

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2021, or tax year beginning 01-01-2021 , and ending 12-31-2021

Name of foundation NATALIE ORFALEA FOUNDATION		A Employer identification number 47-4602133
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 50736	Room/suite	B Telephone number (see instructions) (805) 331-1309
City or town, state or province, country, and ZIP or foreign postal code SANTA BARBARA, CA 93150		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>21,200,278</u>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

	Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions.)</i>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	365,500			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	50,528	50,528		
	4 Dividends and interest from securities	227,402	227,402		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,168,381			
	b Gross sales price for all assets on line 6a	2,174,897			
	7 Capital gain net income (from Part IV, line 2)		1,168,381		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	74,210	74,210			
12 Total. Add lines 1 through 11	1,886,021	1,520,521			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0	0		0
	14 Other employee salaries and wages	84,789	0		50,873
	15 Pension plans, employee benefits	19,500	0		11,700
	16a Legal fees (attach schedule)	1,042	0		0
	b Accounting fees (attach schedule)	7,988	0		0
	c Other professional fees (attach schedule)	94,938	79,447		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	12,846	0		4,707
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	14,024	0		0
	21 Travel, conferences, and meetings	16,286	0		0
	22 Printing and publications				
	23 Other expenses (attach schedule)	56,096	0		40,000
	24 Total operating and administrative expenses. Add lines 13 through 23	307,509	79,447		107,280
25 Contributions, gifts, grants paid	1,343,457			1,079,291	
26 Total expenses and disbursements. Add lines 24 and 25	1,650,966	79,447		1,186,571	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	235,055				
b Net investment income (if negative, enter -0-)		1,441,074			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	4,684	8,622	8,622
	2 Savings and temporary cash investments	3,244,164	2,350,149	2,350,149
	3 Accounts receivable ▶ <u>340,500</u>			
	Less: allowance for doubtful accounts ▶ _____	25,000	340,500	340,500
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	12,876,056	15,261,951	15,261,951
	c Investments—corporate bonds (attach schedule)	2,446,555	2,182,212	2,182,212
	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	356,426	1,056,844	1,056,844	
14 Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	18,952,885	21,200,278	21,200,278	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	427,500	526,666	
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	1,202	93	
	23 Total liabilities (add lines 17 through 22).	428,702	526,759	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	18,524,183	20,673,519	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	18,524,183	20,673,519		
30 Total liabilities and net assets/fund balances (see instructions)	18,952,885	21,200,278		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	18,524,183
2 Enter amount from Part I, line 27a	2	235,055
3 Other increases not included in line 2 (itemize) ▶ _____	3	1,914,281
4 Add lines 1, 2, and 3	4	20,673,519
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	20,673,519

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a 2,700 APPLE INC		2013-06-26	2021-12-06
b 400 APPLE INC		2016-01-20	2021-12-06
c 220 CLOROX CO		2009-03-02	2021-12-06
d 1,280 CLOROX CO		2009-05-14	2021-12-06
e 3,400 KIMBERLY CLARK CORP		2009-01-28	2021-12-06
750 MICROSOFT CORP		2010-10-07	2021-12-06
250,000 TORONTO DOMINION MTN 2.125%		2017-07-05	2021-04-07
250,000 UNITEDHEALTH GRP INC 3.375%		2017-07-05	2021-11-15
200,000 WELLS FARGO CO MTN 1.08685%		2017-01-19	2021-02-22

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 448,172		38,302	409,870
b 66,396		9,758	56,638
c 37,110		10,497	26,613
d 215,915		64,719	151,196
e 462,619		169,472	293,147
244,685		18,394	226,291
250,000		248,938	1,062
250,000		251,186	-1,186
200,000		195,250	4,750

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			409,870
b			56,638
c			26,613
d			151,196
e			293,147
			226,291
			1,062
			-1,186
			4,750

Capital gain net income or (net capital loss)	2	1,168,381
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here [] and enter Bracket for line 1a "N/A" on line 1.
1a Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
b Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
2 Add lines 1 and 2.
3 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
4 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-
5 Credits/Payments:
6a 2021 estimated tax payments and 2020 overpayment credited to 2021
6b Exempt foreign organizations—tax withheld at source
6c Tax paid with application for extension of time to file (Form 8868)
6d Backup withholding erroneously withheld
7 Total credits and payments. Add lines 6a through 6d
8 Enter any penalty for underpayment of estimated tax. Check here [] if Form 2220 is attached.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.
11 Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded

Part VI-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.
c Did the foundation file Form 1120-POL for this year?
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. (2) On foundation managers.
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
7 Did the foundation have at least \$5,000 in assets at any time during the year?
8a Enter the states to which the foundation reports or with which it is registered (see instructions)
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021?
10 Did any persons become substantial contributors during the tax year?

Part VI-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.NATALIEORFALEAFOUNDATION.ORG	13	Yes	
14	The books are in care of NATALIE ORFALEA FOUNDATION Telephone no. (805) 331-1309 Located at PO BOX 50736 SANTA BARBARA CA 93150 ZIP+4 			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	16	Yes	No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)		No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)		No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
c	Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? If "Yes," list the years 20 , 20 , 20 , 20	2a		No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	4b		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation...
(2) Influence the outcome of any specific public election...
(3) Provide a grant to an individual for travel, study, or other similar purposes...
(4) Provide a grant to an organization other than a charitable, etc., organization...
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes...
b If any answer is "Yes" to 5a(1)-(5); did any of the transactions fail to qualify under the exceptions...
c Organizations relying on a current notice regarding disaster assistance check here...
d If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax...
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
6b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
7b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Table with 3 columns: Question ID, Yes, No. Rows include 5a(1) through 8.

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Includes entries for NATALIE FLEET ORFALEA, MASON J ORFALEA, and KEENAN J ORFALEA.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. All entries are NONE.

Total number of other employees paid over \$50,000.

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶ 0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	18,307,577
b	Average of monthly cash balances.	1b	1,174,479
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	19,482,056
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	19,482,056
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	292,231
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.	5	19,189,825
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	959,491

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	959,491
2a	Tax on investment income for 2021 from Part V, line 5.	2a	20,031
b	Income tax for 2021. (This does not include the tax from Part V.).	2b	
c	Add lines 2a and 2b.	2c	20,031
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	939,460
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	939,460
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	939,460

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				939,460
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2021:				
a From 2016.				
b From 2017.				
c From 2018.				
d From 2019.				
e From 2020.	285,113			
f Total of lines 3a through e.	285,113			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ <u>1,186,571</u>				
a Applied to 2020, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2021 distributable amount				939,460
e Remaining amount distributed out of corpus	247,111			
5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	532,224			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a	532,224			
10 Analysis of line 9:				
a Excess from 2017				
b Excess from 2018				
c Excess from 2019.				
d Excess from 2020	285,113			
e Excess from 2021	247,111			

Part Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AFGHAN LITERACY FOUNDATION PO BOX 1265 REDONDO BEACH, CA 90278	NONE	501(C)(3)	AFGHAN REFUGEE RELIEF	10,000
ANOTHER BODY FILM 3600 WILSHIRE BLVD SUITE 1810 LOS ANGELES, CA 90010	NONE	501(C)(3)	GENERAL OPERATING	40,000
AUDACIOUS FOUNDATION PO BOX 91340 SANTA BARBARA, CA 93190	NONE	501(C)(3)	LOUD FINAL ORFALEA FOUNDATION PROGRAM EXPENSES	28,942
CHICKEN & EGG PICTURES 55 WASHINGTON ST SUITE 307 BROOKLYN, NY 11201	NONE	501(C)(3)	GENERAL OPERATING	5,500
COMMUNITY ENVIRONMENTAL COUNCIL 1219 STATE ST SANTA BARBARA, CA 93101	NONE	501(C)(3)	GENERAL OPERATING	83,334
COMPASSION AND CHOICES 101 SW MADISON ST SUITE 8009 PORTLAND, OR 97207	NONE	501(C)(3)	GENERAL OPERATING	10,000
DENVER FILM SOCIETY 2510 E COLFAX AVE DENVER, CO 80206	NONE	501(C)(3)	SOCIAL DILEMMA IMPACT CAMPAIGN	62,500
DOCTORS WITHOUT WALLS 19 E MICHELTORENA ST SANTA BARBARA, CA 93101	NONE	501(C)(3)	SB STREET MEDICINE	40,000
EARTHJUSTICE 707 WILSHIRE BLVD SUITE 4300 LOS ANGELES, CA 90017	NONE	501(C)(3)	GENERAL OPERATING	5,000
EQUAL JUSTICE INITIATIVE 122 COMMERCE ST MONTGOMERY, AL 36104	NONE	501(C)(3)	GENERAL OPERATING	25,000
FAMILY THERAPY INSTITUTE OF SANTA BARBARA 111 E ARRELLAGA ST SANTA BARBARA, CA 93101	NONE	501(C)(3)	GENERAL OPERATING	10,000
FARM TO SCHOOL OF PARK COUNTY 215 E LEWIS S SUITE 206 LIVINGSTON, MT 59047	NONE	501(C)(3)	GENERAL OPERATING	10,000
FRIENDS OF PARK COUNTY PO BOX 23 PRAY, MT 59065	NONE	501(C)(3)	GENERAL OPERATING	10,000
GOOD SAMARITAN SHELTER 401 W MORRISON AVE SUITE C SANTA MARIA, CA 93458	NONE	501(C)(3)	GENERAL OPERATING	50,000
HOSPICE OF SANTA BARBARA 2050 ALAMEDA PADRE SERRA UNIT 100 SANTA BARBARA, CA 93103	NONE	501(C)(3)	GENERAL OPERATING	15,000
INDIAN LAW RESOURCE CENTER 602 NORTH EWING STREET HELENA, MT 59601	NONE	501(C)(3)	GENERAL OPERATING	10,000
KABOOM 4301 CONNECTICUT AVE NW STE ML-1 WASHINGTON, DC 20008	NONE	501(C)(3)	2021 PLAYSPACE PROJECT	25,000
LEGACY PHILANTHROPY WORKS 521 SANTA BARBARA ST SANTA BARBARA, CA 93101	NONE	501(C)(3)	GENERAL OPERATING	36,000
MONTANA STATE UNIVERSITY 1501 SOUTH 11TH AVE BILLINGS, MT 59715	NONE	501(C)(3)	HILLEMAN SCHOLARS PROGRAM	10,000
ON BEING 1619 HENNEPIN AVE MINNEAPOLIS, MN 55403	NONE	501(C)(3)	GENERAL OPERATING	10,000
ONE HEART WORLDWIDE 8141 EL EXTENSO CT SAN DIEGO, CA 92119	NONE	501(C)(3)	GENERAL OPERATING	25,000
PARK COUNTY COMMUNITY FOUNDATION 104 S MAIN ST LIVINGSTON, MT 59047	NONE	501(C)(3)	GENERAL OPERATING	15,515
RECONSIDER AT LUNDY 895 LUNDY RD WAWARSING, NY 12489	NONE	501(C)(3)	GENERAL OPERATING	15,000
SANSUM CLINIC PO BOX 1200 SANTA BARBARA, CA 93102	NONE	501(C)(3)	GENERAL OPERATING	100,000

SANTA BARBARA ALLIANCE FOR COMMUNITY TRANSFORMATION (SB ACT) PO BOX 217 SANTA BARBARA,CA 93102	NONE	501(C)(3)	GENERAL OPERATING	45,000
SANTA BARBARA FOUNDATION 1111 CHAPALA ST SUITE 200 SANTA BARBARA,CA 93101	NONE	501(C)(3)	GIVING LIST	25,000
SANTA BARBARA FOUNDATION 1111 CHAPALA ST SUITE 200 SANTA BARBARA,CA 93101	NONE	501(C)(3)	SAN MARCOS FOOTHILLS FOREVER	25,000
SANTA BARBARA FOUNDATION 1111 CHAPALA ST SUITE 200 SANTA BARBARA,CA 93101	NONE	501(C)(3)	DONOR ADVISED FUND	100,000
SANTA BARBARA PUBLIC LIBRARY FOUNDATION PO BOX 92137 SANTA BARBARA,CA 93190	NONE	501(C)(3)	GENERAL OPERATING	10,000
SB NEIGHBORHOOD CLINICS 414 E COTA ST SANTA BARBARA,CA 93101	NONE	501(C)(3)	GENERAL OPERATING	50,000
SHOWERS OF BLESSINGS PO BOX 1135 GOLETA,CA 93116	NONE	501(C)(3)	GENERAL OPERATING	40,000
SUNDANCE INSTITUTE 1500 KEARNS BLV SUITE B110 PARK CITY,UT 84060	NONE	501(C)(3)	GENERAL OPERATING	25,000
UCSB ARTS AND LECTURES UNIVERSITY OF CALIFORNIA SANTA BARBARA SANTA BARBARA,CA 93117	NONE	501(C)(3)	GENERAL OPERATING	62,500
VETERINARY INITIATIVE FOR ENDANGERED WILDLIFE 1627 W MAIN ST SUITE 445 BOZEMAN,MT 59715	NONE	501(C)(3)	GENERAL OPERATING	20,000
WHITE BUFFALO LAND TRUST PO BOX 22 SUMMERLAND,CA 93067	NONE	501(C)(3)	GENERAL OPERATING	25,000
Total			▶ 3a	1,079,291
b Approved for future payment				
COMMUNITY ENVIRONMENTAL COUNCIL 1219 STATE ST SANTA BARBARA,CA 93101	NONE	501(C)(3)	GENERAL OPERATING	166,666
DENVER FILM SOCIETY 2510 E COLFAX AVE DENVER,CO 80206	NONE	501(C)(3)	SOCIAL DILEMMA IMPACT CAMPAIGN	62,500
PARK COUNTY COMMUNITY FOUNDATION 104 S MAIN ST LIVINGSTON,MT 59047	NONE	501(C)(3)	GENERAL OPERATING	15,000
SANTA BARBARA ALLIANCE FOR COMMUNITY TRANSFORMATION (SB ACT) PO BOX 217 SANTA BARBARA,CA 93102	NONE	501(C)(3)	GENERAL OPERATING	20,000
Total			▶ 3b	264,166

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

- 1 Program service revenue:
a
b
c
d
e
f
g Fees and contracts from government agencies
2 Membership dues and assessments
3 Interest on savings and temporary cash investments
4 Dividends and interest from securities
5 Net rental income or (loss) from real estate:
a Debt-financed property.
b Not debt-financed property.
6 Net rental income or (loss) from personal property
7 Other investment income
8 Gain or (loss) from sales of assets other than inventory
9 Net income or (loss) from special events:
10 Gross profit or (loss) from sales of inventory
11 Other revenue: a
b
c
d
e

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Includes rows for interest on savings (14, 50,528) and gain on sales (18, 1,168,381).

12 Subtotal. Add columns (b), (d), and (e). 0 1,520,521 0
13 Total. Add line 12, columns (b), (d), and (e). 13 1,520,521
(See worksheet in line 13 instructions to verify calculations.)

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes...

Additional Data

[Return to Form](#)

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: NATALIE ORFALEA FOUNDATION

EIN: 47-4602133

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	7,988	0		0

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2021 IRS 990 e-File Render

Name: NATALIE ORFALEA FOUNDATION

EIN: 47-4602133

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
AUDACIOUS FOUNDATION	PO BOX 91340 SANTA BARBARA, CA 93190	2020-08-11	28,942	AUDACIOUS FOUNDATION SERVES AS FISCAL AGENT FOR LOUD PROGRAM INITIATIVE		NONE	FINAL REPORT PROVIDED BY GRANTEE IN DECEMBER 2021.		N/A

TY 2021 IRS 990 e-File Render

Name: NATALIE ORFALEA FOUNDATION

EIN: 47-4602133

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	2,182,212	2,182,212

TY 2021 IRS 990 e-File Render

Name: NATALIE ORFALEA FOUNDATION

EIN: 47-4602133

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCKS	15,261,951	15,261,951

TY 2021 IRS 990 e-File Render**Name:** NATALIE ORFALEA FOUNDATION**EIN:** 47-4602133

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
1601 STATE STREET HOTEL INVESTORS, LP	FMV	92,747	92,747
COURSEBASE, LLC	FMV	151,529	151,529
IMPACT PARTNERS FILM INVESTMENTS	FMV	208,957	208,957
PRIVATE EQUITY FUNDS	FMV	85,806	85,806
REAL ESTATE FUNDS	FMV	517,805	517,805

TY 2021 IRS 990 e-File Render

Name: NATALIE ORFALEA FOUNDATION

EIN: 47-4602133

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL	1,042	0		0

TY 2021 IRS 990 e-File Render

Name: NATALIE ORFALEA FOUNDATION

EIN: 47-4602133

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE EXPENSES AND SUPPLIES	13,380	0		0
INSURANCE	2,716	0		0
IMPACT PARTNERS	40,000	0		40,000

TY 2021 IRS 990 e-File Render

Name: NATALIE ORFALEA FOUNDATION

EIN: 47-4602133

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
IMPACT PARTNERS LLC	69,083	69,083	69,083
SOCIAL DILEMMA LLC	-4,758	-4,758	-4,758
COURSEBASE, LLC	2,129	2,129	2,129
US STRATEGIC INDUSTRIAL FUND II-B, LP	-8,919	-8,919	-8,919
PRINCIPAL ENHANCED PROPERTY FUND, LP	16,809	16,809	16,809
THE REAGAN YEARS, LLC	-134	-134	-134

TY 2021 IRS 990 e-File Render

Name: NATALIE ORFALEA FOUNDATION

EIN: 47-4602133

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	1,914,281

TY 2021 IRS 990 e-File Render

Name: NATALIE ORFALEA FOUNDATION

EIN: 47-4602133

Description	Beginning of Year - Book Value	End of Year - Book Value
CREDIT CARDS PAYABLE	1,202	93

TY 2021 IRS 990 e-File Render

Name: NATALIE ORFALEA FOUNDATION

EIN: 47-4602133

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	79,447	79,447		0
CONSULTANTS	15,491	0		0

TY 2021 IRS 990 e-File Render

Name: NATALIE ORFALEA FOUNDATION

EIN: 47-4602133

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAXES	5,000	0		0
PAYROLL TAXES	7,846	0		4,707