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Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

A For the 2020 calendar year, or tax year beginning 04-01-2020 , and ending 03-31-2021

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization
TROUT UNLIMITED INC

Doing business as

Number and street (or P.O. box if mail is not delivered to street address) | Room/suite
1777 NORTH KENT STREET NO 100

City or town, state or province, country, and ZIP or foreign postal code
ARLINGTON, VA 22209

D Employer identification number
38-1612715

E Telephone number
(703) 522-0200

G Gross receipts $ 73,145,190

F Name and address of principal officer:
CHRISTOPHER WOOD
1777 NORTH KENT STREET NO 100
ARLINGTON, VA 22209

H(a) Is this a group return for subordinates? ☐ Yes ☑ No

H(b) Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW.TU.ORG

K Form of organization: ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation: 1959

M State of legal domicile: MI

Part I Summary

1 Briefly describe the organization's mission or most significant activities:
TO CONSERVE, PROTECT, AND RESTORE NORTH AMERICA'S COLDWATER FISHERIES AND THEIR WATERSHEDS.

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3	Number of voting members of the governing body (Part VI, line 1a)	**3**	34
4	Number of independent voting members of the governing body (Part VI, line 1b)	**4**	33
5	Total number of individuals employed in calendar year 2020 (Part V, line 2a)	**5**	323
6	Total number of volunteers (estimate if necessary)	**6**	17,192
7a	Total unrelated business revenue from Part VIII, column (C), line 12	**7a**	224,513
b	Net unrelated business taxable income from Form 990-T, line 39	**7b**	0

		Prior Year	Current Year
8	Contributions and grants (Part VIII, line 1h)	55,081,301	62,783,791
9	Program service revenue (Part VIII, line 2g)	5,265,845	6,523,863
10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	-453,849	319,803
11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	498,264	631,642
12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	60,391,561	70,259,099
13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	841,313	501,506
14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	20,496,627	22,284,172
16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
b	Total fundraising expenses (Part IX, column (D), line 25) ▶1,832,004		
17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	36,565,813	41,022,703
18	Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	57,903,753	63,808,381
19	Revenue less expenses. Subtract line 18 from line 12	2,487,808	6,450,718

		Beginning of Current Year	End of Year
20	Total assets (Part X, line 16)	30,704,564	44,248,127
21	Total liabilities (Part X, line 26)	4,970,680	10,029,157
22	Net assets or fund balances. Subtract line 21 from line 20	25,733,884	34,218,970

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

****** 2022-02-14
Signature of officer Date

CHRISTOPHER WOOD CEO
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
				P00288314

Firm's name ▶ GELMAN ROSENBERG & FREEDMAN — Firm's EIN ▶ 52-1392008

Firm's address ▶ 4550 MONTGOMERY AVE SUITE 800N
BETHESDA, MD 208142930 — Phone no. (301) 951-9090

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III . . . . . ☑

1 Briefly describe the organization's mission:

TO CONSERVE, PROTECT, AND RESTORE NORTH AMERICA'S COLDWATER FISHERIES AND THEIR WATERSHEDS.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ 5,279,788 including grants of $) (Revenue $ 639,559)
See Additional Data

4b (Code:) (Expenses $ 21,560,000 including grants of $ 239,637) (Revenue $ 2,609,958)
See Additional Data

4c (Code:) (Expenses $ 20,827,585 including grants of $ 66,536) (Revenue $ 2,521,295)
See Additional Data

See Additional Data Table

4d Other program services (Describe in Schedule O.)
(Expenses $ 10,086,365 including grants of $ 195,333) (Revenue $ 1,342,651)

4e **Total program service expenses ▶** 57,753,738

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D,* Part I	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7	Yes	
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D,* Part III	8		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D,* Part VI.	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		No
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		No
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I* (see instructions)	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
22	Did the organization report more than $5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L,* Part I	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L,* Part I	25b		No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? *If "Yes," complete Schedule L,* Part III	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of any individual described in line 28a? *If "Yes," complete Schedule L, Part IV*	28b		No
c	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O.	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V ☐

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	270		
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c		Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance *(continued)*

2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	**2a**	323		
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			**2b**	Yes
3a	Did the organization have unrelated business gross income of $1,000 or more during the year? . . .			**3a**	Yes
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O* . . .			**3b**	Yes
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . .			**4a**	No
b	If "Yes," enter the name of the foreign country: ▶ _____ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . .			**5a**	No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			**5b**	No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			**5c**	
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . .			**6a**	No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			**6b**	
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			**7a**	Yes
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			**7b**	Yes
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			**7c**	No
d	If "Yes," indicate the number of Forms 8282 filed during the year	**7d**			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			**7e**	No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . .			**7f**	No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			**7g**	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			**7h**	
8	**Sponsoring organizations maintaining donor advised funds.** Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?			**8**	
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the sponsoring organization make any taxable distributions under section 4966?			**9a**	
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? . . .			**9b**	
10	**Section 501(c)(7) organizations.** Enter:				
a	Initiation fees and capital contributions included on Part VIII, line 12 . . .	**10a**			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	**10b**			
11	**Section 501(c)(12) organizations.** Enter:				
a	Gross income from members or shareholders	**11a**			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	**11b**			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			**12a**	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	**12b**			
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**				
a	Is the organization licensed to issue qualified health plans in more than one state? . . . . . **Note.** See the instructions for additional information the organization must report on Schedule O.			**13a**	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	**13b**			
c	Enter the amount of reserves on hand	**13c**			
14a	Did the organization receive any payments for indoor tanning services during the tax year?			**14a**	No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* . .			**14b**	
15	Is the organization subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? . . . . . If "Yes," see instructions and file Form 4720, Schedule N.			**15**	No
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income? . . If "Yes," complete Form 4720, Schedule O.			**16**	No

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*
Check if Schedule O contains a response or note to any line in this Part VI . . . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	**1a**	34		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.				
b	Enter the number of voting members included in line 1a, above, who are independent	**1b**	33		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?			**2**		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?			**3**		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?			**4**		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?			**5**		No
6	Did the organization have members or stockholders?			**6**	Yes	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?			**7a**	Yes	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?			**7b**	Yes	
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:					
a	The governing body?			**8a**	Yes	
b	Each committee with authority to act on behalf of the governing body?			**8b**	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*			**9**		No

Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	**10a**	Yes	
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	**10b**	Yes	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	**11a**	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	**12a**	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	**12b**	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	**12c**	Yes	
13	Did the organization have a written whistleblower policy?	**13**	Yes	
14	Did the organization have a written document retention and destruction policy?	**14**	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	**15a**	Yes	
b	Other officers or key employees of the organization	**15b**	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	**16a**		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	**16b**		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed▶ AL , AR , CA , FL , GA , HI , IL , KS , KY , MD , MA , MI , MN , MS , NH , NJ , NM , NY , NC , OR , PA , RI , SC , TN , UT , VA , WV , WI

18 Section 6104 requires an organization to make its Form 1023 (or 1024-A if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☑ Own website ☐ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records:
▶ELENA PARKIN 1777 NORTH KENT STREET SUITE 100 ARLINGTON, VA 22209 (703) 522-0200

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . . ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
See Additional Data Table										

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
See Additional Data Table										
1b Sub-Total ▶										
c Total from continuation sheets to Part VII, Section A ▶										
d Total (add lines 1b and 1c) ▶								1,852,560	0	379,451

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 21

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	**3**		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	**4**	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	**5**		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
SKUNK TRAIN FOOT OF LAUREL STREET FORT BRAGG, CA 95437	CONSTRUCTION	3,443,443
STRIDER CONSTRUCTION CO INC 4721 NORTHWEST ROAD BELLINGHAM, WA 98226	CONSTRUCTION	2,693,456
SELLAND CONSTRUCTION INC PO BOX 119 WENATCHEE, WA 98807	CONSTRUCTION	1,542,132
AQUA TERRA RESTORATION PO BOX 651 DRIGGS, ID 83422	CONSTRUCTION	1,345,383
PR DONNELLEY PO BOX 905151 CHARLOTTE, NC 28290	LETTERSHOP	1,124,058

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶ 10

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII . . . . . ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns	1a	46,146			
	b Membership dues	1b				
	c Fundraising events	1c				
	d Related organizations	1d				
	e Government grants (contributions)	1e	34,648,322			
	f All other contributions, gifts, grants, and similar amounts not included above	1f	28,089,323			
	g Noncash contributions included in lines 1a - 1f: $	1g	858,162			
	h **Total.** Add lines 1a-1f		62,783,791			
Program Service Revenue		Business Code				
	2a MEMBERSHIP DUES	900099	6,299,350	6,299,350		
	b PUBLICATIONS	900099	224,513		224,513	
	c					
	d					
	e					
	f All other program service revenue.					
	g **Total.** Add lines 2a–2f	6,523,863				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		291,804			291,804
	4 Income from investment of tax-exempt bond proceeds					
	5 Royalties		12,656			12,656
			(i) Real	(ii) Personal		
	6a Gross rents	6a				
	b Less: rental expenses	6b				
	c Rental income or (loss)	6c				
	d Net rental income or (loss)					
			(i) Securities	(ii) Other		
	7a Gross amount from sales of assets other than inventory	7a	1,228,963			
	b Less: cost or other basis and sales expenses	7b	1,200,964			
	c Gain or (loss)	7c	27,999			
	d Net gain or (loss)		27,999			27,999
	8a Gross income from fundraising events (not including $ _____ of contributions reported on line 1c). See Part IV, line 18	8a				
	b Less: direct expenses	8b				
	c Net income or (loss) from fundraising events					
	9a Gross income from gaming activities. See Part IV, line 19	9a				
	b Less: direct expenses	9b				
	c Net income or (loss) from gaming activities					
	10a Gross sales of inventory, less returns and allowances	10a	2,274,727			
	b Less: cost of goods sold	10b	1,685,127			
	c Net income or (loss) from sales of inventory		589,600	589,600		
	Miscellaneous Revenue	Business Code				
	11a LIST RENTALS	900099	29,510			29,510
	b MISCELLANEOUS	900099	-124			-124
	c					
	d All other revenue					
	e **Total.** Add lines 11a–11d		29,386			
	12 **Total revenue.** See instructions		70,259,099	6,888,950	224,513	361,845

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX . . . . . ☑

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	501,506	501,506		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	1,365,349		1,083,208	282,141
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	13,693,543	12,318,833	979,653	395,057
8 Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions)	1,510,445	1,332,816	128,926	48,703
9 Other employee benefits	4,512,581	3,777,104	556,100	179,377
10 Payroll taxes	1,202,254	988,008	162,210	52,036
11 Fees for services (non-employees):				
a Management				
b Legal	105,201	34,796	65,559	4,846
c Accounting	91,689	2,913	88,776	
d Lobbying	51,250	51,250		
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	40,038		40,038	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	28,216,958	27,888,395	305,604	22,959
12 Advertising and promotion	183,481	178,270	4,676	535
13 Office expenses	2,875,264	2,466,449	94,567	314,248
14 Information technology	697,488	569,886	98,739	28,863
15 Royalties				
16 Occupancy	868,979	770,846	66,747	31,386
17 Travel	531,311	496,334	16,248	18,729
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	66,653	33,409	2,373	30,871
20 Interest	13,627		13,627	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	291,784	241,822	37,474	12,488
23 Insurance	196,142	812	195,330	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a WATER LEASES	2,853,773	2,853,773		
b MAGAZINE PRODUCTION	1,293,980	1,040,709	2,144	251,127
c MATERIALS	1,106,909	1,106,819	90	
d FULFILLMENT, CAGING	653,319	501,716	150	151,453
e All other expenses	884,857	597,272	280,400	7,185
25 **Total functional expenses.** Add lines 1 through 24e	63,808,381	57,753,738	4,222,639	1,832,004
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ▶ ☑ if following SOP 98-2 (ASC 958-720).	856,802	257,919	0	598,883

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX . . . . . ☑

			(A) Beginning of year		(B) End of year
Assets	1	Cash–non-interest-bearing	7,095,409	1	11,127,689
	2	Savings and temporary cash investments	56,265	2	2,984,479
	3	Pledges and grants receivable, net	11,664,037	3	14,880,669
	4	Accounts receivable, net	784,061	4	4,431,705
	5	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use	375,577	8	549,165
	9	Prepaid expenses and deferred charges	615,357	9	659,025
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D — 10a: 5,141,158			
	b	Less: accumulated depreciation — 10b: 4,954,824	395,265	10c	186,334
	11	Investments—publicly traded securities	9,718,593	11	9,374,936
	12	Investments—other securities. See Part IV, line 11		12	
	13	Investments—program-related. See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets. See Part IV, line 11	0	15	54,125
	16	**Total assets.** Add lines 1 through 15 (must equal line 33)	30,704,564	16	44,248,127
Liabilities	17	Accounts payable and accrued expenses	4,456,033	17	6,008,253
	18	Grants payable		18	
	19	Deferred revenue	333,442	19	373,439
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	3,337,300
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D	181,205	25	310,165
	26	**Total liabilities.** Add lines 17 through 25	4,970,680	26	10,029,157
Net Assets or Fund Balances		**Organizations that follow FASB ASC 958, check here ▶ ☑ and complete lines 27, 28, 32, and 33.**			
	27	Net assets without donor restrictions	3,931,363	27	3,937,973
	28	Net assets with donor restrictions	21,802,521	28	30,280,997
		Organizations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 29 through 33.			
	29	Capital stock or trust principal, or current funds		29	
	30	Paid-in or capital surplus, or land, building or equipment fund		30	
	31	Retained earnings, endowment, accumulated income, or other funds		31	
	32	Total net assets or fund balances	25,733,884	32	34,218,970
	33	Total liabilities and net assets/fund balances	30,704,564	33	44,248,127

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . . ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	70,259,099
2	Total expenses (must equal Part IX, column (A), line 25)	2	63,808,381
3	Revenue less expenses. Subtract line 2 from line 1	3	6,450,718
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	25,733,884
5	Net unrealized gains (losses) on investments	5	2,034,368
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	10	34,218,970

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990: ☐ Cash ☑ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b	Yes	

Additional Data

Software ID:

Software Version:

EIN: 38-1612715

Name: TROUT UNLIMITED INC

Form 990 (2020)

Form 990, Part III, Line 4a:

PROTECT:IN 2020, TU PROTECTED 1,142 RIVER MILES AND ADDED PROTECTION ON 523,127 ACRES. TU EFFORTS CONTINUED TO STOP THE POTENTIAL PEBBLE MINE AND PROTECT BRISTOL BAY FISHERY RESOURCES. LEADING UP TO THE DECISION BY THE TRUMP ADMINISTRATION TOWARD THE END OF 2020 TO DENY THE CLEAN WATER ACT PERMIT NECESSARY FOR DEVELOPMENT, TU MOBILIZED OUR 105,000-STRONG COMMUNITY OF SUPPORTERS WHO TAKE ACTION TO PROTECT ALASKA, AND STRATEGICALLY USED OUR PARTNERS IN THE SPORTFISHING LODGE AND GUIDE COMMUNITY TO HELP PERSUADE KEY MEMBERS OF THE ADMINISTRATION TO OPPOSE ISSUANCE OF A PERMIT. OUR EFFORTS PAID OFF WHEN THE ARMY CORPS DETERMINED THAT THE PEBBLE LIMITED PARTNERSHIP COULD NOT MITIGATE THE LIKELY DAMAGE FROM THE MINE AND THAT THE MINE WOULD NOT BE IN THE PUBLIC INTEREST.FOLLOWING THE CORP PERMIT DENIAL, TU BEGAN PUSHING FOR PERMANENT PROTECTIONS FOR BRISTOL BAY. TU HAD FILED A 2019 LAWSUIT CHALLENGING THE EPA'S WITHDRAWAL OF PROPOSED PROTECTIONS UNDER 404(C) OF THE CLEAN WATER ACT FOR BRISTOL BAY'S HEADWATERS. AFTER AN EARLY DISTRICT COURT SETBACK, TU APPEALED AND ULTIMATELY WON AT THE NINTH CIRCUIT COURT OF APPEALS. IN SEPTEMBER OF 2021, RECOGNIZING IT COULD NOT MEET THE STANDARD SET OUT BY THE NINTH CIRCUIT IN TU'S APPEAL, THE EPA ASKED THE COURT TO REINSTATE THE 404(C) PROPOSED PROTECTIONS. THIS PUTS THE AGENCY ON TRACK TO FINALIZE IMPORTANT PROTECTIONS FOR BRISTOL BAY'S HEADWATERS WITHIN THE NEXT COUPLE OF YEARS. TU IS WORKING WITH THE ALASKA DELEGATION AND OTHER ALLIES IN CONGRESS TO ADVANCE LEGISLATION PROVIDING PERMANENT PROTECTION.TU'S LONG-STANDING PARTNERSHIP WITH THE FOREST SERVICE, ALONG WITH TU'S STRONG ORGANIZING, MEDIA AND ON-THE-GROUND PRESENCE, PLAYED A CRITICAL ROLE IN PAVING THE WAY FOR THE SOUTHEAST ALASKA SUSTAINABILITY STRATEGY, WHICH THE FOREST SERVICE ANNOUNCED IN JULY 2021. THIS NEW STRATEGY IS A HUGE WIN FOR THE TONGASS NATIONAL FOREST AND SOUTHEAST ALASKA'S FISHERIES. WHILE THE TONGASS HAD BEEN THE LAST NATIONAL FOREST WHERE INDUSTRIAL CLEARCUTTING OF OLD-GROWTH FOREST WAS COMMONPLACE, THIS NEW STRATEGY WILL END OUTDATED LOGGING PRACTICES, REINSTATE PROTECTIONS FOR BACKCOUNTRY AREAS UNDER THE ROADLESS RULE, RECOMMITS THE FOREST SERVICE TO BETTER TRIBAL CONSULTATION, AND REALIGNS MANAGEMENT TO PRIORITIZE RESTORATION, RECREATION AND RESILIENCY.PERSISTENCE HAS PAID OFF YET AGAIN FOR TU WITH A BIG WIN IN COLORADO. AFTER A SIX-YEAR CAMPAIGN, TU STAFF WORKING HAND-IN-GLOVE WITH THE COLORADO COUNCIL SECURED NEW STATE OIL AND GAS REGULATIONS FROM THE COLORADO OIL AND GAS CONSERVATION COMMISSION (COGCC). THE NEW RULES PROHIBIT OIL AND GAS DEVELOPMENT WITHIN 500 FEET OF HIGH VALUE FISHERIES AND REQUIRES SPILL PREVENTION MEASURES FOR DEVELOPMENT WITHIN 1,000 FEET, WHICH WILL HELP PROTECT COLORADO'S NATIVE TROUT AND GOLD MEDAL WATERS AT SCALE. OVER 6 MILLION ACRES -- FOUR TIMES THE SIZE OF DELAWARE -- IS NOW OFF-LIMITS TO DESTRUCTIVE OIL AND GAS DEVELOPMENT.DURING THE SUMMER OR 2021, TROUT UNLIMITED STAFF AND VOLUNTEERS WORKED WITH A COALITION OF CONSERVATION GROUPS IN WASHINGTON STATE TO DEVELOP A LIST OF RIVERS THAT MET THE STRINGENT CRITERIA TO BE THE STATE'S FIRST DESIGNATED OUTSTANDING RESOURCE WATERS UNDER THE CLEAN WATER ACT. A COMPREHENSIVE NOMINATION REPORT WAS PRODUCED AND SUBMITTED TO THE STATE AGENCY (DEPARTMENT OF ECOLOGY). THIS REPORT WAS ACCEPTED AND SUPPORTED BY THE DEPARTMENT OF ECOLOGY AND IS NOW BEING REVIEWED UNDER THE STATE'S TRIENNIAL REVIEW PROCESS. IT IS EXPECTED THAT ALL THREE RIVERS NOMINATED BY TROUT UNLIMITED WILL BE DESIGNATED AS OUTSTANDING RESOURCE WATERS. THIS INCLUDES 149 MILES OF THE CASCADE RIVER, 44 MILES OF THE GREEN RIVER, AND 35 MILES OF THE NAPEEQUA RIVER.TROUT UNLIMITED SUCCESSFULLY ADVOCATED FOR THE NEW MEXICO GAME COMMISSION TO DOUBLE ($5 TO $10) THE COST OF A PUBLIC LAND USER STAMP (SMALL ANNUAL FEE ANGLERS AND HUNTERS PAY TO RECREATE ON PUBLIC LANDS). THE COST OF THIS STAMP HAD NOT BEEN INCREASED SINCE THE PROGRAM WAS CREATED IN THE 1980S. THE COST OF THE STAMP WILL ALSO NOW BE TIED TO A CONSUMER PRICE INDEX TO ADJUST IN SMALL INCREMENTS OVER TIME TO ACCOUNT FOR INFLATION. WE'RE LOOKING AT UPWARDS OF $1 MILLION ANNUALLY IN THE PROGRAM IN THE YEARS AHEAD, AND OF PARTICULAR IMPORTANCE TO FISH CONSERVATION, THERE IS NOW A REQUIREMENT THAT AT LEAST HALF OF ALL FUNDING IN EVERY FIVE- YEAR PERIOD MUST BE SPENT ON FISH PROJECTS. PREVIOUSLY THERE WAS NO SUCH REQUIREMENT.TROUT UNLIMITED PLAYED A SIGNIFICANT ROLE IN PASSING OF THE GREAT AMERICAN OUTDOORS ACT, WHICH RESULTED IN PERMANENT, FULL FUNDING FOR THE LAND AND WATER CONSERVATION FUND. WE SPECIFICALLY CHAMPIONED SETTING ASIDE NEARLY $10 BILLION IN DEDICATED FUNDING IN THE BILL TO HELP ATTACK THE MAINTENANCE BACKLOG ON FEDERAL PUBLIC LAND.TU HAS BEEN A LEADER AMONG SPORTSMEN AND WOMEN CONSERVATION GROUPS IN LEADING THE WORK TO DEFEND THE ABILITY OF THE CLEAN WATER ACT TO PROTECT SMALL HEADWATER STREAMS, AS IT HAS FOR THE PAST 30 YEARS. IN AUGUST A U.S. DISTRICT COURT JUDGE IN NEW MEXICO VACATED THE ILL-CONCEIVED "WATERS OF THE UNITED STATES" RULE ESTABLISHED BY THE EPA IN 2020. TU HAS WORKED HARD FOR 20 YEARS ON THIS ISSUE. IN RECENT YEARS TU SCIENTISTS HAVE DOCUMENTED THE FAILURE OF THE 2020 RULE TO PROTECT SMALL HEADWATER STREAMS ACROSS THE U.S., THE CAPILLARIES OF THE LARGER STREAMS AND RIVERS DOWNSTREAM. EPA HAS SET OUT TO REPLACE THE NOW REPEALED 2020 RULE WITH A MORE DURABLE AND PROTECTIVE RULE, AND TU WILL AGAIN BE AN ACTIVE PARTICIPANT IN THAT PROCESS.THE U.S. ARMY CORPS OF ENGINEERS HAS FORMALLY RELEASED THE FINAL DRAFT OF ITS PLAN FOR STOPPING ASIAN CARP FROM REACHING THE GREAT LAKES. ASIAN CARP ARE INVASIVE SPECIES CAPABLE OF EATING 40 PERCENT OF THEIR BODY WEIGHT IN PLANKTON A DAY AND COLLAPSING NATIVE FISH COMMUNITIES. IT IS IMPERATIVE THAT WE KEEP THEM FROM MAKING THEIR WAY FROM MISSISSIPPI RIVER BASIN TO THE GREAT LAKES VIA THE CHICAGO WATERWAY SYSTEM, AND WE MUST ACT QUICKLY: IN 2017 A COMMERCIAL FISHERMAN CAUGHT A 28-INCH SILVER CARP IN CHICAGO, JUST NINE MILES FROM LAKE MICHIGAN. TU IS PUSHING STATE AND FEDERAL DECISION-MAKERS TO MOVE FORWARD WITH THE PLAN TO STOP ASIAN CARP AT THE BRANDON ROAD LOCK AND DAM ON THE DES PLAINES RIVER.IN PENNSYLVANIA, WE HELPED PROTECT MORE THAN 650 STREAM MILES OVER THE LAST YEAR THROUGH OUR COLLABORATION WITH THE STATE'S UNASSESSED WATERS INITIATIVE, WHICH SYSTEMATICALLY DOCUMENTS PREVIOUSLY UNKNOWN WILD TROUT POPULATIONS ACROSS THE STATE. WILD TROUT STREAMS ARE GIVEN SPECIAL PROTECTIONS UNDER STATE LAW, AND THROUGH THIS PROGRAM, MORE THAN 7,000 MILES HAVE BEEN IDENTIFIED AND LISTED OVER THE PAST DECADE WITH TU'S HELP.

Form 990, Part III, Line 4b:

RECONNECT:ONCE AGAIN TU OPENED HIGH-QUALITY BLOCKED HABITAT ACROSS THE COUNTRY, AND TU CALIFORNIA STAFF CONTINUED LEADING MAJOR FISH PASSAGE PROJECTS IN STREAMS CRUCIAL FOR NATIVE SALMON AND STEELHEAD. ON SAN CLEMENTE CREEK, WITH VITAL SPAWNING AND REARING HABITAT FOR CENTRAL COASTAL STEELHEAD IN THE CARMEL RIVER, TU PARTNERED WITH THE PRIVATE LANDOWNER TO REMOVE AN OLD CONCRETE FORD THAT COMPLETELY BLOCKED FISH MIGRATION FOR MUCH OF THE YEAR. AND ON THE UPPER NOYO RIVER ALONG MENDOCINO'S REDWOOD COAST, TU PARTNERED WITH A PRIVATE RAILWAY OPERATOR (THE "SKUNK TRAIN") TO COMPLETE A MAJOR FISH PASSAGE IMPROVEMENT AND SEDIMENT REDUCTION PROJECT THAT REOPENS MILES OF GOOD SPAWNING AND REARING HABITAT FOR COHO SALMON AND STEELHEAD.TU ALSO SCORED ANOTHER MAJOR VICTORY ON THE PATH TO DAM REMOVAL ON THE KLAMATH RIVER. TU WAS INVOLVED WITH A NEW AGREEMENT RESOLVING SEVERAL ISSUES THAT WERE THREATENING TO DERAIL PLANS TO REMOVE FOUR DAMS ON THE KLAMATH RIVER. IN EARLY DECEMBER, THE STATES OF CALIFORNIA AND OREGON, PACIFICORP, THE KLAMATH RIVER RENEWAL CORPORATION (KRRC), KARUK TRIBE AND YUROK TRIBE SIGNED A NEW MEMORANDUM OF AGREEMENT THAT WILL ADD THE TWO STATES AS "CO- LICENSEES" FOR FEDERAL REGULATORS FOR PURPOSE OF DAM REMOVAL, CREATES A NEW CONTINGENCY FUND OF $45 MILLION, COMMITS THE TWO STATES AND PACIFICORP TO FUNDING ANY COSTS ABOVE THAT, AND RESOLVES ALL LIABILITY ISSUES. UNDER THE NEW AGREEMENT DAM REMOVAL WILL COMMENCE IN 2022, WHICH, UPON COMPLETION, WILL OPEN 400 MILES OF HABITAT FOR NATIVE STEELHEAD AND SALMON.TU COMPLETED THE RATTLESNAKE DAM REMOVAL PROJECT IN MONTANA IN 2020 TO BENEFIT BULL TROUT AND WESTSLOPE CUTTHROAT TROUT. THE DAM DEMOLITION AND STREAM CHANNEL RECONSTRUCTION WERE COMPLETED WITH MORE THAN 1,000 LINEAR FEET OF STREAM RECONSTRUCTED, INCORPORATING 10,000 WILLOW CUTTINGS AND 6,000 CONTAINERIZED PLANTS. FOUR WETLAND CELLS WERE CREATED, WITH THE RESTORATION OF FIVE ACRES OF FLOODPLAIN. TEMPORARY FENCING UNITS WERE INSTALLED TO PROTECT NEWLY PLANTED TREES AND SHRUBS. MORE THAN 180 VOLUNTEERS FROM THE LOCAL AREA PARTICIPATED IN REVEGETATION ASPECTS OF THE PROJECT. IN NEW ENGLAND, WE ARE ENHANCING BROOK TROUT STRONGHOLDS BY ADDING WOODY COVER TO STREAMS THAT LACK NATURAL INSTREAM HABITAT. STRATEGICALLY INSTALLING LARGE WOOD STRUCTURES IN STREAMS CREATES COVER FOR FISH POPULATIONS, ENCOURAGES STREAMS TO CARVE OUT DEEPER AND BETTER HOLDING POOLS THAT ARE VITAL DURING DROUGHT, AND HELPS STREAMS RECONNECT TO THEIR FLOOD PLAINS TO REDUCE FLOODING RISKS. IN PLACES WHERE TU HAS DONE WORK IN NEW HAMPSHIRE'S NORTHEAST KINGDOM, FISH SURVEYS HAVE FOUND THAT BROOK TROUT POPULATIONS HAVE NEARLY TRIPLED.WHILE WOOD ADDITION WORK HAS ALREADY IMPROVED HABITAT ON DOZENS OF MILES OF STREAMS IN NEW HAMPSHIRE AND VERMONT, A $1.8 MILLION RCPP AWARD WILL HELP TU AND PARTNERS COMPLETE 75 MILES OF WOOD ADDITION WORK OVER THE NEXT FIVE YEARS IN THOSE TWO STATES AND MAINE.IN WEST VIRGINIA, TU HAS WORKED FOR MORE THAN A DECADE TO REESTABLISH A MAJOR BROOK TROUT STRONGHOLD IN THE POTOMAC HEADWATERS. THROUGH WORK ON HUNDREDS OF FARMS, WE ARE RECONNECTING FORESTED HEADWATERS AND SPRING-FED VALLEY STREAMS SO FISH CAN MOVE THROUGHOUT THE WATERSHED AND FIND FAVORABLE CONDITIONS. ELECTRO-FISHING SURVEYS ARE TURNING UP HEALTHY NATIVE BROOK TROUT UP TO 15 INCHES. THESE TYPES OF LARGE, INTERCONNECTED BROOK TROUT POPULATIONS HAVE THE GREATEST CHANCE OF SURVIVING AMID CLIMATE CHANGE. IN THE SOUTHERN APPALACHIANS, MANY BROOK TROUT POPULATIONS ARE CONFINED TO VERY SMALL HEADWATER STREAMS. TU IS WORKING TO REMOVE FISH PASSAGE BARRIERS AND INCREASE AVAILABLE HABITAT FOR VULNERABLE POPULATIONS. VOLUNTEERS ACROSS TWO AREAS IN NORTH CAROLINA -IN THE WATERSHED OF WILSON CREEK, A DESIGNATED WILD & SCENIC RIVER, AND IN A HIGH-ELEVATION REGION OUR TEAM HAS DUBBED THE "SKY ISLAND"-PROVIDED AN EXEMPLARY EFFORT THAT PRODUCED BIG RESULTS. THIS AMAZING TEAM SPENT AT LEAST 2,215 HOURS WORKING TO COLLECT DATA. IN THE END, 555 ROAD-STREAM CROSSING STRUCTURES WERE SURVEYED. OF THOSE, 274 STRUCTURES WERE IDENTIFIED AS POTENTIALLY BLOCKING TROUT PASSAGE. TU IS PRIORITIZING BARRIER REMOVAL PROJECTS IN AREAS WITH THE POTENTIAL TO SERVE AS BROOK TROUT STRONGHOLDS IN THE FUTURE.IN THE GREAT LAKES BASIN OF NORTHEAST WISCONSIN, TU IS RECONNECTING HABITAT TO SUPPORT MIGRATORY BROOK TROUT IN THE PESHTIGO RIVER WATERSHED. WE HAVE NOW RECONNECTED MORE THAN 70 MILES OF HABITAT SO THAT FISH CAN MOVE BETWEEN THE MAINSTEM PESHTIGO AND ITS TRIBUTARIES. ONCE AGAIN TU OPENED HIGH-QUALITY BLOCKED HABITAT ACROSS THE COUNTRY, AND TU CALIFORNIA STAFF CONTINUED LEADING MAJOR FISH PASSAGE PROJECTS IN STREAMS CRUCIAL FOR NATIVE SALMON AND STEELHEAD. ON SAN CLEMENTE CREEK, WITH VITAL SPAWNING AND REARING HABITAT FOR CENTRAL COASTAL STEELHEAD IN THE CARMEL RIVER, TU PARTNERED WITH THE PRIVATE LANDOWNER TO REMOVE AN OLD CONCRETE FORD THAT COMPLETELY BLOCKED FISH MIGRATION FOR MUCH OF THE YEAR. AND ON THE UPPER NOYO RIVER ALONG MENDOCINO'S REDWOOD COAST, TU PARTNERED WITH A PRIVATE RAILWAY OPERATOR (THE "SKUNK TRAIN") TO COMPLETE A MAJOR FISH PASSAGE IMPROVEMENT AND SEDIMENT REDUCTION PROJECT THAT REOPENS MILES OF GOOD SPAWNING AND REARING HABITAT FOR COHO SALMON AND STEELHEAD.TU ALSO SCORED ANOTHER MAJOR VICTORY ON THE PATH TO DAM REMOVAL ON THE KLAMATH RIVER. TU WAS INVOLVED WITH A NEW AGREEMENT RESOLVING SEVERAL ISSUES THAT WERE THREATENING TO DERAIL PLANS TO REMOVE FOUR DAMS ON THE KLAMATH RIVER. IN EARLY DECEMBER, THE STATES OF CALIFORNIA AND OREGON, PACIFICORP, THE KLAMATH RIVER RENEWAL CORPORATION (KRRC), KARUK TRIBE AND YUROK TRIBE SIGNED A NEW MEMORANDUM OF AGREEMENT THAT WILL ADD THE TWO STATES AS "CO-LICENSEES" FOR FEDERAL REGULATORS FOR PURPOSE OF DAM REMOVAL, CREATES A NEW CONTINGENCY FUND OF $45 MILLION, COMMITS THE TWO STATES AND PACIFICORP TO FUNDING ANY COSTS ABOVE THAT, AND RESOLVES ALL LIABILITY ISSUES. UNDER THE NEW AGREEMENT DAM REMOVAL WILL COMMENCE IN 2022, WHICH, UPON COMPLETION, WILL OPEN 400 MILES OF HABITAT FOR NATIVE STEELHEAD AND SALMON.TU COMPLETED THE RATTLESNAKE DAM REMOVAL PROJECT IN MONTANA IN 2020 TO BENEFIT BULL TROUT AND WESTSLOPE CUTTHROAT TROUT. THE DAM DEMOLITION AND STREAM CHANNEL RECONSTRUCTION WERE COMPLETED WITH MORE THAN 1,000 LINEAR FEET OF STREAM RECONSTRUCTED, INCORPORATING 10,000 WILLOW CUTTINGS AND 6,000 CONTAINERIZED PLANTS. FOUR WETLAND CELLS WERE CREATED, WITH THE RESTORATION OF FIVE ACRES OF FLOODPLAIN. TEMPORARY FENCING UNITS WERE INSTALLED TO PROTECT NEWLY PLANTED TREES AND SHRUBS. MORE THAN 180 VOLUNTEERS FROM THE LOCAL AREA PARTICIPATED IN REVEGETATION ASPECTS OF THE PROJECT. IN NEW ENGLAND, WE ARE ENHANCING BROOK TROUT STRONGHOLDS BY ADDING WOODY COVER TO STREAMS THAT LACK NATURAL INSTREAM HABITAT. STRATEGICALLY INSTALLING LARGE WOOD STRUCTURES IN STREAMS CREATES COVER FOR FISH POPULATIONS, ENCOURAGES STREAMS TO CARVE OUT DEEPER AND BETTER HOLDING POOLS THAT ARE VITAL DURING DROUGHT, AND HELPS STREAMS RECONNECT TO THEIR FLOOD PLAINS TO REDUCE FLOODING RISKS. 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Form 990, Part III, Line 4c:

RESTORE:IN THE KLAMATH RIVER BASIN IN OREGON, THE THREEMILE CREEK PROJECT HELPED RESTORE HABITAT FOR THREATENED BULL TROUT AND THE OREGON SPOTTED FROG. THE PROJECT INVOLVED RETURNING THE STRAIGHTENED STREAM TO ITS HISTORIC CHANNEL AND NATURAL CONDITION AND RESTORING STREAMFLOWS. PARTNERS INCLUDED THE USFWS, ODFW, BUREAU OF RECLAMATION (BOR), NATURAL RESOURCES CONSERVATION SERVICE (NRCS), THE KLAMATH TRIBES (TKT), U.S. FOREST SERVICE (USFS) AND PRIVATE LANDOWNERS.IN 2020 AND 2021 PHASES 4 AND 5 OF THE MULTI-YEAR NINEMILE CREEK PLACER MINE RECLAMATION PROJECT WERE COMPLETED. LOCATED IN THE LOLO NATIONAL FOREST IN MONTANA THE PROJECT RESTORED HABITAT, IMPROVED WATER QUALITY, AND RECONNECTED PREVIOUSLY DISCONNECTED AND DAMAGED TRIBUTARIES ALONG NINEMILE CREEK. THE RECLAMATION PROJECT CONSTRUCTED 8,600 FEET OF NINEMILE CREEK, RECONNECTED THREE TRIBUTARIES (BURNT FORK CREEK, TWIN CREEK AND SOLDIER CREEK) AND REGRADED ROUGHLY 270,000 CUBIC YARDS OF PLACER MINED MATERIAL. THE PROJECT RECLAMATION FOOTPRINT TOTALED 70 ACRES OF ABANDONED MINE LANDSCAPE.TU COMPLETED THE MULTI-YEAR AND MULTI-PHASE YANKEE FORK (SALMON RIVER BASIN, IDAHO) RESTORATION PROJECT IN 2020. THE YANKEE FORK HISTORICALLY SUPPORTED ROBUST POPULATIONS OF SALMON, STEELHEAD AND TROUT, BUT MINING - AND THE INTENSIVE TIMBER HARVEST THAT ACCOMPANIED IT - REDUCED WHAT ONCE WAS A COMPLEX, MEANDERING RIVER INTO A VIRTUAL FLUME. THIS PROJECT BEGAN IN 2018, AND ULTIMATELY RESTORED JUST UNDER A MILE OF THE YANKEE FORK TO MORE CLOSELY RESEMBLE ITS PRE-MINING CONDITION AND BENEFIT ESA-LISTED SALMON AND STEELHEAD.TU'S ABANDONED MINE TECHNICAL ASSISTANCE PROGRAM LEVERAGED MORE THAN $13 MILLION OVER A 13-YEAR PERIOD AND HELPED SPUR A 216-MILE INCREASE IN WILD TROUT WATER IN THE WEST BRANCH SUSQUEHANNA BASIN, WHERE STREAMS HAD PREVIOUSLY BEEN KILLED BY MINE POLLUTION. TU EXPANDED OUR TECHNICAL ASSISTANCE WORK BEYOND ABANDONED MINE PROJECTS TO OTHER HABITAT IMPROVEMENTS IN PENNSYLVANIA, AND HAS COMPLETED PROJECT DESIGN, PERMITTING, OR CONSTRUCTION OVERSIGHT ON HUNDREDS OF PROJECT SITES. IN 2019 WE LAUNCHED A NEW TECHNICAL ASSISTANCE PROGRAM IN NEW ENGLAND. THIS APPROACH ENABLES US TO LEND OUR EXPERTISE TO RESTORATION PROJECTS AND THEN LET PARTNERS HANDLE THE IMPLEMENTATION, THUS COVERING MORE GROUND THAN WE COULD IF WE MANAGED EVERY COMPONENT OF THE PROJECTS OURSELVES. THE TECHNICAL ASSISTANCE PROGRAM HAS BECOME A VALUABLE COMPLEMENT TO OUR PLACE-BASED CONSERVATION INITIATIVES.ON VERMONT AND NEW YORK'S BATTENKILL RIVER, WE JUST LAUNCHED A NEW HOME RIVERS INITIATIVE, EMBEDDING A PROFESSIONAL STAFF PERSON IN THE WATERSHED TO ENGAGE THE COMMUNITY AND IMPROVE THE FISHERY. IN THE 1990S, ANGLERS NOTICED THE DECLINE IN BROWN TROUT-DECLINES THAT WERE CONFIRMED BY SCIENTIFIC SURVEYS. IMPROVED MANAGEMENT AND THE ADDITION OF WOOD BROUGHT THAT FISHERY BACK FROM THE BRINK OF COLLAPSE. THROUGHOUT THE RANGE OF BROOK TROUT WE HAVE WORKED TO ENHANCE STRONGHOLDS THROUGH ADDITION OF LARGE WOOD. RESEARCH BY TU AND THE VERMONT FISH AND WILDLIFE DEPARTMENT HAS SHOWN AN AVERAGE 150% INCREASE IN BROOK TROUT BIOMASS IN AREAS WHERE WE ADDRESSED THE DEFICIENCY IN LARGE WOODY HABITAT. WE ADDED LARGE WOOD TO DOZENS OF MILES OF STREAMS IN NEW ENGLAND, THE MID-ATLANTIC, AND THE GREAT LAKES BASIN.

Form 990, Part III **- 4 Program Service Accomplishments (See the Instructions)**

Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

(Code:) (Expenses $ 3,689,383 including grants of $ 195,333) (Revenue $ 452,074)

SUSTAIN:THE RESULTS OF OUR ANNUAL ACTIVITY REPORT DEMONSTRATE THE RESILIENCY, ADAPTABILITY AND CREATIVITY OF TU'S GREATEST ASSET - OUR VOLUNTEERS - WHO CONTINUED OUR MISSION WORK THROUGH THIS CHALLENGING YEAR. IN THE LAST FISCAL YEAR, TU VOLUNTEERS REPORTED: RAISING $8,526,851SPENDING $6,930,741CONTRIBUTING 340,475 VOLUNTEER HOURS (VALUED AT $9.7 MILLION) ENGAGING 74,191 PEOPLETHROUGH: - 2,006 CONSERVATION OR SCIENCE AND MONITORING PROJECTS- 2,562 COMMUNITY ENGAGEMENT EVENTS OR MEETINGS- 929 YOUTH EDUCATION EVENTS- 212 SERVICE PARTNERSHIP EVENTSCOMPARED TO AVERAGES FROM RECENT PRE-COVID YEARS, CHAPTER AND COUNCIL REVENUE SAW ABOUT A 15 PERCENT DROP. GIVEN THAT MANY OF OUR CHAPTERS HAD BEEN RELIANT ON IN-PERSON BANQUETS TO DRIVE FUNDRAISING, A MERE 15 PERCENT DROP IS A TESTAMENT TO OUR PIVOT TO DIGITAL ENGAGEMENT AND FUNDRAISING. IN NEW YORK CITY AND CENTRAL OREGON, STAFF MEMBERS CONTINUED TO DEVELOP AND OFFER VIRTUAL EDUCATION CONTENT FOR STUDENTS IN THE WAY OF ONLINE LESSONS, ZOOM MEET-UPS, AND INSTRUCTIONAL VIDEOS. THE NEW YORK PROGRAM FOCUSED ATTENTION ON TEACHER DEVELOPMENT AND THE COORDINATION OF A SPRING TROUT FESTIVAL FOR NYC TROUT IN THE CLASSROOM STUDENTS AND FAMILIES. IN OREGON, HIGHLIGHTS INCLUDED A KOKANEE SALMON EDUCATION AND RESTORATION PROJECT ON WASHINGTON'S LAKE SAMMAMISH. THE TU COSTA 5 RIVERS COLLEGE PROGRAM HAS ALSO MADE SOME ADJUSTMENTS DUE TO COVID, DEVELOPING VIRTUAL CONTENT IN THE WAY OF THE EMERGING PODCAST SERIES AND THE RIFFS AND RIFFLES INSTAGRAM LIVE EVENTS. BOTH EMERGING AND RIFFS AND RIFFLES FEATURE TOP NOTCH GUEST SPEAKERS AND PRESENTERS, WITH TOPICS RANGING FROM LATEST TRENDS IN THE FISHING INDUSTRY TO STEELHEADING TECHNIQUES AND FLY SELECTION. ONLY A FRACTION OF TU'S APPROXIMATELY 30 OVERNIGHT YOUTH CAMPS OPERATED IN THE PAST YEAR DUE TO COVID, SOME SWITCHING TO A DAY FORMAT, BUT THOSE THAT DID OPERATED IN A SAFE ENVIRONMENT ENGAGING YOUTH IN TRANSFORMATIVE ENVIRONMENTAL EDUCATION. THESE SAME CAMPS WERE THE RECIPIENT OF THE PROCEEDS FROM THIS YEAR'S TIE-A-THON, A GLOBAL VOLUNTEER FLY TYING EFFORT THAT DONATES FLIES TO NON-PROFITS. OVER 30,000 FLIES WERE DONATED TO TU'S SUMMER CAMPS AND OTHER HEADWATERS PROGRAMS, AND YOUNG FLY FISHERS WILL BE FISHING THESE FLIES FOR YEARS TO COME. THIS YEAR STAFF LAUNCHED A GIS-BASED TROUT IN THE CLASSROOM MAPPING PROJECT, WHICH WILL ENABLE US TO GET A CLEAR PICTURE OF JUST HOW MANY K-12 STUDENTS ARE PARTICIPATING IN TIC AND SUPPORT THE PROMOTION AND GROWTH OF THE PROGRAM.THE TROUT UNLIMITED SERVICE PARTNERSHIP HAS CONTINUED ITS TRANSFORMATION INTO A MORE INCLUSIVE COMMUNITY OF CHAPTERS SERVING AND ENGAGING BOTH THE FIRST RESPONDER AND MILITARY COMMUNITIES, ALONG WITH THEIR FAMILIES. TU CHAPTERS AND COUNCILS NATIONWIDE HAVE UTILIZED THIS NEW OPTION TO ENGAGE WITH COMMUNITIES OF SERVICE-ORIENTED, LEADERSHIP-TRAINED, DIVERSE INDIVIDUALS, IN A WAY THAT MAKES SENSE FOR BOTH CHAPTER RESOURCES AND LOCAL AVAILABILITY. OUR NATIONALLY SPONSORED TRIPS PROGRAM HAS SAFELY CONDUCTED SEVEN TRIPS IN THE PAST YEAR, REACHING OVER A HUNDRED VETERANS AND FIRST RESPONDERS WITH BOTH THE RESTORATIVE AND THERAPEUTIC IMPACTS ON FLY FISHING AND THE SUPPORT OF THE TU COMMUNITY.

(Code:) (Expenses $ 1,083,482 including grants of $) (Revenue $ 131,162)

SCIENCE:TU SCIENCE HAS CONTINUED TO GENERATE IMPORTANT RESOURCES THAT HELP OUR PROGRAMS DO MORE EFFICIENT AND EFFECTIVE WORK, INCREASE OUR UNDERSTANDING OF TROUT AND HABITAT CONSERVATION NEEDS, AND MAINTAIN OUR PROFILE AS A TRUE LEADER IN FRESHWATER SCIENCE. IN SPRING OF 2020, THE PREVIOUS ADMINISTRATION PUBLISHED RULE CHANGES TO THE CLEAN WATER ACT, LIMITING PROTECTIONS TO EPHEMERAL STREAMS WITHOUT ASSESSMENT OR QUANTIFICATION OF THE EXTENT OF STREAMS THAT WOULD BE IMPACTED (SAYING THAT BECAUSE OF TECHNICAL ERRORS IN AVAILABLE RESOURCES THESE STREAMS SIMPLY COULD NOT BE MAPPED). IN RESPONSE, WE DEVELOPED A NOVEL APPROACH TO ESTIMATING THE FOOTPRINT OF EPHEMERAL STREAMS ACROSS THE COUNTRY. OUR ANALYSES SUGGEST THAT THAT 43 TO 56% OF THE STREAM MILES IN THE COTERMINOUS USA ARE EPHEMERAL, AND THAT OF THESE FEATURES WOULD LIKELY NO LONGER BE PROTECTED UNDER THE NEW RULE - MEANING 25% OF STREAM MILES IN THE LOWER 48 WOULD NO LONGER BE SUBJECT TO PERMITTING FOR DREDGING, FILLING, OR POLLUTING. OVER THE PAST YEAR THIS WORK HAS PROVIDED THE FOUNDATION FOR OUR CONTINUED GOVERNMENT AFFAIRS FOCUS ON THIS CRITICAL THREAT TO OUR NATION'S WATERS, AND THE RULE WAS RECENTLY OVERTURNED BY THE NEW ADMINISTRATION. OUR WORK WAS PEER-REVIEWED AND PUBLISHED IN THE JOURNAL FRESHWATER SCIENCE:FESENMYER, K., WENGER, S. J., LEIGH, D. S., & NEVILLE, H. M. (2021). LARGE PORTION OF USA STREAMS LOSE PROTECTION WITH NEW INTERPRETATION OF CLEAN WATER ACT. DOI: 10.1086/713084.WE RECENTLY DEVELOPED AN UPPER COLUMBIA BEAVER-POWERED RESTORATION DECISION SUPPORT TOOL, WHICH HELPS AID TU STAFF AND OTHER PRACTITIONERS IDENTIFY AND PRIORITIZE BEAVER-POWERED RESTORATION OPPORTUNITIES ACROSS THE UPPER COLUMBIA RIVER BASIN. IN MANY PLACES, ENABLING OR MIMICKING BEAVER ON THE LANDSCAPE CAN HELP CREATE FUNCTIONAL FLOODPLAINS AND SLOW WATER FLOW AND INCREASE STORAGE, WITH MYRIAD BENEFITS FOR FISH AND OTHER WILDLIFE. RELEVANT RESTORATION MAY RANGE FROM INSTALLATION OF BEAVER DAM ANALOGS TO LOW-TECH WOOD PLACEMENT SUCH AS POST-ASSISTED LOG STRUCTURES, TO ACTUAL BEAVER RELOCATION. WE DESIGNED THIS TOOL TO ENABLE STAFF AND PARTNERS TO TARGET SPECIFIC LOCATIONS THAT PROVIDE THE MAXIMUM COMBINED BENEFITS FOR ESA-LISTED SALMONIDS, POST-FIRE RECOVERY AREAS, AND WATER STORAGE FOR CLIMATE RESILIENCE.WITH AGENCY, TRIBAL AND UNIVERSITY PARTNERS, OUR STEELHEAD SCIENCE DIRECTOR COAUTHORED A STUDY ON THE IMPACTS OF THE ELWHA RIVER (WA) DAMS - AND THEIR REMOVAL - ON GENETIC CHARACTERISTICS OF STEELHEAD. THE PAPER, PUBLISHED IN THE JOURNAL GENES, IS ONE OF THE FEW THAT HAS BEEN ABLE TO EVALUATE GENETIC CHARACTERISTICS OF FISH BEFORE (I.E., NON-MIGRATORY RESIDENT RAINBOW ABOVE THE DAMS AND STEELHEAD BELOW THE DAMS) AND AFTER DAM REMOVAL. THE WORK FOUND INCREASED GENETIC MIXING (AS EXPECTED) AND SIGNALS OF POPULATION EXPANSION ONCE THE NATURAL RIVER WAS RESTORED. IT ALSO DEMONSTRATED GENETIC DIVERSITY HAD NOT BEEN IMPACTED SIGNIFICANTLY DUE TO DAMS, AND THAT STEELHEAD RECOLONIZATION OCCURRED RAPIDLY AND WAS PRODUCED BY BOTH STEELHEAD FORMERLY BELOW THE DAMS AND RAINBOW TROUT ABOVE THE DAMS. WHILE MOST RECOLONIZATION OCCURRED FROM BELOW-DAM STEELHEAD, UNBELIEVABLY, THE STUDY SUGGESTS RESIDENT RAINBOW TROUT HAVE MAINTAINED THEIR ABILITY TO RE-EXPRESS A MIGRATORY STRATEGY DESPITE A CENTURY OF ISOLATION. THIS WORK WILL PROVIDE AN ESSENTIAL FOUNDATION FOR OUR UNDERSTANDING OF HOW SALMON RESPOND TO DAM REMOVAL.FRAIK, A. K., MCMILLAN, J. R., LIERMANN, M., BENNETT, T., MCHENRY, M. L., MCKINNEY, G. J., . . . NICHOLS, K. M. (2021). THE IMPACTS OF DAM CONSTRUCTION AND REMOVAL ON THE GENETICS OF RECOVERING STEELHEAD (ONCORHYNCHUS MYKISS) POPULATIONS ACROSS THE ELWHA RIVER WATERSHED. GENES, 12(89). DOI:DOI.ORG/ 10.3390/GENES12010089.IN THE EAST, TU SCIENCE AND EASTERN CONSERVATION STAFF COMPLETED A STUDY OF FISHERIES, BENTHIC MACROINVERTEBRATES, AND WATER QUALITY WITHIN THE WEST BRANCH SUSQUEHANNA RIVER WATERSHED IN PENNSYLVANIA (WESTBRANCH SUSQUEHANNA RECOVERY BENCHMARK II TECHNICAL REPORT, 2020). THE STUDY REPLICATED AND EXPANDED A 2010 TU EFFORT TO DOCUMENT THE RECOVERY OF THE WATERSHED FROM ABANDONED MINE DRAINAGE (AMD). IMPROVEMENTS IN BOTH WATER QUALITY AND BIOLOGICAL METRICS WERE DOCUMENTED AND ARE ATTRIBUTED TO AMD REMEDIATION EFFORTS THROUGHOUT THE WATERSHED. SINCE 2009, NEARLY 26 MILES OF THE WEST BRANCH SUSQUEHANNA RIVER AND 10 OF ITS TRIBUTARIES HAVE BEEN FOUND TO NOW SUPPORT WILD TROUT POPULATIONS AND ARE NOW PROTECTED UNDER PA STATE LAW. SEVERAL TRIBUTARIES WHERE TU HAS COMPLETED AMD RESTORATION SINCE 2009 HAVE ALSO SHOWN MAJOR IMPROVEMENTS. ALTHOUGH RESULTS HAVE SHOWN A GENERAL TREND OF IMPROVEMENT, COMPARISONS WITH UNIMPAIRED REFERENCE SITES DEMONSTRATE THAT FULL RECOVERY HAS STILL NOT BEEN ACHIEVED. BASED ON THESE RESULTS, FUNDING FOR CONTINUED AMD CLEANUP REMAINS A PRIORITY, PARTICULARLY THE REAUTHORIZATION OF THE ABANDONED MINE LANDS FUND.

Form 990, Part III **- 4 Program Service Accomplishments (See the Instructions)**

Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

(Code:)	(Expenses $	947,933	including grants of $	) (Revenue $	114,753)
GOVERNMENT AFFAIRS					
(Code:)	(Expenses $	1,873,606	including grants of $	) (Revenue $	227,274)
COMMUNICATIONS					

Form 990, Part III **- 4 Program Service Accomplishments (See the Instructions)**

Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and (4) organizations and 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

(Code:) (Expenses $ 2,491,961 including grants of $) (Revenue $ 417,388)

MEMBERSHIP DEVELOPMENT

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
CHRISTOPHER WOOD PRESIDENT AND CEO	40.00	X		X				358,124	0	53,539
BERNARD C BAILEY CHAIRMAN	20.00	X		X				0	0	0
JIM WALKER CHAIRMAN OF NAT'L LEADERSHIP COUNCIL	20.00	X		X				0	0	0
PATSY ISHIYAMA SECRETARY	15.00	X		X				0	0	0
TERRY HYMAN TREASURER	15.00	X		X				0	0	0
RICH THOMAS SECRETARY NAT'L LEADERSHIP COUNCIL	20.00	X		X				0	0	0
DAVID D ARMSTRONG LEGAL ADVISOR	10.00	X		X				0	0	0
PAUL DOSCHER TRUSTEE	5.00	X						0	0	0
R SCOTT BLACKLEY TRUSTEE	10.00	X						0	0	0
SHERRY BRAINERD TRUSTEE	10.00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
MAC CUNNINGHAM TRUSTEE	10.00	X						0	0	0
NOEL SKIP DUNN TRUSTEE	5.00	X						0	0	0
LAWRENCE FINCH TRUSTEE	5.00	X						0	0	0
LARRY GARLICK TRUSTEE	5.00	X						0	0	0
CHRIS HILL TRUSTEE	5.00	X						0	0	0
THOMAS L JONES TRUSTEE	5.00	X						0	0	0
HENRY E KOLTZ TRUSTEE	10.00	X						0	0	0
ALEX MAHER TRUSTEE	5.00	X						0	0	0
GREGORY A MCCRICKARD TRUSTEE	10.00	X						0	0	0
STEPHEN MOSS TRUSTEE	5.00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
PHOEBE MUZZY TRUSTEE	5.00	X						0	0	0
JOSH CRUMPTON TRUSTEE	5.00	X						0	0	0
TIM O'LEARY TRUSTEE	5.00	X						0	0	0
ROBERT ODEN JR TRUSTEE	10.00	X						0	0	0
PETER GRUA TRUSTEE	5.00	X						0	0	0
AL PERKINSON TRUSTEE	5.00	X						0	0	0
R JOSEPH DE BRUYN TRUSTEE	5.00	X						0	0	0
CANDICE PRICE TRUSTEE	5.00	X						0	0	0
LINDA ROSENBERG ACH TRUSTEE	5.00	X						0	0	0
DONALD DWIGHT SCOTT TRUSTEE	5.00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
KATHY SCOTT TRUSTEE	5.00	X						0	0	0
JUDI SITTLER TRUSTEE	5.00	X						0	0	0
TERRY TURNER TRUSTEE	5.00	X						0	0	0
JEFF WITTEN TRUSTEE	10.00	X						0	0	0
MATTHEW RENAUD CFO	40.00			X				204,438	0	46,862
JOANNE THEURICH COO	40.00			X				218,404	0	17,867
ELIZABETH MACLIN EXECUTIVE VP (UNTIL 1/4/2021)	40.00				X			171,499	0	47,002
RODERICK VOGEL CHIEF DEV. OFFICER (UNTIL 11/2/2020)	40.00				X			179,206	0	32,416
ELIZABETH SNYDER SR DIRECTOR, IT	40.00					X		164,651	0	35,314
STEVEN MOYER VP OF GOVERNMENT AFFAIRS	40.00					X		166,077	0	29,605

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
ROBERT MASONIS VP WESTERN CONSERVATION	40.00					X		138,530	0	35,258
LORI HELD SENIOR DIRECTOR, MEMBER SUPPORT	40.00					X		126,307	0	46,248
STEPHEN TRAFTON MANAGING DIR, COLDWATER CONSERVATION	40.00					X		125,324	0	35,340

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SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
TROUT UNLIMITED INC

Employer identification number
38-1612715

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)

9 ☐ An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:

10 ☐ An organization that normally receives: (1) more than 331/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 331/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.

a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**

b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**

c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**

d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**

e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations . . . . . _____

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document? Yes	(iv) No	(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
Total						

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grant.") . .	41,370,075	47,745,662	23,757,769	55,081,301	62,783,791	230,738,598
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge..						
4 **Total.** Add lines 1 through 3	41,370,075	47,745,662	23,757,769	55,081,301	62,783,791	230,738,598
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). .						1,632,976
6 **Public support.** Subtract line 5 from line 4.						229,105,622

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
7 Amounts from line 4. .	41,370,075	47,745,662	23,757,769	55,081,301	62,783,791	230,738,598
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . .	231,095	228,477	152,949	186,225	304,460	1,103,206
9 Net income from unrelated business activities, whether or not the business is regularly carried on . .						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.). .	37,280	-4,952		26,889	29,386	88,603
11 **Total support.** Add lines 7 through 10						231,930,407

12 Gross receipts from related activities, etc. (see instructions) **12** 30,767,066

13 **First 5 years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . . ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2020 (line 6, column (f) divided by line 11, column (f)) . . . . . **14** 98.780 %

15 Public support percentage for 2019 Schedule A, Part II, line 14 . . . . . **15** 97.390 %

16a **33 1/3% support test—2020.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . ▶ ☑

b **33 1/3% support test—2019.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . ▶ ☐

17a **10%-facts-and-circumstances test—2020.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . ▶ ☐

b **10%-facts-and-circumstances test—2019.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . . ▶ ☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf. . .						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 **Public support.** (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
9 Amounts from line 6. . .						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. .						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . .						
13 **Total support.** (Add lines 9, 10c, 11, and 12.). .						

14 **First 5 years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**. . . . . ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2020 (line 8, column (f) divided by line 13, column (f))	15	
16	Public support percentage from 2019 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2020** (line 10c, column (f) divided by line 13, column (f))	17	
18	Investment income percentage from **2019** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2020.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . ▶ ☐

b **33 1/3% support tests—2019.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions . . . . ▶ ☐

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, of Part I, complete Sections A and B. If you checked box 12b, of Part I, complete Sections A and C. If you checked box 12c, of Part I, complete Sections A, D, and E. If you checked box 12d, of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

			Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? *If "No," describe in* ***Part VI*** *how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.*	1		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509 (a)(1) or (2)? *If "Yes," explain in* ***Part VI*** *how the organization determined that the supported organization was described in section 509(a)(1) or (2).*	2		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? *If "Yes," answer lines 3b and 3c below.*	3a		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? *If "Yes," describe in* ***Part VI*** *when and how the organization made the determination.*	3b		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? *If "Yes," explain in* ***Part VI*** *what controls the organization put in place to ensure such use.*	3c		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? *If "Yes" and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.*	4a		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If "Yes," describe in* ***Part VI*** *how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.*	4b		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? *If "Yes," explain in* ***Part VI*** *what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.*	4c		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? *If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in* ***Part VI,*** *including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).*	5a		
b	**Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	5b		
c	**Substitutions only.** Was the substitution the result of an event beyond the organization's control?	5c		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in* ***Part VI.***	6		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ) .*	7		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? *If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).*	8		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? *If "Yes," provide detail in* ***Part VI.***	9a		
b	Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If "Yes," provide detail in* ***Part VI.***	9b		
c	Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If "Yes," provide detail in* ***Part VI.***	9c		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If "Yes," answer line 10b below.*	10a		
b	Did the organization have any excess business holdings in the tax year? *(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).*	10b		

Part IV **Supporting Organizations** (continued)

			Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons?			
a	A person who directly or indirectly controls, either alone or together with persons described in lines 11b and 11c below, the governing body of a supported organization?	**11a**		
b	A family member of a person described in 11a above?	**11b**		
c	A 35% controlled entity of a person described in line 11a or 11b above? *If "Yes" to 11a, 11b, or 11c, provide detail in* ***Part VI.***	**11c**		

Section B. Type I Supporting Organizations

			Yes	No
1	Did the officers, directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in* ***Part VI*** *how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.*	**1**		
2	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in* ***Part VI*** *how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.*	**2**		

Section C. Type II Supporting Organizations

			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in* ***Part VI*** *how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).*	**1**		

Section D. All Type III Supporting Organizations

			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?	**1**		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization (s) or (ii) serving on the governing body of a supported organization? *If "No," explain in* ***Part VI*** *how the organization maintained a close and continuous working relationship with the supported organization(s).*	**2**		
3	By reason of the relationship described in line 2 above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in* ***Part VI*** *the role the organization's supported organizations played in this regard.*	**3**		

Section E. Type III Functionally-Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year **(see instructions)**:

- **a** ☐ The organization satisfied the Activities Test. Complete **line 2** below.
- **b** ☐ The organization is the parent of each of its supported organizations. Complete **line 3** below.
- **c** ☐ The organization supported a governmental entity. Describe in **Part VI** how you supported a government entity (see instructions)

2 Activities Test. **Answer lines 2a and 2b below.**

			Yes	No
a	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in* ***Part VI identify those supported organizations and explain*** *how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.*	**2a**		
b	Did the activities described in line 2a constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in* ***Part VI*** *the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.*	**2b**		
3	Parent of Supported Organizations. **Answer lines 3a and 3b below.**			
a	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *If "Yes" or "No" provide details in* ***Part VI.***	**3a**		
b	Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? *If "Yes," describe in* ***Part VI.*** *the role played by the organization in this regard.*	**3b**		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 *(explain in* ***Part VI****)*. **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

	Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1		
2	Recoveries of prior-year distributions	2		
3	Other gross income (see instructions)	3		
4	Add lines 1 through 3	4		
5	Depreciation and depletion	5		
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6		
7	Other expenses (see instructions)	7		
8	**Adjusted Net Income** (subtract lines 5, 6 and 7 from line 4)	8		

	Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):	1		
a	Average monthly value of securities	1a		
b	Average monthly cash balances	1b		
c	Fair market value of other non-exempt-use assets	1c		
d	**Total** (add lines 1a, 1b, and 1c)	1d		
e	**Discount** claimed for blockage or other factors (*explain in detail in* ***Part VI***):			
2	Acquisition indebtedness applicable to non-exempt use assets	2		
3	Subtract line 2 from line 1d	3		
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4		
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6	Multiply line 5 by 0.035	6		
7	Recoveries of prior-year distributions	7		
8	**Minimum Asset Amount** (add line 7 to line 6)	8		

	Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1		
2	Enter 85% of line 1	2		
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3		
4	Enter greater of line 2 or line 3	4		
5	Income tax imposed in prior year	5		
6	**Distributable Amount.** Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6		

7 ☐ Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V **Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)

Section D - Distributions		**Current Year**
1 Amounts paid to supported organizations to accomplish exempt purposes	**1**	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	**2**	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	**3**	
4 Amounts paid to acquire exempt-use assets	**4**	
5 Qualified set-aside amounts (*prior IRS approval required - provide details in* ***Part VI***)	**5**	
6 Other distributions (*describe in* ***Part VI***). See instructions	**6**	
7 **Total annual distributions.** Add lines 1 through 6.	**7**	
8 Distributions to attentive supported organizations to which the organization is responsive (*provide details in* ***Part VI***). See instructions	**8**	
9 Distributable amount for 2020 from Section C, line 6	**9**	
10 Line 8 amount divided by Line 9 amount	**10**	

Section E - Distribution Allocations (see instructions)	**(i) Excess Distributions**	**(ii) Underdistributions Pre-2020**	**(iii) Distributable Amount for 2020**
1 Distributable amount for 2020 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2020 (reasonable cause required-- *explain in* ***Part VI***). See instructions.			
3 Excess distributions carryover, if any, to 2020:			
a From 2015.			
b From 2016.			
c From 2017.			
d From 2018.			
e From 2019.			
f **Total** of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2020 distributable amount			
i Carryover from 2015 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
4 Distributions for 2020 from Section D, line 7: $			
a Applied to underdistributions of prior years			
b Applied to 2020 distributable amount			
c Remainder. Subtract lines 4a and 4b from line 4.			
5 Remaining underdistributions for years prior to 2020, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, *explain in* ***Part VI***. See instructions.			
6 Remaining underdistributions for 2020. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, *explain in* ***Part VI***. See instructions.			
7 **Excess distributions carryover to 2021.** Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2016.			
b Excess from 2017.			
c Excess from 2018.			
d Excess from 2019.			
e Excess from 2020.			

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

990 Schedule A, Supplemental Information

Return Reference	Explanation
PART II:	THE INFORMATION REPORTED IN THE 2018 COLUMN IS FOR THE SHORT PERIOD 10/1/2018 - 3/31/2019.

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SCHEDULE C (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶**Complete if the organization is described below.** ▶**Attach to Form 990 or Form 990-EZ.**
▶**Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization	Employer identification number
TROUT UNLIMITED INC	38-1612715

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")

2 Political campaign activity expenditures (see instructions) ▶ $

3 Volunteer hours for political campaign activities (see instructions)

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

	(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1					
2					
3					
4					
5					
6					

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)	6,500	
b Total lobbying expenditures to influence a legislative body (direct lobbying)	258,149	
c Total lobbying expenditures (add lines 1a and 1b)	264,649	
d Other exempt purpose expenditures	63,543,732	
e Total exempt purpose expenditures (add lines 1c and 1d)	63,808,381	
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.	1,000,000	

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e.
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000.
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000.
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000.
Over $17,000,000	$1,000,000.

g Grassroots nontaxable amount (enter 25% of line 1f)	250,000	
h Subtract line 1g from line 1a. If zero or less, enter -0-.	0	
i Subtract line 1f from line 1c. If zero or less, enter -0-.	0	

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	806,494	717,676	278,751	264,649	2,067,570
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	250,000	232,612	2,300	6,500	491,412

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation

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SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
TROUT UNLIMITED INC

Employer identification number
38-1612715

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

- ☐ Preservation of land for public use (e.g., recreation or education)
- ☐ Preservation of an historically important land area
- ☑ Protection of natural habitat
- ☐ Preservation of a certified historic structure
- ☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

		Held at the End of the Year
a Total number of conservation easements	2a	3
b Total acreage restricted by conservation easements	2b	120.00
c Number of conservation easements on a certified historic structure included in (a)	2c	
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ 0

4 Number of states where property subject to conservation easement is located ▶ 1

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☑ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ 40.00

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ $ 1,000

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ▶ $

(ii) Assets included in Form 990, Part X ▶ $

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ▶ $

b Assets included in Form 990, Part X ▶ $

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a ☐ Public exhibition
- b ☐ Scholarly research
- c ☐ Preservation for future generations
- d ☐ Loan or exchange programs
- e ☐ Other .....

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?. . . ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? . . . . . ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

		Amount
c	Beginning balance	1c
d	Additions during the year	1d
e	Distributions during the year	1e
f	Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? . . . ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII . . . . ☐

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	5,295,898	5,976,942	6,243,231	6,099,611	5,756,459
b Contributions	2,500,000				
c Net investment earnings, gains, and losses	1,946,850	-373,092	4,037	486,817	731,178
d Grants or scholarships					
e Other expenditures for facilities and programs	325,328	307,952	270,326	343,197	388,026
f Administrative expenses					
g End of year balance	9,417,420	5,295,898	5,976,942	6,243,231	6,099,611

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment ▶ 0 %
- b Permanent endowment ▶ 79.700 %
- c Term endowment ▶ 20.300 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
(i) Unrelated organizations	3a(i)	No
(ii) Related organizations	3a(ii)	No
b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?	3b	

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		7,801		7,801
b Buildings				
c Leasehold improvements		65,566	56,293	9,273
d Equipment		5,067,791	4,898,531	169,260
e Other				
Total. Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				186,334

Part VII Investments—Other Securities.
Complete if the organization answered "Yes" on Form 990, Part IV, line 11b.See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3)Other		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 12.)* ▶		

Part VIII Investments—Program Related.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 13.)* ▶		

Part IX Other Assets.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* ▶	

Part X Other Liabilities.
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) REFUNDABLE ADVANCES - FEDERAL GRANTS	310,165
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 25.)* ▶	310,165

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☑

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	74,005,084
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:				
a	Net unrealized gains (losses) on investments	2a	2,034,368		
b	Donated services and use of facilities	2b	66,528		
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII.)	2d	1,685,127		
e	Add lines **2a** through **2d**			2e	3,786,023
3	Subtract line **2e** from line **1**			3	70,219,061
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	40,038		
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	40,038
5	Total revenue. Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12.)			5	70,259,099

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	65,519,998
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
a	Donated services and use of facilities	2a	66,528		
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII.)	2d	1,685,127		
e	Add lines **2a** through **2d**			2e	1,751,655
3	Subtract line **2e** from line **1**			3	63,768,343
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	40,038		
b	Other (Describe in Part XIII.)	4b			
c	Add lines **4a** and **4b**			4c	40,038
5	Total expenses. Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18.)			5	63,808,381

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

Part XIII **Supplemental Information *(continued)***

Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 38-1612715
Name: TROUT UNLIMITED INC

Supplemental Information

Return Reference	Explanation
PART II, LINE 5:	ANNUALLY, A TU REPRESENTATIVE VISITS THE PROPERTY AND SPEAKS WITH THE LANDOWNER TO REVIEW THE PROPERTY AND IDENTIFY ANY NEW ACTIVITIES OR DAMAGES SINCE THE LAST INSPECTION THAT COU LD AFFECT THE PROPERTY. THE REPRESENTATIVE DISCUSSES WITH THE LANDOWNER ANY POTENTIAL OR P LANNED ACTIVITIES CONCERNING THE LAND INCLUDING, BUT NOT LIMITED TO, THE TRANSFER OF THE L AND, AGRICULTURAL ACTIVITIES, TIMBER HARVESTING, WATER DEVELOPMENT, ROAD CONSTRUCTION, AND COMMERCIAL ACTIVITIES.

Supplemental Information

Return Reference	Explanation
PART II, LINE 9:	CONSERVATION EASEMENTS ARE NOT REPORTED IN THE REVENUE, EXPENSE OR BALANCE SHEET OF TU.

Supplemental Information

Return Reference	Explanation
PART V, LINE 4:	CCF ENDOWMENT: THE FUND WAS DEVELOPED TO SUPPORT THE SCIENTIFIC RESOURCE WORK OF TU AND WA S FUNDED THROUGH THE RUSSELL MEMORIAL FUND ($569,375) AND OTHER INDIVIDUAL CONTRIBUTIONS. UP TO 15% OF THE ORIGINAL CONTRIBUTION REVENUE WAS ALLOCATED TO BE SPENT ON OVERHEAD AND A DMINISTRATIVE COSTS ASSOCIATED WITH THE COLDWATER CONSERVATION FUND PROGRAM. THE REMAINING PORTION OF THE OVERHEAD AND ADMINISTRATIVE ALLOCATION WAS SPENT IN FISCAL YEAR 2016. A PO RTION OF THE CURRENT INVESTMENT INCOME FROM THE ENDOWMENT'S FUNDS ARE TO BE SPENT ANNUALLY , IN ACCORDANCE WITH TU'S SPENDING POLICY. SPENDING RATE OF 4.5% WAS SET FOR THE YEARS END ED MARCH 31, 2021 AND 2020. E.T. TELLER ENDOWMENT: THIS FUND WAS ESTABLISHED IN 1995 BY TH E TELLER FAMILY. PER REQUEST BY THE DONOR, UP TO 50% OF THE ANNUAL EARNINGS ARE AVAILABLE FOR GENERAL OPERATIONS OF TU. THE OTHER 50% SHOULD BE REINVESTED IN THE FUND. IDAHO WATER FUND: THIS ENDOWMENT WAS ESTABLISHED IN 2008 WITH GRANT FUNDS FROM THE ISHIYAMA FAMILY FOU NDATION. THE PURPOSE OF THE ENDOWMENT IS TO FUND THE IDAHO WATER PROJECT. A PORTION OF THE FUNDS CAN BE SPENT ANNUALLY, IN ACCORDANCE WITH TU'S SPENDING POLICY. FUNDS IN THE AMOUNT OF $38,859 AND $32,294 WERE SPENT DURING THE YEAR ENDING MARCH 31, 2021 AND 2020, RESPECT IVELY. TALCOTT ENDOWMENT: THE TALCOTT ENDOWMENT WAS ESTABLISHED IN 2021 WITH A BEQUEST IN THE AMOUNT OF $2,500,000 FROM THAYER TALCOTT, JR. THE FUNDS WERE RESTRICTED TO THE TU'S PE RPETUAL ENDOWMENT WITH THE INCOME TO BE USED TO SUPPORT THE GENERAL PURPOSES OF TU. THE FU NDS HAD NOT BEEN RECEIVED AS OF MARCH 31, 2021 AND THE BEQUEST WAS RECORDED AS A RECEIVABL E WITHIN THE ACCOMPANYING STATEMENTS OF FINANCIAL POSITION AS OF MARCH 31, 2021. THE FUNDS WERE SUBSEQUENTLY RECEIVED IN JUNE 2021 AND ARE BEING INVESTED IN ACCORDANCE WITH TU'S IN VESTMENT POLICY FOR ENDOWMENTS AND WILL BE SPENT IN ACCORDANCE WITH TU'S SPENDING POLICY.

Supplemental Information

Return Reference	Explanation
PART X, LINE 2:	FOR THE YEARS ENDED MARCH 31, 2021 AND 2020, TU DOCUMENTED ITS CONSIDERATION OF FASB ASC 740-10, INCOME TAXES, THAT PROVIDES GUIDANCE FOR REPORTING UNCERTAINTY IN INCOME TAXES AND HAS DETERMINED THAT NO MATERIAL UNCERTAIN TAX POSITIONS QUALIFY FOR EITHER RECOGNITION OR DISCLOSURE IN THE FINANCIAL STATEMENTS.

Supplemental Information

Return Reference	Explanation
PART XI, LINE 2D - OTHER ADJUSTMENTS:	COST OF GOODS SOLD REPORTED AS AN EXPENSE ON THE FINANCIAL STATEMENTS AND NETTED AGAINST SALES REVENUE ON FORM 990, PART VIII, LINE 10C. 1,685,127.

Supplemental Information

Return Reference	Explanation
PART XII, LINE 2D - OTHER ADJUSTMENTS:	COST OF GOODS SOLD REPORTED AS AN EXPENSE ON THE FINANCIAL STATEMENTS AND NETTED AGAINST SALES REVENUE ON FORM 990, PART VIII, LINE 10C. 1,685,127.

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Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.

▶ **Attach to Form 990.**

▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
TROUT UNLIMITED INC

Employer identification number
38-1612715

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ **Yes** ☐ **No**

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.

Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) See Additional Data							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶ 26

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶ 1

Part III **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV **Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
PART I, LINE 2:	THE MAJORITY OF THE GRANTS ARE GIVEN OUT TO TU CHAPTERS AND COUNCILS AND ARE MONITORED BY THE EMBRACE-A-STREAM COMMITTEE FOR COMPLIANCE WITH THEIR GRANT AGREEMENT FOR THOSE GRANTS ISSUED TO OUTSIDE ORGANIZATIONS, THOSE ARE TYPICALLY PART OF A LARGER GRANT AGREEMENT THAT DICTATES THE TERM OF THE ARRANGEMENTS WITH THE APPROPRIATE TU EMPLOYEE MONITORING COMPLIANCE. THE TU WOMEN'S FLY FISHING/FILM GRANT SPECIFICALLY FUNDS WOMEN FILMMAKERS, HELPING THEM TO PRODUCE VIDEO PROJECTS ABOUT WOMEN TAKING PART IN THE SPORT OF FLY-FISHING FOR TROUT WOMEN ARE NOW AN UNDER-REPRESENTED DEMOGRAPHIC IN THE SPORT (THE MAJORITY OF TROUT ANGLERS, AND TU MEMBERS, ARE MALE), AND AS PART OF TU'S DIVERSITY INITIATIVE, WE ARE FOCUSED ON EXPANDING REACH TO THE FEMALE DEMOGRAPHIC, AND RECRUITING WOMEN MEMBERS WE MONITORED THE PRODUCTION, ASSISTED IN THE DISTRIBUTION, AND USE OUR MEDIA PROPERTIES AND CONTACTS TO LEVERAGE VIEWERSHIP OF THE FINISHED FILMS.

Additional Data

Software ID:
Software Version:
EIN: 38-1612715
Name: TROUT UNLIMITED INC

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
BLANDFORD NATURE CENTER 1715 HILLBURN AVE NW GRAND RAPIDS, MI 49504	20-0739420	501(C)(3)	5,000				CONSERVATION
BLUE RIDGE 072 910 KAYE GIBBONS CT KERNERSVILLE, NC 272849552	23-7188822	501(C)(3)	5,225				EMBRACE A STREAM

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
CANANDAIGUA LAKE 594 12 GOLFSIDE CIRCLE CANADAIGUA, NY 144248970	52-1765536	501(C)(3)	10,650				EMBRACE A STREAM
COLORADO COUNCIL 1536 WYNKOOP STREET STE 320 DENVER, CO 80202	84-0628113	501(C)(3)	41,762				CONSERVATION

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
CUMBERLAND VALLEY 052 220 ADAMS RD CARLISLE, PA 170157908	23-7210152	501(C)(3)	9,000				EMBRACE A STREAM
EAST YELLOWSTONE 540 114 ROAD 3DX CODY, WY 824149645	52-1491894	501(C)(3)	5,138				EMBRACE A STREAM

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
FORT BEDFORD 291 125 SPRING ST BEDFORD, PA 155221249	25-1340089	501(C)(3)	8,755				EMBRACE A STREAM
FRANK BOB PERRIN LANSING 018 1804 WOOD ST LANSING, MI 489123444	38-3417274	501(C)(3)	7,075				EMBRACE A STREAM

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
FRIENDS OF THE ROUGE 650 CHURCH STREET PLYMOUTH, MI 48170	38-2672879	501(C)(3)	5,000				CONSERVATION
GOLD RIDGE RESOURCE CONSERVATION DISTRICT 2776 SULLIVAN RD SEBASTOPOL, CA 95472	94-2466509	GOVERNMENT	45,941				CONSERVATION

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
GRAND VALLEY METROPOLITAN COUNCIL 678 FRONT ST NW STE 200 GRAND RAPIDS, MI 49504		GOVERNMENT	5,000				CONSERVATION
KIAP TU WISH 168 2174 COMMONWEALTH AVE ST PAUL, MN 551081717	23-7355260	501(C)(3)	7,500				EMBRACE A STREAM

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
NEW YORK COUNCIL 64 WILBUR BLVD POUGHKEEPSIE, NY 12603	23-7355317	501(C)(3)	12,985				CONSERVATION
938-NORTH SOUND CHAPTER 2914 KULSHAN ST BELLINGHAM, WA 982251643	81-2526806	501(C)(3)	7,050				EMBRACE A STREAM

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
NUTMEG 217 180 MERRIMAC DR TRUMBULL, CT 066111742	23-7290732	501(C)(3)	5,700				EMBRACE A STREAM
OAKBROOK CHAPTER OF TU 202 801 COTTONWOOD DR NORTH AURORA, IL 605421037	23-7355330	501(C)(3)	9,750				EMBRACE A STREAM

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
PERE MARQUETTE RIVER #933 STE 750 TROY, MI 480843433	47-5229651	501(C)(3)	10,500				EMBRACE A STREAM
PERKIOMEN 332 326 CODDINGTON WAY HARLEYSVILLE, PA 19438	23-2222241	501(C)(3)	16,127				EMBRACE A STREAM

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
REGENTS UC SAN DIEGO 9500 GILMAN DRIVE 0954 LA JOLLA, CA 92093	95-6006144	501(C)(3)	73,525				CONSERVATION
SCHREMS WEST MICHIGAN 021 2569 HOLTMAN DR NE GRAND RAPIDS, MI 495251814	52-1766265	501(C)(3)	5,000				EMBRACE A STREAM

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
SONOMA RESOURCE CONSERVATION DISTRICT 1221 FARMERS LN SUITE F SANTA ROSA, CA 95405	95-2863255	GOVERNMENT	81,520				CONSERVATION
TANDEM STILLS MOTION INC 8584 FRANKLIN AVE LOS ANGELES, CA 90069	45-2453829	N/A	20,000				COMMUNICATIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
TED TRUEBLOOD 523 3170 N 34TH PLACE BOISE, ID 837034904	52-1766250	501(C)(3)	13,550				EMBRACE A STREAM
TENNESSEE COUNCIL OF TROUT UNLIMITED 325 SILO DR LENOIR CITY, TN 37772	81-0702540	501(C)(3)	11,016				EMBRACE A STREAM

Form 990,Schedule I, Part II, Grants and Other Assistance to Domestic Organizations and Domestic Governments.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
TRIANGLE FLY FISHERS 345 751 HERITGE ARBOR DR WAKE FOREST, NC 275873827	52-1269869	501(C)(3)	5,000				EMBRACE A STREAM
USDA FOREST SERVICE C/O CITBANK LOS ANGELES, CA 90030	92-9332484	GOVERNMENT	34,500				CONSERVATION

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Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**
▶ **Attach to Form 990.**
▶ **Go to *www.irs.gov/Form990* for instructions and the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
TROUT UNLIMITED INC

Employer identification number
38-1612715

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
	☐ First-class or charter travel	☐ Housing allowance or residence for personal use		
	☐ Travel for companions	☐ Payments for business use of personal residence		
	☐ Tax idemnification and gross-up payments	☐ Health or social club dues or initiation fees		
	☐ Discretionary spending account	☐ Personal services (e.g., maid, chauffeur, chef)		
b	If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	**1b**		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?	**2**		
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.			
	☑ Compensation committee	☐ Written employment contract		
	☑ Independent compensation consultant	☑ Compensation survey or study		
	☑ Form 990 of other organizations	☑ Approval by the board or compensation committee		
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a	Receive a severance payment or change-of-control payment?	**4a**		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**		No
c	Participate in, or receive payment from, an equity-based compensation arrangement?	**4c**		No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a	The organization?	**5a**		No
b	Any related organization?	**5b**		No
	If "Yes," on line 5a or 5b, describe in Part III.			
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a	The organization?	**6a**		No
b	Any related organization?	**6b**		No
	If "Yes," on line 6a or 6b, describe in Part III.			
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**		No
8	Were any amounts reported on Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	**9**		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	**(B)** Breakdown of W-2 and/or 1099-MISC compensation			**(C)** Retirement and other deferred compensation	**(D)** Nontaxable benefits	**(E)** Total of columns (B)(i)-(D)	**(F)** Compensation in column (B) reported as deferred on prior Form 990
	(i) Base compensation	**(ii)** Bonus & incentive compensation	**(iii)** Other reportable compensation				
See Additional Data Table							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 38-1612715
Name: TROUT UNLIMITED INC

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1CHRISTOPHER WOOD PRESIDENT AND CEO	(i)	358,124	0	0	25,706	27,833	411,663	0
	(ii)	0	0	0	0	0	0	0
1MATTHEW RENAUD CFO	(i)	204,438	0	0	19,289	27,573	251,300	0
	(ii)	0	0	0	0	0	0	0
2JOANNE THEURICH COO	(i)	218,404	0	0	17,563	304	236,271	0
	(ii)	0	0	0	0	0	0	0
3ELIZABETH MACLIN EXECUTIVE VP (UNTIL 1/4/2021)	(i)	171,499	0	0	19,500	27,502	218,501	0
	(ii)	0	0	0	0	0	0	0
4RODERICK VOGEL CHIEF DEV. OFFICER (UNTIL 11/2/2020)	(i)	179,206	0	0	18,402	14,014	211,622	0
	(ii)	0	0	0	0	0	0	0
5ELIZABETH SNYDER SR DIRECTOR, IT	(i)	164,651	0	0	26,000	9,314	199,965	0
	(ii)	0	0	0	0	0	0	0
6STEVEN MOYER VP OF GOVERNMENT AFFAIRS	(i)	166,077	0	0	25,645	3,960	195,682	0
	(ii)	0	0	0	0	0	0	0
7ROBERT MASONIS VP WESTERN CONSERVATION	(i)	138,530	0	0	26,000	9,258	173,788	0
	(ii)	0	0	0	0	0	0	0
8LORI HELD SENIOR DIRECTOR, MEMBER SUPPORT	(i)	126,307	0	0	18,828	27,420	172,555	0
	(ii)	0	0	0	0	0	0	0
9STEPHEN TRAFTON MANAGING DIR, COLDWATER CONSERVATION	(i)	125,324	0	0	7,924	27,416	160,664	0
	(ii)	0	0	0	0	0	0	0

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SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.
▶Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
TROUT UNLIMITED INC

Employer identification number
38-1612715

Part I Types of Property

		(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1	Art—Works of art				
2	Art—Historical treasures				
3	Art—Fractional interests				
4	Books and publications				
5	Clothing and household goods				
6	Cars and other vehicles				
7	Boats and planes				
8	Intellectual property				
9	Securities—Publicly traded	X	36	858,162	FMV
10	Securities—Closely held stock				
11	Securities—Partnership, LLC, or trust interests				
12	Securities—Miscellaneous				
13	Qualified conservation contribution—Historic structures				
14	Qualified conservation contribution—Other				
15	Real estate—Residential				
16	Real estate—Commercial				
17	Real estate—Other				
18	Collectibles				
19	Food inventory				
20	Drugs and medical supplies				
21	Taxidermy				
22	Historical artifacts				
23	Scientific specimens				
24	Archeological artifacts				
25	Other ▶ (_____)				
26	Other ▶ (_____)				
27	Other ▶ (_____)				
28	Other ▶ (_____)				

29	Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement	29	0

			Yes	No
30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?	30a		No
b	If "Yes," describe the arrangement in Part II.			
31	Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?	31	Yes	
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a		No
b	If "Yes," describe in Part II.			
33	If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.			

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, COLUMN (B):	THIS COLUMN REPORTS THE NUMBER OF STOCK DONATIONS.

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SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.
▶ Go to *www.irs.gov/Form990* for the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization
TROUT UNLIMITED INC

Employer identification number
38-1612715

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 4B	IN THE GREAT LAKES BASIN OF NORTHEAST WISCONSIN, TU IS RECONNECTING HABITAT TO SUPPORT MIG RATORY BROOK TROUT IN THE PESHTIGO RIVER WATERSHED. WE HAVE NOW RECONNECTED MORE THAN 70 M ILES OF HABITAT SO THAT FISH CAN MOVE BETWEEN THE MAINSTEM PESHTIGO AND ITS TRIBUTARIES.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 6	TU HAS 8 CLASSES OF MEMBERSHIP: TU TEEN, REGULAR, SENIOR, TU BUSINESS, TU GUIDE, FAMILY, F AMILY LIFE, LIFE. SOMEONE BECOMES A MEMBER OF TU BY PAYING AT LEAST THE REGULAR ANNUAL MEM BERSHIP PRICE, WHICH GIVES THEM ONE VOTE AT THE ANNUAL MEETING.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 7A	THE NOMINATING COMMITTEE OF THE BOARD PRESENTS THE SLATE OF BOARD MEMBERS AT THE ANNUAL ME ETING OF TU FOR APPROVAL BY THE MEMBERSHIP. ANY MEMBER IN GOOD STANDING THAT IS PRESENT OR WHO HAS SUBMITTED A PROXY IN ADVANCE OF THE MEETING IS ALLOWED TO VOTE ON THE SLATE.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 7B	THE MEMBERSHIP ONLY APPROVES THE SLATE OF BOARD MEMBERS AND CHANGES TO THE BYLAWS AS PRESENTED AT THE ANNUAL MEETING.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11B	THE FORM 990 WAS PREPARED BY THE OUTSIDE ACCOUNTANTS AND REVIEWED BY THE CFO. A COPY OF TH E FORM 990 WAS MADE ELECTRONICALLY AVAILABLE TO ALL BOARD MEMBERS PRIOR TO FILING WITH THE IRS.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	A COPY OF THE CONFLICT OF INTEREST POLICY AND A QUESTIONNAIRE CONCERNING BUSINESS RELATION SHIPS IS SENT TO ALL BOARD MEMBERS EACH FISCAL YEAR. THE BOARD MEMBERS RETURN THE COMPLETE D QUESTIONNAIRE TO THE NOMINATING AND GOVERNANCE COMMITTEE OF THE BOARD OF TRUSTEES, WHO M ONITORS COMPLIANCE WITH THE POLICY. EACH EMPLOYEE HAS A DUTY TO DISCLOSE TO THE CHIEF OPER ATING OFFICER THE MATERIAL FACTS OF ANY PROPOSED TRANSACTION OF TU IN WHICH SUCH PERSON HA S ANY ACTUAL OR POTENTIAL CONFLICT OF INTEREST. AN EMPLOYEE HAVING AN ACTUAL OR PROPOSED C ONFLICT OF INTEREST SHALL NOT PARTICIPATE IN THE DELIBERATIONS OR DECISION-MAKING PROCESS OF TU REGARDING THE MATTER UNDER CONSIDERATION. HOWEVER, HE OR SHE SHALL PROVIDE TU WITH A NY AND ALL RELEVANT INFORMATION REGARDING THE MATTER. THE CHIEF OPERATING OFFICER SHALL TA KE SUCH ADDITIONAL ACTION AS MAY BE REQUIRED TO ENSURE THAT THE CONFLICT OF INTEREST IS RE SOLVED, AND SHALL MAINTAIN A RECORD OF THE EXISTENCE AND RESOLUTION OF THE CONFLICT.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 15	THE CHAIRMAN OF THE BOARD APPOINTS A COMPENSATION COMMITTEE THAT CONSISTS OF NON-COMPENSATED BOARD MEMBERS, INCLUDING THE CHAIRMAN. THIS COMMITTEE MEETS AT LEAST ANNUALLY WITH AN INDEPENDENT SALARY CONSULTANT TO REVIEW THE COMPENSATION PACKAGES FOR THE CEO AND OTHER KEY EMPLOYEES, AND COMPARE THE PACKAGES TO THE GENERAL MARKET AND SIMILAR NON-PROFIT ORGANIZATIONS. THEY ALSO REVIEW THE WORK PLANS AND ACCOMPLISHMENTS OF THE STAFF AND TAKE INTO CONSIDERATION THE EVALUATIONS OF KEY EMPLOYEES BY THE CEO WHEN DETERMINING THE FINAL COMPENSATION. COMPENSATION REVIEWS FOR THE CEO AND OTHER KEY EMPLOYEES ARE DONE IN CONJUNCTION WITH THE COMPLETION OF THE ANNUAL AUDIT, MOST RECENTLY IN JULY 2021.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	TU POSTS ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, TAX RETURNS AND FINANCIAL S TATEMENTS ON ITS WEBSITE AND WILL MAKE COPIES OF THE DOCUMENTS AVAILABLE UPON REQUEST FOR THE SAME PERIOD OF DISCLOSURE AS SET FORTH IN SECTION 6104(D).

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART IX, LINE 11G	PROFESSIONAL CONSULTANTS: PROGRAM SERVICE EXPENSES 11,226,860. MANAGEMENT AND GENERAL EXPE NSES 305,604. FUNDRAISING EXPENSES 22,959. TOTAL EXPENSES 11,555,423. CONSTRUCTION CONTRAC TORS: PROGRAM SERVICE EXPENSES 16,580,552. MANAGEMENT AND GENERAL EXPENSES 0. FUNDRAISING EXPENSES 0. TOTAL EXPENSES 16,580,552. OTHER CONTRACTORS: PROGRAM SERVICE EXPENSES 80,983. MANAGEMENT AND GENERAL EXPENSES 0. FUNDRAISING EXPENSES 0. TOTAL EXPENSES 80,983.

990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART X, LINE 24:	ON APRIL 21, 2020, TU RECEIVED LOAN PROCEEDS IN THE AMOUNT OF $3,337,300 UNDER THE PAYCHEC K PROTECTION PROGRAM. THE PROMISSORY NOTE CALLS FOR MONTHLY PRINCIPAL AND INTEREST PAYMENT S AMORTIZED OVER THE TERM OF THE PROMISSORY NOTE WITH A DEFERRAL OF PAYMENTS FOR THE FIRST SIX MONTHS. UNDER THE CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY ACT (CARES ACT), THE PROMISSORY NOTE MAY BE FORGIVEN BY THE SMALL BUSINESS ADMINISTRATION IN WHOLE OR IN PART. TU INTENDS TO USE THE PROCEEDS FOR PURPOSES CONSISTENT WITH THE PAYCHECK PROTECTION PROGR AM AND BELIEVES THAT ITS USE OF THE LOAN PROCEEDS WILL MEET THE CONDITIONS FOR FORGIVENESS OF THE LOAN. TU APPLIED AND RECEIVED FORGIVENESS OF THE ENTIRE AMOUNT OF THE LOAN PAYABLE SUBSEQUENT TO YEAR-END. TU WILL RECORD REVENUE FROM DEBT EXTINGUISHMENT DURING THE PERIOD THAT FORGIVENESS IS APPROVED.