

990

Return of Organization Exempt From Income Tax

OMB No. 1545-

0047 2021

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundation.) Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

Form 990 Department of the Treasury Internal Revenue Service

A For the 2021 calendar year, or tax year beginning 01-01-2021, and ending 12-31-2021

B Check if applicable: Address change, Name change, Initial return, Final return/terminated, Amended return, Application pending. C Name of organization: OCEANA INC. D Employer identification number: 51-0401308. E Telephone number: (202) 833-3900. F Name and address of principal officer: CHRISTOPHER M SHARKEY, 1025 CONNECTICUT AVENUE NW 200, WASHINGTON, DC 20036. H(a) Is this a group return for subordinates? H(b) Are all subordinates included? H(c) Group exemption number. I Tax-exempt status: 501(c)(3). J Website: WWW.OCEANA.ORG. K Form of organization: Corporation. L Year of formation: 2001. M State of legal domicile: DC.

Part I Summary

Table with 4 main sections: 1. Briefly describe the organization's mission or most significant activities: TO ADVOCATE FOR POLICY CHANGES BY GOVERNMENTS AND CORPORATIONS IN ORDER TO PRESERVE OCEAN LIFE AND RETURN THE OCEANS TO THEIR FORMER ABUNDANCE. 2. Check this box if the organization discontinued its operations or disposed of more than 25% of its net assets. 3-7b. Revenue and Expenses summary table with columns for Prior Year and Current Year. 8-19. Net Assets or Fund Balances summary table with columns for Beginning of Current Year and End of Year.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here Signature of officer: CHRISTOPHER M SHARKEY CHIEF FINANCIAL OFFICER. Date: 2022-07-15.

Paid Preparer Use Only Print/Type preparer's name: MARCUM LLP. Preparer's signature. Date: 2022-07-05. Check if self-employed. PTIN: P01365820. Firm's EIN: 11-1986323. Firm's address: 1899 L STREET NW SUITE 850, WASHINGTON, DC 20036. Phone no. (202) 227-4000.

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:

SEE SCHEDULE O.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 12,740,065 including grants of \$ 1,143,062) (Revenue \$)

INTERNATIONALBELIZEBAN GILLNETSTO COMPLEMENT THE 2020 BAN ON GILLNETS, OCEANA'S FISH RIGHT, EAT RIGHT PROGRAM COMPLETED A MEMORANDUM OF UNDERSTANDING WITH A RESTAURATEUR TO LEAD ON PROMOTING THE BENEFITS OF RESPONSIBLY SOURCED FINFISH 2021. PARTICIPATING FISHERS ARE USING OUR PILOT APP, DOCUMENTING CATCHES ON CAMERA AND TRACKING LOGISTICS OF SALES TO THAT RESTAURANT. THIS LEVEL OF TRACEABILITY FOR FISHERIES IS A NATIONAL MILESTONE.INCREASE TRANSPARENCYIN JUNE 2021, THE GOVERNMENT OF BELIZE SIGNED AN AGREEMENT WITH OCEANA AND GLOBAL FISHING WATCH (GFW) TO MAKE ITS VESSEL TRACKING DATA PUBLICLY AVAILABLE ON THE GFW PLATFORM. THIS NEWEST ADDITION WILL ALLOW ANYONE ANYWHERE IN THE WORLD TO MONITOR BELIZE'S COMMERCIAL FISHING FLEET IN NEAR REAL-TIME. THIS VICTORY FOR TRANSPARENCY IS THE RESULT OF OCEANA'S COLLABORATION WITH THE GOVERNMENT TO ENHANCE ITS MONITORING CAPACITY OF BELIZEAN-FLAGGED FISHING VESSELS ON THE HIGH SEAS AND THE WATERS OF OTHER COUNTRIES. OCEANA STAFF HAVE BEEN WORKING IN BELIZE TO SECURE THIS AGREEMENT AND THE ILLEGAL FISHING AND TRANSPARENCY TEAM HAVE PROVIDED MULTIPLE OVERVIEWS AND TRAININGS TO THE GOVERNMENT ON THE GLOBAL FISHING WATCH PLATFORM AND HOW THE DATA CAN BE USED TO HELP WITH OVERSIGHT AND MANAGEMENT OF THE FLEET. LIKE MANY IN OTHER COASTAL COUNTRIES, BELIZE'S SMALL-SCALE FISHERS DEPEND ON TRANSPARENT, WELL REGULATED, HEALTHY OCEANS TO THRIVE. ADDING BELIZE'S VESSEL TRACKING DATA TO THE GFW PLATFORM WILL FURTHER BUILD ON THE PROGRESS BELIZE HAS ACHIEVED IN THE LAST DECADE TOWARDS PROTECTING ITS MARINE RESOURCES AND THE COMMUNITIES THAT RELY ON THEM.REDUCE SINGLE-USE PLASTICSIN FEBRUARY 2021, BELIZE'S NEW PRIME MINISTER REITERATED HIS SUPPORT FOR SINGLE-USE PLASTICS LEGISLATION AND COMMITTED HIS ADMINISTRATION TO PHASING OUT OF SINGLE-USE PLASTICS BY THE END OF 2021. DESPITE THIS WELCOME PLEDGE, THE STANDARDS FOR THE PRODUCTS THAT WILL REPLACE PROHIBITED PLASTIC ITEMS HAVE NOT BEEN PUBLISHED BY THE GOVERNMENT. ADDITIONALLY, IMPORTATION FEES HAVE NOT BEEN ADJUSTED TO REDUCE THE COSTS OF ENVIRONMENTALLY FRIENDLY PRODUCTS, WHICH CURRENTLY ARE MORE EXPENSIVE THAN SINGLE USE PLASTICS. IN SPITE OF THIS, WE ARE CONTINUING TO WORK TO ELIMINATE PLASTIC POLLUTION ON THE LOCAL LEVEL, ENGAGING WITH LOCAL MAYORS AND MAJOR SUPERMARKETS TOWARDS THIS GOAL.BRAZILIMPLEMENTING CATCH LIMITS FOR COMMERCIAL FISHERIESON JUNE 28TH, PRESIDENT BOLSONARO ISSUED A DECREE CREATING A TOTAL OF 10 FISHERY MANAGEMENT COUNCILS FOR MARINE AND ESTUARINE FISHERIES, INCLUDING THE IMPORTANT LOBSTER AND THE RED SNAPPER COUNCILS. THIS IS A GOOD OPPORTUNITY FOR OCEANA, SINCE THE FORUMS WHERE FISHING QUOTAS ARE DISCUSSED WERE FINALLY RESTORED. THE RESUMPTION OF THE COUNCILS IS IN RESPONSE TO THE FISHERIES SECRETARY, ORGANIZATIONS SUCH AS OCEANA, AND REPRESENTATIVES OF THE FISHING SECTOR THAT CALLED FOR MORE TRANSPARENCY OVER THE PAST TWO YEARS AND FORMAL PARTICIPATION FROM ALL STAKEHOLDERS ON DECISION-MAKING.IMPROVING FISHERIES MONITORINGIN APRIL, THE BRAZILIAN GOVERNMENT SIGNED A MEMORANDUM OF UNDERSTANDING (MOU) WITH GLOBAL FISHING WATCH (GFW) TO PUBLICLY RELEASE THE COUNTRY'S VESSEL MONITORING SYSTEM (VMS) DATA. THIS AGREEMENT IS A MAJOR STEP FORWARD FOR SUSTAINABLE AND TRANSPARENT FISHERIES MANAGEMENT IN BRAZIL, AS IT BECAME JUST THE SEVENTH COUNTRY TO AGREE TO SHARE ITS VESSEL DATA ON A PUBLIC PLATFORM.THE OPEN TUNA INITIATIVE, A PARTNERSHIP WITH SEVERAL MEMBERS OF THE TUNA INDUSTRY THAT IS FOCUSED ON MAKING TUNA FISHERIES AND THEIR SUPPLY CHAINS MORE TRANSPARENT, WAS A CRITICAL PRECURSOR TO THIS HISTORIC MOU.BY WORKING WITH PRIVATE BUSINESS LEADERS, BUILDING UP TRUST BETWEEN OUR GROUPS, AND HELPING THEM SEE THE VALUE OF FISHERIES TRANSPARENCY, OCEANA AND GFW ALSO HELPED CONVINCED THE GOVERNMENT THAT SUCH ACTIONS WOULD STRENGTHEN THE COUNTRY'S STANDING IN THE GLOBAL SEAFOOD SUPPLY CHAIN. THANKS TO THIS INITIATIVE, VMS DATA FROM 14 INDUSTRIAL TUNA LONGLINERS THAT COLLECTIVELY REPRESENT 60-70 PERCENT OF BRAZIL'S MARKET FOR FRESH BIGEYE TUNA (THAT IS EXPORTED TO THE US) IS NOW PUBLICLY AVAILABLE ON GFW.ONCE THEY ARE PUBLISHED, THESE DATA ON APPROXIMATELY 2,000 INDUSTRIAL VESSELS WILL HELP INFORM FUTURE FISHERIES MANAGEMENT, CONTROL, AND SURVEILLANCE ACTIVITIES. IN THIS WAY, THE AGREEMENT BETWEEN GFW AND THE BRAZILIAN GOVERNMENT ESTABLISHES A FRAMEWORK FOR THE COLLABORATIVE EXCHANGE OF DATA AND IMPROVES BRAZIL'S TRANSPARENCY AND MONITORING CAPACITIES.LIMIT SINGLE-USE PLASTICSWE ARE ON TRACK TO SUBMIT A BILL TO CONGRESS REQUIRING GRADUAL ELIMINATION OF DISPOSABLE PLASTIC PRODUCTS, SUCH AS STYROFOAM CONTAINERS, UTENSILS, PLATES, AND CUPS. WE HAVE RECENTLY GOTTEN A COMMITMENT FROM A SENATOR TO CREATE A WORKING GROUP WITHIN THE ENVIRONMENTAL PARLIAMENTARY FRONT OF THE CONGRESS. THE WORKING GROUP WILL BE COMPOSED OF KEY STAKEHOLDERS TO DISCUSS THE SCOPE AND SPECIFIC COMPONENTS OF A BILL TO BAN SINGLE-USE PLASTICS. ONCE THE BILL IS DRAFTED AND DISCUSSED, IT WILL BE SUBMITTED TO THE SENATE.THE COVID-19 PANDEMIC DRAMATICALLY INCREASED FOOD DELIVERY DEMAND IN BRAZIL. THE BRAZILIAN COMPANY IFOOD HOLDS 70% OF THE MARKET SHARE AND REACHED 60 MILLION DELIVERY ORDERS PER MONTH EARLIER THIS YEAR. OCEANA AND OUR PARTNERS AT THE CLEAN SEAS CAMPAIGN OF THE UNITED NATIONS ENVIRONMENT PROGRAM (UNEP) HAVE BEEN HOLDING REGULAR CONVERSATIONS WITH IFOOD SINCE MAY. AFTER MONTHS OF INTENSE NEGOTIATIONS, SOCIAL MEDIA MOBILIZATION, AN ONLINE PETITION AND CELEBRITIES' SUPPORT TO OUR CAMPAIGN, IFOOD SIGNED A PUBLIC COMMITMENT IN AUGUST 2021 TO REDUCE ITS USE OF DISPOSABLE PLASTIC BY 2025. WITH OUR GUIDANCE, IFOOD HAS NOW ESTABLISHED A SPECIFIC WORKPLAN AND TIMELINE FOR SUCH PLASTIC REDUCTION, WHICH WILL REDUCE THE DISTRIBUTION OF SINGLE-USE PLASTICS BY 1.5 BILLION ITEMS PER YEAR. IN LATIN AMERICA, BRAZIL ACCOUNTS FOR 50% OF ALL FOOD DELIVERIES, REPRESENTING 1.2 BILLION ORDERS A YEAR. ACCORDING TO A SURVEY BY THE STARTUP MOBILLS LABS, SPENDING ON FOOD APPLICATIONS GREW 103% IN THE FIRST HALF OF 2020. THANKS TO ONLINE ORDERING, TAKEAWAY AND DELIVERED FOOD SALES SECURED INCOME FOR SMALL AND MEDIUM RESTAURANTS AMIDST THE ECONOMIC CRISIS THAT FOLLOWED THE LOCKDOWN. IT IS EXPECTED THAT AS THE PANDEMIC CONTINUES, MORE RESTAURANTS WILL JOIN FOOD-DELIVERY APPLICATIONS OR COMPLETELY MOVE THEIR OPERATIONS TO ONLINE SALES. THE INCREASE IN DELIVERY ORDERS HAS ALSO INCREASED THE USE OF DISPOSABLE PLASTICS ITEMS. BRAZIL PRODUCES 3 MILLION TONS OF SINGLE-USE PLASTIC EVERY YEAR, OF WHICH 13% ARE THROW-AWAY PRODUCTS SUCH AS PLATES, CUPS, CUTLERY, PLASTIC BAGS, AND STRAWS. THIS IS EQUIVALENT TO PRODUCING 200 BILLION THROW-AWAY PLASTIC ITEMS PER YEAR. MOST OF THESE ITEMS ARE NOT RECYCLABLE AS THEY ARE WORTHLESS TO THE RECYCLING CHAIN, SO THEY QUICKLY BECOME WASTE AND POLLUTION. WITH IFOOD COMMITTING TO LOWER THEIR PLASTIC FOOTPRINT, WE EXPECT OTHER APPS, SUCH AS UBEREATS AND RAPPI, TO DO THE SAME.

4b (Code:) (Expenses \$ 10,924,566 including grants of \$ 690,000) (Revenue \$)

UNITED STATESIN THE UNITED STATES, OCEANA IS WORKING ON SCIENCE-BASED POLICY CAMPAIGNS THAT SEEK TO ADVANCE RESPONSIBLE FISHING, STOP NEW OFFSHORE OIL AND GAS DEVELOPMENT, REDUCE ILLEGAL FISHING, PROTECT HABITAT, PROTECT THE NORTH ATLANTIC RIGHT WHALE, REDUCE SINGLE-USE PLASTICS AND DEFEND THE NATION'S BEDROCK CONSERVATION LAWS.RESPONSIBLE FISHINGTHROUGHOUT 2021, OCEANA CONTINUED TO LEAD EFFORTS TO CHAMPION THE MAGNUSON-STEVENS FISHERY CONSERVATION AND MANAGEMENT ACT (MSA) TO ENSURE THAT IT IS NOT WEAKENED IN CONGRESS. THE MSA COALITION OF NGOS AND CONSERVATION-MINDED FISHING INTERESTS, IN WHICH OCEANA SERVES ON THE STEERING COMMITTEE, HAS HELPED EDUCATE AND INFORM MEMBERS OF CONGRESS WHO HAVE EXPRESSED INTEREST IN PURSUING FISHERIES LEGISLATION. IN JULY 2021 LEGISLATION WAS INTRODUCED IN THE HOUSE OF REPRESENTATIVES TO REAUTHORIZE THE MSA. TO BUILD FURTHER SUPPORT FOR THE MSA, OCEANA CONTINUED ONE OF OUR KEY VEHICLES FOR ENGAGING AND EXPANDING GRASSROOTS CONSTITUENCIES ON THE IMPORTANCE OF THE MSA OUR #KEEPOCEANSFISHY EVENTS. THESE EVENTS HAVE BEEN HELD VIRTUALLY SINCE THE ONSET OF THE PANDEMIC AND EMANATE FROM COASTAL AREAS ALONG BOTH COASTS. THEY ARE AIMED AT ILLUSTRATING THE IMPORTANCE OF RESPONSIBLE FISHERIES MANAGEMENT AND WHY HEALTHY FISHERIES AND OCEANS ARE IMPORTANT FOR COASTAL COMMUNITIES. ON JUNE 22, OCEANA ALSO PARTNERED WITH WHALE & DOLPHIN CONSERVANCY FOR THE VIRTUAL ORCA WATCH IN THE PACIFIC NORTHWEST. DISCUSSION FOCUSED ON ORCA AND SALMON CONSERVATION EFFORTS AND THE ROLE OF THE MSA IN MANAGING IMPORTANT FISH STOCKS LIKE SALMON. GUESTS INCLUDED LOCAL PARTNERS, INCLUDING FRIENDS OF LIME KILN STATE PARK, ORCASOUND, BE WHALE WISE AND MAYA'S LEGACY WHALE WATCH. NEARLY 1,000 REGISTERED FOR THE EVENT, WITH 472 VIEWING THE LIVE WEBINAR. IN ADDITION, MORE THAN 400 VIEWED THE FACEBOOK RECORDING OF THE EVENT.STOP EXPANDED OFFSHORE DRILLINGOCEANA AND OUR ALLIES WERE SUCCESSFUL IN GETTING THE FORMER ADMINISTRATION TO DELAY AND ULTIMATELY NOT FINALIZE ITS PROPOSED REVISED FIVE-YEAR OIL AND GAS LEASING PLAN (FOR 2019-2024) THAT WOULD HAVE EXPANDED LEASE SALES FOR OFFSHORE DRILLING IN 94 PERCENT OF U.S. WATERS IN THE ATLANTIC, PACIFIC AND ARCTIC OCEANS. THOUGH THE CURRENT FIVE-YEAR PLAN (FOR 2017-2022) REMAINS IN PLACE, PRESIDENT BIDEN SIGNED AN EXECUTIVE ORDER ON JANUARY 27, 2021, PAUSING NEW LEASING ON FEDERAL LANDS AND WATERS. THE ADMINISTRATION IS CONDUCTING A REVIEW OF THE FEDERAL OIL AND GAS LEASING PROGRAM THAT WILL INFORM ITS NEXT STEPS, AND WHICH WE EXPECT MAY INCLUDE ISSUANCE OF A NEW FIVE-YEAR OIL AND GAS LEASING PLAN.REDUCE ILLEGAL FISHINGOCEANA IS CAMPAIGNING TO IMPROVE THE U.S. DOMESTIC AND INTERNATIONAL PROGRAMS AND POLICIES TO ADDRESS ILLEGAL FISHING, TRANSPARENCY AND TRACEABILITY. THANKS IN LARGE PART TO OCEANA'S ADVOCACY, IN MAY 2021 THE ILLEGAL FISHING AND FORCED LABOR PROTECTION ACT WAS INTRODUCED IN THE US HOUSE OF REPRESENTATIVES. THE BILL CALLS FOR:- EXPANDING AND IMPROVING THE SEAFOOD IMPORT MONITORING PROGRAM (SIMP) TO ALL IMPORTED SEAFOOD SPECIES;- REQUIRING FULL BOAT-TO-PLATE TRACEABILITY FOR ALL SEAFOOD;- EXPANDING THE NUMBER OF U.S. VESSELS REQUIRED TO BROADCAST AUTOMATIC IDENTIFICATION SYSTEM (AIS) DATA; AND- ADOPTING A NATION-BASED SANCTION SYSTEM SIMILAR TO THE EUROPEAN UNION CARDING APPROACH TO BETTER PREVENT PRODUCTS SOURCED BY IUU FISHING FROM ENTERING THE US MARKET.ALSO IN MAY, AN AMENDMENT WAS ADDED TO THE ENDLESS FRONTIERS/US INNOVATION AND COMPETITION ACT THAT WOULD HELP ADDRESS IUU FISHING AND FORCED LABOR. THE AMENDMENT DIRECTS CUSTOMS AND BORDER PROTECTION TO DEVELOP REGULATIONS FOR DEALING WITH FORCED LABOR IN THE SEAFOOD SUPPLY CHAIN, A HIGH-RISK INDUSTRY FOR FORCED LABOR. THIS PROVISION ALSO REQUIRES CUSTOMS AND BORDER PROTECTION TO DEVELOP A STRATEGY FOR USING DATA COLLECTED THROUGH SIMP IN THEIR EFFORTS. PROTECT HABITATTHE LAST FEDERAL FISHERY MANAGEMENT AREA IN THE

NORTH PACIFIC WHERE THE BOTTOM TRAWLING FOOTPRINT HAS NOT YET BEEN "FROZEN" IS IN THE GULF OF ALASKA. THE NORTHEAST PACIFIC OCEAN, WHICH INCLUDES THE NORTH BERING SEA, THE GULF OF ALASKA, AND THE CALIFORNIA CURRENT LARGE MARINE ECOSYSTEM, IS ONE OF THE MOST PRODUCTIVE STRETCHES OF MARINE HABITAT IN THE WORLD. ITS NUTRIENT-RICH WATERS FOSTER UNIQUE AND ABUNDANT BIODIVERSITY, FROM DEEP-SEA CORALS AND GLASS SPONGES TO THE CRITICALLY ENDANGERED NORTHERN RIGHT WHALE. THE GULF OF ALASKA IS ALSO HOME TO SOME OF THE LARGEST AND MOST LUCRATIVE FISHERIES IN THE WORLD. IT IS A MAJOR SEAFOOD PRODUCER DUE TO ITS DIVERSE AND RICH HABITAT. THE KELP FORESTS, DEEP-SEA CORAL BEDS, UNDERWATER GULLIES, REEFS AND CANYONS ARE HOME TO KING CRAB, PACIFIC HALIBUT, SABLEFISH, PACIFIC COD, ALL FIVE SPECIES OF PACIFIC SALMON, POLLOCK, ROCKFISH, SHARKS, SCALLOPS AND OCTOPUS. AROUND 600 MILLION POUNDS OF FISH ARE REMOVED ANNUALLY BY THE FEDERAL GROUND FISH FISHERIES IN THE GULF OF ALASKA, A THIRD OF WHICH IS CAUGHT BY BOTTOM TRAWLING. ACCORDING TO THE NATIONAL ACADEMY OF SCIENCES, BOTTOM TRAWLING IS THE MOST DESTRUCTIVE FORM OF FISHING ON SENSITIVE SEAFLOOR HABITAT. IT IS THE UNDERWATER EQUIVALENT OF CLEARCUTTING TERRESTRIAL FORESTS VESSELS DRAG HEAVY NETS ACROSS THE SEAFLOOR, INDISCRIMINATELY CATCHING ANY WILDLIFE THAT GETS IN THE PATH OF THEIR NETS WHILE DESTROYING THE SURROUNDING HABITAT IN THE PROCESS. THE GULF OF ALASKA IS ALSO UNDERGOING MAJOR ECOLOGICAL CHANGE AS UNPRECEDENTED MARINE HEAT WAVES, LIKELY A RESULT OF CLIMATE CHANGE, HAVE CAUSED FISHERIES TO COLLAPSE AND MARINE MAMMALS AND SEABIRDS TO DIE BY THE THOUSANDS. IT IS IMPERATIVE THAT THOSE FISHERIES ARE MANAGED IN A WAY THAT PROTECTS HABITAT NECESSARY FOR MARINE LIFE TO GROW AND THRIVE. OCEANA PROPOSES TO FREEZE THE FOOTPRINT OF BOTTOM TRAWLING AND IDENTIFY SENSITIVE HABITAT AREAS THAT CAN BE CLOSED TO TRAWLING WHILE MINIMIZING ECONOMIC IMPACT, AND WE ARE ON TRACK TO HAVE OUR HABITAT PROTECTION PROPOSAL CONSIDERED BY THE NORTH PACIFIC FISHERY MANAGEMENT COUNCIL IN 2022. WE HAVE CONDUCTED THE SCIENTIFIC RESEARCH, MAPPING, AND ANALYSIS NECESSARY TO DETERMINE WHERE TO FREEZE THE FOOTPRINT OF BOTTOM TRAWLING IN THE GULF OF ALASKA AS WELL AS WHICH SPECIFIC SENSITIVE HABITAT AREAS WITHIN THE CURRENT TRAWL FOOTPRINT TO DESIGNATE FOR PROTECTION. WE NOW PLAN TO SHARE OUR PROPOSAL WITH STAKEHOLDERS TO GET THEIR FEEDBACK. OVER THE PAST YEAR WE HAVE MOVED THIS PROJECT FORWARD BY:- UPDATING THE TRAWL FOOTPRINT IN THE GULF OF ALASKA TO A MUCH FINER SCALE USING NEW DATA FROM GLOBAL FISHING WATCH AS WELL AS NEW DATASETS FROM THE STATE OF ALASKA. BASED ON THIS NEW DATA WE EXPANDED THE RECOMMENDED AREA TO BE PROTECTED. - TESTIFYING AND SUBMITTING COMMENT LETTERS TO THE NPFMC, AND MEETING WITH INFLUENTIAL STAKEHOLDERS AND COMMITTEE MEMBERS TO OUTLINE CONSIDERATIONS THAT WE BELIEVE SHOULD BE PART OF THE ESSENTIAL FISH HABITAT REVIEW PROCESS. - IDENTIFYING OTHER INTERESTED STAKEHOLDERS TO JOIN OUR EFFORTS, INCLUDING THE NEWLY FORMED ALASKA FISHING COMMUNITIES COALITION OF COMMUNITIES, ANGLERS, TRIBAL MEMBERS AND OTHERS WHO SUPPORT FREEZING THE TRAWL FOOTPRINT IN THE GULF OF ALASKA. - CONTINUING TO EXAMINE AND RESEARCH BROADER ARGUMENTS FOR PROTECTING GULF OF ALASKA SEAFLOOR, SUCH AS NEW SCIENCE TO JUSTIFY BOTTOM TRAWL CLOSURES IN ORDER TO MITIGATE CLIMATE CHANGE IMPACTS.

4c (Code:) (Expenses \$ **1,913,126** including grants of \$) (Revenue \$)
 MARKETING AND COMMUNICATIONS KEY ACHIEVEMENTS OF OCEANA'S MARKETING AND COMMUNICATION DEPARTMENT IN 2021 ARE HIGHLIGHTED BELOW: ONLINE COMMUNICATIONS - OCEANA GREW OUR GRASSROOTS BASE TO 5.6 MILLION ORGANIZATIONAL SUPPORTERS. OCEANA HAS MORE THAN 7.6 MILLION SUPPORTERS WORLDWIDE. - OCEANA'S SUPPORTERS ENGAGED WITH OCEANA CAMPAIGNS ONLINE OVER 16 MILLION TIMES.

(Code:) (Expenses \$ **1,425,688** including grants of \$ **27,514**) (Revenue \$)
 MARINE SCIENCE

(Code:) (Expenses \$ **850,894** including grants of \$) (Revenue \$)
 LAW

4d Other program services (Describe in Schedule O.)
 (Expenses \$ **2,276,582** including grants of \$ **27,514**) (Revenue \$)

4e **Total program service expenses** **27,854,339**

Part IV Checklist of Required Schedules

Table with 3 columns: Question ID, Question Text, and Yes/No response columns. Rows include questions 1 through 21, with sub-questions 11a-e and 12a-b. Each row has a corresponding 'Yes' and 'No' column for the answer.

Part IV Checklist of Required Schedules (continued)

Table with 3 columns: Question ID, Question Text, and Yes/No columns. Rows include questions 22 through 38 regarding organizational reporting, compensation, and tax-exempt status.

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V [X]

Table with 3 columns: Question ID, Question Text, and Yes/No columns. Rows include questions 1a, 1b, and 1c regarding Form 1096, Form W-2G, and gaming winnings.

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

<p>2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return</p>	<p>2a 175</p>			
<p>b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file. See instructions.</p>	<p>2b</p>	<p>Yes</p>		
<p>3a Did the organization have unrelated business gross income of \$1,000 or more during the year?</p>	<p>3a</p>		<p>No</p>	
<p>b If "Yes," has it filed a Form 990-T for this year? <i>If "No" to line 3b, provide an explanation in Schedule O</i></p>	<p>3b</p>			
<p>4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?</p>	<p>4a</p>	<p>Yes</p>		
<p>b If "Yes," enter the name of the foreign country: BE, BH, CI, SP, UK, DA, RP, BR, PE, MX, SZ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).</p>				
<p>5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?</p>	<p>5a</p>		<p>No</p>	
<p>b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?</p>	<p>5b</p>		<p>No</p>	
<p>c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?</p>	<p>5c</p>			
<p>6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?</p>	<p>6a</p>		<p>No</p>	
<p>b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?</p>	<p>6b</p>			
<p>7 Organizations that may receive deductible contributions under section 170(c).</p>				
<p>a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?</p>	<p>7a</p>	<p>Yes</p>		
<p>b If "Yes," did the organization notify the donor of the value of the goods or services provided?</p>	<p>7b</p>	<p>Yes</p>		
<p>c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?</p>	<p>7c</p>		<p>No</p>	
<p>d If "Yes," indicate the number of Forms 8282 filed during the year</p>	<p>7d</p>			
<p>e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?</p>	<p>7e</p>		<p>No</p>	
<p>f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?</p>	<p>7f</p>		<p>No</p>	
<p>g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?</p>	<p>7g</p>			
<p>h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?</p>	<p>7h</p>			
<p>8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?</p>	<p>8</p>			
<p>9 Sponsoring organizations maintaining donor advised funds.</p>				
<p>a Did the sponsoring organization make any taxable distributions under section 4966?</p>	<p>9a</p>			
<p>b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?</p>	<p>9b</p>			
<p>10 Section 501(c)(7) organizations. Enter:</p>				
<p>a Initiation fees and capital contributions included on Part VIII, line 12</p>	<p>10a</p>			
<p>b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities</p>	<p>10b</p>			
<p>11 Section 501(c)(12) organizations. Enter:</p>				
<p>a Gross income from members or shareholders</p>	<p>11a</p>			
<p>b Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.)</p>	<p>11b</p>			
<p>12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?</p>				
<p>b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.</p>	<p>12b</p>			
<p>13 Section 501(c)(29) qualified nonprofit health insurance issuers.</p>				
<p>a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.</p>	<p>13a</p>			
<p>b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans</p>	<p>13b</p>			
<p>c Enter the amount of reserves on hand</p>	<p>13c</p>			
<p>14a Did the organization receive any payments for indoor tanning services during the tax year?</p>	<p>14a</p>		<p>No</p>	
<p>b If "Yes," has it filed a Form 720 to report these payments? <i>If "No," provide an explanation in Schedule O</i></p>	<p>14b</p>			
<p>15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?</p>	<p>15</p>		<p>No</p>	
<p>16 If the organization is a trust, did it file Form 720, Schedule E, to report the section 4968 excise tax on net investment income?</p>	<p>16</p>		<p>No</p>	
<p>17 Section 501(c)(21) organizations. Did the trust, any disqualified person, or mine operator engage in any activities that would result in the imposition of an excise tax under section 4951, 4952, or 4953? If "Yes," complete Form 6069.</p>	<p>17</p>			

Part VI Governance, Management, and Disclosure. For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI.

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a Enter the number of voting members of the governing body at the end of the tax year... 1b Enter the number of voting members included in line 1a, above, who are independent... 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? 3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? 4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? 5 Did the organization become aware during the year of a significant diversion of the organization's assets? 6 Did the organization have members or stockholders? 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? 7b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? 8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: 8a The governing body? 8b Each committee with authority to act on behalf of the governing body? 9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a Did the organization have local chapters, branches, or affiliates? 10b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? 11b Describe on Schedule O the process, if any, used by the organization to review this Form 990. 12a Did the organization have a written conflict of interest policy? If "No," go to line 13. 12b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? 12c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe on Schedule O how this was done. 13 Did the organization have a written whistleblower policy? 14 Did the organization have a written document retention and destruction policy? 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? 15a The organization's CEO, Executive Director, or top management official. 15b Other officers or key employees of the organization. If "Yes" to line 15a or 15b, describe the process on Schedule O. See instructions. 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 16b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed: AK, AL, AR, AZ, CA, CO, CT, DE, FL, GA, HI, IA, ID, IL, IN, KS, KY, LA, MA, MD, ME, MI, MN, MO, MS, MT, NC, ND, NE, NH, NJ, NM, NV, NY, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VA, VT, WA, WI, WV, WY.
18 Section 6104 requires an organization to make its Form 1023 (1024 or 1024-A, if applicable), 990, and 990-T (section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. [X] Own website [] Another's website [X] Upon request [X] Other (explain in Schedule O)
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records: JAMES F SIMON 1025 CONNECTICUT AVENUE NW 200 WASHINGTON, DC 20036 (202) 833-3900

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See the instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (box 5 of Form W-2, Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) ANDREW F SHARPLESS CHIEF EXECUTIVE OFFICER	40.00			X				453,834	0	44,650
(2) JAMES F SIMON PRESIDENT & GENERAL COUNSEL	40.00			X				358,913	0	47,985
(3) CHRISTOPHER M SHARKEY CHIEF FINANCIAL OFFICER	40.00			X				271,994	0	43,669
(4) JACQUELINE SAVITZ CHIEF POLICY OFFICER, NORTH AMERICA	40.00				X			257,352	0	42,168
(5) NANCY GOLDEN VICE PRESIDENT, GLOBAL DEVELOPMENT	40.00				X			255,224	0	32,864
(6) MATTHEW LITTLEJOHN SR. VP, STRATEGIC INITIATIVES	40.00					X		236,464	0	39,793
(7) KATHY WHELPLEY CHIEF OF STAFF	40.00					X		231,467	0	38,772
(8) PASCALE MOEHRLE EXECUTIVE DIRECTOR, EUROPE	40.00					X		223,158	0	0
(9) SUSAN MURRAY DEPUTY VICE PRESIDENT, PACIFIC	40.00					X		181,286	0	29,419
(10) KATHRYN MATTHEWS CHIEF SCIENTIST	40.00					X		180,407	0	24,200
(11) ERIC BILSKY SR. ATTORNEY & ASSISTANT GENERAL COUNSEL	40.00					X		180,247	0	22,501
(12) SAM WATERSTON CHAIR	8.00	X						0	0	0
(13) MARA EUGENIA GIRON VICE-CHAIR	3.00	X						0	0	0
(14) KEITH ADDIS PRESIDENT	6.00	X						0	0	0
(15) JAMES SANDLER SECRETARY	3.00	X						0	0	0
(16) DIANA THOMSON TREASURER	3.00	X						0	0	0
(17) GARY ALAZRAKI DIRECTOR	2.00	X						0	0	0

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(18) HERBERT M BEDOLFE III DIRECTOR	3.00	X					0	0	0	
(19) TED DANSON DIRECTOR	5.00	X					0	0	0	
(20) NICHOLAS DAVIS DIRECTOR	2.00	X					0	0	0	
(21) SYNDEY DAVIS DIRECTOR UNTIL 4/14/21	2.00	X					0	0	0	
(22) MAYA GABEIRA DIRECTOR	3.00	X					0	0	0	
(23) CESAR GAVIRIA DIRECTOR	2.00	X					0	0	0	
(24) LOIC GOUZER DIRECTOR	3.00	X					0	0	0	
(25) JENA KING DIRECTOR	2.00	X					0	0	0	
(26) BEN KOERNER DIRECTOR	2.00	X					0	0	0	
(27) SARA LOWELL DIRECTOR	2.00	X					0	0	0	
(28) STEPHEN P MCALLISTER DIRECTOR	2.00	X					0	0	0	
(29) DR KRISTIAN PARKER DIRECTOR	3.00	X					0	0	0	
(30) DR DANIEL PAULY DIRECTOR	2.00	X					0	0	0	
(31) DAVID ROCKEFELLER JR DIRECTOR	2.00	X					0	0	0	
(32) SUSAN ROCKEFELLER DIRECTOR	6.00	X					0	0	0	
(33) SIMON SIDAMON-ERISTOFF DIRECTOR	2.00	X					0	0	0	
(34) DR RASHID SUMALIA DIRECTOR	2.00	X					0	0	0	
(35) VALARIE VAN CLEAVE DIRECTOR	4.00	X					0	0	0	
(36) ELIZABETH WAHLER DIRECTOR	4.00	X					0	0	0	
(37) ANTHA WILLIAMS DIRECTOR	2.00	X					0	0	0	
(38) JEAN WEISS DIRECTOR	2.00	X					0	0	0	
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)							2,830,346	0	366,021	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶ 37**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
M&R STRATEGIC SERVICES INC 1901 L STREET NW SUITE 800 WASHINGTON, DC 20036	DIGITAL ADVERTISING / FUNDRAISING CONSUL	290,151
SAVILLS AGUIRRE NEWMAN ARQUITECTURA SA AVENIDA DIAGONAL 615 BARCELONA 08028 SP	INDUSTRIAL SERVICES	200,104
COLORNET PRINTING AND GRAPHICS INC 22570 GLENN DRIVE STERLING, VA 20164	PRINT MATERIALS FOR OCEANA STAFF AND SUP	151,806
SIGNATURE PARTY RENTALS 3100 S SUSAN STREET SANTA ANA, CA 92704	PRODUCTION COSTS FOR SEACHANGE FUNDRAISI	132,171
INFOTOUCH SYSTEM INC 114 AMORSOLO STREET 2/F DON PABLO B MAKATI, METRO MANILA 1229 RP	IT SUPPORT	118,684

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **▶ 5**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants, and Other Amt Similar Amounts				
1a Federated campaigns			218,695	
b Membership dues				
c Fundraising events			2,984,487	
d Related organizations				
e Government grants (contributions)			5,314,605	
f All other contributions, gifts, grants, and similar amounts not included above			27,140,739	
g Noncash contributions included in lines 1a - 1f:\$			303,653	
h Total. Add lines 1a-1f				35,658,526

Program Service Revenue		Business Code			
2a					
b					
c					
d					
e					
f All other program service revenue.					
g Total. Add lines 2a-2f.					

3 Investment income (including dividends, interest, and other similar amounts)			14,466			14,466
4 Income from investment of tax-exempt bond proceeds						
5 Royalties			243			243
6a Gross rents	(i) Real	(ii) Personal				
b Less: rental expenses						
c Rental income or (loss)						
d Net rental income or (loss)						
7a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other	192,424			
b Less: cost or other basis and sales expenses			194,740			
c Gain or (loss)			-2,316			
d Net gain or (loss)			-2,316			-2,316
8a Gross income from fundraising events (not including \$ 2,984,487 of contributions reported on line 1c). See Part IV, line 18			260,723			
b Less: direct expenses			292,517			
c Net income or (loss) from fundraising events			-31,794			-31,794
9a Gross income from gaming activities. See Part IV, line 19						
b Less: direct expenses						
c Net income or (loss) from gaming activities						
10a Gross sales of inventory, less returns and allowances						
b Less: cost of goods sold						
c Net income or (loss) from sales of inventory						
Miscellaneous Revenue	Business Code					
11a MISCELLANEOUS	900099		180,737			180,737
b FOREIGN CURRENCY LOSS	900099		-159,448			-159,448
c						
d All other revenue						
e Total. Add lines 11a-11d			21,289			
12 Total revenue. See instructions			35,660,414	0	0	1,888

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	658,863	658,863		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.	1,201,713	1,201,713		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	2,040,811	1,302,264	596,972	141,575
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	16,282,524	13,444,421	2,092,400	745,703
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,180,363	894,347	204,990	81,026
9 Other employee benefits	1,649,853	1,223,729	318,458	107,666
10 Payroll taxes	1,631,240	1,362,987	202,096	66,157
11 Fees for services (non-employees):				
a Management				
b Legal	442,307	383,826	41,001	17,480
c Accounting	212,273	184,207	19,677	8,389
d Lobbying	159,613	159,613		
e Professional fundraising services. See Part IV, line 17	257,660			257,660
f Investment management fees				
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	2,917,637	2,513,381	282,521	121,735
12 Advertising and promotion	1,042,919	867,704	18,051	157,164
13 Office expenses	1,278,378	793,904	184,059	300,415
14 Information technology	3,010		3,010	
15 Royalties				
16 Occupancy	1,972,946	1,506,933	362,996	103,017
17 Travel	330,107	265,058	29,930	35,119
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	382,087	161,038	21,654	199,395
20 Interest	5,204		5,204	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	623,030	203,978	419,023	29
23 Insurance	385,738	295,643	68,460	21,635
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a DUES AND SUBSCRIPTIONS	588,269	389,601	168,002	30,666
b EQUIPMENT RENTAL/MAINT.	70,005	36,538	11,808	21,659
c MISCELLANEOUS	8,007	4,591	3,068	348
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	35,324,557	27,854,339	5,053,380	2,416,838
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX

		(A)		(B)
		Beginning of year		End of year
Assets	1 Cash-non-interest-bearing	32,746,083	1	36,188,962
	2 Savings and temporary cash investments	3,959,715	2	5,251,694
	3 Pledges and grants receivable, net	23,351,160	3	14,278,054
	4 Accounts receivable, net	583,300	4	2,877,285
	5 Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use	1,885	8	
	9 Prepaid expenses and deferred charges	412,925	9	609,387
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 7,870,153		
	b Less: accumulated depreciation	10b 4,725,597	3,141,962	10c 3,144,556
	11 Investments—publicly traded securities	109,398	11	96,204
	12 Investments—other securities. See Part IV, line 11	1,777,080	12	1,582,548
	13 Investments—program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	500,987	15	238,550
16 Total assets: Add lines 1 through 15 (must equal line 33)	66,584,495	16	64,267,240	
Liabilities	17 Accounts payable and accrued expenses	2,586,359	17	2,636,660
	18 Grants payable	500	18	
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties	2,731,729	24	0
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D	3,906,316	25	3,797,918
	26 Total liabilities. Add lines 17 through 25	9,224,904	26	6,434,578
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.			
	27 Net assets without donor restrictions	19,179,627	27	26,698,344
	28 Net assets with donor restrictions	38,179,964	28	31,134,318
	Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.			
	29 Capital stock or trust principal, or current funds		29	
	30 Paid-in or capital surplus, or land, building or equipment fund		30	
	31 Retained earnings, endowment, accumulated income, or other funds		31	
	32 Total net assets or fund balances	57,359,591	32	57,832,662
	33 Total liabilities and net assets/fund balances	66,584,495	33	64,267,240

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	35,660,414
2	Total expenses (must equal Part IX, column (A), line 25)	2	35,324,557
3	Revenue less expenses. Subtract line 2 from line 1	3	335,857
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	57,359,591
5	Net unrealized gains (losses) on investments	5	137,214
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (A))	10	57,832,662

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

Additional Data

Return to Form

Software ID:

Software Version:

Form 990, Special Condition Description:

Special Condition Description

SCHEDULE A
(Form 990)

Public Charity Status and Public Support

OMB No. 1545-0047

2021

Open to Public Inspection

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury
Internal Revenue Service

Name of the organization
OCEANA INC

Employer identification number
51-0401308

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990).)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
 - f Enter the number of supported organizations _____
 - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Table with 7 columns: (a) 2017, (b) 2018, (c) 2019, (d) 2020, (e) 2021, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Tax revenues levied for the organization's benefit; 3 Value of services or facilities furnished; 4 Total; 5 Portion of total contributions exceeding 2%; 6 Public support.

Section B. Total Support

Table with 7 columns: (a) 2017, (b) 2018, (c) 2019, (d) 2020, (e) 2021, (f) Total. Rows include: 7 Amounts from line 4; 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources; 9 Net income from unrelated business activities; 10 Other income; 11 Total support.

12 Gross receipts from related activities, etc. (see instructions) 12 803,507
13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

Table with 2 columns: Line number, Percentage. Row 14: Public support percentage for 2021 (line 6, column (f) divided by line 11, column (f)) 66.180%
Row 15: Public support percentage for 2020 Schedule A, Part II, line 14 66.300%

16a 33 1/3% support test—2021. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization
b 33 1/3% support test—2020. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization
17a 10%-facts-and-circumstances test—2021. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization
b 10%-facts-and-circumstances test—2020. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
9 Amounts from line 6.						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included on line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2021 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2020 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2021 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2020 Schedule A, Part III, line 17	18	

19a 33 1/3% support tests—2021. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

b 33 1/3% support tests—2020. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, of Part I, complete Sections A and B. If you checked box 12b, of Part I, complete Sections A and C. If you checked box 12c, of Part I, complete Sections A, D, and E. If you checked box 12d, of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990) .		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7? If "Yes," complete Part I of Schedule L (Form 990).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
b Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
c Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).		

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described on lines 11b and 11c below, the governing body of a supported organization?		
11a		
b A family member of a person described on 11a above?		
11b		
c A 35% controlled entity of a person described on line 11a or 11b above? <i>If "Yes" to 11a, 11b, or 11c, provide detail in Part VI</i>		
11c		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the officers, directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
1		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		
2		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		
1		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
1		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
2		
3 By reason of the relationship described in line 2 above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		
3		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (**see instructions**):

- a** The organization satisfied the Activities Test. Complete **line 2** below.
- b** The organization is the parent of each of its supported organizations. Complete **line 3** below.
- c** The organization supported a governmental entity. Describe in **Part VI** how you supported a government entity (see instructions)

2 Activities Test. **Answer lines 2a and 2b below.**

	Yes	No
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
2a		
b Did the activities described on line 2a, above constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
2b		

3 Parent of Supported Organizations. **Answer lines 3a and 3b below.**

a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>If "Yes" or "No", provide details in Part VI.</i>		
3a		
b Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI. the role played by the organization in this regard.</i>		
3b		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (*explain in Part VI*). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

(A) Prior Year

(B) Current Year
(optional)

- | | | | |
|---|----------|--|--|
| 1 Net short-term capital gain | 1 | | |
| 2 Recoveries of prior-year distributions | 2 | | |
| 3 Other gross income (see instructions) | 3 | | |
| 4 Add lines 1 through 3 | 4 | | |
| 5 Depreciation and depletion | 5 | | |
| 6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6 | | |
| 7 Other expenses (see instructions) | 7 | | |
| 8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4) | 8 | | |

Section B - Minimum Asset Amount

(A) Prior Year

(B) Current Year
(optional)

- | | | | |
|--|-----------|--|--|
| 1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): | 1 | | |
| a Average monthly value of securities | 1a | | |
| b Average monthly cash balances | 1b | | |
| c Fair market value of other non-exempt-use assets | 1c | | |
| d Total (add lines 1a, 1b, and 1c) | 1d | | |
| e Discount claimed for blockage or other factors (<i>explain in detail in Part VI</i>): | | | |
| 2 Acquisition indebtedness applicable to non-exempt use assets | 2 | | |
| 3 Subtract line 2 from line 1d | 3 | | |
| 4 Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions). | 4 | | |
| 5 Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | | |
| 6 Multiply line 5 by 0.035 | 6 | | |
| 7 Recoveries of prior-year distributions | 7 | | |
| 8 Minimum Asset Amount (add line 7 to line 6) | 8 | | |

Section C - Distributable Amount

Current Year

- | | | |
|--|----------|--|
| 1 Adjusted net income for prior year (from Section A, line 8, Column A) | 1 | |
| 2 Enter 85% of line 1 | 2 | |
| 3 Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | |
| 4 Enter greater of line 2 or line 3 | 4 | |
| 5 Income tax imposed in prior year | 5 | |
| 6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions) | 6 | |

- 7** Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

(continued)

Section D - Distributions		Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	1	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	3	
4 Amounts paid to acquire exempt-use assets	4	
5 Qualified set-aside amounts (prior IRS approval required - provide details in Part VI)	5	
6 Other distributions (describe in Part VI). See instructions	6	
7 Total annual distributions. Add lines 1 through 6.	7	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	8	
9 Distributable amount for 2021 from Section C, line 6	9	
10 Line 8 amount divided by Line 9 amount	10	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2021	(iii) Distributable Amount for 2021
1 Distributable amount for 2021 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2021 (reasonable cause required-- explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2021:			
a From 2016.			
b From 2017.			
c From 2018.			
d From 2019.			
e From 2020.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2021 distributable amount			
i Carryover from 2016 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
4 Distributions for 2021 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2021 distributable amount			
c Remainder. Subtract lines 4a and 4b from line 4.			
5 Remaining underdistributions for years prior to 2021, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI . See instructions.			
6 Remaining underdistributions for 2021. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI . See instructions.			
7 Excess distributions carryover to 2022. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2017.			
b Excess from 2018.			
c Excess from 2019.			
d Excess from 2020.			
e Excess from 2021.			

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation
SCHEDULE A, PART II, LINE 10, EXPLANATION OF OTHER INCOME:	MISCELLANEOUS - 2018 AMOUNT: \$ 5,154. 2020 AMOUNT: \$ 13,334. 2021 AMOUNT: \$ 180,737.

Additional Data

Return to Form

Software ID:

Software Version:

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization OCEANA INC	Employer identification number 51-0401308
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- Provide a description of the organization's direct and indirect political campaign activities in Part IV. See instructions for definition of "political campaign activities."
- Political campaign activity expenditures. See instructions ▶ \$ _____
- Volunteer hours for political campaign activities. See instructions

Part I-B Complete if the organization is exempt under section 501(c)(3).

- Enter the amount of any excise tax incurred by the organization under section 4955 \$ _____
- Enter the amount of any excise tax incurred by organization managers under section 4955 \$ _____
- If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
- a Was a correction made? Yes No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- Enter the amount directly expended by the filing organization for section 527 exempt function activities \$ _____
- Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____
- Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b..... \$ _____
- Did the filing organization file **Form 1120-POL** for this year? Yes No
- Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1				
2				
3				
4				
5				
6				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)	192,464	
b Total lobbying expenditures to influence a legislative body (direct lobbying)	295,146	
c Total lobbying expenditures (add lines 1a and 1b)	487,610	
d Other exempt purpose expenditures	34,495,577	
e Total exempt purpose expenditures (add lines 1c and 1d)	34,983,187	
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.	1,000,000	
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	
Not over \$500,000	20% of the amount on line 1e.	
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	
Over \$17,000,000	\$1,000,000.	
g Grassroots nontaxable amount (enter 25% of line 1f)	250,000	
h Subtract line 1g from line 1a. If zero or less, enter -0-	0	
i Subtract line 1f from line 1c. If zero or less, enter -0-	0	
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2018	(b) 2019	(c) 2020	(d) 2021	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	393,066	637,649	433,684	487,610	1,952,009
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	181,155	189,454	131,276	192,464	694,349

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures. See Instructions	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation

Additional Data

Return to Form

Software ID:

Software Version:

Supplemental Financial Statements

2021

Open to Public Inspection

Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury Internal Revenue Service

Name of the organization OCEANA INC

Employer identification number

51-0401308

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 2 columns: (a) Donor advised funds, (b) Funds and other accounts. Rows 1-4: Total number at end of year, Aggregate value of contributions to (during year), Aggregate value of grants from (during year), Aggregate value at end of year.

- 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

- 1 Purpose(s) of conservation easements held by the organization (check all that apply).
2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

Table with 2 columns: Held at the End of the Year. Rows 2a, 2b, 2c, 2d.

- 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year
4 Number of states where property subject to conservation easement is located
5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?
6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year
8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?
9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.
1b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a** Public exhibition
 - b** Scholarly research
 - c** Preservation for future generations
 - d** Loan or exchange programs
 - e** Other
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . . **Yes** **No**

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? **Yes** **No**
- b** If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|--|-----------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? **Yes** **No**
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a** Board designated or quasi-endowment ▶
 - b** Permanent endowment ▶
 - c** Term endowment ▶
- The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i)** Unrelated organizations
- (ii)** Related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

- b** If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?
- 4** Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		3,050,072	1,014,326	2,035,746
d Equipment		3,130,635	2,032,423	1,098,212
e Other		1,689,446	1,678,848	10,598
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) . . . ▶				3,144,556

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.)		

Part VIII Investments - Program Related.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col.(B) line 13.)		

Part IX Other Assets.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 15.)	

Part X Other Liabilities.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 25.)	3,797,918

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	39,056,094
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a	137,214	
b	Donated services and use of facilities	2b	2,997,122	
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d	292,517	
e	Add lines 2a through 2d			2e 3,426,853
3	Subtract line 2e from line 1			3 35,629,241
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b	31,173	
c	Add lines 4a and 4b			4c 31,173
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)			5 35,660,414

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	38,583,023
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a	2,997,122	
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d	292,517	
e	Add lines 2a through 2d			2e 3,289,639
3	Subtract line 2e from line 1			3 35,293,384
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b	31,173	
c	Add lines 4a and 4b			4c 31,173
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)			5 35,324,557

Part XIII

Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
PART X, LINE 2:	THE ORGANIZATION PERFORMED AN EVALUATION OF UNCERTAINTY IN INCOME TAXES FOR THE YEAR ENDED DECEMBER 31, 2021, AND DETERMINED THAT THERE WERE NO MATTERS THAT WOULD REQUIRE RECOGNITION IN THE CONSOLIDATED FINANCIAL STATEMENTS OR THAT MAY HAVE ANY EFFECT ON ITS TAX-EXEMPT STATUS.
PART XI, LINE 2D - OTHER ADJUSTMENTS:	SPECIAL EVENTS EXPENSE 292,517.
PART XI, LINE 4B - OTHER ADJUSTMENTS:	OTHER 31,173.
PART XII, LINE 2D - OTHER ADJUSTMENTS:	SPECIAL EVENTS EXPENSE 292,517.
PART XII, LINE 4B - OTHER ADJUSTMENTS:	OTHER 31,173.

Additional Data

[Return to Form](#)

Software ID:
Software Version:

2021

Open to Public Inspection

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
OCEANA INC

Employer identification number

51-0401308

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants or other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
(1) CENTRAL AMERICA AND THE CARIBBEAN - ANTIGUA & BARBUDA, ARUBA, BAHAMAS,	1	11	FUNDRAISING		15,860
(2) CENTRAL AMERICA AND THE CARIBBEAN - ANTIGUA & BARBUDA, ARUBA, BAHAMAS,	0	0	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	1,029,401
(3) NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	1	10	FUNDRAISING		4,485
(4) NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	0	0	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	1,533,405
(5) SOUTH AMERICA - ARGENTINA, BOLIVIA, BRAZIL, CHILE, COLUMBIA, ECUADOR,	3	40	FUNDRAISING		12,726
(6) SOUTH AMERICA - ARGENTINA, BOLIVIA, BRAZIL, CHILE, COLUMBIA, ECUADOR,	0	0	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	2,857,723
(7) EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIUM	2	33	FUNDRAISING		314,958
(8) EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIUM	0	0	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	3,934,304
(9) SOUTH ASIA - AFGHANISTAN, BANGLADESH, BHUTAN, INDIA, MALDIVES, NEPAL,	1	24	PROGRAM SERVICES	MARINE SCIENCE, POLICY, AND COMMUNICATIONS	1,424,808
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
3a Sub-total	7	94			9,702,862
b Total from continuation sheets to Part I	1	24			1,424,808
c Totals (add lines 3a and 3b)	8	118			11,127,670

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)		NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	EXPLORE HOW FISHERIES SUBSIDIES MAY BE FUELING THE DEPLETION OF GLOBAL COMMON-POOL MARINE RESOURCES BY SERVING AS A CATALYST TO DISTANT WATER FISHING (DWF) AND ILLEGAL, UNREPORTED, AND UNREGULATED (IUU) FISHING ACTIVITIES.	12,375	WIRE TRANSFER	0		
(2)		CENTRAL AMERICA AND THE CARIBBEAN - ANTIGUA & BARBUDA, ARUBA, BAHAMAS,	MANAGE THE GILLNET TRANSITION FUND FOR LOCAL FISHERMEN IN BELIZE.	231,831	WIRE TRANSFER	0		
(3)		EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIUM	HELP DEVELOP A REPORT TO IDENTIFY ALTERNATIVE FISHING GEARS TO BOTTOM-TRAWLING IN THE CONTEXT OF A POSSIBLE BAN OF SENSITIVE AREAS, INCLUDING MARINE PROTECTED AREAS.	7,082	WIRE TRANSFER	0		
(4)		EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIUM	COVER FUNDRAISER'S SALARY EXPENSES WHOSE WORK WILL COVER DEVELOPING GOALS AND A PLAN FOR RAISING DONATIONS.	50,000	WIRE TRANSFER	0		
(5)		NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	TO SUPPORT OCEANA CANADA'S MISSION TO RESTORE CANADIAN OCEANS.	890,425	WIRE TRANSFER	0		
(6)		SOUTH AMERICA - ARGENTINA, BOLIVIA, BRAZIL, CHILE, COLUMBIA, ECUADOR,	SUPPORT AN ENVIRONMENTAL TRUST FUND THAT WILL PROMOTE THE PROTECTION AND CONSERVATION OF NATURE AND THE ENVIRONMENT IN THE CHILEAN NATIONAL TERRITORY, INCLUDING THE FIGHT AGAINST CLIMATE CHANGE AND DESERTIFICATION, BY FINANCING CONSERVATION PROGRAMS FOCUSED ON MARINE PROTECTED AREAS THROUGHOUT CHILE.	10,000	WIRE TRANSFER	0		
(7)								
(8)								
(9)								
(10)								
(11)								
(12)								
(13)								
(14)								
(15)								
(16)								

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter 5

3 Enter total number of other organizations or entities 1

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* Yes No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* Yes No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* Yes No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . Yes No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* Yes No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).* Yes No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

ReturnReference	Explanation
PART I, LINE 2:	OCEANA MAKES GRANTS TO FOREIGN ORGANIZATIONS OR INDIVIDUALS. HOWEVER, ON OCCASION OCEANA WILL MAKE A GRANT IN FURTHERANCE OF ITS MISSION. IN DOING SO, OCEANA MONITORS THE USE OF THE GRANT IN A MANNER APPROPRIATE UNDER THE CIRCUMSTANCES, INCLUDING THE NATURE OF THE GRANTEE AND THE PURPOSE OF THE GRANT.
PART I, LINE 3:	OCEANA REPORTED THE EXPENDITURES BASED ON THE ACCOUNTING METHOD USED IN ITS AUDITED FINANCIAL STATEMENTS WHICH IS ON AN ACCRUAL BASIS.
PART III ACCOUNTING METHOD:	

Additional Data

Software ID:

Software Version:

Supplemental Information Regarding Fundraising or Gaming Activities
 Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
 Attach to Form 990 or Form 990-EZ.
 Go to www.irs.gov/Form990 for instructions and the latest information.

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a** Mail solicitations
 - b** Internet and email solicitations
 - c** Phone solicitations
 - d** In-person solicitations
 - e** Solicitation of non-government grants
 - f** Solicitation of government grants
 - g** Special fundraising events
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No
- b** If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1 M&R STRATEGIC SERVICES INC 1101 CONNECTICUT AVENUE NW 7TH FL WASHINGTON, DC 20036	FUNDRAISING COUNSEL		No	209,944	245,120	-35,176
2 CHARITY BUZZ 437 FIFTH AVENUE 11TH FLOOR NEW YORK, NY 10016	PROFESSIONAL SOLICITOR	Yes		57,001	12,540	44,461
3						
4						
5						
6						
7						
8						
9						
10						
Total				266,945	257,660	9,285

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

A L, A K, A Z, A R, C A, C O, C T, D E, F L, G A, H I, I D, I L, I N, I A, K S, K Y, L A, M E, M D, M A, M I, M N, M S, M O, M T, N E, N V, N H, N J, N M, N Y, N C, N D, O H, O K, O R, P A, R I, S C, S D, T N, T X, U T, V T, V A, W A, W V, W I, W Y, D C

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a)Event #1	(b) Event #2	(c)Other events	(d) Total events
		SEACHANGE (event type)	NEW YORK CITY BENEFIT (event type)	(total number)	(add col. (a) through col. (c))
Revenue	1 Gross receipts	1,766,192	1,479,018		3,245,210
	2 Less: Contributions	1,608,045	1,376,442		2,984,487
	3 Gross income (line 1 minus line 2)	158,147	102,576		260,723
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages	86,602	49,463		136,065
	8 Entertainment	15,000	9,000		24,000
	9 Other direct expenses	92,915	39,537		132,452
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				292,517
11 Net income summary. Subtract line 10 from line 3, column (d) ▶				-31,794	

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col.(a) through col.(c))
		1 Gross revenue			
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No		
7 Direct expense summary. Add lines 2 through 5 in column (d) ▶					
8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶					

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? Yes No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No

b If "Yes," explain: _____

11 Does the organization conduct gaming activities with nonmembers? Yes No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No

13 Indicate the percentage of gaming activity conducted in:

a	The organization's facility	13a	%
b	An outside facility	13b	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ -----

Address ▶ -----

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____.

c If "Yes," enter name and address of the third party:

Name ▶ -----

Address ▶ -----

16 Gaming manager information:

Name ▶ -----

Gaming manager compensation ▶ \$ -----

Description of services provided ▶ -----

Director/officer Employee Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See

Instructions. Return Reference	Explanation
PART I, LINE 2B, COLUMN III	CHARITY BUZZ COLLECTED AND PROCESSED THE WINNING AUCTION BID PAYMENTS FOR OCEANA'S FUNDRAISING EVENT, SEACHANGE.
PART I, LINE 2B, COLUMN (V)	DURING THE YEAR ENDED DECEMBER 31, 2021, M&R STRATEGIC SERVICES, INC. WAS COMPENSATED A TOTAL OF \$290,151. OF THIS AMOUNT, \$257,660 WAS RELATED TO PROFESSIONAL FUNDRAISING CONSULTING. M&R STRATEGIC SERVICES, INC. ALSO PROVIDED DIGITAL ADVERTISING AND AD BUYING.

**Schedule I
(Form 990)**

Department of the
Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments and Individuals in the United States**
Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2021

**Open to Public
Inspection**

Name of the organization
OCEANA INC

Employer identification number
51-0401308

Part I General Information on Grants and Assistance

- 1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- 2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) SURF INDUSTRY MANUFACTURERS ASSOCIATION (SIMA) 27068 LA PAZ ROAD 570 ALISO VIEJO, CA 92656	33-0385201	501(C)(6)	30,000	0			2021 OCEANA ANNUAL DONATION TO ASSIST ORGANIZATION WHOSE EFFORTS ARE FOCUSED ON ENHANCING THE OCEANIC ENVIRONMENT.
(2) AMERICAN UNIVERSITY 4400 MASSACHUSETTS AVE NW WASHINGTON, DC 20005	53-0196549	501(C)(3)	15,139	0			SUPPORT DEVELOPMENT AND REFINEMENT OF DR. JESSICA GEPHART'S SEAFOOD TRADE DATABASE.
(3) WORLD WILDLIFE FUND INC 1250 24TH STREET NW WASHINGTON, DC 20037	52-1693387	501(C)(3)	205,000	0			SUPPORT THE PROJECT JOINT CAMPAIGN ON ILLEGAL, UNREPORTED AND UNREGULATED (IUU) FISHING, TRANSPARENCY AND HUMAN RIGHTS. A THREE-YEAR EFFORT TO STRENGTHEN U.S. LEADERSHIP IN ADDRESSING IUU FISHING, IMPROVING THE TRANSPARENCY OF FISHING, ADDRESSING LABOR AND HUMAN RIGHTS ABUSES AND EXPANDING SEAFOOD TRACEABILITY.
(4) CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES INC 1616 RHODE ISLAND AVENUE NW WASHINGTON, DC 20036	52-1501082	501(C)(3)	230,000	0			SUPPORT THE PROJECT JOINT CAMPAIGN ON ILLEGAL, UNREPORTED AND UNREGULATED (IUU) FISHING, TRANSPARENCY AND HUMAN RIGHTS. A THREE-YEAR EFFORT TO STRENGTHEN U.S. LEADERSHIP IN ADDRESSING IUU FISHING, IMPROVING THE TRANSPARENCY OF FISHING, ADDRESSING LABOR AND HUMAN RIGHTS ABUSES AND EXPANDING SEAFOOD TRACEABILITY.
(5) TIDES CENTER (ICAR) 1014 TORNEY AVENUE SAN FRANCISCO, CA 94129	94-3213100	501(C)(3)	165,000	0			SUPPORT THE PROJECT JOINT CAMPAIGN ON ILLEGAL,

UNREPORTED AND UNREGULATED (IUU) FISHING, TRANSPARENCY AND HUMAN RIGHTS. A THREE-YEAR EFFORT TO STRENGTHEN U.S. LEADERSHIP IN ADDRESSING IUU FISHING, IMPROVING THE TRANSPARENCY OF FISHING, ADDRESSING LABOR AND HUMAN RIGHTS ABUSES AND EXPANDING SEAFOOD TRACEABILITY.

2	Enter total number of section 501(c)(3) and government organizations listed in the line 1 table	4
3	Enter total number of other organizations listed in the line 1 table	1

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
PART I, LINE 2:	ON OCCASION OCEANA WILL MAKE A GRANT IN FURTHERANCE OF ITS MISSION. IN DOING SO, OCEANA MONITORS THE USE OF THE GRANT IN A MANNER APPROPRIATE UNDER THE CIRCUMSTANCES, INCLUDING THE NATURE OF THE GRANTEE AND THE PURPOSE OF THE GRANT.

Additional Data

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Schedule J
(Form 990)

Compensation Information

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**
- ▶ **Attach to Form 990.**
- ▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

2021

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
OCEANA INC

Employer identification number

51-0401308

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|---|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax idemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
 - b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
 - c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
 - b** Any related organization?
- If "Yes," on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
 - b** Any related organization?
- If "Yes," on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1b		
2		
4a		No
4b		No
4c		No
5a		No
5b		No
6a		No
6b		No
7		No
8		No
9		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2, 1099-MISC compensation, and/or 1099-NEC			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 ANDREW F SHARPLESS CHIEF EXECUTIVE OFFICER	(i)	453,834	0	0	34,800	9,850	498,484	0
	(ii)	0	0	0	0	0	0	0
2 JAMES F SIMON PRESIDENT & GENERAL COUNSEL	(i)	358,913	0	0	34,800	13,185	406,898	0
	(ii)	0	0	0	0	0	0	0
3 CHRISTOPHER M SHARKEY CHIEF FINANCIAL OFFICER	(i)	271,994	0	0	33,390	10,279	315,663	0
	(ii)	0	0	0	0	0	0	0
4 JACQUELINE SAVITZ CHIEF POLICY OFFICER, NORTH AMERICA	(i)	257,352	0	0	31,572	10,596	299,520	0
	(ii)	0	0	0	0	0	0	0
5 NANCY GOLDEN VICE PRESIDENT, GLOBAL DEVELOPMENT	(i)	254,224	1,000	0	30,612	2,252	288,088	0
	(ii)	0	0	0	0	0	0	0
6 MATTHEW LITTLEJOHN SR. VP, STRATEGIC INITIATIVES	(i)	236,464	0	0	29,144	10,649	276,257	0
	(ii)	0	0	0	0	0	0	0
7 KATHY WHELPLEY CHIEF OF STAFF	(i)	230,467	1,000	0	28,410	10,362	270,239	0
	(ii)	0	0	0	0	0	0	0
8 PASCALE MOEHRLE EXECUTIVE DIRECTOR, EUROPE	(i)	223,158	0	0	0	0	223,158	0
	(ii)	0	0	0	0	0	0	0
9 SUSAN MURRAY DEPUTY VICE PRESIDENT, PACIFIC	(i)	181,286	0	0	22,410	7,009	210,705	0
	(ii)	0	0	0	0	0	0	0
10 KATHRYN MATTHEWS CHIEF SCIENTIST	(i)	179,407	1,000	0	21,948	2,252	204,607	0
	(ii)	0	0	0	0	0	0	0
11 ERIC BILSKY SR. ATTORNEY & ASSISTANT GENERAL COU	(i)	180,247	0	0	21,557	944	202,748	0
	(ii)	0	0	0	0	0	0	0

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
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Additional Data

Return to Form

Software ID:

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Schedule L
(Form 990)

Transactions with Interested Persons

OMB No. 1545-0047

2021

Open to Public Inspection

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**
▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

Department of the Treasury
Internal Revenue Service

Name of the organization
OCEANA INC

Employer identification number

51-0401308

Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and section 501(c)(29) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958. _____
3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization. ▶ \$. ▶ _____

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No

Total ▶ \$ _____

Part III Grants or Assistance Benefiting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) SARAH BEDOLFE	SARAH IS THE DAUGHTER OF BOARD DIRECTOR, HERBERT M. BEDOLFE, III	67,070	SARAH L. BEDOLFE IS AN EMPLOYEE OF OCEANA AND HER TOTAL COMPENSATION PAID FOR THE YEAR ENDED DECEMBER 31, 2021 WAS \$67,070.		No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

Return Reference

Explanation

Additional Data

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Software ID:
Software Version:

Noncash Contributions

2021

Open to Public Inspection

- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
- ▶ **Attach to Form 990.**
- ▶ **Go to www.irs.gov/Form990 for the latest information.**

Department of the Treasury
Internal Revenue Service

Name of the organization
OCEANA INC

Employer identification number

51-0401308

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications	X		20,125	RETAIL VALUE
5 Clothing and household goods	X		97,661	RETAIL VALUE
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	2,644	185,867	FAIR MARKET VALUE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (_____)				
26 Other ▶ (_____)				
27 Other ▶ (_____)				
28 Other ▶ (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement **29**

		Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?	30a		No
b If "Yes," describe the arrangement in Part II.			
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?	31	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a	Yes	
b If "Yes," describe in Part II.			
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.			

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 32B:	CHARITY BUZZ SOLICITED, COLLECTED, AND PROCESSED NON-CASH DONATIONS RELATED TO OCEANA'S FUNDRAISING EVENTS.

Additional Data

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Software ID:

Software Version:

SCHEDULE O
(Form 990)**Supplemental Information to Form 990 or 990-EZ**

OMB No. 1545-0047

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.**2021**▶ **Attach to Form 990 or 990-EZ.****Open to Public Inspection**▶ **Go to www.irs.gov/Form990 for the latest information.**Department of the Treasury
Internal Revenue ServiceName of the organization
OCEANA INC

Employer identification number

51-0401308

Return Reference	Explanation
FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION'S MISSION:	OCEANA WAS FOUNDED IN 2001 BY AN INTERNATIONAL GROUP OF FOUNDATIONS. DESPITE REPEATED SCIENTIFIC REPORTS OF WIDESPREAD FISHERY COLLAPSE CAUSED BY OVERFISHING, THE FOUNDERS DISCOVERED THAT LEADING ENVIRONMENTAL FOUNDATIONS SPENT LESS THAN HALF OF ONE PERCENT OF THEIR RESOURCES ON OCEAN CONSERVATION. HEALTHY OCEANS CONTRIBUTE SIGNIFICANTLY TO FEEDING A GROWING WORLD POPULATION AND TO MITIGATING THE EFFECTS OF CLIMATE CHANGE, YET NO INTERNATIONAL ORGANIZATION WAS WORKING EXCLUSIVELY TO PROTECT AND RESTORE ABUNDANT OCEAN FISHERIES. OCEANA'S FOUNDERS ENVISIONED AN ORGANIZATION DEDICATED SOLELY TO ACHIEVING SIGNIFICANT IMPROVEMENTS IN OCEAN MANAGEMENT POLICIES THROUGH A SCIENCE-BASED APPROACH, USING CAREFULLY CHOSEN NATIONAL CAMPAIGNS WITH FIXED DEADLINES AND MEASURABLE GOALS. TODAY, OCEANA HAS GROWN FROM AN AMBITIOUS START-UP TO AN INTERNATIONAL ORGANIZATION WITH A RECORD OF RESULTS ON FOUR CONTINENTS. TOGETHER WITH OUR ALLIES, WE HAVE WON NEARLY 200 SIGNIFICANT POLICY VICTORIES AND PROTECTED MORE THAN 10 MILLION SQUARE KILOMETERS OF OCEAN HABITAT. OCEANA SUPPORTS SCIENCE-DRIVEN OCEAN MANAGEMENT IN THE MOST PRODUCTIVE PARTS OF THE WORLD'S OCEANS, WITH TEAMS WORKING IN COUNTRIES WHICH CONTROL ABOUT A THIRD OF THE WORLD'S WILD OCEAN FISHERIES (BY CATCH): THE UNITED STATES, THE EUROPEAN UNION (EU), THE UNITED KINGDOM, BELIZE, BRAZIL, CANADA, CHILE, MEXICO, PERU AND THE PHILIPPINES. OCEANA'S CAMPAIGNS CARRY OUT FOUR KEY STRATEGIES: - STOP OVERFISHING THROUGH SCIENCE-BASED FISHERY MANAGEMENT, AND BY DETERRING ILLEGAL FISHING; - PROTECT HABITAT AND THE ECOSYSTEMS THAT DEPEND ON THEIR HEALTH, - EXPAND TRANSPARENCY OF FISHING ACTIVITY AND GOVERNMENT DECISION-MAKING PROCESSES, AND - LIMIT POLLUTION, BY STOPPING THE EXPANSION OF OFFSHORE OIL DRILLING AND REDUCING THE PRODUCTION OF SINGLE-USE PLASTICS. OCEANA'S CAMPAIGNS HAVE DEMONSTRATED THE EFFECTIVENESS OF THESE STRATEGIES FOR RESTORING OCEANS. WITH SOUND POLICIES IN PLACE, OCEAN ECOSYSTEMS RECOVER, OFTEN RAPIDLY, AND ABUNDANT FISHERIES RETURN, EVEN EXCEEDING FORMER LEVELS. A FULLY PRODUCTIVE OCEAN CAN PROVIDE A MEAL A DAY FOR A BILLION PEOPLE, FOREVER. TOGETHER WITH OUR ALLIES, WE ARE SAVING THE OCEANS TO FEED THE WORLD.
FORM 990, PART III, LINE 4A	OCEANA CANADA IN 2021, OCEANA INC. PROVIDED CHARITABLE CONTRIBUTIONS AND IN-KIND SUPPORT TO OCEANA CANADA, AN INDEPENDENT NONPROFIT ORGANIZATION INCORPORATED UNDER CANADIAN LAW. WITH OUR SUPPORT, OCEANA CANADA ACHIEVED THE FOLLOWING VICTORIES: REBUILD CANADA'S FISHERIES THE DELAYED REBUILDING PLANS FOR THE DEPLETED FISHERIES OF ATLANTIC MACKEREL AND NORTHERN COD WERE RELEASED AT THE VERY END OF 2020 AND FAILED TO MEET ACCEPTABLE REBUILDING PLAN STANDARDS. THROUGHOUT 2021 WE HAVE PUSHED TO INCREASE BOTH THE QUALITY AND QUANTITY OF PLANS COMPLETED THIS YEAR THROUGH OUR TECHNICAL CONTRIBUTIONS AT FISHERY ADVISORY MEETINGS, THROUGH CONTINUED MEDIA ATTENTION, AND THROUGH HIGH-LEVEL ADVOCACY. WE ADVOCATED FOR AND ACHIEVED A SIGNIFICANT IMPROVEMENT IN TRANSPARENCY AND ACCOUNTABILITY WITH THE PUBLICATION OF A GOVERNMENT WEBPAGE WITH DFO'S ANNUAL WORK PLANS AND ANNUAL REPORTS ON DELIVERABLES ACHIEVED. LIMIT SINGLE-USE PLASTICS IN MAY 2021, THE CANADIAN GOVERNMENT OFFICIALLY LISTED PLASTIC-MANUFACTURED ITEMS UNDER THE CANADIAN ENVIRONMENTAL PROTECTION ACT'S (CEPA) SCHEDULE 1, THE LAW'S LIST OF TOXIC SUBSTANCES. THIS LISTING IS A CRITICAL STEP TOWARDS ADDRESSING CANADA'S PLASTIC POLLUTION CRISIS, AS THIS NOW GIVES THE FEDERAL GOVERNMENT AUTHORITY TO REGULATE PLASTIC PRODUCTS AND KEEP THEM OUT OF INCINERATORS, LANDFILLS, AND THE ENVIRONMENT. IN LATE 2020, THE GOVERNMENT ISSUED PROPOSED RULES TO BAN SIX SINGLE-USE PLASTIC ITEMS (BAGS, STIRS, STICKS, SIX-PACK RINGS, CUTLERY, STRAWS AND FOOD SERVICE WARE) BY THE END OF 2021 AS PART OF A LARGER GOVERNMENT INITIATIVE TO ACHIEVE THE GOAL OF ZERO PLASTIC WASTE BY 2030. LISTING PLASTIC-MANUFACTURED ITEMS UNDER CEPA'S SCHEDULE 1 MOVES THE COUNTRY ONE STEP CLOSER TO ACHIEVING THIS GOAL. OCEANA CANADA APPLAUDED THIS DECISION BY THE CANADIAN GOVERNMENT, BUT WE ARE ALSO WORKING WITH THE MINISTER OF ENVIRONMENT AND CLIMATE CHANGE TO EXPAND THE BAN LIST BEYOND THE SIX ITEMS. OCEANA POLLING DATA SUGGESTED THAT 95 PERCENT OF CANADIANS ARE CONCERNED ABOUT THE IMPACT PLASTIC POLLUTION HAS ON OUR OCEANS AND 86 PERCENT SUPPORT A BAN ON SINGLE-USE PLASTICS. A COALITION REPRESENTING CANADA'S LARGEST VIRGIN PLASTIC PRODUCERS CHALLENGED THIS DECISION IN FEDERAL COURT AFTER THE AFOREMENTIONED LISTING, AND THIS IS WHERE THE CAMPAIGN STOOD AT THE END OF 2021. CHILE HABITAT PROTECTION LA HIGUERA: OUR CAMPAIGN TO ACHIEVE THE DESIGNATION OF AN MPA IN LA HIGUERA SUFFERED A SETBACK IN APRIL 2021, WHEN THE ENVIRONMENTAL COURT OF CHILE RULED IN FAVOR OF THE PROPOSED DOMINGA MINING AND PORT PROJECT (SLATED TO BE DEVELOPED IN LA HIGUERA). WE APPEALED THIS DECISION BEFORE THE SUPREME COURT, WHICH PREVIOUSLY RULED IN OUR FAVOR. WE HAVE INTENSIVELY PUBLICIZED OUR POSITION ON THE ISSUE WE HAD OVER 25 TV INTERVIEWS AND 35 RADIO APPEARANCES, AND RAPIDLY ACTIVATED OUR SOCIAL MEDIA OUTREACH. #NOADOMINGA (SAY NO TO DOMINGA) BECAME THE TRENDING TOPIC IN CHILE FOR 3 DAYS WITH OVER 400K TWEETS, AND WE REACHED OVER 2 MILLION INTERACTIONS ON FACEBOOK AND INSTAGRAM. KATALALIXAR: OUR CAMPAIGN TO PROTECT A PRISTINE AREA OF PATAGONIA ADVANCED WHEN CHILE'S PROTECTED AREA SERVICE (CONAF) FORMALLY REQUESTED THAT THE REGIONAL AUTHORITIES BEGIN THE PROCESS OF DESIGNATING KATALALIXAR AS AN MPA. BECAUSE OF COVID-19 AND THE REMOTENESS AND ISOLATION OF KATALALIXAR, HOWEVER, WE HAVE NOT BEEN ABLE TO MEET WITH THE KAWSQAR, THE LOCAL INDIGENOUS COMMUNITY, TO PRESENT OUR FINAL PROPOSAL AND RECEIVE THEIR APPROVAL. THEREFORE, THE DEADLINE FOR THIS CAMPAIGN HAS BEEN MOVED TO 2022. END OVERFISHING AND REBUILD STOCKS ANCHOVIES: OUR CAMPAIGN TO PROTECT ANCHOVIES WAS BOOSTED BY A SUPREME COURT DECISION THAT ENFORCES A BAN ON INDUSTRIAL FISHING FROM THE FIVE-MILE COASTAL ZONE IN TWO REGIONS IN NORTHERN CHILE, INCLUDING IN THE TARAPAC REGION WHERE PISAGUA IS LOCATED. SUCH A BAN ON INDUSTRIAL FISHING IN THESE AREAS OF PISAGUA WILL BE CONFIRMED AND MADE EVEN MORE DIFFICULT TO UNDO BY THE MPA THAT WE ARE SEEKING TO PROTECT ANCHOVY HABITAT IN PISAGUA. HAVING WON THE SUPPORT OF FISHERS FOR OUR PROPOSED MPA IN DECEMBER 2020, AND HAVING SUBMITTED OUR FORMAL PROPOSAL, WE ARE NOW CAMPAIGNING FOR THE MINISTRY OF ENVIRONMENT TO

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	<p>APPROVE THE PROPOSAL. BROWN KELP: CHILE'S CONGRESS IS CURRENTLY DISCUSSING A BILL TO REGULATE KELP EXTRACTION AND WE ARE SUPPORTING ITS IMPROVEMENT. WE ARE WORKING ON THE DEVELOPMENT OF MORE APPROPRIATE PROPOSAL FOR ITS REGULATION, WHICH TAKES INTO ACCOUNT THE EXPERIENCE OF KELP FISHERS. IN THE MEANTIME, THE NATIONAL SERVICE OF FISHERIES DEvised AN EXTRACTION PLAN FOR KELP THAT INCLUDES IDENTIFYING AND REGISTERING KELP CHIPPING FACILITIES, MEETING A MILESTONE IN OUR CAMPAIGN. SARDINES: WE RELEASED A REPORT ON GAPS IN THE CONTROL OF THE LANDING AND PROCESSING OF SARDINES FOR FISHMEAL AND FISH OIL IN THE BIO BIO REGION, THE AREA IN CHILE WHERE THE MAJORITY OF THESE FISH ARE PROCESSED AND CAUGHT. THE REPORT PROVIDES THE DATA WE NEED TO PUSH FOR BETTER REGULATION OF THE PRODUCTION CHAIN FOR THE FISHERY. WE HAVE MET WITH THE NATIONAL SERVICE OF FISHERIES TO PRIORITIZE THE GAPS WE UNCOVERED SO THAT WE CAN START WORKING ON THE MOST IMPORTANT ONES. WE ARE ALSO EXPLORING OPTIONS FOR AN UPCOMING EXPEDITION TO A SUBMARINE CANYON IN HUALPEN, IN THE BIO BIO REGION IN LATE FALL OR EARLY WINTER. THE PURPOSE OF THE EXPEDITION IS TO GATHER BIOLOGICAL DATA TO PROTECT THIS AREA THROUGH AN MPA, WHICH WOULD SAFEGUARD AN IMPORTANT HABITAT FOR SARDINES. REDUCE SINGLE-USE PLASTICS IN MAY 2021, CHILE SIGNED INTO LAW ONE OF THE WORLD'S MOST AMBITIOUS PROPOSALS TO COMBAT SINGLE-USE PLASTIC POLLUTION. THIS HISTORIC ACTION COMES AFTER TWO YEARS OF ADVOCACY AND CAMPAIGNING BY OCEANA AND OUR PARTNERS IN CHILE AND POSITIONS THE COUNTRY AS ONE OF THE WORLD'S LEADERS IN THE FIGHT AGAINST PLASTIC POLLUTION. THE NEW LAW INCLUDES SEVERAL KEY PROVISIONS TO COMPREHENSIVELY LIMIT SINGLE-USE PLASTIC PRODUCTION, DISTRIBUTION, AND USAGE NATIONALLY: - WITHIN SIX MONTHS, ALL EATING ESTABLISHMENTS SUCH AS RESTAURANTS, COFFEE SHOPS, AND BARS WILL BE PROHIBITED FROM PROVIDING PLASTIC CUTLERY, DRINKING STRAWS, STIRRERS, AND CHOPSTICKS. - AFTER THREE YEARS, IT WILL BE MANDATORY FOR EATING ESTABLISHMENTS TO UTILIZE REUSABLE PRODUCTS WHEN CONSUMPTION OCCURS INSIDE THESE ESTABLISHMENTS. FOR OUTSIDE CONSUMPTION, EATING ESTABLISHMENTS WILL HAVE TO PROVIDE DISPOSABLE PRODUCTS MADE OF MATERIALS OTHER THAN PLASTIC OR MADE OF CERTIFIED PLASTIC. - WITHIN SIX MONTHS ALL SUPERMARKETS MUST SELL AND RECEIVE RETURNABLE BOTTLES IN THEIR IN-PERSON AND ONLINE SALES. THIS OBLIGATION WILL INCLUDE CONVENIENCE STORES AFTER TWO YEARS. AFTER THREE YEARS, THE PERCENTAGE OF RETURNABLE BOTTLES ON DISPLAY FOR SALE CANNOT BE LESS THAN 30 PERCENT. DISPOSABLE BOTTLES WILL BE ALLOWED ONLY IF THEY CONTAIN RECYCLED MATERIAL COLLECTED IN THE COUNTRY AND IN PERCENTAGES THAT WILL INCREASE OVER TIME. THE NEW LAW WILL TRANSITION SERVICE ESTABLISHMENTS TO MORE SUSTAINABLE PRODUCT PACKAGING WHILE PREVENTING AN ESTIMATED 23,000 TONS OF SINGLE-USE PLASTIC POLLUTION GENERATED EACH YEAR BY THESE BUSINESSES. THIS IS EQUIVALENT TO THE WEIGHT OF 116 BLUE WHALES, WHICH IS ABOUT EQUIVALENT TO THE CARBON FOOTPRINT AS A PERSON DRIVING A CAR AROUND EARTH 5,300 TIMES.</p>
FORM 990, PART III, LINE 4A	<p>EUROPE ILLEGAL FISHING IN MARCH 2021, THE PLENARY OF THE EUROPEAN PARLIAMENT (EP) VOTED TO REQUIRE VMS FOR ALL EU FISHING VESSELS; REMOVE A MEMBER STATE VETO ON INFORMATION SHARING THAT WAS IN BREACH OF EU TRANSPARENCY LAWS; AND REQUIRE MEMBER STATES TO PUBLISH INFORMATION ANNUALLY ON THE NUMBER AND TYPE OF INSPECTIONS PERFORMED, INFRINGEMENTS FOUND, AND THEIR FOLLOW UP. THE EP ALSO VOTED TO REQUIRE ALL EU FISHING VESSELS TO REPORT CATCHES ELECTRONICALLY, AND CLARIFIED THAT BENEFITTING FROM OR SUPPORTING IUU FISHING, INCLUDING THROUGH THE PROVISION OF SERVICES, IS AN OFFENSE UNDER THE CONTROL REGULATION. THIS LANDMARK ACHIEVEMENT WAS THE DIRECT RESULT OF OCEANA'S SUCCESS IN FEBRUARY, WHEN THE FISHERIES COMMITTEE VOTED IN FAVOR OF THOSE SAME MEASURES. THE HIGH LEVEL OF SUPPORT FOR THESE AMBITIOUS PROPOSALS WITHIN PECH, ONE OF THE MOST CONSERVATIVE COMMITTEES OF THE EP, WAS CRITICAL FOR ENSURING VICTORY IN THE PLENARY VOTE. WE ACHIEVED KEY MILESTONES TOWARD OUR GOAL TO GET THE GENERAL FISHERIES COMMISSION FOR THE MEDITERRANEAN (GFCM) TO STRENGTHEN ITS LIST OF AUTHORIZED VESSELS AND THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNAS (ICCAT) TO REQUIRE ITS MEMBERS TO SANCTION THEIR CITIZENS IF THEY SUPPORT IUU FISHING. IN MAY, THE EU OFFICIALLY ANNOUNCED THAT IT PLANS TO PROPOSE A FORMAL RECOMMENDATION TO STRENGTHEN THE AUTHORIZED VESSEL LIST (AVL) FOR ADOPTION AT THE GFCM ANNUAL MEETING. TO STRENGTHEN OUR ARGUMENT ON THE NEED TO UPDATE THE AVL, OCEANA PUBLISHED AND PRESENTED A REPORT TO THE GFCM IN WHICH WE EXPOSED TWO ITALIAN VESSELS THAT APPARENTLY TRAWLED ILLEGALLY IN A PROTECTED AREA. IN JUNE, THE EU MADE A PROPOSAL IN RESPONSE TO ADVOCACY FROM OCEANA TO STRENGTHEN MEASURES AGAINST NATIONALS INVOLVED IN IUU FISHING. MEMBERS OF ICCAT EXPRESSED THEIR SUPPORT FOR THE PROPOSAL. A LEADING GLOBAL BUSINESS INFORMATION PROVIDER TO THE MARITIME SECTOR AGREED TO INCLUDE OFFICIALLY REPORTED INFORMATION ON IUU FISHING ACTIVITY IN THEIR EXTENSIVE DATABASES OF VESSEL INFORMATION. THE PROVIDER WILL INCLUDE INFORMATION ON FISHING AND SHIPPING COMPANIES AS WELL AS OTHER SECTORS, INCLUDING BUSINESSES, THAT PROVIDE THESE COMPANIES WITH SERVICES. THESE PLATFORMS ARE SUBSCRIBED TO BY OVER 27,000 USERS, INCLUDING MARINE EQUIPMENT AND INSURANCE COMPANIES; SHIP OWNERS, BROKERS, BUILDERS AND MANAGERS; AND PORT SERVICES AND SECURITY, FOR A MULTITUDE OF PURPOSES, INCLUDING ASSESSING AND MINIMIZING RISK EXPOSURE. THESE BUSINESSES WILL NOW BE ABLE TO EASILY CHECK WHETHER QUERIED VESSELS HAVE BEEN FORMALLY IDENTIFIED BY GOVERNMENT AUTHORITIES FOR THEIR INVOLVEMENT IN IUU FISHING AN ACTIVITY THAT IS DEVASTATINGLY HARMFUL TO THE MARINE ENVIRONMENT AND IS OFTEN LINKED TO OTHER MARITIME CRIMES. TO ACHIEVE WIDER ADOPTION BY SERVICE PROVIDERS OF ACTIONS THAT EFFECTIVELY ADDRESS IUU FISHING, OCEANA JOINED TWO MAJOR FINANCIAL SECTOR INITIATIVES FOCUSED ON PROMOTING MARINE SUSTAINABILITY IN BUSINESS: THE UN SUSTAINABLE BLUE ECONOMY FINANCE INITIATIVE AND THE OCEAN RISK AND RESILIENCE ACTION ALLIANCE (ORRAA). FISH RECOVERY AND SUSTAINABLE MANAGEMENT IN JANUARY, OCEANA PUBLICLY RELEASED ITS UK FISHERIES AUDIT, COINCIDING WITH THE START OF EU-UK NEGOTIATIONS ON 2021 CATCH LIMITS. THE AUDIT WHICH AIMED TO LINK ITS FINDINGS TO THE NEED FOR STRONG SUSTAINABILITY COMMITMENTS IN THE UK'S FISHERIES STATEMENTS PAINTED A DISTURBING PICTURE OF THE STATE OF UK FISH STOCKS. IT SHOWED THAT ONLY 36% OF THE 104 AUDITED STOCKS WERE KNOWN TO BE HEALTHY IN TERMS OF STOCK SIZE AND ONLY 38% SUSTAINABLY EXPLOITED. OF THE TOP 10 MOST ECONOMICALLY IMPORTANT FISH STOCKS FOR THE UK, SIX ARE OVERFISHED OR HAVE A STOCK BIOMASS AT A CRITICAL LEVEL. THE SIX ARE: NORTH SEA COD, NORTH SEA HERRING, SOUTHERN NORTH SEA CRAB, EASTERN ENGLISH CHANNEL SCALLOPS, NORTH EAST ATLANTIC BLUE WHITING AND NORTH SEA WHITING. FURTHER, THERE IS INSUFFICIENT DATA TO DEFINE REFERENCE POINTS FOR NORTH SEA ANGLERFISH. THEREFORE, ONLY THREE OF THE TOP 10 STOCKS UPON WHICH THE UK FISHING INDUSTRY RELIES ARE BOTH HEALTHY AND SUSTAINABLY EXPLOITED: NORTH EAST ATLANTIC MACKEREL, NORTH SEA HADDOCK AND WEST OF SCOTLAND NEPHROPS. THIS IS DUE TO CATCH LIMITS HAVING BEEN SET AT OR BELOW THE RECOMMENDED SUSTAINABLE LIMITS FOR PRECEDING YEARS, DEMONSTRATING THE POSITIVE IMPACT TO BE GAINED BY FOLLOWING SCIENTIFIC ADVICE. OCEANA DELIVERED THE REPORT TO KEY UK AND EU DECISION-MAKERS, NGOS, AND INDUSTRY REPRESENTATIVES, AND CALLED ON THE UK</p>

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	<p>GOVERNMENT TO STOP OVERFISHING AND LEAD THE WAY IN SUSTAINABLE FISHERIES BY SETTING CATCH LIMITS IN LINE WITH SCIENCE. THE AUDIT RECEIVED EXTENSIVE COVERAGE IN KEY UK MEDIA. WE ACHIEVED PROGRESS TOWARD OUR MULTI-YEAR GOAL TO ENSURE THAT, POST-BREXIT, ALL COMMERCIAL STOCKS, INCLUDING SHARED STOCKS, AROUND THE UK ARE FISHED AT SUSTAINABLE MANAGEMENT LEVELS BY 2023. IN JUNE, THE EU AND THE UK REACHED, FOR THE FIRST TIME AFTER BREXIT, AN AGREEMENT ON TOTAL ALLOWABLE CATCHES (TACS) FOR SHARED STOCKS FOR 2021. DUE TO THE DELAY IN THE ADOPTION OF THE EU-UK TRADE AND COOPERATION AGREEMENT, NEGOTIATIONS ON 2021 CATCH LIMITS FOR SHARED STOCKS ONLY BEGAN IN JANUARY. QUOTAS WERE SET FOR OVER 75 COMMERCIAL FISH STOCKS AND PROVISIONS WERE ADOPTED FOR THE EXPLOITATION OF NON-QUOTA STOCKS IN 2021. THIS WAS THE FIRST ANNUAL NEGOTIATION ON FISHING LIMITS UNDER THE EU-UK TRADE AND COOPERATION AGREEMENT. WHILE OCEANA WELCOMES THE WILLINGNESS OF BOTH PARTIES TO COOPERATE, WE CONSIDER THAT SOME OF THE ADOPTED MEASURES FALL SHORT OF ENSURING THE SUSTAINABLE EXPLOITATION OF COMMON FISH STOCKS. HABITAT CAMPAIGN THIS YEAR, OCEANA FOCUSED ON ENSURING THAT THE EU ACTION PLAN ON FISHERIES RESOURCES AND MARINE ECOSYSTEMS CONTAINS PROVISIONS TO PROHIBIT BOTTOM-TRAWLING IN EU MPAS. THE RELEASE OF THE ACTION PLAN UNFORTUNATELY WAS DELAYED TO 2022, TO ALLOW THE EUROPEAN COMMISSION MORE TIME FOR STAKEHOLDER CONSULTATION. DESPITE THIS DELAY, WE SECURED AN INITIAL VICTORY IN JUNE 2021 IN THE PLENARY OF THE EUROPEAN PARLIAMENT, WHICH CALLED FOR THE PROHIBITION OF DESTRUCTIVE ACTIVITIES INSIDE MPAS AS PART OF ITS POSITION ON THE BIODIVERSITY STRATEGY. AHEAD OF THIS VOTE, OCEANA LAUNCHED A PETITION TO BAN BOTTOM-TRAWLING IN ALL EU MPAS, WHICH GATHERED 150,000 SIGNATURES AND GENERATED INTENSE SOCIAL MEDIA ACTIVITY IN SUPPORT OF OUR CAMPAIGN. OCEANA ACHIEVED TWO KEY MILESTONES THIS YEAR TOWARD OUR GOAL TO ENSURE THAT 10 PERCENT OF UK SEAS ARE FULLY PROTECTED FROM BOTTOM-TOWED FISHING GEAR BY 2023 (WITH A LONG-TERM GOAL OF 30 PERCENT BY 2030). FOLLOWING THE POST-BREXIT LICENSING BY THE UK GOVERNMENT OF OVER 2,000 UK AND EU VESSELS TO FISH IN BRITISH MPAS, OCEANA CONTESTED THIS GOVERNMENTAL ACTION. AS A RESULT, THE UK GOVERNMENT COMMITTED TO ADOPT FISHERIES MANAGEMENT PLANS FOR ALL ITS OFFSHORE MPAS WITHIN THREE YEARS. THE UK GOVERNMENT IS NOW EXPECTED TO CONSULT BROADLY AND TO DEVELOP NEW BY-LAWS REGULATING TRAWLING IN MPAS. THEN IN JUNE, THE UK GOVERNMENT ANNOUNCED ITS PLAN TO INCREASE PROTECTION OF ENGLISH WATERS THROUGH PILOT PROJECTS TO DESIGNATE HIGHLY PROTECTED MARINE AREAS (HPMAS) IN 2022. A SHORTLIST OF PROPOSED SITES IS UNDER DEVELOPMENT, WITH PUBLIC CONSULTATION TO FOLLOW IN 2022. HPMAS WILL HAVE THE HIGHEST LEVEL OF PROTECTION, EQUIVALENT TO NO-TAKE ZONES. OCEANA WELCOMED THE START OF THIS PROCESS, BUT WE STRESSED IN THE MEDIA THAT HPMAS SHOULD NOT DISTRACT FROM THE NEED TO BAN BOTTOM TRAWLING IN ALL UK MPAS.</p>
FORM 990, PART III, LINE 4A	<p>MEXICO TRANSPARENCY AND TRACEABILITY OCEANA PERSUADED THE MEXICAN NATIONAL FISHERIES AUTHORITY TO RELEASE THE MEXICAN VMS DATA TO THE GOVERNMENT'S PUBLIC WEBSITE ON A MONTHLY BASIS. IN ADDITION, GFW HAS AGREED TO REGULARLY UPLOAD THAT DATA TO ITS PLATFORM. THIS BRINGS US VERY CLOSE TO REACHING OUR ULTIMATE GOAL OF GETTING THE FISHERIES AUTHORITY TO SHARE ITS VMS DATA OF MEXICAN FISHING VESSELS IN NEAR-REAL-TIME DIRECTLY WITH GFW TO ALLOW FOR INCREASED AND TIMELY MONITORING OF POTENTIAL ILLEGAL FISHING IN MEXICO'S OCEAN WATERS. THE FISHERIES AUTHORITY AGREED TO THIS MORE REGULAR TRANSFER OF DATA AFTER OCEANA DEMONSTRATED TO THEM THE NEED FOR AND IMPORTANCE OF TRANSPARENCY INTO THE MEXICAN INDUSTRIAL FLEET. HAVING THE RAW VMS DATA UPLOADED TO GFW WILL MAKE IT AVAILABLE FOR ANALYSIS BY OTHER RESEARCHERS, NGOS AND EVEN GOVERNMENT INSTITUTIONS. THIS PAST YEAR, OCEANA ALSO HELPED PUSH THE GOVERNMENT TO COMPLETE A NEAR-FINAL DRAFT OF A FIRST-EVER SEAFOOD TRACEABILITY RULE, WHICH INCLUDES RECOMMENDATIONS DEVELOPED BY OCEANA TO PREVENT SPECIES SUBSTITUTION FOR SEAFOOD SOLD IN MEXICO. FISHERIES REBUILDING WE HAVE ENGAGED TWO MORE FISHERS' COMMUNITIES, IN TABASCO AND TAMAULIPAS (BOTH BORDERING THE GULF OF MEXICO), AS PART OF OUR PLAN TO BUILD SUPPORT FROM FISHERS FOR A NATIONAL LAW COMPELLING THE GOVERNMENT TO REBUILD FISHERIES. WE HAVE NOW ENGAGED THE LEADERS FROM EIGHT SUCH FISHER COMMUNITIES, INCLUDING IN SINALOA, SONORA, BAJA CALIFORNIA SUR (NORTHWEST); YUCATAN (SOUTHEAST); AND VERACRUZ (GULF OF MEXICO). PROTECT HABITAT STARTING ON AUGUST 9, 2021, AND DESPITE THE CHALLENGES OF COVID-19, WE CONDUCTED OUR FIRST SCIENTIFIC EXPEDITION AT SEA IN MEXICO. THE PURPOSE OF OUR EXPEDITION WAS TO OBTAIN INFORMATION ON THE ALACRANES REEF'S HEALTH AND GATHER ASSETS TO ASSIST US IN WINNING FURTHER PROTECTIONS FOR THIS MARINE AREA. WE ALSO AIM TO BETTER UNDERSTAND THE ROLE OF ALACRANES REEF IN SUPPORT OF COASTAL COMMUNITIES AND SMALL-SCALE FISHERS. THE EXPEDITION INCLUDED A GROUP OF SCIENTISTS, SCUBA DIVERS, VIDEOGRAPHERS, AND OCEANA STAFF. WE BEGAN DOCUMENTING ALACRANES REEF AND BAJOS DEL NORTE. THE TEAM COLLECTED EVIDENCE NEEDED TO PROTECT THE LARGEST CORAL REEF STRUCTURE IN THE GULF OF MEXICO. THE EXPEDITION'S RESULTS WILL BE FINALIZED IN 2022, AND WE HAVE ALREADY STARTED WORKING WITH MEXICAN AUTHORITIES AND STAKEHOLDERS. AFTER THE PRESS CONFERENCE THAT ANNOUNCED THE EXPEDITION, WE MET WITH FEDERAL AUTHORITIES INCLUDING THE SECRETARY OF ENVIRONMENT AND NATIONAL COMMISSION OF NATURAL PROTECTED AREAS. WE ALSO MET WITH THE SECRETARIES OF FISHING, ENVIRONMENT, AND SUSTAINABLE DEVELOPMENT OF YUCATAN, THE STATE WHERE ALACRANES REEF IS LOCATED. WE ARE NOW PARTNERING WITH LOCAL FISHERS TO DISCUSS AND AGREE ON THE PROTECTIONS NEEDED BY ALACRANES AND THE SURROUNDING REGION. PERU HABITAT PROTECTION TO IMPROVE THE MANAGEMENT OF EXISTING MPAS, OCEANA IS ENSURING THAT PERU'S NATIONAL SERVICE OF NATURAL PROTECTED AREAS (SERANP) EFFECTIVELY PROTECTS THE WATERS AROUND MPAS IN THE REGIONS OF PIURA AND ICA, THROUGH IMPROVED CONTROLS OF FISHING AND TOURISM. ILLEGAL FISHING OCEANA COMPLETED AN UPDATED ESTIMATION OF ANCHOVETA LANDINGS ILLEGALLY DIVERTED TO FISHMEAL PROCESSING USING INFORMATION PROVIDED BY THE MINISTRY OF PRODUCTION (PRODUCE) AND CUSTOMS TRADE RECORDS WE ARE NOW EXPANDING THE ANALYSIS TO INCLUDE THE ILLEGAL DETOUR OF GIANT SQUID LEGALLY ONLY ALLOWED TO BE USED FOR HUMAN CONSUMPTION - FOR PROTEIN-RICH FISHMEAL PRODUCTION. MEANWHILE, WE WERE ABLE TO STOP THE APPROVAL IN CONGRESS OF A BILL ALLOWING THE EXPANSION OF SMALL-SCALE FISHMEAL PROCESSING PLANTS USUALLY INVOLVED IN ILLEGAL FISHMEAL PROCESSING - PROMOTED BY LOCAL INTEREST GROUPS. ALSO, OCEANA SIGNED A MEMORANDUM OF UNDERSTANDING WITH THE SPECIAL OFFICE FOR ENVIRONMENTAL AFFAIRS OF THE NATIONAL ATTORNEY'S OFFICE (FEMA). THROUGH THIS MOU, WE WILL HAVE THE OPPORTUNITY TO IMPROVE THE PROSECUTION CAPACITIES OF FEMA AGAINST FISHERIES AND MARINE WILDLIFE CRIME. PHILIPPINES STOP ILLEGAL COMMERCIAL FISHING IN MUNICIPAL WATERS THE FISHERIES BUREAU HAS STARTED THE IMPLEMENTATION OF VESSEL MONITORING RULES AND, WITH A COMPLIANCE RATE CURRENTLY ONLY AT 10%, WE ARE PUSHING FOR AT LEAST 50% AMONG COMMERCIAL FISHING VESSELS THIS YEAR. THE FISHERIES BUREAU HAS ALSO ADOPTED A UNIFIED ENFORCEMENT REPORTING SYSTEM THAT CAN TRIGGER REVOCATION OF THE FISHING LICENSE FOR VESSELS THAT VIOLATE THESE</p>

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	<p>RULES. AS WE SUPPORT THE IMPLEMENTATION OF THE VESSEL MONITORING RULES FOR COMMERCIAL FISHING BOATS, WE ALSO ARE FIGHTING EFFORTS TO WEAKEN OR STOP THIS. FOR EXAMPLE, OUR CAMPAIGNING WITH ALLIES AND PARTNERS SUCCESSFULLY STALLED THE APPROVAL OF A BILL ALLOWING COMMERCIAL FISHING IN MUNICIPAL WATERS THAT WAS INTRODUCED INTO CONGRESS. WE ALSO ELEVATED OUR APPEAL TO THE SUPREME COURT OF A REGIONAL TRIAL COURT'S DECISION DECLARING THE VESSEL MONITORING RULES AS UNCONSTITUTIONAL. DETER ILLEGAL DUMP AND FILL ACTIVITY OCEANA LOST ITS LEGAL CASE AGAINST A DUMP-AND-FILL PROJECT IN THE PHILIPPINES THAT WOULD DESTROY MANGROVES. WE HAD IDENTIFIED A HIGH-PROFILE PROJECT IN MANILA BAY LED BY THE LARGEST COMPANY IN THE PHILIPPINES, SAN MIGUEL CORPORATION, THROUGH SAN MIGUEL AEROCITY, AND PURSUED A LEGAL STRATEGY TO STOP THE PROJECT, DRAWING ATTENTION TO THIS DESTRUCTIVE PROCESS THROUGHOUT THE COUNTRY. GIVEN THIS SETBACK, WE ARE NOW CAMPAIGNING TO WIN STRONG POLICY ACTION BY THE DEPARTMENT OF THE INTERIOR AND LOCAL GOVERNMENT TO REQUIRE STRICT ADHERENCE TO ENVIRONMENTAL LAWS BY LOCAL GOVERNMENTS BEFORE ALLOWING DUMP-AND-FILL PROJECTS. STRENGTHEN CORAL-RICH MARINE PROTECTED AREAS OCEANA SUCCESSFULLY COMPLETED ITS PLANNED 22-DAY EXPEDITION TO PANAON ISLAND IN SOUTHERN LEYTE, DESPITE COVID-19 AND TWO TYPHOONS DURING THE TRIP. THE EXPEDITION CAPTURED IMAGES AND RESEARCH TO SUPPORT THE DESIGNATION OF CORAL-RICH PANAON AS A MARINE PROTECTED AREA. THE VIBRANT AND DIVERSE CORALS DOCUMENTED BY OUR TEAM EXCEEDED OUR EXPECTATIONS, GIVEN THE POOR STATE OF MANY CORALS IN THE PHILIPPINES, AND INCREASED OUR DETERMINATION TO PROTECT THIS CRITICAL ECOSYSTEM. DESPITE SEVERAL CHALLENGES WITH THE WEATHER, THE TEAM COMPLETED 34 DIVES AT 19 SANCTUARIES AND 15 CONTROL SITES OVER A SPAN OF 20 DAYS AROUND PANAON ISLAND. EACH DIVE SITE HAD UNIQUE CHARACTERISTICS OF ITS OWN. THESE INCLUDED A SITE WITH A HUGE AREA COVERED WITH LIVE BRANCHING CORALS, AREAS WITH VAST SOFT CORAL COVER, AND ANOTHER THAT IS COMPARABLE TO A UNESCO WORLD HERITAGE SITE, THE TUBBATAHA REEFS. PANAON ISLAND IS ALSO IDENTIFIED BY A GROUP OF SCIENTISTS TO BE PART OF THE PRIORITY REEFS WITH HIGH PROBABILITY OF SURVIVING THE ADVERSE IMPACTS OF CLIMATE CHANGE THUS THE URGENCY TO PROTECT IT. TO MOVE THE MPA PROCESS FORWARD, OCEANA COMPLETED A SUMMARY OF THE PRELIMINARY FINDINGS FROM THE EXPEDITION AND SUBMITTED IT TO CONGRESSIONAL, PROVINCIAL, AND MUNICIPAL LEADERS AND REGIONAL OFFICES OF THE DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES AND BUREAU OF FISHERIES AND AQUATIC RESOURCES FOR THEIR REFERENCE. REDUCE SINGLE-USE PLASTICS WE SUED TO COMPEL THE NATIONAL SOLID WASTE MANAGEMENT COMMISSION TO CARRY OUT THEIR LEGAL OBLIGATION TO ISSUE A LIST OF NON-ENVIRONMENTALLY ACCEPTABLE PRODUCTS AND PACKAGING MATERIALS. THERE ARE 50 CO-PETITIONERS CONSISTING OF LOCAL GOVERNMENT OFFICIALS, ENVIRONMENT ADVOCATES, YOUTH ENVIRONMENTAL ADVOCATES, CHILDREN, FISHERFOLK, DIVERS AND WASTE PICKERS. TO DATE, 53 LOCAL GOVERNMENTS HAVE PASSED RESOLUTIONS CALLING ON THE COMMISSION TO RELEASE THE LIST OF NON-ENVIRONMENTALLY ACCEPTABLE PRODUCTS AND PACKAGING AND BAN SINGLE-USE PLASTICS NATIONWIDE.</p>
FORM 990, PART III, LINE 4A	<p>TRANSPARENT OCEANS INITIATIVE OCEANA'S NEW TRANSPARENT OCEANS INITIATIVE (TOI) SEEKS TO REIGN IN THE HARMFUL PRACTICES OF DISTANT WATER FLEETS AROUND THE WORLD, WHICH OPERATE IN THE HIGH SEAS OR WITHIN THE NATIONAL WATERS OF OTHER NATIONS, LARGELY WITHOUT ACCOUNTABILITY. TOI WILL COMPLEMENT OCEANA'S EXISTING CAMPAIGNS AGAINST IUU FISHING IN THE WORLD'S TOP FISHING COUNTRIES BY CONDUCTING RESEARCH ON DISTANT WATER FISHING'S IMPACT ON THE OCEANS AND THOSE WHO RELY ON THEM, EMPOWERING DECISION-MAKERS TO IMPLEMENT RESPONSIBLE DISTANT WATER FISHING POLICIES AND COMMUNICATING THE IMPORTANCE OF FISHERIES TRANSPARENCY AROUND THE WORLD. IN 2021, TOI COMMISSIONED THREE REPORTS THAT PROVIDED KEY INFORMATION ON THE IMPACT OF DISTANT WATER FISHING (DWF) AROUND THE WORLD. THESE REPORTS WERE: - ASSESSING THE SPATIAL BURDEN OF HARMFUL FISHERIES SUBSIDIES BY DRS. DANIEL SKERRITT AND RASHID SUMAILA, THE UNIVERSITY OF BRITISH COLUMBIA. THIS REPORT FOUND THAT JUST 10 COUNTRIES (CHINA, JAPAN, KOREA, RUSSIA, U.S.A., THAILAND, TAIWAN, SPAIN, INDONESIA AND NORWAY) ACCOUNT FOR MORE THAN TWO-THIRDS OF THE WORLD'S TOTAL SPENDING ON HARMFUL FISHING SUBSIDIES. THE INVESTIGATION ALSO DISCOVERED THAT APPROXIMATELY 40 PERCENT OF THESE HARMFUL SUBSIDIES GO TO DISTANT WATER FLEETS, WHICH FISH ON THE HIGH SEAS OR IN OTHER NATIONS' EEZS, OFTEN IN THE WATERS OF LOW-INCOME NATIONS. THIS ACTIVITY WOULD LIKELY NOT PROVE PROFITABLE WITHOUT THESE SUBSIDIES. BY FINANCIALLY SUPPORTING SUCH ACTIVITY, THESE COUNTRIES ARE ESSENTIALLY SUBSIDIZING OVERFISHING. - TRANSVERSAL LINKAGES IN WORLD'S FISHING CRIMES AND THE ROLE OF LEGAL ACCESS AS AN ENABLER OF CRIMINALITY BY DRS. DYHIA BELHABIB, ECOTRUST CANADA AND PHILIPPE LE BILLON, THE UNIVERSITY OF BRITISH COLUMBIA. - ADDRESSING CHINA'S FINANCING AND SUBSIDIZATION OF FISHERIES AT HOME AND ABROAD BY DR. TABITHA MALLORY, UNIVERSITY OF WASHINGTON AND CHINA OCEAN INSTITUTE.</p>
FORM 990, PART III, LINE 4B	<p>PROTECT ENDANGERED SPECIES FROM ENTANGLEMENT IN FISHING GEAR AFTER YEARS OF CAMPAIGNING, OCEANA DELIVERED \$1 MILLION TO THE STATE OF CALIFORNIA TO HELP TRANSITION FISHERMEN AWAY FROM THE HIGHLY DESTRUCTIVE DRIFT GILLNET GEAR IN CALIFORNIA'S SWORDFISH FISHERY. THIS DONATION TRIGGERED A FOUR-YEAR PHASE-OUT OF ALL REMAINING STATE DRIFT GILLNET PERMITS, WHICH WILL END ANY REMAINING DRIFT GILLNET FISHING BY JANUARY 31, 2024, IN THE ONLY STATE IN THE U.S. WHERE USE OF THIS GEAR REMAINS LEGAL. THESE MILE-LONG DRIFT GILLNETS ARE NEARLY INVISIBLE AND ARE SET OUT OVERNIGHT NEAR THE OCEAN'S SURFACE TO CAPTURE SWORDFISH. THEY ARE RESPONSIBLE FOR ENTANGLING, INJURING AND KILLING ENDANGERED WHALES AND SEA TURTLES AS WELL AS DOLPHINS, SEA LIONS, SHARKS AND OTHER IMPORTANT NON-TARGETED FISH SPECIES. THE STATE OF CALIFORNIA ESTIMATED THAT AT LEAST 20 MILES OF DRIFT GILLNET GEAR HAD BEEN REMOVED FROM THE DRIFT GILLNET FISHERY FOR SWORDFISH IN 2021. WITH AN INCREASE IN WHALE SIGHTINGS IN APRIL 2021, THE CALIFORNIA DEPARTMENT OF FISH AND WILDLIFE CLOSED THE NORTHERN CALIFORNIA DUNGENESS CRAB FISHERY IN WATERS DEEPER THAN 30 FATHOMS (180 FEET). IN JUNE 2021, THEY IMPOSED A STATEWIDE CLOSURE OF THE COMMERCIAL DUNGENESS CRAB FISHERY TO PREVENT WHALE ENTANGLEMENTS. THESE TIME AND AREA CLOSURES ACHIEVE AN IMPORTANT PIECE OF OUR WHALE ENTANGLEMENT CAMPAIGN. FURTHERMORE, IN PARTNERSHIP WITH THE SANCTUARY FOUNDATION AND SUSTAINABLE SEAS TECHNOLOGY, OCEANA HELPED DEPLOY FOUR DIFFERENT ROPELESS FISHING TECHNOLOGIES. OCEANA FACILITATED TWO DEMONSTRATION EVENTS IN MONTEREY BAY, AND AT-SEA DEMONSTRATIONS AND TRAINING OF THREE STATE GAME WARDENS AS WELL AS THE LEAD STATE STAFFER ON WHALE ENTANGLEMENTS. WE ALSO INITIATED COLLABORATION WITH THE LEADERSHIP AND LEGAL TEAM OF THE CALIFORNIA DEPARTMENT OF FISH AND WILDLIFE REGARDING A ROADMAP FOR ROPELESS GEAR AUTHORIZATION IN EARLY 2022. REDUCE SINGLE-USE PLASTICS TOGETHER WITH MORE THAN 30 NATIONAL, STATE, AND LOCAL ORGANIZATIONS, INCLUDING SURFRIDER, U.S. PUBLIC INTEREST RESEARCH GROUPS (PIRGs), THE CENTER FOR INTERNATIONAL ENVIRONMENTAL LAW, AND BEYOND PLASTICS, OCEANA IS USING A COMBINATION OF GRASSROOTS ORGANIZING, STAKEHOLDER OUTREACH, AND TARGETED FEDERAL ADVOCACY TO PUSH FOR NATIONAL LEGISLATION</p>

Return Reference	Explanation
	<p>THAT WOULD LIMIT SINGLE-USE PLASTICS IN THE U.S., LIKE THE BREAK FREE FROM PLASTIC POLLUTION ACT. TO PASS NATIONAL LEGISLATION AGAINST PLASTIC POLLUTION, OCEANA AND OUR ALLIES ARE DEMONSTRATING TO MEMBERS OF CONGRESS THAT THEIR CONSTITUENTS WANT THIS AND THAT LARGE COMPANIES CAN INCORPORATE REDUCTION OF PLASTICS INTO THEIR BUSINESS MODEL. OUR LOCAL (MUNICIPAL AND STATE) AND CORPORATE CAMPAIGNS, THEREFORE, NOT ONLY REDUCE PLASTIC POLLUTION IN THEMSELVES, BUT ALSO CONSTITUTE KEY COMPONENTS OF OBTAINING NATIONAL POLICY CHANGE. AT THE LOCAL LEVEL, OCEANA HAS SECURED SIX STATE LAWS IN VIRGINIA, MARYLAND, WASHINGTON, AND NEW YORK THAT LIMIT PLASTIC POLLUTION, AND SUCCESSFULLY DEFEATED AN ATTEMPT IN GEORGIA TO PASS A LAW THAT WOULD PREVENT CITIES AND TOWNS FROM TAKING ACTION TO LIMIT PLASTIC. IN VIRGINIA, WE SUCCEEDED IN PASSING TWO BILLS INTO LAW IN FEBRUARY 2021. THE FIRST OF THESE LAWS BANS EXPANDED POLYSTYRENE FOAM FOOD WARE, INCLUDING FOAM CARRYOUT BOXES AND CUPS, WHILE THE SECOND BANS THE INTENTIONAL RELEASE OF BALLOONS. WE ALSO SECURED TWO STATE LAWS IN WASHINGTON THAT BAN SINGLE-USE PLASTIC BAGS, REGULATE RECYCLING REQUIREMENTS FOR REUSABLE BAGS, BAN POLYSTYRENE FOAM, AND PROHIBIT PLASTIC UTENSILS AND STRAWS EXCEPT ON REQUEST. IN NEW YORK, WE SECURED A LAW THAT BANS PLASTIC FOAM FOOD AND BEVERAGE CONTAINERS IN RESTAURANTS, GROCERY STORES, AND OTHER VENUES AND THE USE OF PLASTIC FOAM PEANUTS FOR PACKAGING, WHILE IN MARYLAND WE HELPED TO BAN INTENTIONAL BALLOON RELEASES. OCEANA HAS ALSO SECURED LOCAL ORDINANCES AND RESOLUTIONS AGAINST PLASTIC POLLUTION IN CITIES THROUGHOUT THE U.S., INCLUDING LARGE CITIES LIKE NEW YORK CITY, WASHINGTON D.C., AND LOS ANGELES. MANY OF THESE POLICIES SPECIFICALLY PROHIBIT UNNECESSARY THROWAWAY PLASTIC LIKE POLYSTYRENE FOAM, PLASTIC BAGS, STRAWS, UTENSILS, AND OTHER SIMILAR ITEMS. OTHERS FORMALLY VOICE SUPPORT FOR ELIMINATING STATE PREEMPTION LAWS, WHICH PREVENT CITIES AND TOWNS FROM TAKING ACTION AGAINST PLASTIC POLLUTION.</p>
FORM 990, PART VI, SECTION A, LINE 2	<p>BOARD MEMBERS, SUSAN ROCKEFELLER AND DAVID ROCKEFELLER, JR., HAVE A FAMILY RELATIONSHIP. BOARD MEMBERS HERBERT M. BEDOLFE, III AND SARA LOWELL BOTH SERVE AS EMPLOYEES AND OFFICERS OF THE MARISLA FOUNDATION. HERBERT SERVES AS THE EXECUTIVE DIRECTOR AND SARA SERVES AS THE SECRETARY/MARINE PROGRAM DIRECTOR.</p>
FORM 990, PART VI, SECTION B, LINE 11B	<p>INFORMATION FOR THE FEDERAL FORM 990 COMES LARGELY FROM OCEANA'S INDEPENDENTLY AUDITED FINANCIAL STATEMENTS, WHICH CONSOLIDATES OCEANA'S ACTIVITIES ACROSS NATIONAL BOUNDARIES. THE ACCOUNTING DEPARTMENT COLLECTS THIS AND OTHER INFORMATION NEEDED FOR THE FEDERAL FORM 990, WHICH IS REVIEWED AND PRESENTED IN DRAFT FORM BY A TAX ACCOUNTING FIRM. AFTER APPROVAL BY SENIOR MANAGEMENT, THE FINAL DRAFT OF THE 990 IS PRESENTED TO THE BOARD FOR REVIEW BEFORE IT IS SUBMITTED TO THE INTERNAL REVENUE SERVICE.</p>
FORM 990, PART VI, SECTION B, LINE 12C	<p>EVERY YEAR, OCEANA'S OFFICERS, DIRECTORS, AND KEY EMPLOYEES FILL OUT A DISCLOSURE REPORT ASKING THEM TO DISCLOSE ANY FAMILY OR BUSINESS RELATIONSHIPS THEY MAY HAVE WITH OTHER OCEANA OFFICERS, DIRECTORS, OR KEY EMPLOYEES, AS WELL AS ANY FINANCIAL CONFLICTS OF INTEREST THEY MAY HAVE. IN ADDITION, OCEANA'S CONFLICT OF INTEREST POLICY REQUIRES ANY DIRECTOR OR OFFICER WHO IS AN INTERESTED PERSON WITH RESPECT TO A TRANSACTION OR ARRANGEMENT UNDER CONSIDERATION BY THE CORPORATION TO PROMPTLY DISCLOSE TO THE BOARD OF DIRECTORS OR THE BOARD'S DESIGNATE THE EXISTENCE AND NATURE OF HIS OR HER FINANCIAL INTEREST IN THE TRANSACTION OR ARRANGEMENT. CONFLICTS OF INTEREST REPORTING: ANY ACTUAL OR POTENTIAL CONFLICT OF INTEREST MUST BE DISCLOSED TO THE CEO; PRESIDENT AND GENERAL COUNSEL; CFO; OR SENIOR DIRECTOR OF HUMAN RESOURCES, THE EXECUTIVE COMMITTEE MEMBER IN CHARGE OF THE COUNTRY OFFICE OR DEPARTMENT; OR THE OFFICE ADMINISTRATOR FOR THE COUNTRY OFFICE. THIS INCLUDES ACTUAL OR POTENTIAL CONFLICTS INVOLVING BUSINESS OR FINANCIAL INTEREST, FAMILY RELATIONSHIPS, OR SEXUAL/ROMANTIC RELATIONSHIPS. THE CFO WILL DETERMINE WHETHER ANY STEPS MUST BE TAKEN TO AVOID AN APPEARANCE OR EXISTENCE OF A CONFLICT OF INTEREST OR THE CREATION OF AN ENVIRONMENT THAT OTHERS IN THE WORKPLACE MIGHT REASONABLY FIND TO BE UNPROFESSIONAL OR INAPPROPRIATE. SUCH STEPS, DEPENDING ON THE NATURE OF THE CONFLICT OF INTEREST, MIGHT INCLUDE, BUT ARE NOT LIMITED TO, DIVESTITURE OF ADVERSE INTERESTS, RECUSAL FROM CERTAIN DECISIONS, TRANSFER OF ONE OF THE EMPLOYEES TO ANOTHER DEPARTMENT (IF A POSITION IS AVAILABLE), CHANGING THE MANAGER FOR ONE OF THE EMPLOYEES, OR, WHEN OTHER OPTIONS ARE NOT FEASIBLE, THE TERMINATION OF EMPLOYMENT OF ONE OF THE EMPLOYEES. EMPLOYEES WHO WISH TO PROVIDE SERVICES TO OR FOR THE BENEFIT OF ANY ENTITY OUTSIDE OCEANA MUST DISCLOSE SUCH PROPOSED ACTIVITY TO OCEANA, WHICH WILL MAKE APPROPRIATE DETERMINATIONS IN ACCORDANCE WITH THE ORGANIZATION'S GLOBAL CODE OF ETHICS. FAMILY RELATIONSHIPS (NEPOTISM): OCEANA WILL NOT ALLOW A SUPERVISOR/SUBORDINATE RELATIONSHIP TO EXIST BETWEEN FAMILY RELATIVES. SEXUAL/ROMANTIC RELATIONSHIPS: ROMANTIC RELATIONSHIPS BETWEEN EMPLOYEES THAT CONSTITUTE AN ACTUAL OR REASONABLY-PERCEIVED CONFLICT OF INTEREST ARE PROHIBITED. FORM 990, PART VI, SECTION B, LINE 13 WHISTLEBLOWING AND REPORTING VIOLATIONS: OCEANA NEEDS AND EXPECTS THE SUPPORT AND COOPERATION OF ITS EMPLOYEES TO ENFORCE ITS POLICIES. EMPLOYEES WHO HAVE EXPERIENCED, OBSERVED, OR LEARNED ABOUT CONDUCT THEY BELIEVE IS CONTRARY TO OCEANA'S POLICES OR CODE OF ETHICS MUST REPORT SUCH VIOLATIONS (OR POTENTIAL OR SUSPECTED VIOLATIONS). OCEANA PROVIDES TWO WAYS TO REPORT VIOLATIONS. FIRST, VIOLATIONS MAY BE REPORTED THROUGH A REPORTING SYSTEM THAT OCEANA HAS SET UP THROUGH AN ONLINE WEBSITE OR BY CALLING THE PHONE NUMBER LISTED FOR EACH COUNTRY ON THAT WEBSITE. THE SITE IS CONFIDENTIAL, EASY TO USE, AND ALWAYS AVAILABLE. EMPLOYEES HAVE THE OPTION TO DISCLOSE THEIR IDENTITY OR MAKE A REPORT ANONYMOUSLY; HOWEVER, DISCLOSING IDENTITY IS STRONGLY ENCOURAGED TO ENABLE OCEANA TO CONDUCT A THOROUGH INVESTIGATION, ESPECIALLY IN THE CASE OF A POLICY THAT PROTECTS INDIVIDUALS (FOR EXAMPLE, CONCERNING SEXUAL HARASSMENT OR DISCRIMINATION). ANY REPORT THAT IMPLICATES THE CEO; PRESIDENT AND GENERAL COUNSEL; OR CFO WILL BE FORWARDED BY THE THIRD PARTY ADMINISTRATOR OF THE WEBSITE TO THE CHAIR, VICE CHAIR AND TREASURER OF OCEANA'S BOARD OF DIRECTORS. SECOND, VIOLATIONS MAY BE REPORTED TO THE APPROPRIATE STAFF PERSON, AS FOLLOWS. THE VIOLATION MUST BE REPORTED TO THE CEO; PRESIDENT AND GENERAL COUNSEL; CFO; OR SENIOR DIRECTOR OF HUMAN RESOURCES, IF THE VIOLATION INVOLVES ONE OF THE FOLLOWING ISSUES: -SEXUAL OR OTHER HARASSMENT -UNLAWFUL DISCRIMINATION -FINANCIAL MISCONDUCT OR MISREPORTING -BRIBERY OR CORRUPTION -RETALIATION FOR REPORTING ANY VIOLATION IF THE VIOLATION INVOLVES ANY OTHER ISSUE, THE REPORT MUST BE MADE TO ANY OF THE FOLLOWING: CEO; PRESIDENT AND GENERAL COUNSEL; CFO; OR GLOBAL DIRECTOR OF HUMAN RESOURCES; THE EXECUTIVE COMMITTEE MEMBER IN CHARGE OF THE COUNTRY OFFICE OR DEPARTMENT; OR THE OFFICE ADMINISTRATOR FOR THE COUNTRY OFFICE. INVESTIGATION: WHEN AN EMPLOYEE REPORTS A VIOLATION OF THIS CODE, OCEANA WILL INVESTIGATE AND TAKE CORRECTIVE</p>

Return Reference	Explanation
	<p>ACTION AS WARRANTED UNDER THE CIRCUMSTANCES. THE STEPS TO BE TAKEN DURING THE INVESTIGATION ARE NOT FIXED IN ADVANCE (EXCEPT AS REQUIRED BY APPLICABLE LAW), BUT INSTEAD WILL VARY DEPENDING UPON THE NATURE OF THE ALLEGATIONS. SUCH INVESTIGATION WILL REMAIN CONFIDENTIAL TO THE EXTENT CONSISTENT WITH EFFECTIVELY UNDERSTANDING THE FACTS AND TAKING CORRECTIVE MEASURES. RESOLVING THE MATTER: IF OCEANA DETERMINES THAT A VIOLATION HAS OCCURRED, THE COMPANY WILL TAKE APPROPRIATE REMEDIAL ACTION TO CORRECT THE SITUATION. ANY EMPLOYEE DETERMINED BY OCEANA TO BE RESPONSIBLE FOR A VIOLATION WILL BE SUBJECT TO APPROPRIATE DISCIPLINARY ACTION, SUBJECT TO APPLICABLE LAW, UP TO AND INCLUDING TERMINATION. IT IS A CONDITION OF EMPLOYMENT THAT EMPLOYEES COOPERATE WITH ALL OCEANA INVESTIGATIONS. IN ADDITION, OCEANA MAY CHOOSE TO TAKE ACTION EVEN IF IT CONCLUDES THAT THE ALLEGED CONDUCT NEITHER VIOLATES OCEANA'S GLOBAL CODE OF ETHICS NOR THE LAW, BUT SUCH CONDUCT WAS IMPERMISSIBLY INTERFERING WITH THE WORK ENVIRONMENT. NO RETALIATION: IT IS A VIOLATION TO RETALIATE AGAINST AN INDIVIDUAL WHO REPORTS INCIDENTS THAT HE OR SHE BELIEVES TO BE VIOLATIONS OF OCEANA'S GLOBAL CODE OF ETHICS, OR WHO COOPERATES IN AN INVESTIGATION OF A VIOLATION. RETALIATION IS A SERIOUS VIOLATION AND SHOULD BE REPORTED IMMEDIATELY. THE REPORT AND INVESTIGATION OF ALLEGATIONS OF RETALIATION WILL FOLLOW THE PROCEDURES SET FORTH. ANY PERSON FOUND TO HAVE RETALIATED AGAINST AN INDIVIDUAL FOR REPORTING DISCRIMINATORY HARASSMENT OR PARTICIPATING IN AN INVESTIGATION OF ALLEGATIONS OF SUCH CONDUCT WILL BE SUBJECT TO APPROPRIATE DISCIPLINARY ACTION.</p>
<p>FORM 990, PART VI, SECTION B, LINE 15</p>	<p>OCEANA'S PROCESS FOR DETERMINING COMPENSATION OF ITS CEO, OFFICERS, AND KEY EMPLOYEES IS AS FOLLOWS: ANNUALLY, OCEANA PROVIDES THE BOARD DIRECTORS WITH DATA FROM MULTIPLE SOURCES ON COMPARABLE SALARIES AND BENEFITS IN OTHER NONPROFIT ORGANIZATIONS, ESPECIALLY BUT NOT LIMITED TO THOSE IN THE CONSERVATION FIELD, FOR OCEANA'S CEO. THE BOARD REVIEWS AND DISCUSSES THE COMPENSATION DATA AS WELL AS THE CEO'S ACHIEVEMENTS FOR THE PRIOR YEAR AS WELL AS HIS PROPOSED GOALS FOR THE NEXT YEAR BEFORE TAKING A DECISION ON ANY ADJUSTMENTS TO THE CEO COMPENSATION OF BENEFITS. OCEANA PROVIDES THE FINANCE AND AUDIT COMMITTEE OF THE BOARD DIRECTORS WITH DATA FROM MULTIPLE SOURCES ON COMPARABLE SALARIES AND BENEFITS IN OTHER NONPROFIT ORGANIZATIONS, ESPECIALLY BUT NOT LIMITED TO THOSE IN THE CONSERVATION FIELD, FOR OCEANA'S OFFICERS, TOP MANAGEMENT, AND KEY EMPLOYEES ("THE EXECUTIVE TEAM", OR "EC"). THE COMMITTEE DISCUSSES EACH OF THE EC MEMBER'S ACHIEVEMENTS FOR THE PRIOR YEAR AS WELL AS HER/HIS PROPOSED GOALS FOR THE NEXT YEAR. THE COMMITTEE REVIEWS THESE DATA TO DETERMINE IF THE COMPENSATION IS REASONABLE AND THAT OCEANA HAS NOT ENGAGED IN AN EXCESS BENEFIT TRANSACTION WITH ANY INDIVIDUAL IN A POSITION TO SUBSTANTIALLY INFLUENCE THE ORGANIZATION'S AFFAIRS. THE BOARD OF DIRECTORS AND AUDIT AND FINANCE COMMITTEE DISCUSSIONS ARE DOCUMENTED IN THE MINUTES OF THE RESPECTIVE BODIES. OCEANA REGULARLY CONDUCTS COMPENSATION REVIEWS, MOST RECENTLY IN SEPTEMBER 2021.</p>
<p>FORM 990, PART VI, SECTION C, LINE 19</p>	<p>OCEANA POSTS ANNUAL REPORTS, ITS AUDITED FINANCIAL STATEMENTS, AND THE PUBLIC DISCLOSURE COPY OF ITS IRS FORM 990 ON ITS WEBSITE, WWW.OCEANA.ORG. IT ALSO SHARES RELEVANT INFORMATION WITH INDEPENDENT WATCHDOG ORGANIZATIONS SUCH AS GUIDESTAR, CHARITY NAVIGATOR AND THE BETTER BUSINESS BUREAU TO ALLOW THESE ORGANIZATIONS INDEPENDENT ASSESSMENT OF OCEANA'S ACCOUNTABILITY AND TRANSPARENCY. OCEANA'S ARTICLES OF INCORPORATION AND BY-LAWS ARE AVAILABLE ON OCEANA'S WEBSITE AND TO MEMBERS OF THE PUBLIC UPON WRITTEN REQUEST. OCEANA'S ARTICLES OF INCORPORATION, AS WELL AS A CERTIFICATE OF GOOD STANDING, ARE ALSO INDEPENDENTLY AVAILABLE THROUGH THE DEPARTMENT OF CONSUMER AND REGULATORY AFFAIRS FOR THE DISTRICT OF COLUMBIA (WHERE OCEANA, INC. IS INCORPORATED), THOUGH THERE IS A FEE FOR THIS SERVICE.</p>
<p>FORM 990</p>	<p>THIS RETURN PRESENTS CONSOLIDATED FINANCIAL STATEMENTS FOR OCEANA, INC. AND ITS NON-U.S. AFFILIATES. OCEANA HAS OFFICES IN SPAIN, BRAZIL, DENMARK, BELGIUM, MEXICO, PHILIPPINES, BELIZE, LONDON, CHILE AND PERU FOR THE PURPOSE OF BUILDING AN INTERNATIONAL MOVEMENT TO SAVE THE OCEAN THROUGH PUBLIC POLICY ADVOCACY, SCIENCE AND ECONOMICS, LEGAL ACTION, GRASSROOTS MOBILIZATION, AND PUBLIC EDUCATION. THE OPERATIONS IN SPAIN, BELIZE, BRAZIL, MEXICO, SWITZERLAND, AND THE UNITED KINGDOM ARE INCORPORATED AS INDEPENDENT ENTITIES IN THOSE COUNTRIES UNDER LOCAL LAW. HOWEVER, THESE ENTITIES ARE DEPENDENT ON OCEANA FOR FUNDING, PARTICIPATE IN OCEANA ACTIVITIES AND DECISION-MAKING, AND CARRY OUT THE GENERAL MISSION AND INTERNATIONAL ACTIVITIES OF OCEANA.</p>

Additional Data

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**SCHEDULE R
(Form 990)**

Related Organizations and Unrelated Partnerships

OMB No. 1545-0047
2021
Open to Public Inspection

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**
▶ **Attach to Form 990.**
▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

Department of the Treasury
Internal Revenue Service

Name of the organization
OCEANA INC

Employer identification number
51-0401308

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) OCEANA ACTION INC 1025 CONNECTICUT AVENUE NW 200 WASHINGTON, DC 20036 31-1814181	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	DC	501(C)(4)	N/A	OCEANA INC	Yes	
(2) FUNDACION OCEANA GRAN VIA 62 7 IZDA MADRID 28013 SP	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	SP	N/A	N/A	OCEANA INC	Yes	
(3) OCEANA UK 10 QUEEN ST PLACE LONDON EC4R 1BE UK	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	UK	N/A	N/A	OCEANA INC	Yes	
(4) OCEANA IN BELIZE PO BOX 731 BELMOPAN BH	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	BH	N/A	N/A	OCEANA INC	Yes	
(5) FRIENDS OF OCEANA RUE DITALIE 10 GENEVA SZ	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	SZ	N/A	N/A	OCEANA INC	Yes	
(6) OCEANA BRASIL SIG QUADRA 1 LOTE 985 SALA 251 CENTRO EMPRESARIAL PARQUE, BRASILIA 70610-410 BR	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	BR	N/A	N/A	OCEANA INC	Yes	
(7) OCEANA MEXICO POSEIDON 39 COL CREDITO CONSTRUCT CIUDAD DE MEXICO CP03940 MX	PROTECTING THE WORLD'S OCEANS TO SUSTAIN THE CIRCLE OF LIFE.	MX	N/A	N/A	OCEANA INC	Yes	

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a** Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity
- b** Gift, grant, or capital contribution to related organization(s)
- c** Gift, grant, or capital contribution from related organization(s)
- d** Loans or loan guarantees to or for related organization(s)
- e** Loans or loan guarantees by related organization(s)
- f** Dividends from related organization(s)
- g** Sale of assets to related organization(s)
- h** Purchase of assets from related organization(s)
- i** Exchange of assets with related organization(s)
- j** Lease of facilities, equipment, or other assets to related organization(s)
- k** Lease of facilities, equipment, or other assets from related organization(s)
- l** Performance of services or membership or fundraising solicitations for related organization(s)
- m** Performance of services or membership or fundraising solicitations by related organization(s)
- n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
- o** Sharing of paid employees with related organization(s)
- p** Reimbursement paid to related organization(s) for expenses
- q** Reimbursement paid by related organization(s) for expenses
- r** Other transfer of cash or property to related organization(s)
- s** Other transfer of cash or property from related organization(s)

	Yes	No
1a		No
1b	Yes	
1c		No
1d		No
1e		No
1f		No
1g		No
1h		No
1i		No
1j		No
1k		No
1l	Yes	
1m		No
1n	Yes	
1o	Yes	
1p		No
1q	Yes	
1r		No
1s		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) FUNDACION OCEANA	B	1,827,619	COST
(2) OCEANA BRASIL	B	1,259,948	COST
(3) OCEANA IN BELIZE	B	633,588	COST
(4) OCEANA MEXICO	B	1,559,317	COST
(5) OCEANA ACTION INC	Q	295,703	COST

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.

Return Reference

Explanation

Schedule R (Form 990) 2021

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