

For calendar year 2021, or tax year beginning 01-01-2021, and ending 12-31-2021

Name of foundation THE WINTHROP ROCKEFELLER FOUNDATION		A Employer identification number 71-0285871
Number and street (or P.O. box number if mail is not delivered to street address) 225 EAST MARKHAM STREET 200	Room/suite	B Telephone number (see instructions) (501) 376-6854
City or town, state or province, country, and ZIP or foreign postal code LITTLE ROCK, AR 72201		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☒ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 169,499,273	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	386,564	549,987		
	4 Dividends and interest from securities	693,167	1,522,988		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	11,004,574			
	b Gross sales price for all assets on line 6a 31,051,566				
	7 Capital gain net income (from Part IV, line 2)		12,268,437		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	103,414	-1,098,278	0	
	12 Total. Add lines 1 through 11	12,187,719	13,243,134	0	
	13 Compensation of officers, directors, trustees, etc.	919,440	125,303	0	794,137
	14 Other employee salaries and wages	373,359	0	0	373,359
	15 Pension plans, employee benefits	540,291	20,421	0	519,870
	16a Legal fees (attach schedule)	6,415	1,638	0	4,777
	b Accounting fees (attach schedule)	59,394	34,520	0	24,874
	c Other professional fees (attach schedule)	1,387,939	849,293	0	478,442
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	158,717	119,584	0	0
	19 Depreciation (attach schedule) and depletion . . .	43,636	0	0	
	20 Occupancy	135,189	0	0	135,189
	21 Travel, conferences, and meetings	14,412	334	0	14,078
	22 Printing and publications	13,634	0	0	13,634
	23 Other expenses (attach schedule)	778,334	5,000	0	793,606
	24 Total operating and administrative expenses. Add lines 13 through 23	4,430,760	1,156,093	0	3,151,966
	25 Contributions, gifts, grants paid	5,787,500			3,620,000
	26 Total expenses and disbursements. Add lines 24 and 25	10,218,260	1,156,093	0	6,771,966
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	1,969,459			
	b Net investment income (if negative, enter -0-)		12,087,041		
c Adjusted net income (if negative, enter -0-)				0	

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments	3,670,918	3,743,652	3,743,652	
	3	Accounts receivable ▶ <u>15,259</u>				
		Less: allowance for doubtful accounts ▶ _____	58,705	15,259	15,259	
	4	Pledges receivable ▶ _____				
		Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ <u>15,922</u>				
		Less: allowance for doubtful accounts ▶ _____ <u>0</u>	45,271	15,922	15,922	
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)	126,507,022	138,947,101	138,947,101	
	c	Investments—corporate bonds (attach schedule)	18,728,605	18,673,086	18,673,086	
	Liabilities	11	Investments—land, buildings, and equipment: basis ▶ _____			
		Less: accumulated depreciation (attach schedule) ▶ _____				
12		Investments—mortgage loans				
13		Investments—other (attach schedule)				
14		Land, buildings, and equipment: basis ▶ <u>654,741</u>				
		Less: accumulated depreciation (attach schedule) ▶ <u>412,893</u>	282,535	241,848	241,848	
15		Other assets (describe ▶ _____)	7,854,416	7,862,405	7,862,405	
16		Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	157,147,472	169,499,273	169,499,273	
17		Accounts payable and accrued expenses	611,018	651,075		
18		Grants payable	2,022,494	4,189,994		
19	Deferred revenue.					
20	Loans from officers, directors, trustees, and other disqualified persons					
21	Mortgages and other notes payable (attach schedule)					
22	Other liabilities (describe ▶ _____)					
23	Total liabilities (add lines 17 through 22).	2,633,512	4,841,069			
Net Assets or Fund Balances		Foundations that follow FASB ASC 958, check here ▶ ☒ and complete lines 24, 25, 29 and 30.				
	24	Net assets without donor restrictions	154,513,960	164,658,204		
	25	Net assets with donor restrictions				
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances (see instructions)	154,513,960	164,658,204		
	30	Total liabilities and net assets/fund balances (see instructions) .	157,147,472	169,499,273		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	154,513,960
2	Enter amount from Part I, line 27a	1,969,459
3	Other increases not included in line 2 (itemize) ▶ _____	8,174,785
4	Add lines 1, 2, and 3	164,658,204
5	Decreases not included in line 2 (itemize) ▶ _____	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	164,658,204

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES	P		
b PROGRAM-RELATED INVESTMENTS	P		
c K-1 - OWL VENTURES II, LP	P		
d K-1 - BAIN CAPITAL DOUBLE IMPACT FUND	P		
e K-1 - GQG PARTNERS	P		
K-1 - OWNERSHIP CAPITAL	P		
K-1 - DIAMOND STATE VENTURES III	P		
K-1 - DIAMOND STATE VENTURES II, LP	P		
K-1 - FARALLON CAPITAL F5	P		
K-1 - FUND FOR ARKANSAS' FUTURE	P		
K-1 - ELEVAR EQUITY	P		
K-1 - IMPACT AMERICA	P		
K-1 - SJF VENTURES IV	P		
K-1 - STEWART INVESTORS GEMSL FUND	P		
K-1 - NEWTYN TE PARTNERS LP	P		
K-1 - ILLUMEN CAPITAL	P		
K-1 - PRESERVER LP	P		
K-1 - MAJ INVEST GLOBAL VALUE	P		
K-1 - PALMER SQUARE ULTRA-SHORT	P		
K-1 - RETHINK IMPACT	P		
K-1 - MATERIAL IMPACT II	P		
K-1 - SJF VENTURES V	P		
K-1 - SEAE VENTURES	P		
K-1 - BASE 10 ADVANCEMENT	P		
K-1 - ILLUMEN IMPACT II	P		
CAPITAL GAINS DIVIDENDS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 25,707,264		18,325,562	7,381,702
b 442,995		442,995	0
c		11,359	-11,359
d 295,582			295,582
e 1,820,641			1,820,641
499,302			499,302
23,330			23,330
173,809			173,809
2,073			2,073
			0
188			188
			0
420,539			420,539
387,549			387,549
513,721			513,721
9,513			9,513
89,123			89,123
505,820			505,820
		3,213	-3,213
			0
			0
			0
			0
			0
160,117			160,117

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			7,381,702
b			0
c			-11,359
d			295,582
e			1,820,641
			499,302
			23,330
			173,809
			2,073
			0
			188
			0
			420,539
			387,549
			513,721
			9,513
			89,123
			505,820
			-3,213
			0
			0
			0
			0
			0
			160,117

Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	12,268,437
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}	3	12,108,320

Part V

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter

Bracket for line 1a

"N/A" on line 1.

1a

Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)

All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

Add lines 1 and 2.

3

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.

5

Credits/Payments:

6

2021 estimated tax payments and 2020 overpayment credited to 2021

6a

149,911

Exempt foreign organizations—tax withheld at source

6b

0

Tax paid with application for extension of time to file (Form 8868)

6c

100,000

Backup withholding erroneously withheld

6d

0

7

Total credits and payments. Add lines 6a through 6d

7

249,911

8

Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

0

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

10

81,901

11

Enter the amount of line 10 to be: Credited to 2022 estimated tax

Refunded

11

40,000

11

41,901

Part VI-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

No

1b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.

1b

No

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1c

Did the foundation file Form 1120-POL for this year?.

1c

No

2

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. \$ 0 (2) On foundation managers. \$ 0

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?

2

No

If "Yes," attach a detailed description of the activities.

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

4b

If "Yes," has it filed a tax return on Form 990-T for this year?.

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5

No

If "Yes," attach the statement required by General Instruction T.

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

By language in the governing instrument, or

By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?.

6

Yes

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV.

7

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)

AR

8b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

10

No

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Part VI-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.WRFOUNDATION.ORG/WWW.GUIDESTAR.ORG	13	Yes	
14	The books are in care of ANDREA M DOBSON Telephone no. (501) 376-6854 Located at 225 EAST MARKHAM STREET STE 200 LITTLE ROCK AR 72201 ZIP+4 ▶			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	16	Yes	No

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)		No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	Yes	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
c	Organizations relying on a current notice regarding disaster assistance check here. ▶ □			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? If "Yes," list the years ▶ 20____, 20____, 20____, 20____	2a		No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a	Yes	
b	If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	4b		No

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?
b If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
c Organizations relying on a current notice regarding disaster assistance check here
d If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
If "Yes," attach the statement required by Regulations section 53.4945–5(d).
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
If "Yes" to 6b, file Form 8870.
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Yes

No

5a(1)

No

5a(2)

No

5a(3)

No

5a(4)

Yes

5a(5)

No

5b

Yes

5d

Yes

6a

No

6b

No

7a

No

7b

8

No

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SHERECE WEST-SCANTLEBURY 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	PRESIDENT & CEO 50.00	390,778	57,090	0
ANDREA DOBSON 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	COFO 50.00	287,416	49,039	0
REGAN GRUBER MOFFITT 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	SECRETARY 50.00	241,245	35,754	0
CHRIS VINCENT 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	CHAIR 2.87	0	0	0
CHRIS CARDONA 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	VICE CHAIR 2.20	0	0	0
MIKE RAMIREZ 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.57	0	0	0
CHARLOTTE GREEN 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.29	0	0	0
MIKE MALONE 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 0.85	0	0	0
TERRY MAZANY 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.08	0	0	0
WIN ROCKEFELLER JR 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.58	0	0	0
KIISHA MARROW 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.55	0	0	0
SHARON TOOMER 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.69	0	0	0
LISENNE ROCKEFELLER 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 0.79	0	0	0
CEDRIC WILLIAMS 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.16	0	0	0
ALEJANDRO AVILES 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.74	0	0	0
NACCAMAN WILLIAMS 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.67	0	0	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CORY ANDERSON	CHIEF INNOVATION OFF	229,537	36,218	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK,AR 72201	50.00			
SHANTELL HINTON	EQUITY OFFICER	96,370	18,485	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK,AR 72201	40.00			
SARAH MCBROOM	EQUITY OFFICER	93,241	20,224	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK,AR 72201	40.00			
DAVID LEWIS	EQUITY OFFICER	90,846	12,594	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK,AR 72201	40.00			
KATIE WIGGINS	SENIOR OPERATIONS AS	67,700	20,397	0
225 EAST MARKHAM STREET STE 200 LITTLE ROCK,AR 72201	40.00			
Total number of other employees paid over \$50,000. ►				0

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CAMBRIDGE ASSOCIATES	INVESTMENT ADVISORY SERVICES	304,967
125 HIGH STREET BOSTON,MA 021102112		
OAKVIEW CAPITAL MANAGEMENT	INVESTMENT MANAGEMENT SERVICES	194,629
3879 MAPLE AVE SUITE 300 DALLAS,TX 75219		
THE NORTHERN TRUST COMPANY	INVESTMENT CUSTODY & MANAGEMENT SERVICES	142,174
5 SOUTH LASALLE STREET CHICAGO,IL 60603		
STEWART INVESTORS GLOBAL EMERGING MARKETS SUSI	INVESTMENT MANAGEMENT SERVICES	115,715
15 FINSBURY CIRCUS LONDON UK		
FHF ENTERPRISES LLC	CONSULTING ON CARES GRANT IMPLEMENTATION	77,400
530 MCCLESKY DRIVE FORREST CITY,AR 72235		

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 STRENGTHENING ARKANSAS NONPROFITS (22 ORGANIZATIONS ENGAGED):WRF HAS DIRECTLY SUPPORTED LEADERSHIP DEVELOPMENT AND STRONGER NONPROFITS THROUGH TECHNICAL ASSISTANCE FOR SPECIFIC PLANNING AND COACHING NEEDS, LEADERSHIP DEVELOPMENT, AND NONPROFIT NETWORKS. NONPROFITS RECEIVED SUPPORT FOR PROJECT EVALUATION, DOCUMENTATION, CONSTITUENCY BUILDING, STRATEGIC AND BUSINESS PLANNING, COMMUNICATIONS, FINANCIAL MANAGEMENT AND BOARD DEVELOPMENT.	369,757
2 CAPITAL ACCESS AND ASSET DEVELOPMENT (28 ORGANIZATIONS ENGAGED):WRF CREATED THE ABC'S OF EQUITY (ALICE, BUSINESS CASE FOR EQUITY, AND CAPITAL ACCESS REPORT) TO QUANTIFY EXISTING EQUITY CHALLENGES AND TO IDENTIFY STRATEGIES TO OVERCOME THEM. WE RELEASED THE CAPITAL ACCESS REPORT TO DRIVE ACTION TO INCREASE THE AVAILABILITY OF LENDING RESOURCES TO BLACK AND BROWN ENTREPRENEURS AND WOMEN ENTREPRENEURS. WRF LAUNCHED GROW AR OWN AND SPARKED A STATEWIDE CONVERSATION ABOUT INEQUITY IN PRIVATE BANKING AND FEDERAL SMALL BUSINESS SUPPORT FOR BUSINESSES OWNED BY WOMEN AND PEOPLE OF COLOR.	266,628
3 REIMAGINE ARKANSAS (10 ORGANIZATIONS ENGAGED):CREATED BY WRF IN 2020, REIMAGINE ARKANSAS IS THE ONLY STATEWIDE INITIATIVE FOR NARRATIVE CHANGE THAT LISTENS TO THOSE MOST IMPACTED BY INEQUITY, OUR ALICE FAMILIES AND WORKERS, AND SHARES THEIR STORIES VIA A WIDELY ACCESSIBLE PLATFORM. BY ENABLING STORIES TO PROLIFERATE, WE AIM TO UNDERMINE ASSUMPTIONS AND PRECONCEPTIONS AND CHANGE THE NARRATIVE ABOUT ALICE FAMILIES TO INFLUENCE POLICY AND ADVOCACY AND ENCOURAGE OTHERS TO JOIN AR EQUITY 2025'S MOVEMENT BUILDING. WRF LAUNCHED REIMAGINE ARKANSAS USING LISTENING TECHNOLOGY TO SURFACE REAL, UNBIASED PERSPECTIVES AND STORIES OF ALICE FAMILIES.	204,008
4 PHILANTHROPIC COLLABORATION (54 ORGANIZATIONS ENGAGED) :WRF HAS DIRECTLY SUPPORTED THE INFRASTRUCTURE FOR FUNDERS TO HAVE COLLECTIVE IMPACT ON SIGNIFICANT CHALLENGES FACING THE STATE OF ARKANSAS. THROUGH GROUPS LIKE ARKANSAS BLACK PHILANTHROPY COLLABORATIVE (ABPC), ARKANSAS ASSET FUNDERS NETWORK (AFN), ARKANSAS IMPACT PHILANTHROPY (AIP), AND DELTA PHILANTHROPY FORUM (DPF), THIS PHILANTHROPIC COLLABORATION HAS BROUGHT TOGETHER COALITIONS AND RESOURCES TO SUPPORT COVID RELIEF AND RECOVERY, INCREASED ACCESS TO CAPITAL FOR PEOPLE OF COLOR AND WOMEN, AND MORE RESOURCES FOR THE DELTA REGION.	219,547

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	150,723,801
b	Average of monthly cash balances.	1b	5,351,464
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	156,075,265
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	156,075,265
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	2,341,129
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.	5	153,734,136
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	7,686,707

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	7,686,707
2a	Tax on investment income for 2021 from Part V, line 5.	2a	168,010
b	Income tax for 2021. (This does not include the tax from Part V.).	2b	
c	Add lines 2a and 2b.	2c	168,010
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	7,518,697
4	Recoveries of amounts treated as qualifying distributions.	4	6,811
5	Add lines 3 and 4.	5	7,525,508
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	7,525,508

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				7,525,508
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2021:				
a From 2016.	562,654			
b From 2017.	1,952,914			
c From 2018.				
d From 2019.				
e From 2020.	767,499			
f Total of lines 3a through e.	3,283,067			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ 6,771,966				
a Applied to 2020, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2021 distributable amount				6,771,966
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)	753,542			753,542
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,529,525			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a	2,529,525			
10 Analysis of line 9:				
a Excess from 2017	1,762,026			
b Excess from 2018				
c Excess from 2019.				
d Excess from 2020	767,499			
e Excess from 2021				

Part XIII

Private Operating Foundations (see instructions and Part VI-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2021	(b) 2020	(c) 2019	(d) 2018	
b	85% (0.85) of line 2a					
c	Qualifying distributions from Part XI, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test—enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .					
c	"Support" alternative test—enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ONLINE AT WWW.WRFOUNDATIONORG
225 EAST MARKHAM STREET SUITE 200
LITTLE ROCK,AR 72201
(501) 376-6854

b

The form in which applications should be submitted and information and materials they should include:

WRF PROVIDES GENERAL OPERATING SUPPORT, PROJECT SUPPORT, TECHNICAL ASSISTANCE, AND PROGRAM RELATED INVESTMENTS TO ORGANIZATIONS WORKING TO ADVANCE ECONOMIC EQUITY, EDUCATIONAL EQUITY AND/OR SOCIAL, ETHNIC, AND RACIAL EQUITY WITHIN ARKANSAS. DETAILED GUIDELINES ARE AVAILABLE ON OUR WEBSITE AT [HTTPS://WWW.WRFOUNDATION.ORG/WHAT-WE-FUND/#HOW](https://www.wrfoundation.org/what-we-fund/#how). OUR APPROACH BEGINS WITH AN IDEA SUBMITTED TO OUR ONLINE PORTAL, WWW.WRFOUNDATION.ORG/HOW-TO-APPLY/

c

Any submission deadlines:

SUBMISSIONS ARE ROLLING AND CAN BE RECEIVED ONLINE AT WWW.WRFOUNDATION.ORG/HOW-TO-APPLY/ AT ANY TIME

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

WRF GRANTS AND PROGRAM-RELATED INVESTMENTS ARE LIMITED TO INITIATIVES THAT DIRECTLY OR SIGNIFICANTLY IMPACT THE STATE OF ARKANSAS, AND ARE PRIMARILY PROVIDED TO ORGANIZATIONS LOCATED IN ARKANSAS. IF YOUR INITIATIVE IS NOT LED BY A NONPROFIT, GOVERNMENT AGENCY, SCHOOL, COLLEGE, OR UNIVERSITY, WRF WILL REQUIRE A FISCAL SPONSOR. A FISCAL SPONSOR ACTS AS A GUARDIAN OF GRANT FUNDS, RETAINS DISCRETION AND CONTROL OVER THE USE OF GRANT FUNDS, AND ENSURES THAT THE FUNDS ARE USED FOR 501(C)(3) PURPOSES. THE FISCAL SPONSOR AND ORGANIZATION'S MISSIONS SHOULD BE IN ALIGNMENT. WRF WILL GENERALLY NOT: FUND INDIVIDUALS SUPPORT CAPITAL EXPENDITURES (CONSTRUCTION, MAJOR RENOVATIONS, EQUIPMENT, OR SUPPLIES) PROVIDE OPERATING SUPPORT TO COVER BUDGET SHORTFALLS SUPPORT FUNDRAISING CAMPAIGNS SUPPORT BASIC SCIENTIFIC RESEARCH SUPPORT ENDOWMENTS SUPPORT INITIATIVES THAT DO NOT DIRECTLY OR SIGNIFICANTLY HAVE AN IMPACT WITHIN THE STATE OF ARKANSAS

Name and address (home or business)		or substantial contributor	recipient	amount	
Paid during the year					
ADVANCING BLACK ENTREPRENEURSHIP INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	PROVIDE GENERAL OPERATING SUPPORT TO ARKANSAS ORGANIZATIONS THAT ARE CRITICAL TO THE EQUITY INFRASTRUCTURE IN ARKANSAS.	50,000
ADVOCATES FOR COMMUNITY AND RURAL EDUCATION - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	PROVIDE GENERAL OPERATING SUPPORT TO ARKANSAS ORGANIZATIONS THAT ARE CRITICAL TO THE EQUITY INFRASTRUCTURE IN ARKANSAS.	50,000
ARKANAS BAPTIST COLLEGE - LITTLE ROCK,AR 72201			NC	TO REIMAGINE THE LONG-TERM INFRASTRUCTURE NEEDED TO ENSURE THE SUSTAINABILITY OF THE STATES FOUR HISTORICALLY BLACK COLLEGES AND UNIVERSITIES	25,000
ARKANSAS ADVOCATES FOR CHILDREN AND FAMILIES - LITTLE ROCK,AR 72201			PC	SUPPORT FOR GUBERNATORIAL ADVOCACY AND COORDINATE WITH THE ALLIANCE FOR EARLY SUCCESS'S STATE TEAM	10,000
ARKANSAS BLACK MAYORS ASSOCIATION - ALTHEIMER AR VARIOUS LITTLE ROCK,AR 72201			PC	DEVELOP A STRATEGIC PLAN, IDENTIFY OPERATIONAL GOALS, AND ENGAGE IN RESOURCE DEVELOPMENT IN SUPPORT OF THEIR MISSION	30,000
ARKANSAS COALITION OF MARSHALLESE - SPRINGDALE AR VARIOUS LITTLE ROCK,AR 72201			NC	SUPPORT A YEAR-LONG DIGITAL CAMPAIGN TO DEVELOP, TEST, AND SUBMIT POLICY STRATEGIES ROOTED IN THE VOICES AND LIVED EXPERIENCES OF MARSHALLESE RESIDENTS	15,000
ARKANSAS COMMUNITY FOUNDATION INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	STRENGTHEN THE COALITIONS, COLLABORATIVES, AND COMMON TABLES ADVANCING POLICY CHANGE ON BEHALF OF ALICE FAMILIES	100,000
ARKANSAS COMMUNITY FOUNDATION INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	BUILD THE POWER OF EARLY CHILDHOOD PROFESSIONALS AND PARENTS AND SUPPORT A LONG-TERM, COORDINATED CAMPAIGN TO ACHIEVE TRANSFORMATIVE CHANGE IN ARKANSASS EARLY CHILDHOOD SYSTEM	100,000
ARKANSAS COMMUNITY FOUNDATION INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO LEVERAGE INDIVIDUAL AND PHILANTHROPIC FUNDS TO SUPPORT NONPROFIT ORGANIZATIONS ACTIVELY RESPONDING TO ARKANSANS IN NEED	100,000
ARKANSAS COMMUNITY FOUNDATION INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO PROVIDE GENERAL OPERATING SUPPORT TO RESIDENTS IMPACT PHILANTHROPY AS IT IDENTIFIES AND TAKES ON ADDITIONAL COLLABORATIVE PHILANTHROPIC STRATEGIES TO ADVANCE EQUITY	50,000
ARKANSAS COMMUNITY FOUNDATION INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	ALFRED HAMPTON MEMORIAL	5,000
ARKANSAS COMMUNITY FOUNDATION INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO SUPPORT DIRECT SERVICES, ADVOCACY, AND ORGANIZING SO THAT IMMIGRANTS AND REFUGEES CAN FULLY PARTICIPATE IN A THRIVING AND PROSPEROUS STATE THAT BENEFITS ALL ARKANSANS	150,000
ARKANSAS COMMUNITY FOUNDATION INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO SERVE AS FISCAL SPONSOR FOR THE EXCEL BY EIGHT INITIATIVE TO INCREASE HEALTH AND EDUCATION OUTCOMES AND DECREASE EXISTING GAPS ACROSS GENDER, INCOME, RACE/ETHNICITY, AND GEOGRAPHY, FOR CHILDREN AGES BIRTH TO 8.	500,000
ARKANSAS JUDICIAL COUNCIL- LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			NC	SUPPORT ORGANIZED LISTENING SESSIONS FOR STATE JUDGES TO HEAR COMMUNITY MEMBERS SPEAK ON THE NATURE AND ELEMENTS OF A BOARD OF JUDICIAL GENT WITHIN THE JUSTICE SYSTEM	20,000
ARKANSAS RURAL HEALTH PARTNERSHIP - LAKE VILLAGE AR VARIOUS LITTLE ROCK,AR 72201			PC	SUPPORT THE ARHP TO ENGAGE EVERY RURAL HOSPITAL IN SOUTH ARKANSAS TO CREATE A SHARED VISION FOR PROFESSIONAL STRATEGIES TO BETTER MEET THE NEEDS OF RURAL ARKANSANS	10,000
ARKANSAS UNITED COMMUNITY COALITION - FAYETTEVILLE AR VARIOUS LITTLE ROCK,AR 72201			PC	BUILD AND SUSTAIN THE VOICE OF IMMIGRANT-LED AND -SERVING ORGANIZATIONS THAT CENTER IMMIGRANT VOICE THROUGH LEADERSHIP DEVELOPMENT AND POWER BUILDING	50,000
ARKANSAS UNITED COMMUNITY COALITION - FAYETTEVILLE AR VARIOUS LITTLE ROCK,AR 72201			PC	SUPPORT CNL IN BUILDING INTERNAL CAPACITY, WITH THE GOAL OF FORMING A LATINX-LED AND -SERVING ENTREPRENEUR SUPPORT ORGANIZATION BASED IN NORTHWEST ARKANSAS	30,000
ASSET FUNDERS NETWORK - CHICAGO IL VARIOUS LITTLE ROCK,AR 72201			PC	TO SUPPORT THE ARKANSAS ASSET FUNDERS NETWORK PARTICIPATION IN A NATIONAL COHORT OF NARRATIVE CHANGE COLLABORATORS TO BUILD A NETWORK OF FUNDERS, BUSINESSES, BANKS, AND POLICY-MAKER CHAMPIONS FOR AN ASSET LIMITED INCOME CONSTRAINED EMPLOYED (ALICE) AGENDA AND TO MOBILIZE DATA-DRIVEN CHNGE ON BARRIERS TO ALICE FAMILIES.	25,000
ASSET FUNDERS NETWORK - CHICAGO IL VARIOUS LITTLE ROCK,AR 72201			PC	TO LEVERAGE LOCAL AND NATIONAL FUNDS FOR A STATE EARNED INCOME TAX CREDIT IN ARKANSAS	20,000
ASSET FUNDERS NETWORK - CHICAGO IL VARIOUS LITTLE ROCK,AR 72201			PC	INVEST IN NEW MODELS FOR EQUITABLE DISTRIBUTION OF CAPITAL IN ARKANSAS AND IN NETWORKS THAT CAN WIELD THEIR COLLECTIVE INFLUENCE AND LEVERAGE TO BRING MODELS TO SCALE AND DRIVE SYSTEMIC CHANGE	30,000
ASSOCIATION OF BLACK FOUNDATION EXECUTIVES INC - NEW YORK NY VARIOUS LITTLE ROCK,AR 72201			PC	2021 WOMEN IN PHILANTHROPY SPONSORSHIP	5,000
AUBURN THEOLOGICAL SEMINARY - NEW YORK NY VARIOUS LITTLE ROCK,AR 72201			PC	BUILD AN INFRASTRUCTURE OF FAITH LEADERS TO ENGAGE IN ADVOCACY AND MORAL LEADERSHIP TO ADVANCE THE NARRATIVE OF ECONOMIC EQUITY IN ARKANSAS.	30,000
BRANDON HOUSE CULTURAL AND PERFORMING ARTS CENTER - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	SUPPORT THE GROWTH AND DEVELOPMENT OF CREATIVE ENTREPRENEURSHIP IN CENTRAL ARKANSAS THROUGH A COLLABORATIVE CREATIVE ECONOMY BUSINESS ACADEMY AND BUSINESS THERAPY MODEL	30,000
CCCUA FOUNDATION INC - DEQUEEN AR VARIOUS LITTLE ROCK,AR 72201			PC	2020 ROSA VELASQUEZ BOARD OF DIRECTORS HONORARIUM	10,000
CENTRAL ARKANSAS HARM REDUCTION PROJECT - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	SUPPORT ORGANIZING, MOBILIZATION, AND DIGITAL ART CAMPAIGNS TO ADVANCE EQUITY FOR THE LGBTQ COMMUNITY IN ARKANSAS	15,000
COMMUNITIES UNLIMITED - FAYETTEVILLE AR VARIOUS LITTLE ROCK,AR 72201			PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE COVID-19 PANDEMIC	195,000
DECARCERATE - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO EMPOWER LEADERS TO CRAFT A POLICY AGENDA TO DECRIMINALIZE POVERTY AND CONFRONT SYSTEMS OF JUSTICE	40,000
DELTA CIRCLES INC - HELENA AR VARIOUS LITTLE ROCK,AR 72201			PC	2020 KILOLO KIJAKAZI BOARD OF DIRECTORS HONORARIUM	10,000
DELTA CIRCLES INC - HELENA AR VARIOUS LITTLE ROCK,AR 72201			PC	PROVIDE GENERAL OPERATING SUPPORT TO ARKANSAS ORGANIZATIONS THAT ARE CRITICAL TO THE EQUITY INFRASTRUCTURE IN ARKANSAS.	75,000
DELTA CIRCLES INC - HELENA AR VARIOUS LITTLE ROCK,AR 72201			PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE COVID-19 PANDEMIC	55,000
DELTA COMMUNITY DEVELOPMENT AND LAW CENTER INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE COVID-19 PANDEMIC	50,000
DEREK LEWIS FOUNDATION - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			NC	BUILD THE INFRASTRUCTURE OF THE ARKANSAS BLACK PHILANTHROPY COLLABORATIVE IN ORDER TO MOBILIZE A BROAD COALITION OF LEADERS, FUNDERS, AND INSTITUTIONS TO ORGANIZE AROUND A COMMON MISSION AND VISION FOR BLACK COMMUNITIES IN ARKANSAS	90,000
EAST ARKANSAS ENTERPRISE COMMUNITY INC - FOREST CITY AR VARIOUS LITTLE ROCK,AR 72201			PC	TO CONDUCT RESEARCH ON HOW COVID RELIEF FUNDS IN ARKANSAS WERE DISTRIBUTED TO BUSINESSES AND NONPROFIT ORGANIZATIONS IN RESPONSE TO THE PANDEMIC	40,000
EAST ARKANSAS ENTERPRISE COMMUNITY INC - FOREST CITY AR VARIOUS LITTLE ROCK,AR 72201			PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE COVID-19 PANDEMIC	55,000
FAYETTEVILLE PUBLIC LIBRARY FOUNDATION - FAYETTEVILLE AR VARIOUS LITTLE ROCK,AR 72201			NC	2021 MIKE MALONE BOARD OF DIRECTORS HONORARIUM	5,000
FINANCING OZARKS RURAL GROWTH AND ECONOMY (FORGE) - HUNTSVILLE AR VARIOUS LITTLE ROCK,AR 72201			PC	INVEST IN NEW MODELS FOR EQUITABLE DISTRIBUTION OF CAPITAL IN ARKANSAS AND IN NETWORKS THAT CAN WIELD THEIR COLLECTIVE INFLUENCE AND LEVERAGE TO BRING MODELS TO SCALE AND DRIVE SYSTEMIC CHANGE	150,000
FORWARD ARKANSAS - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	ACCELERATE THE INNOVATIVE AND EQUITABLE TRANSFORMATION OF ARKANSAS'S PRE-K TO 12 EDUCATION SYSTEM TO DELIVER EXCELLENT OUTCOMES	600,000
FRACTURED ATLAS - NEW YORK NY VARIOUS LITTLE ROCK,AR 72201			PC	BUILD AN INFRASTRUCTURE OF FAITH LEADERS TO ENGAGE IN ADVOCACY AND MORAL LEADERSHIP TO ADVANCE THE NARRATIVE OF ECONOMIC EQUITY IN ARKANSAS.	50,000
GRANTMAKERS FOR EFFECTIVE ORGANIZATIONS - WASHINGTON DC VARIOUS LITTLE ROCK,AR 72201			NC	2021 LEARNING CONFERENCE SPONSORSHIP	5,000
HENDRIX COLLEGE - CONWAY AR VARIOUS LITTLE ROCK,AR 72201			PC	2021 MIKE MALONE BOARD OF DIRECTORS HONORARIUM	15,000
HISPANIC WOMEN'S ORGANIZATION OF ARKANSAS - SPRINGDALE AR VARIOUS LITTLE ROCK,AR 72201			PC	2020 ROSA VELASQUEZ BOARD OF DIRECTORS HONORARIUM	10,000
HOPE ENTERPRISE CORPORATION - JACKSONVILLE MS VARIOUS LITTLE ROCK,AR 72201			PC	BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS PROVIDING DIRECT SUPPORT, ORGANIZING, AND RESOURCES FOR ALICE WORKERS FACING EVICTIONS AND HOUSING INSTABILITY	25,000
IMMERSE ARKANSAS-LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	2021 CHRIS VINCENT BOARD OF DIRECTORS HONORARIUM	10,000
MEDICAL DEBT RESOLUTION INC - NEW YORK NY VARIOUS LITTLE ROCK,AR 72201			PC	TO GROW AWARENESS OF MEDICAL FINES, FEES AND DEBT IN ARKANSAS AND INSPIRE ACTION CALLING FOR SYSTEMATIC CHANGE AT THE LOCAL AND STATE LEVELS	15,000
NEIGHBORHOOD FUNDERS GROUP - OAKLAND CA VARIOUS LITTLE ROCK,AR 72201			PC	TO SUPPORT THE EMERGED RURAL STRATEGIES WORKING GROUP IN HIGHLIGHTING IMPORTANT SOCIAL JUSTICE MOVEMENTS COMING FROM AND TO RURAL COMMUNITIES AND TO INFLUENCE THE PHILANTHROPIC SECTOR'S APPROACH TO FUNDING RURAL ORGANIZING.	7,500
NEW LEADERS COUNCIL ARKANSAS VARIOUS LITTLE ROCK,AR 72201			PC	TO DEVELOP CROSS-SECTOR LEADERS TO BUILD POWER AND CREATE TRANSFORMATIONAL CHANGE ROOTED IN EQUITY.	5,000
NORTHWEST ARKANSAS EQUALITY INC - FAYETTEVILLE AR VARIOUS LITTLE ROCK,AR 72201			PC	SUPPORT AN EMERGING HIV REFORM COALITION IN ARKANSAS TO CHALLENGE INEQUITABLE POLICIES THAT CRIMINALIZE HIV IN ARKANSAS	30,000
PEOPLE TRUST - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO SUPPORT ARKANSAS ONLY BLACK-OWNED COMMUNITY DEVELOPMENT FINANCIAL INSTITUTION THROUGH INTERNAL CAPACITY BUILDING TO STIMULATE EXPANSION AND GROWTH	75,000
PHILANDER SMITH COLLEGE - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO REIMAGINE THE LONG-TERM INFRASTRUCTURE NEEDED TO ENSURE THE SUSTAINABILITY OF THE STATES FOUR HISTORICALLY BLACK COLLEGES AND UNIVERSITIES	25,000
PHOENIX YOUTH AND FAMILY SERVICES INC - CROSSETT AR VARIOUS LITTLE ROCK,AR 72201			PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE COVID-19 PANDEMIC	50,000
POTLUCK AND POISON IVY - NORTH LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	BUILDING COLLECTIVE IMPACT FOR ALICE FAMILIES CO-INVEST IN A COALITION OF DIVERSE ORGANIZATIONS PROVIDING DIRECT SUPPORT, ORGANIZING, AND RESOURCES FOR ALICE WORKERS FACING EVICTIONS AND HOUSING INSTABILITY	12,500
PROJECT ZERO - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	2021 CHRIS VINCENT BOARD OF DIRECTORS HONORARIUM	10,000
SHORTER COLLEGE - NORTH LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO REIMAGINE THE LONG-TERM INFRASTRUCTURE NEEDED TO ENSURE THE SUSTAINABILITY OF THE STATES FOUR HISTORICALLY BLACK COLLEGES AND UNIVERSITIES	25,000
SOUTHEASTERN COUNCIL OF FOUNDATIONS - ATLANTA GA VARIOUS LITTLE ROCK,AR 72201			PC	TO BUILD AN EQUITABLE SOUTH AND PROMOTE INNOVATIVE AND TRANSFORMATIVE PHILANTHROPY IN THE REGION.	10,000
SOUTHERN BANCORP COMMUNITY PARTNERS - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO LEVERAGE NATIONAL RESOURCES TO BUILD THE CAPACITY OF SOCIAL JUSTICE LEADERS AND ADVOCATES	50,000
SOUTHERN BANCORP COMMUNITY PARTNERS - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	INVEST IN NEW MODELS FOR EQUITABLE DISTRIBUTION OF CAPITAL IN ARKANSAS AND IN NETWORKS THAT CAN WIELD THEIR COLLECTIVE INFLUENCE AND LEVERAGE TO BRING MODELS TO SCALE AND DRIVE SYSTEMIC CHANGE	75,000
SOUTHERN BANCORP COMMUNITY PARTNERS - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO PROCESS GRANT PAYMENTS AS PART OF THE DEPARTMENT OF HUMAN SERVICES CARES ACT GRANT PROGRAM FOR NONPROFIT ORGANIZATIONS PROVIDING COVID RELIEF SERVICES IN LOW WEALTH COMMUNITIES	25,000
THE METHODIST FOUNDATION FOR ARKANSAS - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	BUILD AN INFRASTRUCTURE OF FAITH LEADERS TO ENGAGE IN ADVOCACY AND MORAL LEADERSHIP TO ADVANCE THE NARRATIVE OF ECONOMIC EQUITY IN ARKANSAS.	50,000
THE POLICY ACADEMIES - WASHINGTON DC VARIOUS LITTLE ROCK,AR 72201			PC	2020 KILOLO KIJAKAZI BOARD OF DIRECTORS HONORARIUM	10,000
UNIVERSITY OF ARKANSAS AT PINE BLUFF VARIOUS LITTLE ROCK,AR 72201			PC	TO REIMAGINE THE LONG-TERM INFRASTRUCTURE NEEDED TO ENSURE THE SUSTAINABILITY OF THE STATES FOUR HISTORICALLY BLACK COLLEGES AND UNIVERSITIES	25,000
UNIVERSITY OF ARKANSAS CLINTON SCHOOL OF PUBLIC SERVICE - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			NC	PROVIDE GENERAL OPERATING SUPPORT TO ARKANSAS ORGANIZATIONS THAT ARE CRITICAL TO THE EQUITY INFRASTRUCTURE IN ARKANSAS.	60,000
UNIVERSITY OF CENTRAL ARKANSAS FOUNDATION INC - CONWAY AR VARIOUS LITTLE ROCK,AR 72201			PC	SUPPORTING THE IMPLEMENTATION OF THE LATINX ENTREPRENEURS AND FAMILIES (LEAF) INITIATIVE IN THE COLLABORATION WITH THE CONDUCTOR	25,000
UNRESTRICTED GRANTS UNDER 5000 VARIOUS LITTLE ROCK,AR 72201			PC	UNRESTRICTED GRANTS UNDER \$5,000	15,000
VILLAGE PLACE - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	SUPPORT A YOUTH-LED, COMMUNITY-DRIVEN MOVEMENT THAT WILL UTILIZE A COMMUNITY NEWSREEL TO INSPIRE MOVEMENTS AND CHALLENGE DOMINANT NARRATIVES	30,000
WINROCK INTERNATIONAL - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO COORDINATE THE EQUITABLE DEVELOPMENT WITHIN OPPORTUNITY ZONES IN ARKANSAS	50,000
Total				3a	3,620,000
b Approved for future payment					
ADVANCING BLACK ENTREPRENEURSHIP INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	PROVIDE GENERAL OPERATING SUPPORT TO ARKANSAS ORGANIZATIONS THAT ARE CRITICAL TO THE EQUITY INFRASTRUCTURE IN ARKANSAS.	50,000
ADVOCATES FOR COMMUNITY AND RURAL EDUCATION - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO SUPPORT THE RURAL COMMUNITY ALLIANCES TO CARRY OUT ITS MISSION TO HELP RURAL SCHOOLS AND COMMUNITIES THRIVE THROUGH PARENT AND YOUTH ORGANIZING, ENGAGEMENT, AND EDUCATION ADVOCACY	200,000
ARKANSAS COMMUNITY FOUNDATION INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO PROVIDE GENERAL OPERATING SUPPORT TO ARKANSAS IMPACT PHILANTHROPY AS IT IDENTIFIES AND TAKES ON ADDITIONAL COLLABORATIVE PHILANTHROPIC STRATEGIES TO ADVANCE EQUITY	50,000
ARKANSAS COMMUNITY FOUNDATION INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	BUILD THE POWER OF EARLY CHILDHOOD PROFESSIONALS AND PARENTS AND SUPPORT A LONG-TERM, COORDINATED CAMPAIGN TO ACHIEVE TRANSFORMATIVE CHANGE IN ARKANSASS EARLY CHILDHOOD SYSTEM	100,000
ARKANSAS COMMUNITY FOUNDATION INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO SERVE AS FISCAL SPONSOR FOR THE EXCEL BY EIGHT INITIATIVE TO INCREASE HEALTH AND EDUCATION OUTCOMES AND DECREASE EXISTING GAPS ACROSS GENDER, INCOME, RACE/ETHNICITY, AND GEOGRAPHY, FOR CHILDREN AGES BIRTH TO 8.	1,000,000
ARKANSAS COMMUNITY FOUNDATION INC - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	STRENGTHEN THE COALITIONS, COLLABORATIVES, AND COMMON TABLES ADVANCING POLICY CHANGE ON BEHALF OF ALICE FAMILIES	100,000
ARKANSAS PUBLIC POLICY PANEL - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO PROVIDE RESOURCES TO MORE EFFECTIVELY ORGANIZE LEADERS AND RESIDENTS ACROSS THE STATE, AND BUILD COLLECTIVE POWER THAT ADVANCES POLICY CHANGE TOWARDS A MORE EQUITABLE ARKANSAS.	150,000
ASSET FUNDERS NETWORK - CHICAGO IL VARIOUS LITTLE ROCK,AR 72201			PC	INVEST IN NEW MODELS FOR EQUITABLE DISTRIBUTION OF CAPITAL IN ARKANSAS AND IN NETWORKS THAT CAN WIELD THEIR COLLECTIVE INFLUENCE AND LEVERAGE TO BRING MODELS TO SCALE AND DRIVE SYSTEMIC CHANGE	60,000
AUBURN THEOLOGICAL SEMINARY - NEW YORK NY VARIOUS LITTLE ROCK,AR 72201			PC	BUILD AN INFRASTRUCTURE OF FAITH LEADERS TO ENGAGE IN ADVOCACY AND MORAL LEADERSHIP TO ADVANCE THE NARRATIVE OF ECONOMIC EQUITY IN ARKANSAS.	20,000
CAPACITY FOR EQUITY FUND VARIOUS LITTLE ROCK,AR 72201			NC	2022 CAPACITY FOR EQUITY FUND	164,994
DELTA CIRCLES INC - HELENA AR VARIOUS LITTLE ROCK,AR 72201			PC	PROVIDE GENERAL OPERATING SUPPORT TO ARKANSAS ORGANIZATIONS THAT ARE CRITICAL TO THE EQUITY INFRASTRUCTURE IN ARKANSAS.	75,000
DELTA CIRCLES INC - HELENA AR VARIOUS LITTLE ROCK,AR 72201			PC	TO SUPPORT SMALL BUSINESSES IN THE ARKANSAS DELTA REGION WITH FLEXIBLE GRANTS AND TECHNICAL ASSISTANCE TO SUSTAIN DURING AND AFTER THE COVID-19 PANDEMIC	15,000
DEREK LEWIS FOUNDATION - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			NC	BUILD THE INFRASTRUCTURE OF THE ARKANSAS BLACK PHILANTHROPY COLLABORATIVE IN ORDER TO MOBILIZE A BROAD COALITION OF LEADERS, FUNDERS, AND INSTITUTIONS TO ORGANIZE AROUND A COMMON MISSION AND VISION FOR BLACK COMMUNITIES IN ARKANSAS	60,000
EAST ARKANSAS ENTERPRISE COMMUNITY INC - FOREST CITY AR VARIOUS LITTLE ROCK,AR 72201			PC	TO CONDUCT RESEARCH ON HOW COVID RELIEF FUNDS IN ARKANSAS WERE DISTRIBUTED TO BUSINESSES AND NONPROFIT ORGANIZATIONS IN RESPONSE TO THE PANDEMIC	10,000
FINANCING OZARKS RURAL GROWTH AND ECONOMY (FORGE) - HUNTSVILLE AR VARIOUS LITTLE ROCK,AR 72201			PC	INVEST IN NEW MODELS FOR EQUITABLE DISTRIBUTION OF CAPITAL IN ARKANSAS AND IN NETWORKS THAT CAN WIELD THEIR COLLECTIVE INFLUENCE AND LEVERAGE TO BRING MODELS TO SCALE AND DRIVE SYSTEMIC CHANGE	210,000
FINANCING OZARKS RURAL GROWTH AND ECONOMY (FORGE) - HUNTSVILLE AR VARIOUS LITTLE ROCK,AR 72201			PC	INVEST IN NEW MODELS FOR EQUITABLE DISTRIBUTION OF CAPITAL IN ARKANSAS AND IN NETWORKS THAT CAN WIELD THEIR COLLECTIVE INFLUENCE AND LEVERAGE TO BRING MODELS TO SCALE AND DRIVE SYSTEMIC CHANGE	150,000
FORWARD ARKANSAS - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	ACCELERATE THE INNOVATIVE AND EQUITABLE TRANSFORMATION OF ARKANSAS'S PRE-K TO 12 EDUCATION SYSTEM TO DELIVER EXCELLENT OUTCOMES	600,000
FORWARD ARKANSAS - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	SUPPORT THE RESEARCH AND ASSESS THE CURRENT CHALLENGES IN ARKANSASS P-12 EDUCATION SYSTEM AND ARTICULATE OPPORTUNITIES FOR POST-PANDEMIC CHANGES FOR MORE EQUITABLE ACADEMIC OUTCOMES	250,000
FRACTURED ATLAS - NEW YORK NY VARIOUS LITTLE ROCK,AR 72201			PC	BUILD AN INFRASTRUCTURE OF FAITH LEADERS TO ENGAGE IN ADVOCACY AND MORAL LEADERSHIP TO ADVANCE THE NARRATIVE OF ECONOMIC EQUITY IN ARKANSAS.	25,000
HOUSING CONNECTIVE CORE AND ACTION NETWORK FUND VARIOUS LITTLE ROCK,AR 72201			NC	STRENGTHEN THE COALITIONS, COLLABORATIVES, AND COMMON TABLES ADVANCING POLICY CHANGE ON BEHALF OF ALICE FAMILIES	100,000
LOCUS IMPACT INVESTING - RICHMOND VA VARIOUS LITTLE ROCK,AR 72201			PC	TO SUPPORT PARTICIPATION IN THE COMMUNITY INVESTMENT GUARANTEE POOL	70,000
OUR HOUSE - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	TO PROVIDE RESOURCES TO EFFECTIVELY ORGANIZE LEADERS AND RESIDENTS ACROSS THE STATE, AND BUILD COLLECTIVE POWER THAT ADVANCES POLICY CHANGE TOWARDS A MORE EQUITABLE ARKANSAS.	80,000
SOUTHERN BANCORP COMMUNITY PARTNERS - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			PC	INVEST IN NEW MODELS FOR EQUITABLE DISTRIBUTION OF CAPITAL IN ARKANSAS AND IN NETWORKS THAT CAN WIELD THEIR COLLECTIVE INFLUENCE AND LEVERAGE TO BRING MODELS TO SCALE AND DRIVE SYSTEMIC CHANGE	75,000
UNIVERSITY OF ARKANSAS CLINTON SCHOOL OF PUBLIC SERVICE - LITTLE ROCK AR VARIOUS LITTLE ROCK,AR 72201			NC	PROVIDE GENERAL OPERATING SUPPORT TO ARKANSAS ORGANIZATIONS THAT ARE CRITICAL TO THE EQUITY INFRASTRUCTURE IN ARKANSAS.	60,000
Total				3b	3,674,994

Part XV-A

Unrelated business income

Excluded by section 512, 513, or 514

(e)
Related or exempt
function income
(See
instructions.)

(a)
Business
code

(b)
Amount

(c)
Exclusion code

(d)
Amount

b _____

C _____

d _____

e. _____

f _____

g Fees and contracts from government agencies

Interest on savings and temporary cash investments

■ ■ ■

a Debt-financed property.

b Not debt-financed property. . . .

7 Other investment income

Net income or (loss) from special events:

11 Other revenue: a _____

b _____

C _____

d _____

e _____

0

12,187,719

0

13

12,187,719

Part XV-B

▼

Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVI

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash.

(2) Other assets.

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization

(3)* Rental of facilities, equipment, or other assets.

(4) Reimbursement arrangements.

(5) Loans or loan guarantees.

(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

	2023-03-21
Signature of officer or trustee	Date

2023-03-21

Title

May the IRS discuss this return with the preparer shown below?
See instructions. ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	MARIA K BEAN CPA				P00941762
	Firm's name ▶ LANDMARK PLC CPAS				Firm's EIN ▶ 71-035526
	Firm's address ▶ 201 EAST MARKHAM SUITE 500 LITTLE ROCK, AR 72201				Phone no. (501) 375-2025

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	15,244	0	0	15,244
AUDIT	43,150	34,520	0	8,630
TAX PREPERATION	1,000	0	0	1,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2021 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
DEREK LEWIS FOUNDATION	6209 W 12TH STREET LITTLE ROCK, AR 72204	2021-09-03	150,000	TO DEEPEN THE EQUITY INFRASTRUCTURE IN ARKANSAS THROUGH THE ARKNSAS BLACK PHILANTHROPY COLLABORATIVE.	14,894			2022-01-31	THE GRANTEE HAS SUBMITTED DETAILED SUPPORTING DOCUMENTATION FOR THE USE OF THE FUNDS. THE WINTHROP ROCKEFELLER FOUNDATION DEEMED FURTHER VERIFICATION OF THE GRANTEE'S REPORT UNNECESSARY.
ARKANSAS JUDICIAL COUNCIL INC	625 MARSHALL STREET LITTLE ROCK, AR 72201	2021-07-16	20,000	TO SUPPORT ORGANIZED CONVENINGS FOR STATE JUDGES TO ENGAGE IMPACTED COMMUNITY MEMBERS AND EXPLORE THE NATURE AND ELEMENTS OF SYSTEMIC BIAS PRESENT WITHIN THE ARKANSAS JUSTICE SYSTEM.	16,391			2022-08-10	THE GRANTEE HAS SUBMITTED DETAILED SUPPORTING DOCUMENTATION FOR THE USE OF THE FUNDS. THE WINTHROP ROCKEFELLER FOUNDATION DEEMED FURTHER VERIFICATION OF THE GRANTEE'S REPORT UNNECESSARY.
ARKANSAS COALITION OF MARSHALLESE INC	614 EAST EMMA AVENUE SPRINGDALE, AR 72764	2021-07-01	15,000	TO SUPPORT ARKANSAS COALITION OF MARSHALLESE, INC. WITH THE IMPLEMENTATION OF THE ARKANSAS COALITION OF MARSHALLESE AND REIMAGINE ARKANSAS PROPOSE DIGITAL ADVOCACY PRE-CAMPAIGN FOR MARSHALLESE ELIGIBILITY OF SNAP BENEFITS.	15,000			2022-11-03	THE GRANTEE HAS SUBMITTED DETAILED SUPPORTING DOCUMENTATION FOR THE USE OF THE FUNDS. THE WINTHROP ROCKEFELLER FOUNDATION DEEMED FURTHER VERIFICATION OF THE GRANTEE'S REPORT UNNECESSARY.

TY 2021 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Identifier	Return Reference	Explanation
DESCRIPTION OF RETURN AMENDMENTS	FORM 990-PF	THIS RETURN IS BEING AMENDED TO CORRECT THE DISCLOSURE REGARDING A DISTRIBUTION TO A DONOR ADVISED FUND AND TO CORRECT THE AMOUNT OF ESTIMATED TAX PAYMENTS NOTED ON THE RETURN.CHANGES:PART V, LINE 6A HAS BEEN INCREASED TO \$149,911 TO INCLUDE AN ADDITIONAL ESTIMATED TAX PAYMENT THAT WAS OMITTED FROM THE ORIGINAL FILING IN ERROR. THAT, IN TURN, INCREASES THE TOTAL OVERPAYMENT ON LINE 10 TO \$81,901; HOWEVER, THE PORTION OF LINE 11 REQUESTING THE AMOUNT TO BE CREDITED TO 2022 ESTIMATED TAX REMAINS UNCHANGED AT \$41,901.PART IV-A, LINE 12 HAS BEEN CHANGED TO "YES AND THE RELATED STATEMENTS HAVE BEEN ADDED TO THE RETURN AS STATEMENTS 15 AND 16. THE DISTRIBUTION TO THE DONOR ADVISED FUND WAS CORRECTLY INCLUDED IN THE QUALIFYNG DISTRIBUTION AMOUNTS ON THE ORIGINALLY FILED RETURN, BUT THE RELATED PART IV-A DISCLOSURE AT LINE 12 HAD BEEN OMITTED.

TY 2021 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BRECKINRIDGE CAPITAL FIXED INCOME	10,096,944	10,096,944
PALMER SQUARE	2,502,843	2,502,843
NORTHERN TRUST BARCLAYS AGG BOND FUND	6,073,299	6,073,299

Name: THE WINTHROP ROCKEFELLER FOUNDATION
EIN: 71-0285871

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AETOS CAPITAL	2,500,000	2,500,000
ARIEL INT'L FUND EQUITY	10,624,967	10,624,967
AVANATH AFFORDABLE HOUSING FUND IV	661,361	661,361
BAIN CAPITAL DOUBLE IMPACT PRIVATE PARTNERSHIP	1,846,042	1,846,042
BASE10 ADVANCEMENT	750,404	750,404
BLACKROCK ISHARES FUND EQUITY	3,740,555	3,740,555
BRONZE VENTURES II	288,000	288,000
BROWN SUSTAINABLE GROWTH EQUITY	9,300,956	9,300,956
CIM ENTERPRISE LOAN FUND	3,838,829	3,838,829
COATUE	3,196,883	3,196,883
DIAMOND STATE VENTURES III	856,022	856,022
ELEVAR EQUITY IV	2,525,202	2,525,202
ELIZABETH PARK CAPITAL OFFSHORE	2,836,199	2,836,199
FARALLON F5 FUND	1,629,733	1,629,733
GQG INT'L FUND EQUITY	12,205,271	12,205,271
HOLLIS PARK ALPHA FUND	1,522,448	1,522,448
ILLUMEN CAPITAL	1,111,119	1,111,119
ILLUMEN II	138,758	138,758
IMPACT AMERICA	780,440	780,440
KABOUTER INT'L OPP OFFSHORE FUND EQUITY	4,537,690	4,537,690
KAPOR CAPITAL	195,000	195,000
LAKEWOOD	2,190,357	2,190,357
LONG POND OFFSHORE FUND EQUITY	2,871,486	2,871,486
MAJ INVEST GLOBAL EQUITY	8,667,708	8,667,708
MATERIAL IMPACT	1,664,359	1,664,359
MATERIAL IMPACT II	159,963	159,963
NETWYN	2,556,204	2,556,204
NTAM R1000 VALUE FUND EQUITY	8,694,844	8,694,844
NTGI RUSSELL 1000 GROWTH EQUITY	9,795,740	9,795,740
OAKVIEW	8,033,611	8,033,611
OWL VENTURES II - PRIVATE PARTNERSHIP	1,476,504	1,476,504
OWNERSHIP CAPITAL FUND EQUITY	8,293,182	8,293,182
PRESERVER PARTNERS	2,380,265	2,380,265
RETHINK IMPACT FUND II	328,949	328,949
SEAE VENTURES	714,370	714,370
SENTINEL DOME	2,638,469	2,638,469
SJF VENTURES IV PRIVATE PARTNERSHIP	2,314,934	2,314,934
SJF VENTURES V	239,378	239,378
STEWART GLOBAL EMERGING MARKETS FUND EQUITY	5,963,338	5,963,338
TWO SIGMA ABSOLUTE RETURN FUND	2,053,056	2,053,056
VARADERO	2,076,462	2,076,462
VISTA FOUNDATION FUND IV	748,043	748,043

TY 2021 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL SERVICES	6,415	1,638	0	4,777

TY 2021 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENTS	7,763,647	7,756,836	7,756,836
ACCRUED INTEREST RECEIVABLE	90,769	105,569	105,569

TY 2021 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	71	0	0	71
INSURANCE	18,804	0	0	18,804
POSTAGE	624	0	0	624
DUES & SUBSCRIPTIONS	63,165	5,000	0	58,165
OFFICE EXPENSES	33,862	0	0	33,862
PROGRAM EXPENSES	226,288	0	0	226,288
ACCRUAL TO CASH ADJUSTMENT	0	0	0	20,272
MEETINGS AND CONVENTIONS	288,697	0	0	288,697
PROFESSIONAL DEVELOPMENT	35,425	0	0	35,425
TECHNOLOGY	94,035	0	0	94,035
TRUSTEE OPERATIONS EXPENSE	2,363	0	0	2,363
BOARD COMMITTEE SUPPORT	15,000	0	0	15,000

TY 2021 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PRI INTEREST INCOME	11,411	11,411	0
PRI DIVIDEND INCOME	92,139	92,139	0
OTHER INCOME - CCM	0	1,332	0
OTHER INCOME - NT BOND INDEX	0	-1,237	0
OTHER INCOME - RUSSELL 1000 GROWTH	0	1,438	0
OTHER INCOME - RUSSELL 1000 VALUE	0	1,205	0
OTHER INCOME - PASSTHROUGH	0	-1,100,734	0
OTHER INCOME - BROWN	0	1,775	0
OTHER INCOME - BRECKINRIDGE	0	-4,851	0
OTHER INCOME - NORTHERN TRUST	0	-2,252	0
OTHER INCOME - OAKVIEW	0	-98,368	0
MISCELLANEOUS INCOME	-136	-136	0

TY 2021 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Description	Amount
UNREALIZED GAINS/(LOSSES) ON INVESTMENTS	8,174,785

TY 2021 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CUSTODIAL BANK FEES	125,800	92,984	0	0
INVESTMENT OVERSIGHT	304,967	374,054	0	0
NT INVESTMENT MANAGEMENT FEES	16,374	16,796	0	0
BRECKINRIDGE INVESTMENT MANAGEMENT FEES	7,108	6,472	0	0
OAKVIEW MANAGEMENT FEES	194,629	98,368	0	0
STEWART GEM FEES	115,715	115,715	0	0
INVESTMENT EXPENSES ALLOCATION	144,904	144,904	0	0
OTHER CONSULTING FEES	478,442	0	0	478,442

TY 2021 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	0	119,584	0	0
EXCISE TAXES	158,717	0	0	0