

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Open to Public Inspection

Department of the Treasury Internal Revenue Service

For calendar year 2021, or tax year beginning 01-01-2021, and ending 12-31-2021

Name of foundation: BERTELSMANN FOUNDATION (NA) INC. A Employer identification number: 71-1048702. B Telephone number: (202) 384-1980. C If exemption application is pending, check here. D 1. Foreign organizations, check here. D 2. Foreign organizations meeting the 85% test, check here and attach computation. E If private foundation status was terminated under section 507(b)(1)(A), check here. F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. H Check type of organization: Section 501(c)(3) exempt private foundation. I Fair market value of all assets at end of year: \$1,874,709. J Accounting method: Accrual.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Part I Analysis of Revenue and Expenses (lines 1-12), Operating and Administrative Expenses (lines 13-26), and Summary (lines 27-28).

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

| | | Beginning of year | End of year | |
|---|---|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash—non-interest-bearing | 1,489,228 | 1,634,819 | 1,634,819 |
| | 2 Savings and temporary cash investments | | | |
| | 3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | | |
| | 4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | 59,664 | 9,398 | 9,398 |
| | 10a Investments—U.S. and state government obligations (attach schedule) | | | |
| | b Investments—corporate stock (attach schedule) | | | |
| | c Investments—corporate bonds (attach schedule) | | | |
| | 11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____ | | | |
| | 12 Investments—mortgage loans | | | |
| | 13 Investments—other (attach schedule) | | | |
| | 14 Land, buildings, and equipment: basis ▶ _____ <u>1,118,527</u> Less: accumulated depreciation (attach schedule) ▶ _____ <u>888,035</u> | 384,819 | 230,492 | 230,492 |
| 15 Other assets (describe ▶ _____) | | | | |
| 16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) | 1,933,711 | 1,874,709 | 1,874,709 | |
| Liabilities | 17 Accounts payable and accrued expenses | 139,472 | 133,402 | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue. | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ _____) | | | |
| | 23 Total liabilities (add lines 17 through 22) | 139,472 | 133,402 | |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30. | | | |
| | 24 Net assets without donor restrictions | 1,794,239 | 1,741,307 | |
| | 25 Net assets with donor restrictions | | | |
| | Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30. | | | |
| | 26 Capital stock, trust principal, or current funds | | | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 28 Retained earnings, accumulated income, endowment, or other funds | | | |
| 29 Total net assets or fund balances (see instructions) | 1,794,239 | 1,741,307 | | |
| 30 Total liabilities and net assets/fund balances (see instructions) | 1,933,711 | 1,874,709 | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|----------|-----------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,794,239 |
| 2 Enter amount from Part I, line 27a | 2 | -52,932 |
| 3 Other increases not included in line 2 (itemize) ▶ _____ | 3 | 0 |
| 4 Add lines 1, 2, and 3 | 4 | 1,741,307 |
| 5 Decreases not included in line 2 (itemize) ▶ _____ | 5 | 0 |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29. | 6 | 1,741,307 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--|--|
| 1a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---------------------------------|--|---|--|
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) |
|---|--|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | |
|--|----------|--|
| Capital gain net income or (net capital loss) <div style="float: right; border-left: 1px solid black; border-right: 1px solid black; padding: 0 10px;"> { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } </div> | 2 | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 <div style="float: right; border-left: 1px solid black; border-right: 1px solid black; padding: 0 10px;"> } </div> | 3 | |

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here [] and enter Bracket for line 1a "N/A" on line 1.
1a Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
b Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
2 Add lines 1 and 2.
3 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
4 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-
5 Credits/Payments:
6a 2021 estimated tax payments and 2020 overpayment credited to 2021
6b Exempt foreign organizations—tax withheld at source
6c Tax paid with application for extension of time to file (Form 8868)
6d Backup withholding erroneously withheld
7 Total credits and payments. Add lines 6a through 6d
8 Enter any penalty for underpayment of estimated tax. Check here [] if Form 2220 is attached.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.
11 Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded

Part VI-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.
c Did the foundation file Form 1120-POL for this year?
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. (2) On foundation managers.
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
By language in the governing instrument, or
By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
7 Did the foundation have at least \$5,000 in assets at any time during the year?
8a Enter the states to which the foundation reports or with which it is registered (see instructions)
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021?
10 Did any persons become substantial contributors during the tax year?

Part VI-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.BFNA.ORG
14 The books are in care of FAITH GRAY Telephone no. (202) 384-1980 Located at 1108 16TH STREET NW WASHINGTON DC 20036 ZIP+4
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
c Organizations relying on a current notice regarding disaster assistance check here.
d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | | |
|--|--------------|------------|-----------|
| 5a During the year did the foundation pay or incur any amount to: | | Yes | No |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | 5a(1) | | No |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | 5a(2) | | No |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | 5a(3) | | No |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions | 5a(4) | Yes | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | 5a(5) | | No |
| b If any answer is "Yes" to 5a(1)-(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | 5b | | No |
| c Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> | | | |
| d If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> If "Yes," attach the statement required by Regulations section 53.4945-5(d). | 5d | Yes | |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | 6a | | No |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870. | 6b | | No |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | 7a | | No |
| b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? | 7b | | |
| 8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? | 8 | | No |

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---------------------------------------|
| LIZ MOHN 1108 16TH STREET NW WASHINGTON, DC 20036 | PRESIDENT & DIRECTOR 1.00 | 0 | 0 | 0 |
| JOZEFINA IRENE BRAAM 1108 16TH STREET NW WASHINGTON, DC 20036 | DIRECTOR & FIRST VP 35.00 | 350,924 | 48,925 | 0 |
| RALPH HECK 1108 16TH STREET NW WASHINGTON, DC 20036 | DIRECTOR 1.00 | 0 | 0 | 0 |
| STEPHEN SZABO 1108 16TH STREET NW WASHINGTON, DC 20036 | DIRECTOR 1.00 | 0 | 0 | 0 |
| PHILIPP ROESLER 1108 16TH STREET NW WASHINGTON, DC 20036 | DIRECTOR (THRU 09/21) 1.00 | 0 | 0 | 0 |
| WILFRIED UHR 1108 16TH STREET NW WASHINGTON, DC 20036 | DIRECTOR & SECRETARY/TREASURER 1.00 | 0 | 0 | 0 |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| FAITH GRAY 1108 16TH STREET NW WASHINGTON, DC 20036 | DIRECTOR ADMIN 35.00 | 175,732 | 40,214 | 0 |
| ANTHONY SILBERFELD 1108 16TH STREET NW WASHINGTON, DC 20036 | TRANSATLANTIC REL DR 35.00 | 171,831 | 22,290 | 0 |
| SAMUEL PUTTER-GEORGE 1108 16TH STREET NW WASHINGTON, DC 20036 | GLOBAL/DIGITAL ADVIS 35.00 | 97,360 | 21,371 | 0 |
| BRANDON BOHRN 1108 16TH STREET NW WASHINGTON, DC 20036 | TRANSATLANTIC REL MA 35.00 | 66,060 | 12,899 | 0 |
| MEGAN LONG 1108 16TH STREET NW WASHINGTON, DC 20036 | ADMIN ASSISTANT 35.00 | 63,272 | 12,242 | 0 |
| Total number of other employees paid over \$50,000. | | | | 0 |

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|-----------------------------------|------------------|
| CRITERIA COMMUNICATIONS CALLE 50 Y 64 ESTE SAN FRANCISCO OF 207 PANAMA PM | VIDEO & ANIMATION CONTENT | 133,900 |
| FINITY SA INNOVATION PARK BLDG C EPFL LAUSANNE 10115 SZ | RESEARCH & DEVELOPMENT | 82,500 |
| ANDREW KEEN PRODUCTIONS 1937 CARLETON STREET UNIT C BERKELEY, CA 94704 | VIDEO FILMING & PRODUCTION | 70,000 |
| THEOHARIS MANAGEMENT 5724 GENERAL WASHINGTON DRIVE ALEXANDRIA, VA 22312 | BLDG OPER. EXP. & CONDO INSURANCE | 62,208 |
| GAUGE THEORY CREATIVE CO 3919 MICHAEL AVENUE LOS ANGELES, CA 90066 | PRODUCTION SERVICES | 58,860 |

Total number of others receiving over \$50,000 for professional services.

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|---|----------|
| 1 ADMINISTRATION - SEE STATEMENT 12 | 162,214 |
| 2 TRANSATLANTIC RELATIONS - SEE STATEMENT 13 | 480,793 |
| 3 BFNA GENERAL EVENTS - SEE BERTELSMANN FOUNDATION PROJECT SUMMARIES (2021) FOR FURTHER INFORMATION. | 13,196 |
| 4 | |
| | |
| | |

Part VIII- Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|--------|
| 1 | |
| | |
| 2 | |
| | |
| All other program-related investments. See instructions. | |
| 3 | |
| | |
| Total. Add lines 1 through 3 | 0 |

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--|-----------|-----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities. | 1a | 0 |
| b | Average of monthly cash balances. | 1b | 1,884,075 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 1,884,075 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 1,884,075 |
| 4 | Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions). | 4 | 28,261 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. | 5 | 1,855,814 |
| 6 | Minimum investment return. Enter 5% (0.05) of line 5. | 6 | 92,791 |

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|-----------|---|-----------|--|
| 1 | Minimum investment return from Part IX, line 6. | 1 | |
| 2a | Tax on investment income for 2021 from Part V, line 5. | 2a | |
| b | Income tax for 2021. (This does not include the tax from Part V.). | 2b | |
| c | Add lines 2a and 2b. | 2c | |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | |
| 5 | Add lines 3 and 4. | 5 | |
| 6 | Deduction from distributable amount (see instructions). | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1. | 7 | |

Part XI Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|--|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | |
| b | Program-related investments—total from Part VIII-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4. | 4 | |

Part XII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2020 | (c) 2020 | (d) 2021 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2021 from Part X, line 7 | | | | |
| 2 Undistributed income, if any, as of the end of 2021: | | | | |
| a Enter amount for 2020 only. | | | | |
| b Total for prior years: 20____, 20____, 20____ | | | | |
| 3 Excess distributions carryover, if any, to 2021: | | | | |
| a From 2016. | | | | |
| b From 2017. | | | | |
| c From 2018. | | | | |
| d From 2019. | | | | |
| e From 2020. | | | | |
| f Total of lines 3a through e. | | | | |
| 4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ _____ | | | | |
| a Applied to 2020, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | | | |
| c Treated as distributions out of corpus (Election required—see instructions). | | | | |
| d Applied to 2021 distributable amount | | | | |
| e Remaining amount distributed out of corpus | | | | |
| 5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).) | | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b. | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b. Taxable amount—see instructions. | | | | |
| e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. | | | | |
| f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022 | | | | |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | | | | |
| 8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions) | | | | |
| 9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a | | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2017 | | | | |
| b Excess from 2018 | | | | |
| c Excess from 2019. | | | | |
| d Excess from 2020 | | | | |
| e Excess from 2021 | | | | |

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b. Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| Tax year | Prior 3 years | | | (e) Total |
|----------|---------------|----------|----------|-----------|
| (a) 2021 | (b) 2020 | (c) 2019 | (d) 2018 | |
| 17,954 | 5,775 | 0 | 0 | 23,729 |

b 85% (0.85) of line 2a

| | | | | |
|--------|-------|---|---|--------|
| 15,261 | 4,909 | 0 | 0 | 20,170 |
|--------|-------|---|---|--------|

c Qualifying distributions from Part XI, line 4 for each year listed

| | | | | |
|-----------|-----------|-----------|-----------|------------|
| 2,459,692 | 2,722,795 | 3,846,417 | 3,691,491 | 12,720,395 |
|-----------|-----------|-----------|-----------|------------|

d Amounts included in line 2c not used directly for active conduct of exempt activities

| | | | | |
|---|---|---|---|---|
| 0 | 0 | 0 | 0 | 0 |
|---|---|---|---|---|

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

| | | | | |
|-----------|-----------|-----------|-----------|------------|
| 2,459,692 | 2,722,795 | 3,846,417 | 3,691,491 | 12,720,395 |
|-----------|-----------|-----------|-----------|------------|

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

| | | | | |
|-----------|-----------|-----------|-----------|-----------|
| 1,874,709 | 1,933,711 | 2,117,793 | 1,676,973 | 7,603,186 |
|-----------|-----------|-----------|-----------|-----------|

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

| | | | | |
|-----------|-----------|-----------|-----------|-----------|
| 1,874,709 | 1,933,711 | 2,117,793 | 1,676,973 | 7,603,186 |
|-----------|-----------|-----------|-----------|-----------|

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed

| | | | | |
|--------|--------|--------|--------|---------|
| 61,861 | 65,241 | 63,222 | 58,208 | 248,532 |
|--------|--------|--------|--------|---------|

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

| | | | | |
|--|--|--|--|---|
| | | | | 0 |
|--|--|--|--|---|

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

| | | | | |
|--|--|--|--|---|
| | | | | 0 |
|--|--|--|--|---|

(3) Largest amount of support from an exempt organization

| | | | | |
|--|--|--|--|---|
| | | | | 0 |
|--|--|--|--|---|

(4) Gross investment income

| | | | | |
|--|--|--|--|---|
| | | | | 0 |
|--|--|--|--|---|

Part Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|---|---------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> THE DEMOCRACY & CULTURE FOUNDATION 73 HAINES HOUSE 10 CHARLES CLOWES WALK LONDON SW11 7AH UK | NONE | N C | SUPPORT FOR ATHENS DEMOCRACY FORUM | 12,435 |
| STICHTING ARE WE EUROPE VEEMKADE 518 AMSTERDAM 1019 HE NL | NONE | N C | PARTNERSHIP CONTRIBUTIONS OF TRANSATLANTIC PODCAST SERIES & ARTICLES | 11,427 |
| PALACE OF FINE ARTS RUE RAVENSTINE 23 BRUSSELS 1000 BE | NONE | N C | BOZAR MAY DAYS 2021 EURO ATLANTIC DIALOGUE | 10,000 |
| HARVARD UNIVERSITY 124 MOUNT AUBURN STREET CAMBRIDGE, MA 021385759 | NONE | P C | HARVARD'S 2021 EUROPEAN CONFERENCE | 5,000 |
| DC FILM ALLIANCEDC SHORTS 2000 14TH STREET NW STE 105 WASHINGTON, DC 20004 | NONE | P C | SUPPORT OF 2021 DC SHORTS FILM FESTIVAL | 1,500 |
| GOETHE-INSTITUT 1377 R STREETM NW SUITE 300 WASHINGTON, DC 20009 | NONE | N C | IN SUPPORT OF BIG PONDER, WHICH IS A TRANSATLANTIC PODCAST SERIES CREATED AND PRODUCED BY GOETHE, EXPLORING ABSTRACT TERMS AND PHENOMENA THROUGH HYPER-LOCAL STORIES. | 9,167 |
| Total | | | | 49,529 |
| b <i>Approved for future payment</i> | | | | |
| Total | | | | 0 |

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

- 1 Program service revenue:
a
b
c
d
e
f
g Fees and contracts from government agencies
2 Membership dues and assessments
3 Interest on savings and temporary cash investments
4 Dividends and interest from securities
5 Net rental income or (loss) from real estate:
a Debt-financed property.
b Not debt-financed property.
6 Net rental income or (loss) from personal property
7 Other investment income
8 Gain or (loss) from sales of assets other than inventory
9 Net income or (loss) from special events:
10 Gross profit or (loss) from sales of inventory
11 Other revenue:
a PROGRAM EVENT AND EXPENSE REFUNDS
b
c
d
e

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Includes rows for various revenue categories and a total row at the bottom showing 0, 0, and 17,954.

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes. Includes row 11 for PROGRAM EVENT REFUNDS.

Additional Data

[Return to Form](#)

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: BERTELSMANN FOUNDATION (NA) INC

EIN: 71-1048702

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------------|--------|-----------------------|---------------------|---------------------------------------|
| ACCOUNTING & AUDITING | 26,248 | 0 | 0 | 26,248 |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2021 IRS 990 e-File Render

Name: BERTELSMANN FOUNDATION (NA) INC

EIN: 71-1048702

| Grantee's Name | Grantee's Address | Grant Date | Grant Amount | Grant Purpose | Amount Expended By Grantee | Any Diversion By Grantee? | Dates of Reports By Grantee | Date of Verification | Results of Verification |
|------------------------------------|---|------------|--------------|--|----------------------------|---------------------------|-----------------------------|----------------------|-------------------------|
| PALACE OF FINE ARTS | RUE RAVENSTINE 23 BRUSSELS 1000 BE | 2021-06-03 | 10,000 | BOZAR MAYDAYS 2021 EURO ATLANTIC DIALOGUE | 10,000 | NO DEVIATION OF FUNDS | 6/3/2021; 12/2/2021 | 2021-12-02 | NO DEVIATION OF FUNDS |
| STICHTING ARE WE EUROPE | VEEMKADE 518 AMSTERDAM 1019 HE NL | 2021-08-30 | 11,427 | PARTNERSHIP CONTRIBUTIONS OF TRANSATLANTIC PODCAST SERIES & ARTICLES | 11,427 | NO DEVIATION OF FUNDS | 8/30/2021 | 2021-12-20 | NO DEVIATION OF FUNDS |
| THE DEMOCRACY & CULTURE FOUNDATION | 73 HAINES HOUSE 10 CHARLES CLOWES WALK LONDON SW11 7AH UK | 2021-06-15 | 12,435 | SUPPORT FOR ATHENS DEMOCRACY FORUM | 12,435 | NO DEVIATION OF FUNDS | 10/1/2021 | 2021-10-01 | NO DEVIATION OF FUNDS |
| GOETHE-INSTITUT | 1377 R STREETM NW SUITE 300 WASHINGTON, DC 20009 | 2020-10-27 | 10,000 | SUPPORT OF BIG PONDER PODCAST | 10,000 | NO DEVIATION OF FUNDS | | | NO DEVIATION OF FUNDS |

TY 2021 IRS 990 e-File Render

Name: BERTELSMANN FOUNDATION (NA) INC

EIN: 71-1048702

Statement:

THE DISTRICT OF COLUMBIA DESIGNATED TAXING AUTHORITY DOES NOT REQUIRE A COPY OF FORM 990-PF TO BE FILED WITH ITS AGENCY.

Name: BERTELSMANN FOUNDATION (NA) INC

EIN: 71-1048702

| Identifier | Return Reference | Explanation |
|----------------------|-----------------------------------|---|
| DEPRECIATION EXPENSE | FORM 990-PF, PART I, LINE 19 | DEPRECIATION STATEMENT:FURNITURE AND EQUIPMENT \$42,692COMPUTER SOFTWARE \$58,130LEASEHOLD IMPROVEMENTS \$90,769 -----DEPRECIATION EXPENSE \$191,591 ===== |
| | FORM 990-PF, PART VIII-A, LINE 1: | <p>ADMINISTRATION:HOW TO FIX DEMOCRACYSINCE ITS ORIGINS, DEMOCRACY HAS BEEN A WORK IN PROGRESS, AND TODAY, MANY QUESTION ITS RESILIENCE. IN RESPONSE, THE BERTELSMANN FOUNDATION TEAMED UP WITH HUMANITY IN ACTION TO LAUNCH A VIDEO AND PODCAST SERIES THAT EXPLORED SOLUTIONS TO THE CHALLENGES DEMOCRACY FACES AROUND THE WORLD. HOST ANDREW KEEN INTERVIEWED PROMINENT ECONOMISTS, POLITICIANS, AUTHORS, ACADEMICS, AND LEADERS AS THE SERIES SOUGHT THE ANSWERS TO HOW TO FIX DEMOCRACY. IN 2021, SEASON THREE TURNED TO THE MEANING OF CITIZENSHIP, EXPLORING QUESTIONS LIKE, HOW ARE GOOD CITIZENS FORMED; WHAT DOES THE CONCEPT OF CITIZENSHIP MEAN TO DIFFERENT PEOPLE; AND HOW CAN POSITIVE, INCLUSIVE CONCEPTS BE ENCOURAGED? THE THIRD SEASON FEATURED INTERVIEWS WITH AMONG OTHERS TOM MALINOWSKI, MARGARET ATWOOD, ERIC LIU, CLAUDIA CHWALISZ, AND PATRICK RADDEN KEEFE.VIDEOS/NO COLLAR ECONOMYTHE BERTELSMANN FOUNDATION CONTINUED ITS WORK IN THE DOCUMENTARY FILM SPHERE WITH A SPECIFIC FOCUS ON ECONOMIC, POLITICAL, SOCIAL AND DIGITAL ISSUES, WITH AN EMPHASIS ON WHERE THOSE ISSUES OVERLAP. SPECIFICALLY, THE BERTELSMANN FOUNDATION WROTE, EDITED AND PRODUCED THE DOCUMENTARY FILM OUT TO VOTE, A FILM ABOUT FORMERLY INCARCERATED INDIVIDUALS WHO HAVE BECOME LEADERS FOR VOTING RIGHTS IN BALTIMORE MARYLAND. THE BERTELSMANN FOUNDATION ALSO FILMED, WROTE, AND EDITED A DOCUMENTARY FILM FOCUSED ON AN URBAN/RURAL DIVIDE IN THE UNITED STATES, AS TOLD THROUGH THE LENS OF STEELWORKERS ON STRIKE IN WESTERN, PENNSYLVANIA. ADDITIONALLY, THE BERTELSMANN FOUNDATION FILMED AND BEGAN TO EDIT A DOCUMENTARY ABOUT BARRY FARMS, A PUBLIC HOUSING COMPLEX IN WASHINGTON, DC THAT HAS CRITICAL LONG TERM HISTORICAL AND SOCIAL VALUE TO THE CITY. THE BERTELSMANN FOUNDATION ALSO BEGAN FILMING A DOCUMENTARY IN THE BALKANS THAT CONSIDERS THE IMPACT OF CHINESE ECONOMIC, SOCIAL AND DIPLOMATIC RELATIONS IN THAT REGION, AS WELL THOSE RELATIONS BETWEEN THE BALKANS AND THE EUROPEAN UNION AND THE UNITED STATES. FINALLY, THE BERTELSMANN FOUNDATION NO COLLAR ECONOMY INITIATIVE ALSO FOCUSED ON OUTREACH FOR ITS CONTENT, HOLDING OVER 30 SCREENING EVENTS WITH SCHOOLS, UNIVERSITIES, THINK-TANKS, NON-PROFIT ORGANIZATIONS, LOCAL GROUPS AND FILM FESTIVALS. THESE SCREENING EVENTS WERE ALWAYS FOLLOWED BY PANEL DISCUSSIONS FEATURING EXPERTS AND WELL AS COMMUNITY STAKEHOLDERS. WASHINGTON SYMPOSIUMTHE WASHINGTON SYMPOSIUM IS AN ANNUAL GATHERING OF POLITICAL, CULTURAL, AND BUSINESS LEADERS, CONVENED BY THE BERTELSMANN FOUNDATION IN WASHINGTON, DC. EACH YEAR, THE BERTELSMANN FOUNDATION CHOOSES A THEME OF PRESSING TRANSATLANTIC INTEREST AND INVITES APPROXIMATELY 30 HIGH-LEVEL PARTICIPANTS WHO ARE CONSIDERED EXPERTS ON THAT YEAR'S TOPIC. IN 2021, THE FOUNDATION WAS UNABLE TO HOST THE GATHERING DUE TO COVID RESTRICTIONS. IN LIEU OF THE IN-PERSON EVENT, BFNA RELEASED A COMPILATION OF ESSAYS THAT EXAMINED THE FUTURE OF TRANSATLANTIC VALUES AGAINST THE BACKDROP OF THE CHALLENGES FACING POLICYMAKERS AND THE PUBLIC IN EUROPE AND THE UNITED STATES. FROM EXPLORING THE PERSPECTIVES OF YOUNG PEOPLE WHO GREW UP LONG AFTER THE BERLIN WALL CRUMBLD TO DISSECTING CONVERGENCES AND DIVERGENCES IN GERMAN AND AMERICAN GUIDING PRINCIPLES, TO ECONOMIC INTERDEPENDENCE AND JOINTLY PREPARING FOR PANDEMIC DOOMSDAY SCENARIOS, THIS FORWARD-LOOKING PUBLICATION AIMED TO REDEFINE A RELATIONSHIP THAT, FOR MANY, HAS BECOME SCLEROTIC IN RECENT YEARS. FUTURE PROJECTS WILL BUILD ON SOME OF THE THEMES HIGHLIGHTED IN THE COURSE OF THIS WORK.SEE ATTACHMENT BERTELSMANN FOUNDATION PROJECT SUMMARIES (2021) FOR FURTHER INFORMATION.</p> |
| | FORM 990-PF, PART VIII-A, LINE 2: | <p>TRANSATLANTIC RELATIONS:THE TRANSATLANTIC RELATIONS PROGRAM AIMED TO FOSTER DISCUSSION AND DEBATE AROUND THE MOST PRESSING POLICY AREAS CONFRONTING THE US AND EUROPE TODAY. IN 2021, THE FOUNDATION CONTINUED ITS ONGOING EXAMINATION OF THIS PIVOTAL RELATIONSHIP THROUGH A TECHNOLOGICAL LENS BOTH IN SUBSTANCE AND DELIVERY OF OUR PROJECTS. CEPITHE TRANSATLANTIC RELATIONS PROGRAM AIMED TO STRENGTHEN THE TIES AMONG US LEGISLATIVE BODIES, GERMAN BUNDESTAG, THE EUROPEAN PARLIAMENT (EP) AND THE PRIVATE SECTOR. DISRUPTING DEMOCRACYDISRUPTING DEMOCRACY FOCUSED ON HOW THREE UNDEMOCRATIC REGIMES UNDERMINE THE PROSPECTS FOR DEMOCRACY AND STABILITY IN THEIR RESPECTIVE REGIONS. FUTURE OF WORKBASED ON ITS INITIAL CASE STUDY FINDINGS, AND THE EMERGENCE OF COVID-19, THE FUTURE OF WORK PROJECT SOUGHT TO BOOST THE QUALITY OF PUBLIC POLICY AROUND THE FUTURE OF WORK. .NEWPOLITIK/US-GERMAN RELATIONSOUR TRADITIONAL BI-LATERAL FOCUS ON THE US-GERMAN RELATIONSHIP WAS BROADENED INTO A MORE MULTILATERAL APPROACH GIVEN THE NEW US ADMINISTRATION'S FOCUS ON ENGAGEMENT WITH MULTIPLE EUROPEAN PARTNERS AND THE EU INSTITUTIONS. LEADERSHIP IN ACTIONTHE BERTELSMANN FOUNDATION PARTNERED WITH THE ASPEN MINISTERS FORUM TO CREATE A NEW ANIMATED VIDEO SERIES THAT LEVERAGED THE EXPERIENCE OF FORMER GOVERNMENT OFFICIALS DURING TIMES OF CRISIS. THE NEW GENERATION VOICES THE NEW GENERATION VOICES PROJECT, CARRIED OUT BY THE BERTELSMANN FOUNDATION IN PARTNERSHIP WITH HUMANITY IN ACTION DEUTSCHLAND E.V., WAS A MULTIMEDIA PROJECT FOCUSING ON FOUR FUTURE CHALLENGES: SOCIAL COHESION, CLIMATE CHANGE, DIGITAL REVOLUTION, AND FUTURE OF DEMOCRACY THROUGH THE EYES OF FOUR YOUNG CHANGEMAKERS (TWO AMERICANS AND TWO GERMANS) WHO ARE RISING TO THESE CHALLENGES BY SPEAKING UP ON BEHALF OF THE FUTURE GENERATIONS. THE PROJECT DEMONSTRATED HOW A YOUNG GENERATION OF ACTIVISM HAS THE CAPACITY TO HELP MAKE SOCIAL CHANGE. THE TRANSITIONFOLLOWING THE 2020 ELECTION, THE FOUNDATION IDENTIFIED FORWARD-LOOKING ISSUES THAT WERE RIPE FOR TRANSATLANTIC COOPERATION, AS THE NEW PRESIDENT TOOK HIS SEAT IN THE OVAL OFFICE. WITH SO MANY OTHER THINK TANKS PROVIDING RECOMMENDATIONS TO THE NEW ADMINISTRATION, WE TOOK A UNIQUE APPROACH TO THIS PROJECT. WE PROVIDED EUROPEAN PERSPECTIVES ON CUTTING-EDGE ISSUES INCLUDING GLOBAL PANDEMICS, GREEN SHIPPING AND CYBERSECURITY, AND PRESENTED THIS CONTENT IN INNOVATIVE WAYS. IN ADDITION TO TRADITIONAL WRITTEN POLICY BRIEFS FOR EACH TOPIC, WE DEVELOPED A RANGE OF PRODUCTS INCLUDING VIDEO INTERVIEWS WITH 3D ANIMATED ENVIRONMENTS, PODCASTS AND INFOGRAPHICS. THIS PROJECT RELEASED ONE THEMATIC TOPIC PER MONTH AND CULMINATED WITH A COMPENDIUM PUBLICATION IN APRIL 2021, MARKING THE FIRST 100 DAYS OF THE NEW US ADMINISTRATION.TRANSATLANTIC PERISCOPETHE FOUNDATION COMPLETED THE BETA DEVELOPMENT OF THE TRANSATLANTIC PERISCOPE, A DIGITAL PLATFORM THAT IDENTIFIES TRENDLINES BETWEEN THE UNITED STATES AND EACH OF THE 27 EU MEMBER STATES (PLUS THE UNITED KINGDOM). IN 2021, THE FOCUS WAS TO RECRUIT INSTITUTIONAL PARTNERS TO SERVE AS CURATORS FOR THE BILATERAL COUNTRY MODULES THAT POWER THE SITE. TO DATE, WE HAVE SECURED THREE PARTNERS FOR THE PROJECT INCLUDING REAL INSTITUTO ELCANO (SPAIN), BERTELSMANN STIFTUNG (GERMANY) AND THE GERMANY MARSHALL FUND PARIS (FRANCE). WE WILL CONTINUE TO RECRUIT NEW PARTNERS, AND WILL GO LIVE WITH THE SITE IN THE FIRST QUARTER OF 2022.SEE ATTACHMENT BERTELSMANN FOUNDATION PROJECT SUMMARIES (2021) FOR FURTHER INFORMATION.</p> |

TY 2021 IRS 990 e-File Render**Name:** BERTELSMANN FOUNDATION (NA) INC**EIN:** 71-1048702

| Category / Item | Cost / Other Basis | Accumulated Depreciation | Book Value | End of Year Fair Market Value |
|-----------------------|--------------------|--------------------------|------------|-------------------------------|
| FURNITURE & EQUIPMENT | 500,530 | 409,414 | 91,116 | 91,116 |
| HARDWARE & SOFTWARE | 294,519 | 246,336 | 48,183 | 48,183 |
| WEBSITE | 323,478 | 232,285 | 91,193 | 91,193 |

TY 2021 IRS 990 e-File Render

Name: BERTELSMANN FOUNDATION (NA) INC

EIN: 71-1048702

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------|--------|-----------------------|---------------------|---------------------------------------|
| LEGAL SERVICES | 12,449 | 0 | 0 | 15,669 |

TY 2021 IRS 990 e-File Render**Name:** BERTELSMANN FOUNDATION (NA) INC**EIN:** 71-1048702

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-------------------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| OFFICE EXPENSES | 13,788 | 0 | 0 | 13,788 |
| EVENT EXPENSES | 25,108 | 0 | 0 | 25,108 |
| COMPUTER EXPENSES | 35,610 | 0 | 0 | 35,610 |
| MISCELLANEOUS - OPERATING EXP | 18,807 | 0 | 0 | 29,414 |
| TECHNICAL EQUIP | 200 | 0 | 0 | 200 |
| BANK CHARGES | 1,615 | 0 | 0 | 1,615 |
| DUES & SUBSCRIPTIONS | 18,246 | 0 | 0 | 18,375 |
| POSTAGE | 8,311 | 0 | 0 | 8,311 |
| TELECOMMUNICATIONS | 66,436 | 0 | 0 | 66,436 |
| ADVERTISING | 418,386 | 0 | 0 | 418,386 |
| TRANSLATIONS | 4,435 | 0 | 0 | 4,435 |
| FUNDING PROGRAM PARTNERS/COOP | 25,321 | 0 | 0 | 25,321 |
| OPERATING EXPENSES | 76,048 | 0 | 0 | 76,410 |

TY 2021 IRS 990 e-File Render

Name: BERTELSMANN FOUNDATION (NA) INC

EIN: 71-1048702

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|-----------------------------------|--------------------------------|-----------------------|---------------------|
| PROGRAM EVENT AND EXPENSE REFUNDS | 17,954 | | 17,954 |

TY 2021 IRS 990 e-File Render

Name: BERTELSMANN FOUNDATION (NA) INC

EIN: 71-1048702

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|------------------------------|---------|-----------------------|---------------------|---------------------------------------|
| OTHER VIDEO PRODUCTION COSTS | 173,767 | 0 | 0 | 179,767 |

TY 2021 IRS 990 e-File Render

Name: BERTELSMANN FOUNDATION (NA) INC

EIN: 71-1048702

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---------------------|--------|-----------------------|---------------------|---------------------------------------|
| TAXES - REAL ESTATE | 78,157 | 0 | 0 | 78,157 |