Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

2021

Open to Public Inspection

For calendar year 2021, or tax year beginning 10-01-2021 , and ending 09-30-2022

Name of foundation
CARNEGIE CORPORATION OF NEW YORK

A Employer identification number
13-1628151

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
437 Madison Avenue

B Telephone number (see instructions)
(212) 371-3200

City or town, state or province, country, and ZIP or foreign postal code
New York, NY 10022

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 4,065,280,317

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	200,000			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	2,086,029	1,582,263		
	4	Dividends and interest from securities	361,374	457,067		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	519,890,953			
	b	Gross sales price for all assets on line 6a 1,926,667,047				
	7	Capital gain net income (from Part IV, line 2)		483,167,324		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances 0				
	b	Less: Cost of goods sold 0				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule)	31,143,427	46,522,763	0	
	12	**Total.** Add lines 1 through 11	553,681,783	531,729,417	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	3,656,660	1,912,917		1,743,743
	14	Other employee salaries and wages	13,856,691	4,547,028		9,333,480
	15	Pension plans, employee benefits	7,054,180	981,086		6,196,985
	16a	Legal fees (attach schedule)	375,807	176,566	0	176,506
	b	Accounting fees (attach schedule)	399,641	82,841	0	90,440
	c	Other professional fees (attach schedule)	23,010,397	5,325,999	0	1,600,229
	17	Interest	466,389			351,500
	18	Taxes (attach schedule) (see instructions)	8,952,286	0	0	0
	19	Depreciation (attach schedule) and depletion	823,926	0	0	
	20	Occupancy	2,987,752	303,556		2,683,940
	21	Travel, conferences, and meetings	2,057,913	133,452		2,150,997
	22	Printing and publications	1,062,056	1,710		1,036,053
	23	Other expenses (attach schedule)	1,472,753	164,232	0	1,383,789
	24	**Total operating and administrative expenses.** Add lines 13 through 23	66,176,451	13,629,387	0	26,747,662
	25	Contributions, gifts, grants paid	159,029,696			162,291,563
	26	**Total expenses and disbursements.** Add lines 24 and 25	225,206,147	13,629,387	0	189,039,225
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	328,475,636			
	b	**Net investment income** (if negative, enter -0-)		518,100,030		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	4,471	15,377	15,377
	2	Savings and temporary cash investments	128,571	167,925	167,925
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶	0	0	0
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶		0	0
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule) ▶ 0			
		Less: allowance for doubtful accounts ▶ 0	0	0	0
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	2,430,984	702,785	702,785
	10a	Investments—U.S. and state government obligations (attach schedule)	0	0	0
	b	Investments—corporate stock (attach schedule)	88,553,436	137,075,417	137,075,417
	c	Investments—corporate bonds (attach schedule)	289,062,256	229,733,612	229,733,612
	11	Investments—land, buildings, and equipment: basis ▶ 0			
		Less: accumulated depreciation (attach schedule) ▶ 0	0		0
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	4,299,547,016	3,692,986,540	3,692,986,540
	14	Land, buildings, and equipment: basis ▶ 12,945,219			
		Less: accumulated depreciation (attach schedule) ▶ 8,346,558	5,144,923	4,598,661	4,598,661
	15	Other assets (describe ▶)	97,180	0	0
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	4,684,968,837	4,065,280,317	4,065,280,317
Liabilities	17	Accounts payable and accrued expenses	5,948,216	5,948,400	
	18	Grants payable	100,949,083	97,716,550	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21	Mortgages and other notes payable (attach schedule)	0	0	
	22	Other liabilities (describe ▶)	29,132,077	14,864,598	
	23	**Total liabilities**(add lines 17 through 22)	136,029,376	118,529,548	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☐ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions	4,548,939,461	3,946,750,769	
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	4,548,939,461	3,946,750,769	
	30	**Total liabilities and net assets/fund balances** (see instructions)	4,684,968,837	4,065,280,317	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	4,548,939,461
2	Enter amount from Part I, line 27a	2	328,475,636
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	4,877,415,097
5	Decreases not included in line 2 (itemize) ▶	5	930,664,328
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	3,946,750,769

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	Publicly Traded Securities		2022-09-30	2022-09-30
b	K1 Net Gains or (Loss) Book		2022-09-30	2022-09-30
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,408,208,291		1,406,776,094	1,432,197
b	481,735,127			481,735,127
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			0	1,432,197
b			0	481,735,127
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	483,167,324
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Bracket for line 1a		
	Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**		
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	7,201,590
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	7,201,590
6	Credits/Payments:		
a	2021 estimated tax payments and 2020 overpayment credited to 2021	6a	6,950,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	2,350,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	9,300,000
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.	8	247
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,098,163
11	Enter the amount of line 10 to be: **Credited to 2022 estimated tax** ▶ 2,098,163 **Refunded** ▶	11	0

Part VI-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ _____ **(2)** On foundation managers. ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XIV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VI-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	**11**	**Yes**	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	**12**	**Yes**	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	**13**	**Yes**	

Website address ▶ https://www.carnegie.org

14 The books are in care of ▶ William Moon Telephone no. ▶ (212) 371-3200

Located at ▶ 437 Madison Avenue New York NY ZIP+4 ▶ 10022

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ **15**

			Yes	No
16	At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	**16**	**Yes**	
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	**1a(1)**		**No**
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	**1a(2)**		**No**
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	**1a(3)**		**No**
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	**1a(4)**	**Yes**	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	**1a(5)**		**No**
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	**1a(6)**		**No**
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	**1b**		**No**
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?	**1d**		**No**
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021?	**2a**		**No**
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	**2b**		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	**3a**		**No**
b	If "Yes," did it have excess business holdings in 2021 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)*	**3b**		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	**4a**		**No**
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	**4b**		**No**

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)	Yes	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)	Yes	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		No
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	5d	Yes	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	8	Yes	

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Mark Baumgartner 437 Madison Avenue New York, NY 10022	Chief Investment Officer 50.000	1,912,917	165,623	0
Robert Seman 437 Madison Avenue New York, NY 10022	VP, Chief Financial Officer 50.000	511,000	134,031	0
Deana Arsenian 437 Madison Avenue New York, NY 10022	VP, International Program, and Program Director, Russia Eurasia 50.000	415,000	112,398	0
LaVerne Srinivasan 437 Madison Avenue New York, NY 10022	VP, National Program and Program Director, Education 50.000	415,000	130,887	0
Nicole Howe-Buggs 437 Madison Avenue New York, NY 10022	Chief Adminstrative Officer Corporate Secretary 50.000	310,633	108,952	0
William Moon (started on 81522) 437 Madison Avenue New York, NY 10022	VP, Chief Financial Officer 50.000	54,760	14,149	0
Thomas H Kean 437 Madison Avenue New York, NY 10022	Chairman 5.000	0	0	0
Janet Robinson 437 Madison Avenue New York, NY 10022	Vice Chairman 5.000	0	0	0
Pedro Aspe 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
Lionel Barber 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
Martin Baron 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
Jared Cohon 437 Madison Avenue New York, NY 10022	Trustee 5.000	18,300	0	0
Kevin Conway 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
John J DeGioa 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
Edward Djerejian (started on 91522) 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
Leymah Roberta Gbowee 437 Madison Avenue New York, NY 10022	Trustee 5.000	5,550	0	0
Jean-Marie Guhenno 437 Madison Avenue	Trustee 5.000	13,500	0	0

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
New York, NY 10022				
Caroline Kennedy (resigned 5922) 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
Maria Elena Lagomasino 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
Marcia McNutt 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
Martha Minow 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
Louise Richardson 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
Kurt Schmoke 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
Anne Tatlock 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
Judy Woodruff 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0
Ann Claire Williams 437 Madison Avenue New York, NY 10022	Trustee 5.000	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Jon-Michael Consalvo 437 Madison Avenue New York, NY 10022	Managing Director 50.000	910,492	124,063	0
Mervin Burton 437 Madison Avenue New York, NY 10022	Managing Director 50.000	813,117	108,550	0
Zachary Mees 437 Madison Avenue New York, NY 10022	Sr Dir, Investments 50.000	579,250	99,539	0
Preet Chawla 437 Madison Avenue New York, NY 10022	Sr Dir, Investments 50.000	598,250	97,164	0
Bradley Kay 437 Madison Avenue New York, NY 10022	Sr Dir, Analytics 50.000	571,250	115,464	0
Total number of other employees paid over $50,000 . . . . . ▶				84

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
BNY Mellon One Mellon Center Pittsburgh, PA 15258	Custody and Recordkeeping Services	684,859
Empire Entertainment Inc 100 Crosby Street Suite 601 New York, NY 10012	Event Planning	638,147
RSM US LLP 5155 Paysphere Circle Chicago, IL 60674	Audit Tax Services	358,787
Ernst Young LLP 200 Plaza Drive Suite 2222 Secaucus, NJ 07094	Evaluation Services	250,000
Simpson Thacher and Bartlett 425 Lexington Avenue New York, NY 10017	Legal Services	195,755

Total number of others receiving over $50,000 for professional services. . . . . ▶

18

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Public and dissemination of educational materials - Articles, books and other reading material, generated from the meetings mentioned above, from CCNY supported grantees and CCNY staff, are regularly produced and distributed by CCNY to the general public and to those individuals most directly interested in their contents. CCNY maintains a file of names and address to facilitate the dissemination program.	3,989,731
2 Technical Assistance to grantees and other charitable organizations - This amount represent salary and overhead allocations of program staff for time spent advising grantees and other charitable organizations on a variety of subjects including, but not limited to, obtaining additional funding from other sources reducing operating expenses, complying with government regulation, and maintaining accurate financial records.	982,193
3 Services on board or advisory committees - The amount represents the cost to support the service of CCNY's staff on board or advisory committees of other charitable organizations.	437,543
4 Other - The majority of this category represents the allocation of salaries, benefits, and overhead for staff who contribute portions of their time to the administration of CCNY-sponsored activity: for catalyzing integrated approaches to innovation in education reform and developing new approaches to learning and continuous improvement and the program dissemination fund.	222,190

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. **3**	
Total. Add lines 1 through 3 . . . . . ▶	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	4,427,465,629
b	Average of monthly cash balances			1b	-1,915,025
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	4,425,550,604
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	
3	Subtract line 2 from line 1d			3	4,425,550,604
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)			4	66,383,259
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3.			5	4,359,167,345
6	**Minimum investment return.** Enter 5% (0.05) of line 5			6	217,958,367

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6			1	217,958,367
2a	Tax on investment income for 2021 from Part V, line 5	2a	7,201,590		
b	Income tax for 2021. (This does not include the tax from Part V.)	2b	839,252		
c	Add lines 2a and 2b			2c	8,040,842
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	209,917,525
4	Recoveries of amounts treated as qualifying distributions			4	29,333
5	Add lines 3 and 4			5	209,946,858
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1			7	209,946,858

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				209,946,858
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.			7,261,837	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2021:				
a From 2016. 0				
b From 2017. 0				
c From 2018. 0				
d From 2019. 0				
e From 2020. 0				
f **Total** of lines 3a through e	0			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ $ 189,316,890				
a Applied to 2020, but not more than line 2a			7,261,837	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2021 distributable amount				182,055,053
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2021. *(If an amount appears in column (d), the same amount must be shown in column (a).)*				0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				27,891,805
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2022.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2017 0				
b Excess from 2018 0				
c Excess from 2019. . . . 0				
d Excess from 2020 0				
e Excess from 2021 0				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2021	**(b)** 2020	**(c)** 2019	**(d)** 2018	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Nicole Howe Buggs
Carnegie Corp of NY 437 Madison Ave
nue
New York, NY 10022
(212) 371-3200
grantsinfo@carnegie.org

b The form in which applications should be submitted and information and materials they should include:

The charter of the Corporation ("CCNY") provides that all funds are to be used to promote "the advancement and diffusion of knowledge and understanding." Grants must be broadly education in nature, but need not be limited to the formal educational systems or institutions. CCNY makes it a policy to select a few areas in which to concentrate its grants over a period of years. Only proposals presented in CCNY's format will be considered for funding. Grantseekers who would like to approach CCNY with a preliminary request for funding are encouraged to submit a letter of inquiry. CCNY's letters of inquiry can be found on its grants portal: https://carnegie.fluxx.io. If the project described in the letter fits CCNY's guidelines, the sender will be contacted and asked to submit a proposal in CCNY's format. A request to submit a proposal is not an indication of an intention or commitment to award a grant.

c Any submission deadlines:

The Corporation accepts and requests for funding at all times of the year with no deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

CCNY currently operates grant programs in four broad areas; Education, International Peace and Security, International Development, and Strengthening U.S. Democracy. CCNY does not make grants for construction, maintenance of facilities or endowments, and does not make program-related investments. CCNY does not generally make grants to individuals or to local or regional community service organizations. CCNY usually considers only projects that have the potential for national or international impact. Questions can be addressed to Nicole Howe Buggs, Chief Administrative Officer and Corporate Secretary

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	2,086,029	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	901101	9,601,636	18	510,289,317	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a Income from partnerships	901101	7,473,420	14	23,670,007	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		17,075,056		536,406,727	0
13 **Total.** Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)				13	553,481,783

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ Signature of officer or trustee — Date 2023-08-14 — ▶ Title

May the IRS discuss this return with the preparer shown below? See instructions. ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶☐	PTIN
Lynne Johnson				P00757336
Firm's name ▶ RSM US LLP				Firm's EIN ▶42-0714325
Firm's address ▶ 4 Times Square New York, NY 10036				Phone no. (212) 372-1000

Additional Data

Return to Form

Software ID: 21014044
Software Version: 2021v4.2

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting Fees	399,641	82,841		90,440

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Contractor	Explanation
BNY Mellon	Custody and Recordkeeping Services for the endowment portfolio.
Empire Entertainment Inc	Fees related to CMOP event
Simpson Thacher and Bartlett	Fees for investment management.

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
Leasehold Improvements		9,211,956			0 %	611,576	0		
Furniture and Equipment		3,733,263			0 %	212,350	0		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
2REVOLUTIONS	77 Broadview Avenue New Rochelle, NY 10804	2021-09-02	200,000	For a project to test a scalable and cost-effective approach to curriculum-based professional learning.	71,262	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	02/04/22	2022-02-04	
2REVOLUTIONS	77 Broadview Avenue New Rochelle, NY 10804	2019-10-29	200,000	For continued collaborative design work to foster innovation in Virginia Beach City Public Schools. Amount spent includes carryover amount of $153,272 from G-17-54904.	353,272	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	09/30/20	2021-06-16	
2REVOLUTIONS	77 Broadview Avenue New Rochelle, NY 10804	2017-06-01	1,000,000	For a project to build the capacity of district, community and school-level stakeholders in one place to work in an integrated way to design and learn learning models and active local education challenges (as one of the five projects in the Corporation's Integration Design Consortium). Remaining $153,272 carried over to G-19-57317. Grant closed on 1/18/22.	846,728	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	09/30/19	2019-09-30	
ASSOCIATED PRESS	200 Liberty St New York, NY 10281	2021-09-02	1,000,000	For project support to expand education reporting through the AP Education Reporting Network.	201,946	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	12/14/22	2022-12-14	
ASSOCIATION OF COLLEGE AND UNIVERSITY EDUCATORS	745 Fifth Avenue 500 New York, NY 10151	2022-03-10	250,000	For support of the first National Collegiate Teaching Conference.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	No report due as of 9/30/22		
ASSOCIATION OF COLLEGE AND UNIVERSITY EDUCATORS	745 Fifth Avenue 500 New York, NY 10151	2019-09-12	150,000	For support of a pilot initiative within the City University of New York (CUNY) system to deploy the newest career guidance and mentoring credential for faculty in effective instruction. Remaining $15,000 carried over to G-20-57819 and refund of $750 received on 1/15/2021.	135,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	09/30/20	2020-09-30	
ASSOCIATION OF COLLEGE AND UNIVERSITY EDUCATORS	745 Fifth Avenue 500 New York, NY 10151	2020-09-10	200,000	For support of faculty professional learning in a micro-credential, remote learning environment. $15,000 carried over from 19-56979.	215,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	03/31/22	2022-03-31	
BUILDING IMPACT	44 Howard Avenue New Haven, CT 06519	2021-07-16	30,000	For support of the Joyful Impact Accelerator project.	30,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	06/30/22	2022-06-30	
BUILDING IMPACT	44 Howard Avenue New Haven, CT 06519	2022-08-01	45,000	Final grant for the Joyful Impact Accelerator project.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	No report due as of 9/30/22		
CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	51 Vista Lane Stanford, CA 94305	2022-01-18	25,000	For support of the 2022 Summit on Improvement in Education	25,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	05/31/22	2022-05-31	
CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	51 Vista Lane Stanford, CA 94305	2022-01-18	50,000	For the project, "The Chicago Story: Lessons for Building an Educational Improvement Infrastructure"	50,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	08/31/22	2022-08-31	
CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	51 Vista Lane Stanford, CA 94305	2021-12-02	500,000	For general support	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	No report due as of 9/30/22		
CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	51 Vista Lane Stanford, CA 94305	2020-12-10	1,125,000	For the iLEAD network of schools of education and partner districts and the 2021 Summit on Improvement in Education. Carryover approved in the amount of $196,440 from previous grant G-18-56221.	435,243	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	07/25/22	2022-07-25	
CARNEGIE HERO FUND COMMISSION	436 Seventh Avenue Suite 1101 Pittsburgh, PA 152191841	2013-08-13	150,000	As a one-time grant for general support.	104,469	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	02/25/22	2022-02-25	
DAILYCHATTER	32 Atlantic Avenue The Print House Boston, MA 02110	2020-03-27	25,000	For providing free subscriptions to college students to increase their understanding of global issues. Grant closed on 9/13/22.	25,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	04/30/21	2021-11-24	
DAILYCHATTER	32 Atlantic Avenue The Print House Boston, MA 02110	2022-05-13	25,000	As a final grant for helping college students know the world better and combat misinformation	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	No report due as of 9/30/22		
EDUCATION FIRST	PO Box 22871 Seattle, WA 981220871	2021-09-02	95,000	For communications related to and planning for the future of the Coherence Lab fellowship, a project launched as part of the Corporation's Integration Design Consortium. Reports requested 1/30/2022. Future funding prohibited unless reports are received.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	01/30/23	2023-01-30	
EDUCATION STRATEGY GROUP	2 Wisconsin Circle Chevy Chase, MD 20815	2022-08-15	100,000	For project support to strengthen public understanding around postsecondary pathways and the shifting economy	6,967	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	01/30/23	2023-01-30	
EDUCATION STRATEGY GROUP	2 Wisconsin Circle Chevy Chase, MD 20815	2020-09-10	150,000	For a project that builds public understanding of pathways to economic opportunity.	150,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	08/31/22	2022-08-31	
EDUCATIONCOUNSEL	101 Constitution Avenue NW Suite 90 Washington, DC 20001	2021-12-15	200,000	For continued work to advance a learning systems approach in education.	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	03/31/22	2022-03-31	
EDUCATIONCOUNSEL	101 Constitution Avenue NW Suite 90 Washington, DC 20001	2022-04-05	200,000	For continued work to advance a learning systems approach in education.	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	09/30/22	2022-09-30	
FILM INDEPENDENT	5670 Wilshire Blvd 9th Floor Los Angeles, CA 90036	2022-01-14	200,000	For a documentary on nuclear issues "In Search of Resolution."	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	No report due as of 9/30/22		
GZERO MEDIA	149 Fifth Avenue 15th Floor New York, NY 10010	2021-04-16	130,000	For a joint Eurasia Group and Carnegie Corporation of New York forum on an international relations issue.	130,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	06/30/21	2022-03-24	
HERE TO HERE	452 E 149 Street Suite 200 Bronx, NY 10455	2021-11-11	200,000	For supporting New York City schools, Community Based Organizations, and CUNY programs through the Braided Pathways Fund	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	02/25/22	2022-02-25	
INSTITUTE OF NUCLEAR MATERIALS MANAGEMENT	1120 Rt 73 Suite 200 Mount Laurel, NJ 08054	2021-07-31	125,000	For increasing racial diversity in the nuclear security sector.	3,947	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	11/03/22	2022-11-03	
INTERNATIONAL CENTER ON NONVIOLENT CONFLICT	1775 Pennsylvania Ave NW Suite 1200 Washington, DC 20006	2020-03-19	200,000	For a one-time only grant on advancing research and online education on non-violent conflict and resistance.	141,294	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	05/12/22	2022-05-12	
KINVOLVED	25 Broadway 12th Floor New York, NY 10004	2021-03-04	25,000	For project support to test the efficacy of interventions to increase remote attendance in selected rural districts.	25,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	03/31/22	2022-03-31	
KITAMBA INC	300 Broadacres Drive Suite 350 Bloomfield, NJ 07003	2021-11-11	175,000	For support of district capacity building efforts	175,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	06/30/22	2022-06-30	
MARGRADY RESEARCH	38 West 89th Street 2 New York, NY 10024	2022-07-11	200,000	For analyzing national school data and building a data dashboard	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	No report due as of 9/30/22		
MARGRADY RESEARCH	38 West 89th Street 2 New York, NY 10024	2021-10-12	150,000	For analyzing national school data and building a data dashboard	66,667	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	02/25/22	2022-02-25	
MARK L GOLDBERG LLC	1135 S York Street Denver, CO 80210	2021-12-14	100,000	For support of the Global Dispatches podcast	55,660	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	01/09/23	2023-01-09	
MODERN STATES EDUCATION ALLIANCE	1633 Broadway 48th Floor New York, NY 10019	2021-09-02	150,000	For continued support of the enhanced Freshman Year for Free program, designed to keep high school seniors on a college track in response to the COVID-19 pandemic.	150,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	09/30/22	2022-09-30	
OVERGRAD	2093 Philadelphia Pike 4723 Claymont, DE 19703	2021-05-19	200,000	For developing and scaling a technology platform to support college advising and admissions for New York City's students.	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	09/30/21	2022-01-03	
OVERGRAD	2093 Philadelphia Pike 4723 Claymont, DE 19703	2021-09-02	200,000	For developing and scaling a technology platform to support college advising and admissions for New York City's students.	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	09/30/22	2022-09-30	
PLAYMATICS	106 Morningside Drive 96 New York, NY 10027	2020-08-24	25,000	For a board game on strategic philanthropy designed to educate and inspire action.	20,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	07/05/22	2022-07-05	
RESET DIALOGUES US	48 Old Colony Road Chestnut Hill, NY 02467	2019-04-12	200,000	For capacity-building for Reset Dialogues U.S.	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	05/31/22	2022-05-31	
SHARED LANE CONSULTING SERVICES	395 Riverside Drive Apt 8D New York, NY 10025	2022-06-16	100,000	For support to continue building the Technology Career Exploration Program	73,970	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	02/01/23	2023-02-01	
SHARED LANE CONSULTING SERVICES	395 Riverside Drive Apt 8D New York, NY 10025	2020-09-10	250,000	For support to continue building the Technology Career Exploration Program (TCEP). Remaining $145,087 was transferred from, previous grantee, Per Scholas 7/27/21. Grant is in the process of being closed.	145,087	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	02/28/22	2022-02-28	
SPITFIRE STRATEGIES	2300 N Street Suite 610 Washington, DC 20037	2020-08-12	150,000	For a project on capacity building and training in communications for nuclear security experts.	134,436	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	09/30/22	2022-09-30	
STANDARDSWORK INC	29 Carter Street Quincy, MA 02169	2021-03-04	200,000	For a project to expand the capacity of the Curriculum Matters Professional Learning Network.	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	12/31/21	2021-12-31	
STANDARDSWORK INC	29 Carter Street Quincy, MA 02169	2022-08-15	200,000	For a project to conduct school tours in OpenSolid states and support the Curriculum Matters Professional Learning Network	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	No report due as of 9/30/22		
UNIVISION	8551 NW 30th Terrace Miami, FL 33122	2021-07-31	30,000	For a project to support a New York City Post-Secondary Resources Series. Reports requested 2/1/2023. Future funding prohibited unless reports are received.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	No report due as of 9/30/22		
UNIVISION	8551 NW 30th Terrace Miami, FL 33122	2020-06-09	30,000	For project support of a televised segment to inform families of available education-related resources. Refund in the amount of $53.00 pending. Refund requested 6/21/2021. Grant will remain open until program assessment is complete and refund received.	29,947	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	02/01/23	2023-02-01	
URBAN SCHOOLS HUMAN CAPITAL ACADEMY	10705 Cross School Road Reston, VA 20191	2021-06-03	500,000	For general support. Pass-Through reports are required for all grants to Private Non-Operating Foundations for each FY payments are received from the Corporation.	252,925	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	08/02/22	2022-08-02	
WAR ON THE ROCKS MEDIA LLC	80 M St SE C/O Wentwork Washington, DC 20003	2019-08-20	80,000	For a documentary podcast on the nuclear age and the weapons it produced. Pending retroactive extension to 7/31/2022 as of 12/21/2022. NOTE: once retroactive extension is approved final payment of $5,000 to be canceled.	75,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	07/31/20	2020-07-31	
ASSOCIATION OF AFRICAN UNIVERSITIES	African Universities House 11 Avait PO Box, NONE 233302 GH	2019-04-22	130,000	For a biennial conference of African university leaders, and media programming. Refund received in the amount of $346.00, rec'd 1/20/2021. Grant remains open until program assessment is complete.	130,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	04/30/20	2020-04-30	
ASSOCIATION OF AFRICAN UNIVERSITIES	African Universities House 11 Avait PO Box, NONE 233302 GH	2021-06-11	75,000	For a biennial conference of African university leaders, and media programming.	75,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	05/31/20	2022-09-01	
BLOOMSBURY PUBLISHING	50 Bedford Square London, NONE WC1B3DP GC	2020-09-10	155,000	For publishing and disseminating critical globally-relevant research of early-career African scholars. Reports requested 1/19/22; interim financial rec'd 12/13/22. Requested interim narrative 12/13/22; followed up 1/23/23. Extension request pending to 11/30/24, followed up 1/23/23.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	No report due as of 9/30/22		
CAINE PRIZE FOR AFRICAN WRITING	51 Southwark Street London, NONE SE11RU OC	2018-09-20	100,000	As a final grant for the Caine Prize and workshops that support talented African writers. Reports requested 12/10/2019; 9/14/2020; 1/4/2021; 1/19/2022. Extension requested to 6/31/23 and approved 3/19/22. Reports requested 1/23/23.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	No report due as of 9/30/22		
CARNEGIE DUNFERMLINE TRUST	Abbey Park House/Andrew Carnegie Ho Dunfermline, NONE KY128AW OC	2022-06-07	150,000	As a one-time only grant for the "Pittencrieff Park Ambition" Project	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	No report due as of 9/30/22		
GHANA ACADEMY OF ARTS AND SCIENCES	PO Box M32 Accra, NONE OC	2022-08-31	116,000	For research and policy dialogues on higher education reforms in Ghana	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	No report due as of 9/30/22		
INTERNATIONAL SCHOOL ON DISARMAMENT AND RESEARCH ON CONFLICTS (ISODARCO)	via della Rotonda 4 Rome, NONE 00186 IT	2019-09-12	150,000	For two advanced courses on Arms Control and Nuclear risk. Updated financial report requested 1/25/2022; Received 2/22/22	79,100	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	02/22/22	2022-02-22	
JORDAN MEDIA INSTITUTE	PO Box 3387 Amman, NONE 11821 JO	2019-08-12	200,000	For a project on media education and training. Updated financial report requested 3/25/2020; 1/21/2021; rec'd 1/12/2022. Extension to 3/31/2022 approved 1/12/2022.	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	03/31/22	2022-03-31	
RIDGEWAY INFORMATION LTD	136 High Holborn London, NONE WC1V6PX OC	2018-06-14	600,000	For research on global nonproliferation issues and development of methodologies to use multimedia open sources in support of the International Atomic Energy Agency's Department of Safeguards. Carryover in the amount of $4,005 to G19-57244.	596,476	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	12/31/20	2020-12-31	
RIDGEWAY INFORMATION LTD	136 High Holborn London, NONE WC1V6PX OC	2020-06-04	400,000	As a final grant for open-source multimedia analysis in support of nuclear nonproliferation. $4,005 carryover from G-18-55908.	383,168	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	01/09/23	2023-01-09	
UNIVERSITY OF KWAZULU-NATAL	University Road Chiltern Hills We Durban, NONE 3629	2013-07-29	49,900	For a study on how early-career African academics are learning to teach. Grantee requested an extension to 12/31/2021. Formal approval on hold until requested reports are received for 8 7848.R01/25680.01. Reports on 8784R.R01/25680.01 received 4/26/2021. Extension to 12/31/21 approved 3/11/2021. Reports rec'd 9/27/22. $11,718 was refunded to the Corporation on 9/27/22.	38,182	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	12/31/21	2021-12-31	
UNIVERSITY WORLD NEWS (AFRICA)	92 Bowhill Lodge 16 Gordon Road Mo Durban, NONE 4001 SF	2020-03-05	345,000	For support of University World News (Africa) higher education editorial projects.	345,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	03/31/22	2022-03-31	
UNIVERSITY WORLD NEWS (AFRICA)	92 Bowhill Lodge 16 Gordon Road Mo Durban, NONE 4001 SF	2022-03-10	395,000	For support of University World News (Africa) higher education editorial projects.	38,294	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	07/06/22	2022-07-06	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Publicly Traded Securities	2022-09		2022-09		1,408,208,291	1,406,776,094			1,432,197	
K1 Net Gains or (Loss) Book	2022-09		2022-09		518,458,756	0			518,458,756	

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Identifier	Return Reference	Explanation
	Form 990-PF, Part VI, Section A Line 15	CCNY had an interest in or other financial accounts in the following countries: Chile, Greece, South Korea, Turkey, Cayman Islands, Papua-New Guinea, Argentina, and United Kingdom

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BNY Mellon Cash Reserve	679,046	679,046
JP Morgan US Tre Plus Ins 3918	163,608,619	163,608,619
Dreyfus Treasury Securities CM	40,258,463	40,258,463
Prime Mony Market Funds - Inst	25,186,426	25,186,426
Trade Date Cash	1,058	1,058

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Stock Holdings Beginning Balance	0	0
Microsoft Corp	544,753	544,753
Snowflake Inc	170	170
Kinder Morgan Inc	33	33
Due from Broker	136,530,461	136,530,461

TV 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK
EIN: 13-1628191
Software ID: 21014044
Software Version: 2021v4.2

Category / Item	Listed at Cost	Book Value	End of Year Fair Market Value
Armistice Capital	FMV	66,407,191	66,407,191
BLS Global Equities Fund LLC	FMV	33,372,826	33,372,826
Bridgewater Pure Alpha	FMV	85,195,361	85,195,361
Citadel Wellington LLC	FMV	35,348,627	35,348,627
Echo Street GoodCo Select II Plus LP	FMV	26,477,718	26,477,718
Echo Street Select Plus Offshore	FMV	7,573,410	7,573,410
ECarI Capital Fund Qualified LP	FMV	64,032,587	64,032,587
Hudson Long Short Equity Fund LP	FMV	31,605,800	31,605,800
Lansdowne Developed Markets Fund DMLO	FMV	64,082,660	64,082,660
Lone Cascade, LP	FMV	44,386,594	44,386,594
Makaira Investors LP	FMV	0	0
Neon Liberty Lonhest Fund	FMV	7,002,394	7,002,394
Nine Ten Partnership Fund	FMV	40,627,662	40,627,662
New Vernon India Fund II	FMV	1,336,089	1,336,089
Nexus European Opportunities Fund	FMV	78,401,500	78,401,500
Silchester International Value Equity Trust	FMV	58,913,652	58,913,652
Steppe Capital Fund	FMV	29,795,023	29,795,023
SGM Frontier Middle East Fund	FMV	0	0
Squadra Equity Fund Ltd	FMV	35,387,584	35,387,584
Eisenberg India Emerging Opportunities Fund	FMV	35,483,160	35,483,160
Stone Forest Capital	FMV	57,732,530	57,732,530
Tarpon Ad Equitum Fund	FMV	0	0
Vernick Healthcare Capital Partners	FMV	9,821,972	9,821,972
Vulcan Value Partners, LP	FMV	5,055,440	5,055,440
Accel Growth Fund III	FMV	8,734,339	8,734,339
Accel Growth Fund IV LP	FMV	16,864,087	16,864,087
Accel Growth Fund V	FMV	16,177,511	16,177,511
Accel X	FMV	2,948,706	2,948,706
Accel XI	FMV	6,242,212	6,242,212
Accel XII	FMV	13,526,156	13,526,156
Accel XIII	FMV	2,369,000	2,369,000
Accel XIV	FMV	8,890,992	8,890,992
Accel Leaders Fund LP	FMV	6,043,493	6,043,493
Accel Leaders Fund II	FMV	4,042,285	4,042,285
AH Games Fund I	FMV	1,451,429	1,451,429
Altitude Life Sciences Venture II LP	FMV	5,991,778	5,991,778
ARCH Venture Fund XIII	FMV	2,624,996	2,624,996
Cathay Capital Indigo Partners	FMV	266,563	266,563
CDH Venture Partners	FMV	0	0
CDH Venture Partners II	FMV	1,900,422	1,900,422
AH CNK Fund I	FMV	4,384,440	4,384,440
AH CNK Seed I	FMV	2,124,792	2,124,792
Column Group I	FMV	568,532	568,532
Column Group Opportunity III, LP	FMV	856,745	856,745
Generation Venture V	FMV	1,269,000	1,269,000
Emergence Capital Partners II	FMV	17,995,285	17,995,285
Emergence Capital Partners IV	FMV	7,149,922	7,149,922
Emergence Capital Partners V	FMV	11,104,554	11,104,554
Emergence Capital Partners VI	FMV	2,006,585	2,006,585
Foundation Capital Growth Opportunity Fund I	FMV	3,218,301	3,218,301
Foundation Capital X LP	FMV	3,877,308	3,877,308
Foundation Capital Leadership Fund III LP	FMV	2,429,420	2,429,420
Forestle Labs Fund I	FMV	1,652,932	1,652,932
Forestle Capital Fund VII LP	FMV	1,672,084	1,672,084
H Capital III	FMV	9,809,639	9,809,639
H Capital IV	FMV	21,960,708	21,960,708
H Capital V	FMV	30,605,084	30,605,084
H Capital VI	FMV	10,745,061	10,745,061
Helion Ventures Partners	FMV	531,700	531,700
Helion Venture Partners III	FMV	11,674,617	11,674,617
Helion Venture Partners IIII	FMV	5,329,981	5,329,981
DCG Accel China Capital	FMV	4,515,297	4,515,297
DCG Accel China Capital II	FMV	6,568,432	6,568,432
DCG Accel China Growth Fund III	FMV	3,319,963	3,319,963
DCG Accel China Growth Fund IV	FMV	6,544,425	6,544,425
Keystone Ventures I	FMV	3,386,426	3,386,426
Keystone Ventures III	FMV	11,695,418	11,695,418
Matrix Partners China I	FMV	1,611,167	1,611,167
Matrix Partners China II	FMV	12,639,236	12,639,236
MoP Davidson Ventures (MDV) IX	FMV	3,688,910	3,688,910
Morningside China TMT IV LP	FMV	27,581,147	27,581,147
Nexus India Capital II	FMV	15,074,169	15,074,169
Nexus Opportunity Fund	FMV	8,386,320	8,386,320
Nexus Opportunity Fund II	FMV	5,898,501	5,898,501
Nexus Ventures III	FMV	28,759,139	28,759,139
Nexus Fund IV LP	FMV	37,102,000	37,102,000
Nexus Ventures V	FMV	18,690,157	18,690,157
Nexus Ventures VI	FMV	8,871,500	8,871,500
Sensara BioCapital LP	FMV	45,317,667	45,317,667
Sequoia Capital India III	FMV	3,181,390	3,181,390
Sequoia Capital (US) XIV	FMV	19,911,517	19,911,517
Sequoia Capital US Venture Fund XVI	FMV	2,123,361	2,123,361
Sequoia Capital US Venture Fund XVII	FMV	890,865	890,865
Sequoia Capital China I	FMV	2,032,004	2,032,004
Sequoia Capital China II	FMV	5,353,560	5,353,560
Sequoia Capital China Venture Fund VII	FMV	1,313,677	1,313,677
Sequoia Capital China Venture Fund IX	FMV	1,004,429	1,004,429
Sequoia Capital China Venture Fund IX Pre	FMV	68,455	68,455
Sequoia Capital China Growth 2010	FMV	3,740,738	3,740,738
Sequoia Capital China Growth Fund I	FMV	3,124,422	3,124,422
Sequoia Capital China Growth Fund V	FMV	3,944,248	3,944,248
Sequoia Capital China Growth Fund VI	FMV	1,267,737	1,267,737
Sequoia Capital China Seed Fund I	FMV	1,057,525	1,057,525
Sequoia Capital China Seed Fund II	FMV	3,332,187	3,332,187
Sequoia Capital China Seed Fund III	FMV	25,153	25,153
Sequoia Capital China Venture 2010	FMV	4,946,288	4,946,288
Sequoia Capital China Seed Fund IV	FMV	10,282,000	10,282,000
Sequoia Capital Global Growth Fund III	FMV	27,740,755	27,740,755
Sequoia Capital Global Growth Fund IV	FMV	2,863,000	2,863,000
Sequoia Capital India Growth Fund I	FMV	578,796	578,796
Sequoia Capital India Growth Fund IV	FMV	10,225,750	10,225,750
Sequoia Capital India Growth Fund IV Pre	FMV	166,102	166,102
Sequoia Capital US/E Seed Fund IV	FMV	593,848	593,848
Sequoia Capital US Venture 2010	FMV	1,776,477	1,776,477
Sequoia Capital US Venture 2010	FMV	40,545,591	40,545,591
Sequoia Capital US Growth 2010	FMV	676,254	676,254
Sequoia Capital US Growth Fund IV	FMV	11,158,609	11,158,609
Sequoia Capital US Growth Fund V	FMV	1,274,524	1,274,524
Sequoia Capital US Growth VI	FMV	4,425,528	4,425,528
Sequoia Capital US Growth Fund VII	FMV	5,556,097	5,556,097
Sequoia Capital US Growth Fund VIII	FMV	6,846,565	6,846,565
Sequoia Capital US Growth Fund IX	FMV	4,242,648	4,242,648
WestBridge Ventures II	FMV	1,139,962	1,139,962
WI Harper Inc Fund VII Ltd	FMV	2,626,979	2,626,979
ABRY Partners III	FMV	0	0
Aby Partners V	FMV	28,430	28,430
Aby Partners VI	FMV	256,152	256,152
Aby Partners VII	FMV	2,760,548	2,760,548
ABRY Partners VIII	FMV	2,902,603	2,902,603
Aby Partners IX	FMV	16,120,115	16,120,115
ABRY Heritage Partners	FMV	4,811,321	4,811,321
ABRY Senior Equity IV	FMV	500,910	500,910
ABRY Senior Equity V	FMV	3,885,929	3,885,929
Aby Senior Equity VI	FMV	2,171,607	2,171,607
Alpine Investors V	FMV	739,780	739,780
Alpine Investors V	FMV	12,751,293	12,751,293
Angelos Equity Partners I	FMV	6,397,120	6,397,120
Archine Capital Partners I	FMV	22,459,192	22,459,192
Archine Capital Partners II	FMV	11,534,016	11,534,016
Ascension Capital Partners V	FMV	12,800	12,800
Bain Capital X	FMV	891,750	891,750
Bain Capital Fund VII	FMV	2,276,381	2,276,381
Bain Capital Fund VIII	FMV	0	0
Bain VIII-E (Euro)	FMV	0	0
Bain Capital Fund IX	FMV	0	0
Bain Capital Asia Fund II	FMV	2,459,724	2,459,724
Bain Capital Asia Fund III	FMV	649,695	649,695
Berkshire Fund VI	FMV	2,136,579	2,136,579
Berkshire Fund VII	FMV	545,139	545,139
Berkshire Fund VIII	FMV	11,533,896	11,533,896
Berkshire Fund IX	FMV	17,181,030	17,181,030
Berkshire IX Co-Investment Fund	FMV	4,959,062	4,959,062
CDH China Fund I	FMV	226,000	226,000
CDH China Fund IV	FMV	2,132,796	2,132,796
CDH China Fund V	FMV	5,443,797	5,443,797
CITIC Capital China Partners	FMV	62,618	62,618
CITIC Capital China Partners II	FMV	1,729,260	1,729,260
Crest Rock Fund II-A LP	FMV	8,037,637	8,037,637
Cb Capital Partners III	FMV	0	0
Flexpoint Fund Ltd	FMV	6,127,842	6,127,842
Flexpoint Fund (II)	FMV	20,331,968	20,331,968
Flexpoint Fund (V)/Flexpoint Overage Fund IV	FMV	21,004,589	21,004,589
Flexpoint Assets Opportunity Fund Ltd	FMV	718,733	718,733
Flexpoint Special Assets Fund	FMV	4,942,453	4,942,453
Francisco Partners	FMV	9,864,205	9,864,205
Francisco Partners V	FMV	19,817,062	19,817,062
Francisco Partners VI-A	FMV	2,683,511	2,683,511
Francisco Partners Agility LP	FMV	7,326,424	7,326,424
Francisco Partners Agility II LP	FMV	2,692,341	2,692,341
Golden Gate Capital Fund VIII	FMV	2,483,120	2,483,120
GGC Investment Annex Fund II	FMV	62,532	62,532
Golden Gate Opportunity Fund-Series 2	FMV	10,085,944	10,085,944
HellOc Opportunities Fund IV	FMV	16,833,788	16,833,788
Industrial Growth Partners I	FMV	1,093,700	1,093,700
Industrial Growth Partners V	FMV	9,342,240	9,342,240
Industrial Growth Partners VI	FMV	1,134,813	1,134,813
LL Capital Partners	FMV	10,069,002	10,069,002
Luminate Capital Partners II	FMV	15,223,403	15,223,403
Luminate Capital Partners III	FMV	4,276,327	4,276,327
Mason Wells Fund IV	FMV	25,907,888	25,907,888
Mason Wells Buyout Fund V	FMV	2,998,139	2,998,139
New Horizon Capital IV	FMV	95,763	95,763
Stratus Capital Partners III-Beta LP	FMV	4,361,918	4,361,918
Sycamore Partners	FMV	9,475,008	9,475,008
Sycamore Partners II	FMV	9,957,685	9,957,685
Sycamore Partners III	FMV	20,583,445	20,583,445
Tiger Global Private Investment Partners V	FMV	5,078,717	5,078,717
Aquilia Strategic Opp. Fund Ltd	FMV	7,438,000	7,438,000
Aquilia Strategic Opportunity Fund III	FMV	1,239,216	1,239,216
Acadia Strategic Opportunity Fund IV	FMV	3,907,501	3,907,501
Acadia Strategic Opportunity Fund V	FMV	11,817,363	11,817,363
Avanti Strategic Land Investors III	FMV	0	0
Avanti Strategic Land Investors IV	FMV	1,537,121	1,537,121
Avanti Strategic Land Investors V	FMV	12,102,660	12,102,660
Avanti Strategic Land Investors VI	FMV	18,853,900	18,853,900
Avanti Strategic Land Investors VIII	FMV	11,059,324	11,059,324
Aslan Realty II (Timesetter) (REIT)	FMV	466,574	466,574
Brookdale Realty Fund (REIT)	FMV	0	0
Brookdale Investors 7	FMV	4,133,000	4,133,000
Brookdale Investors Eight	FMV	2,241,879	2,241,879
Carmel Partners Investment Fund V	FMV	1,455,987	1,455,987
Carmel Partners Investment Fund VI	FMV	9,334,700	9,334,700
Carmel Partners Investment Fund VII	FMV	15,638,782	15,638,782
Carmel Partners Investment Fund VIII	FMV	4,609,145	4,609,145
Carmel Partners Investment Fund VIII Offshore	FMV	1,471,560	1,471,560
Eightfold Real Estate Capital Fund V	FMV	8,976,484	8,976,484
Apartment Opportunity Fund (REIT)	FMV	83,426	83,426
FPA Apartment Opportunity Fund V-A	FMV	37,795	37,795
FPA Apartment Opportunity Fund VI-A	FMV	9,543,750	9,543,750
FPA Apartment Opportunity Fund VII-A	FMV	18,074,966	18,074,966
UNIL APPM Green Course R. E. Partners (REIT)	FMV	1,991,690	1,991,690
Green Course Partners (REIT)	FMV	15,089,689	15,089,689
Green Acre Equity	FMV	8,814,294	8,814,294
Hampshire Partners REIT VIII	FMV	1,945,568	1,945,568
Hampshire Partners RETI VIII	FMV	592,749	592,749
JRC Investment Fund I	FMV	1,277,560	1,277,560
JRC Investment Fund VIII	FMV	1,742,767	1,742,767
JRC Investment Fund IX	FMV	1,114,995	1,114,995
LBA Logistics Value Fund VII	FMV	11,089,266	11,089,266
LBA Logistics Value Fund IX	FMV	2,878,589	2,878,589
LBA Realty Fund (REIT)	FMV	613,391	613,391
LBA Realty Fund II	FMV	5,429,285	5,429,285
LBA Realty Fund III	FMV	12,353,810	12,353,810
LBA Realty Fund IV	FMV	1,371,590	1,371,590
LBA Realty Fund V	FMV	2,125,047	2,125,047
LBA Realty Fund VII	FMV	4,951,360	4,951,360
Madison International RE Liquidity Fund VII-A	FMV	208,441	208,441
Merlone Capital Partners	FMV	1,058,676	1,058,676
Maverick Lam Yard VI Co-Invest	FMV	4,823,656	4,823,656
Maverick Lam Yard II	FMV	3,190,353	3,190,353
Maverick Lam Yard VI	FMV	6,336,156	6,336,156
Merlone Geier Partners	FMV	2,943,854	2,943,854
Merlone Geier Partners X	FMV	5,899,705	5,899,705
Merlone Geier Partners XI	FMV	6,379,634	6,379,634
Merlone Geier Partners XII	FMV	8,260,680	8,260,680
Merlone Geier Partners IX	FMV	1,377,057	1,377,057
Merlone Geier Partners	FMV	319,522	319,522
Northwood Real Estate Co Investors	FMV	4,237,349	4,237,349
Northwood RE Co-Investors - Series II	FMV	2,066,801	2,066,801
Northwood RE Partners	FMV	8,135,497	8,135,497
Northwood RE Partners - Series II	FMV	9,684,630	9,684,630
Northwood RE Partners - Series VII	FMV	7,304,010	7,304,010
Realty Financial Partners 1A	FMV	0	0
Realty Financial Partners IV	FMV	0	0
SFF Realty Fund III	FMV	4,837,636	4,897,636
SFF Realty Fund IV	FMV	64,814	64,814
SRI Tier REIT	FMV	681,788	681,788
SRI Eleven REIT	FMV	4,764,532	4,764,532
SRI Eight REIT	FMV	21,645,945	21,645,945
Woodbourne Canada Partners II	FMV	519,552	519,552
Woodbourne Canada Partners III	FMV	5,576,137	5,576,137
Woodbourne Canada Partners IV	FMV	10,385,262	10,385,262
Black Bay Energy LP	FMV	12,046,890	12,046,890
Camelian Energy Capital LP	FMV	804,061	804,061
Camelian Energy Capital II LP	FMV	10,680,179	10,680,179
Camelian Energy Capital III LP	FMV	18,231,575	18,231,575
Camelian Energy Capital IV LP	FMV	5,693,183	5,693,183
Denham Tri Continental Fund	FMV	1,115,930	1,115,930
Denham Commodity Partners Fund V	FMV	2,429,839	2,429,839
Denham Commodity Partners Fund VI	FMV	3,738,931	3,738,931
Oaktree Mezzo Fund	FMV	18,859,640	18,859,640
Energy Capital Partners II-A	FMV	40,845	40,845
Energy Capital Partners III	FMV	5,618,158	5,618,158
Energy Capital Partners IV-A	FMV	8,604,241	8,604,241
Energy Capital Partners Opportunities Fund	FMV	551,443	551,443
CCP Terra-Gen Growth Fund LP	FMV	4,665,222	4,665,222
EMG Fund III Offshore	FMV	10,772,653	10,772,653
EMG Fund III	FMV	5,573,413	5,573,413
Kimmeridge Fund VI LP	FMV	25,603,868	25,603,868
Grey Rock Net Zero Operations Fund I	FMV	1,208,007	1,306,007
Grey Rock Net Zero Operations Fund II	FMV	4,588,942	4,588,942
Kimmeridge Fund VI LP	FMV	29,780,467	29,780,467
Lime Rock Partners IV	FMV	34,579	34,579
Lime Rock Partners IV-AF	FMV	21,977,921	21,977,921
Lime Rock Partners V	FMV	1,523,161	1,523,161
Lime Rock Partners VII	FMV	20,395,292	20,395,292
Lime Rock Partners VIII	FMV	17,165,835	17,165,835
Merit Energy Partners Canada LP	FMV	93,962	93,962
Merit Energy Partners E-1	FMV	0	0
Merit Energy Partners G	FMV	4,292,791	4,292,791
Merit Energy Partners I	FMV	17,380,811	17,380,811
Merit Energy Partners J	FMV	12,516,752	12,516,752
Old Ironsides Energy Fund II-B	FMV	3,684,634	3,684,634
Old Ironsides Energy Fund III	FMV	7,551,978	7,551,978
Resource Capital Fund IV	FMV	5,553,734	5,553,734
Resource Capital Fund VI	FMV	7,637,697	7,637,697
SCF V	FMV	0	0
SCF VI	FMV	1,276,440	1,276,440
SCF VII	FMV	4,609,877	4,609,877
SCF VIII	FMV	10,679,229	10,679,229
SCF IX	FMV	12,282,059	12,282,059
SFC Energy Fund III	FMV	0	0
White Deer Energy II	FMV	6,314,626	6,314,626
30 Opportunity Fund	FMV	32,911,208	32,911,208
Beaupre Value Partners	FMV	40,626,220	40,626,220
Bayberry Offshore Limited	FMV	14,817,977	14,817,977
Beacon Light Offshore Fund	FMV	28,353,415	28,353,415
Blue Ridge Offshore, LP	FMV	209,693	209,693
Bonsai Offshore Partners	FMV	13,227	13,227
Caledal Kensington Global Strategies	FMV	156,682,481	156,682,481
Copernicus	FMV	0	0
Farmstead Offshore Fund, Ltd	FMV	18,002,362	18,002,362
Main Institutional Portfolio Neuberlinger Limit	FMV	124,838,006	124,838,006
Newton TE Partners	FMV	79,531,394	79,531,394
Park Presidio Capital Offshore Fund	FMV	0	0
Seivert Partners, Ltd	FMV	46,268,096	46,268,096
Spectrum	FMV	50,801,365	50,801,365
Tiki China Focus Fund	FMV	75,149,631	75,149,631
Tiki Global Fund	FMV	36,612,426	36,612,426
Two Sigma Compass Enhanced Cayman Fund	FMV	29,494,681	27,496,945
Two Sigma Mercury Fund	FMV	27,315,354	27,315,354
Two Sigma Spectrum Cayman Fund	FMV	46,167,000	46,167,000
TwinBeach Capital Offshore Fund LP	FMV	50,649,693	50,649,693
Twin Tree Capital	FMV	13,522,222	13,522,222
Verde Fund IV	FMV	24,053,945	24,053,945
Verde Fund V	FMV	0	0
Verde Europe Fund (Offshore)	FMV	6,143,694	6,143,694
Working Capital Partners	FMV	46,795,500	46,795,500
Aspro Capital Offshore	FMV	4,349,201	4,349,201
Sciens Capital Management	FMV	46,268,096	46,268,096
Sciens Capital Management	FMV	50,801,365	50,801,365
Tiki China Focus Fund	FMV	75,149,631	75,149,631
Tiki Global Fund	FMV	36,612,426	36,612,426
Two Sigma Compass Enhanced Cayman Fund	FMV	29,494,681	27,496,945
Two Sigma Mercury Fund	FMV	27,315,354	27,315,354
Two Sigma Spectrum Cayman Fund	FMV	46,167,000	46,167,000
TwinBeach Capital Offshore Fund LP	FMV	50,649,693	50,649,693
Twin Tree Capital	FMV	13,522,222	13,522,222
Verde Fund IV	FMV	24,053,945	24,053,945
Verde Fund V	FMV	0	0
Verde Europe Fund (Offshore)	FMV	6,143,694	6,143,694
Working Capital Partners	FMV	46,795,500	46,795,500
Aspro Capital Offshore	FMV	4,349,201	4,349,201

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Leasehold Improvoements	9,211,956	5,195,336	4,016,620	4,016,620
Furniture and Equipment	3,733,263	3,151,222	582,041	582,041

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Legal Fees	375,807	176,566		176,506

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

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Software ID: 21014044

Software Version: 2021v4.2

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued Income Receivable	97,180	0	0

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Description	Amount
Decrease in unrealized gains	930,664,328

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Office Expenses	657,582	59,767		593,019
IT Expenses	711,868	96,858		691,434
Association Memberships	22,974	7,607		15,720
Insurance	80,329	0		83,616

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Net income from partnerships	31,143,427	31,097,571	
Loss from Stratus SCP III Investors - Beta LP		-83,409	
Subpart F Income from Beaconlight Offshore Ltd		7,373,472	
Subpart F Income from Farmstead Offshore Fund Ltd		2,561,568	
Subpart F Income from Greenoak Europe II Feeder LP		305,620	
Subpart F Income from SFC Foresta Ltd		5,267,941	

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Description	Beginning of Year - Book Value	End of Year - Book Value
Deferred Taxes Liability	29,132,077	14,864,598

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management and Custody	21,334,981	5,325,999	0	0
Program Consulting	1,675,416	0	0	1,600,229

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal Excise Unrelated Business Income Tax	8,801,339			
States Unrelated Business Income Tax	150,947			

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Name	US / Foreign Address	EIN	Description	Amount
SFC Foresta Fund LTD	Mourant Ozannes Corp Services LTD 94 Solaris Avenue Camana Bay Grand Cayman KY11108 CJ	000000000	Redemption	6,984,522
Squadra Equity Fund LTD	C/O Intertrust Corp Services Ltd 190 Elgin Ave George Town, Grand Cayman KY19905 CJ	98-0575612	Redemption	30,000,000
Total				

TY 2021 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Software ID: 21014044

Software Version: 2021v4.2

Name	US / Foreign Address	EIN	Description	Amount
Stratus Capital Partners III-Beta LP	50 Lothian Road Festival Square Edinburgh EH39WJ UK	98-1393083	Capital Contribution	1,188,944
Man Institutional Portfolio Neodymium LTD	Maples Corporate Services LTD PO Box 309 Ugland House, Grand Cayman KY11104,CJ CJ	000000000	Capital Contribution	120,000,000
Total				