

For calendar year 2021, or tax year beginning 01-01-2021, and ending 12-31-2021

Name of foundation: Charles Koch Foundation. % KARA HARTNETT. Number and street: 1320 N Courthouse Rd Suite 400. City or town: Arlington, VA 22201. A Employer identification number: 48-0918408. B Telephone number: (571) 290-6811. C If exemption application is pending, check here. D 1. Foreign organizations, check here. D 2. Foreign organizations meeting the 85% test, check here and attach computation. E If private foundation status was terminated under section 507(b)(1)(A), check here. F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. H Check type of organization: Section 501(c)(3) exempt private foundation. I Fair market value of all assets at end of year: \$ 813,753,220. J Accounting method: Accrual.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include: 1 Contributions, gifts, grants, etc., received (52,318,418); 2 Check if foundation is not required to attach Sch. B; 3 Interest on savings and temporary cash investments (15,072,765); 4 Dividends and interest from securities (0); 5a Gross rents; b Net rental income or (loss); 6a Net gain or (loss) from sale of assets not on line 10 (0); b Gross sales price for all assets on line 6a (60,988,304); 7 Capital gain net income (from Part IV, line 2) (60,988,304); 8 Net short-term capital gain; 9 Income modifications; 10a Gross sales less returns and allowances; b Less: Cost of goods sold; c Gross profit or (loss) (attach schedule); 11 Other income (attach schedule) (1,330,373); 12 Total. Add lines 1 through 11 (68,721,556); 13 Compensation of officers, directors, trustees, etc. (579,873); 14 Other employee salaries and wages (4,264,947); 15 Pension plans, employee benefits (276,317); 16a Legal fees (attach schedule) (394); b Accounting fees (attach schedule) (76,576); c Other professional fees (attach schedule) (359,184); 17 Interest (0); 18 Taxes (attach schedule) (see instructions) (3,741,805); 19 Depreciation (attach schedule) and depletion (0); 20 Occupancy; 21 Travel, conferences, and meetings (354,407); 22 Printing and publications (3,292); 23 Other expenses (attach schedule) (111,703); 24 Total operating and administrative expenses. Add lines 13 through 23 (9,768,498); 25 Contributions, gifts, grants paid (93,802,357); 26 Total expenses and disbursements. Add lines 24 and 25 (103,570,855); 27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements (-34,849,299); b Net investment income (if negative, enter -0-) (85,223,746); c Adjusted net income (if negative, enter -0-).

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	58,437	48,605	48,605
	2 Savings and temporary cash investments	86,050,333	91,610,651	91,610,651
	3 Accounts receivable ▶ <u>492</u>			
	Less: allowance for doubtful accounts ▶ _____	77,022	492	492
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	552,306	2,957,256	2,957,256
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ <u>1,450,000</u>			
Less: accumulated depreciation (attach schedule) ▶ _____	355,213	1,450,000	1,450,000	
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	374,996,813	717,686,216	717,686,216	
14 Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	462,090,124	813,753,220	813,753,220	
Liabilities	17 Accounts payable and accrued expenses	1,426,696	1,486,037	
	18 Grants payable	4,686,000	5,500,000	
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	6,112,696	6,986,037	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	455,977,428	806,767,183	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	455,977,428	806,767,183		
30 Total liabilities and net assets/fund balances (see instructions)	462,090,124	813,753,220		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	455,977,428
2 Enter amount from Part I, line 27a	2	-34,849,299
3 Other increases not included in line 2 (itemize) ▶ _____	3	385,639,054
4 Add lines 1, 2, and 3	4	806,767,183
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	806,767,183

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a			
b			
c			
d			
e			

Capital gain net income or (net capital loss)

{ If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 }

2	60,988,304
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3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8

3	
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Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here [] and enter Bracket for line 1a "N/A" on line 1.
1a Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
b Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
2 Add lines 1 and 2.
3 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
4 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.
5 Credits/Payments:
6a 2021 estimated tax payments and 2020 overpayment credited to 2021
6b Exempt foreign organizations—tax withheld at source
6c Tax paid with application for extension of time to file (Form 8868)
6d Backup withholding erroneously withheld
7 Total credits and payments. Add lines 6a through 6d
8 Enter any penalty for underpayment of estimated tax. Check here [] if Form 2220 is attached.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.
11 Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded

Part VI-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.
c Did the foundation file Form 1120-POL for this year?
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. (2) On foundation managers.
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
7 Did the foundation have at least \$5,000 in assets at any time during the year?
8a Enter the states to which the foundation reports or with which it is registered (see instructions)
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021?
10 Did any persons become substantial contributors during the tax year?

Part VI-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.CHARLESKOCHFOUNDATION.ORG
14 The books are in care of KARA HARTNETT Telephone no. (571) 290-6811 Located at 1320 N COURTHOUSE RD STE 300 ARLINGTON VA 22201 ZIP+4
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
c Organizations relying on a current notice regarding disaster assistance check here.
d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)	Yes	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)	Yes	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		No
c	Organizations relying on a current notice regarding disaster assistance check here			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	5d	Yes	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHARLES CHASE KOCH 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	DIRECTOR/VICE CHAIRMAN 1.0	0	0	0
ELIZABETH B KOCH 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	DIRECTOR 1.0	0	0	0
CHARLES G KOCH 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	DIRECTOR/CHAIRMAN 1.0	0	0	0
BRIAN MENKES 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	SECRETARY 1.0	0	0	0
DALE GIBBENS 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	DIRECTOR 1.0	0	0	0
RYAN STOWERS 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	EXECUTIVE DIRECTOR 50.0	367,889	46,310	0
KARA HARTNETT 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	Treasurer 1.0	0	0	0
DAVE ROBERTSON 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	DIRECTOR 1.0	0	0	0
BRIAN HOOKS 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	DIRECTOR 1.0	0	0	0
JONATHAN FRANKLIN 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	COO 50.0	211,984	34,865	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHARLES RUGER 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	VP, PHILANTHROPY 50.0	218,776	6,419	0
BRETT HINKEY 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	SR. PROGRAM OFFICER 50.0	237,589	42,443	0
STEPHEN SWEET 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	PROGRAM OFFICER 50.0	182,766	38,761	0
BRENNAN BROWN 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	DIRECTOR, HIGHER ED. 50.0	212,052	42,214	0
KENNETH KNUEVEN 1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	SR. PROGRAM OFFICER 50.0	198,911	36,066	0

Total number of other employees paid over \$50,000. ▶ 35

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
1888 MANAGEMENT LLC PO BOX 5004 WICHITA,KS 672015004	INVESTMENT MGMT SVCS	55,870
PRAIRIE CAPITAL MANAGEMENT LLC 4900 MAIN ST SUITE 700 KANSAS CITY,MO 64112	INVESTMENT MGMT SVCS	87,220
BKD LLP 1201 WALNUT ST STE 1700 KANSAS CITY,MO 641062246	TAX RETURN PREP SVCS	76,576
COMMON GROUP LLC 1853 WEBSTER ST SAN FRANCISCO,CA 94115	RESEARCH SERVICES	164,800
GSV SUMMIT LLC 875 N MICHIGAN AVENUE SUITE 3520 CHICAGO,IL 60611	RESEARCH SERVICES	55,000

Total number of others receiving over \$50,000 for professional services. ▶

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1

2

3

4

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.


1

2

All other program-related investments. See instructions.

3

Amount

Total. Add lines 1 through 3 

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	68,213,062
c	Fair market value of all other assets (see instructions).	1c	613,795,272
d	Total (add lines 1a, b, and c).	1d	682,008,334
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	682,008,334
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	10,230,125
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.	5	671,778,209
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	33,588,910

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	33,588,910
2a	Tax on investment income for 2021 from Part V, line 5.	2a	1,184,610
b	Income tax for 2021. (This does not include the tax from Part V.).	2b	
c	Add lines 2a and 2b.	2c	1,184,610
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	32,404,300
4	Recoveries of amounts treated as qualifying distributions.	4	229,508
5	Add lines 3 and 4.	5	32,633,808
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	32,633,808

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				32,633,808
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.			0	
b Total for prior years: 2019, 2018, 2017		0		
3 Excess distributions carryover, if any, to 2021:				
a From 2016.	50,983,034			
b From 2017.	62,499,087			
c From 2018.	91,068,230			
d From 2019.	112,313,380			
e From 2020.	76,520,082			
f Total of lines 3a through e.	393,383,813			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ <u>99,376,081</u>				
a Applied to 2020, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2021 distributable amount				32,633,808
e Remaining amount distributed out of corpus	66,742,273			
5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	460,126,086			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	52,318,418			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a	407,807,668			
10 Analysis of line 9:				
a Excess from 2017	61,163,703			
b Excess from 2018	91,068,230			
c Excess from 2019.	112,313,380			
d Excess from 2020	76,520,082			
e Excess from 2021	66,742,273			

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling					
b. Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2021	(b) 2020	(c) 2019	(d) 2018	
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
 CHARLES G KOCH

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
 NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
 CHARLES KOCH FOUNDATION
 1320 N COURTHOUSE RD SUITE 400
 ARLINGTON, VA 22201
 (571) 290-6811

b The form in which applications should be submitted and information and materials they should include:
 ORGANIZATIONS SEEKING GRANTS FROM THE FOUNDATION AND WHICH MEET THE CRITERIA LISTED IN THIS ATTACHMENT SHOULD SUBMIT A SHORT LETTER (NO MORE THAN THREE PAGES) OUTLINING THEIR REQUEST. THE LETTER SHOULD CLEARLY AND SUCCINCTLY STATE: 1. PROSPECTIVE GRANTEE'S MISSION AND GOALS; 2. SPECIFIC PROJECT FOR WHICH SUPPORT IS BEING SOUGHT; 3. AMOUNT OF FUNDING REQUESTED; 4. NAME, TITLE, ADDRESS, TELEPHONE NUMBER, AND EMAIL ADDRESS OF THE PRIMARY CONTACT PERSON; AND, 5. CURRENT ANNUAL BUDGET OR AUDITED FINANCIAL STATEMENTS IF APPLICABLE, PLEASE ALSO INCLUDE A LIST OF OTHER SUPPORT (E.G., FUNDING, IN-KIND CONTRIBUTIONS) SECURED FOR THE PROJECT. BECAUSE THE FOUNDATION GENERALLY ONLY SUPPORTS SECTION 501(C)(3) PUBLIC CHARITIES, IT ALSO REQUIRES THAT YOU SUBMIT VERIFICATION FROM THE IRS THAT YOUR ORGANIZATION IS EXEMPT FROM FEDERAL INCOME TAX AS A SECTION 501(C)(3) ORGANIZATION AND IS CLASSIFIED AS A PUBLIC CHARITY UNDER SECTION 509(A) OF THE INTERNAL REVENUE CODE. THE FOUNDATION KINDLY REQUESTS THAT N

c Any submission deadlines:
 NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
 THE CHARLES KOCH FOUNDATION SUPPORTS STUDENTS AND SCHOLARS PURSUING RESEARCH AND EXPANDING EDUCATIONAL PROGRAMS THAT HELP PEOPLE REACH THEIR FULL POTENTIAL THROUGH WORK ON POVERTY, IMMIGRATION, CRIMINAL JUSTICE REFORM, FREE EXPRESSION, AND OTHER CRITICAL ISSUES. THE FOUNDATION GENERALLY SUPPORTS ONLY INTERNAL REVENUE CODE SECTION 501(C)(3) NON-PROFIT PUBLIC CHARITIES AND UNIVERSITIES THAT ARE ORGANIZED AND OPERATED WITHIN THE UNITED STATES. GRANT REQUESTS FROM FOR-PROFIT CORPORATIONS WILL GENERALLY NOT BE CONSIDERED, AND REQUESTS FROM INDIVIDUALS WILL NOT BE CONSIDERED UNLESS PURSUANT TO AN IRS-APPROVED FOUNDATION INDIVIDUAL GRANT PROGRAM. THE FOUNDATION GENERALLY DOES NOT PROVIDE SUPPORT FOR OVERHEAD IN GRANTS MADE TO UNIVERSITIES, COLLEGES, AND OTHER SIMILAR INSTITUTIONS. OVERHEAD INCLUDES, BUT IS NOT LIMITED TO, INSUFFICIENTLY SPECIFIED AND/OR INSUFFICIENTLY DETAILED OVERHEAD COSTS (E.G., A REQUIREMENT THAT A FIXED PERCENTAGE OF A GRANT AMOUNT BE DEDICATED TO GRANT ADMINISTRATION.)

Additional Data

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Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES - FORM 990-PF	68,918	6,892	0	91,297
ACCOUNTING FEES - FORM 990-T	7,658	0	0	0

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2021 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
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Name: Charles Koch Foundation

EIN: 48-0918408

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
UNIVERSITY OF HONG KONG	POK FU LAM ROAD HONG KONG CH	2020-04-23	40,000	RESEARCH SUPPORT	10,000	NO	06/15/2022 - PARTIAL REPORT OF EXPENDITURES TO DATE		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
Technology Policy Institute	409 12TH STREET SW SUITE 700 WASHINGTON, DC 20024	2019-12-17	230,000	PROGRAM SUPPORT	65,000	NO	05/25/2022 - PARTIAL REPORT OF EXPENDITURES TO DATE		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
UNIVERSITY OF BIRMINGHAM	EDGBASTON BIRMINGHAM B15 2TT UK	2020-04-23	32,500	TRAVEL RESEARCH SUPPORT	0	NO	04/05/2022 - PARTIAL REPORT OF EXPENDITURES TO DATE		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
Technology Policy Institute	409 12TH ST SW SUITE 700 WASHINGTON, DC 20024	2018-05-11	162,500	GENERAL OPERATING SUPPORT	90,658	NO	04/26/2022 - PARTIAL REPORT OF EXPENDITURES TO DATE		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
COUNCIL ON INTEGRITY IN RESULTS REPORTIN	1519 OAKWOOD AV HIGHLAND PARK, IL 60035	2020-02-03	187,000	PROGRAMMING SUPPORT	151,913	NO	UNKNOWN - PARTIAL REPORT OF EXPENDITURES TO DATE		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE. THIS GRANTEE INTENDS TO CEASE OPERATIONS AND WILL BE RETURNING THE REMAINING AMOUNT NOT EXPENDED.
James G Martin Center for Academic Rene	353 E SIX FORKS ROAD SUITE 200 RALEIGH, SC 27609	2018-07-13	45,000	FELLOWSHIP & CONFERENCE SUPPORT	45,000	NO	04/12/2022 - FULL REPORT OF EXPENDITURES TO DATE		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
Praxis Foundation	1567 MEETING STREET RD SUITE 200 CHARLESTON, SC 29405	2019-07-24	70,000	SCHOLARSHIP SUPPORT	70,000	NO	11/10/2022 - FULL REPORT OF EXPENDITURES TO DATE		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
Public Choice Society	224C FORSYTH COLLEGE OF BUSINESS CULLOWHEE, NC 28723	2019-12-20	44,000	PROGRAMMING SUPPORT	4,500	NO	UNKNOWN - PARTIAL REPORT OF EXPENDITURES TO DATE		THE ORGANIZATION HAS REQUESTED EXPENDITURE RESPONSIBILITY REPORTING. AS OF THIS FILING, THE ORGANIZATION HAS BEEN UNABLE TO OBTAIN A REPORT. THE ORGANIZATION WILL WITHHOLD ALL FUTURE GRANTS UNTIL THE DELINQUENT REPORT IS SUBMITTED BY GRANTEE. THE ORGANIZATION IS TAKING REASONABLE STEPS TO OBTAIN A REPORT FROM THE GRANTEE.
UNIVERSITY OF OXFORD	WELLINGTON SQUARE OXFORD OX1 2JD UK	2019-04-25	5,000	RESEARCH SUPPORT	5,000	NO	11/03/2022 - FULL REPORT OF EXPENDITURES TO DATE		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
UNIVERSITY OF SURREY	STAG HILL GUILDFORD GU2 7XH UK	2020-04-09	18,267	RESEARCH SUPPORT		NO	UNKNOWN - PARTIAL REPORT OF ALL EXPENDITURES		THE ORGANIZATION HAS REQUESTED EXPENDITURE RESPONSIBILITY REPORTING. AS OF THIS FILING, THE ORGANIZATION HAS BEEN UNABLE TO OBTAIN A REPORT. THE ORGANIZATION WILL WITHHOLD ALL FUTURE GRANTS UNTIL THE DELINQUENT REPORT IS SUBMITTED BY GRANTEE. THE ORGANIZATION IS TAKING REASONABLE STEPS TO OBTAIN A REPORT FROM THE GRANTEE.
University of Vienna	universitatsring 1 A-1010 VIENNA AU	2019-01-11	182,000	RESEARCH SUPPORT	102,740	NO	07/16/2022 - PARTIAL REPORT OF EXPENDITURES TO DATE		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
BRG INSTITUTE	2200 Powell Street Suite 1200 EMERYVILLE, CA 94608	2021-12-23	475,000	GENERAL OPERATING SUPPORT	475,000	NO	04/05/2022 - FULL REPORT OF EXPENDITURES TO DATE		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
UNIVERSITY OF BIRMINGHAM	EDGBASTON BIRMINGHAM B15 2TT UK	2021-12-31	50,000	TRAVEL AND RESEARCH SUPPORT	23,192	NO	11/04/2022 - PARTIAL REPORT OF EXPENDITURES TO DATE		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.

TY 2021 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PASSIVE FUND VII	FMV	0	0
PASSIVE FUND III	FMV	717,236,216	717,236,216
PASSIVE FUND IX	FMV	450,000	450,000

TY 2021 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	394			394

TY 2021 IRS 990 e-File Render**Name:** Charles Koch Foundation**EIN:** 48-0918408

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SUPPLIES	3,836			3,836
DUES/LICENSES	60,648			61,548
INVESTMENTS - CHARITABLE	0			8,757
INVESTMENT EXPENSES	0	22,175,508		
GIFTS	97			97
POSTAGE & DELIVERY	490			490
TELECOMMUNICATION	1,254			1,254
ADVERTISING & PROMOTION	38,096			36,708
BAD DEBT EXPENSE	18			0
BANK FEES	7,264	7,264		

TY 2021 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME - MISCELLANEOUS	1,100,865		
INVESTMENTS - OTHER INCOME	0	27,561,566	
INVESTMENTS - RENTAL INCOME/LOSS	0	-2,115,758	
OTHER INCOME - GRANT RECOVERIES	229,508		

TY 2021 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Description	Amount
UNREALIZED GAINS/LOSSES	145,938,330
CHANGE TO GAAP-REPORTING OF INVESTMENTS	239,700,724

TY 2021 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
HR PROCESSING FEES	8,580			8,580
INVESTMENT MANAGEMENT FEES	114,556	114,556		
PROFESSIONAL CONSULTING FEES	236,048			416,670

TY 2021 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FOREIGN TAXES	0	167,378		
INVESTMENT TAXES	3,346,066			
PROPERTY TAXES	236			236
PAYROLL TAXES	395,503			395,503

TY 2021 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Name	US / Foreign Address	EIN	Description	Amount
PASSIVE FUND II	1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	47-2537421	DISTRIBUTIONS FROM DOMESTIC PASSIVE INVESTMENT	30,000,000
PASSIVE FUND III	1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	85-1484620	DISTRIBUTIONS FROM DOMESTIC PASSIVE INVESTMENT	15,000,000
Total				45,000,000

TY 2021 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Name	US / Foreign Address	EIN	Description	Amount
PASSIVE FUND IX	1320 N COURTHOUSE RD STE 400 ARLINGTON, VA 22201	85-2667830	CAPITAL CONTRIBUTIONS TO DOMESTIC PASSIVE INVESTMENT	200,000
PASSIVE FUND II	1320 N COURTHOUSE RD SUITE 400 ARLINGTON, VA 22201	47-2537421	CAPITAL CONTRIBUTIONS TO DOMESTIC PASSIVE INVESTMENT	15,000,000
Total				15,200,000