Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

2021

Open to Public Inspection

For calendar year 2021, or tax year beginning 01-01-2021 , and ending 12-31-2021

Name of foundation
THE GEORGE K BAUM FAMILY FOUNDATION

A Employer identification number
35-2481660

Number and street (or P.O. box number if mail is not delivered to street address) 4801 MAIN STREET 500
Room/suite

B Telephone number (see instructions)
(816) 842-0944

City or town, state or province, country, and ZIP or foreign postal code
KANSAS CITY, MO 64112

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 36,004,288

J Accounting method: ☐ Cash ☑ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	205	205		
	4	Dividends and interest from securities	650,202	650,202		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	667,448			
	b	Gross sales price for all assets on line 6a 9,007,700				
	7	Capital gain net income (from Part IV, line 2)		667,448		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,418,185	1,339,380	0	
	12	**Total.** Add lines 1 through 11	2,736,040	2,657,235	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	0	0	0	0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	4,006	2,003	0	2,003
	c	Other professional fees (attach schedule)	202,025	183,775	0	18,250
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	202,703	1,619	0	0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)		70,045		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	408,734	257,442	0	20,253
	25	Contributions, gifts, grants paid	1,547,772			1,547,772
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,956,506	257,442	0	1,568,025
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	779,534			
	b	**Net investment income** (if negative, enter -0-)		2,399,793		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	**(b)** Book Value	**(c)** Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	30,637,953	28,291,492	32,085,349
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	0	1,949,632	1,949,632
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	841,863	1,842,747	1,969,307
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	31,479,816	32,083,871	36,004,288
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☐ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds	0	0	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28	Retained earnings, accumulated income, endowment, or other funds	31,479,816	32,083,871	
	29	**Total net assets or fund balances** (see instructions)	31,479,816	32,083,871	
	30	**Total liabilities and net assets/fund balances** (see instructions)	31,479,816	32,083,871	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	31,479,816
2	Enter amount from Part I, line 27a	2	779,534
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	32,259,350
5	Decreases not included in line 2 (itemize) ▶	5	175,479
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	32,083,871

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo., day, yr.)	**(d)** Date sold (mo., day, yr.)
1 a	PUBLICLY TRADED SECURITIES	P	2021-01-01	2021-12-31
b				
c				
d				
e				

	(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
a	9,007,700		8,340,252	667,448
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col. (i) over col. (j), if any	
a				667,448
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	**2**	667,448
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	**3**	667,448

Part V **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Bracket for line 1a Date of ruling or determination letter: __________ **(attach copy of letter if necessary–see instructions)**		
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	33,357
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	33,357
6	Credits/Payments:		
a	2021 estimated tax payments and 2020 overpayment credited to 2021	6a	46,354
b	Exempt foreign organizations—tax withheld at source	6b	0
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d	7	46,354
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	12,997
11	Enter the amount of line 10 to be: **Credited to 2022 estimated tax** ▶ 12,997 **Refunded** ▶	11	0

Part VI-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.	1b		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XIV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VI-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶GREATER KANSAS CITY COMMUNITY FOUND Telephone no. ▶(816) 842-0944

Located at ▶1055 BROADWAY SUITE 130 KANSAS CITY MO ZIP+4 ▶64105

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)		No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)		No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
c	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021?	2a		No
	If "Yes," list the years ▶ 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2021 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	4b		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)		No
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)		No
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53.4945–5(d).*	5d		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ANN KAUFMANN BAUM 4801 MAIN STREET SUITE 500 KANSAS CITY, MO 64112	TRUSTEE 1.00	0	0	0
JONATHAN E BAUM 4801 MAIN STREET SUITE 500 KANSAS CITY, MO 64112	TRUSTEE 1.00	0	0	0
JANICE C KREAMER 4801 MAIN STREET SUITE 500 KANSAS CITY, MO 64112	TRUSTEE 1.00	0	0	0
BRUCE C DAVISON 4801 MAIN STREET SUITE 500 KANSAS CITY, MO 64112	TRUSTEE 1.00	0	0	0
LISA KLEIN 1055 BROADWAY SUITE 130 KANSAS CITY, MO 64105	TRUSTEE 1.00	0	0	0
SARAH BAUM 1055 BROADWAY SUITE 130 KANSAS CITY, MO 64105	TRUSTEE 1.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶ 0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	34,385,253
b	Average of monthly cash balances		1b	0
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	34,385,253
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	34,385,253
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)		4	515,779
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3		5	33,869,474
6	**Minimum investment return.** Enter 5% (0.05) of line 5		6	1,693,474

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6		1	1,693,474
2a	Tax on investment income for 2021 from Part V, line 5	2a 33,357		
b	Income tax for 2021. (This does not include the tax from Part V.)	2b 5,969		
c	Add lines 2a and 2b		2c	39,326
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	1,654,148
4	Recoveries of amounts treated as qualifying distributions		4	0
5	Add lines 3 and 4		5	1,654,148
6	Deduction from distributable amount (see instructions)		6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1		7	1,654,148

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7					1,654,148
2 Undistributed income, if any, as of the end of 2021:					
a Enter amount for 2020 only.				0	
b Total for prior years: 20__ , 20__ , 20__			0		
3 Excess distributions carryover, if any, to 2021:					
a From 2016.	417,927				
b From 2017.	33,177				
c From 2018.	67,041				
d From 2019.					
e From 2020.	580,726				
f **Total** of lines 3a through e		1,098,871			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ $ 1,568,025					
a Applied to 2020, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2021 distributable amount					1,568,025
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2021. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		86,123			86,123
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		1,012,748			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0		
d Subtract line 6c from line 6b. Taxable amount —see instructions			0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions) . . .		331,804			
9 **Excess distributions carryover to 2022.** Subtract lines 7 and 8 from line 6a		680,944			
10 Analysis of line 9:					
a Excess from 2017	33,177				
b Excess from 2018	67,041				
c Excess from 2019. . . .					
d Excess from 2020	580,726				
e Excess from 2021					

Part XIII **Private Operating Foundations** (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b. Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2021	**(b)** 2020	**(c)** 2019	**(d)** 2018	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XIV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ADHOC GROUP AGAINST CRIME 2701 E 31ST STREET KANSAS CITY,MO 64128	NONE	PC	SOCIETAL BENEFIT	15,000
BOYS & GIRLS CLUB OF GREATER KANSAS CITY 4001 BLUE PARKWAY SUITE 102 KANSAS CITY,MO 64130	NONE	PC	SOCIETAL BENEFIT	133,000
BRIDGING THE GAP 4001 BLUE PARKWAY SUITE 102 KANSAS CITY,MO 64101	NONE	PC	EDUCATIONAL	2,500
DEEP ROOTS KC PO BOX 32131 KANSAS CITY,MO 64171	NONE	PC	SOCIETAL BENEFIT	7,500
DELASALLE EDUCATION CENTER 3737 TROOST AVENUE KANSAS CITY,MO 64109	NONE	PC	EDUCATIONAL	100,000
FRONT PORCH ALLIANCE 3210 MICHIGAN AVENUE KANSAS CITY,MO 64109	NONE	PC	SOCIAL BENEFIT	1,000
GRANDPARENTS AGAINST GUN VIOLENCE 4520 MAIN STREET KANSAS CITY,MO 64111	NONE	PC	SOCIETAL BENEFIT	1,000
GREATER KANSAS CITY COMMUNITY FOUNDATION 1055 BROADWAY BLVD STE 130 KANSAS CITY,MO 64105	NONE	PC	SOCIAL BENEFIT	150,500
GREEN LIGHT FUND 200 CLAREDON STREET 44TH FLOOR BOSTON,MA 02116	NONE	PC	SOCIETAL BENEFIT	50,000
GUADALUPE CENTERS 1015 AVENIDA CESAR KANSAS CITY,MO 64108	NONE	PC	SOCIETAL BENEFIT	75,000
JEWISH FAMILY SERVICES 5801 W 115TH ST STE 103 OVERLAND PARK,KS 66211	NONE	PC	SOCIAL BENEFIT	75,000
KANSAS CITY FRIENDS OF ALVIN AILEY 1714 E 18TH STREET KANSAS CITY,MO 64108	NONE	PC	SOCIETAL BENEFIT	7,500
KANSAS CITY SCHOLARS 8080 WARD PARKWAY SUITE 402 KANSAS CITY,MO 64114	NONE	PC	EDUCATIONAL	50,000
KC PUBLIC SCHOOLS EDUCATIONAL FOUNDATION 2901 TROOST AVENUE KANSAS CITY,MO 64109	NONE	PC	EDUCATIONAL	244,772
LEGAL AID OF WESTERN MISSOURI 4001 BLUE PARKWAY SUITE 300 KANSAS CITY,MO 64130	NONE	PC	SOCIAL BENEFIT	2,500
MINDDRIVE 2615 HOLMES STREET KANSAS CITY,MO 64108	NONE	PC	EDUCATIONAL	50,000
OPERATION BREAKTHROUGH 3039 TROOST AVENUE KANSAS CITY,MO 64109	NONE	PC	SOCIAL BENEFIT	155,000
UNITED INNER CITY SERVICES - ST MARKS 2008 E 12TH STREET KANSAS CITY,MO 64127	NONE	PC	SOCIETAL BENEFIT	425,000
WOMEN'S EMPLOYMENT NETWORK 4328 MADISON AVENUE KANSAS CITY,MO 64111	NONE	PC	EDUCATIONAL	2,500
Total . . . . ▶ **3a**				1,547,772
b *Approved for future payment*				
BOYS & GIRLS CLUB OF GREATR KANSAS CITY 4001 BLUE PARKWAY SUITE 102 KANSAS CITY,MO 64130	NONE	PC	SOCIETAL BENEFIT	267,000
DELASALLE EDUCATION CENTER 3737 TROOST AVENUE KANSAS CITY,MO 64109	NONE	PC	EDUCATIONAL	200,000
GREEN LIGHT FUND 200 CLAREDON STREET 44TH FLOOR BOSTON,MA 02116	NONE	PC	SOCIETAL BENEFIT	50,000
GUADALUPE CENTERS	NONE	PC	SOCIETAL BENEFIT	75,000

1015 AVENIDA CESAR KANSAS CITY,MO 64108				
KANSAS CITY PUBLIC SCHOOLS EDUCATIONAL FOUNDATION 2901 TROOST AVENUE KANSAS CITY,MO 64109	NONE	PC	EDUCATIONAL	80,000
KANSAS CITY SCHOLARS 8080 WARD PARKWAY SUITE 402 KANSAS CITY,MO 64114	NONE	PC	EDUCATIONAL	100,000
OPERATION BREAKTHROUGH 3039 TROOST AVENUE KANSAS CITY,MO 64109	NONE	PC	SOCIAL BENEFIT	150,000
THE CHILDREN'S SPOT AT SAINT LUKE'S 4225 BALTIMORE AVENUE KANSAS CITY,MO 64111	NONE	PC	MEDICAL PRACTICE & RESEARCH	20,000
UNITED INNER CITY SERVICES - ST MARK'S 2008 E 12TH STREET KANSAS CITY,MO 64127		PC	SOCIETAL BENEFIT	200,000
Total . . . . ▶ **3b**				1,142,000

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	205	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	667,448	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e) . .		0		2,736,040	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 2,736,040

(See worksheet in line 13 instructions to verify calculations.)

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee	Date: 2022-11-02	Title

May the IRS discuss this return with the preparer shown below? See instructions. ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
ERIN S MORGAN				P00710515

Firm's name ▶ RUBINBROWN LLP — Firm's EIN ▶43-0765316

Firm's address ▶ 6400 GLENWOOD SUITE 100
OVERLAND PARK, KS 66202 — Phone no. (913) 499-4920

Additional Data

Return to Form

Software ID:
Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: THE GEORGE K BAUM FAMILY FOUNDATION

EIN: 35-2481660

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING & TAX SERVICE	4,006	2,003	0	2,003

Name: THE GEORGE K BAUM FAMILY FOUNDATION

EIN: 35-2481660

Name of Stock	End of Year Book Value	End of Year Fair Market Value
169 SHS OF ACCENTURE PLC	43,999	70,059
133 SHS OF ADOBE SYS DEL	65,491	75,419
656 SHS OF ALCON INC	42,532	57,151
121 SHS OF ALIGN TECHNOLOGY INC	63,701	79,519
14 SHS OF AMAZON.COM	44,899	46,681
130 SHS OF AON PLC	27,100	39,073
106 SHS OF ATL ASSIAN CORP	39,428	40,417
904 SHS OF CANADIAN PAC RY	61,763	65,034
35 SHS OF CHIPOTLE MEXICAN GRILL	49,537	61,189
285 SHS OF CME GROUP INC	53,149	65,111
226 SHS OF DANAHER CORP	50,526	74,356
79 SHS OF EPAM SYS INC	35,125	52,808
230 SHS OF FACEBOOK INC	62,509	77,361
222 SHS OF FERRARI	48,335	57,458
198 SHS OF ICON PUB LTD	42,132	61,321
239 SHS OF ILLUMINA	89,585	90,925
135 SHS OF INTUIT INC	51,091	86,835
202 SHS OF INTUITIVE SURGICAL INC	52,782	72,579
187 SHS OF LAUDER ESTATE	49,071	69,227
132 SHS OF LULULEMON ATHLETICA	48,031	51,671
462 SHS OF MATCH GROUP INC	69,573	61,100
34 SHS OF METTLER TOLEDO	38,407	57,705
229 SHS OF MICROSOFT CORP	50,218	77,017
113 SHS OF NETFLIX INC	72,052	80,125
405 SHS OF NIKE INC	56,631	67,501
304 SHS OF NVIDIA	40,096	89,409
455 SHS OF PAYPAL HOLDINGS INC	102,702	85,804
262 SHS OF RES MED INC	55,799	68,246
150 SHS OF S&P GLOBAL INC	48,025	70,790
41 SHS OF SHOPIFY INC	50,197	56,473
2012 SHS OF SNAP INC	105,417	94,624
200 SHS OF STERIS PLC	36,665	48,682
464 SHS OF VISA INC	97,759	100,553
1576 SHS OF ADYEN	37,509	41,428
1580 SHS OF AIA GROUP	73,021	63,793
621 SHS OF AMADEUS IT GROUP	44,637	42,054
115 SHS OF ASML HOLDINGS	54,882	91,556
795 SHS OF ATLAS COPCO	40,995	55,101
597 SHS OF CSL LTD SP	65,453	63,163
672 SHS OF DSV AS ADR	56,076	78,879
204 SHS OF EVOLUTION GAMING GROUP	39,467	29,164
1550 SHS OF EXPERIAN PLC SP	58,076	76,431
1215 SHS OF LASERTEC CORP	42,625	74,443

Name of Stock	End of Year Book Value	End of Year Fair Market Value
129 SHS OF LI NING COMPANY LIMITED	38,432	34,989
698 SHS OF LONZA GROUP AG	43,865	58,283
352 SHS OF LVMH MOET HENNESSY LOUIS VUITTON	42,693	58,256
372 SHS OF NESTLE SA SP	42,693	52,218
337 SHS OF NOVO NORDISK	35,789	37,744
856 SHS OF PERNOD RICARD	33,002	41,499
1707 SHS OF SHENZHOU INTL GROUP HOLDINGS	36,428	32,774
1433 SHS OF SIKA AG	38,130	59,555
674 SHS OF TAIWAN SEMICONDUCTOR	70,115	81,089
1452 SHS OF WUXI BIOLOGICS CAYMAN	36,958	34,035
82474.227 SHS OF BLACKROCK HIGH YIELD BOND	640,000	646,598
71673.203 SHS OF JP MORGAN MANAGED INCOME FUND	718,882	716,732
116053.815 SHS OF PRUDENTIAL HIGH YIELD FUND	640,000	637,135
119047.867 SHS OF VANGUARD TOTAL BOND MARKET	1,380,839	1,332,146
44575.991 SHS OF FIDELITY 500 INDEX	5,732,526	7,369,303
29012.648 SHS OF FIDELITY TR INTL	1,314,298	1,430,033
21256.203 SHS OF MATTHEWS INTL	542,430	436,390
30808.181 SHS OF PARNASSUS FD	1,642,981	1,957,860
25133.797 SHS OF T ROWE PRICE INTL	611,960	528,312
10341 SHS OF SCHWAB US TIPS	637,890	650,345
6355 SHS OF VANGUARD MALVERN FUNDS	328,214	326,647
7305 SHS OF HEALTHCARE SELECT SECTOR	818,708	1,029,201
4798 SHS OF INDUSTRIAL SELECT SECTOR	444,000	507,676
3851 SHS OF INVESCO	1,231,973	1,532,120
29080 SHS OF ISHARES CORE	1,775,392	1,740,729
16923 SHS OF ISHARES INC	1,133,334	1,133,164
1770 SHS OF ISHARES TR MSCI AC ASIA	155,080	146,379
3615 SHS OF ISHARES TR MSCI CHINA	249,332	226,914
9413 SHS OF ISHARES TR MSCI INDIA	370,336	431,492
11468 SHS OF ISHARES TR RUSSELL 1000	1,655,235	1,925,821
2533 SHS OF VANECK VECTORS	488,095	468,200
2886 SHS OF VANGUARD INDEX FUNDS	599,420	735,266
25370 SHS OF VANGUARD INTL	1,599,462	1,731,249
9885 SHS OF VANGUARD WORLD FUNDS	701,149	954,594
253 SHS OF AMERICAN TOWER CORP	55,844	74,003
69 SHS OF EQUINIX INC	48,939	58,363

TY 2021 IRS 990 e-File Render

Name: THE GEORGE K BAUM FAMILY FOUNDATION

EIN: 35-2481660

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CASH ACCOUNT	AT COST	1,949,632	1,949,632

TY 2021 IRS 990 e-File Render

Name: THE GEORGE K BAUM FAMILY FOUNDATION

EIN: 35-2481660

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
FLINT HILLS ASCENT CO-INVEST II LP	459,686	477,854	411,901
FLINT HILLS FOUNDERS II LP	155,969	314,895	450,123
GKB GROWTH EQUITY FUND	226,208	186,177	209,581
COATUE ASIA OFFSHORE FUND I PRIVATE INVESTORS LT HF CLASS	0	113,711	113,711
COATUE GROWTH PRIVATE INVESTORS OFFSHORE LP DIK CLASS A-3 CLOSE EQT	0	324,319	325,000
INFRASTRUCTURE PRIVATE INVESTORS OFFSHORE	0	149,947	149,980
PE OFFSHORE LP CLASS A	0	87,500	121,342
PSG V PRIVATE INVESTORS OFFSHORE	0	34,696	34,696
RC II PRIVATE INVESTORS OFFSHORE	0	91,148	91,148
SVSS V PRIVATE OMVESTPRS OFFSHORE	0	62,500	61,825

TY 2021 IRS 990 e-File Render

Name: THE GEORGE K BAUM FAMILY FOUNDATION

EIN: 35-2481660

Description	Amount
BOOK/TAX TIMING DIFFERENCE	175,479

TY 2021 IRS 990 e-File Render

Name: THE GEORGE K BAUM FAMILY FOUNDATION

EIN: 35-2481660

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PARTNERSHIP INCOME	1,418,185	1,339,380	0

TY 2021 IRS 990 e-File Render

Name: THE GEORGE K BAUM FAMILY FOUNDATION

EIN: 35-2481660

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	165,525	165,525	0	0
ADMINISTRATION FEES	36,500	18,250	0	18,250

TY 2021 IRS 990 e-File Render

Name: THE GEORGE K BAUM FAMILY FOUNDATION

EIN: 35-2481660

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,619	1,619	0	0
FEDERAL TAXES	201,084	0	0	0