

Return of Private Foundation

Form Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2020, or tax year beginning 12-01-2020, and ending 11-30-2021

Name of foundation: WOODCOCK FOUNDATION
A Employer identification number: 34-1606085
B Telephone number: (212) 549-5370
C If exemption application is pending, check here
D 1. Foreign organizations, check here
D 2. Foreign organizations meeting the 85% test, check here and attach computation
E If private foundation status was terminated under section 507(b)(1)(A), check here
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here
G Check all that apply: Initial return, Initial return of a former public charity, Final return, Amended return, Address change, Name change
H Check type of organization: Section 501(c)(3) exempt private foundation
I Fair market value of all assets at end of year: \$ 93,728,215
J Accounting method: Cash

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), followed by summary rows 27-29.

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	1,697,823	609,602	609,602
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ <u>57,161</u>			
	Less: allowance for doubtful accounts ▶ _____	60,373	57,161	57,161
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ <u>1,988,916</u>			
	Less: allowance for doubtful accounts ▶ _____	2,056,335	1,988,916	1,988,916
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	28,943,910	26,462,940	36,615,013
	c Investments—corporate bonds (attach schedule)	12,355,165	16,499,955	16,269,146
	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)	28,588,687	40,580,924	38,188,377	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	73,702,293	86,199,498	93,728,215	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22).	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	61,577,106	61,577,106	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds	12,125,187	24,622,392	
29 Total net assets or fund balances (see instructions)	73,702,293	86,199,498		
30 Total liabilities and net assets/fund balances (see instructions)	73,702,293	86,199,498		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	73,702,293
2 Enter amount from Part I, line 27a	2	12,628,671
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	86,330,964
5 Decreases not included in line 2 (itemize) ▶ _____	5	131,466
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	86,199,498

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a ST FROM SALE OF SECURITIES	P		
b LT FROM SALE OF SECURITIES			
c ST FROM PARTNERSHIP			
d LT FROM PARTNERSHIP			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 740,260			740,260
b 883,472			883,472
c 3,833,602			3,833,602
d 8,066,943			8,066,943
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			740,260
b			883,472
c			3,833,602
d			8,066,943
e			

Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	13,524,277
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved			2
3 Reserved			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here [] and enter "N/A" on line 1.
Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)
b Reserved 1 191,053
c All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 2
3 Add lines 1 and 2. 3 191,053
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 4
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 191,053
6 Credits/Payments:
a 2020 estimated tax payments and 2019 overpayment credited to 2020 6a 140,937
b Exempt foreign organizations—tax withheld at source 6b
c Tax paid with application for extension of time to file (Form 8868) 6c
d Backup withholding erroneously withheld 6d
7 Total credits and payments. Add lines 6a through 6d 7 140,937
8 Enter any penalty for underpayment of estimated tax. Check here [] if Form 2220 is attached. 8 2,417
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 52,533
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. 10
11 Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded 11

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a Yes No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). 1b Yes No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.
c Did the foundation file Form 1120-POL for this year?. 1c Yes No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ (2) On foundation managers. \$
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? 2 Yes No
If "Yes," attach a detailed description of the activities.
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes. 3 Yes No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?. 4a Yes No
b If "Yes," has it filed a tax return on Form 990-T for this year?. 4b Yes No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 5 Yes No
If "Yes," attach the statement required by General Instruction T.
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?. 6 Yes No
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 7 Yes No
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. 8b Yes No
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV 9 Yes No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. 10 Yes No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.			
		11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.WOODCOCKFDN.ORG		Yes	
14	The books are in care of WENDY GOLDNER Telephone no. (212) 549-5370 Located at C/O ROCK CO 45 ROCKEFELLER PLAZA NEW YORK NY 10111 ZIP+4 			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions **5b** Yes **No**

Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** Yes **No**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** Yes **No**

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEREMY GUTH RTC NA PO BOX 5016 NEW YORK, NY 101855016	TRUSTEE 0	0	0	0
LINDSAY D SHEA RTC NA PO BOX 5016 NEW YORK, NY 101855016	TRUSTEE 0	0	0	0
OLGA M DAVIDSON RTC NA PO BOX 5016 NEW YORK, NY 101855016	TRUSTEE 0	0	0	0
STUART DAVIDSON RTC NA PO BOX 5016 NEW YORK, NY 101855016	TRUSTEE 0	0	0	0
WINTHROP RUTHERFURD ATTN RHD KOZUSKO HARRIS DUNCAN 25201 CHAGRIN BLVD SUITE 370 BEACHWOOD, OH 44122	TRUSTEE 0	94,983	0	0
MARGOT BRANDENBURG RTC NA PO BOX 5016 NEW YORK, NY 101855016	TRUSTEE 0	98,738	0	0
SABRINA GUTH RTC NA PO BOX 5016 NEW YORK, NY 101855016	TRUSTEE 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STACEY FAELLA C/O RTC NA PO BOX 5016 NEW YORK, NY 10185	EXECUTIVE DIRECTOR 40.0	177,812	0	
KAVANNAUGH WADDELL C/O RTC NA PO BOX 5016 NEW YORK, NY 10185	PROGRAM MANAGER 40.0	79,908	0	0
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 	
2 	
3 	
4 	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 	
2 	
3 All other program-related investments. See instructions. 	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	88,009,099
b	Average of monthly cash balances.	1b	896,536
c	Fair market value of all other assets (see instructions).	1c	52,448
d	Total (add lines 1a, b, and c).	1d	88,958,083
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	88,958,083
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,334,371
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	87,623,712
6	Minimum investment return. Enter 5% of line 5.	6	4,381,186

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	4,381,186
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	191,053
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	191,053
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	4,190,133
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	4,190,133
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	4,190,133

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	6,059,813
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,059,813
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	6,059,813

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				4,190,133
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.				
b Total for prior years: 2018, 2017, 2016				
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	401,407			
b From 2016.	2,394,441			
c From 2017.	4,790,624			
d From 2018.	3,697,243			
e From 2019.	2,928,714			
f Total of lines 3a through e.	14,212,429			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>6,059,813</u>				
a Applied to 2019, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2020 distributable amount				4,190,133
e Remaining amount distributed out of corpus	1,869,680			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	16,082,109			
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	401,407			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	15,680,702			
10 Analysis of line 9:				
a Excess from 2016	2,394,441			
b Excess from 2017	4,790,624			
c Excess from 2018.	3,697,243			
d Excess from 2019	2,928,714			
e Excess from 2020	1,869,680			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling
b. Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

- 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
b 85% of line 2a
c Qualifying distributions from Part XII, line 4 for each year listed
d Amounts included in line 2c not used directly for active conduct of exempt activities
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c
3 Complete 3a, b, or c for the alternative test relied upon:
a "Assets" alternative test—enter:
(1) Value of all assets
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
c "Support" alternative test—enter:
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
(3) Largest amount of support from an exempt organization
(4) Gross investment income

Table with 5 columns: (a) 2020, (b) 2019, (c) 2018, (d) 2017, (e) Total. Rows correspond to items 2a through 3(4).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
NONE

Horizontal scroll bar for item 1a.

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

- a The name, address, and telephone number or email address of the person to whom applications should be addressed:

Horizontal line for item 2a.

- b The form in which applications should be submitted and information and materials they should include:

Horizontal line for item 2b.

- c Any submission deadlines:

Horizontal line for item 2c.

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Horizontal line for item 2d.

Horizontal scroll bar at the bottom of the page.

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE STATEMENT ATTACHED PO BOX 5016 NEW YORK, NY 10185	NONE	P C	GENERAL	5,550,375
Total ▶ 3a				5,550,375
b <i>Approved for future payment</i>				
Total ▶ 3b				

Additional Data

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Form 990PF - Special Condition Description:

Special Condition Description

Name of the organization WOODCOCK FOUNDATION	Employer identification number 34-1606085
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Organization type (check one):

- | | |
|--------------------|---|
| Filers of: | Section: |
| Form 990 or 990-EZ | <input type="checkbox"/> 501(c)() (enter number) organization |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation |
| | <input type="checkbox"/> 527 political organization |
| Form 990-PF | <input checked="" type="checkbox"/> 501(c)(3) exempt private foundation |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | <input type="checkbox"/> 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
WOODCOCK FOUNDATION

Employer identification number
34-1606085

Part I
Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PWG JHJ GUTH CLT 17 45 ROCKEFELLER PLAZA 5TH FL NEW YORK, NY 10111	\$ 4,518,407	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
2	PWG JHJ GUTH CLT 17 45 ROCKEFELLER PLAZA 5TH FL NEW YORK, NY 10111	\$ 448,890	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization
WOODCOCK FOUNDATION

Employer identification number
34-1606085

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____

Name of organization
WOODCOCK FOUNDATION

Employer identification number

34-1606085

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	

Additional Data

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TY 2020 IRS 990 e-File Render

Name: WOODCOCK FOUNDATION

EIN: 34-1606085

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FINANCIAL, ACCOUNTING & OTHER	59,904	59,904		

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TY 2020 IRS 990 e-File Render

Name: WOODCOCK FOUNDATION

EIN: 34-1606085

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
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Name: WOODCOCK FOUNDATION

EIN: 34-1606085

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
ROOT CAPITAL	955 MASSACHUSETTS AVENUE 5TH FLOOR CAMBRIDGE, MA 02139	2010-05-06		PROVIDE FINANCING TO INTERNATIONAL AGRICULTURAL ENTREPRENEURS WHO FALL IS THE MISSING MIDDLE		NO	09/30/2016		THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORTS FROM THE INVESTEE AND HAS NOT INDEPENDENTLY VERIFIED THE REPORTS.
LUMNI INC	251 CRANDON BLVD SUITE 327 KEY BISCAYNE, FL 33149	2011-05-24		TO GIVE STUDENTS THE OPPORTUNITY TO INVEST IN THEIR EDUCATION USING THE VALUE OF FUTURE EARNINGS		NO	SEPTEMBER 30, 2016		THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORTS FROM THE INVESTEE AND HAS NOT INDEPENDENTLY VERIFIED THE REPORTS.
CLEARBON INC	60 29TH STREET 131 SAN FRANCISCO, CA 94110	2013-02-08		TO RAISE RESIDENT AWARENESS ABOUT SUPPORTING LOCAL BUSINESSES		NO	09/30/2016	2016-09-30	THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORTS FROM THE INVESTEE AND HAS NOT INDEPENDENTLY VERIFIED THE REPORTS.
FARMLAND RENEWAL	1649 COUNTY ROAD 7A COPAKE, NY 12516	2015-07-08		CONSERVING FARMLAND, MAKING IT ACCESSIBLE TO FARMERS AT AN AFFORDABLE RATE		NO	09/30/2016	2016-09-30	THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORTS FROM THE INVESTEE AND HAS NOT INDEPENDENTLY VERIFIED THE REPORTS.
ACUMEN CAPITAL MARKETS	74 TRINITY PLACE 9TH FLOOR NEW YORK, NY 10006	2009-09-01		TO CHANGE THE WAY THE WORLD TACKLES POVERTY		NO	09/30/2016	2016-09-30	THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORTS FROM THE INVESTEE AND HAS NOT INDEPENDENTLY VERIFIED THE REPORTS.
CALIFORNIA HARVESTERS INC	16350 DRIVER ROAD BAKERSFIELD, CA 93308	2018-06-13		FINANCING		NO			THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORTS FROM THE INVESTEE AND HAS NOT INDEPENDENTLY VERIFIED THE REPORTS.
THE CALVERT FOUNDATION	7315 WISCONSIN AVENUE SUITE 1000W BETHESDA, MD 20814			SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE		NO			THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORTS FROM THE INVESTEE AND HAS NOT INDEPENDENTLY VERIFIED THE REPORTS.
RURAL COMMUNITY ASSISTANCE CORPORATION	3120 FREEBOARD DRIVE SUITE 201 WEST SACRAMENTO, CA 95691	2018-09-27				NO			THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORTS FROM THE INVESTEE AND HAS NOT INDEPENDENTLY VERIFIED THE REPORTS.
SIXUP COMMUNITY FUND I LLC	77 VAN NESS AVENUE SSUITE 101 SAN FRANCISCO, CA 94102			FINANCING		NO			THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORTS FROM THE INVESTEE AND HAS NOT INDEPENDENTLY VERIFIED THE REPORTS.
VINEYARD FISH & FLEET				SUPPORT MARTHA'S VINEYARD PRESERVATION TRUST		NO			THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORTS FROM THE INVESTEE AND HAS NOT INDEPENDENTLY VERIFIED THE REPORTS.
THE MELOY FUND	1310 NORTH COURTHOUSE ROAD SUITE 1 ARLINGTON, VA 22201			INVEST IN FISH-RELATED ENTERPRISES		NO			THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORTS FROM THE INVESTEE AND HAS NOT INDEPENDENTLY VERIFIED THE REPORTS.

TY 2020 IRS 990 e-File Render

Name: WOODCOCK FOUNDATION

EIN: 34-1606085

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BONDS	16,499,955	16,269,146

TY 2020 IRS 990 e-File Render

Name: WOODCOCK FOUNDATION

EIN: 34-1606085

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITY	26,462,940	36,615,013
APERIO		
CYMABAY THERAPEUTICS INC		

TY 2020 IRS 990 e-File Render

Name: WOODCOCK FOUNDATION

EIN: 34-1606085

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	14,576			14,576

TY 2020 IRS 990 e-File Render**Name:** WOODCOCK FOUNDATION**EIN:** 34-1606085

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACUMEN CAPITAL MARKETS I LP	127,783	90,887	172,651
ACUMEN L.A. EARLY GROWTH FD LP	112,392	150,251	199,944
CHARCO NO. 4 HOLDING LLC	1,015,407	936,179	661,993
CHARCO NO. 5 HOLDING LLC	6,964,019	4,559,546	11,058,501
FARALLON CAPITAL PARTNERS LP	-547,791	-987,045	362,197
FARMLAND RENEWAL LLC	73,219	69,832	100,000
GENERATION IM GLBL EQ FD LLC	8,107,535	9,042,019	13,944,711
IMPACT VENTURES III LP	266,491	249,505	344,551
THE MELOY FUND I LP	68,542	66,765	71,586
MICROVEST II LP	215,510	122,270	63,841
MICROVEST SHORT DURATION FUND	979,542	1,017,033	966,441
OASIS FUND SCA SICAV-FIS LP	2,000,000	2,000,000	2,000,000
ROCKEFELLER SUSTAINABILITY	1,489,378	1,383,744	4,034,013
WIF #6	2,362,325	8,951,515	1,261,551
WIF #7	3,472,671	9,092,879	965,135
WIF #8	1,881,664	3,915,391	1,602,880
ALIGN TMIF II ACCESS FUND, LP		-20,849	
THE LYME CONSERVA OPPO FUND LP		53,955	75,594
TURNER MF IMPACT FD II			199,653
PLEXO CAPITAL II			103,135
GS VINTAGE FUND II OFFSHORE		-112,953	

TY 2020 IRS 990 e-File Render

Name: WOODCOCK FOUNDATION

EIN: 34-1606085

Description	Amount
PRIOR PERIOD ADJUSTMENT	131,466

TY 2020 IRS 990 e-File Render

Name: WOODCOCK FOUNDATION

EIN: 34-1606085

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANNUAL FILING FEES	1,500	1,500		
OFFICE EXPENSES	11,994	11,994		
MEMBERSHIP	29,510	29,510		
INSURANCE EXPENSES	1,124	1,124		
NONDEDUCTIBLE EXPENSES	1,511			
OTHER MISCELLANEOUS EXPENSES	5,972	5,972		
THROUGH PARTNERSHIP EXPENSES	507,448	507,448		

TY 2020 IRS 990 e-File Render

Name: WOODCOCK FOUNDATION

EIN: 34-1606085

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME THROUGH PARTNERSHIP	-216,806	-216,806	
DEFERRED INCOME	52,753		

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**TY 2020 IRS 990 e-File
Render**

Name: WOODCOCK FOUNDATION

EIN: 34-1606085

Borrower's Name	Relationship to Insider	Original Amount of Loan	Balance Due	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Security Provided by Borrower	Purpose of Loan	Description of Lender Consideration	Consideration FMV
CLEARBON INC		50,000	50,000		2020-12	ACCRUED INTEREST PLUS OUTSTANDING PRINCIPAL QTRLY	6 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
CALIFORNIA HARVESTERS INC		250,000	250,000	2018-06	2025-06	MONTHLY PAYMENTS OF ACCRUED INTEREST AND PRINCIPAL	7 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
RURAL COMMUNITY ASSISTANCE CORPORATION		250,000	250,000	2018-09	2028-09		2 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
SIXUP COMMUNITY FUND I LLC		250,000	250,000		2022-09	ACCRUED INTEREST PLUS OUTSTANDING PRINCIPAL QTRLY	250 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
CATCH INVEST VINEYARD FISH & FLEET		242,232	188,916		2024-04	PRINCIPAL AMOUNT DUE WITH SIMPLE INTEREST	3 %		SUPPORT MARTHA'S VINEYARD PRESERVATION TRUST	INVEST IN FISH-RELATED ENTERPRISES	
LOCAL INITIATIVES SUPPORT CORP		250,000	250,000		2024-03		275 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
NESS SOCIAL ENTERPRISE LOAN FUND LLC		250,000	250,000		2023-02		0 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
NH COMMUNITY LOAN		250,000	250,000				150 %		FINANCING	INVEST IN FISH-RELATED ENTERPRISES	
REDF IMPACT INVESTING		250,000	250,000				0 %			INVEST IN FISH-RELATED ENTERPRISES	

TY 2020 IRS 990 e-File Render**Name:** WOODCOCK FOUNDATION**EIN:** 34-1606085

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CUSTODY FEES	3,084	3,084		
OTHER PROFESSIONAL FEES	158,127	158,127		
MANAGEMENT & ADVISORY FEES	403,087	403,087		

TY 2020 IRS 990 e-File Render

Name: WOODCOCK FOUNDATION

EIN: 34-1606085

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	25,528	25,528		
FEDERAL EXCISE TAXES	101,000			
OTHER TAXES	76			
STATE TAXES	2,000			