

For calendar year 2021, or tax year beginning 01-01-2021 , and ending 12-31-2021

|                                                                                                                                                                                                                                                                                                     |  |                                                                                                                                                                                       |                                                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| Name of foundation<br>APHORISM FOUNDATION<br>C/O REALIZE CPA LLP                                                                                                                                                                                                                                    |  | A Employer identification number<br>46-4347021                                                                                                                                        |                                                             |
| Number and street (or P.O. box number if mail is not delivered to street address)<br>50 CALIFORNIA STREET 3550                                                                                                                                                                                      |  | Room/suite                                                                                                                                                                            | B Telephone number (see instructions)<br><br>(415) 362-5990 |
| City or town, state or province, country, and ZIP or foreign postal code<br>SAN FRANCISCO, CA 94111                                                                                                                                                                                                 |  | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                            |                                                             |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |  | D 1. Foreign organizations, check here..... <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/> |                                                             |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                   |  | E If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>                                                                   |                                                             |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ►\$ <u>1,219,904,752</u>                                                                                                                                                                                         |  | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>                                                                |                                                             |
| J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis.)                                                                                                     |  |                                                                                                                                                                                       |                                                             |

| Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small> |                                                                                                                 | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                                            | 1 Contributions, gifts, grants, etc., received (attach schedule)                                                | 0                                  |                           |                         |                                                             |
|                                                                                                                                                                                    | 2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B . . . . . |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 3 Interest on savings and temporary cash investments                                                            |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 4 Dividends and interest from securities . . .                                                                  | 9,301,154                          | 9,301,154                 |                         |                                                             |
|                                                                                                                                                                                    | 5a Gross rents . . . . .                                                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | b Net rental income or (loss) _____                                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 6a Net gain or (loss) from sale of assets not on line 10                                                        | 223,455,914                        |                           |                         |                                                             |
|                                                                                                                                                                                    | b Gross sales price for all assets on line 6a<br>345,397,107                                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 7 Capital gain net income (from Part IV, line 2) . . .                                                          |                                    | 121,941,192               |                         |                                                             |
|                                                                                                                                                                                    | 8 Net short-term capital gain . . . . .                                                                         |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 9 Income modifications . . . . .                                                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 10a Gross sales less returns and allowances                                                                     |                                    |                           |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                                              | b Less: Cost of goods sold . . . . .                                                                            |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | c Gross profit or (loss) (attach schedule) . . . . .                                                            |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 11 Other income (attach schedule) . . . . .                                                                     | 0                                  | -3,034,442                |                         |                                                             |
|                                                                                                                                                                                    | 12 <b>Total.</b> Add lines 1 through 11 . . . . .                                                               | 232,757,068                        | 128,207,904               |                         |                                                             |
|                                                                                                                                                                                    | 13 Compensation of officers, directors, trustees, etc.                                                          | 0                                  | 0                         |                         | 0                                                           |
|                                                                                                                                                                                    | 14 Other employee salaries and wages . . . . .                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 15 Pension plans, employee benefits . . . . .                                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 16a Legal fees (attach schedule) . . . . .                                                                      | 57,769                             | 57,769                    |                         | 0                                                           |
|                                                                                                                                                                                    | b Accounting fees (attach schedule) . . . . .                                                                   | 161,500                            | 161,500                   |                         | 0                                                           |
|                                                                                                                                                                                    | c Other professional fees (attach schedule) . . . . .                                                           | 433,574                            | 433,574                   |                         | 0                                                           |
|                                                                                                                                                                                    | 17 Interest . . . . .                                                                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 18 Taxes (attach schedule) (see instructions) . . .                                                             | 1,780,626                          | 0                         |                         | 0                                                           |
|                                                                                                                                                                                    | 19 Depreciation (attach schedule) and depletion . . .                                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 20 Occupancy . . . . .                                                                                          |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 21 Travel, conferences, and meetings . . . . .                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 22 Printing and publications . . . . .                                                                          |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | 23 Other expenses (attach schedule) . . . . .                                                                   | 32,825                             | 32,825                    |                         | 0                                                           |
|                                                                                                                                                                                    | 24 <b>Total operating and administrative expenses.</b><br>Add lines 13 through 23 . . . . .                     | 2,466,294                          | 685,668                   |                         | 0                                                           |
|                                                                                                                                                                                    | 25 Contributions, gifts, grants paid . . . . .                                                                  | 4,484,702                          |                           |                         | 4,484,702                                                   |
|                                                                                                                                                                                    | 26 <b>Total expenses and disbursements.</b> Add lines 24 and 25                                                 | 6,950,996                          | 685,668                   |                         | 4,484,702                                                   |
|                                                                                                                                                                                    | 27 Subtract line 26 from line 12:                                                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | a <b>Excess of revenue over expenses and disbursements</b>                                                      | 225,806,072                        |                           |                         |                                                             |
|                                                                                                                                                                                    | b <b>Net investment income</b> (if negative, enter -0-)                                                         |                                    | 127,522,236               |                         |                                                             |
|                                                                                                                                                                                    | c <b>Adjusted net income</b> (if negative, enter -0-)                                                           |                                    |                           |                         |                                                             |

| Part II                     |                                                                                                                            | Balance Sheets                                                                                                                    | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | Beginning of year | End of year    |                       |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                             |                                                                                                                            |                                                                                                                                   |                                                                                                                      | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1                                                                                                                          | Cash—non-interest-bearing . . . . .                                                                                               |                                                                                                                      | 16,488,502        | 62,627,620     | 62,627,620            |
|                             | 2                                                                                                                          | Savings and temporary cash investments . . . . .                                                                                  |                                                                                                                      |                   |                |                       |
|                             | 3                                                                                                                          | Accounts receivable ▶ _____<br>Less: allowance for doubtful accounts ▶ _____                                                      |                                                                                                                      |                   |                |                       |
|                             | 4                                                                                                                          | Pledges receivable ▶ _____<br>Less: allowance for doubtful accounts ▶ _____                                                       |                                                                                                                      |                   |                |                       |
|                             | 5                                                                                                                          | Grants receivable . . . . .                                                                                                       |                                                                                                                      |                   |                |                       |
|                             | 6                                                                                                                          | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |                                                                                                                      |                   |                |                       |
|                             | 7                                                                                                                          | Other notes and loans receivable (attach schedule) ▶ _____<br>Less: allowance for doubtful accounts ▶ _____                       |                                                                                                                      |                   |                |                       |
|                             | 8                                                                                                                          | Inventories for sale or use . . . . .                                                                                             |                                                                                                                      |                   |                |                       |
|                             | 9                                                                                                                          | Prepaid expenses and deferred charges . . . . .                                                                                   |                                                                                                                      |                   |                |                       |
|                             | 10a                                                                                                                        | Investments—U.S. and state government obligations (attach schedule)                                                               |                                                                                                                      | 196,027,650       | 202,599,414    | 202,599,414           |
|                             | b                                                                                                                          | Investments—corporate stock (attach schedule) . . . . .                                                                           |                                                                                                                      | 431,069           | 52,278         | 52,278                |
|                             | c                                                                                                                          | Investments—corporate bonds (attach schedule) . . . . .                                                                           |                                                                                                                      | 180,671,193       | 147,255,083    | 147,255,083           |
|                             | 11                                                                                                                         | Investments—land, buildings, and equipment: basis ▶ _____<br>Less: accumulated depreciation (attach schedule) ▶ _____             |                                                                                                                      |                   |                |                       |
|                             | 12                                                                                                                         | Investments—mortgage loans . . . . .                                                                                              |                                                                                                                      |                   |                |                       |
|                             | 13                                                                                                                         | Investments—other (attach schedule) . . . . .                                                                                     |                                                                                                                      | 598,809,794       | 807,347,018    | 807,347,018           |
|                             | 14                                                                                                                         | Land, buildings, and equipment: basis ▶ _____<br>Less: accumulated depreciation (attach schedule) ▶ _____                         |                                                                                                                      |                   |                |                       |
| 15                          | Other assets (describe ▶ _____)                                                                                            |                                                                                                                                   | 0                                                                                                                    | 23,339            | 23,339         |                       |
| 16                          | Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)                                |                                                                                                                                   | 992,428,208                                                                                                          | 1,219,904,752     | 1,219,904,752  |                       |
| Liabilities                 | 17                                                                                                                         | Accounts payable and accrued expenses . . . . .                                                                                   |                                                                                                                      | 32,735            | 36,250         |                       |
|                             | 18                                                                                                                         | Grants payable . . . . .                                                                                                          |                                                                                                                      | 12,124,460        | 8,065,825      |                       |
|                             | 19                                                                                                                         | Deferred revenue. . . . .                                                                                                         |                                                                                                                      |                   |                |                       |
|                             | 20                                                                                                                         | Loans from officers, directors, trustees, and other disqualified persons                                                          |                                                                                                                      |                   |                |                       |
|                             | 21                                                                                                                         | Mortgages and other notes payable (attach schedule) . . . . .                                                                     |                                                                                                                      |                   |                |                       |
|                             | 22                                                                                                                         | Other liabilities (describe ▶ _____)                                                                                              |                                                                                                                      | 0                 | 2,805,638      |                       |
|                             | 23                                                                                                                         | Total liabilities (add lines 17 through 22). . . . .                                                                              |                                                                                                                      | 12,157,195        | 10,907,713     |                       |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30. |                                                                                                                                   |                                                                                                                      |                   |                |                       |
|                             | 24                                                                                                                         | Net assets without donor restrictions . . . . .                                                                                   |                                                                                                                      | 980,271,013       | 1,208,997,039  |                       |
|                             | 25                                                                                                                         | Net assets with donor restrictions . . . . .                                                                                      |                                                                                                                      |                   |                |                       |
|                             | Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.         |                                                                                                                                   |                                                                                                                      |                   |                |                       |
|                             | 26                                                                                                                         | Capital stock, trust principal, or current funds . . . . .                                                                        |                                                                                                                      |                   |                |                       |
|                             | 27                                                                                                                         | Paid-in or capital surplus, or land, bldg., and equipment fund                                                                    |                                                                                                                      |                   |                |                       |
|                             | 28                                                                                                                         | Retained earnings, accumulated income, endowment, or other funds                                                                  |                                                                                                                      |                   |                |                       |
|                             | 29                                                                                                                         | Total net assets or fund balances (see instructions) . . . . .                                                                    |                                                                                                                      | 980,271,013       | 1,208,997,039  |                       |
|                             | 30                                                                                                                         | Total liabilities and net assets/fund balances (see instructions) .                                                               |                                                                                                                      | 992,428,208       | 1,219,904,752  |                       |

| Part III Analysis of Changes in Net Assets or Fund Balances |                                                                                                                                                                    |   |               |
|-------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---------------|
| 1                                                           | Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 980,271,013   |
| 2                                                           | Enter amount from Part I, line 27a . . . . .                                                                                                                       | 2 | 225,806,072   |
| 3                                                           | Other increases not included in line 2 (itemize) ▶ _____                                                                                                           | 3 | 2,983,622     |
| 4                                                           | Add lines 1, 2, and 3 . . . . .                                                                                                                                    | 4 | 1,209,060,707 |
| 5                                                           | Decreases not included in line 2 (itemize) ▶ _____                                                                                                                 | 5 | 63,668        |
| 6                                                           | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.                                                               | 6 | 1,208,997,039 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b)<br>How acquired<br>P—Purchase<br>D—Donation | (c)<br>Date acquired<br>(mo., day, yr.) | (d)<br>Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| <b>1 a</b> VARIOUS BROKERAGE ACCOUNTS                                                                                              | P                                               | 2020-12-31                              |                                     |
| <b>b</b> ALTERNATIVE INVESTMENTS                                                                                                   | P                                               | 2020-12-31                              |                                     |
| <b>c</b> VARIOUS INVESTMENTS                                                                                                       | P                                               | 2020-12-31                              |                                     |
| <b>d</b>                                                                                                                           |                                                 |                                         |                                     |
| <b>e</b>                                                                                                                           |                                                 |                                         |                                     |

| (e)<br>Gross sales price | (f)<br>Depreciation allowed<br>(or allowable) | (g)<br>Cost or other basis<br>plus expense of sale | (h)<br>Gain or (loss)<br>(e) plus (f) minus (g) |
|--------------------------|-----------------------------------------------|----------------------------------------------------|-------------------------------------------------|
| <b>a</b> 64,356,992      |                                               | 2,692,303                                          | 61,664,689                                      |
| <b>b</b> 192,508,937     |                                               | 132,232,434                                        | 60,276,503                                      |
| <b>c</b> 88,531,178      |                                               | 88,531,178                                         | 0                                               |
| <b>d</b>                 |                                               |                                                    |                                                 |
| <b>e</b>                 |                                               |                                                    |                                                 |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i)<br>F.M.V. as of 12/31/69 | (j)<br>Adjusted basis<br>as of 12/31/69 | (k)<br>Excess of col. (i)<br>over col. (j), if any | (l)<br>Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|------------------------------|-----------------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------------------------|
| <b>a</b>                     |                                         |                                                    | 61,664,689                                                                                        |
| <b>b</b>                     |                                         |                                                    | 60,276,503                                                                                        |
| <b>c</b>                     |                                         |                                                    | 0                                                                                                 |
| <b>d</b>                     |                                         |                                                    |                                                                                                   |
| <b>e</b>                     |                                         |                                                    |                                                                                                   |

Capital gain net income or (net capital loss)

{ If gain, also enter in Part I, line 7  
If (loss), enter -0- in Part I, line 7 }

**2**

121,941,192

**2**  
**3**

Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-  
in Part I, line 8 . . . . . }

**3**



Part VI-A

Statements Regarding Activities (continued)

|    |                                                                                                                                                                                                                                                                                                                                 |    |     |    |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .                                                                                                                                 | 11 | Yes |    |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .                                                                                                                               | 12 |     | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►N/A                                                                                                                                                                                        | 13 | Yes |    |
| 14 | The books are in care of ►REALIZE CPA LLP Telephone no. ►(415) 362-5990<br>Located at ►50 CALIFORNIA STREET SUITE 3550 SAN FRANCISCO CA ZIP+4 ►94111                                                                                                                                                                            |    |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here . . . . .<br>and enter the amount of tax-exempt interest received or accrued during the year . . . . . 15                                                                                                                    |    |     |    |
| 16 | At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign | 16 | Yes | No |

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required

|                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |       |     |    |
|-----------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|-----|----|
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |       | Yes | No |
| 1a                                                                                      | During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                                      |       |     |    |
|                                                                                         | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                         | 1a(1) |     | No |
|                                                                                         | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                 | 1a(2) |     | No |
|                                                                                         | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                               | 1a(3) |     | No |
|                                                                                         | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                                     | 1a(4) |     | No |
|                                                                                         | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .                                                                                                                                                                                                                                                                                                                                                  | 1a(5) |     | No |
|                                                                                         | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                          | 1a(6) |     | No |
| b                                                                                       | If any answer is "Yes" to 1a(1)–(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions                                                                                                                                                                                                                                                                                       | 1b    |     |    |
| c                                                                                       | Organizations relying on a current notice regarding disaster assistance check here. . . . .                                                                                                                                                                                                                                                                                                                                                                                                              |       |     |    |
| d                                                                                       | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021? . . . . .                                                                                                                                                                                                                                                                                                        | 1d    |     | No |
| 2                                                                                       | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                           |       |     |    |
| a                                                                                       | At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? . . . . .<br>If "Yes," list the years ► 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                  | 2a    |     | No |
| b                                                                                       | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .                                                                                                                                                                          | 2b    |     |    |
| c                                                                                       | If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.<br>► 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                                                                              |       |     |    |
| 3a                                                                                      | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .                                                                                                                                                                                                                                                                                                                                                                     | 3a    | Yes |    |
| b                                                                                       | If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.) . . . . . | 3b    |     | No |
| 4a                                                                                      | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                          | 4a    |     | No |
| b                                                                                       | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?                                                                                                                                                                                                                                                                        | 4b    |     | No |

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

(3) Provide a grant to an individual for travel, study, or other similar purposes?

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

b

If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

c

Organizations relying on a current notice regarding disaster assistance check here

d

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  
If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

8

Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Yes

No

5a(1)

No

5a(2)

No

5a(3)

No

5a(4)

No

5a(5)

No

5b

5c

5d

6a

No

6b

No

7a

No

7b

8

No

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation. See instructions

| (a) Name and address                                                        | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-----------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| REID HOFFMAN<br>50 CALIFORNIA STREET SUITE 3550<br>SAN FRANCISCO, CA 94111  | PPRES/TREAS/DIR<br>1.00                                   | 0                                         | 0                                                                     | 0                                     |
| FRANK F HUANG<br>50 CALIFORNIA STREET SUITE 3550<br>SAN FRANCISCO, CA 94111 | SECRETARY<br>1.00                                         | 0                                         | 0                                                                     | 0                                     |

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total

number of other employees paid over \$50,000.

0

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

| 3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE". |                     |                  |
|------------------------------------------------------------------------------------------------------------------|---------------------|------------------|
| (a) Name and address of each person paid more than \$50,000                                                      | (b) Type of service | (c) Compensation |
| ICONIQ CAPITAL LLC<br>394 PACIFIC AVENUE FLOOR 2<br>SAN FRANCISCO,CA 94111                                       | INVESTMENT SERVICES | 235,747          |
| REALIZE CPA LLP<br>50 CALIFORNIA STREET SUITE 3550<br>SAN FRANCISCO,CA 94111                                     |                     |                  |
| GURTIN FIXED INCOME MANAGEMENT LLC<br>601 CALIFORNIA STREET SUITE 1901<br>SAN FRANCISCO,CA 94108                 | INVESTMENT SERVICES | 107,571          |
| BLACKROCK INVESTMENT MANAGEMENT LLC<br>400 HOWARD STREET<br>SAN FRANCISCO,CA 94105                               |                     |                  |
| MOSS ADAMS LLP<br>101 2ND STREET 900<br>SAN FRANCISCO,CA 94105                                                   | ACCOUNTING SERVICES | 31,500           |
|                                                                                                                  |                     |                  |

Total number of others receiving over \$50,000 for professional services. . . . .

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|   | Expenses |
|---|----------|
| 1 |          |
|   |          |
|   |          |
| 2 |          |
|   |          |
|   |          |
| 3 |          |
|   |          |
|   |          |
| 4 |          |
|   |          |
|   |          |

Part VIII- Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|-------------------------------------------------------------------------------------------------------------------|--------|
| 1                                                                                                                 |        |
|                                                                                                                   |        |
|                                                                                                                   |        |
| 2                                                                                                                 |        |
|                                                                                                                   |        |
|                                                                                                                   |        |
| All other program-related investments. See instructions.                                                          |        |
| 3                                                                                                                 |        |
|                                                                                                                   |        |
|                                                                                                                   |        |

**Part IX Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                                          |           |               |
|----------|--------------------------------------------------------------------------------------------------------------------------|-----------|---------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:              |           |               |
| <b>a</b> | Average monthly fair market value of securities. . . . .                                                                 | <b>1a</b> | 344,352,548   |
| <b>b</b> | Average of monthly cash balances. . . . .                                                                                | <b>1b</b> | 32,966,723    |
| <b>c</b> | Fair market value of all other assets (see instructions). . . . .                                                        | <b>1c</b> | 683,048,544   |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c). . . . .                                                                           | <b>1d</b> | 1,060,367,815 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .       | <b>1e</b> | 0             |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                                     | <b>2</b>  | 0             |
| <b>3</b> | Subtract line 2 from line 1d. . . . .                                                                                    | <b>3</b>  | 1,060,367,815 |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions). . . . . | <b>4</b>  | 15,905,517    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3.                                               | <b>5</b>  | 1,044,462,298 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% (0.05) of line 5. . . . .                                                     | <b>6</b>  | 52,223,115    |

**Part X Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                                   |           |            |
|-----------|-------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b>  | Minimum investment return from Part IX, line 6. . . . .                                                           | <b>1</b>  | 52,223,115 |
| <b>2a</b> | Tax on investment income for 2021 from Part V, line 5. . . . .                                                    | <b>2a</b> | 1,772,559  |
| <b>b</b>  | Income tax for 2021. (This does not include the tax from Part V.). . . . .                                        | <b>2b</b> | 279,649    |
| <b>c</b>  | Add lines 2a and 2b. . . . .                                                                                      | <b>2c</b> | 2,052,208  |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1. . . . .                                    | <b>3</b>  | 50,170,907 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions. . . . .                                                | <b>4</b>  | 0          |
| <b>5</b>  | Add lines 3 and 4. . . . .                                                                                        | <b>5</b>  | 50,170,907 |
| <b>6</b>  | Deduction from distributable amount (see instructions). . . . .                                                   | <b>6</b>  | 0          |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1. . . . . | <b>7</b>  | 50,170,907 |

**Part XI Qualifying Distributions** (see instructions)

|          |                                                                                                                    |           |  |
|----------|--------------------------------------------------------------------------------------------------------------------|-----------|--|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                         |           |  |
| <b>a</b> | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .                               | <b>1a</b> |  |
| <b>b</b> | Program-related investments—total from Part VIII-B                                                                 | <b>1b</b> |  |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . . | <b>2</b>  |  |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                               |           |  |
| <b>a</b> | Suitability test (prior IRS approval required). . . . .                                                            | <b>3a</b> |  |
| <b>b</b> | Cash distribution test (attach the required schedule). . . . .                                                     | <b>3b</b> |  |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part XII, line 4.                      | <b>4</b>  |  |

Part XII

Undistributed Income (see instructions)

|                                                                                                                                                                               | (a)<br>Corpus | (b)<br>Years prior to 2020 | (c)<br>2020 | (d)<br>2021 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2021 from Part X, line 7                                                                                                                           |               |                            |             | 50,170,907  |
| 2 Undistributed income, if any, as of the end of 2021:                                                                                                                        |               |                            |             |             |
| a Enter amount for 2020 only. . . . .                                                                                                                                         |               |                            | 0           |             |
| b Total for prior years: 20____, 20____, 20____                                                                                                                               |               | 0                          |             |             |
| 3 Excess distributions carryover, if any, to 2021:                                                                                                                            |               |                            |             |             |
| a From 2016. . . . .                                                                                                                                                          |               |                            |             |             |
| b From 2017. . . . .                                                                                                                                                          |               |                            |             |             |
| c From 2018. . . . .                                                                                                                                                          |               |                            |             | 54,800,562  |
| d From 2019. . . . .                                                                                                                                                          |               |                            |             |             |
| e From 2020. . . . .                                                                                                                                                          |               |                            |             |             |
| f Total of lines 3a through e. . . . .                                                                                                                                        | 54,800,562    |                            |             |             |
| 4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ 4,484,702                                                                                                      |               |                            |             |             |
| a Applied to 2020, but not more than line 2a                                                                                                                                  |               |                            | 0           |             |
| b Applied to undistributed income of prior years (Election required—see instructions). . . . .                                                                                |               | 0                          |             |             |
| c Treated as distributions out of corpus (Election required—see instructions). . . . .                                                                                        | 0             |                            |             |             |
| d Applied to 2021 distributable amount                                                                                                                                        |               |                            |             | 4,484,702   |
| e Remaining amount distributed out of corpus                                                                                                                                  | 0             |                            |             |             |
| 5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)                                          | 45,686,205    |                            |             | 45,686,205  |
| 6 Enter the net total of each column as indicated below:                                                                                                                      |               |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                           | 9,114,357     |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b. . . . .                                                                                                   |               | 0                          |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed    |               | 0                          |             |             |
| d Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .                                                                                                     |               | 0                          |             |             |
| e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .                                                                      |               |                            | 0           |             |
| f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022                                                                 |               |                            |             | 0           |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . . | 0             |                            |             |             |
| 8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)                                                                                 | 0             |                            |             |             |
| 9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a                                                                                                 | 9,114,357     |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                        |               |                            |             |             |
| a Excess from 2017                                                                                                                                                            |               |                            |             |             |
| b Excess from 2018                                                                                                                                                            |               | 9,114,357                  |             |             |
| c Excess from 2019. . . . .                                                                                                                                                   |               |                            |             |             |
| d Excess from 2020                                                                                                                                                            |               |                            |             |             |
| e Excess from 2021                                                                                                                                                            |               |                            |             |             |



Part

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                                                  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount    |
|----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|-----------|
| Name and address (home or business)                                                                                        |                                                                                                           |                                |                                  |           |
| <b>a</b> <i>Paid during the year</i><br>BRIGHAM AND WOMEN'S HOSPITAL<br>116 HUNTINGTON AVENUE 3RD FLOOR<br>BOSTON,MA 02116 |                                                                                                           | P C                            | GENERAL SUPPORT                  | 1,000,000 |
| CENTER OF COMPLEX INTERVENTIONS<br>15 WALNUT STREET SUITE 150<br>WELLESLEY,MA 02481                                        |                                                                                                           | P C                            | GENERAL SUPPORT                  | 1,000,000 |
| CHAN ZUCKERBERG BIOHUB INC<br>499 ILLINOIS STREET<br>SAN FRANCISCO,CA 94158                                                |                                                                                                           | P C                            | GENERAL SUPPORT                  | 2,000,000 |
| ROCKEFELLER PHILANTHROPY ADVISORS<br>6 WEST 48TH STREET 10TH FLOOR<br>NEW YORK,NY 10036                                    |                                                                                                           | P C                            | GENERAL SUPPORT                  | 165,000   |
| UNIVERSITY OF TORONTO<br>27 KINGS COLLEGE CIRCLE<br>TORONTO<br>C A                                                         |                                                                                                           | P C                            | GENERAL SUPPORT                  | 319,702   |
| <b>Total</b> . . . . . ▶ <b>3a</b>                                                                                         |                                                                                                           |                                |                                  | 4,484,702 |
| <b>b</b> <i>Approved for future payment</i>                                                                                |                                                                                                           |                                |                                  |           |
| <b>Total</b> . . . . . ▶ <b>3b</b>                                                                                         |                                                                                                           |                                |                                  | 0         |

| Enter gross amounts unless otherwise indicated.                                |  | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(See<br>instructions.) |
|--------------------------------------------------------------------------------|--|---------------------------|---------------|--------------------------------------|---------------|-----------------------------------------------------------------------|
|                                                                                |  | (a)<br>Business<br>code   | (b)<br>Amount | (c)<br>Exclusion code                | (d)<br>Amount |                                                                       |
| <b>1</b> Program service revenue:                                              |  |                           |               |                                      |               |                                                                       |
| <b>a</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>b</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>c</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>d</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>e</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>f</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>g</b> Fees and contracts from government agencies                           |  |                           |               |                                      |               |                                                                       |
| <b>2</b> Membership dues and assessments . . . .                               |  |                           |               |                                      |               |                                                                       |
| <b>3</b> Interest on savings and temporary cash<br>investments . . . . .       |  |                           |               |                                      |               |                                                                       |
| <b>4</b> Dividends and interest from securities<br>. . . .                     |  |                           |               |                                      |               |                                                                       |
| <b>5</b> Net rental income or (loss) from real estate:                         |  |                           |               |                                      |               |                                                                       |
| <b>a</b> Debt-financed property. . . . .                                       |  |                           |               |                                      |               |                                                                       |
| <b>b</b> Not debt-financed property. . . . .                                   |  |                           |               |                                      |               |                                                                       |
| <b>6</b> Net rental income or (loss) from personal<br>property                 |  |                           |               |                                      |               |                                                                       |
| <b>7</b> Other investment income . . . . .                                     |  |                           |               |                                      |               |                                                                       |
| <b>8</b> Gain or (loss) from sales of assets other than<br>inventory . . . . . |  |                           |               | 18                                   | 223,455,914   |                                                                       |
| <b>9</b> Net income or (loss) from special events:                             |  |                           |               |                                      |               |                                                                       |
| <b>10</b> Gross profit or (loss) from sales of inventory                       |  |                           |               |                                      |               |                                                                       |
| <b>11</b> Other revenue: <b>a</b> _____                                        |  |                           |               |                                      |               |                                                                       |
| <b>b</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>c</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>d</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>e</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>12</b> Subtotal. Add columns (b), (d), and (e) . .                          |  |                           | 0             |                                      | 232,757,068   | 0                                                                     |
| <b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . .               |  |                           |               | <b>13</b>                            |               | 232,757,068                                                           |

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

**Additional Data**

**Return to Form**

**Software ID:**

**Software Version:**

**Form 990PF - Special Condition Description:**

**Special Condition Description**

## TY 2021 IRS 990 e-File Render

**Name:** APHORISM FOUNDATION

C/O REALIZE CPA LLP

**EIN:** 46-4347021

| Category                               | Amount  | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|----------------------------------------|---------|--------------------------|------------------------|---------------------------------------------|
| ACCOUNTING AND TAX<br>PREPARATION FEES | 161,500 | 161,500                  |                        | 0                                           |

## TY 2021 IRS 990 e-File Render

**Name:** APHORISM FOUNDATION

C/O REALIZE CPA LLP

**EIN:** 46-4347021

**Election:** PURSUANT TO IRC SEC. 4942(H)(2) AND REG. 53.4942(A)-3(D)(2),  
APHORISM FOUNDATION HEREBY ELECTS TO TREAT CURRENT YEAR  
QUALIFYING DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY  
PRECEDING TAX YEAR'S UNDISTRIBUTED INCOME AS BEING MADE  
OUT OF CORPUS.SIGNED: \_\_\_\_\_NAME  
& TITLE: REID HOFFMAN,PRESIDENT

## TY 2021 IRS 990 e-File Render

**Name:** APHORISM FOUNDATION

C/O REALIZE CPA LLP

**EIN:** 46-4347021

| Identifier                | Return Reference                | Explanation                                                                                                                                                                                                                                                                                                          |
|---------------------------|---------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| LIST OF CONTROLLED ENTITY | FORM 990-PF, PART VI-A, LINE 11 | NAME: NEOTENY 3 CO-INVESTMENT I, LPEIN: 83-1960637ADDRESS: C/O PRAGUE & CO. 15 WALNUT STREET, SUITE 150 WELLESLEY, MA 02481AMOUNT OF TRANSFERS TO CONTROLLED ENTITY: N/AEXCESS BUSINESS HOLDING: NONAME: RBP CATALYST FUND, LPEIN: 46-4347021ADDRESS: 1807 W. 11TH STREET AUTIN, TX 78703EXCESS BUSINESS HOLDING: NO |

**TY 2021 IRS 990 e-File Render**

**Name:** APHORISM FOUNDATION

C/O REALIZE CPA LLP

**EIN:** 46-4347021

| Name of Bond    | End of Year Book Value | End of Year Fair Market Value |
|-----------------|------------------------|-------------------------------|
| CORPORATE BONDS | 147,255,083            | 147,255,083                   |

# TY 2021 IRS 990 e-File Render

**Name:** APHORISM FOUNDATION  
C/O REALIZE CPA LLP

**EIN:** 46-4347021

| Name of Stock    | End of Year Book Value | End of Year Fair Market Value |
|------------------|------------------------|-------------------------------|
| CORPORATE STOCKS | 52,278                 | 52,278                        |

## TY 2021 IRS 990 e-File Render

**Name:** APHORISM FOUNDATION

C/O REALIZE CPA LLP

**EIN:** 46-4347021

**US Government Securities - End of  
Year Book Value:**

202,599,414

**US Government Securities - End of  
Year Fair Market Value:**

202,599,414

**State & Local Government  
Securities - End of Year Book  
Value:**

0

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

0

**Name:** APHORISM FOUNDATION  
C/O REALIZE CPA LLP  
**EIN:** 46-4347021

| Category/ Item                                          | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---------------------------------------------------------|-----------------------|------------|-------------------------------|
| 06 - LUXOR CAPITAL PARTNERS OFFSHORE LTD, SERIES 2322-1 | FMV                   | 19,048     | 19,048                        |
| 11 - ICONIQ ACCESS 37 LP                                | FMV                   | 3,851,774  | 3,851,774                     |
| 12 - ICONIQ STRATEGIC PARTNERS II-B                     | FMV                   | 13,603,964 | 13,603,964                    |
| 13 - PRIME FINANCE PARTNERS IV LP                       | FMV                   | 157,255    | 157,255                       |
| 14 - DRAWBRIDGE SPECIAL OPPORTUNITIES OFFSHORE FUND LTD | FMV                   | 642,750    | 642,750                       |
| 16 - CERBERUS ICQ OFFSHORE LEVERED                      | FMV                   | 32,065,277 | 32,065,277                    |
| 17 - CAPRICORN HEALTHCARE & SPECIAL OPPORTUNITIES II LP | FMV                   | 2,682,478  | 2,682,478                     |
| 27 - ENDEAVOR CATALYST II LP                            | FMV                   | 7,907,835  | 7,907,835                     |
| 28 - THE RISE FUND (B) LP                               | FMV                   | 67,280,064 | 67,280,064                    |
| 30 - BREAKTHROUGH ENERGY VENTURES                       | FMV                   | 16,051,451 | 16,051,451                    |
| 31 - ICONIQ STRATEGIC PARTNERS III-B                    | FMV                   | 62,939,965 | 62,939,965                    |
| 33 - NEO FUND 1.0                                       | FMV                   | 12,412,007 | 12,412,007                    |
| 36 - IPI DATA CENTER PARTNERS FUND                      | FMV                   | 55,564,980 | 55,564,980                    |
| 39 - RISE OF THE REST SEED FUND                         | FMV                   | 422,873    | 422,873                       |
| 40 - SOUTH PARK COMMONS FUND                            | FMV                   | 12,178,900 | 12,178,900                    |
| 41 - PEMBROKE TCM DULANEY                               | FMV                   | 5,807,930  | 5,807,930                     |
| 42 - FRANKLIN TEMPLETON REAL ESTATE PARTNERS III        | FMV                   | 1,953,126  | 1,953,126                     |
| 43 - TPG GROWTH IV FOF                                  | FMV                   | 1,162,707  | 1,162,707                     |
| 44 - COMMON SENSE GROWTH FUND                           | FMV                   | 1,626,123  | 1,626,123                     |
| 45 - ALTOS ROBLOX SPV 1                                 | FMV                   | 65,497,600 | 65,497,600                    |
| 46 - NEOTENY 4                                          | FMV                   | 15,000,000 | 15,000,000                    |
| 48 - LEADOUT CAPITAL                                    | FMV                   | 1,346,754  | 1,346,754                     |
| 49 - TOWNSEND REAL ESTATE ALPHA III                     | FMV                   | 18,613,040 | 18,613,040                    |
| 50 - RBP CATALYST FUND                                  | FMV                   | 4,298,398  | 4,298,398                     |
| 52 - ICONIQ STRATEGIC PARTNERS IV                       | FMV                   | 72,340,069 | 72,340,069                    |
| 53 - REINVENT CAPITAL FUND                              | FMV                   | 41,201,598 | 41,201,598                    |
| 54 - CODA PROJECT                                       | FMV                   | 25,526,037 | 25,526,037                    |
| 55 - SPATIAL SYSTEMS                                    | FMV                   | 162,245    | 162,245                       |
| 56 - KASZEK VENTURES IV                                 | FMV                   | 4,448,140  | 4,448,140                     |
| 57 - KASZEK VENTURES OPPORTUNITY I                      | FMV                   | 6,214,332  | 6,214,332                     |
| 58 - ENDEAVOR CATALYST III                              | FMV                   | 9,460,385  | 9,460,385                     |
| 59 - RISE OF THE REST SEED FUND II                      | FMV                   | 158,903    | 158,903                       |
| 60 - MIRACLE PLUS FUND I                                | FMV                   | 2,423,889  | 2,423,889                     |
| 61 - THE RISE FUND II, LP                               | FMV                   | 6,958,141  | 6,958,141                     |
| 62 - DURABLE CAPITAL OFFSHORE FUND                      | FMV                   | 69,678,858 | 69,678,858                    |
| 63 - MIR PARTNERS, INC.                                 | FMV                   | 999,999    | 999,999                       |
| 64 - MOSAIC VENTURES INVESTORS FUND                     | FMV                   | 253,690    | 253,690                       |
| 65 - WAZEE STREET OPP FUND V                            | FMV                   | 2,385,294  | 2,385,294                     |
| 66 - CORI INNOVATION FUND, LLC                          | FMV                   | 926,968    | 926,968                       |
| 67 - MITOLAB                                            | FMV                   | 279,996    | 279,996                       |

| Category/ Item                              | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---------------------------------------------|-----------------------|------------|-------------------------------|
| 68 - ICONIQ STRATEGIC PARTNERS V-B          | FMV                   | 23,759,907 | 23,759,907                    |
| 69 - SORNAYBITA (ACP BARITE)                | FMV                   | 23,052,009 | 23,052,009                    |
| 71 - XYZ VENTURE CAPITAL FUND, LP           | FMV                   | 2,096,995  | 2,096,995                     |
| 72 - ADDITION ONE, LP                       | FMV                   | 18,215,731 | 18,215,731                    |
| 73 - GREYLOCK 16 PRINCIPALS, LP             | FMV                   | 6,015,275  | 6,015,275                     |
| 74 - NEO FUND 2.0, LP                       | FMV                   | 2,287,946  | 2,287,946                     |
| 75 - SABA CAPITAL CARRY NEUTRAL TAIL        | FMV                   | 24,809,301 | 24,809,301                    |
| 76 - ZIGG CAPITAL II, LP                    | FMV                   | 2,249,203  | 2,249,203                     |
| 77 - ADDITION TWO, LP                       | FMV                   | 8,805,575  | 8,805,575                     |
| 78 - GOBRANDS, INC.                         | FMV                   | 29,999,788 | 29,999,788                    |
| 79 - ZIPLINE INTERNATIONAL                  | FMV                   | 1,999,986  | 1,999,986                     |
| 80 - BRONZE VENTURE FUND II, LP             | FMV                   | 1,843,361  | 1,843,361                     |
| 82 - SORNAYLINK, LP                         | FMV                   | 417,304    | 417,304                       |
| 83 - WORLDCOIN                              | FMV                   | 500,108    | 500,108                       |
| 84 - MANARA, CO.                            | FMV                   | 100,000    | 100,000                       |
| 85 - CENT, INC.                             | FMV                   | 500,000    | 500,000                       |
| 86 - MORPHIMMUNE INC.                       | FMV                   | 1,000,000  | 1,000,000                     |
| 87 - NEO OPPORTUNITIES FUND 1.1, LP         | AT COST               | 983,544    | 983,544                       |
| 89 - DURABLE CAPITAL OPP OFFSHORE           | FMV                   | 8,071,455  | 8,071,455                     |
| 90 - LEADOUT CPAITAL II, LP                 | FMV                   | 98,622     | 98,622                        |
| 91 - XYZ VENTURE CAPITAL GO FASTER FUND, LP | FMV                   | 808,255    | 808,255                       |
| 92 - NEO SPV 2.1, LP                        | FMV                   | 1,002,727  | 1,002,727                     |
| 93 - HERETIC STUDIO FUND, LP                | FMV                   | 400,000    | 400,000                       |
| 94 - XYZ VENTURE CAPITAL FUND III, LP       | FMV                   | 378,095    | 378,095                       |
| 95 - UNDETERRED CAPITAL, LP                 | FMV                   | 488,008    | 488,008                       |
| 96 - DEMOSPHERE INTERNATIONAL               | FMV                   | 25,000     | 25,000                        |
| NORTHERN TRUST HIGH YIELD CORPS             | FMV                   | 27,424     | 27,424                        |
| NORTHERN TRUST MULTI SECTOR CRED            | FMV                   | 906,556    | 906,556                       |

## TY 2021 IRS 990 e-File Render

**Name:** APHORISM FOUNDATION

C/O REALIZE CPA LLP

**EIN:** 46-4347021

| Category   | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|------------|--------|--------------------------|------------------------|---------------------------------------------|
| LEGAL FEES | 57,769 | 57,769                   |                        | 0                                           |

**TY 2021 IRS 990 e-File Render**

**Name:** APHORISM FOUNDATION  
C/O REALIZE CPA LLP  
**EIN:** 46-4347021

| Description                     | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|---------------------------------|--------------------------------|--------------------------|---------------------------------|
| UNSETTLED INVESTMENT RECEIVABLE |                                | 23,339                   | 23,339                          |

# TY 2021 IRS 990 e-File Render

**Name:** APHORISM FOUNDATION

C/O REALIZE CPA LLP

**EIN:** 46-4347021

| Description              | Amount |
|--------------------------|--------|
| PRIOR PERIOD ADJUSTMENTS | 63,668 |

## TY 2021 IRS 990 e-File Render

**Name:** APHORISM FOUNDATION

C/O REALIZE CPA LLP

**EIN:** 46-4347021

| Description       | Revenue and<br>Expenses per Books | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements for<br>Charitable Purposes |
|-------------------|-----------------------------------|--------------------------|------------------------|------------------------------------------|
| INSURANCE EXPENSE | 32,442                            | 32,442                   |                        | 0                                        |
| INTEREST EXPENSE  | 383                               | 383                      |                        | 0                                        |

**TY 2021 IRS 990 e-File Render**

**Name:** APHORISM FOUNDATION  
C/O REALIZE CPA LLP  
**EIN:** 46-4347021

| Description             | Revenue And<br>Expenses Per Books | Net Investment<br>Income | Adjusted Net<br>Income |
|-------------------------|-----------------------------------|--------------------------|------------------------|
| ALTERNATIVE INVESTMENTS | 0                                 | -3,034,442               | 0                      |

**TY 2021 IRS 990 e-File Render**

**Name:** APHORISM FOUNDATION

C/O REALIZE CPA LLP

**EIN:** 46-4347021

| Description    | Amount    |
|----------------|-----------|
| GRANTS PAYABLE | 2,983,622 |

## TY 2021 IRS 990 e-File Render

**Name:** APHORISM FOUNDATION

C/O REALIZE CPA LLP

**EIN:** 46-4347021

| Description            | Beginning of Year<br>- Book Value | End of Year -<br>Book Value |
|------------------------|-----------------------------------|-----------------------------|
| DEFERRED TAX LIABILITY | 0                                 | 2,705,638                   |
| OTHER PAYABLE          | 0                                 | 100,000                     |

## TY 2021 IRS 990 e-File Render

**Name:** APHORISM FOUNDATION

C/O REALIZE CPA LLP

**EIN:** 46-4347021

| Category                | Amount  | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|-------------------------|---------|--------------------------|------------------------|---------------------------------------------|
| FINANCIAL ADVISORY FEES | 433,574 | 433,574                  |                        | 0                                           |

## TY 2021 IRS 990 e-File Render

**Name:** APHORISM FOUNDATION

C/O REALIZE CPA LLP

**EIN:** 46-4347021

| Name         | Address                                                   |
|--------------|-----------------------------------------------------------|
| REID HOFFMAN | 50 CALIFORNIA STREET SUITE 3550<br>SAN FRANCISCO,CA 94111 |
| MICHELLE YEE | 50 CALIFORNIA STREET SUITE 3550<br>SAN FRANCISCO,CA 94111 |

## TY 2021 IRS 990 e-File Render

**Name:** APHORISM FOUNDATION

C/O REALIZE CPA LLP

**EIN:** 46-4347021

| Category              | Amount    | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|-----------------------|-----------|--------------------------|------------------------|---------------------------------------------|
| FEDERAL TAX LIABILITY | 1,780,626 | 0                        |                        | 0                                           |