Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 04-01-2020 , and ending 03-31-2021

Name of foundation
THE LEONA M AND HARRY B HELMSLEY
CHARITABLE TRUST

A Employer identification number
13-7184401

Number and street (or P.O. box number if mail is not delivered to street address)
230 PARK AVENUE NO 659

Room/suite

B Telephone number (see instructions)
(212) 679-3600

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10169

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 8,313,587,896

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	28,719	28,719		
	4	Dividends and interest from securities	37,385,955	97,017,480		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	659,637,776			
	b	Gross sales price for all assets on line 6a 2,071,987,213				
	7	Capital gain net income (from Part IV, line 2)		601,574,917		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	26,128,856	49,566,269		
	12	**Total.** Add lines 1 through 11	723,181,306	748,187,385		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	3,613,914	1,567,151		2,023,684
	14	Other employee salaries and wages	14,383,810	4,775,290		9,641,533
	15	Pension plans, employee benefits	6,895,039	1,685,774		5,153,874
	16a	Legal fees (attach schedule)	262,850	133,581		161,894
	b	Accounting fees (attach schedule)	619,577	266,068		266,276
	c	Other professional fees (attach schedule)	10,909,386	8,522,418		2,241,433
	17	Interest	212,495	1,803,848		0
	18	Taxes (attach schedule) (see instructions)	754,916	1,892,038		0
	19	Depreciation (attach schedule) and depletion	164,637	30,238		
	20	Occupancy	1,201,887	102,934		1,138,697
	21	Travel, conferences, and meetings	138,760	0		125,928
	22	Printing and publications				
	23	Other expenses (attach schedule)	16,774,852	45,572,654		1,654,174
	24	**Total operating and administrative expenses.** Add lines 13 through 23	55,932,123	66,351,994		22,407,493
	25	Contributions, gifts, grants paid	312,349,253			262,300,602
	26	**Total expenses and disbursements.** Add lines 24 and 25	368,281,376	66,351,994		284,708,095
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	354,899,930			
	b	**Net investment income** (if negative, enter -0-)		681,835,391		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	10,602,008	32,275,203	32,275,203
	2	Savings and temporary cash investments	77,680,854	62,457,618	62,457,618
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	979,159	1,132,903	1,132,903
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	524,476,973	736,377,294	736,377,294
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	5,217,346,465	7,438,326,657	7,438,326,657
	14	Land, buildings, and equipment: basis ▶ 4,682,311			
		Less: accumulated depreciation (attach schedule) ▶ 1,925,369	1,025,578	2,756,942	2,756,942
	15	Other assets (describe ▶)	79,660,360	40,261,279	40,261,279
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,911,771,397	8,313,587,896	8,313,587,896
Liabilities	17	Accounts payable and accrued expenses	5,889,356	11,454,781	
	18	Grants payable	335,690,225	385,738,876	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	26,766,352	48,968,356	
	23	**Total liabilities**(add lines 17 through 22)	368,345,933	446,162,013	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions	5,543,425,464	7,867,425,883	
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	5,543,425,464	7,867,425,883	
	30	**Total liabilities and net assets/fund balances** (see instructions)	5,911,771,397	8,313,587,896	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	5,543,425,464
2	Enter amount from Part I, line 27a	2	354,899,930
3	Other increases not included in line 2 (itemize) ▶	3	1,989,603,066
4	Add lines 1, 2, and 3	4	7,887,928,460
5	Decreases not included in line 2 (itemize) ▶	5	20,502,577
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	7,867,425,883

FORM

Equity Shares held outside the Tax on Accelerated Income

Name of the Company: ZEE ENTERTAINMENT ENTERPRISES LIMITED
Date of Transfer to Income Tax Authorities: 25-Oct-2021

Sr. No.	Folio / DP-Client ID	Name of Shareholder	No. of Shares	Dividend Amount
1	1201090000000100	[illegible]	1	1000.00
2	1201090000000200	[illegible]	1	1000.00
3	1201090000000300	[illegible]	1	1000.00

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**		
b	Reserved	1	9,477,512
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	9,477,512
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	9,477,512
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020 — 6a: 18,438,500		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d: 0		
7	Total credits and payments. Add lines 6a through 6d	7	18,438,500
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	8,960,988
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ 8,960,988 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	**11**	**Yes**	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	**12**		**No**
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	**13**	**Yes**	

Website address ▶ HELMSLEYTRUST.ORG

14 The books are in care of ▶ NICHOLAS J SCHIAVO CFO Telephone no. ▶ (212) 679-3600

Located at ▶ 230 PARK AVENUE SUITE 659 NEW YORK NY ZIP+4 ▶ 10169

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ **15**

			Yes	No
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	**16**	**Yes**	
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶ AG, AU, BE, BR, CA, CO, CZ, DK, EI, FR, GM, GR, HK, IN, IS, IT, JA, MX, MY, NL, NZ, NO, RP, PL, PO, QA, RS, SN, SF, KS, SP, SW, SZ, TW, TH, AE, UK			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☑ **Yes** ☐ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☑ **Yes** ☐ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ **Yes** ☐ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ **Yes** ☐ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	**1b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	**1c**		**No**
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	**2b**		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☑ **Yes** ☐ **No**			
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*	**3b**		**No**
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	**4a**		**No**
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	**4b**		**No**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☑ Yes ☐ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
SANDOR FRANKEL C/O TRUST 230 PARK AVENUE NEW YORK, NY 10169	TRUSTEE 25.00	125,000	0	0
DAVID PANZIRER C/O TRUST 230 PARK AVENUE NEW YORK, NY 10169	TRUSTEE 35.00	125,000	0	0
WALTER PANZIRER C/O TRUST 230 PARK AVENUE NEW YORK, NY 10169	TRUSTEE 32.00	125,000	0	0
STEPHANIE A CUSKLEY C/O TRUST 230 PARK AVENUE NEW YORK, NY 10169	CHIEF EXECUTIVE OFFICER 40.00	965,057	185,162	0
ROSALIND M HEWSENIAN C/O TRUST 230 PARK AVENUE NEW YORK, NY 10169	CHIEF INVESTMENT OFFICER 40.00	1,588,713	263,952	0
SARAH E PAUL C/O TRUST 230 PARK AVENUE NEW YORK, NY 10169	GENERAL COUNSEL 40.00	580,056	136,906	0
NICHOLAS J SCHIAVO C/O TRUST 230 PARK AVENUE NEW YORK, NY 10169	CHIEF FINANCIAL OFFICER 40.00	356,127	108,602	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MEENAKSHI C LAKSHMAN C/O TRUST 230 PARK AVENUE NEW YORK,NY 10169	DIR. OF STRATEGY & R 40.00	866,279	187,827	0
AL Y KIM C/O TRUST 230 PARK AVENUE NEW YORK,NY 10169	DIRECTOR OF INVESTME 40.00	861,271	187,827	0
JOSHUA W FENTON C/O TRUST 230 PARK AVENUE NEW YORK,NY 10169	DIRECTOR OF INVESTME 40.00	849,022	187,827	0
CHRISTOPHER J RAPCEWICZ C/O TRUST 230 PARK AVENUE NEW YORK,NY 10169	DIR. OF RISK MNGMT. 40.00	845,117	172,794	0
BRIAN B CHUN C/O TRUST 230 PARK AVENUE NEW YORK,NY 10169	INVESTMENT OFFICER 40.00	422,200	84,252	0

Total number of other employees paid over $50,000 ▶ 82

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
HIGHCLERE INTERNATIONAL INVESTORS 2 MANCHESTER SQUARE LONDON UK	INVESTMENT MANAGEMENT	3,001,663
T ROWE PRICE ASSOCIATES INC PO BOX 64043 BALTIMORE,MD 212644043	INVESTMENT MANAGEMENT	2,865,935
IFP GLOBAL EQUITY LP LEVEL 1 10 PORTMAN SQUARE LONDON W1H 6AZ UK	INVESTMENT MANAGEMENT	1,637,020
ORBIMED PRIVATE INVESTMENTS VI LP 601 LEXINGTON AVE 54TH FL NY,NY 100224629	INVESTMENT MANAGEMENT	1,528,039
HIG MIDDLE MARKET LBO FUND II LP 600 5TH AVE 24TH FL NEW YORK,NY 10020	INVESTMENT MANAGEMENT	1,438,870

Total number of others receiving over $50,000 for professional services. . . . . ▶ 41

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 FOR VIDEO AND DESIGN SUPPORT TO COMMUNICATE IMPACT IN FURTHERANCE OF THE FOUNDATION'S MISSION.	943,510
2 FOR TECHNICAL SUPPORT TO GRANTEES TO MEET THEIR STRATEGIC AND COMMUNICATIONS NEEDS TO DRIVE FURTHER IMPACT OF THEIR WORK.	560,008
3 FOR CONVENING EXPERTS TO EXPLORE PROGRAM PRIORITIES, SHARE RESEARCH AND IDEAS, AND BRAINSTORM NEW AREAS OF IMPACT IN SUPPORT OF OUR MISSION.	41,878
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 AKSTON BIOSCIENCES CORPORATION - PRECLINICAL TRIAL OF INSULIN-FUSION TO END AUTOIMMUNITY & IMPROVE T1D CARE	1,557,500
2 CAPILLARY BIOMEDICAL, INC. - ADVANCED EXTENDED INFUSION SET TO IMPROVE CARE IN T1D	1,500,000
All other program-related investments. See instructions. **3**	1,999,000
Total. Add lines 1 through 3 . . . . . ▶	5,056,500

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	6,710,657,638
b	Average of monthly cash balances			1b	122,236,254
c	Fair market value of all other assets (see instructions)			1c	126,672
d	**Total** (add lines 1a, b, and c)			1d	6,833,020,564
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	6,833,020,564
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	102,495,308
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	6,730,525,256
6	**Minimum investment return.** Enter 5% of line 5			6	336,526,263

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	336,526,263
2a	Tax on investment income for 2020 from Part VI, line 5	2a	9,477,512		
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	9,477,512
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	327,048,751
4	Recoveries of amounts treated as qualifying distributions			4	1,880,763
5	Add lines 3 and 4			5	328,929,514
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	328,929,514

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	284,708,095
b	Program-related investments—total from Part IX-B	1b	5,056,500
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	1,769,329
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	291,533,924
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	291,533,924

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				328,929,514
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			83,081,151	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017.				
d From 2018.				
e From 2019.				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 291,533,924				
a Applied to 2019, but not more than line 2a			83,081,151	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				208,452,773
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				120,476,741
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2016				
b Excess from 2017				
c Excess from 2018. . . .				
d Excess from 2019				
e Excess from 2020				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	**(b)** 2019	**(c)** 2018	**(d)** 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a PRI LOAN INTEREST			14		8,723
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	28,719	
4 Dividends and interest from securities	525990	1,734,019	14	35,651,936	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	21,829,255	
8 Gain or (loss) from sales of assets other than inventory	525990	14,265,112	18	645,372,664	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a GRANT RECOVERIES			01	1,880,763	
b OTHER TAXABLE INCOME			01	1,755,953	
c RENTAL INCOME SUBTENANT			16	305,476	
d FEDERAL EXCISE TAX BENEFIT			01	369,064	
e PRI LOAN INTEREST WRITE OFF					-20,378
f UBI LOSS THROUGH K-1S - NOT ON BOOKS	525990	-2,105,671	14	2,105,671	
12 Subtotal. Add columns (b), (d), and (e)		13,893,460		709,299,501	-11,655
13 **Total.** Add line 12, columns (b), (d), and (e)				13	723,181,306

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
1 A	PRI LOAN INTEREST EARNED THROUGH STRATEGIC PHILANTHROPIC INVESTMENTS
11E	WRITE OFF OF ACCRUED INTEREST ON UNCOLLECTIBLE PRI

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)	Yes	
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
1a(1)	600,000	ASSOCIATION OF DIABETES CARE & EDUCATION SPECIALISTS	GRANT IN SUPPORT OF AADE TECHNOLOGY INSTITUTE: DANA - DIABETES ADVANCED NETWORK ACCESS
1a(1)	1,110,000	SOUTH DAKOTA BROADCASTERS ASSOCIATION	GRANT FOR COVID-19 PUBLIC AWARENESS AND BEHAVIOR

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date 2022-02-09 | Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
THOMAS BLANEY		2022-02-09		P00234022

Firm's name ▶ PKF O'CONNOR DAVIES LLP — Firm's EIN ▶ 27-1728945

Firm's address ▶ 500 MAMARONECK AVENUE HARRISON, NY 105281633 — Phone no. (914) 381-8900

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DEMASCO, SENA & JAHELKA LLP	31,660	10,608		25,194
PKF O'CONNOR DAVIES, LLP	480,385	255,460		142,800
PRICEWATERHOUSECOOPERS ADVISORY LTD.	107,435	0		98,185
UKG INC. (DBA ULTIMATE SOFTWARE GROUP)	97	0		97

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Category	Amount
PERCUSENSE, INC. - DEVELOPMENT OF CONTINUOUS GLUCOSE AND KETONE METER FOR TREATMENT IN T1D	750,000
DIABETOMICS, INC. - POINT-OF-CARE RAPID TESTS FOR TID SCREENING AND INTERVENTION	500,000
BIORASIS, INC. - EARLY FEASIBILITY STUDIES OF THE GLUCOWIZZARD IN CARE FOR T1D	410,000
ZUCARA THERAPEUTICS - PRECLINICAL DEVELOPMENT OF ZT-01 TO PREVENT HYPOGLYCEMIA IN T1D	339,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
A GLIMMER OF HOPE FOUNDATION	3600 N CAPITAL OF TEXAS HWY BLDG B SUITE 330 AUSTIN, TX 78746	2019-09-09	7,923,870	INTEGRATED COMMUNITY DEVELOPMENT IN ODA BULTUM, ETHIOPIA	2,561,121	NONE	1/2020, 7/2020, 1/2021, 3/2021, 7/2021		
A GLIMMER OF HOPE FOUNDATION	3600 N CAPITAL OF TEXAS HWY BLDG B SUITE 330 AUSTIN, TX 78746	2018-02-20	5,000,000	INTEGRATED COMMUNITY DEVELOPMENT IN AMHARA, ETHIOPIA	4,234,223	NONE	1/2019, 1/2020, 2/2021		
AKSTON BIOSCIENCES CORPORATION	100 CUMMINGS CENTER SUITE 454C BEVERLY, MA 01915	2017-03-01	6,194,000	PRECLINICAL TRIAL OF INSULIN-FUSION TO END AUTOIMMUNITY & IMPROVE T1D CARE	5,256,000	NONE	10/2018, 1/2019, 4/2019, 8/2019, 10/2019, 3/2020, 10/2020, 2/2021		
ALIMENTIV INC (FKA ROBARTS)	100 DUNDAS STREET LONDON, ON N6A5B6 CA	2018-11-20	3,390,428	STENOSIS THERAPY AND ANTI-FIBROTIC RESEARCH (STAR) CONSORTIUM FOR TREATMENT OF CROHN'S	1,310,317	NONE	1/2019, 8/2019, 12/2019, 1/2020, 6/2020, 12/2020, 1/2021, 6/2021		
ASSOCIATION OF DIABETES CARE & EDUCATION SPECIALISTS	125 S WACKER DRIVE SUITE 600 CHICAGO, IL 60606	2018-07-11	2,679,637	AADE TECHNOLOGY INSTITUTE: DANA - DIABETES ADVANCED NETWORK ACCESS	2,152,012	NONE	2/2019, 8/2019, 1/2020, 8/2020, 1/2021, 6/2021		
ASITY MADAGASCAR	LOT IAB 39 TER C ANALAMHINTSY ANTANANARIVO 101 MA	2017-03-01	284,790	IMPLEMENT SUSTAINABLE MANAGEMENT STRATEGIES FOR WETLAND CONSERVATION	284,790	NONE	2/2018, 2/2019, 5/2020, 9/2021		
AUSTRALASIAN GASTRO INTESTINAL RESEARCH FOUNDATION LTD	LEVEL 2 55 VICTORIA PARADE FITZROY 3065 AS	2020-07-23	2,309,216	FECAL MICROBIOTA TRANSPLANTATION IN CROHN'S DISEASE: THE MIRO STUDY		NONE	2/2021, 8/2021		
AUSTRALASIAN GASTRO INTESTINAL RESEARCH FOUNDATION LTD	LEVEL 2 55 VICTORIA PARADE FITZROY 3065 AS	2017-02-15	3,719,569	THE ENIGMA STUDIES - EASTERN INFLAMMATORY BOWEL DISEASE GUT MICROBIOTA	3,469,534	NONE	9/2018, 3/2019, 7/2019, 11/2019, 1/2020, 4/2020, 10/2020, 11/2020, 3/2021		
BIORASIS INC	1392 STORRS RD U4213 ATL ROOM 106 STORRS, CT 06269	2018-02-06	3,016,000	EARLY FEASIBILITY STUDIES OF THE GLUCOWIZZARD IN CARE FOR T1D	1,206,466	NONE	1/2019, 7/2019, 9/2019, 2/2020, 5/2020, 8/2020, 11/2020, 2/2021, 7/2021		
CAPILLARY BIOMEDICAL INC	2 WRIGLEY IRVINE, CA 92617	2020-09-02	1,500,000	ADVANCED EXTENDED INFUSION SET TO IMPROVE CARE IN T1D	497,275	NONE	10/2020, 3/2021, 4/2021		
DIABETOMICS INC	2345 NE OVERLOOK DRIVE SUITE 140 HILLSBORO, OR 97006	2018-01-11	4,031,900	POINT-OF-CARE RAPID TESTS FOR TID SCREENING AND INTERVENTION	2,936,143	NONE	7/2018, 6/2019, 1/2020, 5/2020, 10/2020, 4/2021		
DREAMED DIABETES LTD	5 MOTA GUR ST PETAH TIKVA 4952701 IS	2016-02-01	3,585,828	MD-LOGIC PUMP GUIDE4U - ADVISORY SYSTEM TO IMPROVE CARE OF T1D	3,546,253	NONE	10/2016, 1/2017, 7/2017, 9/2017, 2/2018, 6/2018, 9/2018, 12/2019		
FEROX THERAPEUTICS	111 TOWN SQUARE PLACE SUITE 1203 PMB 1034 JERSEY CITY, NJ 07310	2018-11-20	872,300	INSULIN INDUCED HYPOGLYCEMIA PREVENTION PROGRAM	872,300	NONE	7/2019, 10/2019, 1/2020, 4/2020, 7/2020, 12/2020, 1/2021, 4/2021, 7/2021		
GCF GROUP LLC	4805 N ILLINOIS ST INDIANAPOLIS, IN 46208	2014-12-08	389,000	IMPROVING EMERGENCY FOOD ASSISTANCE IN NYC	389,000	NONE	1/2016, 9/2016, 7/2017, 10/2020		
GRAND CANYON UNIVERSITY	3300 WEST CAMELBACK ROAD PHOENIX, AZ 85017	2019-06-26	2,274,027	DEVELOPMENT OF A CONTINUOUS INSULIN-GLUCOSE SENSOR	1,410,072	NONE	6/2020, 7/2020, 7/2021		

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
INTEGRATED BIOBANK OF LUXEMBOURG (IBBL)	1 RUE LOUIS RECH DUDELANGE L-3555 LU	2017-12-19	500,817	BIOBANKING FOR THE PRIMARY ORAL INSULIN TRIAL	115,230	NONE	2/2019, 4/2020, 2/2021		
JDRF INTERNATIONAL	200 VESEY STREET 28TH FLOOR NEW YORK, NY 10281	2012-05-21	8,200,000	ORTHOGONALLY REDUNDANT GLUCOSE SENSOR TO IMPROVE CARE OF T1D	8,200,000	NONE	7/2015, 5/2016, 7/2016, 6/2017, 6/2019, 11/2019, 5/2020, 8/2020		
MEDIZINISCHE HOCHSCHULE HANNOVER	CARL NEUBERG STREET 1 HANNOVER 30625 GM	2017-12-19	420,804	CREATING T1D-SPECIFIC CHIMERIC ANTIGEN RECEPTORS FOR REGULATORY T-CELLS	420,804	NONE	3/2018, 8/2019, 2/2020, 12/2020		
NURU INTERNATIONAL	2020 PENNSYLVANIA AVE NW WASHINGTON, DC 20006	2013-11-25	4,680,506	CREATING A SELF-SUSTAINING, SCALING, AGRICULTURE-BASED DEVELOPMENT MODEL	4,680,506	NONE	6/2014, 1/2015, 11/2015, 12/2015, 1/2017, 2/2018, 1/2019, 1/2020, 6/2020		
PACIFIC DIABETES TECHNOLOGIES	12154 SW GARDEN PLACE PORTLAND, OR 97223	2014-09-08	2,867,072	DUAL USE CATHETER FOR INSULIN DELIVERY & SENSING TO IMPROVE CARE OF T1D	2,867,072	NONE	1/2015, 5/2015, 12/2015, 2/2016, 7/2016, 2/2017, 12/2017, 6/2018		
PERCUSENSE INC	25134 RYE CANYON LOOP VALENCIA, CA 91355	2020-09-02	2,500,000	DEVELOPMENT OF CONTINUOUS GLUCOSE AND KETONE METER FOR TREATMENT IN T1D	641,203	NONE	7/2021, 8/2021		
SOUTH DAKOTA BROADCASTERS ASSOCIATION	106 WEST CAPITOL AVE PIERRE, SD 57501	2020-12-03	1,110,000	COVID-19 PUBLIC AWARENESS AND BEHAVIOR		NONE	5/2021		
THE LEGATUM INSTITUTE FOUNDATION	11 CHARLES STREET LONDON W1J 5DW UK	2017-12-04	1,013,551	UNITED STATES PROSPERITY INDEX	880,575	NONE	8/2018, 1/2019, 6/2019, 7/2019, 8/2019, 1/2020, 7/2020, 12/2020, 1/2021		
XERIS PHARMACEUTICALS INC	180 NORTH LASALLE STREET SUITE 1600 CHICAGO, IL 60601	2014-02-19	421,600	RAPID ACTING INSULIN FORMULATIONS FOR TREATMENT OF DIABETES	421,600	NONE	7/2014, 8/2014, 3/2015, 7/2015, 9/2015, 5/2016		
ZUCARA THERAPEUTICS	1300-661 UNIVERSITY AVENUE TORONTO, ON M5G 0B7 CA	2018-02-06	3,900,000	PRECLINICAL DEVELOPMENT OF ZT-01 TO PREVENT HYPOGLYCEMIA IN T1D	3,900,000	NONE	9/2018,3/2019,4/2019,7/2019,10/2019,1/2020,4/2020,7/2020,2/2021,4/2021,7/202		

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Identifier	Return Reference	Explanation
CONTROLLED ENTITIES	FORM 990-PF, PART VII-A, LINE 11	THE FOLLOWING CONTROLLED ENTITIES ARE NOT EXCESS BUSINESS HOLDINGS:ACADIAN FRONTIER MARKETS EQUITY FUND260 FRANKLIN STREETBOSTON, MA 02110EIN# 20-5852756CHATHAM CO-INVEST26 MAIN STREET, SUITE 204CHATHAM, NJ 07928EIN# 85-0651355ESTATE OF LEONA M. HELMSLEY230 PARK AVENUE, SUITE 659NEW YORK, NY 10169EIN# 20-7535047HELMSLEY ENTERPRISES, INC.230 PARK AVENUE, SUITE 659NEW YORK, NY 10169EIN# 13-2665914LACM GLOBAL EQUITY FUND, LP11150 SANTA MONICA BLVD., STE. 200LOS ANGELES, CA 90025EIN# 45-3646231 LACM WORLD SMALL CAP EQUITY FUND, L.P.11150 SANTA MONICA BLVD., STE. 200LOS ANGELES, CA 90025EIN# 83-2888004MARATHON-LONDON GLOBAL SELECTORION HOUSE, 5 UPPER ST. MARTIN'S LANELONDON, UKEIN# 61-1915057MHR LONGEVITY PARTNERS1345 AVENUE OF THE AMERICAS 42ND FLOORNEW YORK, NY 10105EIN# 98-1471155NEWMARKET INTERNATIONAL INFRASTRUCTURE FINANCE COMPANY FEEDER, L.P.2929 ARCH STREET 17TH FLOORPHILADELPHIA, PA 19104EIN# 98-1142649PITANGO SPVBEIT ACKERSHTEIN - BLDG. B, 11 HAMENOFIM ST. 11TH FL.HERZLIYA, ISRAELEIN# 98-1492234
	FORM 990-PF, PART VII-B, LINE 1B	ALL TRANSACTIONS THAT REQUIRE COURT APPROVAL, HAVE RECEIVED COURT APPROVAL UNDER REG. SECTION 53.4941(D)-1(B)(3).
	FORM 990-PF, PART VIII, LINE 1 AND 2, COLUMN (C), COMPENSATION	ALL REPORTED COMPENSATION AMOUNTS INCLUDE "SALARY, FEES, BONUSES AND SEVERANCE PAYMENTS RECEIVED BY EACH PERSON LISTED," AS WELL AS CURRENT YEAR PAYMENTS OF AMOUNTS REPORTED OR REPORTABLE AS DEFERRED COMPENSATION IN CURRENT OR PRIOR YEARS.

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALPHABET INC-CL C, 2,586 SHRS	5,349,477	5,349,477
AMAZON.COM INC, 1,745 SHRS	5,399,170	5,399,170
AMERICAN TOWER CORP, 28,873 SHRS	6,902,379	6,902,379
ANSYS INC, 16,775 SHRS	5,696,119	5,696,119
BIO-TECHNE CORP, 20,584 SHRS	7,861,647	7,861,647
BLACK KNIGHT INC, 68,488 SHRS	5,067,427	5,067,427
BLACKLINE INC, 43,535 SHRS	4,719,194	4,719,194
BROOKS AUTOMATION INC, 13,673 SHRS	1,116,400	1,116,400
CBRE GROUP INC, 71,273 SHRS	5,638,407	5,638,407
CABLE ONE INC, 3,602 SHRS	6,585,753	6,585,753
CARMAX INC, 40,848 SHRS	5,418,896	5,418,896
COSTAR GROUP INC, 3,157 SHRS	2,594,707	2,594,707
CROWDSTRIKE HOLDINGS INC, 12,660 SHRS	2,310,577	2,310,577
DANAHER CORP, 32,743 SHRS	7,369,794	7,369,794
DOLLAR GENERAL CORP, 20,890 SHRS	4,232,732	4,232,732
ECOLAB INC, 26,484 SHRS	5,669,430	5,669,430
ENVESTNET INC, 24,621 SHRS	1,778,375	1,778,375
FASTENAL CO, 76,547 SHRS	3,848,783	3,848,783
GUIDEWIRE SOFTWARE INC, 18,869 SHRS	1,917,656	1,917,656
HEICO CORP, 49,158 SHRS	5,584,349	5,584,349
IDEXX LABORATORIES INC, 7,126 SHRS	3,486,823	3,486,823
ILLUMINA INC, 17,816 SHRS	6,842,413	6,842,413
INTUITIVE SURGICAL INC, 10,556 SHRS	7,800,251	7,800,251
MARKEL CORP, 6,106 SHRS	6,958,520	6,958,520
MASIMO CORP, 4,479 SHRS	1,028,647	1,028,647
MASTERCARD INC, 24,587 SHRS	8,754,201	8,754,201
MOODY'S CORP, 12,174 SHRS	3,635,278	3,635,278
OKTA INC, 9,884 SHRS	2,178,730	2,178,730
PROS HOLDINGS INC, 43,680 SHRS	1,856,400	1,856,400
QUALCOMM INC, 33,476 SHRS	4,438,583	4,438,583
ROPER TECHNOLOGIES INC, 10,261 SHRS	4,138,672	4,138,672
S&P GLOBAL INC, 18,895 SHRS	6,667,479	6,667,479
SBA COMMUNICATIONS CORP, 11,882 SHRS	3,297,849	3,297,849
TELEFLEX INC, 14,702 SHRS	6,108,093	6,108,093
THERMO FISHER SCIENTIFIC INC, 16,717 SHRS	7,629,304	7,629,304
TRANSDIGM GROUP INC, 9,526 SHRS	5,600,526	5,600,526
TWILIO INC, 4,885 SHRS	1,664,613	1,664,613
VEEVA SYSTEMS INC, 4,006 SHRS	1,046,527	1,046,527
VERISK ANALYTICS INC, 19,295 SHRS	3,409,234	3,409,234
VISA INC, 50,674 SHRS	10,729,206	10,729,206
VULCAN MATERIALS CO, 45,312 SHRS	7,646,400	7,646,400
WASTE CONNECTIONS INC, 50,079 SHRS	5,407,530	5,407,530

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WORKIVA INC, 9,854 SHRS	869,714	869,714
ATLASSIAN CORP PLC, 4,851 SHRS	1,022,397	1,022,397
BERKSHIRE HATHAWAY INC, 67,351 SHRS	17,206,160	17,206,160
BOOKING HOLDINGS INC, 3,986 SHRS	9,286,742	9,286,742
COMCAST CORP, 225,997 SHRS	12,228,698	12,228,698
DOLLAR GENERAL CORP, 58,565 SHRS	11,866,440	11,866,440
DOLLAR TREE INC, 96,035 SHRS	10,992,166	10,992,166
DOVER CORP, 39,400 SHRS	5,402,922	5,402,922
EMERSON ELECTRIC CO, 41,015 SHRS	3,700,373	3,700,373
EXPEDITORS INTERNATIONAL OF WA, 51,721 SHRS	5,569,834	5,569,834
FRESENIUS MEDICAL CARE AG & CO, 166,612 SHRS	6,144,651	6,144,651
JPMORGAN CHASE & CO, 66,691 SHRS	10,152,371	10,152,371
KONINKLIJKE PHILIPS NV, 164,894 SHRS	9,403,905	9,403,905
MASCO CORP, 234,500 SHRS	14,046,550	14,046,550
MICRON TECHNOLOGY INC, 83,959 SHRS	7,406,023	7,406,023
NESTLE SA, 41,913 SHRS	4,691,196	4,691,196
NORTHERN TRUST CORP, 71,004 SHRS	7,463,230	7,463,230
OMNICOM GROUP INC, 96,510 SHRS	7,156,217	7,156,217
PPG INDUSTRIES INC, 48,857 SHRS	7,341,253	7,341,253
PACCAR INC, 60,667 SHRS	5,637,178	5,637,178
PEPSICO INC, 37,711 SHRS	5,334,221	5,334,221
PROGRESSIVE CORP/THE, 73,335 SHRS	7,011,559	7,011,559
QUEST DIAGNOSTICS INC, 86,162 SHRS	11,058,031	11,058,031
SCHLUMBERGER LTD, 153,380 SHRS	4,170,402	4,170,402
CHARLES SCHWAB CORP/THE, 163,540 SHRS	10,659,537	10,659,537
SMITH & NEPHEW PLC, 173,236 SHRS	6,565,644	6,565,644
SONY GROUP CORP, 110,154 SHRS	11,677,426	11,677,426
TJX COS INC/THE, 94,527 SHRS	6,252,961	6,252,961
UNILEVER PLC, 168,500 SHRS	9,407,355	9,407,355
UNITEDHEALTH GROUP INC, 25,635 SHRS	9,538,014	9,538,014
ARCH CAPITAL GROUP LTD, 81,778 SHRS	3,137,822	3,137,822
EATON CORP PLC, 61,498 SHRS	8,503,943	8,503,943
CHUBB LTD, 41,766 SHRS	6,597,775	6,597,775
WOLTERS KLUWER NV, 27,579 SHRS	2,402,496	2,402,496
KIRIN HOLDINGS CO LTD, 81,630 SHRS	1,566,483	1,566,483
NINTENDO CO LTD, 18,500 SHRS	10,348,281	10,348,281
TGS NOPEC GEOPHYSICAL CO ASA, 169,383 SHRS	2,703,548	2,703,548
BRITISH AMERICAN TOBACCO PLC, 313,043 SHRS	11,981,057	11,981,057
RECKITT BENCKISER GROUP PLC, 96,006 SHRS	8,607,217	8,607,217
INFORMA PLC, 692,085 SHRS	5,345,360	5,345,360
NOVARTIS AG, 108,613 SHRS	9,321,226	9,321,226
NESTLE SA, 78,886 SHRS	8,829,465	8,829,465
CIE FINANCIERE RICHEMONT SA, 95,405 SHRS	9,198,374	9,198,374
ALCON INC, 99,067 SHRS	6,966,216	6,966,216
RICHEMONT(CIE FIN) WTS, 201,014 SHRS	76,890	76,890
ALPHABET INC-CL A, 3,015 SHRS	6,218,498	6,218,498
ALTRIA GROUP INC, 117,949 SHRS	6,034,271	6,034,271

Name of Stock	End of Year Book Value	End of Year Fair Market Value
APPLE INC, 31,069 SHRS	3,795,078	3,795,078
AUTODESK INC, 3,463 SHRS	959,770	959,770
BOOKING HOLDINGS INC, 3,495 SHRS	8,142,791	8,142,791
BRISTOL-MYERS SQUIBB CO, 120,910 SHRS	7,633,048	7,633,048
CME GROUP INC, 24,028 SHRS	4,907,238	4,907,238
CORTEVA INC, 224,902 SHRS	10,484,931	10,484,931
EBAY INC, 96,906 SHRS	5,934,523	5,934,523
ELECTRONIC ARTS INC, 43,069 SHRS	5,830,251	5,830,251
FOX CORP, 292,592 SHRS	10,565,497	10,565,497
JOHNSON & JOHNSON, 40,264 SHRS	6,617,388	6,617,388
MICROSOFT CORP, 29,790 SHRS	7,023,588	7,023,588
NEWS CORP, 340,037 SHRS	8,647,141	8,647,141
NEWS CORP, 116,381 SHRS	2,730,298	2,730,298
ORACLE CORP, 132,057 SHRS	9,266,440	9,266,440
PHILIP MORRIS INTERNATIONAL IN, 154,522 SHRS	13,712,282	13,712,282
S&P GLOBAL INC, 16,109 SHRS	5,684,383	5,684,383
WESTERN UNION CO/THE, 236,469 SHRS	5,831,326	5,831,326
AON PLC, 38,824 SHRS	8,933,791	8,933,791
ACCENTURE PLC, 927 SHRS	256,084	256,084
ACCENTURE PLC, 17,748 SHRS	4,902,885	4,902,885
AFFIRM HOLDINGS INC, 13,462 SHRS	952,033	952,033
BERKELEY LIGHTS INC, 11,200 SHRS	562,576	562,576
CROWDSTRIKE HOLDINGS INC, 7,112 SHRS	1,298,011	1,298,011
DADA NEXUS LTD, 20,224 SHRS	548,879	548,879
EVENTBRITE INC, 1,045 SHRS	23,157	23,157
FACEBOOK INC, 30,000 SHRS	8,835,900	8,835,900
GUARDANT HEALTH INC, 16,000 SHRS	2,442,400	2,442,400
PAGERDUTY INC, 45,000 SHRS	1,810,350	1,810,350
PINDUODUO INC, 25,639 SHRS	3,432,549	3,432,549
TWILIO INC, 12,855 SHRS	4,380,470	4,380,470
ZAI LAB LTD, 705 SHRS	94,068	94,068
ZOOM VIDEO COMMUNICATIONS INC, 17,000 SHRS	5,461,930	5,461,930
HARBOUR ENERGY PLC, 66,456,562 SHRS	22,187,595	22,187,595

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
JP MORGAN US LARGE CAP CORE PLUS	FMV	140,851,791	140,851,791
SPDR S&P 500 ETF TRUST	FMV	138,061,159	138,061,159
ISHARES IWM 2000 ETF	FMV	30,931,600	30,931,600
JPM CORE BOND OPEN	FMV	765,890,331	765,890,331
JPM SHORT DURATION BOND	FMV	401,851,612	401,851,612
CHATHAM	FMV	102,834,462	102,834,462
CHATHAM CO INVEST	FMV	110,001,921	110,001,921
CHATHAM OFFSHORE	FMV	102,071,514	102,071,514
GOLDENTREE OFFSHORE	FMV	7,596,072	7,596,072
BRILLIANT PARTNERS	FMV	112,924,700	112,924,700
CAMBER	FMV	134,473,402	134,473,402
JERICHO	FMV	201,183,081	201,183,081
SEQUOIA CAP GLOBAL	FMV	245,951,296	245,951,296
ACADIAN FRONTIER	FMV	132,506,219	132,506,219
HIGHCLERE INTL SC	FMV	228,237,797	228,237,797
HIGHCLERE INTL SMID	FMV	150,869,897	150,869,897
LA CAPITAL GLOBAL	FMV	146,761,836	146,761,836
LACM WORLD SMALL CAP	FMV	154,701,686	154,701,686
MARATHON GLOBAL	FMV	193,021,973	193,021,973
SILCHESTER INTL	FMV	171,986,305	171,986,305
BLACKROCK US DEBT	FMV	254,236,635	254,236,635
BAYVIEW	FMV	60,085,988	60,085,988
EIG ENERGY FUND XVI	FMV	44,526,252	44,526,252
EIG ENERGY XV	FMV	9,552,136	9,552,136
GSO CAP SOLUTION III	FMV	3,890,163	3,890,163
GSO CAPITAL SOLS II	FMV	5,163,176	5,163,176
GSO CAPITAL SOLUTION	FMV	523,997	523,997
HIG BAYSIDE III	FMV	6,992,530	6,992,530
NEWMARKET IIFC	FMV	39,473,731	39,473,731
MHR INST PARTNERS IV	FMV	42,110,860	42,110,860
ORBIMED ROYALTY II	FMV	6,439,129	6,439,129
YORK DISTRESSED III	FMV	50,202,399	50,202,399
YORK DISTRESSED IV	FMV	21,106,650	21,106,650
YORK EUROPEAN	FMV	7,410,263	7,410,263
YORK EUROPEAN II	FMV	36,920,861	36,920,861
ACCEL GROWTH IV	FMV	32,148,844	32,148,844
ACCEL GROWTH II	FMV	18,352,221	18,352,221
ACCEL GROWTH III	FMV	13,355,146	13,355,146
ACCEL GROWTH V	FMV	19,320,982	19,320,982
ACCEL INDIA V	FMV	7,754,976	7,754,976
ACCEL INDIA VI	FMV	1,937,082	1,937,082
ACCEL LEADERS	FMV	16,685,490	16,685,490
ACCEL LEADERS II	FMV	8,640,203	8,640,203
ACCEL LEADERS III	FMV	5,250,000	5,250,000
ACCEL XI	FMV	5,858,731	5,858,731
ACCEL XII	FMV	14,605,764	14,605,764
ACCEL XIII	FMV	8,445,475	8,445,475
ACCEL XIV	FMV	5,747,632	5,747,632
AH BIO FUND I	FMV	6,835,880	6,835,880
AH BIO FUND II	FMV	14,352,251	14,352,251
AH BIO III	FMV	7,156,459	7,156,459
AH FUND VII	FMV	1,827,451	1,827,451
AH III	FMV	114,014,328	114,014,328
AH III PARALLEL	FMV	21,961,520	21,961,520
AH IV	FMV	47,797,715	47,797,715
AH IV PARALLEL	FMV	24,904,170	24,904,170
AH LSV II	FMV	5,931,556	5,931,556
AH LSV I	FMV	36,856,533	36,856,533
AH V	FMV	36,148,070	36,148,070
AH V PARALLEL	FMV	24,463,033	24,463,033
AH VI	FMV	9,758,026	9,758,026
ANGELENO INV III	FMV	19,147,871	19,147,871
ARBORETUM III	FMV	7,133,618	7,133,618
ARBORETUM IV	FMV	41,444,410	41,444,410
ARBORETUM V	FMV	8,286,433	8,286,433
COLLER VI	FMV	11,134,043	11,134,043
COLLER VII	FMV	49,154,616	49,154,616
COLLER VIII	FMV	5,575,183	5,575,183
CRESTVIEW ELO	FMV	4,401,708	4,401,708
CRESTVIEW III	FMV	36,118,568	36,118,568
CRESTVIEW IV	FMV	6,008,414	6,008,414
EIG ENERGY XVII	FMV	6,331,529	6,331,529
EMERGENCE III	FMV	183,724,669	183,724,669
EMERGENCE IV	FMV	43,731,074	43,731,074
EMERGENCE V	FMV	15,463,340	15,463,340
EPIRIS II	FMV	11,431,037	11,431,037
FORTISSIMO IV	FMV	22,278,236	22,278,236
FORTISSIMO V	FMV	6,055,392	6,055,392
FRAZIER IX	FMV	15,293,850	15,293,850
FRAZIER X	FMV	6,362,671	6,362,671
GAVEA IV	FMV	1,219,040	1,219,040
GAVEA V	FMV	9,074,015	9,074,015
GAVEA V PLUS	FMV	2,684,545	2,684,545
GENERL ATLANTIC 2013	FMV	153,945,241	153,945,241
GENERL ATLANTIC 2018	FMV	116,110,607	116,110,607
GOLDEN GATE OPPS	FMV	160,389,191	160,389,191
HARBOUR ENERGY	FMV	239,895,046	239,895,046
HIG BIOVENTURE II	FMV	24,426,677	24,426,677
HIG BRAZIL	FMV	2,465,370	2,465,370
HIG BRAZIL LATAM B	FMV	14,194,425	14,194,425
HIG BRAZIL LATAM C	FMV	14,194,425	14,194,425
HIG CAPITAL V	FMV	22,891,464	22,891,464
HIG GROWTH B&E F II	FMV	35,123,015	35,123,015
HIG GROWTH III	FMV	309,980	309,980
HIG MID MARKET II	FMV	25,670,652	25,670,652
HIG MID MARKET III	FMV	1,075,176	1,075,176
IDG BREYER	FMV	3,686,325	3,686,325
IDG CHINA CAP III	FMV	27,200,980	27,200,980
IDG CHINA VTR IV	FMV	52,477,861	52,477,861
IDG CHINA VTR V	FMV	33,882,535	33,882,535
IDG-ACCEL CHINA CAP	FMV	25,492,117	25,492,117
IDG-ACCEL CHINA GWTH	FMV	33,296,162	33,296,162
IRON POINT IV	FMV	8,171,260	8,171,260
JPM PEG SECONDARY	FMV	3,834,371	3,834,371
JPM PEG SECONDARY II	FMV	8,510,110	8,510,110
JPM PEG SECONDRY III	FMV	5,867,437	5,867,437
MHR LONGEVITY	FMV	106,690,796	106,690,796
ORBIMED ASIA III	FMV	40,301,917	40,301,917
ORBIMED ASIA IV	FMV	6,209,025	6,209,025
ORBIMED ISRAEL	FMV	16,005,532	16,005,532
ORBIMED ISRAEL II	FMV	15,755,161	15,755,161
ORBIMED PI VI	FMV	29,072,926	29,072,926
ORBIMED PI VII	FMV	25,748,598	25,748,598
ORBIMED PI VIII	FMV	4,699,554	4,699,554
PEP IV CORE	FMV	25,663	25,663
PEP IV SUPPL	FMV	7,600	7,600
PEP VI	FMV	334,007	334,007
PITANGO GROWTH	FMV	51,369,380	51,369,380
PITANGO GROWTH II	FMV	8,429,629	8,429,629
PITANGO HEALTHTECH I	FMV	5,842,372	5,842,372
PITANGO SPV	FMV	17,921,947	17,921,947
PITANGO VI, LP	FMV	36,981,945	36,981,945
PITANGO VTR VII	FMV	29,464,153	29,464,153
PITANGO VTR VIII	FMV	2,134,513	2,134,513
RIDGEWOOD III	FMV	32,328,252	32,328,252
RIDGEWOOD IV	FMV	2,980,307	2,980,307
S CAPITAL	FMV	9,720,686	9,720,686
S CAPITAL II	FMV	1,293,043	1,293,043
SEQUOIA CH GWTH VI	FMV	9,545,709	9,545,709
SEQUOIA CH VTR VIII	FMV	1,653,916	1,653,916
SEQUOIA CHINA GR III	FMV	75,376,727	75,376,727
SEQUOIA CHINA GR IV	FMV	47,763,819	47,763,819
SEQUOIA CHINA GRTH V	FMV	25,462,970	25,462,970
SEQUOIA CHINA VE VII	FMV	8,979,219	8,979,219
SEQUOIA CHINA VTR IV	FMV	14,287,495	14,287,495
SEQUOIA CHINA VTR V	FMV	12,366,393	12,366,393
SEQUOIA CHINA VTR VI	FMV	8,068,567	8,068,567
SEQUOIA GBL GRTH III	FMV	98,269,819	98,269,819
SEQUOIA GG 3 CH ANX	FMV	3,070,900	3,070,900
SEQUOIA GLB GROWTH	FMV	70,356,877	70,356,877
SEQUOIA GLB GRWTH II	FMV	74,424,934	74,424,934
SEQUOIA IND GWTH III	FMV	3,984,579	3,984,579
SEQUOIA IND VTR VII	FMV	455,458	455,458
SEQUOIA INDIA V	FMV	10,520,828	10,520,828
SEQUOIA INDIA VI	FMV	5,817,966	5,817,966
SEQUOIA ISRAEL V	FMV	4,106,892	4,106,892
SEQUOIA SCOUT III	FMV	1,125,849	1,125,849
SEQUOIA SCOUT IV	FMV	28,742	28,742
SEQUOIA US GROWTH V	FMV	7,753,589	7,753,589
SEQUOIA US GRTH VIII	FMV	9,694,842	9,694,842
SEQUOIA US GRWTH VII	FMV	16,257,289	16,257,289
SEQUOIA US GWTH IX	FMV	2,010,514	2,010,514
SEQUOIA US GWTH VI	FMV	15,055,099	15,055,099
SEQUOIA US VENT XVI	FMV	3,201,607	3,201,607
SEQUOIA US VTR XIV	FMV	29,553,439	29,553,439
SEQUOIA US VTR XV	FMV	6,321,413	6,321,413
SEQUOIA US VTR XVII	FMV	552,099	552,099
SQUOIA GG 3 US IN AX	FMV	2,082,336	2,082,336
STARWOOD X	FMV	32,785,123	32,785,123
STARWOOD XI	FMV	24,577,785	24,577,785
TAURUS RESOURCES II	FMV	23,239,496	23,239,496
WTI VLL IX	FMV	10,393,562	10,393,562
WTI VLL VII	FMV	13,533,200	13,533,200
WTI VLL VIII	FMV	22,087,730	22,087,730
YORK CO INVEST 1	FMV	3,625,218	3,625,218

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
FURNITURE, FIXTURES AND EQUIPMENT	1,359,502	1,356,254	3,248	3,248
LEASEHOLD IMPROVEMENTS	1,426,808	569,115	857,693	857,693
WORK IN PROGRESS	1,896,001	0	1,896,001	1,896,001

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CURLEY, HURTGEN & JOHNSRUD LLP	0	0		2,000
DAVIS WRIGHT TREMAINE LLP	2,370	0		2,370
ERDINAST, BEN NATHAN, TOLEDANO & CO., ADVOCATES	53,939	0		50,868
HAROLD A. MERIAM III	34,564	34,564		0
LOEB & LOEB LLP	43,802	0		48,804
PATTERSON BELKNAP WEBB & TYLER LLP	22,541	0		51,235
ROPES & GRAY LLP	99,017	99,017		0
WOODS, FULLER, SHULTZ & SMITH P.C.	6,617	0		6,617

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INCOME RECEIVABLE	2,164,139	1,758,404	1,758,404
DUE FROM BROKER	61,104,240	10,708,909	10,708,909
RETIREMENT PLAN ASSET	1,107,431	1,725,098	1,725,098
ADVANCES AND OTHER RECEIVABLES	28,748	13	13
PREPAID FEDERAL EXCISE TAX	2,785,391	9,454,455	9,454,455
PROGRAM RELATED INVESTMENTS, NET OF ALLOWANCE	12,430,200	16,614,400	16,614,400
DEFERRED RENTAL INCOME	8,721		
ACCOUNTS RECEIVABLE OTHER - GRANT RECOVERY	19,835		
ACCRUED INTEREST RECEIVABLE - PRI DEBT	11,655		

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Description	Amount
DEFERRED FEDERAL EXCISE TAX	20,502,577

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AMERICAN DEPOSITARY RECEIPT FEE	11,621	11,621		0
CONSULTING REIMBURSEMENTS	32,336	0		137,239
CUSTODY, BANK, & PERFORMANCE REPORTING FEES	726,295	726,253		42
FILING FEES AND PENALTIES	3,809	0		1,510
INFORMATION TECHNOLOGY/COMPUTERS	1,269,355	222,032		951,812
INSURANCE	256,787	28,997		261,302
OFFICE EXPENSES	593,952	292,105		293,169
PORTFOLIO DEDUCTIONS	13,008,397	44,291,646		0
PROVISION FOR POSSIBLE LOSSES - PRI DEBT	872,300	0		0
TEMPORARY OFFICE HELP	0	0		9,100

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FX TRANSACTION NET GAIN	933,177	950,943	933,177
CLASS ACTION PROCEEDS, NET	53,661	53,661	53,661
OTHER PARTNERSHIP INCOME	20,842,417	35,976,287	20,842,417
SECTION 951(A) INCOME INCLUSION		10,535,604	
PRI LOAN INTEREST	8,723	8,723	8,723
GRANT RECOVERIES	1,880,763		1,880,763
OTHER TAXABLE INCOME	1,755,953	1,755,953	1,755,953
RENTAL INCOME SUBTENANT	305,476	305,476	305,476
FEDERAL EXCISE TAX BENEFIT	369,064		369,064
PRI LOAN INTEREST WRITE OFF	-20,378	-20,378	-20,378

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	1,989,603,066

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED FEDERAL EXCISE TAX	23,452,152	43,954,729
RETIREMENT PLAN LIABILITY	1,754,039	2,389,093
ACCRUED VACATION	612,911	934,558
DEFERRED RENT EXPENSE	946,933	586,204
REFUNDABLE DEPOSITS	317	317
GRANT REFUNDS PENDING MODIFICATION	0	1,103,455

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ALLISON + PARTNERS LLC (PROGRAM CONSULTING)	179,164	0		171,264
CECELIA HEALTH, INC. (PROGRAM CONSULTING)	476,306	0		452,485
COLLER VI (INVESTMENT MANAGEMENT)	577,360	577,360		0
COLLER VII (INVESTMENT MANAGEMENT)	548,497	548,497		0
DF DENT & COMPANY, INC. (INVESTMENT MANAGEMENT)	880,736	880,736		0
FIDUCIARY MANAGEMENT, INC. (INVESTMENT MANAGEMENT)	1,001,622	1,001,622		0
GAVEA INVESTMENT FUND V B L.P.	494,927	494,927		0
GLOBAL HEALTH STRATEGIES (PROGRAM CONSULTING)	348,158	0		211,026
GLOBAL STRATEGY GROUP LLC (PUBLIC RELATIONS)	247,500	0		220,000
HIG BAYSIDE III (INVESTMENT MANAGEMENT)	47,862	47,862		0
IFP GLOBAL EQUITY LP (INVESTMENT MANAGEMENT)	1,637,020	1,637,020		0
OTHER CONSULTING FEES - GENERAL MANAGEMENT	19,150	978		20,672
OTHER CONSULTING FEES - GRANTS MANAGEMENT	123,761	0		127,631
OTHER CONSULTING FEES - HUMAN RESOURCES	14,491	0		14,491
OTHER CONSULTING FEES - INFORMATION TECHNOLOGY	31,485	0		31,898
OTHER INVESTMENT RELATED CONSULTING FEES	10,950	10,950		0
OTHER PROGRAM RELATED CONSULTING FEES	205,280	0		191,037
OTHER PUBLIC RELATIONS CONSULTING FEES	82,216	0		109,884
OTHER RECRUITMENT FEES	169,476	5,135		145,929
SCOTT KAHN (PROGRAM CONSULTING)	127,050	0		130,350
SOLUTION SIMPLE (INFORMATION TECHNOLOGY)	249,830	30,786		229,766
T. ROWE PRICE ASSOCIATES INC. (INVESTMENT MANAGEMENT)	2,865,935	2,865,935		0
THE ADVERTISING COUNCIL (PROGRAM CONSULTING)	150,000	0		185,000
WILSHIRE ASSOCIATES INCORPORATED (INVESTMENT ADVISORY)	420,610	420,610		0

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE UNRELATED BUSINESS INCOME TAX	346,305	0		0
FOREIGN TAXES WITHHELD	281,611	1,892,038		0
FEDERAL UBIT	127,000	0		0

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Name	US / Foreign Address	EIN	Description	Amount
NEWMARKET INTERNATIONAL INFRASTRUCTURE FINANCE COMPANY FEEDER LP	2929 ARCH STREET 17TH FLOOR PHILADELPHIA, PA 19104	98-1142649	INCOME DISTRIBUTION	712,161
MHR LONGEVITY PARTNERS	1345 AVENUE OF THE AMERICAS 42ND FLOOR NEW YORK, NY 10105	98-1471155	$12,839,741 RETURN OF CAPITAL; $1,922,746 INCOME DISTRIBUTION	39,900,000
ACADIAN FRONTIER MARKETS EQUITY FUND	260 FRANKLIN STREET BOSTON, MA 02110	20-5852756	RETURN OF CAPITAL	1,268,075
Total				20,191,427

TY 2020 IRS 990 e-File Render

Name: THE LEONA M AND HARRY B HELMSLEY CHARITABLE TRUST

EIN: 13-7184401

Name	US / Foreign Address	EIN	Description	Amount
NEWMARKET INTERNATIONAL INFRASTRUCTURE FINANCE COMPANY FEEDER LP	2929 ARCH STREET 17TH FLOOR PHILADELPHIA, PA 19104	98-1142649	CONTRIBUTION OF CAPITAL	712,161
MHR LONGEVITY PARTNERS	1345 AVENUE OF THE AMERICAS 42ND FLOOR NEW YORK, NY 10105	98-1471155	CONTRIBUTION OF CAPITAL	39,900,000
PITANGO SPV	BEIT ACKERSHTEIN - BLDG B 11 HAMENOFIM ST 11TH FL HERZLIYA IS	98-1492234	CONTRIBUTION OF CAPITAL	10,650,000
CHATHAM CO-INVEST	26 MAIN STREET SUITE 204 CHATHAM, NJ 07928	85-0651355	CONTRIBUTION OF CAPITAL	75,000,000
ACADIAN FRONTIER MARKETS EQUITY FUND	260 FRANKLIN STREET BOSTON, MA 02110	20-5852756	MANAGEMENT FEES	1,268,075
Total				127,530,236