

# **Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to <u>www.irs.gov/Form990PF</u> for instructions and the latest information.

0047

OMB No. 1545-

Open to Public Inspection

		ndar year 2021, or tax year beginning 01-0	1-2021	, and ending 1		
		Indation GE LUCAS EDUCATIONAL FOUNDATION			entification number	r
%	LILLY FU	U		68-0065687		
	ber and BOX 3	I street (or P.O. box number if mail is not delivered to street address) 494	Room/suite	<b>B</b> Telephone nur	nber (see instructions	5)
				(415) 662-1600		
		, state or province, country, and ZIP or foreign postal code , CA 94912		<b>C</b> If exemption a	application is pending	, check here
<b>G</b> Ch	eck al	II that apply: Initial return Initial return of a f	ormer public charity	D 1 Foreign ord	anizations, check he	re =
		Final return Amended return	,		ganizations meeting t	<b>•</b>
		Address change Name change			here and attach com	
<b>H</b> Ch	eck ty	rpe of organization: 🔽 Section 501(c)(3) exempt private	foundation		ndation status was te 1 507(b)(1)(A), check	
Se	ection	4947(a)(1) nonexempt charitable trust Other taxab	le private foundation			
		ket value of all assets at end  Accounting method:	Cash 🔽 Accrual		tion is in a 60-month i 507(b)(1)(B), check	
		from Part II, col. (c), Other (specify)	ho on cash hasis	under section	1 307(b)(1)(b), check	there
		(Part 1, Column (d) must	De OII Casii Dasis.)		1	·
Pa	rt I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not	(a) Revenue and	(b) Net investment	(c) Adjusted net	(d) Disbursements for charitable
		necessarily equal the amounts in column (a) (see	expenses per books	income	income	purposes (cash basis only)
	1	instructions).) Contributions, gifts, grants, etc., received (attach				(11111111111111111111111111111111111111
		schedule)	14,665,000			
	2	Check  if the foundation is <b>not</b> required to attach				
	3	Sch. B Interest on savings and temporary cash investments				
	4	Dividends and interest from securities				
Revenue	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	ь	Gross sales price for all assets on line 6a				
Re	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications			231	
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	С	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	129	129	360	
	12	<b>Total.</b> Add lines 1 through 11	14,665,129	129	591	
es	13	Compensation of officers, directors, trustees, etc.	658,150			658,150
ens	14	Other employee salaries and wages	3,401,798			3,397,620
X	15	Pension plans, employee benefits	973,841			968,723
and Administrative Expenses	16a	Legal fees (attach schedule)	115,511	0		101,730
ativ	b	Accounting fees (attach schedule)	61,587	0	0	61,587
str	С	Other professional fees (attach schedule)	2,743,393			2,725,615
ш	17	Interest				
Adı	18	Taxes (attach schedule) (see instructions)	38,148			
nd	19	Depreciation (attach schedule) and depletion	38,148			
ga	20 21	Occupancy	33,206			32,898
턡	22	Printing and publications				,,,,,
Operating	23	Other expenses (attach schedule)	<b>%</b> 839,721	0	0	859,796
Ö	24	Total operating and administrative expenses.				
		Add lines 13 through 23	8,865,355	0	0	8,806,119
	25	Contributions, gifts, grants paid	2,838,015			2,713,246
	26	Total expenses and disbursements. Add lines 24 and 25				
	27	Subtract line 26 from line 12:	11,703,370	0	0	11,519,365
	2 <i>/</i> a	Excess of revenue over expenses and disbursements	2,961,759			
	b	Net investment income (if negative, enter -0-)	2,551,755	129		
	С	Adjusted net income (if negative, enter -0-)			591	
_						

For	m 990-	PF (2021)			Page <b>2</b>	
	rt II	Ralance Sheets Attached schedules and amounts in the description column	Beginning of year	End of year		
	1	should be for end-of-year amounts only. (See instructions.)  Cash—non-interest-bearing	(a) Book Value 3,446,382	<b>(b)</b> Book Value 5,743,554	(c) Fair Market Value 5,743,554	
	2	Savings and temporary cash investments	2, 2,22	499,768	499,768	
	_	· · · · · · · ·		,	·	
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other				
		disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
ts	9	Prepaid expenses and deferred charges	92,756	138,120	138,120	
Assets	10a	Investments—U.S. and state government obligations (attach				
A		schedule)				
	b	Investments—corporate stock (attach schedule)				
	С	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment: basis				
		Less: accumulated depreciation (attach schedule)				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)				
	14	Land, buildings, and equipment: basis 523,759				
		Less: accumulated depreciation (attach schedule) 479,392	57,054	44,367	44,367	
	15	Other assets (describe				
	16	Total assets (to be completed by all filers—see the				
		instructions. Also, see page 1, item I)	3,596,192	6,425,809	6,425,809	
-	17	Accounts payable and accrued expenses	559,259	443,617		
100000	18	Grants payable	141,500	125,000		
ies	19	Deferred revenue				
oilit	20	Loans from officers, directors, trustees, and other disqualified persons				
Liabilities	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)	700 750	F60 617		
	23	Total liabilities(add lines 17 through 22)	700,759	568,617		
		Foundations that follow FASB ASC 958, check here				
ses		and complete lines 24, 25, 29 and 30.				
anc	24	Net assets without donor restrictions	2,895,433	1,857,192		
Balances	25	Net assets with donor restrictions	0	4,000,000		
pu				, ,		
Fund		Foundations that do not follow FASB ASC 958, check here 🕨 🗌				
or		and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds				
Assets	27	Paid-in or capital curplus or land bldg, and equipment fund				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund  Retained earnings, accumulated income, endowment, or other funds				
Net	28 29	Total net assets or fund balances (see instructions)	2,895,433	5,857,192		
	29 30	Total liabilities and net assets/fund balances (see instructions).	3,596,192	6,425,809		
Dа	30 11 1 1 1 1	` <u> </u>	-,,	-, -=-,505		
1		I net assets or fund balances at beginning of year—Part II, column (a),	line 29 (must agree wit	-h		
-		of-year figure reported on prior year's return)	, -		2,895,433	
2		r amount from Part I, line 27a			2,961,759	
3		r increases not included in line 2 (itemize)		3		
4		lines 1, 2, and 3		4	5,857,192	
5 6		eases not included in line 2 (itemize)    I net assets or fund balances at end of year (line 4 minus line 5)—Part l		6	5,857,192	
	iota	. Het assets of rand balances at end of year (line 4 fillings line 3)—Part I	, coramii (b), iiile 29.	<u> </u>	m <b>990-PF</b> (2021)	

orm 990-P	F (2021)	
Part IV	Capital Gains and Losses for Tax on Investment Income	
(a	) List and describe the kind(s) of property sold (e.g., real estate,	Hov

Pailtv	Capital Gains and Losses for Tax on Theestinent Incom
(2	a) List and describe the kind(s) of property sold (e.g., real estate,
(a	2-story brick warehouse; or common stock, 200 shs. MLC Co.)

(b) w acquired P-Purchase D-Donation

(mo., day, yr.) (mo., day, yr.)

(d)

Date sold

Cost or other basis

(e) plus (f) minus (g)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(I)** 

(i) F.M.V. as of 12/31/69

Capital gain net income or (net capital loss)

col. (k), but not less than -0-) or Losses (from col.(h))

Gains (Col. (h) gain minus

in Part I, line 8

over col. (j), if any

If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7

(j) (k) Adjusted basis Excess of col. (i) as of 12/31/69

(f) (e) Depreciation allowed Gross sales price (or allowable)

Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-

(g)

h

d

1a

(h) Gain or (loss)

(c)

Date acquired

plus expense of sale

3

Page **5** 

Pa	rt VI-A	Statements Regarding Activities (continued)			
11	At any tim	e during the year, did the foundation, directly or indirectly, own a controlled entity within the			
	meaning o	of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		No
12	Did the for	undation make a distribution to a donor advised fund over which the foundation or a disqualified person had			
	advisory p	privileges? If "Yes," attach statement. See instructions	12		No
13	Did the for	undation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
		ddress > WWW.EDUTOPIA.ORG & WWW.LUCASEDRESEARCH.ORG			
14		(415	662-		
	The books	are in care of LILLY FU  Telephone no. 11614			
	Located a	t PO BOX 3494 SAN RAFAEL CA ZIP+4			
	94912				
15	Section 49	947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here			•
13	and enter	the amount of tax-exempt interest received or accrued during the year			
16	-	e during calendar year 2021, did the foundation have an interest in or a signature or other authority over		Yes	No
	-	curities, or other financial account in a foreign country?	16		No
		structions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the			140
	foreign	structions for exceptions and fining requirements for fineEN Form 11 ii 1 fest / enter the name of the			
Pa	rt VI-E 🧦	Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form	4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the	year did the foundation (either directly or indirectly):			
	(1) Engag	e in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow	money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disq	ualified person?	1a(2)		No
	(3) Furnis	h goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	Yes	
	(4) Pay co	mpensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	Yes	
	(5) Transf	er any income or assets to a disqualified person (or make any of either available			
	for the	e benefit or use of a disqualified person)?	1a(5)		No
	<b>(6)</b> Agree	to pay money or property to a government official? ( <b>Exception.</b> Check "No"			
	if the f	oundation agreed to make a grant to or to employ the official for a period			
	after t	ermination of government service, if terminating within 90 days.)	1a(6)		No
b	-	wer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations			
		3.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
С	_	ions relying on a current notice regarding disaster assistance check here.			
d		undation engage in a prior year in any of the acts described in 1a, other than excepted acts,			
_		not corrected before the first day of the tax year beginning in 2021?	1d		No
2		failure to distribute income (section 4942) (does not apply for years the foundation was a private			
_	-	foundation defined in section 4942(j)(3) or 4942(j)(5)):			
а		l of tax year 2021, did the foundation have any undistributed income (lines 6d	2-		
		art XII) for tax year(s) beginning before 2021?	2a		
D		any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) o incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2)			
		is listed, answer "No" and attach statement—see instructions.)	2b		
С	•	visions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.	ZU		
·	-	- , 20 , 20 , 20			
3a		undation hold more than a 2% direct or indirect interest in any business enterprise at			
Ja		during the year?	3a		No
b	-	lid it have excess business holdings in 2021 as a result of <b>(1)</b> any purchase by the foundation	Ja		140
	•	ified persons after May 26, 1969; <b>(2)</b> the lapse of the 5-year period (or longer period approved			
	-	mmissioner under section $4943(c)(7)$ ) to dispose of holdings acquired by gift or bequest; or (3)			
	•	of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine			
	-	dation had excess business holdings in 2021.).	3b		
4a		undation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b		undation make any investment in a prior year (but after December 31, 1969) that could jeopardize its			
-		purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	4b		No
				-PF (	

Form	990-PF (2021)					Р	age <b>6</b>
Pa	rt VI-B Statements Reg	arding Activities for Wh	nich Form 4720 Ma	y Be Required (continu	ued)		
5a	During the year did the foundation	on pay or incur any amount to:	n pay or incur any amount to:				
	(1) Carry on propaganda, or oth	erwise attempt to influence le	gislation (section 4945(	e))?	5a(1)		No
	(2) Influence the outcome of an	y specific public election (see	section 4955); or to car	ry			
	on, directly or indirectly, any	y voter registration drive?			5a(2)		No
	(3) Provide a grant to an individ	ual for travel, study, or other s	similar purposes?		. 5a(3)		No
	(4) Provide a grant to an organiz	zation other than a charitable,	etc., organization descri	bed			
	in section 4945(d)(4)(A)? S	ee instructions			5a(4)		No
	(5) Provide for any purpose other	er than religious, charltable, sc	ientific, literary, or				
	educational purposes, or for the prevention of cruelty to children or animals?						No
b	b If any answer is "Yes" to 5a(1)-(5); did any of the transactions fail to qualify under the exceptions described in						
	Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions						
С	Organizations relying on a curre	ent notice regarding disaster a	ssistance check here .				
d	If the answer is "Yes" to questio	n 5a(4), does the foundation o	claim exemption from the				
	tax because it maintained exper	nditure responsibility for the gr	rant?		. 5d		
	If "Yes," attach the statement req	uired by Regulations section 53.	.4945-5(d).				
6a	Did the foundation, during the ye	ear, receive any funds, directly	or indirectly, to pay pre	miums on			
	a personal benefit contract?				6a		No
b	Did the foundation, during the ye		indirectly, on a personal	benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.	., , , , , , , , .	, , , , , , , , , , , , , , , , , , , ,				
7a	At any time during the tax year,	was the foundation a party to	a prohibited tax shelter	transaction?	7a		No
b	If "Yes", did the foundation rece		· ·		7b		
8	Is the foundation subject to the	, ,					
	excess parachute payment durir	• •			8		No
Pa 1	rt VIII Information About and Contractors List all officers, directors, truste	ut Officers, Directors, T			Paid Emp	oloye	es,
		1		(d) Contributions to			
	(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	employee benefit plans and deferred compensation	(e) Exper		
GEOR	GE W LUCAS JR	CHAIRMAN	0	. 0			C
	X 3494	1.0					
	RAFAEL, CA 94912						
	HEN D ARNOLD  OX 3494	VICE-CHAIR/CFO 7.0	0	0			0
	7X 3494 RAFAEL, CA 94912						
MARS	HALL TURNER	DIRECTOR	0	0			C
	OX 3494 RAFAEL, CA 94912	1.0					
	EA WISHOM	DIRECTOR	0	0			C
SAN F	OX 3494 RAFAEL, CA 94912	1.0					
	RT BRADLEY	DIRECTOR 1.0	0	0			0
	OX 3494 NAFAEL, CA 94912	1.0					

0

0

0

337,506

320,644

250,779

214,909

184,220

169,885

145,116

(c) Compensation

0

0

0

47,928

33,131

26,651

31,030

28,808

24,926

17,109

(d) Contributions to

employee benefit

plans and deferred

 ${\tt comp} \underline{\tt ens} \underline{\tt ation}$ 

0

0

0

0

0

0

0

0

0

0

26

Form **990-PF** (2021)

(e) Expense account,

other allowances

KIM MEREDITH

PO BOX 3494 SAN RAFAEL, CA 94912 MELLODY HOBSON

PO BOX 3494 SAN RAFAEL, CA 94912 KRISTIN DE VIVO

STEPHEN MERRILL

SAN RAFAEL, CA 94912

SAN RAFAEL, CA 94912

SAN RAFAEL, CA 94912 ERIC HESTENES

SAN RAFAEL, CA 94912 SHEREE SANTOS

SAN RAFAEL, CA 94912

Total number of other employees paid over \$50,000.

PO BOX 3494

KARISSA SPARKS

LILLY FU

(a) Name and address of each employee

paid more than \$50,000

PO BOX 3494 SAN RAFAEL, CA 94912 KATE NYEGAARD

PO BOX 3494 SAN RAFAEL, CA 94912 CYNTHIA JOHANSON IRISH

PO BOX 3494 SAN RAFAEL, CA 94912 DIRECTOR

DIRECTOR

DIRECTOR

EDUTOPIA EXECUTIVE DIRECTOR

SECRETARY/LER EXECUTIVE DIR.

(b) Title, and average

hours per week

devoted to position

CHIEF CONTENT OF

SR. DIR. FIN & ADMIN

50.0

50.0

50.0

50.0

50.0

DIR. OF

DIR. USER

EXPERIENCE

HEAD MKG &

ANALYTICS

ENGINEERING

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

1.0

50.0

Part VII Information About Officers, Directors, T and Contractors (continued)	rustees, Foundation Managers, Hi	Page 7 ighly Paid Employees,				
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".						
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation				
LULLABOT INC	SOFTWARE DEVELOPMENT	553,175				
PO BOX 417568 BOSTON,MA 02241	1					
RTI INTERNATIONAL	SOFTWARE DEVELOPMENT	455,940				
PO BOX 900002 RALEIGH,NC 276759000						
UP UP COMMUNICATIONS	COMMUNICATION CONSLT	154,975				
317 WEST 93RD STREET 4B NEW YORK,NY 10025						
MENLO EDUCATION RESEARCH LLC	RESEARCH ADVISORY	146,481				
2143 HARKINS AVE MENLO PARK,CA 94025	1					
SCOUT LEARNING LLC	PARTNERSHIP DEVELMNT	106,000				
1214 W BOSTON POST ROAD 219 MAMARONECK,NY 10543	1					
<b>Total</b> number of others receiving over \$50,000 for professional serv	vices	<b>•</b>				

Part VIII- Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 EDUTOPIA AND LUCAS EDUCATION RESEARCH. (SEE ATTACHMENT)	8,806,119
2	
3	
<u> </u>	
4	-
Part VIII- Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
	4
All other program-related investments. See instructions.	
3	
	4
Total. Add lines 1 through 3	<b>&gt;</b>
	Form <b>990-PF</b> (2021)

3b 4

Form **990-PF** (2021)

Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.

Part XII Undistributed Income (see insti	ructions)			rage
	(a)	(b)	(c)	(d)
4 Distributable assessment for 2024 forces Dank V. Line 7	Corpus	Years prior to 2020	2020	2021
<ul><li>Distributable amount for 2021 from Part X, line 7</li><li>Undistributed income, if any, as of the end of 2021:</li></ul>				
a Enter amount for 2020 only				
<b>b</b> Total for prior years: 20, 20, 20				
<b>3</b> Excess distributions carryover, if any, to 2021:				
<b>a</b> From 2016				
<b>b</b> From 2017				
<b>c</b> From 2018				
<b>d</b> From 2019				
e From 2020				
f Total of lines 3a through e				
<b>4</b> Qualifying distributions for 2021 from Part XI, line 4:  \$				
a Applied to 2020, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years				
(Election required—see instructions)				
$oldsymbol{c}$ Treated as distributions out of corpus (Election				
required—see instructions)				
<b>d</b> Applied to 2021 distributable amount				
Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2021.				
(If an amount appears in column (d), the				
same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
<b>b</b> Prior years' undistributed income. Subtract				
line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has				
been issued, or on which the section 4942(a)				
tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b. Taxable amount —see instructions				
e Undistributed income for 2019. Subtract line				
4a from line 2a. Taxable amount—see				
instructions				
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must				
be distributed in 2022				
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may				
be required - see instructions)				
8 Excess distributions carryover from 2016 not				
applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2022.				
Subtract lines 7 and 8 from line 6a				
• • • • • • • • • • • • • • • • • • •				
10 Analysis of line 9:				
a Excess from 2017				
<b>b</b> Excess from 2018				
c Excess from 2019				
d Excess from 2020				
e Excess from 2021				
			F	orm 990-PF (202

	Part XIIII Private O	perating Foun	dations (see ins	structions and Pa	art VI-A, questio	n 9)		
1a	If the foundation has reco				operating		1993-08-30	
. b	. Check box to indicate wh	-	•		described in section	on 🔽 4942(j)(3)	or 🗌 4942(j)(5)	
2a	Enter the lesser of the ac	-	Tax year	year Prior 3 years				
	income from Part I or th		(a) 2021	<b>(b)</b> 2020	<b>(c)</b> 2019	(d) 2018	(e) Total	
	investment return from F year listed		129	143	770	414	1,456	
b	85% (0.85) of line 2a		110	122	655	352	1,239	
c	Qualifying distributions for line 4 for each year listed	rom Part XI,	11,544,594	10,873,842	10,105,905	11,222,794	43,747,135	
d	I Amounts included in line directly for active conductivities	ct of exempt	2,713,015	2,911,313	3,100,537	3,864,713	12,589,578	
е	<ul> <li>Qualifying distributions r for active conduct of exe Subtract line 2d from line</li> </ul>	empt activities.	8,831,579	7,962,529	7,005,368	7,358,081	31,157,557	
3	Complete 3a, b, or c for the alternative test relied up							
а	"Assets" alternative test	enter:						
	(1) Value of all assets .		0	0	0	0	(	
	(2) Value of assets qual	lifying						

140,800

171,919

162,487

assets at any time during the year—see instructions.)						
Part Supplementary Information (Complete this part only if the foundation had \$5,000 or more in						
(4) Gross investment income						
(3) Largest amount of support from an exempt organization						
organizations as provided in section 4942(j)(3)(B)(iii)						

#### **Information Regarding Foundation Managers:** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

144,952

c Any submission deadlines:

under section 4942(j)(3)(B)(i) **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in

Part IX, line 6 for each year listed . .

c "Support" alternative test-enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . (2) Support from general public

- GEORGE W LUCAS JR
- **b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
- Check here lacktriangledownert if the foundation only makes contributions to preselected charitable organizations and does not accept
- unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions
- a The name, address, and telephone number or email address of the person to whom applications should be addressed:
- **b** The form in which applications should be submitted and information and materials they should include:
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other
- factors:

0

0

FLOO

TEACHING

CALIFORNIA

**BOULDER** 

ROOM

CAMBRIDGE, MA 02138 MICHIGAN STATE UNIVERSITY

EAST LANSING, MI 48824

UNIVERSITY OF SOUTHERN

INSTITUTE FOR RESEARCH ON

620 FARM LANE ERICKSON HALL

UNIVERSITY OF PENNSYLVANIA

3451 WALNUT ST ROOM P-221

LOS ANGELES, CA 900890701

UNIVERSITY OF COLORADO -

3100 MARINE STREET 572 UCB

NORTHWESTERN UNIVERSITY

UNIVERSITY OF TEXAS - AUSTIN

3925 W BRAKER LANE STREET 3340

BOULDER, CO 80309

CHICAGO, IL 60611 **NEW YORK UNIVERSITY** 

NEW YORK, NY 10012

AUSTIN, TX 78759

4118 LYMAN ROAD

OAKLAND, CA 94602 STANFORD UNIVERSITY

485 BROADWAY 3RD FLOOR

REDWOOD CITY, CA 94063

**b** Approved for future payment

1608 FOURTH STREET BERKELEY, CA 94710

520 PORTOLA PLAZA LOS ANGELES, CA 90095

UNIVERSITY OF CALIFORNIA -

UNIVERSITY OF CALIFORNIA - LOS

MATH ACTION

**BERKELEY** 

**ANGELES** 

665 BROADWAY

PHILADELPHIA, PA 191046205

3720 SOUTH FLOWER ST 3RD FLOOR

750 N LAKE SHORE DRIVE RUBLOFF 7

GOV

РС

РС

GOV

РС

РС

GOV

РС

PС

GOV

GOV

he	Year	or	App	proved	for	Future	Payment

3 Grants and Contributions Paid During the Year or Approved for Future Payment						
Recipient	If recipient is an individual,	Foundation	Purpose of grant or contribution			
Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	status of recipient				
a Paid during the year						
HARVARD UNIVERSITY 1033 MASSACHUSETTS AVENUE 5TH		_	DEMOCRATIC KNOWLEDGE PROJECT			

MULTIPLE LITERACIES IN

PROJECT-BASED

LEARNING COURSE

VIRTUAL AND HYBRID **ENVIRONMENTS** 

LEARNING TEACHING

LEARNING KNOWLEDGE IN

ACTION MATURATION

**EOUITY RESEARCH FOR** 

**EQUITY RESEARCH FOR** 

**EQUITY RESEARCH FOR** 

INTERDISCIPLINARY PBL

USING DATA SCIENCE TO

TOWARDS ZERO HUNGER

DATA SCIENCE PROJECT

CO-DESIGN HIGH SCHOOL

CURRICULUM WITH FOCUS

**EQUITY RESEARCH FOR** 

INTERDISCIPLINARY PBL

HISTORY THROUGH THE

LENS OF DATA

▶ 3a

ON ASSESSMENTS

**EMPOWER STUDENTS** 

INTERDISCIPLINARY PBL

INTERDISCIPLINARY PBL

ADAPTATION FOR

PROJECT BASED

CORE PRACTICES FRAMEWORK

PROJECT BASED

STUDY

Amount

1,100,000

564,378

473,649

185,219

50,000

50,000

50,000

75,000

75,000

90,000

50,000

75,000

125,000

Form **990-PF** (2021)

2,713,246

Recipient	If recipient is an individual,	Foundation	
	show any relationship to	status of	Purpose of grant
ame and address (home or business)	any foundation manager	rociniont	contribution

Part XV-A Analysis of Income-Produci	ng Activitie	s			Page <b>12</b>
Enter gross amounts unless otherwise indicated.	Unrelated b	usiness income	Excluded by section	512, 513, or 514	(e) Related or exemp
1 Program service revenue:	(a) Business code	<b>(b)</b> Amount	(c) Exclusion code	<b>(d)</b> Amount	function income (See instructions.)
a b					
c					
d e					
f					
<ul><li>g Fees and contracts from government agencies</li><li>2 Membership dues and assessments</li></ul>	_				
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
<ul><li>a Debt-financed property.</li><li>b Not debt-financed property.</li><li>.</li></ul>					
6 Net rental income or (loss) from personal					
property 7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
<ul><li>inventory</li><li>9 Net income or (loss) from special events:</li></ul>					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a ROYALTY			15	12	9
b					
c d					
e					
<b>12</b> Subtotal. Add columns (b), (d), and (e) <b>13 Total.</b> Add line 12, columns (b), (d), and (e)			13	12	
(See worksheet in line 13 instructions to verify ca Part XV-B Relationship of Activities to	lculations.)				
Line No. Explain below how each activity for which the accomplishment of the foundation's explain instructions.)	h income is rep	orted in column (	e) of Part XV-A conti	ributed important	
·					
					m <b>990-DF</b> (2021

_ \	,		
Ι	Information Regarding Noncharitable Exempt	Transfers To and Transactions and Relationships Wit Organizations	th

Part	XVI	Noncharitable	-			a Irans	sactions	ana Ke	elationsnips w	itn			
	_	anization directly or (c) (other than sect	indirectly en	gage	in any of the fo	_		_				Yes	No
<b>a</b> Tran	sfers from the reporting foundation to a noncharitable exempt organization of:												
(1)	Cash.										1a(1)		No
(2)	Other	assets									1a(2)		No
<b>b</b> Othe	r trans	sactions:											
(1)	Sales	of assets to a noncha	aritable exen	npt or	rganization						1b(1)		No
(2)	Purcha	ses of assets from a	noncharitab	le ex	empt organizat	ion					1b(2)		No
(3).	Rental	of facilities, equipm	ent, or other	asse	ts					🛚	1b(3)		No
(4)	Reimb	ursement arrangeme	ents								1b(4)		No
(5)	Loans	or loan guarantees.									1b(5)		No
<b>(6)</b> F	Perform	nance of services or	membership	or fu	ndraising solici	tations.				🖺	1b(6)		No
	_	facilities, equipment	_			-	-				1c		No
of th in an	e good y trans	er to any of the aboves, other assets, or seaction or sharing ar	ervices given rangement, s	by tl	he reporting fou in column <b>(d)</b> th	indation. ne value o	If the found of the goods	lation re , other a	ceived less than fa	ir market received	value	9	
(a) Line N	lo. (	(b) Amount involved	(c) Name of r	noncha	ritable exempt org	anization	(d) Desc	ription of	transfers, transactions	, and sharir	ng arrar	ngement	:S
desc	ribed i	dation directly or ind n section 501(c) (ot mplete the following	her than sec		•	-			-	Yes	<b>✓</b> N o	0	
I II G	es, co	(a) Name of organization			(b) Tyne	of organiza	ntion		(c) Description	n of relation	ship		
		( ) 3. 5. ga2dit	-		(2) . / pc	9020	- ***		(1, 2000,000				
Sign	the be	penalties of perjury est of my knowledge action of which prepa	and belief, it	is tru	ie, correct, and edge.						is bas	sed on	all
Here	Si	gnature of officer or	trustee		Date		) <sub>Ti</sub>	tle		with the pre	eparer sh	hown belo	w?
		Print/Type prepare	r's name	Prep	parer's Signatui	re	Date		Check if self- employed ▶	PTIN P	0036	9623	
Paid Propa	ror	TRAVIS L PATT					2022	-09-16	employed P				
Prepa	. <del>C</del> I	Firm's name Prio	cewaterhous	eCoo	pers LLP					Firm's E	IN 📂		

Use Only

Phone no.

Firm's address ► 655 NEW YORK AVE NW SUITE 1100

WASHINGTON, DC 20001

(202) 414-1000

**Additional Data** Return to Form Software ID: Software Version: Form 990PF - Special Condition Description: **Special Condition Description** 

TY 2021 IRS 990 e-File Render

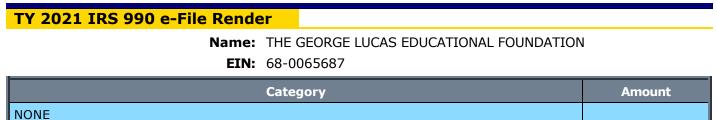
**PRICEWATERHOUSECOOPERS** 

Name: THE GEORGE LUCAS EDUCATIONAL FOUNDATION

**EIN:** 68-0065687

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes

61,587



Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing. TY 2021 IRS 990 e-File Render Name: THE GEORGE LUCAS EDUCATIONAL FOUNDATION **EIN:** 68-0065687 Description of Date Cost or Other Prior Years' Computation Rate / **Current Year's Depreciation** Net Investment **Adjusted Net** Cost of Goods Sold Not

Expense

Income

Income

Included

Life (# of

years)

Method

Acquired

**Property** 

Basis

Depreciation

# TY 2021 IRS 990 e-File Render

Name: THE GEORGE LUCAS EDUCATIONAL FOUNDATION

**EIN:** 68-0065687

		<b>EIN:</b> 68-0065687
Identifier	Return Reference	Explanation
PART I, LINE 19:	PART I, LINE 19:	DESCRIPTION DATE COST ACCUMULATED METHOD/LIFE CURRENT YR ACQUIRED DEPRECIATION DEPRECIATION
PART I, LINE 20 & PART VII-B, LINE 1(A)(3):	PART I, LINE 20 & PART VII-B, LINE 1(A)(3):	DUE TO GENERALLY ACCEPTED ACCOUNTING PRINCIPLES, THE BOOKS OF THE FOUNDATION REFLECT OCCUPANCY/RENT EXPENSE OF \$352,511. THIS REPRESENTS THE VALUE OF THE FOUNDATION'S OCCUPANCY AT ITS LOCATION IN NICASIO, CALIFORNIA. NO ACTUAL OUTLAY OF FUNDS OCCURRED FOR OCCUPANCY/RENT.
ORGANIZATION MISSION AND OTHER DISCLOSURE	ORGANIZATION MISSION AND OTHER DISCLOSURE	DESCRIPTION OF ORGANIZATION MISSION: THE GEORGE LUCAS EDUCATIONAL FOUNDATION ("GLEF") IS A NONPROFIT OPERATING FOUNDATION CREATED IN 1991. GLEF IS DEDICATED TO TRANSFORMING PRE-KINDERGARTEN THROUGH 12TH-GRADE (PRE-K THROUGH 12) EDUCATION SO ALL STUDENTS CAN THRIVE IN THEIR STUDIES, CAREERS, AND ADULT LIVES. GLEF FOCUSES ON PRACTICES AND PROGRAMS THAT HELP STUDENTS ACQUIRE AND EFFECTIVELY APPLY THE KNOWLEDGE, ATTITUDES, SKILLS AND BELIEFS TO ACHIEVE THEIR FULL POTENTIAL. GLEF'S PRIMARY ACTIVITIES INCLUDE: 1) IDENTIFYING, DESCRIBING, AND PROMOTING EFFECTIVE MODELS AND INNOVATIONS IN PRE-K THROUGH 12 EDUCATION BY PRODUCING VIDEO AND OTHER DIGITAL MEDIA; AND 2) FUNDING AND DEVELOPING RESEARCH TO IDENTIFY AND EVALUATE RIGOROUS PRACTICES FOR LEARNERS, EDUCATORS AND SCHOOLS. GLEF'S INTENTION IS TO SHARE A LARGER VISION (ENHANCED BY RESEARCH AND CASE STUDIES) OF THE LEARNING PROCESS TO STIMULATE THE UNDERSTANDING AND INVOLVEMENT OF STAKEHOLDERS AND TO GUIDE THEIR CHOICES IN REDESIGNING SCHOOLS AND SCHOOL SYSTEMS. GLEF'S WORK IS ORGANIZED AROUND ITS CORE STRATEGIES FOR BETTER SCHOOLS: PROJECT-BASED LEARNING, SOCIAL AND EMOTIONAL LEARNING, COMPREHENSIVE ASSESSMENT, INTEGRATED STUDIES, TEACHER LEADERS, AND TECHNOLOGY INTEGRATION. GLEF'S AUDIENCE INCLUDES DIVERSE STAKEHOLDERS INVOLVED IN SCHOOL IMPROVEMENT, INCLUDING PRE-K THROUGH 12 EDUCATORS (TEACHERS AND ADMINISTRATORS), SCHOOL BOARD MEMBERS, COLLEGE FACULTY, RESEARCHERS, ACTIVE PARENTS, AND LEADERS IN BUSINESS, GOVERNMENT, AND NONPROFIT ORGANIZATIONS.
PART IX-A: SUMMARY OF DIRECT CHARITABLE ACTIVITIES	PART IX-A: SUMMARY OF DIRECT CHARITABLE ACTIVITIES	EDUTOPIA: EDUTOPIA HAS UNDERTAKEN A WIDE RANGE OF ACTIVITIES THAT HAVE LED TO ITS STEADY GROWTH THROUGH THE YEARS AS ONE OF AMERICA'S PREEMINENT SOURCES FOR INSPIRATION, INFORMATION, AND PRACTICAL STRATEGIES ABOUT WHAT WORKS IN PREK-12 EDUCATION. IN 2021, EDUTOPIA PRODUCED, PUBLISHED AND PROMOTED 700 NEW PIECES OF CONTENT (VIDEOS, ARTICLES, SOCIAL MEDIA SHORTS), ACROSS GRADE LEVELS AND TOPICS, SERVING MORE THAN 22 MILLION EDUTOPIA ORG USERS AND GENERATING MORE THAN 42 MILLION WEBSITE SESSIONS. WE PROVIDED TRUSTED INFORMATION AND THOUGHTFUL PERSPECTIVE TO EMPOWER TEACHERS, SCHOOL. ADMINISTRATORS, ACTIVE PARENTS, AND OTHERS WHO SEEK SOLUTIONS FOR LEARNING AND TEACHING. DURING THIS YEAR, EDUTOPIA LAUNCHED THE RESEARCH IS IN, A MONTHLY NEWSLETTER CHALLENGING ASSUMPTIONS AND PROPOSING BOLD, EVIDENCE-BASED STRATEGIES THAT CHANGE MINDS AND TRANSFORM CLASSROOMS VIA A COMPILATION OF FEATURE STORIES AND VIDEOS, INTERVIEWS WITH LEADING RESEARCHERS, HIGHLIGHTS FROM LEADING JOURNALS AND ORGANIZATIONS, AND COMPELLING DATA VISUALIZATIONS. EDUTOPIA CONTENT WAS ALSO DISSEMINATED ACROSS SOCIAL MEDIA CHANNELS AND REPOSTED OR REPRINTED BY INFLUENTIAL ORGANIZATIONS INCLUDING THE U.S. DEPARTMENT OF EDUCATION, THE WASHINGTON POST, THE NEW TEACHER CENTER, AND MANY GRADUATE SCHOOLS OF EDUCATION, THE WASHINGTON POST, THE NEW TEACHER CENTER, AND MANY GRADUATE SCHOOLS OF EDUCATION, THE WASHINGTON POST, THE NEW TEACHER CENTER, AND MANY GRADUATE SCHOOLS OF EDUCATION, AND PROFESSIONAL DEVELOPMENT PROVIDERS. LUCAS EDUCATION RESEARCH: LERD, WE BELIEVE AUTHENTIC, CHALLENGING, AND ACTIVE LEARNING EXPERIENCES OPTIMIZE OPPORTUNITIES FOR ALL STUDENTS TO PRODUCTIVELY PARTICIPATE AND LEAD IN AN INCREASINGLY DIVERSE AND DYNAMIC SOCIETY. IN ORDER TO BUILD AN EVIDENCE BASE TO SUPPORT THESE PRACTICES, WE PROVIDE HIGHLY SELECTIVE AND COLLABORATIVE GRANTS DESIGNED TO DEVELOP PROJECT-BASED PROGRAMS AND TO STUDY THEIR EFFECTIVENESS IN K-12 SCHOOLS. IN 2021, LER MAINTAINED COLLABORATION WITH MAJOR PARTINERSHIPS CONDUCTING EDUCATIONAL RESEARCH IN K-12 PUBLIC S

TY 2021 IRS 990 e-File Render

MORRISON AND FOERSTER LLP

ADLER & COLVIN

REED SMITH LLP

SHARTSIS FRIESE LLP

Name: THE GEORGE LUCAS EDUCATIONAL FOUNDATION

16,786

145

8,050

50,994

**EIN:** 68-0065687

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DELANGES, LINDER & DUEY, LLP	13,271			8,445
OLG, DEA, NAS, SMO & STE, P.C.	18,765			17,310

20,961

11,375

50,994

145

## TY 2021 IRS 990 e-File Render

**Description** 

TELEPHONE AND WIFI ACCESS

EXPENSES PAID TO NON STAFF

COVID-19 REMOTE OFFICE EXPENSE

POSTAGE AND DELIVERY

DONATIONS

WEB APPS, DUES, FEES, LICENSES

Name: THE GEORGE LUCAS EDUCATIONAL FOUNDATION

**Net Investment** 

**Adjusted Net** 

Disbursements for

8,086

4,130

1,105

29,963

456,956

**EIN:** 68-0065687

Revenue and

	Expenses per Books	Income	Income	Charitable Purposes
INSURANCE PREMIUMS	29,984			29,984
BANK CHARGES	-491			-491
OP SUPPLIES MATERIALS & PRINT	39,381			34,287
FURNITURE AND EQUIPMENT	5,956			5,533
EMPLOYEE RECRUITING	2,575			2,575
ADVERTISING AND PROMOTION	221,083			205,193
HONORARIA AND STIPENDS	76,000			76,000
REPAIR AND MAINTENANCE	6,228			6,228
LEGAL SETTLEMENT	4,651			0

8,096

4,827

1,105

29,963

247

### TY 2021 IRS 990 e-File Render

THOMAS, LAURA

AFRIDI, SARA

FELD, CAROLE

EDITCETERA

SCHEER, RACHEL

HYLTON KOPSHINSKY, DANIELLE

PROCORE HR SOLUTIONS

JUNTILLA, CARLO JEROME

JONES IT CONSULTING, LLC

COLLABORATIVE COMM GROUP, INC.

BIO SCIENCES CURRICULUM STUDY

TOTAL OF PROF'L SVC <\$10,000

Name: THE GEORGE LUCAS EDUCATIONAL FOUNDATION

**FTN:** 68-0065687

	EIN: 68-0065687			
Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LULLABOT, INC.	553,175			553,175
RTI INTERNATIONAL	455,940			455,940
UP UP COMMUNICATIONS LLC	154,975			154,975
MENLO EDUCATION RESEARCH, LLC	146,481			146,481
PROZES, GILLIAN	106,000			106,000
RILEY, HEATHER J.	92,700			92,700
LASLOCKY, MEGHAN	63,865			63,865
ABD SHARED HR	59,380			59,380
THIRD SECTOR STRATEGY LLC	53,600			53,600
DESIGNLOUNGE, INC	50,628			50,628
VOX TELEVISION INC.	42,185			42,185
REYES-CERON, DOUGLAS	41,500			41,500
JACKSON, LEE	41,350			41,350
GIBSON, MARVA HINTON	39,950			39,950
OVEREASY EDITORIAL, LLC	37,893			37,893
ZUCKERBROD, NANCY	37,425			37,425
CHUN, MARC	32,000			32,000
ARENBERG, POLLY	30,000			30,000
GOTT ADVERTISING, LLC	29,907			29,907
MILLER, JENNIFER	29,575			29,575
SOVDE, DOUGLAS	27,300			27,300
EDUCATION FIRST	25,000			25,000
NANSEN LLC	24,938			24,938
TIERNEY, GAVIN	24,725			24,725
TREW KNOWLEDGE INC.	24,675			24,675
TARANTO, SARAH	24,321			24,321
BRIDGES CONSULTING, LLC	22,713			22,713
MCVEY, JANE	21,910			21,910
THING-MAN LLC	19,875			19,875

18,510

15,200

14,828

14,417

14,388

14,050

13,950

13,350

13,200

11,150

10,000

276,364

18,510

15,200

14,828

14,417

14,388

13,950

13,350

13,200

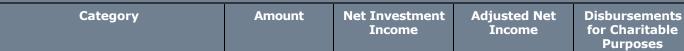
11,150

10,000

TY 2021 IRS 990 e-File Render Name: THE GEORGE LUCAS EDUCATIONAL FOUNDATION

EI	<b>N:</b> 68-0065687			
Category	Amount	Net Investment	Adjusted Net	Dist

ETI	N: 68-006568/			
Category	Amount	Net Investment Income	Adjusted Net Income	D f



FEDERAL EXCISE TAX