

For calendar year 2022, or tax year beginning 01-01-2022

, and ending 12-31-2022

Name of foundation THE CHARLES A DANA FOUNDATION INCORPORATED		A Employer identification number 06-6036761	
Number and street (or P.O. box number if mail is not delivered to street address) 1270 AVENUE OF THE AMERICAS 12TH F		Room/suite	B Telephone number (see instructions) (212) 223-4040
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100201725		C If exemption application is pending, check here ☐	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ <u>235,447,051</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	472	472		
	4 Dividends and interest from securities	1,002,680	3,776,752		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	2,159,568			
	b Gross sales price for all assets on line 6a _____ 17,065,838				
	7 Capital gain net income (from Part IV, line 2)		2,995,947		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	1,492,859	-5,822,100		
	12 Total. Add lines 1 through 11	4,655,579	951,071		
	13 Compensation of officers, directors, trustees, etc.	1,322,545	247,077		1,075,468
	14 Other employee salaries and wages	1,686,545	145,212		1,541,033
	15 Pension plans, employee benefits	356,493	119,858		1,271,104
	16a Legal fees (attach schedule)	13,785	0		44,574
	b Accounting fees (attach schedule)	80,461	0		58,426
	c Other professional fees (attach schedule)	1,352,427	564,814		799,833
	17 Interest		468,700		
	18 Taxes (attach schedule) (see instructions)	18,814	142,902		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	382,184	38,218		221,468
	21 Travel, conferences, and meetings	77,814	0		77,814
	22 Printing and publications	13,519	0		13,519
	23 Other expenses (attach schedule)	484,597	1,612,088		372,999
	24 Total operating and administrative expenses. Add lines 13 through 23	5,789,184	3,338,869		5,476,238
	25 Contributions, gifts, grants paid	5,702,542			5,843,442
	26 Total expenses and disbursements. Add lines 24 and 25				
		11,491,726	3,338,869		11,319,680
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-6,836,147			
	b Net investment income (if negative, enter -0-)		0		
	c Adjusted net income (if negative, enter -0-)				

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		6,405,793	4,915,135	4,915,135
	2	Savings and temporary cash investments			317,416	317,416
	3	Accounts receivable ▶ <u>1,822</u>				
		Less: allowance for doubtful accounts ▶ _____		1,229	1,822	1,822
	4	Pledges receivable ▶ _____				
		Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____				
		Less: allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)		38,409,765	6,942,411	6,942,411
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment: basis ▶ _____				
	Less: accumulated depreciation (attach schedule) ▶ _____					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)		234,847,983	221,583,505	221,583,505	
14	Land, buildings, and equipment: basis ▶ _____					
	Less: accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)		42,623	1,686,762	1,686,762	
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		279,707,393	235,447,051	235,447,051	
Liabilities	17	Accounts payable and accrued expenses		326,520	131,842	
	18	Grants payable		1,410,106	1,269,206	
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)		4,532,445	4,286,967	
	23	Total liabilities (add lines 17 through 22).		6,269,071	5,688,015	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☒					
	and complete lines 24, 25, 29 and 30.					
	24	Net assets without donor restrictions		273,438,322	229,759,036	
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ▶ ☐					
	and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances (see instructions)		273,438,322	229,759,036	
30	Total liabilities and net assets/fund balances (see instructions) .		279,707,393	235,447,051		

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	273,438,322
2	Enter amount from Part I, line 27a	2	-6,836,147
3	Other increases not included in line 2 (itemize) ▶ _____	3	545,764
4	Add lines 1, 2, and 3	4	267,147,939
5	Decreases not included in line 2 (itemize) ▶ _____	5	37,388,903
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	229,759,036

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES	P		
b KING STREET CAPITAL, LTD.	P		
c THRU 1607 CAPITAL INTER EQU FD, LP K-1	P		
d THRU ABBOTT CAPITAL PRIVATE EQU FD IV LP K-1	P		
e THRU ABBOTT CAPITAL PRIVATE EQU FD V LP K-1	P		
THRU ABBOTT CAP PRIVATE EQU FD VI LP K-1	P		
THRU ADAGE CAPITAL PARTNERS, L.P. K-1	P		
THRU ADAMAS OPPORTUNITIES, LP K-1	P		
THRU COMMONFUND CAP NAT RES PTR IX LP K-1	P		
THRU COMMONFUND CAP PRIV EQU PTR VI, LP K-1	P		
THRU COMMONFUND CAP VENTURE PTR VIII, LP K-1	P		
THRU COMMONFUND INTERNATIONAL PTR VI, LP K-1	P		
THRU COMMONFUND PRIVATE EQU PTR VII, LP K-1	P		
THRU DAVIDSON KEMPNER INST PTR LP K-1	P		
THRU DODDINGTON EMERGING MARKETS FD, LLC K-1	P		
THRU ENCAP FLATROCK MIDSTREAM FD IV, LP K-1	P		
THRU ITE RAIL FUND, LP K-1	P		
THRU KLINE HILL PARTNERS FUND II LP K-1	P		
THRU KLINE HILL PARTNERS FUND III LP K-1	P		
THRU KLINE HILL PTR OPPORT FD III LP K-1	P		
THRU KNIGHTSBRIDGE VEN CAP VI LP SER VC K-1	P		
THRU LEAD EDGE CAPITAL V, LP K-1	P		
THRU MOONRISE CHINA PARTNERS II LP K-1	P		
THRU QIMING VENTURE PARTNERS VII, LP K-1	P		
THRU REN. INST DIVER GLO EQU ONS FD LP K-1	P		
THRU SILCHESTER INT INV INT VAL EQU TRU K-1	P		
THRU THE OVERLOOK PARTNERS FUND LP K-1	P		
THRU TIFF PARTNERS III, LLC K-1	P		
THRU TRUEBRIDGE CAPITAL PTR FUND V LP K-1	P		
THRU TRUEBRIDGE CAPITAL PTR FUND VI LP K-1	P		
THRU TRUEBRIDGE CAPITAL PTR FUND VII LP K-1	P		
THRU WARBURG PINCUS GLO GRO (E&P)-2 LP K-1	P		
THRU WARBURG PINCUS GLOBAL GROWTH LP K-1	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 16,999,512		15,243,410	1,756,102
b 66,326			66,326
c			-27,501
d			-25,854
e			-90,495
			31
			537
			70,323
			19,578
			147,280
			649,768
			189,914
			812,487
			106,644
			-138,269
			-441
			12,789
			149,832
			107,629
			17,289
			73,874
			-50,390
			11,401
			-126
			-958,944
			190,478
			-250,192
			3,915
			97,149
			26,215
			-163
			-53
			28,814

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,756,102
b			66,326
c			-27,501
d			-25,854
e			-90,495
			31
			537
			70,323
			19,578
			147,280
			649,768
			189,914
			812,487
			106,644
			-138,269
			-441
			12,789
			149,832
			107,629
			17,289
			73,874
			-50,390
			11,401
			-126
			-958,944
			190,478
			-250,192
			3,915
			97,149
			26,215
			-163
			-53
			28,814

Capital gain net income or (net capital loss)		2	2,995,947
If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7			
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		
	If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3

Part V

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)	1	0
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	0
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	0
6	Credits/Payments:		
a	2022 estimated tax payments and 2021 overpayment credited to 2022	6a	421,678
b	Exempt foreign organizations—tax withheld at source	6b	0
c	Tax paid with application for extension of time to file (Form 8868)	6c	100,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d	7	521,678
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2221 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	521,678
11	Enter the amount of line 10 to be: Credited to 2023 estimated tax Refunded	11	0

Part VI-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ <u>0</u> (2) On foundation managers. ☐ \$ <u>0</u>			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ <u>0</u>			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XIV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY, MD			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2022 or the taxable year beginning in 2022? See the instructions for Part XIII. <i>If "Yes," complete Part XIII</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VI-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►WWW.DANA.ORG	13	Yes	
14	The books are in care of ►GERALDINE AMERA Telephone no. ►(212) 223-4040 Located at ►1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK NY ZIP+4 ►100201725			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2022, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign				

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	Yes	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	Yes	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
c	Organizations relying on a current notice regarding disaster assistance check here.			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2022?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2022, did the foundation have any undistributed income (Part XII, lines 6d and 6e) for tax year(s) beginning before 2022?. If "Yes," list the years ► 20____, 20____, 20____, 20____	2a		No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2022 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2022.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2022?	4b		No

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

(3) Provide a grant to an individual for travel, study, or other similar purposes?

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

b

If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

c

Organizations relying on a current notice regarding disaster assistance check

d

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

6b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

7b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

8

Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Yes

No

5a(1)

No

5a(2)

No

5a(3)

No

5a(4)

Yes

5a(5)

No

5b

No

5d

Yes

6a

No

6b

No

7a

No

7b

8

No

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CAROLINE MONTOJO 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR, PRESIDENT 35.00	356,250	87,209	0
STEVEN E HYMAN 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR, CHAIRMAN 2.00	6,000	0	0
CHARLES A DANA III 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR, VICE CHAIRMAN 2.00	6,000	0	0
WALLACE COOK 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR, SECRETARY 2.00	6,000	0	0
EDWARD BLEIER 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR 2.00	6,000	0	0
ANN MCLAUGHLIN KOROLOGUS 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR 2.00	6,000	0	0
HILDEGARDE MAHONEY 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR 2.00	6,000	0	0
PETER NADOSY 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR 2.00	6,000	0	0
BARBARA E GILL 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	DIRECTOR EMERITUS 1.00	17,946	1,171	0
BURTON MIRSKY 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	PRESIDENT EMERITUS 35.00	469,091	76,504	0
GERALDINE AMERA 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	CHIEF OPERATING OFFICER, TREASURER 35.00	213,750	51,520	0
KHARA RAMOS 1270 AVENUE OF THE AMERICAS 12TH FL NEW YORK, NY 100201725	VP OF NEUROSCIENCE & SOCIETY 35.00	223,508	39,860	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES RUTT 1270 AVE OF THE AMERICAS 12TH FL NY,NY 100201725	CHIEF INFORMATION OF 35.00	214,540	89,166	0
CAROLYN ASBURY 1270 AVE OF THE AMERICAS 12TH FL NY,NY 100201725	PROGRAM OFFICER (RET 1.00	228,619	0	0
PAT MANGINI 1270 AVE OF THE AMERICAS 12TH FL NY,NY 100201725	DIRECTOR OF HUMAN RE 32.00	98,625	62,268	0
STEVEN PROTASS 1270 AVE OF THE AMERICAS 12TH FL NY,NY 100201725	FINANCIAL ANALYST 24.00	102,675	51,878	0
ISHAN DASGUPTA 1270 AVE OF THE AMERICAS 12TH FL NY,NY 100201725	PROGRAM OFFICER 35.00	110,250	43,817	0
Total number of other employees paid over \$50,000.				11

Form 990-PF (2022)

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CAMBRIDGE ASSOCIATES 125 HIGH STREET BOSTON,MA 021102112	INVESTMENT MGMT. & ADVISORY	392,000
MATTHEW SCHWARTZ DESIGN STUDIO LLC 82 NASSAU STREET 909 NEW YORK,NY 100383703		
SPENCER STUART 353 N CLARK STREET SUITE 2400 CHICAGO,IL 606543479	WEB DESIGN	352,320
EAGLE CAPITAL MANAGEMENT LLC 499 PARK AVENUE NEW YORK,NY 100221876		
PKF O'CONNOR DAVIES LLP 500 MAMARONECK AVENUE SUITE 301 HARRISON,NY 105281633	PERSONNEL SEARCH	209,000
	INVESTMENT MGMT. & ADVISORY	76,084
	FINANCIAL STATEMENT AUDIT	73,426

Total number of others receiving over \$50,000 for professional services. ►

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 COMMUNICATIONS -WEBSITE: THE FOUNDATION'S WEBSITE FEATURES INFORMATION ABOUT THE ORGANIZATION; GRANTS INFORMATION; OCCASIONAL STORIES AND FACT SHEETS ON NEUROSCIENCE; PUBLICATIONS; LINKS TO SOCIAL MEDIA; AND THE ABILITY TO SUBSCRIBE TO THE FOUNDATION'S MONTHLY NEWSLETTER. THE BRAIN AWARENESS WEEK WEBSITE OFFERS PARTNERS AND THE PUBLIC ACCESS TO THE INTERNATIONAL CALENDAR OF EVENTS; FREE DOWNLOADABLE MATERIALS AND EDUCATIONAL RESOURCES; AND INFORMATION ABOUT THE ANNUAL CAMPAIGN.SOCIAL MEDIA: COMMUNICATIONS STAFF SCHEDULE AND POST REGULARLY ON THE FOUNDATION'S SIX SOCIAL MEDIA PLATFORMS. POSTS PROMOTE THE FOUNDATION, FOUNDATION GRANTS AND GRANTMAKING PROGRAMS, ITS PARTNERS' INITIATIVES, AND PROVIDES RESOURCES TO THE PUBLIC. OCCASIONALLY STAFF USE PAID PROMOTION ON THE PLATFORMS TO REACH TARGETED AUDIENCES.	2,997,590
2 GRANTS ADMINISTRATION -THE FOUNDATION ADMINISTERS PROGRAMS TO SUPPORT ITS NEUROSCIENCE AND SOCIETY FOCUS, THROUGH THE SPECIFICALLY DESIGNED OBJECTIVES ESTABLISHED BY THE FOUNDATION'S BOARD OF DIRECTORS. THE FOUNDATION'S PRINCIPAL INTEREST IS IN NEUROSCIENCE AND SOCIETY, BUT ALSO SUPPORTS QUALIFIED CIVIC AND CULTURAL ORGANIZATIONS AND MAINTAINS A MATCHING CHARITABLE GIFTS PROGRAM FOR ITS EMPLOYEES.	2,437,724
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	30,792,300
b	Average of monthly cash balances.	1b	5,380,658
c	Fair market value of all other assets (see instructions).	1c	212,919,579
d	Total (add lines 1a, b, and c).	1d	249,092,537
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	249,092,537
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	3,736,388
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.. . . .	5	245,356,149
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	12,267,807

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	12,267,807
2a	Tax on investment income for 2022 from Part V, line 5.	2a	
b	Income tax for 2022. (This does not include the tax from Part V.).	2b	
c	Add lines 2a and 2b.	2c	0
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	12,267,807
4	Recoveries of amounts treated as qualifying distributions.	4	157,568
5	Add lines 3 and 4.	5	12,425,375
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	12,425,375

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	11,319,680
b	Program-related investments—total from Part VIII-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.. . . .	4	11,319,680

Part XII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2021	(c) 2021	(d) 2022
1 Distributable amount for 2022 from Part X, line 7					12,425,375
2 Undistributed income, if any, as of the end of 2022:					
a Enter amount for 2021 only.				0	
b Total for prior years: 20____, 20____, 20____			0		
3 Excess distributions carryover, if any, to 2022:					
a From 2017.		1,233,595			
b From 2018.		2,923,051			
c From 2019.		792,285			
d From 2020.					
e From 2021.					
f Total of lines 3a through e.		4,948,931			
4 Qualifying distributions for 2022 from Part XI, line 4: ▶ \$ 11,319,680					
a Applied to 2021, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2022 distributable amount					11,319,680
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2022. (If an amount appears in column (d), the same amount must be shown in column (a).)		1,105,695			1,105,695
6 Enter the net total of each column as indicated below:					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		3,843,236			
b Prior years' undistributed income. Subtract line 4b from line 2b.			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.			0		
e Undistributed income for 2021. Subtract line 4a from line 2a. Taxable amount—see instructions.				0	
f Undistributed income for 2022. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2023					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).		0			
8 Excess distributions carryover from 2017 not applied on line 5 or line 7 (see instructions)		127,900			
9 Excess distributions carryover to 2023. Subtract lines 7 and 8 from line 6a		3,715,336			
10 Analysis of line 9:					
a Excess from 2018		2,923,051			
b Excess from 2019		792,285			
c Excess from 2020.					
d Excess from 2021					
e Excess from 2022					

Part XIII

Private Operating Foundations (see instructions and Part VI-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2022, enter the date of the ruling

b

Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part IX for each year listed

b

85% (0.85) of line 2a

c

Qualifying distributions from Part XI, line 4 for each year listed

d

Amounts included in line 2c not used directly for active conduct of exempt activities

e

Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3

Complete 3a, b, or c for the alternative test relied upon:

a

"Assets" alternative test—enter:

(1)

Value of all assets

(2)

Value of assets qualifying under section 4942(j)(3)(B)(i)

b

"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .

c

"Support" alternative test—enter:

(1)

Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2)

Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .

(3)

Largest amount of support from an exempt organization

(4)

Gross investment income

Part

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

CELINA SOOKSATAN
1270 AVENUE OF THE AMERICAS 12TH
FLOOR
NEW YORK, NY 100201725
(212) 223-4040
CSOOKSATAN@DANA.ORG

b

The form in which applications should be submitted and information and materials they should include:

A LETTER FULLY DESCRIBING THE PROPOSED REQUEST INCLUDING PROPOSED FUNDING

c

Any submission deadlines:

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

GRANTS ARE GENERALLY MADE UNDER THE FOUNDATION'S NEUROSCIENCE AND SOCIETY PROGRAMS AND DIRECTOR GRANT RULES. RECIPIENTS MUST BE QUALIFIED ORG'S.

Form 990-PF (2022)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Name and address (home or business)	Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PAID during the year					
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST AT 79TH STREET NEW YORK,NY 10024	N/A		P C	NEUROSCIENCE	5,000
AMERICAN REPERTORY THEATER 64 BRATTLE STREET CAMBRIDGE,MA 02138	N/A		P C	GENERAL OPERATING SUPPORT	25,000
ANDERSON SAKS RAKS CENTER PO BOX 5598 5263 OWL CREEK ROAD SNOWMASS VILLAGE,CO 81615	N/A		P C	GENERAL OPERATING SUPPORT	20,000
ARIZONA STATE UNIVERSITY 411 N CENTRAL AVE TEMPE,AZ 85287	N/A		P C	NEUROSCIENCE	167,000
ARTS CAMPUS AT WILLITS 360 MARKET STREET BASALT,CO 81621	N/A		P C	GENERAL OPERATING SUPPORT	25,000
ASPEN MUSIC FESTIVAL AND SCHOOL 225 MUSIC SCHOOL ROAD ASPEN,CO 81611	N/A		P C	GENERAL OPERATING SUPPORT	18,500
ASSOCIATION FOR PROTOTYPING FOR DEGENERATION 2700 HORIZON DRIVE SUITE 120 KING OF PRUSSIA,PA 19406	N/A		P C	GENERAL OPERATING SUPPORT	10,000
ATLANTA BALLET 1695 MARIETTA BLVD NW ATLANTA,GA 30318	N/A		P C	GENERAL OPERATING SUPPORT	1,000
AURICLE COMMUNICATIONS PO BOX 2011 JERSEY CITY,NJ 07303	N/A		P C	GENERAL OPERATING SUPPORT	150
BARD GRADUATE CENTER 18 WEST 86TH STREET NEW YORK,NY 10024	N/A		P C	GENERAL OPERATING SUPPORT	10,000
BARNARD COLLEGE 3059 BROADWAY NEW YORK,NY 10027	N/A		P C	GENERAL OPERATING SUPPORT	15,000
BIODIVERSITY 1361 AMSTERDAM SUITE 340 NEW YORK,NY 10027	N/A		P C	NEUROSCIENCE	4,000
BOSTON UNIVERSITY COLLEGE OF DENTISTRY 640 COMMONWEALTH AVENUE BOSTON,MA 02215	N/A		P C	NEUROSCIENCE	35,000
BOYS & GIRLS CLUB OF PALM BEACH COUNTY 800 NORTHPOINT PARKWAY SUITE 204 WEST PALM BEACH,FL 33407	N/A		P C	GENERAL OPERATING SUPPORT	15,000
BRAIN INSTITUTE OF LOUISIANA 4838 MAGAZINE ST NEW ORLEANS,LA 70115	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,500
BRAIN MIND EYOL 107 STONELEIGH ROAD WATERNEIGH,MA 02472	N/A		P C	NEUROSCIENCE	57,000
BREAST CANCER RESEARCH FOUNDATION INC 28 W 44 STREET SUITE 609 NEW YORK,NY 10018	N/A		P C	GENERAL OPERATING SUPPORT	5,000
BROOKLYN BOTANIC GARDEN 1000 WASHINGTON AVENUE BROOKLYN,NY 11225	N/A		P C	NEUROSCIENCE	2,500
BROOKLYN TECHNICAL HIGH SCHOOL 29 FORT GREENE PL BROOKLYN,NY 11217	N/A		P C	GENERAL OPERATING SUPPORT	1,000
BROOKS SCHOOL 1160 GREAT POND ROAD NORTH ANDOVER,MA 01845	N/A		P C	GENERAL OPERATING SUPPORT	10,000
BRUNSWICK SCHOOL 100 MAHER AVENUE GREENWICH,CT 06830	N/A		P C	GENERAL OPERATING SUPPORT	50,000
CAMBRIDGE IN AMERICA CLARE COLLEGE NEW YORK,NY 10036	N/A		P C	GENERAL OPERATING SUPPORT	25,000
CARLETON COLLEGE 206 KINGSDOWN AVE NEW YORK,NY 10017	N/A		P C	GENERAL OPERATING SUPPORT	2,000
CARSON SCHOLARS FUND INC 305 W CHESAPEAKE AVENUE SUITE 310 TOWSON,MD 21204	N/A		P C	GENERAL OPERATING SUPPORT	5,000
CENTER FOR NEUROSCIENCES FOUNDATION 2450 EAST RIVER ROAD TUCSON,AZ 85718	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,500
CHADWICK FOUNDATION PO BOX 3046 PALM BEACH,FL 33480	N/A		P F	GENERAL OPERATING SUPPORT	10,000
CHAI LIFELINE 151 W 30TH ST NEW YORK,NY 10001	N/A		P C	NEUROSCIENCE	100
CHS NORTH AMERICAN FOUNDATION INC 205 EAST 22ND STREET 5C NEW YORK,NY 10010	N/A		P C	GENERAL OPERATING SUPPORT	3,220
CIDP FOUNDATION INTERNATIONAL 375 EAST ELM STREET SUITE 101 CONSHOHOCKEN,PA 19428	N/A		P C	NEUROSCIENCE	100
COLD SPRING HARBOR LABORATORY 1 BUNGTOWN RD COLD SPRING HARBOR,NY 11724	N/A		P C	GENERAL OPERATING SUPPORT	5,000
COLORADO MOUNTAIN COLLEGE 802 GRAND AVENUE GLENWOOD SPRINGS,CO 81601	N/A		P C	GENERAL OPERATING SUPPORT	5,000
COOPER UNION 30 COOPER SQUARE NEW YORK,NY 10003	N/A		P C	GENERAL OPERATING SUPPORT	10,000
COX SCIENCE CENTER AND AQUARIUM 801 DREHER TRAIL NW WEST PALM BEACH,FL 33405	N/A		P C	GENERAL OPERATING SUPPORT	5,000
DAHLONEGA SCIENCE COUNCIL 822 COLLEGE CIRCLE 202 BARNES HALL DAHLONEGA,GA 30533	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	800
DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVENUE BOSTON,MA 02215	N/A		P C	NEUROSCIENCE	200,000
DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVENUE BOSTON,MA 02215	N/A		P C	GENERAL OPERATING SUPPORT	5,000
DARTMOUTH COLLEGE 6132 MCNUTT HALL ROOM 103 HANOVER,NH 03755	N/A		P C	GENERAL OPERATING SUPPORT	5,000
DARTMOUTH COLLEGE 205 RANTON PLACE EAST HAMPTON,CT 06027	N/A		P C	GENERAL OPERATING SUPPORT	5,000
DARTMOUTH COLLEGE 205 RANTON PLACE EAST HAMPTON,CT 06027	N/A		P C	GENERAL OPERATING SUPPORT	70,000
DEPARTMENT OF EUROPEAN NEUROSCIENCE SOCIETIES (FENS) 11 RUE DE MONTMARTRE BERLIN,GM	N/A		P C	NEUROSCIENCE	70,000
FLORIDA ATLANTIC UNIVERSITY FOUNDATION 777 GLADES ROAD AD 295 Boca RATON,FL 33431	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,500
FOUNDATION MILANOLLO CHEMIN DES CROIX-ROUGES 5 25 FRAENKLEIN CHAM,FR	N/A		P C	GENERAL OPERATING SUPPORT	5,000
FRICK COLLECTION 1 E 70TH STREET NEW YORK,NY 10021	N/A		P C	NEUROSCIENCE	5,000
FRIENDS OF ST FRANCIS XAVIER UNIVERSITY 375 CONCORD AVENUE SUITE 004 BALMONT,MA 02478	N/A		P C	GENERAL OPERATING SUPPORT	25,000
GEORGIA INSTITUTE OF TECHNOLOGY 928 DALNEY STREET NW ATLANTA,GA 30332	N/A		P C	NEUROSCIENCE	149,525
GEORGIA STATE UNIVERSITY RESEARCH FOUNDATION 58 EDGEWOOD AVE NE FL 3 ATLANTA,GA 30303	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,500
GEORGIA STATE UNIVERSITY 58 EDGEWOOD AVE ATLANTA,GA 30302	N/A		P C	NEUROSCIENCE	149,883
GEORGIA TECH RESEARCH CORPORATION 926 DALNEY ST NW ATLANTA,GA 30332	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,500
GLOBALGIVING FOUNDATION INC 1110 VERMONT AVE NW SUITE 550 WASHINGTON,DC 20005	N/A		P C	GENERAL OPERATING SUPPORT	4,000
GREENWICH ACADEMY 200 N MAPLE AVE GREENWICH,CT 06830	N/A		P C	GENERAL OPERATING SUPPORT	30,000
GUILD HALL OF EAST HAMPTON 158 MAIN STREET EAST HAMPTON,NY 11937	N/A		P C	GENERAL OPERATING SUPPORT	5,000
HARLEM ACADEMY 1350 1ST AVENUE NEW YORK,NY 10026	N/A		P C	GENERAL OPERATING SUPPORT	5,000
HARVARD ART MUSEUM 32 QUINCY STREET CAMBRIDGE,MA 02138	N/A		P C	GENERAL OPERATING SUPPORT	10,000
HARVARD MEDICAL SCHOOL 1635 TREMONT STREET BOSTON,MA 02115	N/A		P C	GENERAL OPERATING SUPPORT	11,515
HARVARD SCHOLARS AT RISK 32 QUINCY STREET CAMBRIDGE,MA 02138	N/A		P C	NEUROSCIENCE	25,000
MASSACHUSETTS HALL BOSTON,MA 02115	N/A		P C	GENERAL OPERATING SUPPORT	250,060
HOPE COLLEGE 100 E 8TH STREET SUITE 210 HOLLAND,MI 49423	N/A		P C	NEUROSCIENCE	1,500
INTERNATIONAL BRAIN RESEARCH ORGANIZATION 255 RUE SAINT-HONORE PARIS,FR	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	75,000
INTERNATIONAL BRAIN BEE 1121 14TH STREET NW WASHINGTON,DC 20005	N/A		P C	NEUROSCIENCE	25,000
INTERNATIONAL NEUROETHICS SOCIETY 4938 HAMDEN LANE 553 PHILADELPHIA,PA 19104	N/A		P C	GENERAL OPERATING SUPPORT	25,000
JAMES C FERRER EDUCATION FUND SHINNECOCK HILLS GOLF CLUB 200 TUCKAHOE ROAD SOUTHAMPTON,NY 11968	N/A		P C	GENERAL OPERATING SUPPORT	5,000
JEWISH COMMUNITY CENTER IN MANHATTAN 334 AMSTERDAM AVE AT 76TH STREET NEW YORK,NY 10023	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,500
JOAN & SANFORD I WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY 1300 YORK AVENUE BOX 140 NEW YORK,NY 10005	N/A		P C	GENERAL OPERATING SUPPORT	5,000
JOHNS HOPKINS UNIVERSITY SCHOOL OF MEDICINE 3400 N CHARLES STREET BALTIMORE,MD 21205	N/A		P C	GENERAL OPERATING SUPPORT	150,000
LINCOLN CENTER THEATER 156 W 65TH ST NEW YORK,NY 10023	N/A		P C	GENERAL OPERATING SUPPORT	5,000
LOUIS ARMSTRONG HOUSE MUSEUM 34-56 107TH ST CORONA,NY 11368	N/A		P C	GENERAL OPERATING SUPPORT	2,000
LOYOLA UNIVERSITY OF CHICAGO 820 N MICHIGAN AVE CHICAGO,IL 60660	N/A		P C	NEUROSCIENCE	142,971
LUPUS RESEARCH ALLIANCE 270 MADISON AVE SUITE 300 NEW YORK,NY 10016	N/A		P C	GENERAL OPERATING SUPPORT	1,000
MARTHA GRAHAM CENTER OF CONTEMPORARY DANCE 153 EAST 53RD STREET SUITE 5101 NEW YORK,NY 10022	N/A		P C	GENERAL OPERATING SUPPORT	2,500
MASSACHUSETTS GENERAL HOSPITAL 55 FRUIT ST BOSTON,MA 02129	N/A		P C	GENERAL OPERATING SUPPORT	10,000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE,MA 02139	N/A		P C	NEUROSCIENCE	148,690
MAYO CLINIC 200 FIRST STREET SW ROCHESTER,MN 55905	N/A		P C	GENERAL OPERATING SUPPORT	30,000
MEZ ORCHESTRA INC 529 MAIN STREET SUITE 103 CHARLESTOWN,MA 02129	N/A		P C	GENERAL OPERATING SUPPORT	2,020
MEDICAL UNIVERSITY OF SOUTH CAROLINA FOUNDATION 18 BEE STREET MSC450 CHARLESTON,SC 29425	N/A		P C	GENERAL OPERATING SUPPORT	10,000
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK,NY 10028	N/A		P C	NEUROSCIENCE	3,000
METROPOLITAN OPERA ASSOCIATION LINCOLN CENTER NEW YORK,NY 10023	N/A		P C	NEUROSCIENCE	2,750
MIDDLE EAST INVESTMENT INITIATIVE (MEII) 2300 N STREET NW SUITE 700 WASHINGTON,DC 20037	N/A		P C	GENERAL OPERATING SUPPORT	5,000
MORGAN LIBRARY & MUSEUM 275 MADISON AVENUE NEW YORK,NY 10016	N/A		P C	GENERAL OPERATING SUPPORT	7,500
MURRAY ELEMENTARY SCHOOL PTO 111 S BRADSHAW ST MURRAY,KY 42071	N/A		P C	NEUROSCIENCE	1,400
MUSEUM OF ARTS & DESIGN 2 COLUMBUS CIR NEW YORK,NY 10019	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,000
MUSEUM OF MODERN ART 11 WEST 53RD STREET NEW YORK,NY 10019	N/A		P C	GENERAL OPERATING SUPPORT	5,000
MUSEUM OF THE CITY OF NEW YORK 1220 FIFTH AVENUE AT 103RD STREET NEW YORK,NY 10029	N/A		P C	NEUROSCIENCE	2,500
NATIONAL BRAIN TUMOR SOCIETY 22 BATTERY STREET SUITE 612 BETHESDA,MD 20892	N/A		P C	GENERAL OPERATING SUPPORT	200
NATIONAL MULTIPLE SCLEROSIS SOCIETY 1 KALLISA WAY STE 205 PARANUS,NY 07652	N/A		P C	GENERAL OPERATING SUPPORT	10,000
NATIONAL PARKINSON FOUNDATION 1501 NW 9TH AVE NEW YORK,NY 10003	N/A		P C	GENERAL OPERATING SUPPORT	5,000
NATIONAL SAILING HALL OF FAME MUSEUM INC 365 THAMES STREET NEWPORT,RI 02840	N/A		P C	GENERAL OPERATING SUPPORT	50,000
NEST M PTA 111 COLUMBIA ST NEW YORK,NY 10002	N/A		P C	GENERAL OPERATING SUPPORT	1,000
NEUE GALLERY NEW YORK 1048 FIFTH AVENUE NEW YORK,NY 10028	N/A		P C	NEUROSCIENCE	5,000
NEW VENTURE FUND 1828 STREET NW SUITE 300A WASHINGTON,DC 20036	N/A		P C	NEUROSCIENCE	116,667
NEW YORK BOTANICAL GARDEN OFFICE OF THE PRESIDENT 2900 ADVANCEMENT OF SCIENCE (AAAS) BRONX,NY 10458	N/A		P C	GENERAL OPERATING SUPPORT	2,500
NEW YORK PHILHARMONIC AVERY FISHER HALL 10 LINCOLN PLAZA NEW YORK,NY 10023	N/A		P C	NEUROSCIENCE	5,000
NEW YORK PRESBYTERIAN HOSPITAL 654 WEST 170TH STREET NEW YORK,NY 10032	N/A		P C	GENERAL OPERATING SUPPORT	30,000
NEW YORK PRESBYTERIANWELL CORNELL MEDICAL CENTER DEPARTMENT OF REHABILITATION 525 EAST 68TH STREET 18TH FLOOR NEW YORK,NY 10021	N/A		P C	GENERAL OPERATING SUPPORT	15,000
NEW YORK STEM CELL FOUNDATION 619 W 54 STREET NEW YORK,NY 10019	N/A		P C	GENERAL OPERATING SUPPORT	20,000
NEW YORK UNIVERSITY SCHOOL OF MEDICINE 435 EAST 30TH STREET 1107 NEW YORK,NY 10016	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,500
NEW YORK YACHT CLUB FOUNDATION 37 W 44 STREET NEW YORK,NY 10036	N/A		P C	GENERAL OPERATING SUPPORT	25,000
NEWPORT FESTIVAL FOUNDATION 110 FORT ADAMS DR NEWPORT,RI 02840	N/A		P C	GENERAL OPERATING SUPPORT	2,000
NEWPORT HOSPITAL FOUNDATION 11 FRIENDSHIP STREET NEWPORT,RI 02840	N/A		P C	GENERAL OPERATING SUPPORT	20,000
NONPROFIT NEW YORK 320 E 43 STREET 3RD FLOOR NEW YORK,NY 10017	N/A		P C	NEUROSCIENCE	10,000
NORTHWEST NOGGIN 4832 NE CLEVELAND AVENUE PORTLAND,OR 97201	N/A		P C	NEUROSCIENCE	1,500
NOVA SOUTHEASTERN UNIVERSITY 3301 COLLEGE AVENUE FORT LAUDERDALE,FL 33328	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,500
OCHSNER CLINIC FOUNDATION 1514 JEFFERSON HIGHWAY NEW ORLEANS,LA 70124	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,500
ONE SANDWICH AT A TIME (OSAA) 15 FISHER LANE WHITE PLAINS,NY 10016	N/A		P C	GENERAL OPERATING SUPPORT	1,000
PALM BEACH CIVIC ASSOCIATION 139 N COUNTRY ROAD SUITE 33 PALM BEACH,FL 33480	N/A		P C	GENERAL OPERATING SUPPORT	6,000
PALM BEACH POLICE & FIRE FOUNDATION INC 190 NORTH COUNTRY ROAD SUITE 26 PALM BEACH,FL 33480	N/A		P C	GENERAL OPERATING SUPPORT	10,000
PARKINSON'S FOUNDATION 10 UNION SQUARE E 5L NEW YORK,NY 10018	N/A		P C	GENERAL OPERATING SUPPORT	5,000
PENLAND SCHOOL OF CRAFTS 67 DORAS TRL BAKERSVILLE,NC 28705	N/A		P C	GENERAL OPERATING SUPPORT	20,000
PHILANTHROPY NY 320 E 43RD ST NEW YORK,NY 10017	N/A		P C	NEUROSCIENCE	8,000
PRESERVATION SOCIETY OF NEWPORT COUNTY 424 BELLEVUE AVENUE NEWPORT,RI 02840	N/A		P C	GENERAL OPERATING SUPPORT	5,000
PTA OF PS 452 INC 210 WEST 61ST STREET NEW YORK,NY 10023	N/A		P C	GENERAL OPERATING SUPPORT	1,036
REHABILITATION CENTER FOR CHILDREN 300 ROYAL PALM WAY PALM BEACH,FL 33480	N/A		P C	GENERAL OPERATING SUPPORT	5,000
RESEARCH AMERICA 100 COMMERCE WAY ALEXANDRIA,VA 22314	N/A		P C	NEUROSCIENCE	50,000
RICE UNIVERSITY 6100 MAIN ST HOUSTON,TX 77005	N/A		P C	GENERAL OPERATING SUPPORT	10,000
RIVERSIDE PARK CONSERVANCY (RIVERSIDE TENNIS ASSOCIATION) 475 RIVERSIDE DR SUITE 455 NEW YORK,NY 10115	N/A		P C	GENERAL OPERATING SUPPORT	1,000
ROCKLAND COMMUNITY FOUNDATION 14 LIBERTY SQUARE MALL NEW YORK,NY 10956	N/A		P C	GENERAL OPERATING SUPPORT	1,000
RONALD REAGAN PRESIDENTIAL FOUNDATION 40 PRESIDENTIAL DRIVE SUITE 200 SIMI VALLEY,CA 93065	N/A		P C	GENERAL OPERATING SUPPORT	10,000
ROSEMONT COLLEGE 1400 MONTGOMERY AVENUE ROSEMONT,PA 19010	N/A		P C	GENERAL OPERATING SUPPORT	5,000
ROXBURY LATIN SCHOOL 101 ST TERESA AVENUE WEST ROXBURY,MA 02132	N/A		P C	GENERAL OPERATING SUPPORT	20,000
ROBINSON MUSEUM OF ART 150 WEST 17TH STREET NEW YORK,NY 10011	N/A		P C	NEUROSCIENCE	5,000
SAINT ANDREWS PRESERVATION SOCIETY PO BOX 1245 SOUTHAMPTON,NY 11969	N/A		P C	GENERAL OPERATING SUPPORT	10,000
SCIENCE LIVE PRODUCTIONS INC 560 2ND STREET 2F BROOKLYN,NY 11215	N/A		P C	NEUROSCIENCE	9,000
SCIENTISTS INCORPORATED 1621 E 4TH AVENUE SUITE 201 SMITH CENTER,KANSAS 66567	N/A		P C	GENERAL OPERATING SUPPORT	1,500
SEATTLE UNIVERSITY 1313 E COLUMBIA ST SEATTLE,WA 98122	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,500
SKY SCIENCE FESTIVAL 1332 PARK ST BOWLING GREEN,KY 42101	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,500
SOCIETY FOR NEUROSCIENCE (SfN) 1121 14TH STREET NW SUITE 1010 WASHINGTON,DC 20005	N/A		P C	NEUROSCIENCE	60,000
SOCIETY FOR NEUROSCIENCE PACIFIC CASCADE CHAPTER 3800 E STEVENS WAY NE SEATTLE,WA 98195	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,500
SOCIETY FOR NEUROSCIENCE SUN CITY CHAPTER W UNIVERSITY AVENUE EL PASO,TX 79968	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,400
SOLOMON R GUGGENHEIM FOUNDATION ONE LIBERTY PLAZA 24TH FLOOR NEW YORK,NY 10005	N/A		P C	NEUROSCIENCE	5,000
ST BERNARD'S SCHOOL 4 E 98TH ST NEW YORK,NY 10029	N/A		P C	GENERAL OPERATING SUPPORT	10,000
ST MICHAEL'S COUNTRY DAY SCHOOL 180 RHODE ISLAND AVE NEWPORT,RI 02840	N/A		P C	GENERAL OPERATING SUPPORT	15,000
ST SEBASTIAN CATHOLIC ACADEMY 39-76 58TH ST QUEENS,NY 11377	N/A		P C	GENERAL OPERATING SUPPORT	200
ST THOMAS MORE PLAY GROUP 65 E 89 STREET NEW YORK,NY 10018	N/A		P C	GENERAL OPERATING SUPPORT	10,000
STONY BROOK UNIVERSITY W5510 FRANK MELVILLE JR MEMORIAL STONY BROOK,NY 11749	N/A		P C	NEUROSCIENCE	147,630
STUYVESANT HIGH SCHOOL 345 CHAMBERS ST NEW YORK,NY 10028	N/A		P C	GENERAL OPERATING SUPPORT	1,000
SUNY STONY BROOK UNIVERSITY 230 ADMINISTRATION BUILDING STONY BROOK,NY 11794	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,400
THE ASPEN INSTITUTE 225 MUSIC SCHOOL ROAD NEW YORK,NY 10016	N/A		P C	GENERAL OPERATING SUPPORT	15,000
THE BOY'S CLUB OF NEW YORK 91 5TH AVE 7TH FLOOR NEW YORK,NY 10029	N/A		P C	GENERAL OPERATING SUPPORT	5,000
THE BREAKER SCHOOL 610 EAST 83RD STREET NEW YORK,NY 10029	N/A		P C	GENERAL OPERATING SUPPORT	25,000
THE BROOKLYN MUSEUM INC 200 EASTERN PARKWAY BROOKLYN,NY 11238	N/A		P C	NEUROSCIENCE	2,500
THE CORNING MUSEUM OF GLASS 1 MUSEUM WAY CORNING,NY 14830	N/A		P C	GENERAL OPERATING SUPPORT	20,000
THE COUNCIL ON FOREIGN RELATIONS 58 EAST 68TH STREET NEW YORK,NY 10021	N/A		P C	GENERAL OPERATING SUPPORT	25,000
THE DANA ALLIANCE FOR BRAIN INITIATIVES INC 1270 AVENUE OF THE AMERICAS 12TH FLOOR NEW YORK,NY 10020	N/A		P OF	GENERAL OPERATING SUPPORT	1,402,004
THE HASTINGS CENTER 255 ELM ROAD GARRISON,NY 10524	N/A		P C	NEUROSCIENCE	242,000
THE HOTCHKISS SCHOOL 11 INTERLAKEN RD LAKEVILLE,VT 05603	N/A		P C	GENERAL OPERATING SUPPORT	5,000
THE JEWISH MUSEUM 1109 5TH AVENUE NEW YORK,NY 10018	N/A		P C	NEUROSCIENCE	5,000
THE PRESERVATION FOUNDATION 310 PERUVIAN AVE PALM BEACH,FL 33480	N/A		P C	GENERAL OPERATING SUPPORT	5,000
THE READING TEAM 2090 ADAM CLAYTON POWELL JR BLVD SUITE 100 NEW YORK,NY 10027	N/A		P C	GENERAL OPERATING SUPPORT	50,000
THE SOCIETY OF THE FOUR ARTS 2 FOUR ARTS PLAZA PALM BEACH,FL 33480	N/A		P C	GENERAL OPERATING SUPPORT	10,000
THE WETLANDS INSTITUTE 1070 STONE HARBOR BLVD STONE HARBOR,NJ 08247	N/A		P C	GENERAL OPERATING SUPPORT	500
THE WINSON SCHOOL 130 PILGRIM ROAD BOSTON,MA 02215	N/A		P C	GENERAL OPERATING SUPPORT	20,000
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 615 WEST 131ST STREET 6TH FLOOR NEW YORK,NY 10027	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	1,500
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 423 GUARDIAN DRIVE PHILADELPHIA,PA 19104	N/A		P C	NEUROSCIENCE	149,801
UNITED JEWISH ASSOCIATION/FEDERATION OF JEWISH PHILANTHROPIES 130 EAST 59TH STREET NEW YORK,NY 10022	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	25,000
UNIVERSITY OF CALIFORNIA AT SAN FRANCISCO 490 ILLINOIS STREET 4TH FLOOR SAN FRANCISCO,CA 94115	N/A		P C	NEUROSCIENCE	149,679
UNIVERSITY OF CALIFORNIA LOS ANGELES 3310 SAWTELL LEAPT 209 LOS ANGELES,CA 90095	N/A		P C	BRAIN AWARENESS WEEK OUTREACH	

Enter gross amounts unless otherwise indicated.

13 Total. Add line 12, columns (b), (d), and (e). **13** 4,655,579
(See worksheet in line 13 instructions to verify calculations.)

[illegible]

Part XVI

Yes

No

1a(1)

No

1a(2)

No

1b(1)

No

1b(2)

No

1b(3)

No

1b(4)

No

1b(5)

No

1b(6)

No

1c

No

[illegible]☐ Yes☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

2023-11-08

Date _____

Title

See instructions. ☐ Yes ☐ No

See instructions. ☐ Yes ☐ No

P00234022

2023-11-14

Firm's EIN ▶ 87-323166

Phone no.
(914) 381-8900

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED

EIN: 06-6036761

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FINANCIAL STATEMENT AUDIT	80,461	0		58,426

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED

EIN: 06-6036761

Election: THE DANA FOUNDATION HEREBY ELECTS UNDER REG SEC 53-
4942(A)-3(D)(20) TO APPLY THE UNDISTRIBUTED INCOME OF \$
FROM 2017 AND 2018

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED
EIN: 06-6036761

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
THE DANA ALLIANCE FOR BRAIN INITIATIVES INC	1270 AVENUE OF THE AMERICAS 12TH FLOOR NEW YORK, NY 100201725	2022-01-01	1,402,004	TO PROVIDE FOR THE GENERAL SUPPORT OF THE DANA ALLIANCE FOR BRAIN INITIATIVES, INC. TO ENABLE IT TO CARRY OUT ITS CHARITABLE PURPOSE.	1,402,004	TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED.	8/1/2023		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT ANY UPDATES ARE OF DUBIOUS ACCURACY OR RELIABILITY.
CHADWICK FOUNDATION	PO BOX 3046 PALM BEACH, FL 33480	2022-05-17	10,000	GENERAL OPERATING SUPPORT		TO THE KNOWLEDGE OF THE GRANTOR, NO FUNDS HAVE BEEN DIVERTED.	PENDING FINAL REPORT		THE FOUNDATION HAS NOT UNDERTAKEN AN INVESTIGATION OF THE GRANTEE'S REPORTS BECAUSE THE FOUNDATION HAS NO REASON TO BELIEVE THAT ANY UPDATES ARE OF DUBIOUS ACCURACY OR RELIABILITY.

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED

EIN: 06-6036761

Identifier	Return Reference	Explanation
	FORM 990-PF, PART VI-A, LINE 11 - SCHEDULE OF CONTROLLED ENTITIES	NAME OF CONTROLLED ENTITY - THE DANA ALLIANCE FOR BRAIN INITIATIVES, INC.EMPLOYER ID NO - 06-1360140ADDRESS - 1270 AVENUE OF THE AMERICANS, 12TH FLOOR, NEW YORK, NY 10020-1725EXCESS BUSINESS HOLDINGS [] YES [X] NOTRANSFERS TO CONTROLLED ENTITY:AMOUNT OF TRANSFER - \$1,402,004DESCRIPTION OF TRANSFER - CASHTRANSFERS FROM CONTROLLED ENTITY:AMOUNT OF TRANSFER - NONEDESCRIPTION OF TRANSFER - N/A

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED
EIN: 06-6036761

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AERCAP HOLDINGS N.V. (AER) 2,577.000 SHARES	150,290	150,290
ALPHABET INC. (GOOG) 3,800.000 SHARES	337,173	337,173
ALPHABET INC. (GOOGL) 1,800.000 SHARES	158,814	158,814
AMAZON.COM, INC. (AMZN) 4,442.000 SHARES	373,128	373,128
AON PLC (AON) 713.000 SHARES	214,000	214,000
CAPITAL ONE FINANCIAL CORPORATION (COF) 2,051.000 SHARES	190,661	190,661
CHARTER COMMUNICATIONS, INC. (CHTR) 509.000 SHARES	172,602	172,602
CITIGROUP INC. (C) 5,863.000 SHARES	265,183	265,183
COMCAST CORPORATION (CMCSA) 7,595.000 SHARES	265,597	265,597
CONOCOPHILLIPS (COP) 1,919.000 SHARES	226,442	226,442
DISH NETWORK CORPORATION (DISH) 4,832.000 SHARES	67,841	67,841
ELEVANCE HEALTH, INC. (ELV) 259.000 SHARES	132,859	132,859
EVOLUTION AB (PUBL) (EVVTY) 386.000 SHARES	37,770	37,770
GENERAL ELECTRIC COMPANY (GE) 3,783.000 SHARES	316,978	316,978
HILTON WORLDWIDE HOLDINGS INC. (HLT) 1,607.000 SHARES	203,061	203,061
IAC INC. (IAC) 754.000 SHARES	33,478	33,478
LIBERTY BROADBAND CORPORATION (LBRDA) 1,422.000 SHARES	108,456	108,456
MARRIOTT INTERNATIONAL, INC. (MAR) 1,380.000 SHARES	205,468	205,468
META PLATFORMS, INC. (META) 2,861.000 SHARES	344,293	344,293
MICROSOFT CORPORATION (MSFT) 2,355.000 SHARES	564,776	564,776
MORGAN STANLEY (MS) 1,990.000 SHARES	169,190	169,190
NASPERS LIMITED (NPSNY) 1,165.000 SHARES	38,445	38,445
NETFLIX, INC. (NFLX) 1,578.000 SHARES	465,321	465,321
PROSUS N.V. (PROSY) 11,039.000 SHARES	151,565	151,565
SAFRAN SA (SAFRY) 8,667.000 SHARES	270,757	270,757
SHELL PLC (SHEL) 2,742.000 SHARES	156,157	156,157
THE GOLDMAN SACHS GROUP, INC. (GS) 1,022.000 SHARES	350,934	350,934
THE WALT DISNEY COMPANY (DIS) 979.000 SHARES	85,056	85,056
UNITEDHEALTH GROUP INCORPORATED (UNH) 642.000 SHARES	340,376	340,376
VISA INC. (V) 483.000 SHARES	100,348	100,348
WELLS FARGO & COMPANY (WFC) 7,916.000 SHARES	326,852	326,852
WOODWARD, INC. (WWD) 1,227.000 SHARES	118,540	118,540

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED
EIN: 06-6036761

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
1607 CAPITAL INTERNATIONAL EQUITE FUND, LP AND TWO 1315 CAPITAL FUNDS	FMV	7,983,585	7,983,585
ABBOTT CAPITAL PRIVATE EQUITY FUND V, L.P.	FMV	191,648	191,648
ABBOTT CAPITAL PRIVATE EQUITY FUND VI, L.P.	FMV	1,795,241	1,795,241
ABERDEEN REAL ASSETS PARTNERS, LP	FMV	23,472	23,472
ACCOLADE PARTNERS BLOCKCHAIN II FEEDER, L.P.	FMV	368,093	368,093
ADAGE CAPITAL PARTNERS, L.P.	FMV	39,077,066	39,077,066
ADAMAS OPPORTUNITIES, LP	FMV	31,841	31,841
ARROWSTREET CAPITAL GLOBAL ALL COUNTRY ALPHA EXTENSION FUND (CAYMAN) LIMITED	FMV	5,934,222	5,934,222
ASPEX GLOBAL FUND-CLASS B-CLASS B	FMV	6,130,925	6,130,925
BLACK LIGHT POWER 7.44 SHARES	FMV	17,844	17,844
CA RESOURCES FUND (U.S. TAX-EXEMPT), L.P.-SERIES K	FMV	2,448,020	2,448,020
CANTILLON FUNDS PLC	FMV	9,073,573	9,073,573
COMMONFUND CAPITAL NATURAL RESOURCES PARTNERS IX LP	FMV	1,945,310	1,945,310
COMMONFUND CAPITAL PRIVATE EQUITY PARTNERS VI, LP	FMV	28,162	28,162
COMMONFUND CAPITAL VENTURE PARTNERS VIII, L.P.	FMV	2,258,714	2,258,714
COMMONFUND INTERNATIONAL PARTNERS VI, LP	FMV	682,201	682,201
COMMONFUND PRIVATE EQUITY PARTNERS VII, LP	FMV	1,339,134	1,339,134
DAVIDSON KEMPNER INSTITUTIONAL PARTNERS L.P.	FMV	8,589,317	8,589,317
DIAMETER OFFSHORE FUND LP	FMV	6,458,090	6,458,090
DODDINGTON EMERGING MARKETS FUND, LLC	FMV	3,970,191	3,970,191
DOVER STREET IX CAYMAN FUND L.P.	FMV	1,247,009	1,247,009
DOVER STREET X FEEDER FUND L.P.	FMV	1,529,104	1,529,104
DURABLE CAPITAL OFFSHORE FUND LTD.	FMV	3,487,711	3,487,711
ELLIOTT INTERNATIONAL LIMITED	FMV	6,972,481	6,972,481
ENCAP FLATROCK MIDSTREAM FUND IV, L.P.	FMV	682,998	682,998
FINE TROY OUNCES OF ALLOCATED GOLD 6,388.430 QUANTITY	FMV	11,587,015	11,587,015
HIMALAYA CAPITAL INVESTORS (OFFSHORE), L.P.	FMV	4,749,085	4,749,085
ITE RAIL FUND, LP	FMV	6,787,891	6,787,891
KING STREET CAPITAL, LTD.	FMV	1,115,699	1,115,699
KLINE HILL PARTNERS FUND II LP	FMV	941,488	941,488
KLINE HILL PARTNERS FUND III, LP	FMV	1,171,990	1,171,990
KLINE HILL PARTNERS OFFSHORE FUND IV LP	FMV	525,378	525,378
KLINE HILL PARTNERS OPPORTUNITY FUND III, LP	FMV	396,878	396,878
KLINE HILL PARTNERS OPPORTUNITY OFFSHORE FUND IV LP	FMV	268,536	268,536
KNIGHTSBRIDGE VENTURE CAPITAL VI LP SERIES VC	FMV	1,504,422	1,504,422
LEAD EDGE CAPITAL V, LP	FMV	1,168,226	1,168,226
LEAD EDGE CAPITALI VI, LP	FMV	173,919	173,919
LUMINATE CAPITAL PARTNERS III-A, LP	FMV	901,548	901,548

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MOONRISE CHINA PARTNERS II LP	FMV	959,028	959,028
ONE RIVER BITCOIN FEEDER FUND, LTD.	FMV	574,073	574,073
QIMING VENTURE PARTNERS VII, LP	FMV	2,229,690	2,229,690
QIMING VENTURE PARTNERS VIII, LP	FMV	209,237	209,237
RENAISSANCE INSTITUTIONAL DIVERSIFIED GLOBAL EQUITIES ONSHORE FUND LP	FMV	5,546,335	5,546,335
ROCK SPRINGS CAPITAL OFFSHORE FUND LP	FMV	5,249,241	5,249,241
RUBICON TECHNOLOGY PARTNERS III L.P.	FMV	2,979,197	2,979,197
SERIES THIRD ROCK VENTURES VI - CA RESOURCES FUND (U.S. TAX-EXEMPT), L.P.	FMV	207,965	207,965
THE OVERLOOK PARTNERS FUND LP	FMV	4,532,734	4,532,734
THE SILCHESTER INTERNATIONAL INVESTORS INTERNATIONAL VALUE EQUITY TRUST	FMV	6,765,964	6,765,964
TRUEBRIDGE CAPITAL PARTNERS FUND V, L.P.	FMV	5,619,660	5,619,660
TRUEBRIDGE CAPITAL PARTNERS FUND VI, L.P.	FMV	3,455,889	3,455,889
TRUEBRIDGE CAPITAL PARTNERS FUND VII, L.P.	FMV	739,053	739,053
TRUEBRIDGE GLOBAL PREMIER I, L.P.	FMV	85,325	85,325
VANGUARD INTERM-TERM TREASURY ADM (VFIUX) 292,147.349 SHARES	FMV	2,903,945	2,903,945
VANGUARD SHORT-TERM TREASURY ADM (VFIRX) 1,211,589.288 SHARES	FMV	12,031,083	12,031,083
VANGUARD TOTAL WORLD STOCK INDEX FUND (VT) 20,568.783 SHARES	FMV	1,772,823	1,772,823
VIKING GLOBAL OPPORTUNITIES III LP	FMV	10,259,523	10,259,523
WARBURG PINCUS GLOBAL GROWTH (E&P)-2, L.P.	FMV	207,445	207,445
WARBURG PINCUS GLOBAL GROWTH, L.P.	FMV	4,056,849	4,056,849
WARBURG PINCUS-WP GG EUROPA (CAYMAN-B), L.P.	FMV	3,895,454	3,895,454
WHALE ROCK LONG OPPORTUNITIES FUND LTD.	FMV	3,744,965	3,744,965

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED

EIN: 06-6036761

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL AND ADMINISTRATIVE LEGAL COUNSEL	13,785	0		44,574

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED

EIN: 06-6036761

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PREPAID EXPENSES	11,152	457	457
PREPAID FEDERAL EXCISE TAX AND FEDERAL AND STATE UBIT	0	393,587	393,587
RIGHT OF USE ASSETS - OPERATING LEASE, NET	0	1,226,058	1,226,058
SECURITY DEPOSITS	31,471	66,660	66,660

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED

EIN: 06-6036761

Description	Amount
UNREALIZED LOSS ON INVESTMENTS	37,388,903

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED

EIN: 06-6036761

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DUES AND SUBSCRIPTIONS	2,553	255		2,298
FILING FEES	5,539	5,539		0
INFORMATION TECHNOLOGY	279,798	27,980		251,818
INSURANCE	96,885	9,689		87,197
OFFICE AND OTHER EXPENSES	99,822	8,547		31,686
OTHER K-1 EXPENSES, NET OF UBI	0	1,560,078		0

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED

EIN: 06-6036761

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER K-1 INCOME, GROSS OF UBI	1,760,724	-5,822,100	1,760,724
GRANT RECOVERY	157,568		157,568
OTHER INCOME	560		560
OTHER K-1 UBIT INCOME	-425,993		-425,993

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED

EIN: 06-6036761

Description	Amount
CHANGE IN DEFERRED EXCISE TAXES	545,764

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED

EIN: 06-6036761

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED FEDERAL EXCISE TAX	900,000	400,000
POSTRETIREMENT BENEFIT OBLIGATION	3,587,445	2,538,815
LEASE PAYABLE	0	1,348,152
FEDERAL EXCISE TAX AND FEDERAL AND STATE UBIT PAYABLE	45,000	0

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED

EIN: 06-6036761

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK AND INVESTMENT	564,814	564,814		0
OTHER PROFESSIONAL SERVICES	224,363	0		236,583
PERSONNEL SEARCH	210,930	0		210,930
WEB DESIGN	352,320	0		352,320

TY 2022 IRS 990 e-File Render

Name: THE CHARLES A DANA FOUNDATION
INCORPORATED

EIN: 06-6036761

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL AND STATE EXCISE AND UBIT TAX	18,814	0		0
FOREIGN TAXES THRU K-1'S, NET OF UBI	0	142,902		0