTATE ETF	FMV	7,735,964	7,735,964
AMPERSAND 2001	FMV	6,125	6,125
ARCH VENTURE FUND IX, L.P.	FMV	19,998,790	19,998,790
ARCH VENTURE FUND IX OVERAGE	FMV	6,452,890	6,452,890
CENTEROAK EQUITY FUND I, L.P.	FMV	6,508,208	6,508,208
CLEARVUE PARTNERS II LTD.	FMV	10,872,392	10,872,392
CSFB STRATEGIC PARTNERS III	FMV	12,388	12,388
CSFB STRATEGIC PARTNERS III VC	FMV	51,838	51,838
EUROPA FUND IV, L.P.	FMV	2,577,586	2,577,586
GENERATION IM CLIMATE SOLUTIONS FUND II	FMV	9,565,018	9,565,018
IEF - 2008 TERM TRANCHE	FMV	12,433,201	12,433,201
IEF - 2010 SPECIAL TERM TRANCHE	FMV	3,376,936	3,376,936
IEF - 2011 SPECIAL TERM TRANCHE	FMV	13,118,386	13,118,386
IEF - 2012 TERM TRANCHE	FMV	23,769,560	23,769,560
HSREP IV CO-INVESTMENT LP	FMV	1,909,848	1,909,848
MAINSTREAM RENEWABLE POWER LIMITED	FMV	21,683,085	21,683,085
MORGAN STANLEY RE FUND V	FMV	112,143	112,143
NB CO-INVESTMENT PARTNERS LP	FMV	213,569	213,569
NEA 10	FMV	299,308	299,308
NEW ENERGY CAPITAL INFRASTRUCTURE CREDIT FUND, L.P.	FMV	339,275	339,275
OSP VALUE FUND II	FMV	3,528,790	3,528,790
P2 BRASIL PRIVATE INFRASTRUCTURE FUND III FEEDER	FMV	18,535,975	18,535,975
PRIME FINANCE PARTNERS V, L.P.	FMV	973,091	973,091
PROSPECT II	FMV	31,639	31,639
RIVERSTONE/CARLYLE GLOBAL ENERGY III	FMV	107,058	107,058
SIGULER GUFF SMALL BUYOUT OPPORTUNITIES FUND LP	FMV	102,767	102,767
TIFF PARTNERS IV, LLC	FMV	20,054	20,054
VISION RIDGE SUSTAINABLE ASSET FUND	FMV	6,367,866	6,367,866
AMBIENTA III SCSP	FMV	11,485,499	11,485,499
STIRLING SQ CAP PTRS	FMV	11,407,064	11,407,064
RALLY VENTURE FD III	FMV	9,924,425	9,924,425
RALLY TEC PTR FD III	FMV	2,436,744	2,436,744
ARCH VENTURE X LP	FMV	14,381,269	14,381,269
ARCH VEN X OVER LP	FMV	14,801,811	14,801,811
CENTEROAK EQUITY FUND II	FMV	12,447,562	12,447,562
RRG SUSTAINABLE WATER IMPACT FUND LP	FMV	10,231,113	10,231,113
WARBURG PINCUS CHINA-SOUTHEAST ASIA II LP	FMV	5,015,034	5,015,034
VISION RIDGE SUSTAINABLE ASSET FUND II	FMV	8,230,372	8,230,372
CENTRE LANE PARTNERS V, L.P.	FMV	6,912,194	6,912,194
NEW ENERGY CAPITAL INFRASTRUCTURE CREDIT FUND II LP	FMV	15,530,671	15,530,671
137 VENTURES FUND V	FMV	11,948,545	11,948,545
GRAIN COMMUNICATIONS OPPORTUNITY FUND III	FMV	6,542,641	6,542,641
KKR DISLOCATION OPPORTUNITIES (TE) FEEDER LP	FMV	8,430,827	8,430,827
RETHINK IMPACT II, LP	FMV	4,362,213	4,362,213
SCULPTOR REAL ESTATE FUND IV A, LP	FMV	6,716,853	6,716,853
STELLEX CAPITAL FUND II	FMV	6,202,933	6,202,933
VALAR VELOCITY FUND 2	FMV	6,638,306	6,638,306
137 OPPORTUNITY FUND	FMV	3,381,576	3,381,576
ARCH VENTURE FUND XI L.P.	FMV	9,174,375	9,174,375
BLUE BEAR CAPITAL PARTNERS II	FMV	6,660,584	6,660,584
BLUE BEAR IMPACT EXTENSION VEHICLE	FMV	1,712,116	1,712,116
GHO CAPITAL FUND III	FMV	5,905,410	5,905,410
OCEANSOUND FUND I	FMV	10,297,036	10,297,036
OCEANSOUND PARTNERS CO-INVEST SIDECAR, LP	FMV	6,919,111	6,919,111
PEAKSPAN CAPITAL GROWTH PARTNERS III LP	FMV	2,416,583	2,416,583
SCULPTOR REAL ESTATE MWID CO-INVESTMENT FUND LP	FMV	5,074,304	5,074,304
STIRLING SQUARE MEDISUP CO-INVESTMENT	FMV	1,521,746	1,521,746
VISION RIDGE SUSTAINABLE ASSET FUND III	FMV	7,402,683	7,402,683
AQUA CAPITAL FUND III LP	FMV	1,037,073	1,037,073
MAINSAIL PARTNERS VI LP	FMV	702,026	702,026
PEAKSPAN CGP III CO-INVEST LP	FMV	561,958	561,958
STIRLING SQUARE CAPITAL PARTNERS EDT CO-INVESTMENT LP	FMV	2,132,549	2,132,549
INVESCO DB AGRICULTURE FUND	FMV	70,525	70,525
INVESCO DB BASE METALS FUND	FMV	101,140	101,140
ISHARES GOLD TRUST	FMV	69,180	69,180
UNITED KINGDOM INFLATION- REGS	FMV	488,622	488,622
FRENCH REPUBLIC GOVERNMEN 144A	FMV	198,271	198,271
FRENCH REPUBLIC GOVERNMEN 144A	FMV	108,586	108,586
UNITED KINGDOM INFLATION- REGS	FMV	185,396	185,396
DEUTSCHE BUNDESREPUBLIK I REGS	FMV	283,433	283,433
UNITED KINGDOM INFLATION- REGS	FMV	38,746	38,746
UNITED KINGDOM INFLATION- REGS	FMV	98,622	98,622
FRENCH REPUBLIC GOVERNMEN REGS	FMV	118,497	118,497
FRENCH REPUBLIC GOVERNMEN REGS	FMV	181,744	181,744
SPAIN GOVERNMENT INFLATIO 144A	FMV	336,184	336,184
ITALY BUONI POLIENNALI DE REGS	FMV	346,261	346,261
REPUBLIC OF SOUTH AFRICA GOVER	FMV	136,105	136,105
DEUTSCHE BUNDESREPUBLIK I REGS	FMV	188,353	188,353
ITALY BUONI POLIENNALI DE 144A	FMV	126,613	126,613
FRENCH REPUBLIC GOVERNMEN 144A	FMV	176,773	176,773
FRENCH REPUBLIC GOVERNMEN 144A	FMV	88,442	88,442
FRENCH REPUBLIC GOVERNMEN 144A	FMV	117,360	117,360
UNITED KINGDOM INFLATION- REGS	FMV	190,650	190,650
FRENCH REPUBLIC GOVERNMEN 144A	FMV	105,638	105,638
UNITED KINGDOM INFLATION- REGS	FMV	100,219	100,219
BRAZIL NOTAS DO TESOURO NACION	FMV	62,551	62,551
NEW ZEALAND GOVERNMENT IN REGS	FMV	77,950	77,950

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
OFFICE AND OTHER EQUIPMENT	2,897,120	2,740,661	156,459	156,459
COMPUTER EQUIPMENT AND SOFTWARE	2,814,985	2,675,825	139,160	139,160
LEASEHOLD AND OTHER IMPROVEMENTS	65,070,615	31,898,693	33,171,922	33,171,922
AUTOMOBILES	82,409	68,664	13,745	13,745

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - GENERAL COUNSEL	189,875	140,515		101,698
LEGAL FEES RE: EMPLOYEE BENEFITS	25,210	0		25,210
LEGAL FEES RELATED TO PROGRAMS	61,293	0		61,293

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENTS	510,000	510,000	510,000
CONSTRUCTION IN PROGRESS	18,527,915		
TAX REFUNDS RECEIVABLE	676,569	966,442	966,442
RIGHT OF USE ASSET - OPERATING LEASE, NET		723,013	723,013

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Amount
UNREALIZED LOSS ON INVESTMENTS	260,011,061

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOOKS & SUBSCRIPTIONS	16,690	236		16,454
CAPITAL EXPENDITURES	22,400	170		27,951
DUES	74,651	6,214		68,437
EQUIPMENT LEASES & RENTALS	44,492	711		49,068
INSURANCE	426,673	4,601		521,997
INVESTMENT MANAGEMENT EXPENSES - FOREIGN INVESTMENTS	0	266,129		0
INVESTMENT RELATED PORTFOLIO DEDUCTIONS	0	11,178,593		0
IT SECURITY MEASURES	101,727	2,441		248,959
MAINTENANCE & REPAIRS	189,275	4,460		174,087
POCANTICO COLLECTIONS MAINTENANCE	115,926	0		115,926
POSTAGE & DELIVERY	4,366	75		4,635
PRINTING & STATIONARY	5,097	43		5,096
SUPPLIES	32,456	353		26,580
TELEPHONE & INTERNET	108,162	1,735		106,954
TEMPORARY STAFFING	148,176	0		127,487
OUTSOURCE SUPPORT SERVICES	101,268	405		100,863
FINANCIAL MANAGEMENT EXPENSES	198,260	6,214		168,892

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	895,186	1,275,322	895,186
OTHER INCOME THROUGH K-1S		-1,153,322	
PUBLIC PROGRAMS	19,111		19,111
TAX REFUNDS	1,584		1,584
GRANT REFUNDS	9,606		9,606
FEDERAL AND STATE UBIT TAX CREDITS	493,601		493,601

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Amount
DEFERRED FEDERAL EXCISE TAX BENEFIT	4,504,900
AMOUNTS NOT YET RECOGNIZED - NET PERIODIC BENEFIT COST ADJUSTMENT	3,085,310

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED EXCISE TAX AND OTHER TAXES PAYABLE	12,402,796	4,257,896
LEASE PAYABLE	0	723,013

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FACILITIES MAINTENANCE	4,135,015	0		2,754,070
INVESTMENT MANAGEMENT FEES	4,201,305	3,784,883		0
CUSTODY FEES	365,506	365,506		0
INFORMATION TECHNOLOGY SERVICES	104,669	0		96,799
HONORARIUM	6,500	0		6,500
CONSULTING FEES - PROGRAM RELATED	1,164,095	0		1,153,585
CONSULTING FEES -GENERAL MANAGEMENT	467,278	95,723		371,555
CONSULTING FEES - HUMAN RESOURCES	270,113	0		297,258

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	2,425,127	0		0
PROPERTY TAXES	94,812	0		94,412
FOREIGN TAXES THROUGH K-1S	0	501,681		0

TY 2022 IRS 990 e-File Render

Name: ROCKEFELLER BROTHERS FUND INC

EIN: 13-1760106

Name	US / Foreign Address	EIN	Description	Amount
BRANDYWINE GLOBAL OPPORTUNISTIC FIXED INCOME SRI FUND	1735 MARKET STREET SUITE 1800 PHILADELPHIA, PA 19103	82-3685022	DISTRIBUTIONS FROM LIMITED PARTNERSHIP	0
Total				8,082,790