Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

2021

Open to Public Inspection

For calendar year 2021, or tax year beginning 01-01-2021 , and ending 12-31-2021

Name of foundation
RITA ALLEN FOUNDATION INC

A Employer identification number
13-6116429

Number and street (or P.O. box number if mail is not delivered to street address)
PO BOX 116

Room/suite

B Telephone number (see instructions)
(609) 683-8010

City or town, state or province, country, and ZIP or foreign postal code
PRINCETON, NJ 085421116

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 219,012,203

J Accounting method: ☐ Cash ☐ Accrual ☑ Other (specify) Modified Cash
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	5,644	5,644		
	4	Dividends and interest from securities . . .	1,420,465	2,034,622		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	21,866,265			
	b	Gross sales price for all assets on line 6a 74,076,378				
	7	Capital gain net income (from Part IV, line 2) . . .		23,048,738		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	586,467	341,869		
	12	**Total.** Add lines 1 through 11	23,878,841	25,430,873		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	792,038	0		792,038
	14	Other employee salaries and wages	498,891	0		498,891
	15	Pension plans, employee benefits	343,304	0		343,304
	16a	Legal fees (attach schedule)	31,913	0		31,913
	b	Accounting fees (attach schedule)	93,250	0		93,250
	c	Other professional fees (attach schedule)	1,239,837	1,205,886		2,651
	17	Interest		14,484		
	18	Taxes (attach schedule) (see instructions) . . .	307,558	49,000		0
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy	113,398	0		113,398
	21	Travel, conferences, and meetings	19,696	0		19,696
	22	Printing and publications				
	23	Other expenses (attach schedule)	533,140	478,004		532,735
	24	**Total operating and administrative expenses.** Add lines 13 through 23	3,973,025	1,747,374		2,427,876
	25	Contributions, gifts, grants paid	5,863,599			5,863,599
	26	**Total expenses and disbursements.** Add lines 24 and 25	9,836,624	1,747,374		8,291,475
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	14,042,217			
	b	**Net investment income** (if negative, enter -0-)		23,683,499		
	c	**Adjusted net income** (if negative, enter -0-) . . .				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

Section	Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	74,718	23,482	23,482
	2	Savings and temporary cash investments	21,124,100	14,713,495	14,713,495
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	95,389,758	88,917,988	88,917,988
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	75,559,478	115,351,185	115,351,185
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	6,053	6,053	6,053
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	192,154,107	219,012,203	219,012,203
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions	188,447,399	215,251,134	
	25	Net assets with donor restrictions	3,706,708	3,761,069	
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	192,154,107	219,012,203	
	30	**Total liabilities and net assets/fund balances** (see instructions)	192,154,107	219,012,203	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	192,154,107
2	Enter amount from Part I, line 27a	2	14,042,217
3	Other increases not included in line 2 (itemize) ▶	3	12,815,879
4	Add lines 1, 2, and 3	4	219,012,203
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	219,012,203

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	PUBLICLY TRADED SECURITIES			
b	INDIA ACORN FUND CAYMAN LTD	P		
c	COLCHIS INCOME FUND, LTD.	P		
d	YORK CREDIT OPPORTUNITIES	P		
e	DALTON INVESTMENTS TRUST - SERIES B	P		
	ECHO STREET GOODCO SELECT II LP	P		
	FORESITE CAPITAL FUND V,LP	P		
	FORESITE CAPITAL OPPORTUNITY FUND V,LP	P		
	FUNDSMITH EQUITY FUND, L.P.	P		
	GQG PARTNERS INTERNATIONAL EQUITY FUND	P		
	KABOUTER INTERNATIONAL INSIGHT FUND, LLC	P		
	MGG SF EVERGREEN UNLEVERED FUND LP	P		
	NEW GENERATION TURNAROUND FUND (DELAWARE) L.P.	P		
	NOVALPINA CAPITAL PARTNERS I SCSP	P		
	OWLS NEST PARTNERS LONG ONLY FUND, LP	P		
	RENAISSANCE INSTITUTIONAL EQUITIES FUND LLC	P		
	THE OVERLOOK PARTNERS FUND, L.P.	P		
	WARBURG PINCUS GLOBAL GROWTH (E&P)-2, L.P.	P		
	WARBURG PINCUS GLOBAL GROWTH, L.P.	P		
	WARBURG PINCUS PRIVATE EQUITY XII (FT-2), L.P.	P		
	WARBURG PINCUS PRIVATE EQUITY XII (INTERNATIONAL), L.P.	P		
	WARBURG PINCUS PRIVATE EQUITY XII, L.P.	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	51,150,678		32,286,409	18,864,269
b	2,000,000		1,016,608	983,392
c	1,810,173		1,535,670	274,503
d	647,018		1,272,080	-625,062
e				108,434
				816,897
				19,364
				6,219
				-4,563
				819,574
				105,911
				41,185
				201,741
				41,198
				-9,324
				554,769
				510,832
				-4,910
				307
				138,820
				2,804
				202,378

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				18,864,269
b				983,392
c				274,503
d				-625,062
e				108,434
				816,897
				19,364
				6,219
				-4,563
				819,574
				105,911
				41,185
				201,741
				41,198
				-9,324
				554,769
				510,832
				-4,910
				307
				138,820
				2,804
				202,378

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	23,048,738
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Bracket for line 1a		
	Date of ruling or determination letter: ______ **(attach copy of letter if necessary–see instructions)**		
b	All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	329,201
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	329,201
6	Credits/Payments:		
a	2021 estimated tax payments and 2020 overpayment credited to 2021	6a	336,234
b	Exempt foreign organizations—tax withheld at source	6b	0
c	Tax paid with application for extension of time to file (Form 8868) . . .	6c	100,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d	7	436,234
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . ▶	10	107,033
11	Enter the amount of line 10 to be: **Credited to 2022 estimated tax** ▶ 107,033 **Refunded** ▶	11	0

Part VI-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.	1b		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?.	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XIV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VI-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶WWW.RITAALLEN.ORG

14 The books are in care of ▶KARI WILLIAMS TREASURER Telephone no. ▶(609) 683-8010

Located at ▶PO BOX 116 PRINCETON NJ ZIP+4 ▶085421116

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	Yes	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	Yes	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021?	2a		No
	If "Yes," list the years ▶ 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2021 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	4b		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)		No
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)		No
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53.4945–5(d).*	5d		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM F GADSDEN PO BOX 116 PRINCETON, NJ 085421116	CHAIRPERSON OF THE BOARD 2.00	7,000	0	0
ELIZABETH G CHRISTOPHERSON PO BOX 116 PRINCETON, NJ 085421116	PRESIDENT & CEO 40.00	484,728	75,302	0
KARI A WILLIAMS PO BOX 116 PRINCETON, NJ 085421116	FINANCE, TREASURER 27.00	154,220	37,589	0
KATHERINE BELYI PO BOX 116 PRINCETON, NJ 085421116	SECRETARY/PRGMS & KNWL 31.00	130,090	18,683	0
ANDREW K GOLDEN PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	0	0	0
GENEVA OVERHOLSER PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	4,000	0	0
LANDON Y JONES PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	0	0	0
ROBBERT DIJKGRAAF PHD PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	4,000	0	0
RODNEY PRIESTLEY PHD PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	4,000	0	0
SAMUEL S-H WANG PHD PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	4,000	0	0
SIVAN HONG PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	0	0	0
THE HONORABLE THOMAS H KEAN PO BOX 116 PRINCETON, NJ 085421116	DIRECTOR 1.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JONATHAN A KARTT PO BOX 116 PRINCETON,NJ 085421116	PROGRAM AND EVALUATI 40.00	157,802	42,681	0
JANIE SCURTI PO BOX 116 PRINCETON,NJ 085421116	EXECUTIVE ASSISTANT 40.00	80,273	43,499	0
FATU J BADIANE MARKEY PO BOX 116 PRINCETON,NJ 085421116	SCIENCE PROGRAMS ADM 40.00	87,114	34,694	0
TAMIKA DAVIS PO BOX 116 PRINCETON,NJ 085421116	CIVIC SCIENCE NETWOR 20.00	65,590	0	0
NINA SACHDEV PO BOX 116 PRINCETON,NJ 085421116	COMMUNICATIONS 40.00	53,868	9,743	0
Total number of other employees paid over $50,000 ▶				1

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NEW PROVIDENCE ASSET MANAGEMENT LP 570 LEXINGTON AVE 5TH FL NEW YORK,NY 10022	INVESTMENT MANAGEMENT	431,967
BROWN ADVISORY MANAGEMENT LLC 901 S BOND STREET SUITE 400 BALTIMORE,MD 21231	INVESTMENT MANAGEMENT	298,878
THE COLONY GROUP LLC 201 WASHINGTON ST 11TH FL BOSTON,MA 02108	INVESTMENT MANAGEMENT	207,292
SHAKERA GRANT 7428 GARNET DRIVE JONESBORO,GA 30236	PROGRAM CONSULTANT	102,165
PKF O'CONNOR DAVIES 20 COMMERCE DRIVE SUITE 301 CRANFORD,NJ 07016	AUDIT AND TAX PREPARATION	57,250

Total number of others receiving over $50,000 for professional services. . . . . ▶

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE RITA ALLEN FOUNDATION INVESTS IN EMERGING IDEAS IN SCIENCE, SOCIAL INNOVATION, AND INFORMED CIVIC ENGAGEMENT. THE FOUNDATION WORKS WITH GRANT RECIPIENTS TO BUILD CAPACITY BEYOND FINANCIAL SUPPORT, INCLUDING PROVIDING VALUE-ADDED ACTIVITIES THROUGH CONFERENCES, PUBLISHING, AND CONTRIBUTING TO RESEARCH TO HELP GUIDE THE WORK OF EMERGING LEADERS AND ORGANIZATIONS, AS WELL AS OFFERING TECHNICAL ASSISTANCE FOR GRANT RECIPIENTS. IN 2021, THE FOUNDATION HOSTED VIRTUAL MEETINGS OF CURRENT AND FORMER RITA ALLEN FOUNDATION SCHOLARS FOCUSING ON SHARING THEIR SCIENTIFIC RESEARCH, AND THE FOUNDATION CO-ORGANIZED A CONVENING OF RESEARCHERS AND PHILANTHROPISTS TO ADVANCE BASIC RESEARCH IN THE NEUROBIOLOGY OF PAIN.	43,306
2 THE RITA ALLEN FOUNDATION IS COMMITTED TO DEVELOPING THE KNOWLEDGE AND COLLABORATION OF THE PHILANTHROPIC SECTOR AND THE FIELDS IN WHICH IT WORKS IN ORDER TO BETTER ADDRESS COMPLEX PROBLEMS. IN 2021, THE FOUNDATION CONDUCTED RESEARCH ON THE INTERACTION BETWEEN SCIENCE, TECHNOLOGY, MEDIA, PHILANTHROPY, AND CIVIL SOCIETY. THIS RESEARCH WAS SHARED VIA A FIELD NEWSLETTER AND PARTICIPATION IN MEETINGS CONVENED WITH THE NATIONAL ACADEMY OF SCIENCES AND OTHER PARTNERS, AS WELL AS AN ARTICLE IN THE STANFORD SOCIAL INNOVATION REVIEW. IN 2021, THE FOUNDATION ALSO ORGANIZED AND SUPPORTED A COHORT OF FELLOWS TO DEVELOP MORE EFFECTIVE SCIENCE COMMUNICATION RESEARCH AND PRACTICE. IN SUPPORT OF THE FELLOWS, THE FOUNDATION DEVELOPED BRIEFING PAPERS, RESOURCE COLLECTIONS, AND A SERIES OF VIRTUAL LEARNING OPPORTUNITIES. THE FOUNDATION ALSO LED AND INVESTED IN DEVELOPING COLLABORATIVE EFFORTS AMONG FUNDERS FOCUSED ON SCIENCE, CIVIC PARTICIPATION, AND AN EFFECTIVE SOCIAL SECTOR.	643,938
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	193,960,914
b	Average of monthly cash balances		1b	15,670,663
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	209,631,577
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	209,631,577
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)		4	3,144,474
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3.		5	206,487,103
6	**Minimum investment return.** Enter 5% (0.05) of line 5		6	10,324,355

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6		1	10,324,355
2a	Tax on investment income for 2021 from Part V, line 5	2a 329,201		
b	Income tax for 2021. (This does not include the tax from Part V.)	2b		
c	Add lines 2a and 2b		2c	329,201
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	9,995,154
4	Recoveries of amounts treated as qualifying distributions		4	15,088
5	Add lines 3 and 4		5	10,010,242
6	Deduction from distributable amount (see instructions)		6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1		7	10,010,242

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				10,010,242
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.			7,683,934	
b Total for prior years: 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2021:				
a From 2016.				
b From 2017.				
c From 2018.				
d From 2019.				
e From 2020.				
f **Total** of lines 3a through e	0			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ $ 8,291,475				
a Applied to 2020, but not more than line 2a			7,683,934	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2021 distributable amount				607,541
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2021. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				9,402,701
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2022.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2017				
b Excess from 2018				
c Excess from 2019. . . .				
d Excess from 2020				
e Excess from 2021				

Part XIII **Private Operating Foundations** (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b. Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2021	Prior 3 years (b) 2020	(c) 2019	(d) 2018	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XIV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
[illegible]	[illegible]	[illegible]	[illegible]	[illegible]
NATIONAL ACADEMY OF SCIENCES 500 5TH ST NW WASHINGTON,DC 20001	N/A	PC	ADVANCING ANTI-RACISM, DIVERSITY, EQUITY, AND INCLUSION IN STEM ORGANIZATIONS: A CONSENSUS STUDY	25,000
NATIONAL ACADEMY OF SCIENCES 500 5TH ST NW WASHINGTON,DC 20001	N/A	PC	NATIONAL ACADEMIES SCIENCE COMMUNICATION COLLOQUIUM	50,000
NATIONAL ALLIANCE TO END HOMELESSNESS 1518 K STREET NW 2ND FLOOR WASHINGTON,DC 20005	N/A	PC	GENERAL SUPPORT CONTRIBUTION	2,500
NATIONAL EDUCATION ALLIANCE FOR BORDERLINE PERSONALITY DISORDER 52 WHITE BEACHES DR WASHINGTON,NJ 07676	N/A	PC	GENERAL OPERATING SUPPORT	100
NETWORK OF VICTIM ASSISTANCE 2370 YORK RD SUITE B1 JAMISON,PA 18929	N/A	PC	GENERAL OPERATING SUPPORT	100
NEW CANAAN COUNTRY SCHOOL 635 FROGTOWN ROAD NEW CANAAN,CT 06840	N/A	PC	STEM CURRICULUM AND TEACHING	15,000
NEW JERSEY PERFORMING ARTS CENTER ONE CENTER STREET NEWARK,NJ 07102	N/A	PC	GENERAL OPERATING SUPPORT	30,000
NEW VENTURE FUNDSPARC 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON,DC 20036	N/A	PC	OPEN RESEARCH FUNDERS GROUP (ORFG)	25,000
OPEN ENVIRONMENTAL DATA PROJECT (GATHERING FOR OPEN SCIENCE HARDWARE FISCAL 38 S 5TH STREET HUDSON,NY 12534	N/A	PC	CIVIC VOICE AND COVID19 RESPONSE: SIDE ENVIRONMENTAL EVENTS	15,000
OPEN ENVIRONMENTAL DATA PROJECT (GATHERING FOR OPEN SCIENCE HARDWARE FISCAL 38 S 5TH STREET HUDSON,NY 12534	N/A	PC	OEDP CIVIC SCIENCE FELLOW	25,000
OPEN RESEARCH FUNDERS GROUP (NEW VENTURE FUND FISCAL SPONSOR) 734 15TH STREET NW WASHINGTON,DC 200051029	N/A	PC	CIVIC SCIENCE FELLOW, OPEN & EQUITABLE MODEL FUNDING	100,000
PEOPLE & STORIES 295 EGGERTS CROSSING ROAD LAWRENCEVILLE,NJ 08648	N/A	PC	GENERAL OPERATING SUPPORT	5,000
PHILANTHROPY FOR ACTIVE CIVIC ENGAGEMENT 1717 RHODE ISLAND AVE NW 700 WASHINGTON,DC 20036	N/A	PC	LANGUAGE PERCEPTION PROJECT	50,000
PHILANTHROPY NY 1500 BROADWAY 7TH FLOOR NEW YORK,NY 10036	N/A	PC	GRANT IN EXCESS OF BASE DUES	450
PHILANTHROPY NY 1500 BROADWAY 7TH FLOOR NEW YORK,NY 10036	N/A	PC	GRANT IN EXCESS OF BASE DUES	450
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1033 MASSACHUSETTS AVENUE 5TH FL CAMBRIDGE,MA 02138	N/A	PC	CIVIC SCIENCE FELLOW	25,000
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1033 MASSACHUSETTS AVENUE 5TH FL CAMBRIDGE,MA 02138	N/A	PC	MISINFORMATION REVIEW	50,000
PRINCETON-BLAIRSTOWN CENTER 13 ROSZEL ROAD SUITE 204A PRINCETON,NJ 08540	N/A	PC	GENERAL OPERATING SUPPORT	25,000
PULITZER CENTER 1779 MASSACHUSETTS AVE NW SUITE 615 WASHINGTON,DC 20036	N/A	PC	GENERAL OPERATING SUPPORT	5,000
RESEARCHAMERICA 241 18TH STREET SOUTH SUITE 501 ALEXANDRIA,VA 22202	N/A	PC	STRAIGHT TALK: FIGHTING HEALTH THREATS FASTER	20,000
RESEARCHAMERICA 241 18TH STREET SOUTH SUITE 501 ALEXANDRIA,VA 22202	N/A	PC	CIVIC ENGAGEMENT MICROGRANTS EVALUATION AND EARLY-CAREER SUMMIT	50,000
RESEARCHAMERICA 241 18TH STREET SOUTH SUITE 501 ALEXANDRIA,VA 22202	N/A	PC	CIVIC ENGAGEMENT MICROGRANTS (2020-2022)	100,000
RESEARCH4IMPACT 2001 W COLD SPRING LANE 516 BALTIMORE,MD 21209	N/A	PC	CREATION & IMPACT OF COLLABORATIONS BETWEEN SCIENTISTS & CIVIC LEADER	100,000
ROCKEFELLER PHILANTHROPY ADVISORS 575 MARKET STREET SUITE 3625 SAN FRANCISCO,CA 94105	N/A	PC	FUND FOR SHARED INSIGHT	100,000
ROSALIND FRANKLIN SOCIETY 140 HUGUENOT STREET NEW ROCHELLE,NY 10801	N/A	PC	RFS / MAL AWARD IN SCIENCE	50,000
ROYAL CONCERTGEBOUW ORCHESTRA FUND (RCO FUND) AT THE NETHERLANDS-AMERICAN F 505 8TH AVE SUITE 12A-05 NEW YORK,NY 10018	N/A	PC	RCO GENERAL OPERATING SUPPORT	10,000
SCIENCE PHILANTHROPY ALLIANCE (NEW VENTURE FUND FISCAL SPONSOR) 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON,DC 20036	N/A	PC	GENERAL SUPPORT CONTRIBUTION	10,000
SCIENCE PHILANTHROPY ALLIANCE (NEW VENTURE FUND FISCAL SPONSOR) 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON,DC 20036	N/A	PC	SCIENCE PHILANTHROPY ALLIANCE-CIVIC SCIENCE FELLOW	100,000
SCIENCE PHILANTHROPY ALLIANCE (NEW VENTURE FUND FISCAL SPONSOR) 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON,DC 20036	N/A	PC	SCIENCE PHILANTHROPY ALLIANCE	100,000
SCIENCE PHILANTHROPY ALLIANCE (NEW VENTURE FUND FISCAL SPONSOR) 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON,DC 20036	N/A	PC	SCIENCE PHILANTHROPY ALLIANCE	100,000
SCIENCECOUNTS INC 1735 20TH STREET NW WASHINGTON,DC 20009	N/A	PC	ASSESSING HOW AMERICANS WANT TO PARTICIPATE IN SCIENCE	50,000
SIGMA XI THE SCIENTIFIC RESEARCH HONOR SOCIETY PO BOX 13975 RESEARCH TRIANGLE PARK,NC 27709	N/A	PC	CIVIC SCIENCE FELLOW, SCIENCE POLICY AND ENGAGEMENT	100,000
SMITHSONIAN GARDENS ARCHIVES OF AMERICAN GARDENS PO BOX 37012 WASHINGTON,DC 200137012	N/A	PC	ARCHIVES OF AMERICAN GARDENS PROJECT	3,500
SOLUTIONS JOURNALISM NETWORK INC 79 MADISON AVENUE 2ND FLOOR NEW YORK,NY 10016	N/A	PC	ADVANCING EQUITY & INCLUSION IN SOLUTIONS JOURNALISM	75,000
ST JUDE CHILDREN'S RESEARCH HOSPITAL ADMINISTRATION MS 272 ROOM C-7045K 262 DANNY THOMAS PLACE MEMPHIS,TN 381053678	N/A	PC	LINDSAY SCHWARZ 2018 SCHOLAR	100,000
STANFORD UNIVERSITY OFFICE OF THE PRESIDENT BUILDING 10 STANFORD,CA 94305	N/A	PC	COVID-19 FUND TO RETAIN CLINICAL SCIENTISTS	50,000
STANFORD UNIVERSITY OFFICE OF THE PRESIDENT BUILDING 10 STANFORD,CA 94305	N/A	PC	LAUREN O'CONNELL 2019 SCHOLAR	100,000
STANFORD UNIVERSITY OFFICE OF THE PRESIDENT BUILDING 10 STANFORD,CA 94305	N/A	PC	G. HONG 2021 SCHOLAR-NEUROSCIENCE	100,000
STONY BROOK FOUNDATION 230 ADMINISTRATION STONY BROOK UNIVERSITY STONY BROOK,NY 11794	N/A	PC	CIVIC SCIENCE FELLOW: CHRONIC PAIN	42,344
THE 19TH NEWS 3267 BEE CAVES RD AUSTIN,TX 78746	N/A	PC	GENERAL OPERATING SUPPORT	15,000
THE ANDREW K DWYER FOUNDATION 108 BASSETT CREEK TRAIL HOBE SOUND,FL 33455	N/A	PC	GENERAL OPERATING SUPPORT	3,500
THE ASPEN INSTITUTE 2300 N ST NW WASHINGTON,DC 20037	N/A	PC	ASPEN INSTITUTE CIVIC SCIENCE FELLOW - OUR FUTURE IS SCIENCE	15,000
THE ASPEN INSTITUTE 2300 N ST NW WASHINGTON,DC 20037	N/A	PC	BUILDING A GLOBAL CIVIC SCIENCE COALITION BY AND FOR COMMUNITIES	35,000
THE ASPEN INSTITUTE 2300 N ST NW WASHINGTON,DC 20037	N/A	PC	ASPEN INSTITUTE CIVIC SCIENCE FELLOW - OUR FUTURE IS SCIENCE	100,000
THE COMMUNICATIONS NETWORK 1717 N NAPER BLVD SUITE 102 NAPERVILLE,IL 60563	N/A	PC	GENERAL SUPPORT CONTRIBUTION	25,000
THE DAILY PENNSYLVANIAN 4015 WALNUT ST 2ND FL PHILADELPHIA,PA 19104	N/A	PC	GENERAL OPERATING SUPPORT	4,000
THE JOHN HOPKINS UNIVERSITY JOHNS HOPKINS UNIVERSITY 242 GARLAND HALL 3400 N CHARLES STREET BALTIMORE,MD 21218	N/A	PC	JOSHUA MODELL MILTON CASSEL SCHOLAR GRANT-IMMUNOLOGY	110,000
THE MAGNUM CULTURAL FOUNDATION 59 E 4TH STREET 7W NEW YORK,NY 10003	N/A	PC	GENERAL OPERATING SUPPORT	200
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA BERKELEY OFFICE OF THE CHANCELLOR 200 CALIFORNIA HALL 1500 BERKELEY,CA 94704	N/A	PC	STEPHAN LAMMEL 2017 SCHOLAR	100,000
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK,NY 10065	N/A	PC	JEREMY ROCK 2020 SCHOLAR	100,000
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK,NY 10065	N/A	PC	LI ZHAO 2019 SCHOLAR	100,000
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK,NY 10065	N/A	PC	VIVIANA RISCA 2021 SCHOLAR-CANCER	100,000
TRENTON HEALTH TEAM ONE STATE STREET 4TH FLOOR TRENTON,NJ 08608	N/A	PC	GENERAL OPERATING SUPPORT	5,000
UMASS MEMORIAL FOUNDATION INC (UNIVERSITY OF MASSACHUSETTS MEDICAL SCHOOL) ONE BIOTECH 365 PLANTATION ST STE 100 WORCESTER,MA 01605	N/A	PC	PAUL GREER 2018 SCHOLAR	100,000
UNITED JEWISH APPEAL 130 EAST 59TH ST NEW YORK,NY 10022	N/A	PC	HOMES FOR AGED	90,000
UNITED STATES ASSOCIATION FOR THE STUDY OF PAIN 7 GLADDEN ROAD ANNAPOLIS,MD 21401	N/A	PC	US ASSOCIATION FOR THE STUDY OF PAIN PARTNERSHIP	10,000
UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILMAN DRIVE MAIL CODE 0009 LA JOLLA,CA 920930009	N/A	PC	DR. MATTHEW BANGHART 2019 SCHOLAR	100,000
UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILMAN DRIVE MAIL CODE 0009 LA JOLLA,CA 920930009	N/A	PC	RICHARD DANEMAN 2017 SCHOLAR	110,000
UNIVERSITY OF MICHIGAN 2074 FLEMING ADMINISTRATION BUILDING 503 THOMPSON STREET ANN ARBOR,MI 48109	N/A	PC	MONICA DUS 2016 SCHOLAR	110,000
UNIVERSITY OF OREGON FOUNDATION 1585 E 13TH AVE EUGENE,OR 97403	N/A	PC	GENERAL SUPPORT CONTRIBUTION	25,000
UNIVERSITY OF RHODE ISLAND FOUNDATION 79 UPPER COLLEGE ROAD KINGSTON,RI 02881	N/A	PC	2021 INCLUSIVE SCICOMM SYMPOSIUM	15,000
UNIVERSITY OF TEXAS FOUNDATION 800 WEST CAMPBELL ROAD RICHARDSON,TX 75080	N/A	PC	DR. MICHAEL BURTON 2019 PAIN SCHOLAR	50,000
UNIVERSITY OF TEXAS MD ANDERSON CANCER CENTER 1515 HOLCOMBE BLVD HOUSTON,TX 77030	N/A	PC	ANDREW SHEPHERD 2020 SCHOLAR	50,000
UNIVERSITY OF WISCONSIN 240 AGRICULTURAL HALL 1450 LINDEN DRIVE MADISON,WI 53706	N/A	PC	CIVIC SCIENCE FELLOWS PROGRAM WORK	21,815
UNIVERSITY OF WISCONSIN 240 AGRICULTURAL HALL 1450 LINDEN DRIVE MADISON,WI 53706	N/A	PC	NATIONAL ACADEMIES CIVIC SCIENCE FELLOW	50,000
WASHINGTON UNIVERSITY IN ST LOUIS CAMPUS BOX 1192 ST LOUIS,MO 63130	N/A	PC	DR. MEAGHAN CREED 2019 PAIN SCHOLAR	50,000
WEILL CORNELL MEDICAL COLLEGE 1300 YORK AVENUE NEW YORK,NY 10065	N/A	PC	LISTON, CONOR 2017 SCHOLAR	100,000
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON,MA 02135	N/A	PC	SCIENCE FILMMAKING COMMUNITY LANDSCAPE ANALYSIS	75,000
WILLIAMS COLLEGE ALUMNI FUND 75 PARK ST WILLIAMSTOWN,MA 01267	N/A	PC	GENERAL OPERATING SUPPORT	100
WRIGHTSTOWN FRIENDS NURSERY SCHOOL C/O 90 STEEPLECHASE DR DOYLESTOWN,PA 18901	N/A	PC	GENERAL OPERATING SUPPORT	100
YALE UNIVERSITY PO BOX 208229 NEW HAVEN,CT 065208229	N/A	PC	GRACE CHEN 2020 SCHOLAR	100,000
YALE UNIVERSITY PO BOX 208229 NEW HAVEN,CT 065208229	N/A	PC	ELLEN FOXMAN 2021 SCHOLAR - IMMUNOLOGY	100,000
Total			▶ 3a	5,863,599
b *Approved for future payment*				
AGORA INSTITUTE AT JOHNS HOPKINS UNIVERSITY 3400 N CHARLES STREET BALTIMORE,MD 21218	N/A	PC	CIVIC SCIENCE FELLOWS	18,750
AGORA INSTITUTE AT JOHNS HOPKINS UNIVERSITY 3400 N CHARLES STREET BALTIMORE,MD 21218	N/A	PC	CIVIC SCIENCE FELLOWS	68,750
APLU 1220 L ST NW SUITE 1000 WASHINGTON,DC 20005	N/A	PC	CIVIC SCIENCE FELLOW	5,000
APLU 1220 L ST NW SUITE 1000 WASHINGTON,DC 20005	N/A	PC	CIVIC SCIENCE FELLOW	20,000
ASPEN INSTITUTE 2300 N ST NW WASHINGTON,DC 20037	N/A	PC	ASPEN INSTITUTE CIVIC SCIENCE FELLOW - OUR FUTURE IS SCIENCE	5,750
ASPEN INSTITUTE 2300 N ST NW WASHINGTON,DC 20037	N/A	PC	ASPEN INSTITUTE CIVIC SCIENCE FELLOW - OUR FUTURE IS SCIENCE	9,250
ASPEN INSTITUTE 2300 N ST NW WASHINGTON,DC 20037	N/A	PC	ASPEN INSTITUTE CIVIC SCIENCE FELLOW - OUR FUTURE IS SCIENCE - CSAC	10,000
BOSTON UNIVERSITY COLLEGE OF COMMUNICATION 1 SILBER WAY 9TH FLOOR BOSTON,MA 02215	N/A	PC	SCIENCE MISINFORMATION: PREVALENT MISCONCEPTIONS AND EFFECTIVE MITIGATION STRATEGIES	5,000
BOSTON UNIVERSITY COLLEGE OF COMMUNICATION 1 SILBER WAY 9TH FLOOR BOSTON,MA 02215	N/A	PC	SCIENCE MISINFORMATION: PREVALENT MISCONCEPTIONS AND EFFECTIVE MITIGATION STRATEGIES	20,000
CIENCIA PUERTO RICO (CENTER FOR A NEW ECONOMY INC FISCAL SPONSOR) AV QUISQUEYA SAN JUAN,PR 00919	N/A	PC	CIVIC SCIENCE FELLOW	18,750
CIENCIA PUERTO RICO (CENTER FOR A NEW ECONOMY INC FISCAL SPONSOR) AV QUISQUEYA SAN JUAN,PR 00919	N/A	PC	CIVIC SCIENCE FELLOW	68,750
FULBRIGHT US UK FOUNDATION 3701 SACRAMENTO STREET 436 SAN FRANCISCO,CA 94118	N/A	PC	CIVIC SCIENCE STORYTELLING FELLOW	18,750
FULBRIGHT US UK FOUNDATION 3701 SACRAMENTO STREET 436 SAN FRANCISCO,CA 94118	N/A	PC	CIVIC SCIENCE STORYTELLING FELLOW	68,750
FUTURE PROOF INSTITUTE 3027 SAINT JOHNS AVE JACKSONVILLE,FL 32205	N/A	PC	C/CORPS NETWORK DESIGN	60,000
GENUNITY (RETHINK CHARITY FISCAL SPONSOR) 492 ADAMS MAIL CTR CAMBRIDGE,MA 02138	N/A	PC	BUILDING LIFELONG LEARNING COMMUNITIES FOR CIVIC ACTION	25,000
KAISER FAMILY FOUNDATION 185 BERRY STREET SUITE 2000 SAN FRANCISCO,CA 94107	N/A	PC	BCBS OF MASSACHUSETTS FOUNDATION HEALTH COVERAGE FELLOWSHIP	10,000
MEDIA IMPACT FUNDERS 200 W WASHINGTON SQUARE SUITE 220 PHILADELPHIA,PA 19106	N/A	PC	BUILDING THE KNOWLEDGE AND CAPACITY OF FUNDERS SUPPORTING PUBLIC ENGAGEMENT WITH EVIDENCE	75,000
NATIONAL ACADEMY OF SCIENCES 500 5TH ST NW WASHINGTON,DC 20001	N/A	PC	ADVANCING ANTI-RACISM, DIVERSITY, EQUITY, AND INCLUSION IN STEM ORGANIZATIONS: A CONSENSUS STUDY	25,000
NATIONAL ACADEMY OF SCIENCES 500 5TH ST NW WASHINGTON,DC 20001	N/A	PC	NATIONAL ACADEMIES SCIENCE COMMUNICATION COLLOQUIUM	50,000
NATIONAL ACADEMY OF SCIENCES 500 5TH ST NW WASHINGTON,DC 20001	N/A	PC	SPONSOR INFLUENCES ON THE QUALITY AND INDEPENDENCE OF HEALTH RESEARCH: A WORKSHOP	50,000
OPEN RESEARCH FUNDERS GROUP (NVF AS FS) 734 15TH STREET NW WASHINGTON,DC 200051029	N/A	PC	CIVIC SCIENCE FELLOW, OPEN & EQUITABLE MODEL FUNDING	87,500
PACE (PHILANTHROPY FOR ACTIVE CIVIC ENGAGEMENT) 1717 RHODE ISLAND AVE NW SUITE 700 WASHINGTON,DC 20036	N/A	PC	LANGUAGE PERCEPTION PROJECT	25,000
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1033 MASSACHUSETTS AVENUE 5TH FL CAMBRIDGE,MA 02138	N/A	PC	CIVIC SCIENCE FELLOW	5,000
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1033 MASSACHUSETTS AVENUE 5TH FL CAMBRIDGE,MA 02138	N/A	PC	GENERAL SUPPORT CONTRIBUTION	10,000
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1033 MASSACHUSETTS AVENUE 5TH FL CAMBRIDGE,MA 02138	N/A	PC	CIVIC SCIENCE FELLOW	20,000
SCIENCE PHILANTHROPY ALLIANCE (NEW VENTURE FUND FISCAL SPONSOR) 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON,DC 20036	N/A	PC	CIVIC SCIENCE FELLOW	18,750
SCIENCE PHILANTHROPY ALLIANCE (NEW VENTURE FUND FISCAL SPONSOR) 1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON,DC 20036	N/A	PC	CIVIC SCIENCE FELLOW	68,750
SIGMA XI THE SCIENTIFIC RESEARCH HONOR SOCIETY PO BOX 13975 RESEARCH TRIANGLE PARK,NC 27709	N/A	PC	CIVIC SCIENCE FELLOW, SCIENCE POLICY & ENGAGEMENT	100,000
UNIVERSITY OF WISCONSIN 240 AGRICULTURAL HALL 1450 LINDEN DRIVE MADISON,WI 53706	N/A	PC	NATIONAL ACADEMIES CIVIC SCIENCE FELLOW	7,855
UNIVERSITY OF WISCONSIN 240 AGRICULTURAL HALL 1450 LINDEN DRIVE MADISON,WI 53706	N/A	PC	NATIONAL ACADEMIES CIVIC SCIENCE FELLOW	100,000
Total			▶ 3b	1,075,355

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
1 Program service revenue:	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	5,644	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	21,866,265	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a GRANT REFUNDS			01	15,088	
b OTHER MISCELLANEOUS INCOME			01	855	
c PAID FAMILY LEAVE REFUND			01	10,493	
d					
e					
12 Subtotal. Add columns (b), (d), and (e) . .		0		23,878,841	0

13 Total. Add line 12, columns (b), (d), and (e). 13 23,878,841

(See worksheet in line 13 instructions to verify calculations.)

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash.	**1a(1)**		**No**
	(2) Other assets.	**1a(2)**		**No**
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
	(4) Reimbursement arrangements.	**1b(4)**		**No**
	(5) Loans or loan guarantees.	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee	Date: 2022-10-17	Title

May the IRS discuss this return with the preparer shown below? See instructions. ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
CHRISTOPHER PETERMANN				P00097440

Firm's name ▶ PKF O'CONNOR DAVIES LLP	Firm's EIN ▶27-1728945
Firm's address ▶ 20 COMMERCE DRIVE CRANFORD, NJ 07016	Phone no. (908) 272-6200

Additional Data

Return to Form

Software ID:
Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOOKKEEPING	36,000	0		36,000
FINANCIAL STATEMENT AUDIT AND TAX RETURNS PREPARATION	57,250	0		57,250

TY 2021 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC
EIN: 13-6116429

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABORATORIES (ABT) 3079 SHARES	433,338	433,338
ABBVIE INC (ABBV) 5057 SHARES	684,718	684,718
ACCENTURE PLC (ACN) 4055 SHARES	1,681,000	1,681,000
ADOBE INC (ADBE) 673 SHARES	381,631	381,631
AERCAP HOLDINGS NV (AER) 8678 SHARES	567,715	567,715
AGILENT TECHNOLOGIES INC (A) 2448 SHARES	390,823	390,823
AIRBNB INC (ABNB) 1821 SHARES	303,178	303,178
ALIBABA GROUP HOLDING LTD (BABA) 3048 SHARES	362,072	362,072
ALIGN TECHNOLOGY INC (ALGN) 221 SHARES	145,237	145,237
ALPHABET INC (GOOG) 1473 SHARES	4,264,259	4,264,259
AMAZON.COM INC (AMZN) 832 SHARES	2,774,171	2,774,171
AMERICAN TOWER CORP (AMT) 2153 SHARES	629,752	629,752
AMERIPRISE FINANCIAL INC (AMP) 6771 SHARES	2,042,540	2,042,540
ANALOG DEVICES INC (ADI) 4712 SHARES	828,228	828,228
ANTHEM INC (ANTM) 1776 SHARES	823,247	823,247
APPLE INC (AAPL) 19425 SHARES	3,449,297	3,449,297
APPLIED MATERIALS INC (AMAT) 2581 SHARES	406,146	406,146
APPLOVIN CORP (APP) 5089 SHARES	479,689	479,689
APTIV PLC (APTV) 2065 SHARES	340,622	340,622
ASML HOLDING NV (ASML) 100 SHARES	79,614	79,614
AUTODESK INC (ADSK) 934 SHARES	262,631	262,631
AUTOMATIC DATA PROCESSING INC (ADP) 3814 SHARES	940,456	940,456
BANK OF AMERICA CORP (BAC) 40731 SHARES	1,812,122	1,812,122
BECTON DICKINSON AND CO (BDX) 3689 SHARES	194,595	194,595
BED BATH & BEYOND INC (BBBY) 17053 SHARES	248,633	248,633
BERKSHIRE HATHAWAY INC (BRK/B) 4323 SHARES	1,292,576	1,292,576
BEST BUY CO INC (BBY) 5245 SHARES	532,892	532,892
BLACKSTONE INC (BX) 11220 SHARES	1,451,756	1,451,756
BLOCK INC (SQ) 1637 SHARES	264,392	264,392
BOOKING HOLDINGS INC (BKNG) 391 SHARES	938,099	938,099
BRIGHT HORIZONS FAMILY SOLUTIO (BFAM) 3245 SHARES	408,481	408,481
CANADIAN NATIONAL RAILWAY CO (CNI) 3002 SHARES	368,826	368,826
CARLYLE GROUP INC/THE (CG) 7320 SHARES	401,868	401,868
CARMAX INC (KMX) 7397 SHARES	963,311	963,311
CARRIER GLOBAL CORP (CARR) 12858 SHARES	697,418	697,418
CATALENT INC (CTLT) 1648 SHARES	210,993	210,993
CDW CORP/DE (CDW) 550 SHARES	112,629	112,629
CHUBB LTD (CB) 3494 SHARES	675,425	675,425
CHURCH & DWIGHT CO INC (CHD) 800 SHARES	82,000	82,000
CISCO SYSTEMS INC (CSCO) 15559 SHARES	985,974	985,974
CIT GROUP INC () 5647 SHARES	289,917	289,917
CLARIVATE PLC (CLVT) 13784 SHARES	324,200	324,200
CME GROUP INC (CME) 425 SHARES	97,095	97,095
COMCAST CORP (CMCSA) 10200 SHARES	513,366	513,366
COSTAR GROUP INC (CSGP) 4369 SHARES	345,282	345,282
CROWN CASTLE INTERNATIONAL COR (CCI) 1751 SHARES	365,504	365,504
CUMMINS INC (CMI) 1958 SHARES	427,118	427,118
CVS HEALTH CORP (CVS) 5667 SHARES	584,608	584,608
DANAHER CORP (DHR) 1169 SHARES	384,613	384,613
DOCUSIGN INC (DOCU) 778 SHARES	118,497	118,497
DOMINION ENERGY INC (D) 6409 SHARES	503,491	503,491
DOW INC (DOW) 6437 SHARES	365,107	365,107
EDWARDS LIFESCIENCES CORP (EW) 10743 SHARES	1,391,756	1,391,756
ELI LILLY & CO (LLY) 225 SHARES	62,149	62,149
EOG RESOURCES INC (EOG) 1325 SHARES	117,700	117,700
ERIE INDEMNITY CO (ERIE) 1227 SHARES	236,394	236,394
FIDELITY NATIONAL INFORMATION (FIS) 550 SHARES	60,032	60,032
FIRST CITIZENS BANCSHARES INC/ (FCNCA) 357 SHARES	296,253	296,253
FISERV INC (FISV) 1050 SHARES	108,980	108,980
GARTNER INC (IT) 713 SHARES	238,370	238,370
GILEAD SCIENCES INC (GILD) 4162 SHARES	302,203	302,203
HALOZYME THERAPEUTICS INC (HALO) 8356 SHARES	335,995	335,995
HEICO CORP (HEI/A) 2222 SHARES	285,571	285,571
HOME DEPOT INC/THE (HD) 1946 SHARES	807,609	807,609
ILLUMINA INC (ILMN) 380 SHARES	144,567	144,567
INTUIT INC (INTU) 1263 SHARES	812,387	812,387
INTUITIVE SURGICAL INC (ISRG) 491 SHARES	176,416	176,416
IQVIA HOLDINGS INC (IQV) 1493 SHARES	421,235	421,235
JOHNSON & JOHNSON (JNJ) 2904 SHARES	496,787	496,787
JPMORGAN CHASE & CO (JPM) 11594 SHARES	1,835,910	1,835,910
KINDER MORGAN INC (KMI) 26888 SHARES	426,444	426,444
KKR & CO INC (KKR) 18614 SHARES	1,427,046	1,427,046
L3HARRIS TECHNOLOGIES INC (LHX) 1149 SHARES	245,013	245,013
LAM RESEARCH CORP (LRCX) 509 SHARES	366,047	366,047
LENNAR CORP (LEN) 550 SHARES	63,888	63,888
LINDE PLC (LIN) 2142 SHARES	742,053	742,053
LITHIA MOTORS INC (LAD) 1220 SHARES	362,279	362,279
LOWE'S COS INC (LOW) 7203 SHARES	1,861,831	1,861,831
MARTIN MARIETTA MATERIALS INC (MLM) 856 SHARES	377,085	377,085
MASTERCARD INC (MA) 7210 SHARES	2,590,697	2,590,697
MCDONALD'S CORP (MCD) 2611 SHARES	699,931	699,931
MEDTRONIC PLC (MDT) 4307 SHARES	445,559	445,559
MERCK & CO INC (MRK) 14743 SHARES	1,129,904	1,129,904
META PLATFORMS INC (META) 6027 SHARES	2,027,181	2,027,181
MICROSOFT CORP (MSFT) 17628 SHARES	5,928,649	5,928,649
MOODY'S CORP (MCO) 275 SHARES	107,409	107,409
MOTOROLA SOLUTIONS INC (MSI) 525 SHARES	142,642	142,642
MSCI INC (MSCI) 208 SHARES	127,440	127,440
NETFLIX INC (NFLX) 368 SHARES	221,698	221,698
NEXTERA ENERGY INC (NEE) 650 SHARES	60,684	60,684
NIKE INC (NKE) 1051 SHARES	175,170	175,170
NOMAD FOODS LTD (NOMD) 23352 SHARES	592,907	592,907
NOVARTIS AG (NVS) 5827 SHARES	509,688	509,688
NVIDIA CORP (NVDA) 2358 SHARES	693,511	693,511
OTIS WORLDWIDE CORP (OTIS) 6533 SHARES	568,828	568,828
PALO ALTO NETWORKS INC (PANW) 796 SHARES	443,181	443,181
PAYPAL HOLDINGS INC (PYPL) 5716 SHARES	1,077,923	1,077,923
PINTEREST INC (PINS) 9556 SHARES	347,361	347,361
PROCTER & GAMBLE CO/THE (PG) 4674 SHARES	764,573	764,573
QORVO INC (QRVO) 2369 SHARES	370,488	370,488
RAYTHEON TECHNOLOGIES CORP (RTX) 1325 SHARES	114,030	114,030
REYNOLDS CONSUMER PRODUCTS INC (REYN) 11779 SHARES	369,861	369,861
ROPER TECHNOLOGIES INC (ROP) 225 SHARES	110,668	110,668
SALESFORCE INC (CRM) 3869 SHARES	983,229	983,229
SBA COMMUNICATIONS CORP (SBAC) 1505 SHARES	585,475	585,475
SERVICENOW INC (NOW) 455 SHARES	295,345	295,345
SIGNATURE BANK/NEW YORK NY (SBNY) 520 SHARES	168,204	168,204
SKYWORKS SOLUTIONS INC (SWKS) 2278 SHARES	353,409	353,409
SOUTHWEST AIRLINES CO (LUV) 6124 SHARES	262,352	262,352
SPLUNK INC (SPLK) 2405 SHARES	278,307	278,307
STARBUCKS CORP (SBUX) 1742 SHARES	203,762	203,762
STERICYCLE INC (SRCL) 6409 SHARES	382,233	382,233
STRYKER CORP (SYK) 375 SHARES	100,282	100,282
SUNCOR ENERGY INC (SU) 23105 SHARES	578,318	578,318
T ROWE PRICE GROUP INC (TROW) 2509 SHARES	493,370	493,370
TAIWAN SEMICONDUCTOR MANUFACTU (TSM) 6836 SHARES	822,439	822,439
TARGET CORP (TGT) 4054 SHARES	938,258	938,258
TJX COS INC/THE (TJX) 7952 SHARES	603,716	603,716
T-MOBILE US INC (TMUS) 3016 SHARES	349,796	349,796
TRANSDIGM GROUP INC (TDG) 773 SHARES	491,844	491,844
TRANSUNION (TRU) 700 SHARES	83,006	83,006
UNILEVER PLC (UL) 6042 SHARES	324,999	324,999
UNION PACIFIC CORP (UNP) 425 SHARES	107,070	107,070
UNITED PARCEL SERVICE INC (UPS) 3170 SHARES	679,458	679,458
UNITED RENTALS INC (URI) 1737 SHARES	577,188	577,188
UNITEDHEALTH GROUP INC (UNH) 4547 SHARES	2,283,231	2,283,231
UPSTART HOLDINGS INC (UPST) 2606 SHARES	394,288	394,288
VISA INC (V) 10243 SHARES	2,219,761	2,219,761
WALT DISNEY CO/THE (DIS) 4708 SHARES	729,222	729,222
WAYFAIR INC (W) 1903 SHARES	361,513	361,513
WELLS FARGO & CO (WFC) 13249 SHARES	635,687	635,687
WP CAREY INC (WPC) 5272 SHARES	432,568	432,568
ZOETIS INC (ZTS) 1420 SHARES	346,523	346,523

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ASM CONNAUGHT HOUSE FUND III	FMV	2,416,965	2,416,965
BIRCH GROVE CREDIT STRATEGIES	FMV	3,139,864	3,139,864
BOND CAPITAL FUND LP	FMV	1,947,864	1,947,864
BOND II, LP	FMV	590,808	590,808
COLCHIS INCOME FUND LTD	FMV	1,014,257	1,014,257
DALTON INVESTMENTS TRUST	FMV	2,961,754	2,961,754
DG VALUE PARTNERS II OFFSHORE FUND	FMV	2,707,600	2,707,600
ECHO STREET II	FMV	8,255,496	8,255,496
FHLMC 2.500% 12/01/2051 449000 UNITS	FMV	459,242	459,242
FHLMC 2.500% 12/01/2051 493000 UNITS	FMV	504,245	504,245
FHLMC 3.000% 08/15/2047 408596.594 UNITS	FMV	430,534	430,534
FNMA 2.500% 11/01/2051 309000 UNITS	FMV	316,048	316,048
FNMA 3.500% 12/25/2041 692735.664 UNITS	FMV	729,354	729,354
FORESITE CAPITAL FUND V LP	FMV	628,633	628,633
FUNDSMITH EQUITY FUND LP CLASS	FMV	5,271,657	5,271,657
GNMA 07/20/2051 1211262.983 UNITS	FMV	62,538	62,538
GNMA 11/20/2051 1719000 UNITS	FMV	1,716,507	1,716,507
GNMA 11/20/2051 1816644.29 UNITS	FMV	1,814,010	1,814,010
GNMA 12/20/2051 1719000 UNITS	FMV	1,718,463	1,718,463
GNMA 3.000% 09/20/2051 488223.656 UNITS	FMV	68,898	68,898
GNMA 3.500% 10/20/2051 365825.639 UNITS	FMV	62,534	62,534
GQG PARTNERS INTERNATIONAL	FMV	4,375,309	4,375,309
INDIA ACORN FUND (CAYMAN) LTD	FMV	6,482,334	6,482,334
INNOVATION ENDEAVORS IV LP	FMV	208,465	208,465
KABOUTER INT'L OPPORTUNITIES	FMV	2,837,325	2,837,325
MAINGATE MLP FUND-I	FMV	2,990,159	2,990,159
MGG SF EVERGREEN UNLEVERED	FMV	3,893,234	3,893,234
NEW GENERATION TURNAROUND FUND	FMV	633,815	633,815
NOVALIS LIFESCIENCES INVESTMENTS II, LP	FMV	155,761	155,761
NOVALPINA CAPITAL PTNRS I SCSP	FMV	1,500,743	1,500,743
ORCHARD LIQUID CREDIT FUND	FMV	2,126,229	2,126,229
OVERLOOK PARTNERS FD LP	FMV	4,065,362	4,065,362
OWLS NEST PARTNERS	FMV	2,604,599	2,604,599
SEASONED CREDIT RISK 2.500% 08/25/2059 1140848.864 UNITS	FMV	1,163,962	1,163,962
SEASONED CREDIT RISK 3.500% 05/25/2057 713140.12 UNITS	FMV	754,631	754,631
THIRD POINT OFFSHORE FUND LTD	FMV	3,086,570	3,086,570
TP STRUCTURED CREDIT OFFSHORE	FMV	2,461,162	2,461,162
VANGUARD ST TRSY INDX	FMV	23,502,819	23,502,819
WARBURG PINCUS GLOBAL GROWTH	FMV	2,063,915	2,063,915
WARBURG PINCUS PE XII LP	FMV	3,472,557	3,472,557
WF ASAIN SMALLER COMPANIES	FMV	3,449,915	3,449,915
YORK CREDIT OPPORUTUNITIES	FMV	390,135	390,135
YORK DIST ASSET IV FD CYM LTD	FMV	1,906,379	1,906,379
YORK DISTRESSED ASSET FD III	FMV	2,909,270	2,909,270
YORK EUROPEAN DISTRESSED	FMV	1,499,264	1,499,264

TY 2021 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL AND ADMINISTRATIVE LEGAL COUNSEL	31,913	0		31,913

TY 2021 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITY DEPOSIT	6,053	6,053	6,053

TY 2021 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	14,174	0		14,174
OFFICE EXPENSES	7,334	0		7,334
MEMBERSHIPS AND DUES	29,829	0		29,829
ANNUAL REPORT FILING	1,533	0		1,533
SCIENTIFIC ADVISORY HONORARIA	42,000	0		42,000
IT SERVICE & WEB DESIGN	13,200	0		13,200
PAYROLL SERVICE	4,175	0		4,175
GRANT SOFTWARE & WEB SERVICES	38,178	0		38,178
OFFICE FURNITURE AND EQUIPMENT	16,282	0		16,282
PROGRAM/COMMUNICATIONS EXPENSE	109,906	0		109,906
SCHOLARS WORKSHOP	5,551	0		5,551
OTHER INVESTMENT EXPENSES THRU SCHEDULE K-1S	0	477,599		0
DIRECT CHARITABLE ACTIVITES	215,573	0		215,573
BANK FEES	405	405		0
STAFFING RESOURCES	35,000	0		35,000

TY 2021 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER PARTNERSHIP INCOME	556,593	0	556,593
SECURITIES LITIGATION PROCEEDS	3,438	3,438	3,438
OTHER INVESTMENT INCOME THROUGH SCHEDULE K-1S	0	338,431	0
GRANT REFUNDS	15,088		15,088
OTHER MISCELLANEOUS INCOME	855		855
PAID FAMILY LEAVE REFUND	10,493		10,493

TY 2021 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	12,815,879

TY 2021 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT CUSTODIAL, ADVISORY AND MANAGEMENT FEES	1,237,186	1,205,886		0
OTHER PROFESSIONAL FEES	2,651	0		2,651

TY 2021 IRS 990 e-File Render

Name: RITA ALLEN FOUNDATION INC

EIN: 13-6116429

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	295,000	0		0
FOREIGN TAXES WITHHELD	12,558	12,558		0
FOREIGN TAXES WITHHELD THROUGH SCHEDULE K-1S	0	36,442		0