

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2021, or tax year beginning 01-01-2021, and ending 12-31-2021

Name of foundation: THE DN BATTEN FOUNDATION C/O BROWN ADVISORY. A Employer identification number: 54-1864288. B Telephone number: (757) 625-7670. C If exemption application is pending, check here. D 1. Foreign organizations, check here. E If private foundation status was terminated under section 507(b)(1)(A), check here. F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. G Check all that apply: Initial return, Initial return of a former public charity, Final return, Amended return, Address change, Name change. H Check type of organization: Section 501(c)(3) exempt private foundation. I Fair market value of all assets at end of year: \$51,240,759. J Accounting method: Cash.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include: 1 Contributions, gifts, grants, etc., received (6,583,406); 2 Check if foundation is not required to attach Sch. B; 3 Interest on savings and temporary cash investments (39); 4 Dividends and interest from securities (947,056); 5a Gross rents; b Net rental income or (loss); 6a Net gain or (loss) from sale of assets not on line 10 (7,832,507); b Gross sales price for all assets on line 6a (11,946,531); 7 Capital gain net income (from Part IV, line 2) (7,832,507); 8 Net short-term capital gain; 9 Income modifications; 10a Gross sales less returns and allowances; b Less: Cost of goods sold; c Gross profit or (loss) (attach schedule); 11 Other income (attach schedule) (415,067); 12 Total. Add lines 1 through 11 (15,778,075); 13 Compensation of officers, directors, trustees, etc. (0); 14 Other employee salaries and wages; 15 Pension plans, employee benefits; 16a Legal fees (attach schedule); b Accounting fees (attach schedule) (4,440); c Other professional fees (attach schedule) (182,729); 17 Interest (88,140); 18 Taxes (attach schedule) (see instructions) (135,678); 19 Depreciation (attach schedule) and depletion; 20 Occupancy; 21 Travel, conferences, and meetings; 22 Printing and publications; 23 Other expenses (attach schedule) (531,546); 24 Total operating and administrative expenses. Add lines 13 through 23 (942,533); 25 Contributions, gifts, grants paid (7,414,700); 26 Total expenses and disbursements. Add lines 24 and 25 (8,357,233); 27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements (7,420,842); b Net investment income (if negative, enter -0-) (8,069,535); c Adjusted net income (if negative, enter -0-).

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	110,005	138,434	138,434
	2 Savings and temporary cash investments	713,985	719,335	719,335
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	31,477,182	37,229,704	48,382,990
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	3,500,000	2,000,000	2,000,000	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	35,801,172	40,087,473	51,240,759	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0	0	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	35,801,172	40,087,473	
29 Total net assets or fund balances (see instructions)	35,801,172	40,087,473		
30 Total liabilities and net assets/fund balances (see instructions)	35,801,172	40,087,473		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	35,801,172
2 Enter amount from Part I, line 27a	2	7,420,842
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	43,222,014
5 Decreases not included in line 2 (itemize) ▶ _____	5	3,134,541
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	40,087,473

Part IV Capital Gains and Losses for Tax on Investment Income

Table with 4 columns: (a) List and describe the kind(s) of property sold, (b) How acquired, (c) Date acquired, (d) Date sold. Rows include EXHIBIT A, SHS AUTODESK INC, SHS ETSY INC, EXHIBIT B, DNB OPPORTUNITIES, SFM CAPITAL MARKETS, etc.

Table with 4 columns: (e) Gross sales price, (f) Depreciation allowed, (g) Cost or other basis plus expense of sale, (h) Gain or (loss). Rows correspond to items a-e from the previous table.

Table with 4 columns: (i) F.M.V. as of 12/31/69, (j) Adjusted basis as of 12/31/69, (k) Excess of col. (i) over col. (j), (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)).

Summary section with 2 columns: Description and Amount. Includes 'Capital gain net income or (net capital loss)' and 'Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):'.

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here [] and enter [] Bracket for line 1a
1a Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
3 Add lines 1 and 2.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-
6 Credits/Payments:
6a 2021 estimated tax payments and 2020 overpayment credited to 2021
6b Exempt foreign organizations—tax withheld at source
6c Tax paid with application for extension of time to file (Form 8868)
6d Backup withholding erroneously withheld
7 Total credits and payments. Add lines 6a through 6d
8 Enter any penalty for underpayment of estimated tax. Check here [] if Form 2220 is attached.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.
11 Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded

Part VI-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.
1c Did the foundation file Form 1120-POL for this year?
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. (2) On foundation managers.
3 Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
4b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
By language in the governing instrument, or
By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
7 Did the foundation have at least \$5,000 in assets at any time during the year?
8a Enter the states to which the foundation reports or with which it is registered (see instructions)
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021?
10 Did any persons become substantial contributors during the tax year?

Part VI-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of BROWN ADVISORY Telephone no. (757) 625-7670
Located at 101 W MAIN STREET SUITE 700 NORFOLK VA 23510 ZIP+4
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
c Organizations relying on a current notice regarding disaster assistance check here.
d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation...
(2) Influence the outcome of any specific public election...
(3) Provide a grant to an individual for travel, study, or other similar purposes...
(4) Provide a grant to an organization other than a charitable, etc., organization...
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes...
b If any answer is "Yes" to 5a(1)-(5); did any of the transactions fail to qualify under the exceptions...
c Organizations relying on a current notice regarding disaster assistance check here...
d If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax...
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
6b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
7b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Table with 3 columns: Question ID, Yes, No. Rows include 5a(1) through 8.

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

Table with 5 columns: (a) Name and address, (b) Title and average hours per week, (c) Compensation, (d) Contributions to employee benefit plans, (e) Expense account. Includes entries for Dorothy N Batten and Frank Batten Jr.

2 Compensation of five highest-paid employees (other than those included on line 1--see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title and average hours per week, (c) Compensation, (d) Contributions to employee benefit plans, (e) Expense account. All entries are NONE.

Total number of other employees paid over \$50,000. 0

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 **Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
HATCHER CONSULTING LLC 808 BELMONT AVENUE CHARLOTTESVILLE, VA 22902	CONSULTING	67,600

Total number of others receiving over \$50,000 for professional services. 

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	0
2	
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶ 0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	46,140,518
b	Average of monthly cash balances.	1b	2,689,688
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	48,830,206
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	48,830,206
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	732,453
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.	5	48,097,753
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	2,404,888

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	2,404,888
2a	Tax on investment income for 2021 from Part V, line 5.	2a	112,167
b	Income tax for 2021. (This does not include the tax from Part V.).	2b	74,233
c	Add lines 2a and 2b.	2c	186,400
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	2,218,488
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	2,218,488
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	2,218,488

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				2,218,488
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2021:				
a From 2016.				1,197,828
b From 2017.				7,575,956
c From 2018.				3,094,757
d From 2019.				4,097,355
e From 2020.				3,030,442
f Total of lines 3a through e.	18,996,338			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ <u>7,414,700</u>				
a Applied to 2020, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2021 distributable amount				2,218,488
e Remaining amount distributed out of corpus	5,196,212			
5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	24,192,550			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)	1,197,828			
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a	22,994,722			
10 Analysis of line 9:				
a Excess from 2017	7,575,956			
b Excess from 2018	3,094,757			
c Excess from 2019.	4,097,355			
d Excess from 2020	3,030,442			
e Excess from 2021	5,196,212			

Part Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> AFFORDABLE HOUSING OPPORTUNITY FUND PO BOX 1467 CHARLOTTESVILLE,VA 22902		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	500,000
AFRICAN WILDLIFE FOUNDATION 1400 16TH STREET NW SUITE 120 WASHINGTON,DC 20036		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	685,200
BIG LIFE FOUNDATION 24010 NE TREEHILL DR WOOD VILLAGE,OR 97060		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	100,000
BOYS AND GIRLS CLUB OF CENTRAL VIRG 1000 CHERRY AVE CHARLOTTESVILLE,VA 22903		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	950,000
CHESAPEAKE BAY FOUNDATION 6 HERNDON AVENUE ANNAPOLIS,MD 21403		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	75,000
COMMUNITY CLIMATE COLLABORATIVE 306 EAST JEFFERSON STREET SUITE B CHARLOTTESVILLE,VA 22902		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	90,000
DARDEN SCHOOL FOUNDATION PO BOX 7726 CHARLOTTESVILLE,VA 22906		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	10,000
EARTHRIGHTS INTERNATIONAL 1612 K STREET NW SUITE 401 WASHINGTON,DC 20006		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	150,000
ENVIRONMENTAL DEFENSE FUND 1875 CONNECTICUT AVE NW SUITE 600 WASHINGTON,DC 20009		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	250,000
FOCUSED ULTRASOUND SURGERY FOUNDATI 1230 CEDARS COURT SUITE F CHARLOTTESVILLE,VA 22903		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	500,000
FOREST TRENDS 1203 19TH STREET NW 4TH FLOOR WASHINGTON,DC 20036		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	200,000
HOLLINS UNIVERSITY PO BOX 9629 ROANOKE,VA 24020		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	5,000
NATIONAL GEOGRAPHIC SOCIETY 1145 17TH STREET NW WASHINGTON,DC 20036		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	5,000
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK,NY 10011		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	5,000
NORFOLK ACADEMY 1585 WESLEYAN DRIVE NORFOLK,VA 23502		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	5,000
PLANNED PARENTHOOD 2964 HYDRAULIC RD CHARLOTTESVILLE,VA 22901		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	50,000
PVCC EDUCATIONAL FOUNDATION 501 COLLEGE DR CHARLOTTESVILLE,VA 22902		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	150,000
RARE INC 1310 N COURTHOUSE ROAD SUITE 110 ARLINGTON,VA 22201		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	2,000,000
SOUTHERN ENVIRONMENTAL LAW CENTER 201 WEST MAIN ST SUITE 14 CHARLOTTESVILLE,VA 22902		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	100,000
UNITED STATES NAVAL ACADEMY FOUNDAT 247 KING GEORGE ST ANNAPOLIS,MD 21402		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	20,000
DARDEN SCHOOL FOUNDATION 100 DARDEN BLVD CHARLOTTESVILLE,VA 22903		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	10,000
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BOULEVARD BRONX,NY 10460		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	1,000,000
TECTONIC THEATER PROJECT		501(C)(3)	TO PROVIDE FINANCIAL	7,500

520 8TH AVE STE 313 NEW YORK, NY 10018			SUPPORT	
PEABODY SCHOOL 1232 STONEY RIDGE RD CHARLOTTESVILLE, VA 22902		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	5,000
MONROE INSTITUTE 365 ROBERTS MOUNTAIN RD FABER, VA 22938		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	40,000
CHARLOTTESVILLE CITY SCHOOL 610 KIRTLEY LANE CHARLOTTESVILLE, VA 22903		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	100,000
THE WOMEN'S INITIATIVE 1101 E HIGH ST CHARLOTTESVILLE, VA 22902		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	50,000
APPALACHIAN VOICES 812 E HIGH ST CHARLOTTESVILLE, VA 22902		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	100,000
HOSPITAL FOR SPECIAL SURGERY 535 EAST 70TH STREET NEW YORK, NY 10021		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	2,000
AMAZON CONSERVATION ASSOCIATION 1012 14TH ST NW WASHINGTON, DC 20005		501(C)(3)	TO PROVIDE FINANCIAL SUPPORT	250,000
Total			▶ 3a	7,414,700
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: THE DN BATTEN FOUNDATION
C/O BROWN ADVISORY

EIN: 54-1864288

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	4,440	4,440		0

TY 2021 IRS 990 e-File Render

Name: THE DN BATTEN FOUNDATION
C/O BROWN ADVISORY
EIN: 54-1864288

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS/OTHER SECURITIES	AT COST	13,695,071	15,455,626
SFM CAPITAL MARKETS, LP	AT COST	4,127,996	8,009,283
YIELD POOL, LP	AT COST	146,149	251,470
SFM GLOBAL STRATEGIES QP, LP	AT COST	7,197,332	8,438,541
DNB OPPORTUNITIES, LP	AT COST	1,378,138	2,583,836
HGI SF KFB, LLC	AT COST	143,318	137,136
HGI SF K30, LLC	AT COST	146,542	137,027
HGI SF K34, LLC	AT COST	174,207	181,157
MELOY FUND I, LP	AT COST	481,378	444,957
DNB OPPORTUNITIES II, LP	AT COST	1,522,044	2,626,885
DNB OPPORTUNITIES III, LP	AT COST	1,108,448	1,578,740
LCP FORSYTH	AT COST	403,875	825,000
HGI SF KF83	AT COST	709,109	693,944
BROWN ADVISORY INVESTORS 2020 - HHV FEEDER FUND, LLLP	AT COST	136,818	173,733
HGI CREDIT FUND I	AT COST	1,319,658	1,316,981
SERIES J - TCI	AT COST	1,500,000	1,807,455
SERIES L - HILLHOUSE CHINA VALUE	AT COST	1,500,000	1,608,069
TIGER GLOBAL PRIVATE INVESTMENT PARTNERS XIV, LP	AT COST	971,527	1,450,369
SF NE-SW DEBT HOLDINGS, LP	AT COST	445,407	501,978
STONEPEAK GLOBAL RENEWABLES FUND LP	AT COST	0	60,803
STONEPEAK GLOBAL RENEWABLES FUND DE LP	AT COST	22,687	0
BOOST BIOMES	AT COST	100,000	100,000

TY 2021 IRS 990 e-File Render

Name: THE DN BATTEN FOUNDATION
C/O BROWN ADVISORY

EIN: 54-1864288

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
OTHER ASSETS	3,500,000	2,000,000	2,000,000

TY 2021 IRS 990 e-File Render

Name: THE DN BATTEN FOUNDATION
C/O BROWN ADVISORY

EIN: 54-1864288

Description	Amount
DIFFERENCE IN TAX BASIS VS. FMV ON CONTRIBUTION	3,134,541

TY 2021 IRS 990 e-File Render

Name: THE DN BATTEN FOUNDATION
 C/O BROWN ADVISORY
EIN: 54-1864288

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
REGISTRATION FEE	25	25		0
INSURANCE	2,060	2,060		0
RENT/PARKING	30,567	30,567		0
MISCELLANEOUS	928	928		0
SFM CAPITAL MARKETS, LP	94,404	93,786		0
SFM GLOBAL STRATEGIES QP, LP	182,636	182,615		0
YIELD POOL, LP	5,404	5,354		0
DNB OPPORTUNITIES, LP	33,481	32,608		0
HARBOR GROUP KF 83	7,454	7,454		0
HGI SF KFB, LLC	2,558	2,558		0
HGI SF K30, LLC	2,457	2,457		0
HGI SF K34, LLC	2,536	2,536		0
HGI CREDIT FUND	4,915	4,915		0
MELOY FUND I,LP	27,180	27,180		0
DNB OPPORTUNITIES II, LP	43,291	43,076		0
BANK FEES	15	15		0
WEBSITE	6,056	6,056		0
LCP FORSYTH BOULEVARD JV, LLC	63	0		0
DNB OPPORTUNITIES III, LP	31,796	31,788		0
SF NE-SW DEBT HOLDINGS, LP	4,741	4,741		0
STONEPEAK GLOBAL RENEWABLES FUND	2,701	2,701		0
STONEPEAK GLOBAL RENEWABLES FUND DELWARE	7,382	7,382		0
WIRE FEES	30	30		0
BROWN ADVISORY INVESTORS 2020 - HHV FEEDER FUND, LLLP	10,650	10,650		0
TIGER GLOBAL PRIVATE INVESTMENT PARTNERS XIV, LP	28,085	28,085		0
TRAVEL & MEALS	64	64		0
OFFICE EXPENSES	67	67		0

TY 2021 IRS 990 e-File Render

Name: THE DN BATTEN FOUNDATION
C/O BROWN ADVISORY
EIN: 54-1864288

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL EXCISE TAX REFUND	63,142		63,142
STATE EXCISE TAX REFUND	6,594		6,594
SFM GLOBAL STRATEGIES QP, LP - UBTI	143,999	0	143,999
SFM CAPITAL MARKETS, LP - UBTI	9,664	0	9,664
DNB OPPORTUNITIES, LP - UBTI	131,492	0	131,492
YIELD POOL, LP - UBTI	-203	0	-203
DNB OPPORTUNITIES II, LP - UBTI	-39,921	0	-39,921
SFM CAPITAL MARKETS, LP	124,445	124,445	124,445
SFM GLOBAL STRATEGIES QP, LP	-150,223	-150,223	-150,223
YIELD POOL, LP	7,127	7,127	7,127
DNB OPPORTUNITIES, LP	-24,622	-24,622	-24,622
DNB OPPORTUNITIES II, LP	149,228	149,228	149,228
THE MELOY FUND I, LP	230	230	230
DNB OPPORTUNITIES III, LP	-86,447	0	-86,447
DNB OPPORTUNITIES III, LP	1,370	1,370	1,370
LCP FORSYTH BOULEVARD JV, LLC - UBTI	48,572	0	48,572
LCP FORSYTH BOULEVARD JV, LLC	20,780	20,780	20,780
HARBOR GROUP KF 83	28,495	0	28,495
HARBOR GROUP KF 83	-23,325	-23,325	-23,325
SF NE-SW DEBT HOLDINGS, LP	2,402	2,402	2,402
HARBOR GROUP KF 34	1,966	1,966	1,966
STONEPEAK GLOBAL FUND DE	336	336	336
TIGER GLOBAL PRIVATE INVESTORS XIV	2	2	2
BROWN ADVISORY INVESTORS 2020 - HHV FEEDER FUND, LLLP	-36	-36	-36

TY 2021 IRS 990 e-File Render

Name: THE DN BATTEN FOUNDATION
C/O BROWN ADVISORY

EIN: 54-1864288

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MGMT FEES ON TAXABLE INCOME	85,998	85,998		0
MGMT FEES ON TAX-EXEMPT INCOME	112	0		0
CONSULTANT FEES	96,619	96,619		0

TY 2021 IRS 990 e-File Render

Name: THE DN BATTEN FOUNDATION
C/O BROWN ADVISORY

EIN: 54-1864288

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	107,699	0		0
STATE TAXES	18,254	0		0
FOREIGN TAXES - SFM CAPITAL MARKETS, LP	5,124	5,124		0
FOREIGN TAXES - SFM GLOBAL STRATEGIES QP, LP	1,197	1,197		0
FOREIGN TAXES - FIDELITY	3,018	3,018		0
FOREIGN TAXES - DNB OPPORTUNITIES, LP	248	248		0
FOREIGN TAXES - DNB OPPORTUNITIES II, LP	138	138		0