

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Open to Public Inspection

Department of the Treasury Internal Revenue Service

For calendar year 2021, or tax year beginning 01-01-2021, and ending 12-31-2021

Name of foundation: ROBERT AND RUTH HALPERIN FOUNDATION. A Employer identification number: 94-3334424. B Telephone number: (415) 834-9934. C If exemption application is pending, check here. D 1. Foreign organizations, check here. D 2. Foreign organizations meeting the 85% test, check here and attach computation. E If private foundation status was terminated under section 507(b)(1)(A), check here. F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. H Check type of organization: Section 501(c)(3) exempt private foundation. I Fair market value of all assets at end of year: \$182,688,381. J Accounting method: Accrual.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include: 1 Contributions, gifts, grants, etc., received; 2 Check if the foundation is not required to attach Sch. B; 3 Interest on savings and temporary cash investments; 4 Dividends and interest from securities; 5a Gross rents; b Net rental income or (loss); 6a Net gain or (loss) from sale of assets not on line 10; b Gross sales price for all assets on line 6a; 7 Capital gain net income; 8 Net short-term capital gain; 9 Income modifications; 10a Gross sales less returns and allowances; b Less: Cost of goods sold; c Gross profit or (loss); 11 Other income; 12 Total. Add lines 1 through 11; 13 Compensation of officers, directors, trustees, etc.; 14 Other employee salaries and wages; 15 Pension plans, employee benefits; 16a Legal fees; b Accounting fees; c Other professional fees; 17 Interest; 18 Taxes; 19 Depreciation and depletion; 20 Occupancy; 21 Travel, conferences, and meetings; 22 Printing and publications; 23 Other expenses; 24 Total operating and administrative expenses; Add lines 13 through 23; 25 Contributions, gifts, grants paid; 26 Total expenses and disbursements; Add lines 24 and 25; 27 Subtract line 26 from line 12; a Excess of revenue over expenses and disbursements; b Net investment income; c Adjusted net income.

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	309,870	222,872	222,872
	2 Savings and temporary cash investments	4,383,897	2,782,276	2,782,276
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	123,440,139	137,346,537	137,346,537
	c Investments—corporate bonds (attach schedule)	36,410,443	35,935,889	35,935,889
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	7,386,086	6,398,925	6,398,925
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	1,818	1,882	1,882	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	171,932,253	182,688,381	182,688,381	
Liabilities	17 Accounts payable and accrued expenses	43,617	92,989	
	18 Grants payable			
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	0	650,456	
	23 Total liabilities (add lines 17 through 22)	43,617	743,445	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	171,888,636	181,944,936	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	171,888,636	181,944,936		
30 Total liabilities and net assets/fund balances (see instructions)	171,932,253	182,688,381		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	171,888,636
2 Enter amount from Part I, line 27a	2	208,592
3 Other increases not included in line 2 (itemize) ▶ _____	3	9,847,708
4 Add lines 1, 2, and 3	4	181,944,936
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	181,944,936

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a CHARLES SCHWAB (SHORT TERM)		2021-01-01	2021-12-31
b CHARLES SCHWAB (LONG TERM)		2020-01-01	2021-12-31
c CHARLES SCHWAB (LONG TERM)		2020-01-01	2021-12-31
d CHARLES SCHWAB - CAPITAL GAIN DISTRIBUTION		2020-01-01	2021-12-31
e LONG TERM CAPITAL LOSS FROM PASSTHROUGHS	P	2020-01-01	2021-12-31
SHORT TERM CAPITAL GAINS FROM PASSTHROUGHS	P	2021-01-01	2021-12-31

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,419,635		2,400,000	19,635
b 17,895,787		16,686,173	1,209,614
c 25,806,134		21,601,683	4,204,451
d 952,177			952,177
e		49,616	-49,616
61,088			61,088

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i)
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a			19,635
b			1,209,614
c			4,204,451
d			952,177
e			-49,616
			61,088

Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	6,397,349
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}	3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here [] and enter [] Bracket for line 1a "N/A" on line 1.
1a Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
b Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
2 Add lines 1 and 2.
3 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
4 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-
5 Credits/Payments:
6a 2021 estimated tax payments and 2020 overpayment credited to 2021
6b Exempt foreign organizations—tax withheld at source
6c Tax paid with application for extension of time to file (Form 8868)
6d Backup withholding erroneously withheld
7 Total credits and payments. Add lines 6a through 6d
8 Enter any penalty for underpayment of estimated tax. Check here [x] if Form 2220 is attached.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.
11 Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded

Part VI-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.
c Did the foundation file Form 1120-POL for this year?
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. (2) On foundation managers.
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
By language in the governing instrument, or
By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
7 Did the foundation have at least \$5,000 in assets at any time during the year?
8a Enter the states to which the foundation reports or with which it is registered (see instructions)
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021?
10 Did any persons become substantial contributors during the tax year?

Part VI-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of KATHY SO Telephone no. (415) 529-5817 Located at ONE LOMBARD STREET SUITE 305 SAN FRANCISCO CA 94111 ZIP+4
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
c Organizations relying on a current notice regarding disaster assistance check here.
d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
 - (1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
 - (2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
 - (3)** Provide a grant to an individual for travel, study, or other similar purposes?
 - (4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions
 - (5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?
- b** If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
- c** Organizations relying on a current notice regarding disaster assistance check here
- d** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
If "Yes," attach the statement required by Regulations section 53.4945-5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
- b** If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
- 8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

	Yes	No
5a(1)		No
5a(2)		No
5a(3)		No
5a(4)		No
5a(5)		No
5b		
5d		
6a		No
6b		No
7a		No
7b		
8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PHILIP W HALPERIN ONE LOMBARD STREET SUITE 305 SAN FRANCISCO, CA 94111	PRESIDENT 7.00	1,593	4,710	0
PEGGY ANNE DOW ONE LOMBARD STREET SUITE 305 SAN FRANCISCO, CA 94111	SECRETARY 1.00	0	0	0
MAURINE S HALPERIN ONE LOMBARD STREET SUITE 305 SAN FRANCISCO, CA 94111	DIRECTOR 1.00	0	0	0
JULIE KIDD ONE LOMBARD STREET SUITE 305 SAN FRANCISCO, CA 94111	EXECUTIVE DIRECTOR 26.00	231,922	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MACY PARKER ONE LOMBARD STREET SUITE 305 SAN FRANCISCO, CA 94111	SENIOR PROGRAM OFFIC 26.00	119,419	6,453	0

Total number of other employees paid over \$50,000. ▶ 0

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 **Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GOLDSTEIN MUNGER & ASSOCIATES 18 CROWE CANYON COURT 250 SAN RAMON, CA 94583	INVESTMENT ADVISORY	500,924

Total number of others receiving over \$50,000 for professional services. 

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1

2

3

4

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1

2

All other program-related investments. See instructions.

3

Total. Add lines 1 through 3 ▶

0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	165,919,555
b	Average of monthly cash balances.	1b	6,557,259
c	Fair market value of all other assets (see instructions).	1c	10,581,871
d	Total (add lines 1a, b, and c).	1d	183,058,685
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	183,058,685
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	2,745,880
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.	5	180,312,805
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	9,015,640

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	9,015,640
2a	Tax on investment income for 2021 from Part V, line 5.	2a	129,705
b	Income tax for 2021. (This does not include the tax from Part V.).	2b	884
c	Add lines 2a and 2b.	2c	130,589
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	8,885,051
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	8,885,051
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	8,885,051

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				8,885,051
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.			7,807,294	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2021:				
a From 2016.				
b From 2017.				
c From 2018.				
d From 2019.				
e From 2020.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ <u>8,172,654</u>				
a Applied to 2020, but not more than line 2a			7,807,294	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2021 distributable amount				365,360
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				8,519,691
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2017				
b Excess from 2018				
c Excess from 2019.				
d Excess from 2020				
e Excess from 2021				

Part Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AIM HIGH FOR HIGH SCHOOL 2030 HARRISON STREET SAN FRANCISCO, CA 94110	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	50,000
ALDER GRADUATE SCHOOL OF EDUCATION 2946 BROADWAY STREET SUITE B REDWOOD CITY, CA 94062	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	200,000
ALLIANCE FOR YOUTH ORGANIZING 915 5TH SREET NW WASHINGTON, DC 20001	N/A	P C	TO SUPPORT COMMUNITY ORGANIZING	100,000
AMALGAMATED CHARITABLE FOUNDATION INC 1825 K ST NW WASHINGTON, DC 20006	N/A	P C	TO SUPPORT YOUTH PROGRAMS	50,000
ANTI-DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK, NY 10158	N/A	P C	TO PROTECT AND PROMOTE CIVIL RIGHTS	75,000
BOYS & GIRLS CLUB OF SAN FRANCISCO 380 FULTON STREET SAN FRANCISCO, CA 94102	N/A	P C	TO SUPPORT YOUTH PROGRAMS	125,000
BRADY CENTER TO PREVENT GUN VIOLENCE 840 FIRST STREET NE SUITE 400 WASHINGTON, DC 20002	N/A	P C	TO SUPPORT GUN VIOLENCE PREVENTION	25,000
BRANCH ALLIANCE FOR EDUCATOR DIVERSITY 7500 RIALTO BLVD BLDG 1 STE 270 AUSTIN, TX 78735	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	150,000
CALIFORNIA ASSOCIATION FOR BILINGUAL EDUCATION 20888 AMAR ROAD WALNUT, CA 91789	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	100,000
CALIFORNIA EDUCATION PARTNERS ONE LOMBARD STREET SUITE 305 SAN FRANCISCO, CA 94111	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	1,745,000
CALIFORNIANS FOR JUSTICE 548 MARKET ST PMB 41203 SAN FRANCISCO, CA 94104	N/A	P C	TO SUPPORT YOUTH COMMUNITY ORGANIZING	100,000
CALIFORNIANS TOGETHER 525 E 7TH STREET LONG BEACH, CA 90813	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	100,000
CHINESE FOR AFFIRMATIVE ACTION 17 WALTER U LUM PLACE SAN FRANCISCO, CA 94108	N/A	P C	TO SUPPORT AND PROTECT IMMIGRANT RIGHTS	50,000
COLEMAN ADVOCATES FOR CHILDREN AND YOUTH 459 VIENNA STREET SAN FRANCISCO, CA 94112	N/A	P C	TO SUPPORT RACIAL AND ECONOMIC JUSTICE	50,000
COMMUNITY INITIATIVES 150 POST ST STE 405 SAN FRANCISCO, CA 94108	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	50,000
COMPASS FAMILY SERVICES 37 GROVE STREET SAN FRANCISCO, CA 94102	N/A	P C	TO SUPPORT VOTER ENGAGEMENT	100,000
EASTSIDE COLLEGE PREPARATORY SCHOOL INC 1041 MYRTLE STREET EAST PALO ALTO, CA 94303	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	50,000
EDUCATION TRUST 1501 K STREET SUITE 200 WASHINGTON, DC 20005	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	100,000
FAMILY CONNECTIONS CENTER 2565 SAN BRUNO AVENUE SAN FRANCISCO, CA 94134	N/A	P C	TO SUPPORT FAMILY NEEDS PROGRAMS	25,000
FOUNDATION FOR CALIFORNIA COMMUNITY COLLEGES 1102 Q STREET SUITE 4800 SACRAMENTO, CA 95811	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	200,000
GOOD SAMARITAN FAMILY RESOURCE CENTER OF SAN FRANCISCO 1294 POTRERO AVENUE SAN FRANCISCO, CA 94110	N/A	P C	TO SUPPORT FAMILY NEEDS PROGRAMS	50,000
GROUNDSWELL FUND 548 MARKET STREET 49734 SAN FRANCISCO, CA 94104	N/A	P C	TO SUPPORT COMMUNITY SOLAR PROJECTS	100,000

HAMILTON FAMILIES 273 NINTH STREET SAN FRANCISCO,CA 94103	N/A	P C	TO END FAMILY HOMELESSNESS IN THE SAN FRANCISCO BAY AREA	25,000
HOMELESS PRENATAL PROGRAM 2500 18TH STREET SAN FRANCISCO,CA 94110	N/A	P C	TO SUPPORT FAMILY NEEDS PROGRAMS	50,000
INSTITUTO FAMILIAR DE LA RAZA 2919 MISSION STREET SAN FRANCISCO,CA 94110	N/A	P C	TO SUPPORT COMMUNITY HEALTH AND WELLNESS PROGRAMS	50,000
INSTRUCTION PARTNERS 604 GALLATIN AVE SUITE 202 NASHVILLE,TN 37206	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	140,000
INTERNATIONAL NETWORK FOR PUBLIC SCHOOLS 50 BROADWAY 1601 NEW YORK,NY 10004	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	200,000
JAMESTOWN COMMUNITY CENTER 2929 19TH STREET SAN FRANCISCO,CA 94110	N/A	P C	TO SUPPORT YOUTH COMMUNITY PROGRAMS	50,000
JUMPSTART 308 CONGRESS STREET6TH FLOOR BOSTON,MA 02210	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	100,000
LOYOLA MARYMOUNT UNIVERSITY 1 LMU DRIVE UNIVERSITY HALL SUITE 1300 LOS ANGELES,CA 90045	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	100,000
MAKING WAVES FOUNDATION 3045 RESEARCH DRIVE RICHMOND,CA 94806	N/A	EOF	TO SUPPORT EDUCATION PROGRAMS	50,000
MARCH FOR OUR LIVES FOUNDATION PO BOX 8929 CORAL SPRINGS,FL 33075	N/A	P C	TO SUPPORT PROGRAMS ENDING GUN VIOLENCE	50,000
MISSION GRADUATES 3040 16TH STREET SAN FRANCISCO,CA 94103	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	50,000
NATIONAL IMMIGRATION LAW CENTER PO BOX 34573 WASHINGTON,DC 34573	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	50,000
NEW TEACHER CENTER 1205 PACIFIC AVENUE 301 SANTA CRUZ,CA 95060	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	150,000
NEW VENTURE FUND 1201 CONNECTICUT AVENUE NW 300 WASHINGTON,DC 20036	N/A	P C	FOR PROJECT SUPPORT	60,000
OAKLAND INTERNATIONAL HIGH SCHOOL 4521 WEBSTER STREET OAKLAND,CA 94609	N/A	GOV	TO SUPPORT EDUCATION PROGRAMS	150,000
PARENTS FOR PUBLIC SCHOOLS 3543 18TH ST SAN FRANCISCO,CA 94110	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	50,000
PARTNERS IN SCHOOL INNOVATION 1060 TENNESSEE STREET FLOOR 2 SAN FRANCISCO,CA 94107	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	100,000
PARTNERSHIP FOR LOS ANGELES SCHOOLS 1055 WILSHIRE BLVD 1850 LOS ANGELES,CA 90017	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	250,000
SAFE & SOUND 1757 WALLER STREET SAN FRANCISCO,CA 94117	N/A	P C	TO SUPPORT CHILD ADVOCACY AND PROGRAMS REDUCING CHILD ABUSE	100,000
SAN FRANCISCO COURT APPOINTED SPECIAL ADVOCATE 2535 MISSION STREET SAN FRANCISCO,CA 94110	N/A	P C	TO SUPPORT PROGRAMS SERVING YOUTH IN FOSTER CARE	100,000
SPARK SF PUBLIC SCHOOLS 135 VAN NESS AVENUE SUITE 119 SAN FRANCISCO,CA 94102	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	135,000
STANFORD UNIVERSITY 485 BROADWAY MAIL CODE 8838 REDWOOD CITY,CA 94063	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	1,060,000
TEACHORG 2443 FILLMORE STREET 380-9883 SAN FRANCISCO,CA 94115	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	100,000
TEACHING LAB 1802 VERNON ST NW PMB 533 WASHINGTON,DC 20009	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	250,000
TEN STRANDS PO BOX 150869 SAN RAFAEL,CA 94915	N/A	P C	TO SUPPORT EDUCATION PROGRAMS	25,000
THE REGENTS OF THE UNIVERSITY	N/A	GOV	TO SUPPORT EDUCATION	167,390

OF CALIFORNIA LOS ANGELES 10889 WILSHIRE BOULEVARD SUITE 700 LOS ANGELES, CA 90095			PROGRAMS	
TIDES CENTER 1014 TORNEY AVENUE SAN FRANCISCO, CA 94129	N/A	PC	TO SUPPORT EDUCATION PROGRAMS	200,000
UNBOUND LEARNING 228 PARK AVE S 90834 NEW YORK, NY 10003	N/A	PC	TO SUPPORT EDUCATION PROGRAMS	150,000
SAN FRANCISCO UNIVERSITY HIGH SCHOOL 3065 JACKSON STREET SAN FRANCISCO, CA 94115	N/A	PC	TO SUPPORT EDUCATION PROGRAMS	25,000
YOUNG COMMUNITY DEVELOPERS 1715 YOSEMITE AVE SAN FRANCISCO, CA 94124	N/A	PC	TO SUPPORT COMMUNITY PROGRAMS	50,000
Total			3a	7,632,390
b <i>Approved for future payment</i>				
Total			3b	0

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

1 Program service revenue:

- a
b
c
d
e
f

g Fees and contracts from government agencies

2 Membership dues and assessments

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5 Net rental income or (loss) from real estate:

a Debt-financed property

b Not debt-financed property

6 Net rental income or (loss) from personal property

7 Other investment income

8 Gain or (loss) from sales of assets other than inventory

9 Net income or (loss) from special events:

10 Gross profit or (loss) from sales of inventory

11 Other revenue:

a OTHER INCOME FROM PASSTHROUGHS

b

c

d

e

12 Subtotal. Add columns (b), (d), and (e)

13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Includes rows for various income categories and a total row.

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Additional Data

[Return to Form](#)

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	24,271	18,206		6,065

TY 2021 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Identifier	Return Reference	Explanation
AMENDED RETURN EXPLANATION	FORM 990-PF, PAGE 1, ITEM G:	<p>THE FORM 990-PF WAS ORIGINALLY FILED WHEN THE FINANCIAL AUDIT OF THE ORGANIZATION BY AN INDEPENDENT CERTIFIED PUBLIC ACCOUNTANT WAS IN PROGRESS. THE ORGANIZATION AMENDED THE RETURN TO REFLECT THE CHANGES AS A RESULT OF A COMPLETED FINANCIAL AUDIT. THE ITEMS WHICH HAVE CHANGED INCLUDE: FORM 990-PF, PART I, LINE 16B, COLUMN (A) - A DECREASE FROM \$24,274 TO \$24,271 FORM 990-PF, PART I, LINE 16B, COLUMN (D) - A DECREASE FROM \$6,069 TO \$6,065 FORM 990-PF, PART I, LINE 18, COLUMN (A) - AN INCREASE FROM \$70,658 TO \$721,114 FORM 990-PF, PART I, LINE 23, COLUMN (A) - AN INCREASE FROM \$377,787 TO \$377,788 FORM 990-PF, PART I, LINE 24, COLUMN (A) - AN INCREASE FROM \$1,221,301 TO \$1,871,755 FORM 990-PF, PART I, LINE 24, COLUMN (D) - A DECREASE FROM \$540,268 TO \$540,264 FORM 990-PF, PART I, LINE 26, COLUMN (A) - AN INCREASE FROM \$8,853,691 TO \$9,504,145 FORM 990-PF, PART I, LINE 26, COLUMN (D) - A DECREASE FROM \$8,172,658 TO \$8,172,654 FORM 990-PF, PART I, LINE 27, COLUMN (A) - A DECREASE FROM \$859,046 TO \$208,592 FORM 990-PF, PART II, LINE 13, COLUMNS (B) AND (C) - AN INCREASE FROM \$6,398,924 TO \$6,398,925 FORM 990-PF, PART II, LINE 16, COLUMNS (B) AND (C) - AN INCREASE FROM \$182,688,380 TO \$182,688,381 FORM 990-PF, PART II, LINE 17, COLUMN (B) - AN INCREASE FROM \$92,988 TO \$92,989 FORM 990-PF, PART II, LINE 22, COLUMN (B) - AN INCREASE FROM \$0 TO \$650,456 FORM 990-PF, PART II, LINE 23, COLUMN (B) - AN INCREASE FROM \$92,988 TO \$743,445 FORM 990-PF, PART II, LINES 24 AND 29, COLUMN (B) - A DECREASE FROM \$182,595,392 TO \$181,944,936 FORM 990-PF, PART II, LINE 30, COLUMN (B) - AN INCREASE FROM \$182,688,380 TO \$182,688,381 FORM 990-PF, PART III, LINE 2 - A DECREASE FROM \$859,046 TO \$208,592 FORM 990-PF, PART III, LINE 3 - AN INCREASE FROM \$8,671,018 TO \$9,847,708 FORM 990-PF, PART III, LINE 5 - A DECREASE FROM \$2,240,429 TO \$0 FORM 990-PF, PART V, LINE 6A - AN INCREASE FROM \$98,530 TO \$98,539 FORM 990-PF, PART V, LINE 11 - AN INCREASE FROM \$0 TO \$9 FORM 990-PF, PART IX, LINE 1C - AN INCREASE FROM \$10,581,870 TO \$10,581,871 FORM 990-PF, PART IX, LINES 1D AND 3 - AN INCREASE FROM \$183,058,684 TO \$183,058,685 FORM 990-PF, PART IX, LINE 5 - AN INCREASE FROM \$180,312,804 TO \$180,312,805 FORM 990-PF, PART XI, LINES 1A AND 4 - A DECREASE FROM \$8,172,658 TO \$8,172,654 FORM 990-PF, PART XII, LINES 2 AND 4 - A DECREASE FROM \$7,975,573 TO \$7,807,294 FORM 990-PF, PART XII, LINE 4D - AN INCREASE FROM \$197,085 TO \$365,360 FORM 990-PF, PART XII, LINE 6F - A DECREASE FROM \$8,687,966 TO \$8,519,691</p>

TY 2021 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Name of Bond	End of Year Book Value	End of Year Fair Market Value
DODGE & COX INCOME - 842,935.8920 SHARES	11,851,678	11,851,678
LOOMIS SAYLES CORE PLUS - 864,441.1320 SHARES	11,721,822	11,721,822
PIMCO INCOME INSTL - 1,035,375.9230 SHARES	12,362,389	12,362,389

TY 2021 IRS 990 e-File Render**Name:** ROBERT AND RUTH HALPERIN FOUNDATION**EIN:** 94-3334424

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PICARRO - 6,904,508 SHARES	4,184,746	4,184,746
INTEL CORP - 653,884 SHARES	33,675,026	33,675,026
ISHARES GOLD TRUST - 115,000 SHARES	4,003,150	4,003,150
ISHARES MSCI EAFE ETF - 82,907 SHARES	6,523,123	6,523,123
ISHARES MSCI EMERGING MARKETS ETF - 69,725 SHARES	3,406,066	3,406,066
ISHARES RUSSELL 1000 ETF - 117,935 SHARES	31,185,552	31,185,552
ISHARES RUSSELL 2000 ETF - 19,369 SHARES	4,308,634	4,308,634
SPDR GOLD SHARES ETF - 9,849 SHARES	1,683,785	1,683,785
SPDR S&P 500 ETF - 1,150 SHARES	546,204	546,204
VANGUARD FTSE DEVELOPED MARKETS ETF - 131,150 SHARES	6,696,519	6,696,519
VANGUARD FTSE EMERGING MARKETS ETF - 72,757 SHARES	3,598,561	3,598,561
VANGUARD HEALTH CARE ETF - 8,015 SHARES	2,135,356	2,135,356
VANGUARD HIGH DIVIDEND YIELD ETF - 38,015 SHARES	4,261,862	4,261,862
DFA INTERNATIONAL CORE EQUITY I - 312,982.919 SHARES	5,039,025	5,039,025
DFA US CORE EQUITY 1 I - 201,939.521 SHARES	7,360,696	7,360,696
DFA US CORE EQUITY 2 I - 538,294.551 SHARES	17,779,869	17,779,869
BLOOM ENERGY CORPORATION - 43,701 SHARES	958,363	958,363

TY 2021 IRS 990 e-File Render**Name:** ROBERT AND RUTH HALPERIN FOUNDATION**EIN:** 94-3334424

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
GS MEZZANINE PARTNERS 2006 OFFSHORE LP	FMV	3,682	3,682
NORTHGATE VENTURE PARTNERS II, LP	FMV	606,970	606,970
NORTHGATE PRIVATE EQUITY PARTNERS LP	FMV	22,477	22,477
GREYLOCK XI LIMITED PARTNERSHIP	FMV	126,297	126,297
NEW ENTERPRISE ASSOCIATES 10	FMV	777,709	777,709
UNIVERSITY TECHNOLOGY VENTURES	FMV	55,324	55,324
GOLDEN GATE CAPITAL OPPORTUNITY FUND LP	FMV	2,949,534	2,949,534
GOLDEN GATE CAPITAL INVESTMENT FUND II LP	FMV	124,563	124,563
GOLDEN GATE CAPITAL INVESTMENTS II (BVI) LP	FMV	52,017	52,017
GOLDEN GATE CAPITAL INVESTMENT FUND II-A LP	FMV	12,683	12,683
GOLDEN GATE CAPITAL INVESTMENTS II-A ADJUNCT (BVI) LP	FMV	20,140	20,140
BAIN CAPITAL FUND VII LP	FMV	1,647,529	1,647,529

TY 2021 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDENDS RECEIVABLE	1,818	1,882	1,882

TY 2021 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MISCELLANEOUS ALLOCATED EXPENSES (STAFF, OCCUPANCY)	336,437	0		336,437
STORAGE	-221	0		-221
OFFICE EXPENSES	25	0		25
BANK CHARGES	120	120		0
CALIFORNIA RRF-1 FILING FEES	150	0		150
OTHER LOSS/EXPENSE ITEMS FROM PASSTHROUGHS	41,277	57,120		0
ACCRUAL TO CASH ADJUSTMENT	0	0		-49,372

TY 2021 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME FROM PASSTHROUGHS	79,483		79,483

TY 2021 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	9,847,708

TY 2021 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED EXCISE TAX	0	650,456

TY 2021 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVISORY FEES - BROKER	500,924	500,924		0
PROGRAM CONSULTING	8,083	0		8,083
CONSULTANT	6,060	0		6,060

TY 2021 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD	0	38,735		0
FEDERAL EXCISE TAX	721,114	0		0