

For calendar year 2021, or tax year beginning 01-01-2021, and ending 12-31-2021

Name of foundation: CHAN ZUCKERBERG INITIATIVE FOUNDATION. A Employer identification number: 45-5002209. B Telephone number: (650) 804-7100. C If exemption application is pending, check here. D 1. Foreign organizations, check here. D 2. Foreign organizations meeting the 85% test, check here and attach computation. E If private foundation status was terminated under section 507(b)(1)(A), check here. F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. H Check type of organization: Section 501(c)(3) exempt private foundation. J Accounting method: Accrual.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include: 1 Contributions, gifts, grants, etc., received (362,500,000); 2 Check if the foundation is not required to attach Sch. B; 3 Interest on savings and temporary cash investments; 4 Dividends and interest from securities (3,528,239); 5a Gross rents; b Net rental income or (loss); 6a Net gain or (loss) from sale of assets not on line 10 (397,848,379); b Gross sales price for all assets on line 6a (1,086,090,953); 7 Capital gain net income (from Part IV, line 2) (1,074,678,378); 8 Net short-term capital gain; 9 Income modifications; 10a Gross sales less returns and allowances; b Less: Cost of goods sold; c Gross profit or (loss) (attach schedule); 11 Other income (attach schedule) (130,181,082); 12 Total. Add lines 1 through 11 (894,057,700); 13 Compensation of officers, directors, trustees, etc. (0); 14 Other employee salaries and wages (22,829,774); 15 Pension plans, employee benefits (3,300,121); 16a Legal fees (attach schedule) (346,130); b Accounting fees (attach schedule) (320,644); c Other professional fees (attach schedule) (3,928,320); 17 Interest; 18 Taxes (attach schedule) (see instructions) (10,000,000); 19 Depreciation (attach schedule) and depletion; 20 Occupancy (5,943); 21 Travel, conferences, and meetings (32,353); 22 Printing and publications; 23 Other expenses (attach schedule) (167,186); 24 Total operating and administrative expenses. Add lines 13 through 23 (40,930,471); 25 Contributions, gifts, grants paid (841,490,044); 26 Total expenses and disbursements. Add lines 24 and 25 (882,420,515); 27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements (11,637,185); b Net investment income (if negative, enter -0-) (1,084,442,746); c Adjusted net income (if negative, enter -0-) (0).

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	1,300	5,163,078	5,163,078
	<b>2</b> Savings and temporary cash investments . . . . .	1,369,143,320	388,320,684	388,320,684
	<b>3</b> Accounts receivable ▶ <u>1,160,343</u>			
	Less: allowance for doubtful accounts ▶ _____	255,483	1,160,343	1,160,343
	<b>4</b> Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .		274,786	274,786
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule) . . . . .	0	398,286,206	398,286,206
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	1,511,095,223	874,395,278	874,395,278
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
<b>12</b> Investments—mortgage loans . . . . .				
<b>13</b> Investments—other (attach schedule) . . . . .	3,482,344,014	5,397,157,139	5,397,157,139	
<b>14</b> Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
<b>15</b> Other assets (describe ▶ _____)	41,166,637	37,618,247	37,618,247	
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	6,404,005,977	7,102,375,761	7,102,375,761	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	853,533	5,940,681	
	<b>18</b> Grants payable . . . . .	70,893,210	617,337,895	
	<b>19</b> Deferred revenue. . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)	32,000,000	26,000,000	
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	103,746,743	649,278,576	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .	6,300,259,234	6,453,097,185	
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> <input type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .			
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds . . . . .			
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	6,300,259,234	6,453,097,185		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	6,404,005,977	7,102,375,761		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	6,300,259,234
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	11,637,185
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	141,200,766
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	6,453,097,185
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	0
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 . . . . .	<b>6</b>	6,453,097,185

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> PUBLICLY TRADED SECURITIES		2019-12-31	2021-12-31
<b>b</b> ALTERNATIVE INVESTMENTS	P	2019-12-31	2021-12-31
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 934,650,143		2,952,709	931,697,434
<b>b</b> 151,440,810		8,459,866	142,980,944
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			931,697,434
<b>b</b>			142,980,944
<b>c</b>			
<b>d</b>			
<b>e</b>			

Capital gain net income or (net capital loss) <span style="font-size: 2em;">}</span> <span style="font-size: 2em;">{</span> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	1,074,678,378
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	<b>3</b>	0

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here [ ] and enter [ ] Bracket for line 1a "N/A" on line 1.
1a Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)
b Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 2
Add lines 1 and 2. 3
Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 4
Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . . 5
Credits/Payments:
a 2021 estimated tax payments and 2020 overpayment credited to 2021 6a 14,764,250
b Exempt foreign organizations—tax withheld at source . . . . . 6b 0
c Tax paid with application for extension of time to file (Form 8868) . . . . . 6c 1,000,000
d Backup withholding erroneously withheld . . . . . 6d 0
7 Total credits and payments. Add lines 6a through 6d 7 15,764,250
8 Enter any penalty for underpayment of estimated tax. Check here [x] if Form 2220 is attached. 8 0
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. 10 690,496
11 Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded 11 0

Part VI-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? Yes No
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. Yes No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.
1c Did the foundation file Form 1120-POL for this year? Yes No
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0 (2) On foundation managers. \$ 0
3 Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0
4 Has the foundation engaged in any activities that have not previously been reported to the IRS? Yes
If "Yes," attach a detailed description of the activities.
5 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes Yes No
6a Did the foundation have unrelated business gross income of \$1,000 or more during the year? Yes
6b If "Yes," has it filed a tax return on Form 990-T for this year? Yes
7 Was there a liquidation, termination, dissolution, or substantial contraction during the year? Yes No
If "Yes," attach the statement required by General Instruction T.
8 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
By language in the governing instrument, or
By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Yes
9 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV. Yes
10 Enter the states to which the foundation reports or with which it is registered (see instructions) CA
11 If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation Yes
12 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII Yes No
13 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. Yes No

**Part VI-A Statements Regarding Activities (continued)**

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>	<b>Yes</b>	
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	<b>12</b>	<b>Yes</b>	
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>THE FOUNDATION</b> Telephone no. <b>(650) 313-3698</b> Located at <b>801 JEFFERSON AVE REDWOOD CITY CA</b> ZIP+4 <b>94063</b>			
<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <input type="checkbox"/>	<b>15</b>		
<b>16</b>	At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly):		<b>Yes</b>	<b>No</b>
<b>(1)</b>	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<b>1a(1)</b>		<b>No</b>
<b>(2)</b>	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<b>1a(2)</b>		<b>No</b>
<b>(3)</b>	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<b>1a(3)</b>	<b>Yes</b>	
<b>(4)</b>	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<b>1a(4)</b>		<b>No</b>
<b>(5)</b>	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<b>1a(5)</b>		<b>No</b>
<b>(6)</b>	Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<b>1a(6)</b>		<b>No</b>
<b>b</b>	If any answer is "Yes" to 1a(1)–(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	<b>1b</b>		<b>No</b>
<b>c</b>	Organizations relying on a current notice regarding disaster assistance check here. . . . . <input type="checkbox"/>			
<b>d</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021? . . . . .	<b>1d</b>	<b>Yes</b>	
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
<b>a</b>	At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? . . . . . If "Yes," list the years <b>20___, 20___, 20___, 20___</b>	<b>2a</b>		<b>No</b>
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. <b>20___, 20___, 20___, 20___</b>			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<b>3a</b>	<b>Yes</b>	
<b>b</b>	If "Yes," did it have excess business holdings in 2021 as a result of <b>(1)</b> any purchase by the foundation or disqualified persons after May 26, 1969; <b>(2)</b> the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or <b>(3)</b> the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.) . . . . .	<b>3b</b>		<b>No</b>
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	<b>4b</b>		<b>No</b>

**Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

- 5a** During the year did the foundation pay or incur any amount to:
  - (1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
  - (2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
  - (3)** Provide a grant to an individual for travel, study, or other similar purposes?
  - (4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions
  - (5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?
- b** If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
- c** Organizations relying on a current notice regarding disaster assistance check here . . . . .
- d** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .   
If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .  
If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
- b** If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
- 8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

	Yes	No
<b>5a(1)</b>		No
<b>5a(2)</b>		No
<b>5a(3)</b>		No
<b>5a(4)</b>	Yes	
<b>5a(5)</b>		No
<b>5b</b>		No
<b>5d</b>	Yes	
<b>6a</b>		No
<b>6b</b>		No
<b>7a</b>		No
<b>7b</b>		
<b>8</b>		No

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARK ZUCKERBERG 314 LYTTON AVE PALO ALTO, CA 94301	TRUSTEE 2.00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KRUNAL PATEL AS OF 40121 314 LYTTON AVE PALO ALTO, CA 94301	ENGINEERING DIRECTOR 40.00	303,550	21,280	0
JENNIFER LIN AS OF 40121 314 LYTTON AVE PALO ALTO, CA 94301	DIRECTOR 40.00	308,060	12,924	0
TANIA SIMONCELLI AS OF 40121 314 LYTTON AVE PALO ALTO, CA 94301	DIRECTOR 40.00	283,792	27,278	0
KATJA BROSE AS OF 40121 314 LYTTON AVE PALO ALTO, CA 94301	PROGRAM OFFICER 40.00	288,633	16,176	0
BRUCE MARTIN AS OF 40121 314 LYTTON AVE PALO ALTO, CA 94301	ENGINEER 40.00	278,100	21,068	0

**Total** number of other employees paid over \$50,000. . . . . 118

**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ICONIQ CAPITAL LLC 394 PACIFIC AVE 2ND FLOOR SAN FRANCISCO, CA 94111	INVESTMENT OPERATIONS	1,312,500
PRICEWATERHOUSECOOPERS LLP PO BOX 514038 LOS ANGELES, CA 90051	CONSULTING	410,177
FROST & SULLIVAN 3211 SCOTT BLVD SUITE 203 SANTA CLARA, CA 95054	PROJECT CONSULTING	264,832
TILED B INC 275 RIVER ST UNIT 3 CAMBRIDGE, MA 02139	SOFTWARE SUPPORT & MAINTENANCE	200,000
MORGAN LEWIS & BOCKIUS PO BOX 515065 LOS ANGELES, CA 90051	LEGAL	179,812

**Total** number of others receiving over \$50,000 for professional services. . . . . ▶

**Part VIII- Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<p><b>1</b> TO CURE, PREVENT, OR MANAGE ALL DISEASE BY THE END OF THE CENTURY, FOUNDATION PERSONNEL BUILD AND SUPPORT A VARIETY OF TRANSFORMATIVE TECHNOLOGIES IN PARTNERSHIP WITH THE SCIENTIFIC COMMUNITY AND FOSTER INTERDISCIPLINARY RESEARCH COLLABORATIONS. MAJOR AREAS OF THIS WORK INCLUDE IMAGING TECHNOLOGIES CAPABLE OF BETTER OBSERVING BIOLOGICAL PROCESSES; SINGLE-CELL TECHNOLOGIES TO ENABLE SCIENTISTS TO BETTER AND MORE QUICKLY UNDERSTAND HOW DISEASES MANIFEST IN THE BODY; OPEN SOURCE PLATFORMS THAT ACCELERATE THE DETECTION, IDENTIFICATION, AND TRACKING OF INFECTIOUS DISEASE; AND A RESEARCH DISCOVERY TOOL POWERED BY MACHINE LEARNING. THESE ACTIVITIES BEGAN IN APRIL 2021.</p>	<p>24,654,602</p>
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part VIII- Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . ▶ 0

**Part IX Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	2,332,505,962
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	393,023,938
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	4,312,921,415
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	7,038,451,315
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	7,038,451,315
<b>4</b>	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	105,576,770
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3.	<b>5</b>	6,932,874,545
<b>6</b>	<b>Minimum investment return.</b> Enter 5% (0.05) of line 5. . . . .	<b>6</b>	346,643,727

**Part X Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part IX, line 6. . . . .	<b>1</b>	346,643,727
<b>2a</b>	Tax on investment income for 2021 from Part V, line 5. . . . .	<b>2a</b>	15,073,754
<b>b</b>	Income tax for 2021. (This does not include the tax from Part V.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	15,073,754
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	331,569,973
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	1,046,711
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	332,616,684
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1. . . . .	<b>7</b>	332,616,684

**Part XI Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	
<b>b</b>	Program-related investments—total from Part VIII-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part XII, line 4.	<b>4</b>	

**Part XII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
<b>1</b> Distributable amount for 2021 from Part X, line 7				332,616,684
<b>2</b> Undistributed income, if any, as of the end of 2021:				
<b>a</b> Enter amount for 2020 only. . . . .			242,544,769	
<b>b</b> Total for prior years: 20___, 20___, 20___		0		
<b>3</b> Excess distributions carryover, if any, to 2021:				
<b>a</b> From 2016. . . . .				
<b>b</b> From 2017. . . . .				
<b>c</b> From 2018. . . . .				
<b>d</b> From 2019. . . . .				
<b>e</b> From 2020. . . . .				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ <u>319,722,573</u>				
<b>a</b> Applied to 2020, but not more than line 2a			242,544,769	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2021 distributable amount				77,177,804
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				255,438,880
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)	0			
<b>9</b> <b>Excess distributions carryover to 2022.</b> Subtract lines 7 and 8 from line 6a	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2017				
<b>b</b> Excess from 2018				
<b>c</b> Excess from 2019. . . . .				
<b>d</b> Excess from 2020				
<b>e</b> Excess from 2021				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b. Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% (0.85) of line 2a

c Qualifying distributions from Part XI, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter: (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed

c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) (3) Largest amount of support from an exempt organization (4) Gross investment income

Table with 5 columns: (a) 2021, (b) 2020, (c) 2019, (d) 2018, (e) Total. Rows correspond to lines 2a-e and 3a-c.

Part Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) MARK ZUCKERBERG

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Supplementary Information (continued)

Table with 6 columns: Recipient (Name and address), If recipient is an individual, Approved for future payment, Status of grant or purpose, and Amount. Rows include various organizations like ACTIVATE GLOBAL INC, ALLIANCE FOR SAFETY AND JUSTICE, AMERICAN INDIAN COLLEGE FUND, etc.

Total 3a 990,067,971

Table with 6 columns: Recipient, If recipient is an individual, Approved for future payment, Status of grant or purpose, and Amount. Rows include various organizations like ACTIVATE GLOBAL INC, ALLIANCE FOR SAFETY AND JUSTICE, AMERICAN INDIAN COLLEGE FUND, etc.

Total 3b 790,534,300

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

- 1 Program service revenue:
a
b
c
d
e
f
g Fees and contracts from government agencies
2 Membership dues and assessments
3 Interest on savings and temporary cash investments
4 Dividends and interest from securities
5 Net rental income or (loss) from real estate:
a Debt-financed property.
b Not debt-financed property.
6 Net rental income or (loss) from personal property
7 Other investment income
8 Gain or (loss) from sales of assets other than inventory
9 Net income or (loss) from special events:
10 Gross profit or (loss) from sales of inventory
11 Other revenue: a
b
c
d
e

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Includes data for line 8 with values 901101, -1,431,261, 18, 397,848,379.

12 Subtotal. Add columns (b), (d), and (e) . . . . . 13 531,557,700
13 Total. Add line 12, columns (b), (d), and (e). . . . . 13 530,362,184
(See worksheet in line 13 instructions to verify calculations.)

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)



## **Additional Data**

[Return to Form](#)

**Software ID:**

**Software Version:**

**Form 990PF - Special Condition Description:**

**Special Condition Description**

## TY 2021 IRS 990 e-File Render

**Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION

**EIN:** 45-5002209

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	320,644	68,762	0	125,556

## TY 2021 IRS 990 e-File Render

**Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION

**EIN:** 45-5002209

**Explanation:** BEGINNING APRIL 1, 2021, THE FOUNDATION CARRIED OUT DIRECT CHARITABLE ACTIVITIES IN SUPPORT OF ITS EXEMPT PURPOSES, INCLUDING EMPLOYING A WORKFORCE TO CARRY OUT THOSE ACTIVITIES. SEE FURTHER DESCRIPTION OF THE ACTIVITIES IN FORM 990-PF PART VIII-A.

Name: CHAN ZUCKERBERG INITIATIVE FOUNDATION

EIN: 45-5002209

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
CURII CORPORATION	212 ELM ST 3RD FLOOR SOMERVILLE, MA 02144	2020-07-20	379,988	OPEN SCIENCE	294,552	NO	05/04/21, 03/31/22, 8/1/22		N/A
ECONOMIC INNOVATION GROUP	616 H ST NW SUITE 550 WASHINGTON, DC 20001	2020-03-06	225,000	IMPROVE STUDENT OUTCOMES	225,000	NO	09/30/20, 11/10/22		N/A
INSIGHTS FOR EDUCATION	TANNENBACHSTRASSE 22 OBERRIEDEN 8942 SZ	2020-01-07	195,000	EDUCATION RESEARCH	195,000	NO	10/08/20, 11/14/22		N/A
LIFTED INCORPORATED	81 PROSPECT ST BROOKLYN, NY 11201	2020-08-24	100,000	VIRTUAL AND DISTANCE LEARNING EFFORTS	0	NO	NONE TO DATE		N/A
OCEAN GENOMICS INC	3004 QUAIL HOLLOW TERRACE BROOKEVILLE, MD 20833	2020-12-30	235,000	OPEN SCIENCE	235,000	NO	02/01/21, 3/30/22		N/A
READ THE DOCS INC	18120 2ND AVE BEND, OR 97703	2020-12-23	200,000	OPEN SCIENCE	80,576	NO	10/31/2021		N/A
SEAM SOCIAL LABS INC	55 BROADWAY 3 NEW YORK, NY 10006	2020-06-25	207,500	ALAMEDA COUNTY HOUSING AND COMMUNITY DEVELOPMENT INPUT PILOT	207,500	NO	02/15/21, 01/25/22, 07/21/22		N/A
URSA COMPUTING INC	1779 KIRBY PKWY NO 16054 MEMPHIS, TN 38138	2020-12-23	215,000	OPEN SCIENCE	215,000	NO	02/15/21, 04/04/22		N/A
OCEAN GENOMICS INC	4620 HENRY ST PITTSBURGH, PA 15213	2021-11-15	189,040	OPEN SCIENCE	0	NO	07/20/22		N/A
QUANSIGHT LLC	8586 W HIGHWAY 71 BUILDING F200 AUSTIN, TX 78735	2021-10-13	178,737	OPEN SCIENCE	0	NO	08/01/22		N/A
QUANSIGHT LLC	8586 W HIGHWAY 71 BUILDING F200 AUSTIN, TX 78735	2021-10-13	170,000	OPEN SCIENCE	106,337	NO	07/29/22		N/A
QUANSIGHT LLC	8586 W HIGHWAY 71 BUILDING F200 AUSTIN, TX 78735	2021-10-13	136,000	OPEN SCIENCE	113,229	NO	07/29/22		N/A
ZAPPYLAB	2120 UNIVERSITY AVE SUITE 625 BERKELEY, CA 94704	2021-10-19	1,500,000	OPEN SCIENCE	955,964	NO	12/10/21, 03/01/22, 06/01/22, 09/01/22		N/A

## TY 2021 IRS 990 e-File Render

**Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION

**EIN:** 45-5002209

Identifier	Return Reference	Explanation
	FORM 990-PF, PART XVI, LINE 2A	THE TRUSTEE OF THE FILING ENTITY IS ALSO A MANAGER OF CHAN ZUCKERBERG INITIATIVE LLC ("CZI"). ACCORDINGLY, THE FILING ENTITY TECHNICALLY MAY BE CONSIDERED A RELATED ORGANIZATION OF THE ENTITIES LISTED IN RESPONSE TO PART XVII, LINE 2, GIVEN CZI'S RELATIONSHIP WITH THESE ENTITIES. THE FILING ENTITY, AND THESE ENTITIES, DO NOT SHARE OR EXCHANGE ANY INFORMATION. THE FILING ENTITY'S TRUSTEE IS THE CHIEF EXECUTIVE OFFICER OF META PLATFORMS, INC. ("META"). META ADMINISTERS A FEDERALLY-REGISTERED POLITICAL ACTION COMMITTEE. THERE IS NO RELATIONSHIP BETWEEN META'S PAC AND THE FILING ENTITY. FURTHERMORE, NO INFORMATION IS SHARED OR EXCHANGED BETWEEN THE FILING ENTITY AND META, OR META'S PAC.

**TY 2021 IRS 990 e-File Render****Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION**EIN:** 45-5002209

Name of Stock	End of Year Book Value	End of Year Fair Market Value
META PLATFORMS INC. CLASS A	201,576,573	201,576,573
META PLATFORMS INC. CLASS B	641,958,283	641,958,283
ZENDESK INC	718,350	718,350
HIMS & HERS HEALTH INC	1,743,499	1,743,499
AFFIRM HOLDINGS INC	9,512,573	9,512,573
RIBBIT LEAP LTD	18,886,000	18,886,000

## TY 2021 IRS 990 e-File Render

**Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION

**EIN:** 45-5002209

**US Government Securities - End of  
Year Book Value:**

398,286,206

**US Government Securities - End of  
Year Fair Market Value:**

398,286,206

**State & Local Government  
Securities - End of Year Book  
Value:**

0

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

0

**TY 2021 IRS 990 e-File Render****Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION**EIN:** 45-5002209

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
OTHER INVESTMENTS	FMV	6,210,501	6,210,501
ABSOLUTE RETURN	FMV	1,486,905,120	1,486,905,120
PUBLIC EQUITY	FMV	1,554,442,714	1,554,442,714
PRIVATE EQUITY	FMV	744,674,494	744,674,494
COLLECTIVE FUNDS	FMV	1,540,556,877	1,540,556,877
REAL ASSETS	FMV	1,367,433	1,367,433
PREPAID CAPITAL CALLS	AT COST	63,000,000	63,000,000

# TY 2021 IRS 990 e-File Render

**Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION

**EIN:** 45-5002209

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	346,130	42,861	0	292,622

# TY 2021 IRS 990 e-File Render

**Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION

**EIN:** 45-5002209

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM-RELATED INVESTMENTS	41,166,637	37,618,247	37,618,247

## TY 2021 IRS 990 e-File Render

**Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION

**EIN:** 45-5002209

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER EXPENSES	191,309	0	0	252,775
FOREIGN EXCHANGE GAIN/LOSS	-24,123	0	0	0
ALTERNATIVE INVESTMENT DEDUCTIONS	0	47,323,381	0	0

# TY 2021 IRS 990 e-File Render

**Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION

**EIN:** 45-5002209

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	1,000	1,000	0
ALTERNATIVE INVESTMENT INCOME	130,180,082	38,694,412	0

## TY 2021 IRS 990 e-File Render

**Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION

**EIN:** 45-5002209

Description	Amount
UNREALIZED GAIN	141,200,766

**TY 2021 IRS 990 e-File Render****Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION**EIN:** 45-5002209

Description	Beginning of Year - Book Value	End of Year - Book Value
EXCISE TAX PAYABLE	2,000,000	0
DEFERRED EXCISE TAX LIABILITY	30,000,000	26,000,000

## TY 2021 IRS 990 e-File Render

**Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION

**EIN:** 45-5002209

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER PROFESSIONAL FEES	3,178,320	0	0	1,697,774
INVESTMENT MANAGEMENT FEES	750,000	750,000	0	0

# TY 2021 IRS 990 e-File Render

**Name:** CHAN ZUCKERBERG INITIATIVE FOUNDATION

**EIN:** 45-5002209

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	10,000,000	0	0	0