

990

Return of Organization Exempt From Income Tax

OMB No. 1545-

0047 2021

Open to Public Inspection

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundation): Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.



Department of the Treasury Internal Revenue Service

A For the 2021 calendar year, or tax year beginning 01-01-2021, and ending 12-31-2021

- B Check if applicable: Address change, Name change, Initial return, Final return/terminated, Amended return, Application pending

C Name of organization: CLIMATEWORKS FOUNDATION
Doing business as
Number and street (or P.O. box if mail is not delivered to street address) Room/suite: 235 MONTGOMERY STREET 1300
City or town, state or province, country, and ZIP or foreign postal code: SAN FRANCISCO, CA 94104

D Employer identification number: 26-2303250
E Telephone number: (415) 433-0500
G Gross receipts \$ 424,946,351

F Name and address of principal officer: HELEN MOUNTFORD, 235 MONTGOMERY STREET 1300, SAN FRANCISCO, CA 94104

H(a) Is this a group return for subordinates? Yes No
H(b) Are all subordinates included? Yes No
H(c) Group exemption number

I Tax-exempt status: 501(c)(3), 501(c) () (insert no.), 4947(a)(1) or 527

J Website: WWW.CLIMATEWORKS.ORG

K Form of organization: Corporation, Trust, Association, Other

L Year of formation: 2008
M State of legal domicile: DE

Part I Summary

Activities & Governance

Revenue

Expenses

Net Assets or Fund Balances

Table with 19 rows and 3 columns: Description, Prior Year, Current Year. Rows include mission statement, membership counts, revenue breakdown, expenses, and net assets.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Sign Here

Signature of officer: HELEN MOUNTFORD, PRESIDENT AND CEO
Date: 2022-10-31

Paid Preparer Use Only

Print/Type preparer's name, Preparer's signature, Date, Firm's name (MOSS ADAMS LLP), Firm's address (101 SECOND STREET SUITE 900, SAN FRANCISCO, CA 94105)

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:

SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 140,381,790 including grants of \$ 109,327,368) (Revenue \$ 6,500)
SEE SCHEDULE O

4b (Code:) (Expenses \$ 30,320,890 including grants of \$ 18,560,432) (Revenue \$ 35,000)
SEE SCHEDULE O

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4d Other program services (Describe in Schedule O.)
(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses ▶ 170,702,680

Part IV Checklist of Required Schedules

Table with 3 columns: Question ID, Question Text, and Yes/No columns. Rows include questions 1 through 21, with sub-questions 11a-e and 12a-b. Each row has a corresponding 'Yes' and 'No' column for the answer.

Part IV Checklist of Required Schedules (continued)

		Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons?		No
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		No
28	Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If "Yes," complete Schedule L, Part IV</i>		No
b	A family member of any individual described in line 28a? <i>If "Yes," complete Schedule L, Part IV</i>		No
c	A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? <i>If "Yes," complete Schedule L, Part IV</i>	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions?		No
31	Did the organization acquire, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3?	Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?		No
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		No
38	Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable		
b	Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable		
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

Table with 17 main sections (2a-17) and sub-questions. Includes columns for question numbers (e.g., 2a, 2b, 3a), 'Yes'/'No' responses, and a column for the number of forms filed (e.g., 116 for 2a). Sections cover topics like federal employment tax returns, unrelated business income, foreign accounts, prohibited tax shelter transactions, and charitable contributions.

Part VI Governance, Management, and Disclosure. For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI



Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include: 1a Enter the number of voting members... 1b Enter the number of voting members... 2 Did any officer, director, trustee... 3 Did the organization delegate control... 4 Did the organization make any significant changes... 5 Did the organization become aware... 6 Did the organization have members... 7a Did the organization have members... 7b Are any governance decisions... 8 Did the organization contemporaneously document... 8a The governing body? 8b Each committee... 9 Is there any officer, director, trustee...

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include: 10a Did the organization have local chapters... 10b If "Yes," did the organization have written policies... 11a Has the organization provided a complete copy... 11b Describe on Schedule O the process... 12a Did the organization have a written conflict of interest policy... 12b Were officers, directors, or trustees... 12c Did the organization regularly and consistently monitor... 13 Did the organization have a written whistleblower policy... 14 Did the organization have a written document retention... 15 Did the process for determining compensation... 15a The organization's CEO... 15b Other officers or key employees... 16a Did the organization invest in, contribute assets to... 16b If "Yes," did the organization follow a written policy...

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed: AL, AK, AR, CA, CO, CT, DC, FL, GA, HI, IL, KS, KY, ME, MD, MA, MI, MN, MS, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, TN, UT, VA, WA, WV, WI
18 Section 6104 requires an organization to make its Form 1023 (1024 or 1024-A, if applicable), 990, and 990-T (section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. [X] Own website [X] Another's website [X] Upon request [] Other (explain in Schedule O)19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.20 State the name, address, and telephone number of the person who possesses the organization's books and records: GRETCHEN RAU 235 MONTGOMERY STREET STE 1300 SAN FRANCISCO, CA 94104 (415) 433-0500

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See the instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (box 5 of Form W-2, Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) SHAWN P REIFSTECK VP, STRAT, COLLAB & BRAND/PROG STRAT	40.00				X			445,381	0	81,978
(2) CHRIS DECARDY ACTING PRESIDENT & CEO START 5/2021	40.00	X		X				494,541	0	19,073
(3) SUSAN ELIZABETH COOK CHIEF OPERATING OFFICER	40.00				X			440,151	0	57,477
(4) CHARLES MCELWEE VP, PROGRAMS	40.00				X			428,310	0	68,024
(5) IRENE KIM VP, DEVELOPMENT	40.00				X			401,612	0	81,498
(6) SURABI MENON VP, GLOBAL INTELLIGENCE	40.00				X			379,182	0	70,810
(7) BRIAN MCCRACKEN CFO, TREASURER	40.00			X				356,099	0	79,043
(8) ILMI GRANOFF SR DIRECTOR, SUSTAINABLE FINANCE	40.00					X		298,860	0	80,378
(9) JAN DERRICKSON SR DIRECTOR, CARBON DIOXIDE REMOVAL	40.00					X		313,612	0	63,386
(10) ANTHONY EGGERT SR DIRECTOR, TRANSPORTATION	40.00					X		311,260	0	62,123
(11) MARY RAFTERY SR DIRECTOR, FUNDER COLLABORATIONS	40.00					X		301,977	0	58,778
(12) JASON ANDERSON SR PROGRAM DIRECTOR	40.00					X		285,640	0	45,815
(13) CHARLOTTE PERA PRESIDENT & CEO THROUGH 4/2021	40.00	X		X				277,529	0	41,989
(14) GRETCHEN RAU SECRETARY	40.00			X				170,970	0	34,888
(15) SUSAN TIERNEY BOARD CHAIR	2.00	X						0	0	0
(16) ARUNABHA GHOSH BOARD DIRECTOR	2.00	X						0	0	0
(17) JAMSHYD GODREJ BOARD DIRECTOR	2.00	X						0	0	0

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations	
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former				
(18) NAOKO ISHII BOARD DIRECTOR	2.00	X						0	0	0	
(19) CAIO KOCH-WESER BOARD DIRECTOR	2.00	X						0	0	0	
(20) LARRY KRAMER BOARD DIRECTOR	2.00	X						0	0	0	
(21) NANCY LINDBORG BOARD DIRECTOR	2.00	X						0	0	0	
(22) FRANKLIN M ORR JR BOARD DIRECTOR	2.00	X						0	0	0	
(23) KYUNG-AH PARK BOARD DIRECTOR	2.00	X						0	0	0	
(24) KRISTIAN PARKER BOARD DIRECTOR	2.00	X						0	0	0	
(25) JOHN D PODESTA BOARD DIRECTOR	2.00	X						0	0	0	
(26) ANTHA WILLIAMS BOARD DIRECTOR	2.00	X						0	0	0	
1b Sub-Total											
c Total from continuation sheets to Part VII, Section A											
d Total (add lines 1b and 1c)								4,905,124	0		845,260

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶ 6 8**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
SUSTAINABLE & CLIMATE STRATEGIES 8 WEST 13TH STREET APT 4F NEW YORK, NY 10011	PROGRAM AND PROF. SERVICES	960,000
PT JESTIO BARIO NEURONE THE CITY TOWER LEVEL 12-1N JL MH JAKARTA 10310 ID	PROGRAM SERVICES	828,866
VESANCY FORESTRY CONSULTANTS 145 CHEMIN DU CRET VESANCY 01770 FR	PROGRAM MANAGEMENT SERVICES	555,854
EXPONENT PARTNERS 720 MARKET STREET SUITE 600 SAN FRANCISCO, CA 94102	IT PROFESSIONAL SERVICES	452,306
MCKINSEY & COMPANY 100 MUSEUM STREET LONDON WC1A 1PB UK	PROGRAM AND PROF. SERVICES	423,000

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **▶ 6 5**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants, and Other Amt Similar Amounts				
1a Federated campaigns		1a		
b Membership dues		1b		
c Fundraising events		1c		
d Related organizations		1d		
e Government grants (contributions)		1e	513,378	
f All other contributions, gifts, grants, and similar amounts not included above		1f	365,720,099	
g Noncash contributions included in lines 1a - 1f:\$		1g	58,759,080	
h Total. Add lines 1a-1f				366,233,477

Program Service Revenue		Business Code	(A)	(B)	(C)	(D)
		2a PROGRAM CONSULTING REVENUE	541900	41,500	41,500	
b						
c						
d						
e						
f All other program service revenue.						
g Total. Add lines 2a-2f.			41,500			

Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		28,170			28,170	
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
	6a Gross rents	(i) Real					
		(ii) Personal					
		6b Less: rental expenses					
		6c Rental income or (loss)					
	d Net rental income or (loss)						
	7a Gross amount from sales of assets other than inventory	(i) Securities	58,643,204				
		(ii) Other					
		7b Less: cost or other basis and sales expenses	58,759,080	6,218			
		7c Gain or (loss)	-115,876	-6,218			
	d Net gain or (loss)		-122,094			-122,094	
	8a Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18						
		8b Less: direct expenses					
	c Net income or (loss) from fundraising events						
	9a Gross income from gaming activities. See Part IV, line 19						
		9b Less: direct expenses					
	c Net income or (loss) from gaming activities						
	10a Gross sales of inventory, less returns and allowances						
10b Less: cost of goods sold							
c Net income or (loss) from sales of inventory							
Miscellaneous Revenue	Business Code						
11a							
b							
c							
d All other revenue							
e Total. Add lines 11a-11d							
12 Total revenue. See instructions			366,181,053	41,500	0	-93,924	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	83,935,635	83,935,635		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.	43,952,165	43,952,165		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	4,029,061	1,839,855	1,472,568	716,638
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	13,532,887	10,640,577	2,424,693	467,617
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,613,175	1,231,022	298,575	83,578
9 Other employee benefits	2,174,892	1,514,514	565,452	94,926
10 Payroll taxes	1,021,961	477,600	256,645	287,716
11 Fees for services (non-employees):				
a Management	1,468,438	974,576	475,737	18,125
b Legal	119,772	30,693	89,079	
c Accounting	104,611	5,842	98,769	
d Lobbying				
e Professional fundraising services. See Part IV, line 17	52,470			52,470
f Investment management fees				
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	23,397,090	22,712,890	634,953	49,247
12 Advertising and promotion				
13 Office expenses	373,991	208,062	148,683	17,246
14 Information technology	1,720,550	1,364,808	280,830	74,912
15 Royalties				
16 Occupancy	1,741,853	1,171,762	458,004	112,087
17 Travel	325,863	307,064	16,358	2,441
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	222,978	178,935	43,535	508
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	245,388	163,592	65,437	16,359
23 Insurance	55,303	1,837	53,466	
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a CURRENCY LOSS	13,902		13,902	
b BUSINESS LICENSES	8,382	385	7,997	
c TAX FILING FEES	-7,960	-9,134	1,174	
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	180,102,407	170,702,680	7,405,857	1,993,870
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX

		(A) Beginning of year		(B) End of year
Assets	1 Cash-non-interest-bearing	14,452,428	1	38,798,649
	2 Savings and temporary cash investments	148,594,501	2	292,871,931
	3 Pledges and grants receivable, net	106,988,136	3	168,898,250
	4 Accounts receivable, net	666,457	4	354,113
	5 Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	567,320	9	844,630
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 7,949,932		
	b Less: accumulated depreciation	10b 6,814,526	922,493	10c 1,135,406
	11 Investments—publicly traded securities		11	
	12 Investments—other securities. See Part IV, line 11		12	
	13 Investments—program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	294,904	15	292,716
16 Total assets: Add lines 1 through 15 (must equal line 33)	272,486,239	16	503,195,695	
Liabilities	17 Accounts payable and accrued expenses	4,134,225	17	5,873,931
	18 Grants payable	21,665,177	18	36,154,907
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D	1,820,000	21	29,887,550
	22 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D	902,028	25	940,372
	26 Total liabilities. Add lines 17 through 25	28,521,430	26	72,856,760
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.			
	27 Net assets without donor restrictions	20,638,422	27	35,693,328
	28 Net assets with donor restrictions	223,326,387	28	394,645,607
	Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.			
	29 Capital stock or trust principal, or current funds		29	
	30 Paid-in or capital surplus, or land, building or equipment fund		30	
	31 Retained earnings, endowment, accumulated income, or other funds		31	
	32 Total net assets or fund balances	243,964,809	32	430,338,935
33 Total liabilities and net assets/fund balances	272,486,239	33	503,195,695	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	366,181,053
2	Total expenses (must equal Part IX, column (A), line 25)	2	180,102,407
3	Revenue less expenses. Subtract line 2 from line 1	3	186,078,646
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	243,964,809
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	295,480
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (A))	10	430,338,935

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

Additional Data

Return to Form

Software ID:

Software Version:

Form 990, Special Condition Description:

Special Condition Description

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
 Attach to Form 990 or Form 990-EZ.
 Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization
CLIMATEWORKS FOUNDATION

Employer identification number
26-2303250

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990).)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state:
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
 - f Enter the number of supported organizations _____
 - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Table with 7 columns: (a) 2017, (b) 2018, (c) 2019, (d) 2020, (e) 2021, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Tax revenues levied for the organization's benefit; 3 The value of services or facilities furnished by a governmental unit; 4 Total. Add lines 1 through 3; 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f); 6 Public support. Subtract line 5 from line 4.

Section B. Total Support

Table with 7 columns: (a) 2017, (b) 2018, (c) 2019, (d) 2020, (e) 2021, (f) Total. Rows include: 7 Amounts from line 4; 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources; 9 Net income from unrelated business activities, whether or not the business is regularly carried on; 10 Other income. Do not include gain or loss from the sale of capital assets; 11 Total support. Add lines 7 through 10; 12 Gross receipts from related activities, etc. (see instructions); 13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here.

Section C. Computation of Public Support Percentage

Table with 3 columns: Line number, Description, and Percentage. Rows include: 14 Public support percentage for 2021 (line 6, column (f) divided by line 11, column (f)) 49.370%; 15 Public support percentage for 2020 Schedule A, Part II, line 14 40.110%; 16a 33 1/3% support test—2021; b 33 1/3% support test—2020; 17a 10%-facts-and-circumstances test—2021; b 10%-facts-and-circumstances test—2020; 18 Private foundation.

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
9 Amounts from line 6.						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . .						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included on line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . .						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2021 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2020 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2021 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2020 Schedule A, Part III, line 17	18	

19a 33 1/3% support tests—2021. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

b 33 1/3% support tests—2020. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, of Part I, complete Sections A and B. If you checked box 12b, of Part I, complete Sections A and C. If you checked box 12c, of Part I, complete Sections A, D, and E. If you checked box 12d, of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

Table with 3 columns: Question, Yes, No. Rows include questions 1 through 10b regarding supported organizations, including their status, control, and reporting requirements.

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (*explain in Part VI*). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

(A) Prior Year

(B) Current Year
(optional)

- | | | | |
|---|----------|--|--|
| 1 Net short-term capital gain | 1 | | |
| 2 Recoveries of prior-year distributions | 2 | | |
| 3 Other gross income (see instructions) | 3 | | |
| 4 Add lines 1 through 3 | 4 | | |
| 5 Depreciation and depletion | 5 | | |
| 6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6 | | |
| 7 Other expenses (see instructions) | 7 | | |
| 8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4) | 8 | | |

Section B - Minimum Asset Amount

(A) Prior Year

(B) Current Year
(optional)

- | | | | |
|--|-----------|--|--|
| 1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): | 1 | | |
| a Average monthly value of securities | 1a | | |
| b Average monthly cash balances | 1b | | |
| c Fair market value of other non-exempt-use assets | 1c | | |
| d Total (add lines 1a, 1b, and 1c) | 1d | | |
| e Discount claimed for blockage or other factors (<i>explain in detail in Part VI</i>): | | | |
| 2 Acquisition indebtedness applicable to non-exempt use assets | 2 | | |
| 3 Subtract line 2 from line 1d | 3 | | |
| 4 Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions). | 4 | | |
| 5 Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | | |
| 6 Multiply line 5 by 0.035 | 6 | | |
| 7 Recoveries of prior-year distributions | 7 | | |
| 8 Minimum Asset Amount (add line 7 to line 6) | 8 | | |

Section C - Distributable Amount

Current Year

- | | | |
|--|----------|--|
| 1 Adjusted net income for prior year (from Section A, line 8, Column A) | 1 | |
| 2 Enter 85% of line 1 | 2 | |
| 3 Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | |
| 4 Enter greater of line 2 or line 3 | 4 | |
| 5 Income tax imposed in prior year | 5 | |
| 6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions) | 6 | |

- 7** Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

(continued)

Section D - Distributions		Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	1	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	3	
4 Amounts paid to acquire exempt-use assets	4	
5 Qualified set-aside amounts (prior IRS approval required - provide details in Part VI)	5	
6 Other distributions (describe in Part VI). See instructions	6	
7 Total annual distributions. Add lines 1 through 6.	7	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	8	
9 Distributable amount for 2021 from Section C, line 6	9	
10 Line 8 amount divided by Line 9 amount	10	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2021	(iii) Distributable Amount for 2021
1 Distributable amount for 2021 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2021 (reasonable cause required-- explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2021:			
a From 2016.			
b From 2017.			
c From 2018.			
d From 2019.			
e From 2020.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2021 distributable amount			
i Carryover from 2016 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
4 Distributions for 2021 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2021 distributable amount			
c Remainder. Subtract lines 4a and 4b from line 4.			
5 Remaining underdistributions for years prior to 2021, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI . See instructions.			
6 Remaining underdistributions for 2021. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI . See instructions.			
7 Excess distributions carryover to 2022. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2017.			
b Excess from 2018.			
c Excess from 2019.			
d Excess from 2020.			
e Excess from 2021.			

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation
SCHEDULE A, PART II, LINE 10, EXPLANATION OF OTHER INCOME:	MISCELLANEOUS REVENUE - 2019 AMOUNT: \$ 166,172. 2020 AMOUNT: \$ 79,102.

Additional Data

Return to Form

Software ID:

Software Version:

Political Campaign and Lobbying Activities
For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization CLIMATEWORKS FOUNDATION	Employer identification number 26-2303250
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Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities in Part IV. See instructions for definition of "political campaign activities."		
2	Political campaign activity expenditures. See instructions	\$	_____
3	Volunteer hours for political campaign activities. See instructions		_____

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955		
2	Enter the amount of any excise tax incurred by organization managers under section 4955	\$	_____
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?		<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If "Yes," describe in Part IV.		

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities		
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	\$	_____
3	Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b.....	\$	_____
4	Did the filing organization file Form 1120-POL for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.		

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1				
2				
3				
4				
5				
6				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
B Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)	117,000													
b Total lobbying expenditures to influence a legislative body (direct lobbying)	308,000													
c Total lobbying expenditures (add lines 1a and 1b)	425,000													
d Other exempt purpose expenditures	180,049,937													
e Total exempt purpose expenditures (add lines 1c and 1d)	180,474,937													
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.	1,000,000													
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:35%; text-align: left;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width:65%; text-align: left;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:													
Not over \$500,000	20% of the amount on line 1e.													
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.													
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.													
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.													
Over \$17,000,000	\$1,000,000.													
g Grassroots nontaxable amount (enter 25% of line 1f)	250,000													
h Subtract line 1g from line 1a. If zero or less, enter -0-	0													
i Subtract line 1f from line 1c. If zero or less, enter -0-	0													
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2018	(b) 2019	(c) 2020	(d) 2021	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	545,072	661,000	330,000	425,000	1,961,072
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	45,000	131,600	75,000	117,000	368,600

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures. See Instructions	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation

Additional Data

Return to Form

Software ID:

Software Version:

Supplemental Financial Statements

2021

Open to Public Inspection

Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury Internal Revenue Service

Name of the organization CLIMATEWORKS FOUNDATION

Employer identification number

26-2303250

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 2 columns: (a) Donor advised funds, (b) Funds and other accounts. Rows include total number at end of year, aggregate value of contributions, grants, and end of year, and two Yes/No questions regarding donor informed consent.

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Form for Part II Conservation Easements. Includes checkboxes for types of easements, a table for 'Held at the End of the Year' (2a-2d), and questions about monitoring, expenses, and reporting.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Form for Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Includes questions about reporting and amounts for art and historical treasures.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a** Public exhibition
 - b** Scholarly research
 - c** Preservation for future generations
 - d** Loan or exchange programs
 - e** Other
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . . Yes No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No
- b** If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|--|-----------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a** Board designated or quasi-endowment ▶
 - b** Permanent endowment ▶
 - c** Term endowment ▶
- The percentages on lines 2a, 2b, and 2c should equal 100%.
- 3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- | | Yes | No |
|--|---------------|----|
| (i) Unrelated organizations | 3a(i) | |
| (ii) Related organizations | 3a(ii) | |
| b If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R? | 3b | |
- 4** Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		6,239,968	5,249,454	990,514
d Equipment		477,135	448,034	29,101
e Other		1,232,829	1,117,038	115,791
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) . . . ▶				1,135,406

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other _____		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.)		

Part VIII Investments - Program Related.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col.(B) line 13.)		

Part IX Other Assets.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 15.)	

Part X Other Liabilities.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col.(B) line 25.)	940,372

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	366,187,271
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d		2e	0
3	Subtract line 2e from line 1		3	366,187,271
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b	-6,218	
c	Add lines 4a and 4b		4c	-6,218
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)		5	366,181,053

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	179,813,145
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d	-289,262	
e	Add lines 2a through 2d		2e	-289,262
3	Subtract line 2e from line 1		3	180,102,407
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b		4c	0
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)		5	180,102,407

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
PART IV, LINE 2B:	DURING 2021, CLIMATEWORKS ACTED AS A CONDUIT FOR PASS-THROUGH GRANTS FOR THE ULTIMATE BENEFIT OF OUTSIDE ORGANIZATIONS. CLIMATEWORKS RECORDED AN ASSET UPON RECEIPT OF THE GRANT FUNDS, AND A SUBSEQUENT CORRESPONDING LIABILITY WHICH HAS BEEN REPORTED ON FORM 990, PART X, LINE 21. ALL PASS-THROUGH GRANTS ARE EXPECTED TO BE AWARDED WITHIN ONE YEAR.
PART X, LINE 2:	AT JANUARY 1, 2009, THE FOUNDATION ADOPTED THE GUIDANCE ON UNCERTAIN TAX POSITIONS AND HAS IDENTIFIED AND EVALUATED ITS SIGNIFICANT TAX POSITIONS FOR WHICH THE STATUTE OF LIMITATIONS REMAIN OPEN. THERE HAVE BEEN NO MATERIAL CHANGES IN UNRECOGNIZED BENEFITS AS OF DECEMBER 31, 2021 AND 2020, NOR ARE ANY MATERIAL CHANGES ANTICIPATED IN THE TWELVE MONTHS FOLLOWING DECEMBER 31, 2021. THERE HAVE BEEN NO RELATED TAX PENALTIES OR INTEREST, WHICH WOULD BE CLASSIFIED AS A TAX EXPENSE IN THE CONSOLIDATED STATEMENTS OF ACTIVITIES AND CHANGES IN NET ASSETS.
PART XI, LINE 4B - OTHER ADJUSTMENTS:	LOSS ON DISPOSAL OF FIXED ASSETS RE-CLASSED FROM EXPENSES -6,218.
PART XII, LINE 2D - OTHER ADJUSTMENTS:	RECOVERY OF PRIOR YEAR GRANT EXPENSES -295,480. LOSS ON DISPOSAL OF FIXED ASSETS RE-CLASSED TO FORM 990, PART VIII 6,218.

Additional Data

[Return to Form](#)

Software ID:
Software Version:

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization
CLIMATEWORKS FOUNDATION

Employer identification number
26-2303250

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants or other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- 2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
(1) CENTRAL AMERICA AND THE CARIBBEAN - ANTIGUA & BARBUDA, ARUBA, BAHAMAS,	0	0	GRANTMAKING		883,702
(2) CENTRAL AMERICA AND THE CARIBBEAN - ANTIGUA & BARBUDA, ARUBA, BAHAMAS,	0	0	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	16,666
(3) EAST ASIA AND THE PACIFIC - AUSTRALIA, BRUNEI, BURMA, CAMBODIA,	0	0	GRANTMAKING		12,061,274
(4) EAST ASIA AND THE PACIFIC - AUSTRALIA, BRUNEI, BURMA, CAMBODIA,	0	3	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	3,859,286
(5) EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIUM	0	0	CONDUCTING BOARD MEETINGS		1,332
(6) EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIUM	0	0	GRANTMAKING		21,037,023
(7) EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIUM	0	2	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	6,162,785
(8) NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	0	0	GRANTMAKING		1,837,418
(9) NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES	0	1	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	587,755
(10) SOUTH AMERICA - ARGENTINA, BOLIVIA, BRAZIL, CHILE, COLUMBIA, ECUADOR,	0	0	GRANTMAKING		7,671,686
(11) SOUTH AMERICA - ARGENTINA, BOLIVIA, BRAZIL, CHILE, COLUMBIA, ECUADOR,	0	0	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	1,543,701
(12) SOUTH ASIA - AFGHANISTAN, BANGLADESH, BHUTAN, INDIA, MALDIVES, NEPAL,	0	0	GRANTMAKING		265,000
(13) SOUTH ASIA - AFGHANISTAN, BANGLADESH, BHUTAN, INDIA, MALDIVES, NEPAL,	0	0	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	13,949
(14) SUB-SAHARAN AFRICA - ANGOLA, BENIN, BOTSWANA, BURKINA FASO,	0	0	GRANTMAKING		196,062
(15) SUB-SAHARAN AFRICA - ANGOLA, BENIN, BOTSWANA, BURKINA FASO,	0	0	PROGRAM SERVICES	TRAVEL & MEETINGS; OTHER COSTS	44,943
(16)					
(17)					
3a Sub-total	0	5			45,859,486
b Total from continuation sheets to Part I	0	1			10,323,096
c Totals (add lines 3a and 3b)	0	6			56,182,582

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)		CENTRAL AMERICA AND THE CARIBBEAN	PROJECT SUPPORT	883,702	WIRE			
(2)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	150,000	WIRE			
(3)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	161,510	WIRE			
(4)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	50,000	WIRE			
(5)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	11,500	WIRE			
(6)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	261,500	WIRE			
(7)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	460,000	WIRE			
(8)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	1,400,000	WIRE			
(9)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	100,000	WIRE			
(10)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	1,236,139	WIRE			
(11)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	350,000	WIRE			
(12)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	300,000	WIRE			
(13)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	411,500	WIRE			
(14)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	11,500	WIRE			
(15)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	162,902	WIRE			
(16)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	23,000	WIRE			
(17)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	206,200	WIRE			
(18)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	150,000	WIRE			
(19)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	200,000	WIRE			
(20)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	564,376	WIRE			
(21)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	74,834	WIRE			
(22)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	11,500	WIRE			
(23)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	13,754	WIRE			
(24)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	425,000	WIRE			
(25)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	150,000	WIRE			
(26)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	75,000	WIRE			
(27)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	549,910	WIRE			
(28)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	149,869	WIRE			
(29)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	175,000	WIRE			
(30)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	11,500	WIRE			
(31)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	105,730	WIRE			
(32)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	11,500	WIRE			
(33)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	11,500	WIRE			
(34)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	11,500	WIRE			
(35)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	50,000	WIRE			
(36)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	100,000	WIRE			
(37)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	1,009,002	WIRE			
(38)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	75,000	WIRE			
(39)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	50,000	WIRE			
(40)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	600,000	WIRE			
(41)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	605,571	WIRE			
(42)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	149,992	WIRE			
(43)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	105,000	WIRE			
(44)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	100,000	WIRE			
(45)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	300,000	WIRE			
(46)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	200,000	WIRE			
(47)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	150,000	WIRE			
(48)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	250,000	WIRE			
(49)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	140,000	WIRE			
(50)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	100,000	WIRE			
(51)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	40,000	WIRE			
(52)		EAST ASIA AND THE PACIFIC	PROJECT SUPPORT	49,986	WIRE			
(53)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	138,159	WIRE			
(54)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	316,277	WIRE			
(55)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	140,000	WIRE			
(56)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	400,000	WIRE			
(57)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	505,000	WIRE			
(58)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	100,000	WIRE			
(59)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	100,000	WIRE			
(60)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	215,476	WIRE			
(61)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	200,000	WIRE			
(62)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	675,000	WIRE			
(63)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	175,000	WIRE			
(64)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	630,000	WIRE			
(65)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	315,600	WIRE			
(66)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	150,000	WIRE			
(67)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	1,084,291	WIRE			
(68)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	100,000	WIRE			
(69)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	200,000	WIRE			
(70)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	120,000	WIRE			
(71)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	750,129	WIRE			
(72)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	610,000	WIRE			
(73)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	1,118,630	WIRE			
(74)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	345,000	WIRE			
(75)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	930,000	WIRE			
(76)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	104,940	WIRE			
(77)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	130,000	WIRE			
(78)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	20,000	WIRE			
(79)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	100,000	WIRE			
(80)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	224,000	WIRE			
(81)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	488,276	WIRE			
(82)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	319,862	WIRE			
(83)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	195,000	WIRE			
(84)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	638,696	WIRE			
(85)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	652,501	WIRE			
(86)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	860,000	WIRE			
(87)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	383,539	WIRE			
(88)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	112,000	WIRE			
(89)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	200,000	WIRE			
(90)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	68,500	WIRE			
(91)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	450,000	WIRE			
(92)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	100,000	WIRE			
(93)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	250,000	WIRE			
(94)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	265,000	WIRE			
(95)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	177,500	WIRE			
(96)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	30,000	WIRE			
(97)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	40,000	WIRE			
(98)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	67,000	WIRE			
(99)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	120,000	WIRE			
(100)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	25,000	WIRE			
(101)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROGRAM SUPPORT	500,000	WIRE			
(102)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	75,000	WIRE			
(103)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	175,000	WIRE			
(104)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	100,000	WIRE			
(105)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	40,000	WIRE			
(106)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	180,000	WIRE			
(107)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROGRAM SUPPORT	300,000	WIRE			
(108)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	739,540	WIRE			
(109)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	150,000	WIRE			
(110)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	100,000	WIRE			
(111)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	150,000	WIRE			
(112)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	618,054	WIRE			
(113)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	1,000,000	WIRE			
(114)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	40,000	WIRE			
(115)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	146,150	WIRE			
(116)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	1,350,000	WIRE			
(117)		EUROPE (INCLUDING ICELAND AND GREENLAND)	PROJECT SUPPORT	15,000	WIRE			
(118)		NORTH AMERICA	PROJECT SUPPORT	370,000	WIRE			
(119)		NORTH AMERICA	PROJECT SUPPORT	300,000	WIRE			
(120)		NORTH AMERICA	PROJECT SUPPORT	164,700	WIRE			
(121)		NORTH AMERICA	PROJECT SUPPORT	100,000	WIRE			
(122)		NORTH AMERICA	PROJECT SUPPORT	352,685	WIRE			
(123)		NORTH AMERICA	PROJECT SUPPORT	495,722	WIRE			
(124)		NORTH AMERICA	PROJECT SUPPORT	50,000	WIRE			
(125)		SOUTH AMERICA	PROJECT SUPPORT	505,000	WIRE			
(126)		SOUTH AMERICA	PROJECT SUPPORT	500,000	WIRE			
(127)		SOUTH AMERICA	PROJECT SUPPORT	199,950	WIRE			
(128)		SOUTH AMERICA	PROJECT SUPPORT	250,000	WIRE			
(129)		SOUTH AMERICA	PROJECT SUPPORT	191,992	WIRE			
(130)		SOUTH AMERICA	PROJECT SUPPORT	249,983	WIRE			
(131)		SOUTH AMERICA	PROJECT SUPPORT	200,083	WIRE			
(132)		SOUTH AMERICA	PROJECT SUPPORT	250,000	WIRE			
(133)		SOUTH AMERICA	PROJECT SUPPORT	211,485	WIRE			
(134)		SOUTH AMERICA	PROJECT SUPPORT	299,846	WIRE			
(135)		SOUTH AMERICA	PROJECT SUPPORT	118,437	WIRE			
(136)		SOUTH AMERICA	PROJECT SUPPORT	459,803	WIRE			
(137)		SOUTH AMERICA	PROJECT SUPPORT	100,000	WIRE			
(138)		SOUTH AMERICA	PROJECT SUPPORT	100,054	WIRE			
(139)		SOUTH AMERICA	PROJECT SUPPORT	450,000	WIRE			
(140)		SOUTH AMERICA	PROJECT SUPPORT	200,000	WIRE			
(141)		SOUTH AMERICA	PROJECT SUPPORT	102,300	WIRE			
(142)		SOUTH AMERICA	PROJECT SUPPORT	38,000	WIRE			
(143)		SOUTH AMERICA	PROJECT SUPPORT	70,000	WIRE			
(144)		SOUTH AMERICA	PROJECT SUPPORT	439,338	WIRE			
(145)		SOUTH AMERICA	PROJECT SUPPORT	249,976	WIRE			
(146)		SOUTH AMERICA	PROJECT SUPPORT	80,000	WIRE			
(147)		SOUTH AMERICA	PROJECT SUPPORT	22,390	WIRE			
(148)		SOUTH AMERICA	PROJECT SUPPORT	52,812	WIRE			
(149)		SOUTH AMERICA	PROJECT SUPPORT	50,000	WIRE			
(150)		SOUTH AMERICA	PROJECT SUPPORT	297,804	WIRE			
(151)		SOUTH AMERICA	PROJECT SUPPORT	18,000	WIRE			
(152)		SOUTH AMERICA	PROJECT SUPPORT	15,015	WIRE			
(153)		SOUTH AMERICA	PROJECT SUPPORT	499,967	WIRE			
(154)		SOUTH AMERICA	PROJECT SUPPORT	13,200	WIRE			
(155)		SOUTH AMERICA	PROJECT SUPPORT	220,000	WIRE			
(156)		SOUTH AMERICA	PROJECT SUPPORT	100,000	WIRE			
(157)		SOUTH AMERICA	PROJECT SUPPORT	60,000	WIRE			
(158)		SOUTH AMERICA	PROJECT SUPPORT	91,001	WIRE			
(159)		SOUTH AMERICA	PROJECT SUPPORT	250,000	WIRE			
(160)		SOUTH AMERICA	PROJECT SUPPORT	50,000	WIRE			
(161)		SOUTH AMERICA	PROJECT SUPPORT	400,000	WIRE			
(162)		SOUTH AMERICA	PROJECT SUPPORT	55,250	WIRE			
(163)		SOUTH AMERICA	PROJECT SUPPORT	50,000	WIRE			
(164)		SOUTH AMERICA	PROJECT SUPPORT	160,000	WIRE			
(165)		SOUTH ASIA	PROJECT SUPPORT	40,000	WIRE			
(166)		SOUTH ASIA	PROJECT SUPPORT	100,000	WIRE			
(167)		SOUTH ASIA	PROJECT SUPPORT	125,000	WIRE			
(168)		SUB-SAHARAN AFRICA	PROJECT SUPPORT	75,000	WIRE			
(169)		SUB-SAHARAN AFRICA	PROJECT SUPPORT	81,062	WIRE			
(170)		SUB-SAHARAN AFRICA	PROJECT SUPPORT	40,000	WIRE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter 167

3 Enter total number of other organizations or entities 3

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* Yes No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* Yes No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* Yes No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . Yes No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* Yes No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).* Yes No

Additional Data

Software ID:

Software Version:

**Supplemental Information Regarding
Fundraising or Gaming Activities**

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
 Attach to Form 990 or Form 990-EZ.
 Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization
CLIMATEWORKS FOUNDATION

Employer identification number
26-2303250

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- | | |
|---|--|
| a <input type="checkbox"/> Mail solicitations | e <input checked="" type="checkbox"/> Solicitation of non-government grants |
| b <input checked="" type="checkbox"/> Internet and email solicitations | f <input checked="" type="checkbox"/> Solicitation of government grants |
| c <input checked="" type="checkbox"/> Phone solicitations | g <input type="checkbox"/> Special fundraising events |
| d <input checked="" type="checkbox"/> In-person solicitations | |
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising Yes No
- b** If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1 X EQUALS PRODUCTIONS 45 MINSTER ROAD LONDON, UK NW2 3SH	PRESENTATION DEVELOPMENT		No	0	40,088	-40,088
2 PLEASANT STREET ENTERTAINMENT 3401 WHITE ROSE WAY ENCINO, CA 91436	VIDEO PRODUCTION		No	0	12,382	-12,382
3						
4						
5						
6						
7						
8						
9						
10						
Total					52,470	-52,470

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AL, AK, AR, CA, CO, CT, DC, FL, GA, HI, IL, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, TN, TX, UT, VA, WA, WV, WI

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a)Event #1	(b) Event #2	(c)Other events	(d) Total events
		(event type)	(event type)	(total number)	(add col. (a) through col. (c))
Revenue	1 Gross receipts				
	2 Less: Contributions				
	3 Gross income (line 1 minus line 2)				
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses				
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				
11 Net income summary. Subtract line 10 from line 3, column (d) ▶					

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col.(a) through col.(c))
		1 Gross revenue			
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No		
7 Direct expense summary. Add lines 2 through 5 in column (d) ▶					
8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶					

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? Yes No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No

b If "Yes," explain: _____

11 Does the organization conduct gaming activities with nonmembers? Yes No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No

13 Indicate the percentage of gaming activity conducted in:

a The organization's facility	13a	%
b An outside facility	13b	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ -----

Address ▶ -----

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____.

c If "Yes," enter name and address of the third party:

Name ▶ -----

Address ▶ -----

16 Gaming manager information:

Name ▶ -----

Gaming manager compensation ▶ \$ -----

Description of services provided ▶ -----

Director/officer Employee Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See

Instructions.
Return Reference

Explanation

Schedule I (Form 990) **Grants and Other Assistance to Organizations, Governments and Individuals in the United States**

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22. **Part I** General Information on Grants and Assistance

1. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No

2. Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II General Information on Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of the grantee	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) 350ORG 20 34V STREET SUITE 732 NEW YORK, NY 10001	26-1150699	501(C)(3)	200,000	0			PROJECT SUPPORT
(2) AMERICAN ASSOCIATION FOR THE ASSOCIATION FOR THE FUNDING OF SCIENCE 1100 NEW YORK AVENUE WASHINGTON, DC 20005	53-0196568	501(C)(3)	248,860	0			PROJECT SUPPORT
(3) AMERICAN LUNG ASSOCIATION 55 W WACKER DRIVE SUITE 1130 NEW YORK, NY 10001	84-3989657	501(C)(3)	62,000	0			PROJECT SUPPORT
(4) AMERICAN LUNG ASSOCIATION 55 W WACKER DRIVE SUITE 1130 NEW YORK, NY 10001	13-1632524	501(C)(3)	250,000	0			PROJECT SUPPORT
(5) AMERICANS FOR FINANCIAL REFORM 1100 NEW YORK AVENUE WASHINGTON, DC 20002	82-2532525	501(C)(3)	250,000	0			LOBBYING & PROJECT SUPPORT
(6) ARIZONA STATE UNIVERSITY FOUNDATION FOR A NEW AMERICAN 300 E UNIVERSITY DRIVE TUCSON, AZ 85702	86-6051042	501(C)(3)	98,394	0			PROJECT SUPPORT
(7) AS YOW SOW 2150 KITTREDGE ST SUITE BERKELEY, CA 94704	94-3169008	501(C)(3)	75,000	0			PROJECT SUPPORT
(8) ASIAN PACIFIC NETWORK 426 27TH ST 5TH FLOOR NEW YORK, NY 10001	94-3261846	501(C)(3)	100,000	0			PROJECT SUPPORT
(9) ASPEN INSTITUTE THE 2308 N STREET NW WASHINGTON, DC 20036	84-0399006	501(C)(3)	2,332,293	0			PROJECT SUPPORT
(10) BANK INFORMATION CENTER 1575 STREET NW 10TH FLOOR WASHINGTON, DC 20005	52-1682441	501(C)(3)	381,500	0			PROJECT SUPPORT
(11) BATTERY STREET FUNDERS GROUP 100 BATTERY STREET NEW YORK, NY 10002	13-3431076	501(C)(3)	20,000	0			PROJECT SUPPORT
(12) BIPARTISAN POLICY CENTER 1225 I STREET NW SUITE WASHINGTON, DC 20005	73-1628382	501(C)(3)	400,000	0			PROJECT SUPPORT
(13) BLUEGREEN ALLIANCE FOUNDATION 1300 BOWEN ST NE SUITE 2625 ATLANTA, GA 30317	20-3477309	501(C)(3)	1,425,000	0			PROJECT SUPPORT
(14) BOSTON REDEVELOPMENT CORPORATION BOSTON CITY HALL ONE CITY HALL BOSTON, MA 02201	04-6006386	CITY OF BOSTON	100,000	0			PROJECT SUPPORT
(15) BREKATHROUGH INSTITUTE 436 14TH STREET SUITE OAKLAND, CA 94612	81-4526660	501(C)(3)	100,000	0			PROGRAM SUPPORT
(16) C40 CITIES CLIMATE LEADERSHIP INC 120 PARK AVE 23RD FLOOR NEW YORK, NY 10017	90-0634376	501(C)(3)	300,000	0			PROJECT SUPPORT
(17) CALIFORNIA LEAGUE OF CONSERVATION 350 FRANK H OGAWA PARK SUITE 110 OAKLAND, CA 94612	94-3169564	501(C)(4)	30,000	0			LOBBYING
(18) CALSTART INC 48 S CHESTER AVE NEW YORK, NY 10001	95-4375022	501(C)(3)	555,000	0			PROJECT SUPPORT
(19) CDP NORTH AMERICA 127 WEST 26TH STREET NEW YORK, NY 10001	36-4709777	501(C)(3)	410,000	0			PROJECT SUPPORT
(20) CENTER FOR AMERICAN PROGRESS 1333 H STREET NW 10TH FLOOR WASHINGTON, DC 20005	30-0126510	501(C)(3)	1,450,000	0			LOBBYING, PROJECT SUPPORT, PROGRAM SUPPORT
(21) CENTER FOR BIOLOGICAL DIVERSITY PO BOX 77 TUCSON, AZ 85702	27-3943866	501(C)(3)	63,000	0			PROGRAM SUPPORT
(22) CENTER FOR CARBON REMOVAL INC 1140 THIRD STREET NE WASHINGTON, DC 20002	81-2560407	501(C)(3)	1,200,000	0			PROJECT SUPPORT
(23) CENTER FOR COMMUNITY ACTION AND INTERNATIONAL JUSTICE PO BOX 33124 RIVERSIDE, CA 92519	33-0562082	501(C)(3)	15,000	0			PROJECT SUPPORT
(24) CENTER FOR PROGRESSIVE REFORM 1250 CONNECTICUT AVE NW WASHINGTON, DC 20036	35-2182224	501(C)(3)	150,000	0			PROJECT SUPPORT
(25) CENTER FOR STRATEGIC INTERNATIONAL STUDIES 1616 RHODE ISLAND AVENUE NW WASHINGTON, DC 20036	52-1505082	501(C)(3)	200,000	0			PROJECT SUPPORT
(26) CERES INC 95 CHAMBER STREET 6TH FLOOR NEW YORK, NY 10011	22-3053747	501(C)(3)	1,659,612	0			PROJECT SUPPORT
(27) CLASP 1401 K ST NW SUITE 1100 WASHINGTON, DC 20005	33-1112770	501(C)(3)	1,070,585	0			PROJECT SUPPORT
(28) CLIMATE RISK FORCE 18 TREMONT ST SUITE BOSTON, MA 02108	04-3513550	501(C)(3)	250,000	0			PROJECT SUPPORT
(29) CLIMATE ADVISERS INC 1320 19TH STREET NW WASHINGTON, DC 20036	26-0693788	501(C)(3)	675,000	0			LOBBYING & PROJECT SUPPORT
(30) CLIMATE FOCUS NORTH AMERICA INC 1330 RHODE ISLAND AVE NW SUITE 601 WASHINGTON, DC 20036	26-0762500	501(C)(3)	110,460	0			PROJECT SUPPORT
(31) CLIMATE JUSTICE ALLIANCE SUITE 1002 BERKELEY, CA 94709	85-3440899	501(C)(3)	150,000	0			PROJECT SUPPORT
(32) CLIMATE POLICY 180 SANSOME STREET SUITE 11000 SAN FRANCISCO, CA 94104	26-4129153	501(C)(3)	259,431	0			PROJECT SUPPORT
(33) COAL SWARM SAN FRANCISCO, CA 94104	82-2723327	501(C)(3)	1,025,000	0			PROJECT SUPPORT
(34) COALITION FOR GREEN CAPITAL 1205 CONNECTICUT AVE NW SUITE 500 WASHINGTON, DC 20036	90-0866299	501(C)(3)	45,000	0			PROJECT SUPPORT
(35) COLLECTIVE 2801 SHERMAN AVE NW WASHINGTON, DC 20001	85-2075033	501(C)(3)	195,658	0			PROJECT SUPPORT
(36) COLUMBIA UNIVERSITY 601 BATTERY STREET MAIL CODE 872 NEW YORK, NY 10027	13-5598093	501(C)(3)	289,467	0			PROJECT SUPPORT
(37) COMMUNITIES FOR A BETTER ENVIRONMENT 1000 PARKER PARK, CA 90215	94-2998086	501(C)(3)	100,000	0			PROJECT SUPPORT
(38) COMMUNITY FOUNDATION OF GREATER JOHNSTOWN 216 FRANKLIN ST SUITE JOHNSTOWN, PA 15901	25-1637373	501(C)(3)	200,000	0			PROJECT SUPPORT
(39) CONSERVATION WORKS 2011 CRYSTAL DRIVE ARLINGTON, VA 22202	52-1497470	501(C)(3)	231,211	0			PROJECT SUPPORT
(40) DAKBERG CATALYST 1000 K STREET NW SUITE 900 WASHINGTON, DC 20005	81-2108637	501(C)(3)	400,000	0			PROJECT SUPPORT
(41) DEWEY UNIVERSITY 2200 W MAIN ST SET710 DURHAM, NC 27704	56-0532129	501(C)(3)	73,632	0			PROJECT SUPPORT
(42) DIVERSITY STREET 50 CALIFORNIA STREET SUITE 1000 SAN FRANCISCO, CA 94111	94-1730465	501(C)(3)	50,000	0			PROJECT SUPPORT
(43) EAST YARD COMMUNITIES FOR ENVIRONMENTAL JUSTICE 2317 S ATLANTIC BLVD COMMERCIAL SAN FRANCISCO, CA 94104	46-5685097	501(C)(3)	150,000	0			PROJECT SUPPORT
(44) EARTH DEFENSE FUND INC 259 PARK AVENUE SOUTH NEW YORK, NY 10010	93-1050144	501(C)(3)	191,099	0			PROJECT SUPPORT
(45) ENVIRONMENTAL JUSTICE 301 BATTERY ST SAN FRANCISCO, CA 94111	32-0582896	501(C)(3)	8,000,000	0			PROJECT SUPPORT
(46) ENVIRONMENTAL DEFENSE FUND INC 259 PARK AVENUE SOUTH NEW YORK, NY 10010	11-6107128	501(C)(3)	816,000	0			PROJECT SUPPORT
(47) ENVIRONMENTAL INVESTMENT AGENCY PO BOX 93343 WASHINGTON, DC 20009	52-1654284	501(C)(3)	1,245,586	0			PROJECT SUPPORT
(48) ENVIRONMENTAL JUSTICE 2315 S COBB DRIVE SUITE 700 R131 131 WASHINGTON, DC 20036	83-2138544	501(C)(3)	70,000	0			PROJECT SUPPORT
(49) FOOD FOR CLIMATE LEAGUE INC (FCL) WASHINGTON, DC 20001 EVANSTON, IL 60201	84-2916598	501(C)(3)	99,026	0			PROJECT SUPPORT
(50) FOREST TRENDS 1203 19TH STREET NW WASHINGTON, DC 20036	52-2135531	501(C)(3)	100,000	0			PROJECT SUPPORT
(51) FORTH MOBILITY FUND 2035 NW FRONT AVE PORTLAND, OR 97209	45-4726335	501(C)(3)	124,500	0			PROJECT SUPPORT
(52) FRIENDS OF THE UNDERGROUND 1101 15TH STREET NW 11TH FLOOR WASHINGTON, DC 20005	23-7420660	501(C)(3)	150,000	0			PROJECT SUPPORT
(53) FUTURE STEWARDS INC 2010 CROSS ISLAND PARKWAY 107 NEW YORK, NY 11366	26-1964339	501(C)(3)	10,000	0			PROJECT SUPPORT
(54) GEORGETOWN UNIVERSITY CSE FOR PUBLIC NONPROFIT LEADERSHIP GEORGETOWN UNIV - BOX 57110 WASHINGTON, DC 20057	53-0196603	501(C)(3)	99,998	0			PROJECT SUPPORT
(55) GEORGETOWN PHILANTHROPY PARKERS 1916 N HOWARD ST 7 CHICAGO, IL 60614	56-2342600	501(C)(3)	100,000	0			PROJECT SUPPORT
(56) GORDON RESEARCH 512 LIBERTY LANE WEST SOUTH BEND, IN 46708	26-0150662	501(C)(3)	10,000	0			PROJECT SUPPORT
(57) GREAT PLAINS 2801 21ST AVE S MINNEAPOLIS, MN 55407	41-1921126	501(C)(3)	700,000	0			PROJECT SUPPORT
(58) GREATER HOUSTON COMMUNITY FOUNDATION 515 POST OAK BLVD HOUSTON, TX 77027	23-7160400	501(C)(3)	204,000	0			PROJECT SUPPORT
(59) GRIDLBS INC 21500 DORSET WAY SUITE 420 BERKELEY, CA 94704	82-4198360	501(C)(3)	200,000	0			PROJECT SUPPORT
(60) GREEN HARBONY P O BOX 96176 PORTLAND, OR 97296	20-3741107	501(C)(3)	174,990	0			PROJECT SUPPORT
(61) GREEN LEAF GOVERNMENTS FOR SUSTAINABILITY USA INC 1901 BATTERY STREET SUITE 901 DENVER, CO 80202	04-3116623	501(C)(3)	75,000	0			PROJECT SUPPORT
(62) GREEN LEAF GOVERNMENTS FOR SUSTAINABILITY USA INC 1901 BATTERY STREET SUITE 901 DENVER, CO 80202	86-2425643	501(C)(3)	20,000	0			PROJECT SUPPORT
(63) GREEN LEAF GOVERNMENTS FOR SUSTAINABILITY USA INC 1901 BATTERY STREET SUITE 901 DENVER, CO 80202	81-4186787	501(C)(3)	112,042	0			PROJECT SUPPORT
(64) GREEN LEAF GOVERNMENTS FOR SUSTAINABILITY USA INC 1901 BATTERY STREET SUITE 901 DENVER, CO 80202	84-1973536	501(C)(3)	150,000	0			PROJECT SUPPORT
(65) INITIATIVE FOR RESOURCES MINING ASSURANCE 1322 WASHINGTON ST PORT TOWNSEND, WA 98368	52-1399520	501(C)(3)	150,000	0			PROJECT SUPPORT
(66) INSTITUTE FOR TRANSPORTATION & DEVELOPMENT 9 EAST 19TH ST 7TH FLOOR NEW YORK, NY 10003	81-0616238	501(C)(3)	150,000	0			PROGRAM SUPPORT
(67) INSTITUTE FOR GOVERNANCE & DEVELOPMENT 2300 WISCONSIN AVE NW SUITE 300-9 WASHINGTON, DC 20007	45-4244605	501(C)(3)	620,000	0			PROJECT SUPPORT
(68) INSTITUTE FOR ENERGY ECONOMICS AND FINANCIAL ANALYSIS INC 1490 DIXIE AVENUE SUITE 206 LAKEWOOD, OH 44107	20-3076690	501(C)(3)	2,445,000	0			PROJECT SUPPORT; GENERAL SUPPORT
(69) INTERNATIONAL COUNCIL ON CLEAN TRANSPORTATION 1101 I STREET NW SUITE 900 WASHINGTON, DC 20005	22-2579031	501(C)(3)	104,800	0			PROJECT SUPPORT
(70) INTERNATIONAL INSTITUTE FOR ENERGY CONSERVATION INC 1068 KING WAY BERKINGVILLE, PA 15011	82-1708759	501(C)(3)	185,000	0			PROJECT SUPPORT
(71) JOLT INITIATIVE INC PO BOX 4185 AUSTIN, TX 78765	82-3322997	501(C)(3)	75,000	0			PROJECT SUPPORT
(72) LAUDATO SI' MOVEMENT 700 27TH ST SUITE 700 PMB 90321 WASHINGTON, DC 20005	94-6036494	501(C)(3)	700,000	0			PROJECT SUPPORT
(73) LAWRENCE BERKELEY NATIONAL LAB 1 CYCLOTOR ROAD BERKELEY, CA 94720	81-2567763	501(C)(3)	200,000	0			PROJECT SUPPORT
(74) LIVERMORE LAB FOUNDATION 1111 BROADWAY SUITE 2130 OAKLAND, CA 94607	72-1488935	501(C)(3)	500,000	0			PROJECT SUPPORT
(75) LORAINA BUCKET BRIGADE 3416 B CANAL STREET NEW ORLEANS, LA 70119	04-2103594	501(C)(3)	100,000	0			PROJECT SUPPORT
(76) MASSACHUSETTS INSTITUTE OF TECHNOLOGY (MIT) 77 MASSACHUSETTS AVE CAMBRIDGE, MA 02139	84-1435420	501(C)(3)	258,544	0			PROJECT SUPPORT
(77) MANDIAN INSTITUTE PO BOX 1829 105 VILLAGE DILLON, CO 80435	45-3714703	501(C)(3)	271,935	0			PROJECT SUPPORT
(78) MONGABAIRY ORG CORP 15 CLINTON ST 1 REDWOOD CITY, CA 94061	45-2796201	501(C)(3)	250,000	0			PROJECT SUPPORT
(79) NAMATI INC 1616 P ST NW SUITE 101 WASHINGTON, DC 20036	53-0204616	501(C)(3)	260,000	0			PROJECT SUPPORT
(80) NATIONAL PHILANTHROPIC TRUST 1100 WILDLIFE CENTER DRIVE RESTON, VA 20190	23-7825575	501(C)(3)	50,000	0			LOBBYING
(81) NATIONAL WILDLIFE FEDERATION 165 TOWNSHIP LINE ROAD JENKINTOWN, PA 19046	56-1989598	501(C)(3)	500,000	0			PROJECT SUPPORT
(82) NATIVE AMERICANS IN PROGRESS (NAP) 1140 3RD STREET NE WASHINGTON, DC 20002	13-2654926	501(C)(3)	481,833	0			PROJECT SUPPORT
(83) NATIONAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET 11TH FLOOR NEW YORK, NY 10011	04-2024022	501(C)(3)	20,000	0			PROJECT SUPPORT
(84) NEW ENGLAND GREEN FOUNDATION INC (NEFF) 32 FOSTER ST PO BOX 1146 LITTLETON, MA 01460	20-5806345	501(C)(3)	673,063	0			PROJECT SUPPORT
(85) NEW VENTURE FUND NEW VENTURE FUND 1201 CONNECTICUT AVE WASHINGTON, DC 20036	13-5562308	501(C)(3)	150,000	0			PROJECT SUPPORT
(86) NEW YORK UNIVERSITY 105 EAST 17TH ST 3RD FLOOR NEW YORK, NY 10003	45-5308952	501(C)(3)	500,000	0			PROJECT SUPPORT
(87) NISKANEN CENTER 820 1ST STREET NE SUITE 675 WASHINGTON, DC 20002	46-5461910	501(C)(3)	40,000	0			PROJECT SUPPORT
(88) NORTH AMERICAN RENEWABLE ENERGY ASSOCIATION 125 FOREST AVE 10022 PORTLAND, ME 04104	27-2442344	501(C)(3)	200,000	0			PROJECT SUPPORT
(89) NORTH AMERICAN COUNCIL FOR FREIGHT SECURITY 6041 STELLHORN RD 19535 FORT WAYNE, IN 46885	04-2814018	501(C)(3)	290,000	0			PROJECT SUPPORT
(90) NORTHEAST STATES FOR CONSERVED AIR USE MANAGEMENT 89 SOUTH STREET SUITE 602 BOSTON, MA 02111	85-2694328	501(C)(3)	25,000	0			PROJECT SUPPORT
(91) OBSERVER RESEARCH FOUNDATION C/O INCORP SERVICES 1100 K STREET NW SUITE 650 WASHINGTON, DC 20005	95-1667177	501(C)(3)	250,000	0			PROGRAM SUPPORT
(92) OCCIDENTAL CONSERVANCY INC 1300 15TH ST NW 8TH FLOOR WASHINGTON, DC 20036	23-7245152	501(C)(3)	250,000	0			PROJECT SUPPORT
(93) OCEANA 1500 15TH ST NW 8TH FLOOR WASHINGTON, DC 20036	84-2130219	501(C)(3)	312,500	0			PROJECT SUPPORT
(94) OCEAN FOUNDATIONS INC 225 BAKER STREET ATLANTA, GA 30313	23-7069110	501(C)(3)	70,000	0			PROJECT SUPPORT
(95) OXFAM AMERICA 226 PARKWAY SUITE 5TH FLOOR NEW YORK, NY 10014	94-2689224	501(C)(3)	2,666,000	0			PROJECT SUPPORT
(96) PACIFIC ENVIRONMENT AND RESOURCES CENTER 473 PINE STREET THRD FLOOR SAN FRANCISCO, CA 94104	52-2192070	501(C)(3)	835,000	0			LOBBYING, PROJECT SUPPORT, PROGRAM SUPPORT
(97) PARTNERSHIP FOR ENVIRONMENTAL ACTION 1501 M STREET NW SUITE 601 WASHINGTON, DC 20005	27-4364320	501(C)(3)	250,000	0			PROJECT SUPPORT
(98) PHYSICIANS SCIENTISTS AND ENGINEERS FOR SUSTAINABLE AND HEALTHY PLANET 1440 BROADWAY STE 750 OAKLAND, CA 94612	20-7415160	501(C)(3)	220,000	0			PROJECT SUPPORT
(99) PUBLIC AFFAIRS FOUNDATION 1 MARITIME PLAZA STE 1400 SAN FRANCISCO, CA 94111	26-1799615	501(C)(3)	245,000	0			PROJECT SUPPORT
(100) PLUG IN AMERICA 1000 WILMIE BLVD SUITE 1000 LOS ANGELES, CA 90048	94-3297479	501(C)(3)	100,000	0			PROJECT SUPPORT
(101) POLICYLINK 1438 WEBSTER STREET OAKLAND, CA 94612	82-4032063	501(C)(3)	267,240	0			PROJECT SUPPORT
(102) PROPEL CLEAN ENERGY PARTNERS LLC 7100 30TH AVE PORTLAND, OR 97202	23-7104508	501(C)(3)	120,000	0			PROJECT SUPPORT
(103) PUBLIC CHOICE INC 1600 20TH STREET NW WASHINGTON, DC 20009	94-6002123	STATE OF VA	203,889	0			PROJECT SUPPORT
(104) REGENTS OF THE UNIVERSITY OF CALIFORNIA AT BERKELEY 1000 FOLEY STREET SUITE 220 MAIL CODE 9040 BERKELEY, CA 94710	94-6036494	STATE OF CA	650,297	0			PROJECT SUPPORT
(105) REGENTS OF THE UNIVERSITY OF CALIFORNIA AT DAVIS 1850 RESEARCH PARK DAVIS, CA 95618	13-4286728	501(C)(3)	10,000	0			PROJECT SUPPORT
(106) RES PUBLICA INC 50 OLD NECK ROAD CENTER MORICHES, NY 11934	13-1760106	501(C)(3)	30,000	0			PROJECT SUPPORT
(107) ROCKEFELLER BROTHERS FUND INC 400 BATTERY DRIVE SUITE 900 NEW YORK, NY 10115	13-6257658	501(C)(3)	1,250,000	0			PROJECT SUPPORT
(108) ROCKEFELLER FAMILY FUND 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	13-3615533	501(C)(3)	9,882,778	0			PROJECT SUPPORT; PROGRAM SUPPORT
(109) ROCKEFELLER ADVISORS INC 60 WEST 40TH STREET 10TH FLOOR NEW YORK, NY 10036	74-2244146	501(C)(3)	2,083,511	0			PROJECT SUPPORT
(110) ROCKY MOUNTAIN INSTITUTE 1820 FOLSOM STREET DENVER, CO 80202	20-1272797	501(C)(3)	150,000	0			PROJECT SUPPORT
(111) SECURING AMERICA'S FUTURE ENERGY FOUNDATION 1111 19TH ST NW SUITE 406 WASHINGTON, DC 20036	94-6069890	501(C)(3)	200,000	0			PROJECT SUPPORT
(112) SIERRA CLUB FOUNDATION 2010 WEBER STREET SUITE 1250 OAKLAND, CA 94612	95-4116679	501(C)(3)	359,086	0			PROJECT SUPPORT
(113) SOCIAL AND ENVIRONMENTAL ENTRE							

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
PART I, LINE 2:	CLIMATEWORKS PROGRAM STAFF PERFORM DUE DILIGENCE ON PROSPECTIVE GRANTS AND EVALUATE THE PAST SUCCESS AND FINANCIAL HEALTH OF THE PROSPECTIVE GRANTEE. ALL ORGANIZATIONS ARE REQUIRED TO SUBMIT A GRANT NARRATIVE PROPOSAL AND BUDGET. PROPOSALS ARE EVALUATED ON THEIR PROJECTED OUTCOMES AND ALIGNMENT WITH CLIMATEWORKS' MISSION AND STRATEGIES. OTHER REQUIRED DOCUMENTATION INCLUDES: THE CURRENT YEAR BUDGET; YEAR-TO-DATE FINANCIAL STATEMENTS; PRIOR YEAR AUDITED FINANCIAL STATEMENTS; GOVERNMENT-ISSUED TAX STATUS DETERMINATION DOCUMENTATION; A LIST OF BOARD OF DIRECTORS, OFFICERS, AND AFFILIATIONS; AND BIOGRAPHIES OF KEY STAFF INVOLVED IN THE PROJECT. ALL GRANTEES ARE REQUIRED TO SIGN AND RETURN A GRANT AGREEMENT, WHICH STATES THAT FUNDS MAY BE SPENT ONLY FOR THE SPECIFIC CHARITABLE PURPOSES STATED IN THE PROPOSAL. ALL GRANTEES MUST BE IN COMPLIANCE WITH ALL APPLICABLE ANTI-TERRORIST FINANCING AND ASSET CONTROL LAWS, REGULATIONS, RULES, EXECUTIVE ORDERS, AND MAY NOT VIOLATE ANY IRS EXPENDITURE PROHIBITIONS. CLIMATEWORKS VERIFIES THE ORGANIZATION'S EXISTENCE AND TAX STATUS USING GUIDESTAR CHARITY CHECK. DEPENDING ON THE DOLLAR AMOUNT, EITHER THE BOARD OF DIRECTORS, THE PRESIDENT & CEO, OR A VICE PRESIDENT APPROVES THE GRANT. CLIMATEWORKS REVIEWS NARRATIVE AND FINANCIAL REPORTS TO ENSURE THAT THE FUNDS WERE SPENT EXCLUSIVELY FOR THE CHARITABLE PURPOSES STATED IN THE PROPOSAL AND WITHIN THE CONFINES OF THE OTHER RESTRICTIONS OF THE GRANT.

Additional Data

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Schedule J
(Form 990)

Compensation Information

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**
- ▶ **Attach to Form 990.**
- ▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

2021

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
CLIMATEWORKS FOUNDATION

Employer identification number

26-2303250

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input checked="" type="checkbox"/> Tax idemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|---|---|
| <input type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?

Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization? If "Yes," on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization? If "Yes," on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1b	Yes	
2	Yes	
4a		No
4b		No
4c		No
5a		No
5b		No
6a		No
6b		No
7	Yes	
8		No
9		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2, 1099-MISC compensation, and/or 1099-NEC			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 SHAWN P REIFSTECK VP, STRAT, COLLAB & BRAND/PROG STRAT	(i)	418,491	24,958	1,932	43,500	38,478	527,359	0
	(ii)	0	0	0	0	0	0	0
2 CHRIS DECARDY ACTING PRESIDENT & CEO START 5/2021	(i)	492,133	0	2,408	17,627	1,446	513,614	0
	(ii)	0	0	0	0	0	0	0
3 SUSAN ELIZABETH COOK CHIEF OPERATING OFFICER	(i)	424,840	8,643	6,668	43,500	13,977	497,628	0
	(ii)	0	0	0	0	0	0	0
4 CHARLES MCELWEE VP, PROGRAMS	(i)	408,410	9,232	10,668	43,500	24,524	496,334	0
	(ii)	0	0	0	0	0	0	0
5 IRENE KIM VP, DEVELOPMENT	(i)	398,000	0	3,612	43,500	37,998	483,110	0
	(ii)	0	0	0	0	0	0	0
6 SURABI MENON VP, GLOBAL INTELLIGENCE	(i)	377,250	0	1,932	43,500	27,310	449,992	0
	(ii)	0	0	0	0	0	0	0
7 BRIAN MCCrackEN CFO, TREASURER	(i)	354,167	0	1,932	43,500	35,543	435,142	0
	(ii)	0	0	0	0	0	0	0
8 ILMI GRANOFF SR DIRECTOR, SUSTAINABLE FINANCE	(i)	297,020	1,000	840	41,900	38,478	379,238	0
	(ii)	0	0	0	0	0	0	0
9 JAN DERRICKSON SR DIRECTOR, CARBON DIOXIDE REMOVAL	(i)	310,000	0	3,612	43,500	19,886	376,998	0
	(ii)	0	0	0	0	0	0	0
10 ANTHONY EGGERT SR DIRECTOR, TRANSPORTATION	(i)	310,000	0	1,260	42,050	20,073	373,383	0
	(ii)	0	0	0	0	0	0	0
11 MARY RAFTERY SR DIRECTOR, FUNDER COLLABORATIONS	(i)	291,114	7,251	3,612	43,500	15,278	360,755	0
	(ii)	0	0	0	0	0	0	0
12 JASON ANDERSON SR PROGRAM DIRECTOR	(i)	281,200	2,508	1,932	42,180	3,635	331,455	0
	(ii)	0	0	0	0	0	0	0
13 CHARLOTTE PERA PRESIDENT & CEO THROUGH 4/2021	(i)	193,254	0	84,275	37,449	4,540	319,518	0
	(ii)	0	0	0	0	0	0	0
14 GRETCHEN RAU SECRETARY	(i)	164,006	4,668	2,296	24,750	10,138	205,858	0
	(ii)	0	0	0	0	0	0	0

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 1A	JASON ANDERSON (SENIOR PROGRAM DIRECTOR), SUSAN ELIZABETH COOK (VP, OPERATIONS AND PLANNING), CHARLES MCELWEE (VP, PROGRAMS), MARY RAFTERY (SENIOR DIRECTOR, FUNDER COLLABORATIONS), GRETCHEN RAU (DIRECTOR FOR THE EXECUTIVE OFFICE AND BOARD SECRETARY), AND SHAWN REIFSTECK (VP, STRATEGY, COLLABORATIONS, AND BRAND; ACTING VICE PRESIDENT, PROGRAM STRATEGY) RECEIVED A TAX GROSS-UP AS PART OF A BONUS PAID TO THEM IN 2021. THESE TAX GROSS-UP PAYMENTS WERE REPORTED ON FORM W-2S AS TAXABLE INCOME, AS REQUIRED, AND REPORTED AS COMPENSATION ON SCHEDULE J, PART II, COLUMN (B)(III).
PART I, LINE 7	THE ORGANIZATION AWARDED BONUSES TO CERTAIN INDIVIDUALS WHICH WERE DETERMINED BY A SUPERVISOR, WHO UTILIZED DISCRETION IN DETERMINING THE AMOUNT OF PAYMENT, AND THUS WOULD BE CONSIDERED A NON-FIXED PAYMENT.

Additional Data

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Schedule L
(Form 990)

Transactions with Interested Persons

OMB No. 1545-0047

2021

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▶ **Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**
▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

Department of the Treasury
Internal Revenue Service

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Part I Excess Benefit Transactions (section 501(c)(3), section 501(c)(4), and section 501(c)(29) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958. _____

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization. ▶ \$. ▶ _____

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No

Total ▶ \$ _____

Part III Grants or Assistance Benefiting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) VESANCY FORESTRY CONSULTANTS	GREATER THAN 35% CONTROLLED ENTITY OF FORMER KEY EMP CHRIS ELLIOTT	555,854	PROGRAM MANAGEMENT SERVICES		No

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

Return Reference

Explanation

Additional Data

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Software ID:
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Noncash Contributions

2021

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- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
- ▶ **Attach to Form 990.**
- ▶ **Go to www.irs.gov/Form990 for the latest information.**

Department of the Treasury
Internal Revenue Service

Name of the organization
CLIMATEWORKS FOUNDATION

Employer identification number

26-2303250

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	5	58,759,080	FMV
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (_____)				
26 Other ▶ (_____)				
27 Other ▶ (_____)				
28 Other ▶ (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement **29** 0

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?		Yes	No
30a			No
b If "Yes," describe the arrangement in Part II.			
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?		Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?			No
b If "Yes," describe in Part II.			
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.			

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, COLUMN (B):	THE ORGANIZATION IS REPORTING THE NUMBER OF CONTRIBUTIONS RECEIVED (DEFINED AS EACH SEPARATE GIFT) IN SCHEDULE M, PART I, COLUMN (B).

Additional Data

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SCHEDULE O
(Form 990)**Supplemental Information to Form 990 or 990-EZ**Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.**2021****Open to Public
Inspection**Department of the Treasury
Internal Revenue ServiceName of the organization
CLIMATEWORKS FOUNDATION

Employer identification number

26-2303250

Return Reference	Explanation
FORM 990, PART III, LINE 1:	CLIMATEWORKS FOUNDATION'S MISSION IS TO END THE CLIMATE CRISIS BY AMPLIFYING THE POWER OF PHILANTHROPY. WE ARE A GLOBAL PLATFORM FOR PHILANTHROPY TO INNOVATE, COLLABORATE, AND ACCELERATE CLIMATE SOLUTIONS THAT SCALE BY BRINGING TOGETHER A UNIQUE NETWORK OF FUNDERS, GRANTEEES, RESEARCHERS, AND OTHER PARTNERS. WE PROVIDE A SUITE OF GLOBAL PROGRAMS AND GLOBAL SERVICES UNIQUELY DESIGNED TO AMPLIFY THE POWER OF A CLIMATE PHILANTHROPY COMMUNITY THAT IS LARGER, MORE COORDINATED, MORE INTERNATIONAL, AND GROWING FASTER THAN EVER. CLIMATEWORKS GLOBAL PROGRAMS SUPPORT CLIMATE CHANGE MITIGATION EFFORTS FROM EARLY EXPLORATIONS TO SCALED INITIATIVES. WE HELP DEVELOP INNOVATIVE AND IMPACTFUL SOLUTIONS, FUND THEIR IMPLEMENTATION, AND SCALE UP THOSE THAT SHOW THE GREATEST PROMISE. OUR DIVERSE SET OF PHILANTHROPIC PROGRAMS ARE CRITICAL TO CLIMATE MITIGATION SUCCESS AND INCLUDE CARBON DIOXIDE REMOVAL; COOLING; FINANCE; FOOD & AGRICULTURE; FORESTS & LAND USE; GOVERNANCE & DIPLOMACY; INDUSTRY; POWER; SUPER POLLUTANTS; TRANSPORTATION; AS WELL AS EXPERTISE AND ADVISORY SERVICES TO HELP DESIGN AND IMPLEMENT GRANTMAKING STRATEGIES THAT DRIVE CLIMATE PROGRESS. CLIMATEWORKS GLOBAL SERVICES INCLUDE: (I) GLOBAL INTELLIGENCE THAT PROVIDES FUNDERS WITH THE KNOWLEDGE NECESSARY TO BUILD THEIR CLIMATE INVESTMENT STRATEGIES. IT HELPS THEM EXPLORE AREAS FOR CLIMATE ACTION AND IDENTIFY OPPORTUNITIES FOR GREATER IMPACT AND PARTNERSHIP; (II) GLOBAL COLLABORATIONS THAT ENABLE CLIMATE FUNDERS TO INCREASE INDIVIDUAL AND COLLECTIVE IMPACT; AND (III) GLOBAL GRANTMAKING THAT ENABLE FUNDERS TO INVEST IN CLIMATE STRATEGIES AROUND THE GLOBE. THROUGH OUR PROGRAMS AND SERVICES, CLIMATEWORKS HELPS THE FIELD OF CLIMATE PHILANTHROPY ACT WITH THE AMBITION, URGENCY, CAPABILITIES, AND INTERCONNECTIONS NEEDED TO END THE CLIMATE CRISIS.
FORM 990, PART III, LINE 4A:	WE COLLABORATED WITH OUR EXPANSIVE NETWORK OF PARTNERS AND GRANTEEES TO CONTRIBUTE TO A VARIETY OF ACHIEVEMENTS AROUND THE GLOBE, DRIVING MEANINGFUL PROGRESS ON CLIMATE ACTION. CLIMATEWORKS GLOBAL PROGRAMS: THROUGH OUR GLOBAL PROGRAMS, WE DRIVE INNOVATION AND CLIMATE SOLUTIONS THAT SCALE. OUR PORTFOLIO OF GLOBAL AND TRANSNATIONAL CLIMATE MITIGATION STRATEGIES AND GRANTMAKING HELP FUNDERS MAXIMIZE THEIR PHILANTHROPIC IMPACT. WE SUPPORT CLIMATE CHANGE MITIGATION EFFORTS FROM EARLY EXPLORATIONS TO SCALED INITIATIVES. WE HELP DEVELOP INNOVATIVE AND HIGH-IMPACT SOLUTIONS, FUND THEIR IMPLEMENTATION, AND SCALE UP THOSE THAT SHOW THE GREATEST PROMISE. 2021 GLOBAL PROGRAMS ACTIVITIES INCLUDED: (I) AVIATION: CLIMATEWORKS HELPED LAUNCH A NEW COALITION AND CAMPAIGN TO CREATE A SUSTAINABLE AVIATION FUEL ROADMAP FOR CALIFORNIA, WHICH OUTLINES REGULATORY CHANGES TO HELP CLEAN THE AIR IN COMMUNITIES NEAR AIRPORTS. (II) CARBON DIOXIDE REMOVAL: IN THE U.S., CLIMATEWORKS GRANTEEES SUBMITTED MORE THAN 500 APPROPRIATIONS REQUESTS TOTALING \$275M. THE U.S. DEPARTMENT OF ENERGY RELEASED \$101.5M FOR DIRECT AIR CAPTURE (DAC) R&D AS WELL AS CARBON DIOXIDE UTILIZATION AND STORAGE. (III) FOOD & AGRICULTURE: IN EUROPE, CLIMATEWORKS' FOOD AND AGRICULTURE PROGRAM ENTERED THE SECOND PHASE OF ITS HEALTHY FOOD, HEALTHY PLANET INITIATIVE, COLLABORATING TO PROMOTE A FUTURE FOR ANIMAL AGRICULTURE IN EUROPE THAT ALIGNS WITH HEALTH, SUSTAINABILITY, AND JUSTICE GOALS. (IV) ROAD TRANSPORTATION: ZERO-EMISSION TRUCKS SAVE LIVES BY SLASHING POLLUTION, AND DRIVE ELECTRIC PARTNERS ARE EXPANDING GLOBAL ADOPTION. AT COP26, 15 GOVERNMENTS, INCLUDING IN CHILE AND URUGUAY, LAUNCHED AN AGREEMENT FOR 100% ZERO EMISSION NEW TRUCK AND BUS SALES BY 2040. (V) INDUSTRY: CLIMATEWORKS GRANTEEES AT COP26 HELPED REALIZE A COMMITMENT FROM 27 NATIONS TO ACCELERATE AND SCALE THE PRODUCTION OF NEAR-ZERO EMISSION STEEL. SIGNATORIES INCLUDED SEVERAL OF THE TOP STEEL-PRODUCING COUNTRIES SUCH AS THE U.S., JAPAN, AND GERMANY. (VI) FINANCE: CLIMATEWORKS GRANTEEES LAID THE GROUNDWORK FOR THE SECURITIES AND EXCHANGE COMMISSION TO PROPOSE NEW RULES REQUIRING COMPANIES TO REPORT ON FINANCIAL RISKS POSED BY CLIMATE CHANGE, GREENHOUSE GASES EMITTED FROM THEIR OPERATIONS, AND EMISSIONS IN THEIR VALUE CHAINS. (VII) GOVERNANCE & DIPLOMACY: AT COP26, CLIMATEWORKS' AFRICA SHORT-LIVED CLIMATE POLLUTANTS (SLCP) PROJECT HOSTED A PUBLIC EVENT WITH LEADING VOICES FROM ACROSS AFRICA TO SPOTLIGHT INTERSECTIONAL APPROACHES TO CLIMATE CHANGE MITIGATION IN THE GLOBAL SOUTH. (VIII) POWER: THANKS TO WORK BY PARTNERS FROM THE GLOBAL ENERGY TRANSITION INITIATIVE, SOUTH KOREA AND JAPAN, TWO OF THE THREE LARGEST FINANCIERS OF COAL DEVELOPMENT IN THE WORLD, COMMITTED TO ENDING THEIR INVESTMENTS IN OVERSEAS COAL-FIRED POWER. (IX) SUPER POLLUTANTS: AT COP26, THE U.S., THE EUROPEAN UNION, AND PARTNERS LAUNCHED THE GLOBAL METHANE PLEDGE, WHICH SEEKS TO REDUCE METHANE EMISSIONS BY 30% BY 2030. IN TOTAL, 110 COUNTRIES HAVE SIGNED ON TO THE PLEDGE. CLIMATEWORKS GLOBAL SERVICES: OUR INTELLIGENCE, COLLABORATIONS, AND GRANTMAKING SERVICES GIVE FUNDERS THE TOOLS THEY NEED TO MAKE THEIR CLIMATE GIVING AS EFFECTIVE AS POSSIBLE. DESIGNED WITH THE UNIQUE NEEDS OF INDIVIDUAL FUNDERS IN MIND, THESE SERVICES ALSO ENABLE THE BROADER CLIMATE PHILANTHROPY COMMUNITY TO OPERATE COLLABORATIVELY FOR GREATER COLLECTIVE IMPACT. GLOBAL INTELLIGENCE: CLIMATEWORKS GLOBAL INTELLIGENCE PROVIDES FUNDERS WITH THE KNOWLEDGE NECESSARY TO BUILD THEIR CLIMATE INVESTMENT STRATEGIES. IT HELPS THEM EXPLORE AREAS FOR CLIMATE ACTION AND IDENTIFY OPPORTUNITIES FOR GREATER IMPACT AND PARTNERSHIP. 2021 GLOBAL INTELLIGENCE HIGHLIGHTS INCLUDE: (I) CLIMATEWORKS' FUNDING TRENDS REPORT CAPTURED KEY TRENDS IN CLIMATE PHILANTHROPY, INCLUDING THE GROWTH OF LARGE-SCALE COMMITMENTS AND AN INCREASED FOCUS ON EQUITY AND JUSTICE. DOWNLOADED 500+ TIMES WITHIN 24 HOURS OF PUBLICATION, THE REPORT WAS SHARED WIDELY ON SOCIAL MEDIA AND CITED IN SEVERAL POPULAR PUBLICATIONS. (II) IN ADDITION TO THE FUNDING TRENDS REPORT, CLIMATEWORKS GLOBAL INTELLIGENCE RELEASED 27 PUBLICATIONS AND PRODUCED 58 TAILORED ANALYSES AND BRIEFINGS IN 2021. THIS WORK INCLUDED DATA AND ANALYSES ON FUNDING FLOWS, GRANTEE LANDSCAPES, AND CLIMATE INSIGHTS THAT BUILD KNOWLEDGE, IDENTIFY INVESTMENT PRIORITIES, AND SUPPORT EFFECTIVE DEPLOYMENT OF FUNDING. GLOBAL COLLABORATIONS: CLIMATEWORKS GLOBAL COLLABORATIONS ARE INTERNATIONAL PARTNERSHIPS THAT BUILD HIGH-TRUST RELATIONSHIPS, CREATE STRATEGIC ALIGNMENT, AND FACILITATE COORDINATED ACTIONS ACROSS THE CLIMATE PHILANTHROPY ECOSYSTEM. 2021 GLOBAL COLLABORATIONS HIGHLIGHTS INCLUDED CONVENING TWO MEETINGS OF THE FUNDERS TABLE, AN INFORMAL COLLABORATION OF NOT-FOR-PROFIT FOUNDATIONS DEDICATED TO CLIMATE CHANGE MITIGATION. FACILITATED BY CLIMATEWORKS, THE FUNDERS TABLE IS A WAY FOR THESE

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	ORGANIZATIONS TO LEARN FROM AND WORK MORE CLOSELY WITH ONE ANOTHER. IN ADDITION, CLIMATEWORKS LED OVER 40 CONVENINGS WITH MORE THAN 2,000 PARTICIPANTS. GLOBAL GRANTMAKING: CLIMATEWORKS PROVIDES AN ARRAY OF GRANTMAKING SERVICES THAT ENABLE FUNDERS TO INVEST IN CLIMATE STRATEGIES AROUND THE GLOBE. THROUGH OUR PORTFOLIO OF GLOBAL AND TRANSNATIONAL CLIMATE MITIGATION STRATEGIES AND GRANTMAKING, WE HELP FUNDERS MAXIMIZE THEIR PHILANTHROPIC IMPACT. GLOBAL GRANTMAKING ACTIVITIES INCLUDED AWARDING \$109.3 MILLION TO 241 GRANTEEES THROUGH 385 GRANTS SUPPORTING GLOBAL AND TRANSNATIONAL PROGRAMS AND INITIATIVES. THIS GRANTMAKING SUPPORTED ADVANCES IN VARIOUS AREAS ESSENTIAL TO ENDING THE CLIMATE CRISIS, INCLUDING CARBON DIOXIDE REMOVAL, COOLING, FINANCE, FOOD AND AGRICULTURE, FORESTS AND LAND USE, GOVERNANCE AND DIPLOMACY, INDUSTRY, POWER, SUPER POLLUTANTS, AND TRANSPORTATION.
FORM 990, PART III, LINE 4B:	IN 2021, CLIMATEWORKS FOUNDATION ADVANCED LAND-USE POLICIES AND PRACTICES THAT MITIGATE CLIMATE CHANGE, BENEFIT PEOPLE, AND PROTECT THE ENVIRONMENT THROUGH ITS SUPPORT FOR AND ADMINISTRATION OF THE CLIMATE AND LAND USE ALLIANCE (CLUA), A COLLABORATIVE PHILANTHROPIC INITIATIVE. THROUGH CLUA, IN 2021 CLIMATEWORKS MADE 132 GRANTS TOTALING \$18.6 MILLION TO 111 GRANTEEES FOCUSED ON HALTING AND REVERSING FOREST LOSS, ADVANCING SUSTAINABLE LAND USE AND DEVELOPMENT, AND SECURING THE RIGHTS AND LIVELIHOODS OF INDIGENOUS AND FOREST COMMUNITIES. IN ADDITION, AT COP26 IN 2021, GOVERNMENTS AND PRIVATE FUNDERS ANNOUNCED A HISTORIC US\$1.7 BILLION PLEDGE IN SUPPORT OF INDIGENOUS PEOPLES AND LOCAL COMMUNITIES. AS PART OF THE PLEDGE, DONORS COMMITTED TO DIRECTLY FUNDING COMMUNITIES AND PROMISED THEM "DECISION-MAKING AND DESIGN" AGENCY OVER CLIMATE PROGRAMS AND FINANCE INSTRUMENTS. DONORS INCLUDE THE UNITED KINGDOM, NORWAY, GERMANY, THE UNITED STATES, THE NETHERLANDS, AND 17 FUNDERS - INCLUDING CLUA MEMBERS THE FORD FOUNDATION, PACKARD FOUNDATION, MOORE FOUNDATION, AND GOOD ENERGIES FOUNDATION.
FORM 990, PART VI, SECTION A, LINE 3	DURING 2021, THE ORGANIZATION CONTRACTED WITH VESANCY FORESTRY CONSULTANTS/CHRIS ELLIOTT TO MANAGE THE CLIMATE AND LAND USE ALLIANCE. COMPENSATION PAID TO VESANCY FORESTRY CONSULTANTS DURING THE 2021 TAX YEAR IN EXCHANGE FOR THESE SERVICES TOTALED \$555,854.
FORM 990, PART VI, SECTION B, LINE 11B	THE FORM 990 IS PREPARED JOINTLY BY CLIMATEWORKS FOUNDATION STAFF AND AN OUTSIDE ACCOUNTING FIRM. THE DRAFT IS REVIEWED BY THE CFO/TREASURER, PRESIDENT & CHIEF EXECUTIVE OFFICER, AND GENERAL COUNSEL. CLIMATEWORKS' FINANCIAL MANAGEMENT AND THE ACCOUNTING FIRM'S TAX LEAD REVIEWS THE DRAFT WITH CLIMATEWORKS' AUDIT COMMITTEE. THE AUDIT COMMITTEE RECOMMENDS APPROVAL OF THE RETURN TO THE BOARD, WHO RECEIVES THE FORM 990 PRIOR TO FILING WITH THE INTERNAL REVENUE SERVICE.
FORM 990, PART VI, SECTION B, LINE 12C	CLIMATEWORKS FOUNDATION'S POLICY REQUIRES THAT ANY APPARENT OR POTENTIAL CONFLICTS OF INTEREST BE FULLY DISCLOSED BEFORE A DECISION IS MADE ON THE MATTER INVOLVED, AND THAT NO DIRECTOR, OFFICER, OR STAFF MEMBER PARTICIPATE (OTHER THAN BY PROVIDING INFORMATION) IN ANY DECISION IN WHICH HE OR SHE HAS A CONFLICT OF INTEREST. CLIMATEWORKS' CONFLICT OF INTEREST POLICY IS INCLUDED AS PART OF THE FORMAL ORIENTATION FOR ALL NEW BOARD OF DIRECTORS, OFFICERS, AND STAFF. ADDITIONALLY, ALL OFFICERS, DIRECTORS, AND STAFF ARE REQUIRED TO COMPLETE AN ANNUAL CONFLICT OF INTEREST DISCLOSURE STATEMENT. POTENTIAL OFFICER AND BOARD MEMBER CONFLICTS ARE REVIEWED BY THE CHAIR OF THE BOARD, WHO WILL INVESTIGATE THE FACTS, SEEK ADVICE FROM OUTSIDE COUNSEL AS NECESSARY, AND REPORT BACK TO THE BOARD AT THE TIME IT CONSIDERS THE TRANSACTION. AN INTERESTED DIRECTOR OR OFFICER WILL NOT PARTICIPATE IN DELIBERATIONS OR THE VOTE. POTENTIAL STAFF MEMBER CONFLICTS ARE REVIEWED BY THE PRESIDENT & CHIEF EXECUTIVE OFFICER, WHO WILL INVESTIGATE THE FACTS, SEEK ADVICE FROM OUTSIDE COUNSEL AS NECESSARY, AND TAKE APPROPRIATE ACTION IN ACCORDANCE WITH THE POLICY.
FORM 990, PART VI, SECTION B, LINE 15	THE BOARD ANNUALLY REVIEWS THE COMPENSATION OF THE PRESIDENT AND CHIEF EXECUTIVE OFFICER AND APPROVES CHANGES. CONSISTENT WITH ITS CONFLICT OF INTEREST POLICY, THE PRESIDENT AND CHIEF EXECUTIVE OFFICER, WHO IS ALSO A DIRECTOR, DID NOT PARTICIPATE IN ANY BOARD DELIBERATIONS OR VOTES REGARDING HER COMPENSATION. THE BOARD APPROVES CHANGES TO THE CFO/TREASURER'S COMPENSATION, UNLESS THE CHANGE APPLIES TO SUBSTANTIALLY ALL EMPLOYEES. THE BOARD USES THIRD-PARTY COMPENSATION SURVEYS AND COMPENSATION INFORMATION OF COMPARABLE ORGANIZATIONS, INCLUDING OTHER FOUNDATIONS, PUBLIC CHARITIES, AND NGOS TO BENCHMARK COMPENSATION FOR THESE POSITIONS. CLIMATEWORKS FOUNDATION DOCUMENTS THE DELIBERATIONS REGARDING COMPENSATION IN MINUTES OF THE MEETINGS OF ITS BOARD. COMPENSATION FOR KEY EMPLOYEES IS REVIEWED ANNUALLY BY DIRECT SUPERVISORS, WITH FINAL REVIEW BY THE PRESIDENT AND CHIEF EXECUTIVE OFFICER. THIRD-PARTY SURVEYS, COMPILING DATA FROM OTHER FOUNDATIONS, PUBLIC CHARITIES, AND NGOS ARE USED TO BENCHMARK COMPENSATION FOR EACH POSITION.
FORM 990, PART VI, SECTION C, LINE 18	CLIMATEWORKS FOUNDATION PROVIDES A COPY OF THE FORM 990 DIRECTLY TO GUIDESTAR TO PUBLISH ON ITS WEBSITE, IN ADDITION TO POSTING THE FORM 990 ON ITS WEBSITE AND PROVIDING A COPY OF THE FORM 990 UPON REQUEST BY THE GENERAL PUBLIC.
FORM 990, PART VI, SECTION C, LINE 19	CLIMATEWORKS FOUNDATION MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND AUDITED FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST.
FORM 990, PART IX, LINE 11G	PROGRAM CONSULTING: PROGRAM SERVICE EXPENSES 21,160,132. MANAGEMENT AND GENERAL EXPENSES 150. FUNDRAISING EXPENSES 40,000. TOTAL EXPENSES 21,200,282. COMMUNICATIONS: PROGRAM SERVICE EXPENSES 405,140. MANAGEMENT AND GENERAL EXPENSES 253,200. FUNDRAISING EXPENSES 397. TOTAL EXPENSES 658,737. CONSULTING AND PROFESSIONAL SERVICES: PROGRAM SERVICE EXPENSES 158,187. MANAGEMENT AND GENERAL EXPENSES 14,543. FUNDRAISING EXPENSES 9. TOTAL EXPENSES 172,739. TEMPORARY STAFFING: PROGRAM SERVICE EXPENSES 396,504. MANAGEMENT AND GENERAL EXPENSES 70,623. FUNDRAISING EXPENSES 8,841. TOTAL EXPENSES 475,968. PROGRAM MEASUREMENT AND EVALUATION: PROGRAM SERVICE EXPENSES 536,373. MANAGEMENT AND GENERAL EXPENSES 0. FUNDRAISING EXPENSES 0. TOTAL EXPENSES 536,373. RECRUITING: PROGRAM SERVICE EXPENSES 56,554. MANAGEMENT AND GENERAL EXPENSES 296,437. FUNDRAISING EXPENSES 0. TOTAL EXPENSES 352,991.
FORM 990, PART XI, LINE 9:	RECOVERY OF PRIOR YEAR GRANT EXPENSES 295,480.

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Reference

Explanation

Additional Data

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Software ID:

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**SCHEDULE R
(Form 990)**

Related Organizations and Unrelated Partnerships

OMB No. 1545-0047

2021

**Open to Public
Inspection**

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
- ▶ Attach to Form 990.
- ▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury
Internal Revenue Service

Name of the organization
CLIMATEWORKS FOUNDATION

Employer identification number

26-2303250

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) CLIMATE AND LAND USE ALLIANCE LLC 235 MONTGOMERY STREET SUITE 1300 SAN FRANCISCO, CA 94104 45-3944486	ENVIRONMENTAL	DE	57,509,112	39,956,003	CLIMATEWORKS FOUNDATION

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a** Receipt of **(i)** interest, **(ii)** annuities, **(iii)** royalties, or **(iv)** rent from a controlled entity
- b** Gift, grant, or capital contribution to related organization(s)
- c** Gift, grant, or capital contribution from related organization(s)
- d** Loans or loan guarantees to or for related organization(s)
- e** Loans or loan guarantees by related organization(s)
- f** Dividends from related organization(s)
- g** Sale of assets to related organization(s)
- h** Purchase of assets from related organization(s)
- i** Exchange of assets with related organization(s)
- j** Lease of facilities, equipment, or other assets to related organization(s)
- k** Lease of facilities, equipment, or other assets from related organization(s)
- l** Performance of services or membership or fundraising solicitations for related organization(s)
- m** Performance of services or membership or fundraising solicitations by related organization(s)
- n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
- o** Sharing of paid employees with related organization(s)
- p** Reimbursement paid to related organization(s) for expenses
- q** Reimbursement paid by related organization(s) for expenses
- r** Other transfer of cash or property to related organization(s)
- s** Other transfer of cash or property from related organization(s)

	Yes	No
1a		
1b		
1c		
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1s		

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.

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Schedule R (Form 990) 2021

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