

For calendar year 2021, or tax year beginning 01-01-2021 , and ending 12-31-2021

Name of foundation BERNARD F & ALVA B GIMBEL FOUNDATION INC		A Employer identification number 13-6090843
Number and street (or P.O. box number if mail is not delivered to street address) 271 MADISON AVE SUITE 605	Room/suite	B Telephone number (see instructions) (212) 684-9110
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10016		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 53,987,296	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	462,715	462,715		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	8,015,573			
	b Gross sales price for all assets on line 6a 11,169,437				
	7 Capital gain net income (from Part IV, line 2)		8,015,573		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	14,960	0	0	
	12 Total. Add lines 1 through 11	8,493,248	8,478,288	0	
	13 Compensation of officers, directors, trustees, etc.	162,200	0	0	162,200
	14 Other employee salaries and wages	184,752	0	0	184,752
	15 Pension plans, employee benefits	48,561	0	0	48,561
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	26,977	13,489	0	13,488
	c Other professional fees (attach schedule)	382,933	382,060	0	873
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	138,316	13,316	0	0
	19 Depreciation (attach schedule) and depletion	1,683	0	0	
	20 Occupancy	73,612	0	0	73,612
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	143,423	108,217	0	35,206
	24 Total operating and administrative expenses. Add lines 13 through 23	1,162,457	517,082	0	518,692
	25 Contributions, gifts, grants paid	4,920,250			4,920,250
	26 Total expenses and disbursements. Add lines 24 and 25	6,082,707	517,082	0	5,438,942
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	2,410,541			
	b Net investment income (if negative, enter -0-)		7,961,206		
	c Adjusted net income (if negative, enter -0-)			0	

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		73,895	68,611	68,611
	2	Savings and temporary cash investments		11,196,501	11,886,916	11,886,916
	3	Accounts receivable ▶ _____				
		Less: allowance for doubtful accounts ▶ _____		2,917		
	4	Pledges receivable ▶ _____				
		Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____				
		Less: allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)		16,716,128	14,698,176	29,199,764
	c	Investments—corporate bonds (attach schedule)				
	Liabilities	11	Investments—land, buildings, and equipment: basis ▶ _____			
		Less: accumulated depreciation (attach schedule) ▶ _____				
12		Investments—mortgage loans				
13		Investments—other (attach schedule)		9,140,120	12,886,977	12,807,069
14		Land, buildings, and equipment: basis ▶ _____ 87,861				
		Less: accumulated depreciation (attach schedule) ▶ _____ 74,854		13,310	13,007	13,007
15		Other assets (describe ▶ _____)		11,929	11,929	11,929
16		Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		37,154,800	39,565,616	53,987,296
17		Accounts payable and accrued expenses				
18		Grants payable				
19	Deferred revenue.					
20	Loans from officers, directors, trustees, and other disqualified persons					
21	Mortgages and other notes payable (attach schedule)					
22	Other liabilities (describe ▶ _____)		1,872	2,147		
23	Total liabilities (add lines 17 through 22).		1,872	2,147		
Net Assets or Fund Balances		Foundations that follow FASB ASC 958, check here ▶ ☐				
	24	Net assets without donor restrictions				
	25	Net assets with donor restrictions				
		Foundations that do not follow FASB ASC 958, check here ▶ ☒				
	26	Capital stock, trust principal, or current funds		6,657,124	6,657,124	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund		0	0	
	28	Retained earnings, accumulated income, endowment, or other funds		30,495,804	32,906,345	
	29	Total net assets or fund balances (see instructions)		37,152,928	39,563,469	
	30	Total liabilities and net assets/fund balances (see instructions) .		37,154,800	39,565,616	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	37,152,928
2	Enter amount from Part I, line 27a	2	2,410,541
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	39,563,469
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	39,563,469

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SALE OF MARKETABLE SECURITIES - PERSHING		2020-01-01	2021-12-31
b SALE OF MARKETABLE SECURITIES - GIVERNY		2020-01-01	2021-12-31
c SHORT TERM GAIN OR LOSS FROM PASS-THROUGH ENTITIES	P	2021-01-01	2021-12-31
d LONG TERM GAIN OR LOSS FROM PASS-THROUGH ENTITIES	P	2020-01-01	2021-12-31
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,328,199		4,446,245	2,881,954
b 3,841,238		2,516,796	1,324,442
c			87,431
d			3,721,746
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,881,954
b			1,324,442
c			87,431
d			3,721,746
e			

Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	8,015,573
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}	3	87,431

Part V

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter

Bracket for line 1a

"N/A" on line 1.

1a

Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)

All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)

b

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

Add lines 1 and 2.

3

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

5

Credits/Payments:

6

a

2021 estimated tax payments and 2020 overpayment credited to 2021

6a

147,086

b

Exempt foreign organizations—tax withheld at source

6b

0

c

Tax paid with application for extension of time to file (Form 8868)

6c

35,000

d

Backup withholding erroneously withheld

6d

0

7

Total credits and payments. Add lines 6a through 6d

7

182,086

8

Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

0

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

10

71,425

11

Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded

11

0

Part VI-A

Statements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

Yes

No

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1b

No

c

Did the foundation file Form 1120-POL for this year?.

1c

No

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. \$ 0 (2) On foundation managers. \$ 0

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0

2

Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

2

No

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

No

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

No

b

If "Yes," has it filed a tax return on Form 990-T for this year?.

4b

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T.

5

No

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?.

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV.

6

Yes

8a

Enter the states to which the foundation reports or with which it is registered (see instructions)
NY

7

Yes

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .

8b

Yes

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII

9

No

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

10

No

Part VI-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►WWW.GIMBELFOUNDATION.ORG	13	Yes	
14	The books are in care of ►GIMBEL FOUNDATION Telephone no. ►(212) 684-9110 Located at ►271 MADISON AVE SUITE 605 NEW YORK NY ZIP+4 ►10016			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here			
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)		No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	Yes	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
c	Organizations relying on a current notice regarding disaster assistance check here.			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
	a At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021?	2a		No
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
	b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
	c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	4b		No

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

5a(1)

No

(2)

Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

5a(2)

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

5a(3)

No

(4)

Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

5a(4)

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

5a(5)

No

b

If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

5b

c

Organizations relying on a current notice regarding disaster assistance check here

d

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

5d

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

6a

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

7a

No

b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

8

Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

8

No

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEPHEN D GREENBERG C/O GIMBEL FOUNDATION 271 MADISON AVE SUITE 605 NEW YORK, NY 10016	TREASURER 1.00	0	0	0
LYNN S STERN C/O GIMBEL FOUNDATION 271 MADISON AVE SUITE 605 NEW YORK, NY 10016	VICE PRESIDENT 1.00	0	0	0
LESLIE GIMBEL C/O GIMBEL FOUNDATION 271 MADISON AVE SUITE 605 NEW YORK, NY 10016	PRESIDENT & CEO 40.00	162,200	787	0
NICHOLAS SG STERN C/O GIMBEL FOUNDATION 271 MADISON AVE SUITE 605 NEW YORK, NY 10016	DIRECTOR 1.00	0	0	0
THOMAS ST GIMBEL C/O GIMBEL FOUNDATION 271 MADISON AVE SUITE 605 NEW YORK, NY 10016	DIRECTOR 1.00	0	0	0
ALVA G GREENBERG C/O GIMBEL FOUNDATION 271 MADISON AVE SUITE 605 NEW YORK, NY 10016	DIRECTOR 1.00	0	0	0
JENNIFER BLOOM GREENBERG C/O GIMBEL FOUNDATION 271 MADISON AVE SUITE 605 NEW YORK, NY 10016	DIRECTOR 1.00	0	0	0
ROBERT B GIMBEL C/O GIMBEL FOUNDATION 271 MADISON AVE SUITE 605 NEW YORK, NY 10016	DIRECTOR 1.00	0	0	0
HOPE KITTREDGE GIMBEL C/O GIMBEL FOUNDATION 271 MADISON AVE SUITE 605 NEW YORK, NY 10016	DIRECTOR 1.00	0	0	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DOROTHY A KADAR C/O GIMBEL FOUNDATION 271 MADISON AVE SUITE 605 NEW YORK, NY 10016	PROGRAM OFFICER 40.00	97,278	7,182	0
NATALIE F MAYRO C/O GIMBEL FOUNDATION 271 MADISON AVE SUITE 605 NEW YORK, NY 10016	OFFICE & GRANTS ADM 40.00	77,599	12,632	0
Total number of other employees paid over \$50,000.				0

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GIVERNY CAPITAL ADVISORS LLC	INVESTMENT ADVISOR	201,916
627 ROUTE 518 SKILLMAN,NJ 08558		
BRAVE WARRIOR ADVISORS LLC	INVESTMENT ADVISOR	180,144
12 EAST 49TH STREET NEW YORK,NY 10017		

Total number of others receiving over \$50,000 for professional services. 

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	27,781,803
b	Average of monthly cash balances.	1b	11,965,212
c	Fair market value of all other assets (see instructions).	1c	12,744,743
d	Total (add lines 1a, b, and c).	1d	52,491,758
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	52,491,758
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	787,376
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.	5	51,704,382
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	2,585,219

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	2,585,219
2a	Tax on investment income for 2021 from Part V, line 5.	2a	110,661
b	Income tax for 2021. (This does not include the tax from Part V.).	2b	
c	Add lines 2a and 2b.	2c	110,661
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	2,474,558
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	2,474,558
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1. . . .	7	2,474,558

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				2,474,558
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2021:				
a From 2016.	1,684,080			
b From 2017.	1,488,405			
c From 2018.	1,719,243			
d From 2019.	2,373,577			
e From 2020.	2,384,969			
f Total of lines 3a through e.	9,650,274			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ 5,438,942				
a Applied to 2020, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2021 distributable amount				2,474,558
e Remaining amount distributed out of corpus	2,964,384			
5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	12,614,658			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)	1,684,080			
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a	10,930,578			
10 Analysis of line 9:				
a Excess from 2017	1,488,405			
b Excess from 2018	1,719,243			
c Excess from 2019.	2,373,577			
d Excess from 2020	2,384,969			
e Excess from 2021	2,964,384			

a. If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b. Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total	
		(a) 2021	(b) 2020	(c) 2019	(d) 2018	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed						
b 85% (0.85) of line 2a						
c Qualifying distributions from Part XI, line 4 for each year listed						
d Amounts included in line 2c not used directly for active conduct of exempt activities						
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c						
3 Complete 3a, b, or c for the alternative test relied upon:						
a "Assets" alternative test—enter:						
(1) Value of all assets						
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)						
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed						
c "Support" alternative test—enter:						
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)						
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).						
(3) Largest amount of support from an exempt organization						
(4) Gross investment income						

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part

Supplementary Information (continued)

3

Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
3500RG PO BOX 843004 BOSTON,MA 02284	NONE	P C	GENERAL SUPPORT	125,000
ALIGN THE ALLIANCE FOR A GREATER NY 50 BROADWAY 29TH FLOOR NEW YORK,NY 10004	NONE	P C	GENERAL SUPPORT	75,000
AMERICAN CIVIL LIBERTIES UNION FOUNDATION 125 BROADWAY 18TH FLOOR NEW YORK,NY 10004	NONE	P C	GENERAL SUPPORT	1,500
BENT ON LEARNING 26 BROADWAY 8TH FLOOR NEW YORK,NY 10004	NONE	P C	GENERAL SUPPORT	20,000
BLUEGREEN ALLIANCE FOUNDATION 2701 UNIVERSITY AVENUE SE SUITE 209 MINNEAPOLIS,MN 55414	NONE	P C	GENERAL SUPPORT	50,000
BOSTON CHILDREN'S HOSPITAL 300 LONGWOOD AVENUE BOSTON,MA 02115	NONE	P C	DUCHENNE MUSCULAR DYSTROPHY RESEARCH	60,000
BOSTON CHILDREN'S HOSPITAL 300 LONGWOOD AVENUE BOSTON,MA 02115	NONE	P C	DUCHENNE MUSCULAR DYSTROPHY RESEARCH	5,000
BRANDEIS UNIVERSITY 415 SOUTH STREET MS 126 WALTHAM,MA 02453	NONE	P C	GENERAL SUPPORT	2,000
BRANDWORKERS 43-32 22ND STREET SUITE 202 LONG ISLAND CITY,NY 11101	NONE	P C	GENERAL SUPPORT	35,000
BRENNAN CENTER FOR JUSTICE 120 BROADWAY SUITE 1750 NEW YORK,NY 10271	NONE	P C	GENERAL SUPPORT	5,000
BROOKLYN LEGAL SERVICES INC 105 COURT STREET 4TH FLOOR BROOKLYN,NY 11201	NONE	P C	WORKERS' RIGHTS AND EMPLOYMENT PROJECTS	60,000
BROOKLYN WORKFORCE INNOVATIONS 621 DEGRAU STREET BROOKLYN,NY 11217	NONE	P C	GENERAL SUPPORT	75,000
BRYN MAWR COLLEGE 101 NORTH MARION AVENUE BRYN MAWR,PA 19010	NONE	P C	GENERAL SUPPORT	3,334
CASES 151 LAWRENCE STREET 3RD FLOOR BROOKLYN,NY 11201	NONE	P C	GENERAL SUPPORT	75,000
CENTER FOR EMPLOYMENT OPPORTUNITIES INC 50 BROADWAY SUITE 1604 NEW YORK,NY 10004	NONE	P C	NEW YORK PROGRAM	50,000
CENTER FOR FAMILY REPRESENTATION 40 WORTH STREET SUITE 605 NEW YORK,NY 10013	NONE	P C	GENERAL SUPPORT	50,000
CENTER FOR REPRODUCTIVE RIGHTS INC 199 WATER STREET 22ND FLOOR NEW YORK,NY 10038	NONE	P C	U.S. PROGRAM	100,000
CERES INC 99 CHAUNCY STREET 6TH FLOOR BOSTON,MA 02111	NONE	P C	GENERAL SUPPORT	150,000
CHILDREN'S TUMOR FOUNDATION 697 THIRD AVENUE SUITE 418 NEW YORK,NY 10017	NONE	P C	GENERAL SUPPORT	50,000
CHILDREN'S TUMOR FOUNDATION 697 THIRD AVENUE SUITE 418 NEW YORK,NY 10017	NONE	P C	GENERAL SUPPORT	20,000
CITIZENS' COMMITTEE FOR CHILDREN OF NEW YORK 14 WALL STREET SUITE 4E NEW YORK,NY 10005	NONE	P C	JUVENILE JUSTICE INITIATIVES	50,000
CITY FUTURES INC (CENTER FOR AN URBAN FUTURE) 120 WALL STREET 20TH FLOOR NEW YORK,NY 10005	NONE	P C	GENERAL SUPPORT	90,000
COLD SPRING HARBOR LABORATORY 1 BUNGTOWN ROAD COLD SPRING HARBOR,NY 11724	NONE	P C	DUCHENNE MUSCULAR DYSTROPHY RESEARCH	5,000
COMMON CAUSE EDUCATION FUND 805 15TH STREET NW SUITE 800 WASHINGTON,DC 20005	NONE	P C	GENERAL SUPPORT	150,000
COMMON CAUSE EDUCATION FUND 805 15TH STREET NW SUITE 800 WASHINGTON,DC 20005	NONE	P C	COMMON CAUSE NY	5,000
DISCALCED INC 3 LAFAYETTE AVENUE BROOKLYN,NY 11217	NONE	P C	DANCE FOR PD	5,000
EARTHJUSTICE 50 CALIFORNIA STREET SUITE 500 SAN FRANCISCO,CA 94111	NONE	P C	CLIMATE AND ENERGY PROGRAM	225,000
EQUAL JUSTICE INITIATIVE 122 COMMERCE STREET MONTGOMERY,AL 36104	NONE	P C	GENERAL SUPPORT	10,000
FAMM FOUNDATION 1100 H STREET NW SUITE 1000 WASHINGTON,DC 20005	NONE	P C	GENERAL SUPPORT	60,000
FRIENDS OF THE HIGH LINE 820 WASHINGTON STREET 4TH FLOOR NEW YORK,NY 10014	NONE	P C	GENERAL SUPPORT	2,500
GUTTMACHER INSTITUTE INC 125 MAIDEN LANE 7TH FLOOR NEW YORK,NY 10038	NONE	P C	U.S. PROGRAM	100,000
HUDSON LINK FOR HIGHER EDUCATION IN PRISON INC PO BOX 862 OSSINING,NY 10562	NONE	P C	GENERAL SUPPORT	75,000
INSTITUTE FOR SUSTAINABLE COMMUNITIES 535 STONE CUTTERS WAY MONTPELIER,VT 05602	NONE	P C	GENERAL SUPPORT	5,000
JACKIE ROBINSON FOUNDATION INC 75 VARICK STREET 2ND FLOOR NEW YORK,NY 10013	NONE	P C	GENERAL SUPPORT	20,000
JOBS TO MOVE AMERICA 525 SOUTH HEWITT STREET LOS ANGELES,CA 90013	NONE	P C	NEW YORK PROGRAM	75,000
LAWYERS FOR CHILDREN INC 110 LAFAYETTE STREET 8TH FLOOR NEW YORK,NY 10013	NONE	P C	GENERAL SUPPORT	100,000
LEAGUE OF CONSERVATION VOTERS EDUCATION FUND 740 15TH STREET NW SUITE 700 WASHINGTON,DC 20005	NONE	P C	GENERAL SUPPORT	150,000
LEGAL ACTION CENTER 225 VARICK STREET 4TH FLOOR NEW YORK,NY 10014	NONE	P C	NEW YORK POLICY ADVOCACY	60,000
LEGAL AID SOCIETY 199 WATER STREET 3RD FLOOR NEW YORK,NY 10038	NONE	P C	IMMIGRANT YOUTH REPRESENTATION PROJECT	100,000
LEGAL SERVICES NEW YORK CITY 40 WORTH STREET SUITE 606 NEW YORK,NY 10013	NONE	P C	CIVIL RIGHTS JUSTICE INITIATIVE	75,000
LIGHTHOUSE GUILD 250 WEST 64TH STREET NEW YORK,NY 10023	NONE	P C	GENERAL SUPPORT	7,000
LITTLE RED SCHOOL HOUSE INC 272 SIXTH AVENUE NEW YORK,NY 10014	NONE	P C	GENERAL SUPPORT	1,000
LONG ISLAND UNIVERSITY 700 NORTHERN BOULEVARD BROOKVILLE,NY 11548	NONE	P C	CARA GARGANO SCHOLARSHIP	5,000
MUSCULAR DYSTROPHY ASSOCIATION 161 N CLARK STREET SUITE 3550 CHICAGO,IL 60601	NONE	P C	DUCHENNE MUSCULAR DYSTROPHY RESEARCH	2,500
MAKE THE ROAD NEW YORK 301 GROVE STREET BROOKLYN,NY 11237	NONE	P C	CIVIC ENGAGEMENT PROGRAM	50,000
NATIONAL INSTITUTE FOR REPRODUCTIVE HEALTH 14 WALL STREET SUITE 3B NEW YORK,NY 10005	NONE	P C	GENERAL SUPPORT	50,000
NATIONAL LATINA INSTITUTE FOR REPRODUCTIVE JUSTICE 50 BROAD STREET SUITE 1937 NEW YORK,NY 10004	NONE	P C	GENERAL SUPPORT	85,000
NATIONWIDE CHILDREN'S HOSPITAL FOUNDATION 700 CHILDRENS DRIVE COLUMBUS,OH 43205	NONE	P C	DUCHENNE MUSCULAR DYSTROPHY RESEARCH	2,500
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK,NY 10011	NONE	P C	GENERAL SUPPORT	1,666
NEIGHBORHOOD TRUST FINANCIAL PARTNERS 530 WEST 166TH STREET 4TH FLOOR NEW YORK,NY 10032	NONE	P C	GENERAL SUPPORT	50,000
NEW ECONOMY PROJECT 121 WEST 27TH STREET SUITE 804 NEW YORK,NY 10001	NONE	P C	GENERAL SUPPORT	50,000
NEW INCENTIVES 340 S LEMON AVENUE UNIT 6133 WALNUT,CA 91789	NONE	P C	GENERAL SUPPORT	20,000
NONPROFIT NEW YORK INC 320 EAST 43RD STREET 3RD FLOOR NEW YORK,NY 10017	NONE	P C	GENERAL SUPPORT	23,000
OCEANA INC 1025 CONNECTICUT AVE NW SUITE 200 WASHINGTON,DC 20036	NONE	P C	GENERAL SUPPORT	60,000
OPPORTUNITIES FOR A BETTER TOMORROW INC 882 THIRD AVENUE SUITE 10-10NE BROOKLYN,NY 11232	NONE	P C	GENERAL SUPPORT	50,000
PACIFIC ENVIRONMENT 473 PINE STREET THIRD FLOOR SAN FRANCISCO,CA 94104	NONE	P C	GENERAL SUPPORT	125,000
PARAPROFESSIONAL HEALTHCARE INSTITUTE INC (PHI) 400 EAST FORDHAM ROAD 11TH FLOOR BRONX,NY 10458	NONE	P C	NEW YORK STATE POLICY INITIATIVE	50,000
PER SCHOLAS INC 804 EAST 138TH STREET 2ND FLOOR BRONX,NY 10454	NONE	P C	NEW YORK PROGRAM	70,000
PHILANTHROPY NEW YORK 320 EAST 43RD STREET NEW YORK,NY 10017	NONE	P C	GENERAL SUPPORT	7,250
PHYSICIANS FOR REPRODUCTIVE HEALTH INC 1430 BROADWAY SUITE 1614 NEW YORK,NY 10018	NONE	P C	GENERAL SUPPORT	60,000
PLANNED PARENTHOOD OF GREATER NEW YORK 26 BLEECKER STREET NEW YORK,NY 10012	NONE	P C	GENERAL SUPPORT	75,000
PRATT CENTER FOR COMMUNITY DEVELOPMENT 200 WILLOUGHBY AVENUE BROOKLYN,NY 11205	NONE	P C	GENERAL SUPPORT	50,000
PRESIDENT AND FELLOWS OF MIDDLEBURY COLLEGE 152 MAPLE STREET MIDDLEBURY,VT 05753	NONE	P C	GENERAL SUPPORT	3,334
PROTEUS FUND 15 RESEARCH DRIVE SUITE B AMHERST,MA 01002	NONE	P C	PIPER FUND	200,000
RAINFOREST ACTION NETWORK 425 BUSH STREET SUITE 300 SAN FRANCISCO,CA 94108	NONE	P C	GENERAL SUPPORT	150,000
REPRODUCTIVE HEALTH ACCESS PROJECT INC PO BOX 21191 NEW YORK,NY 10025	NONE	P C	GENERAL SUPPORT	60,000
RUTGERS UNIVERSITY FOUNDATION 335 GEORGE STREET NEW BRUNSWICK,NJ 08901	NONE	P C	DUCHENNE MUSCULAR DYSTROPHY RESEARCH	5,000
SAVE THE REDWOODS LEAGUE 111 SUTTER STREET 11TH FLOOR SAN FRANCISCO,CA 94104	NONE	P C	GENERAL SUPPORT	5,000
SAVE THE RHINO INTERNATIONAL INC 1350 AVENUE OF THE AMERICAS SUITE 226 NEW YORK,NY 10019	NONE	P C	HLUHLUWE-IMPOLOZI PARK/UMKHUZE GAME RESERVE IN SOUTH AFRICA	5,666
SIR JOHN SOANE'S MUSEUM FOUNDATION 120 BROADWAY 20TH FLOOR NEW YORK,NY 10271	NONE	P C	GENERAL SUPPORT	1,500
ST DOMINIC HIGH SCHOOL 93 ANSTICE STREET OYSTER BAY,NY 11771	NONE	P C	GENERAL SUPPORT	2,500
STANDEARTH 548 MARKET STREET SUITE 74196 SAN FRANCISCO,CA 94104	NONE	P C	GENERAL SUPPORT	225,000
THE BRONX DEFENDERS 360 EAST 161ST STREET BRONX,NY 10451	NONE	P C	CENTER FOR HOLISTIC DEFENSE	100,000
THE BRONX DEFENDERS 360 EAST 161ST STREET BRONX,NY 10451	NONE	P C	CIVIL AND IMMIGRATION PRACTICES	125,000
THE DOOR - A CENTER OF ALTERNATIVES INC 555 BROOME STREET NEW YORK,NY 10013	NONE	P C	LEGAL SERVICES CENTER	70,000
THE FREDERICK GUNN SCHOOL 99 GREEN HILL ROAD WASHINGTON,CT 06793	NONE	P C	GENERAL SUPPORT	1,000
THE GOVERNOR'S ACADEMY 1 ELM STREET BYFIELD,MA 01922	NONE	P C	GENERAL SUPPORT	4,000
THE HOPE PROGRAM INC 1 SMITH STREET 4TH FLOOR BROOKLYN,NY 11201	NONE	P C	GENERAL SUPPORT	85,000
THE HOTCHKISS SCHOOL 11 INTERLAKEN ROAD LAKEVILLE,CT 06039	NONE	P C	GENERAL SUPPORT	1,000
THE NEW YORK IMMIGRATION COALITION INC 131 WEST 33RD STREET SUITE 610 NEW YORK,NY 10001	NONE	P C	GENERAL SUPPORT	225,000
THE NEW ORLEANS JAZZ AND HERITAGE FOUNDATION 1205 N RAMPART STREET NEW ORLEANS,LA 70116	NONE	P C	GENERAL SUPPORT	2,500
THE SENTENCING PROJECT 1705 DESALES STREET NW 8TH FLOOR WASHINGTON,DC 20036	NONE	P C	GENERAL SUPPORT	20,000
TIDES FOUNDATION 1012 TORNEY AVENUE SAN FRANCISCO,CA 94129	NONE	P C	MOVEMENT VOTER FUND	2,000
TIDES FOUNDATION 1012 TORNEY AVENUE SAN FRANCISCO,CA 94129	NONE	P C	MOVEMENT VOTER FUND	20,000
TIDES FOUNDATION 1012 TORNEY AVENUE SAN FRANCISCO,CA 94129	NONE	P C	FLORIDA RIGHTS RESTORATION COALITION EDUCATION FUND	100,000
TRUSTEES OF BOSTON UNIVERSITY WBUR 890 COMMONWEALTH AVENUE 3RD FLOOR BOSTON,MA 02215	NONE	P C	WBUR	10,000
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK COLUMBIA BUSINESS SCHOOL 645 WEST 130TH STREET MC 9001 NEW YORK,NY 10027	NONE	P C	COLUMBIA BUSINESS SCHOOL	2,500
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK 622 WEST 113TH STREET MC 4524 NEW YORK,NY 10025	NONE	P C	NAOMI BERRIE DIABETES CENTER	20,000
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 2929 WALNUT STREET SUITE 300 PHILADELPHIA,PA 19104	NONE	P C	THE PENN FUND	2,000
UNION OF CONSERVED SCIENTISTS INC 2 BRATTLE SQUARE CAMBRIDGE,MA 02138	NONE	P C	CENTER FOR SCIENCE & DEMOCRACY	100,000
WATERFRONT ALLIANCE INC 256 WEST 36TH STREET 11TH FLOOR NEW YORK,NY 10018	NONE	P C	COASTAL RESILIENCE WORK	100,000
YOUTH AND FAMILY COUNSELING AGENCY OF OYSTER BAY - EAST NORWICH INC 12 IRVING PLACE OYSTER BAY,NY 11771	NONE	P C	GENERAL SUPPORT	3,000
Total			3a	4,920,250
b Approved for future payment				
ALIGN THE ALLIANCE FOR A GREATER NY 50 BROADWAY 29TH FLOOR NEW YORK,NY 10004	NONE	P C	GENERAL SUPPORT	75,000
BOSTON CHILDREN'S HOSPITAL 300 LONGWOOD AVENUE BOSTON,MA 02115	NONE	P C	DUCHENNE MUSCULAR DYSTROPHY RESEARCH	60,000
BRANDWORKERS 43-32 22ND STREET SUITE 202 LONG ISLAND CITY,NY 11101	NONE	P C	GENERAL SUPPORT	80,000
BROOKLYN WORKFORCE INNOVATIONS 621 DEGRAU STREET BROOKLYN,NY 11217	NONE	P C	GENERAL SUPPORT	75,000
CENTER FOR EMPLOYMENT OPPORTUNITIES INC 50 BROADWAY SUITE 1604 NEW YORK,NY 10004	NONE	P C	NEW YORK PROGRAM	50,000
CENTER FOR REPRODUCTIVE RIGHTS INC 199 WATER STREET 22ND FLOOR NEW YORK,NY 10038	NONE	P C	U.S. PROGRAM	100,000
CERES INC 99 CHAUNCY STREET 6TH FLOOR BOSTON,MA 02111	NONE	P C	GENERAL SUPPORT	150,000
CHILDREN'S TUMOR FOUNDATION 697 THIRD AVENUE SUITE 418 NEW YORK,NY 10017	NONE	P C	GENERAL SUPPORT	50,000
EARTHJUSTICE 50 CALIFORNIA STREET SUITE 500 SAN FRANCISCO,CA 94111	NONE	P C	CLIMATE AND ENERGY PROGRAM	225,000
FAMM FOUNDATION 1100 H STREET NW SUITE 1000 WASHINGTON,DC 20005	NONE	P C	GENERAL SUPPORT	60,000
GUTTMACHER INSTITUTE INC 125 MAIDEN LANE 7TH FLOOR NEW YORK,NY 10038	NONE	P C	U.S. PROGRAM	100,000
HUDSON LINK FOR HIGHER EDUCATION IN PRISON INC PO BOX 862 OSSINING,NY 10562	NONE	P C	GENERAL SUPPORT	75,000
LAWYERS FOR CHILDREN 110 LAFAYETTE STREET 8TH FLOOR NEW YORK,NY 10013	NONE	P C	GENERAL SUPPORT	200,000
LEAGUE OF CONSERVATION VOTERS EDUCATION FUND 740 15TH STREET NW SUITE 700 WASHINGTON,DC 20005	NONE	P C	GENERAL SUPPORT	150,000
LEAGUE OF CONSERVATION VOTERS EDUCATION FUND 740 15TH STREET NW SUITE 700 WASHINGTON,DC 20005	NONE	P C	CHISPA PROGRAM	50,000
NEIGHBORHOOD TRUST FINANCIAL PARTNERS 530 WEST 166TH STREET 4TH FLOOR NEW YORK,NY 10032	NONE	P C	GENERAL SUPPORT	50,000
NEW ECONOMY PROJECT 121 WEST 27TH STREET SUITE 804 NEW YORK,NY 10001	NONE	P C	GENERAL SUPPORT	50,000
OCEANA INC 1025 CONNECTICUT AVENUE NW SUITE 200 WASHINGTON,DC 20036	NONE	P C	GENERAL SUPPORT	30,000
PACIFIC ENVIRONMENT 473 PINE STREET THIRD FLOOR SAN FRANCISCO,CA 94104	NONE	P C	GENERAL SUPPORT	300,000
PHILANTHROPY NEW YORK 320 EAST 43RD STREET NEW YORK,NY 10017	NONE	P C	GENERAL SUPPORT	7,250
PHYSICIANS FOR REPRODUCTIVE HEALTH 1430 BROADWAY SUITE 1614 NEW YORK,NY 10018	NONE	P C	GENERAL SUPPORT	75,000
PROTEUS FUND 15 RESEARCH DRIVE SUITE B AMHERST,MA 01002	NONE	P C	PIPER FUND	400,000
PROTEUS FUND 15 RESEARCH DRIVE SUITE B AMHERST,MA 01002	NONE	P C	PIPER FUND	100,000
RAINFOREST ACTION NETWORK 425 BUSH STREET SUITE 300 SAN FRANCISCO,CA 94108	NONE	P C	GENERAL SUPPORT	150,000
STANDEARTH 548 MARKET STREET SUITE 74196 SAN FRANCISCO,CA 94104	NONE	P C	GENERAL SUPPORT	500,000
THE BRONX DEFENDERS 360 EAST 161ST STREET BRONX,NY 10451	NONE	P C	CIVIL AND IMMIGRATION PRACTICES	125,000
THE BRONX DEFENDERS 360 EAST 161ST STREET BRONX,NY 10451	NONE	P C	CENTER FOR HOLISTIC DEFENSE	100,000
THE HOPE PROGRAM INC 1 SMITH STREET 4TH FLOOR BROOKLYN,NY 11201	NONE	P C	GENERAL SUPPORT	170,000
THE SENTENCING PROJECT 1705 DESALES STREET NW 8TH FLOOR WASHINGTON,DC 20036	NONE	P C	GENERAL SUPPORT	200,000
WATERFRONT ALLIANCE INC 256 WEST 36TH STREET 11TH FLOOR NEW YORK,NY 10018	NONE	P C	COASTAL RESILIENCE WORK	100,000
Total			3b	3,857,250

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities						
5 Net rental income or (loss) from real estate:						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	8,015,573	
9 Net income or (loss) from special events:						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a TAX REFUND _____				01	14,960	
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e) . .			0		8,493,248	0
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)				13	8,493,248	8,493,248

[illegible]

Part XVI

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash.

(2) Other assets.

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets. . . .

(4) Reimbursement arrangements.

(5) Loans or loan guarantees. . . .

(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2022-10-26

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below?

See instructions. ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

MARIE ARRIGO

Preparer's Signature

Date _____

Check if self-employed ☐

PTIN

P00058583

Firm's name ► EISNER ADVISORY GROUP LLC

Firm's address ► 733 THIRD AVENUE

NEW YORK, NY 100172703

Phone no.
(212) 949-8700

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: BERNARD F & ALVA B GIMBEL FOUNDATION
INC

EIN: 13-6090843

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EISNER ADVISORY GROUP LLC	26,977	13,489	0	13,488

Name: BERNARD F & ALVA B GIMBEL FOUNDATION
INC
EIN: 13-6090843

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER	2000-04-10	9,307	9,306	SL	5.000000000000	0	0	0	
FURNITURE	2000-05-01	5,334	5,334	SL	7.000000000000	0	0	0	
FURNITURE	2001-06-03	195	194	SL	7.000000000000	0	0	0	
FURNITURE	2002-05-10	407	406	SL	7.000000000000	0	0	0	
COMPUTER SOFTWARE	2002-11-12	12,416	12,416	SL	3.000000000000	0	0	0	
LEASEHOLD IMPROVEMENT	2003-05-30	1,375	616	SL	39.000000000000	35	0	0	
COMPUTER	2003-08-18	6,115	4,586	SL	5.000000000000	0	0	0	
OFFICE EQUIPMENT	2003-12-17	652	488	SL	5.000000000000	0	0	0	
FURNITURE	2003-10-24	581	476	SL	7.000000000000	0	0	0	
COMPUTER SOFTWARE	2005-08-09	938	938	SL	3.000000000000	0	0	0	
OFFICE EQUIPMENT	2005-07-12	560	560	SL	5.000000000000	0	0	0	
FURNITURE	2005-07-28	3,040	3,039	SL	7.000000000000	0	0	0	
LEASEHOLD IMPROVEMENT	2005-10-31	1,400	546	SL	39.000000000000	36	0	0	
COMPUTER SOFTWARE	2006-09-18	3,440	3,440	SL	3.000000000000	0	0	0	
FURNITURE	2006-10-27	333	333	SL	7.000000000000	0	0	0	
FIXTURE	2006-02-28	962	962	SL	7.000000000000	0	0	0	
LEASEHOLD IMPROVEMENT	2006-12-07	125	42	SL	39.000000000000	3	0	0	
COMPUTER	2007-06-08	2,113	2,113	SL	5.000000000000	0	0	0	
COMPUTER SOFTWARE	2007-11-28	3,113	3,113	SL	3.000000000000	0	0	0	
FURNITURE	2007-03-14	2,028	2,028	SL	7.000000000000	0	0	0	
LEASEHOLD IMPROVEMENT	2007-02-12	300	111	SL	39.000000000000	8	0	0	
FURNITURE	2007-07-01	916	916	SL	7.000000000000	0	0	0	
COMPUTER	2004-10-14	2,366	1,858	SL	5.000000000000	0	0	0	
COMPUTER	2008-01-02	1,118	1,118	SL	5.000000000000	0	0	0	
COMPUTER	2008-09-09	714	713	SL	5.000000000000	0	0	0	
COMPUTER SOFTWARE	2008-09-09	3,104	3,104	SL	3.000000000000	0	0	0	
COMPUTER SOFTWARE	2009-09-10	2,829	2,829	SL	3.000000000000	0	0	0	
FURNITURE	2009-11-03	295	294	SL	7.000000000000	0	0	0	
COMPUTER	2010-07-28	508	508	SL	5.000000000000	0	0	0	
COMPUTER	2010-09-22	572	572	SL	5.000000000000	0	0	0	
FURNITURE	2010-02-09	223	223	SL	7.000000000000	0	0	0	
FURNITURE	2011-12-22	431	430	SL	7.000000000000	0	0	0	
COMPUTER	2011-06-01	1,202	1,201	SL	5.000000000000	0	0	0	
LEASEHOLD IMPROVEMENT	2014-10-21	4,580	722	SL	39.000000000000	117	0	0	
COMPUTER EQUIPMENT	2016-05-20	7,470	7,040	SL	5.000000000000	430	0	0	
AMAZON NEW SERVER	2018-06-27	795	398	SL	5.000000000000	159	0	0	
LEASEHOLD IMPROVEMENT	2019-09-09	811	28	SL	39.000000000000	21	0	0	
COMPUTER	2020-10-22	1,211	61	SL	5.000000000000	242	0	0	
COMPUTER	2020-11-23	1,792	90	SL	5.000000000000	358	0	0	
LEASEHOLD IMPROVEMENT	2020-02-03	810	19	SL	39.000000000000	21	0	0	
COMPUTER	2021-02-02	1,380		SL	5.000000000000	253	0	0	

Name: BERNARD F & ALVA B GIMBEL FOUNDATION
INC
EIN: 13-6090843

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALPHABET INC COM CL C	262,920	1,357,094
AMETEK INC COM	375,318	1,187,789
AUTOHOME INC ADR	273,147	90,622
B & M EUROPEAN VALUE RETAIL SA COM	363,116	645,996
BANK OF AMERICA CORPORATION COM	264,364	432,532
BANK OZK COM	60,945	89,431
BERKSHIRE HATHAWAY INC COM CL B	716,144	1,689,350
CARMAX INC COM	520,593	1,398,410
CIENA CORP COM	215,100	231,757
CONSTELLATION SOFTWARE INC COM	300,883	2,112,272
DOLLARAMA INC COM	168,143	583,182
EDWARDS LIFESCIENCES CORP COM	147,949	549,421
FIVE BELOW INC COM	248,365	686,047
FORTUNE BRANDS HOME & SECURITY COM	282,599	671,332
HEICO CORP COM CL A	197,392	770,991
HOWDEN JOINERY GROUP PLC COM	119,710	253,567
INSTALLED BUILDING PRODUCTS IN COM	190,313	213,213
INTERCONTINENTAL EXCHANGE INC COM	298,385	426,449
JP MORGAN CHASE & CO COM	245,213	372,756
KEYSIGHT TECHNOLOGIES INC COM	402,999	984,020
M & T BANK CORP COM	433,338	416,816
MARKEL CORP COM	604,125	951,414
META PLATFORMS INC COM CL A	413,504	824,730
MTY FOOD GROUP INC COM	92,515	165,369
NVR INC COM	417,592	986,781
PROGRESSIVE CORP (OHIO) COM	566,993	760,739
SCHWAB (CHARLES) CORP COM	593,033	968,327
SLEEP NUMBER CORPORATION COM	272,855	320,801
SS&C TECHNOLOGIES HOLDINGS INC COM	406,707	421,869
TOPICUS.COM INC COM	100,499	187,161
VISA INC COM CL A	188,346	766,503
WALT DISNEY COMPANY (THE) COM	190,489	346,334
ATHENE HLDG LTD CL A EFF 1/03/22 I OLD/1.149 NEW CU	75,147	90,496
B&M EUROPEAN VALUE RETAIL SA REG SHS ISIN#LU1072616219	338,755	521,560
PROSUS N V BEARER AND REGISTERED SHS N ISIN#NL0013654783	474,075	379,594
ANTHEM INC COM STK	739,260	1,263,610
APOLLO GLOBAL MGMT INC CL A COM C/A EFF 1/33/22 I OLD /1 NEW CU	421,902	482,674
BERKSHIRE HATHAWAY INC DEL CL B NEW	286,377	442,819
FIDELITY NATL FINL INC NEW FNF GROUP	755,609	902,870
HCA HEALTHCARE INC COM	90,561	299,312
JP MORGAN CHASE & CO COM ISIN#U546625HI005	101,912	472,833
PRIMERICA INC COM	280,766	628,867
PROGRESSIVE CORP OH COM	391,836	518,588
RAYMOND JAMES FINL INC COM	247,536	452,603
UNITEDHEALTH GROUP INC COM	150,811	257,598
VALVOLINE INC COM	410,035	623,265

TY 2021 IRS 990 e-File Render

Name: BERNARD F & ALVA B GIMBEL FOUNDATION
INC

EIN: 13-6090843

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DSM ALL WORLD GROWTH TRUST	AT COST	12,886,977	12,807,069

Name: BERNARD F & ALVA B GIMBEL FOUNDATION
INC
EIN: 13-6090843

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER	9,307	9,306	1	1
FURNITURE	5,334	5,334	0	0
FURNITURE	195	194	1	1
FURNITURE	407	406	1	1
COMPUTER SOFTWARE	12,416	12,416	0	0
LEASEHOLD IMPROVEMENT	1,375	651	724	724
COMPUTER	6,115	4,586	1,529	1,529
OFFICE EQUIPMENT	652	488	164	164
FURNITURE	581	476	105	105
COMPUTER SOFTWARE	938	938	0	0
OFFICE EQUIPMENT	560	560	0	0
FURNITURE	3,040	3,039	1	1
LEASEHOLD IMPROVEMENT	1,400	582	818	818
COMPUTER SOFTWARE	3,440	3,440	0	0
FURNITURE	333	333	0	0
FIXTURE	962	962	0	0
LEASEHOLD IMPROVEMENT	125	45	80	80
COMPUTER	2,113	2,113	0	0
COMPUTER SOFTWARE	3,113	3,113	0	0
FURNITURE	2,028	2,028	0	0
LEASEHOLD IMPROVEMENT	300	119	181	181
FURNITURE	916	916	0	0
COMPUTER	2,366	1,858	508	508
COMPUTER	1,118	1,118	0	0
COMPUTER	714	713	1	1
COMPUTER SOFTWARE	3,104	3,104	0	0
COMPUTER SOFTWARE	2,829	2,829	0	0
FURNITURE	295	294	1	1
COMPUTER	508	508	0	0
COMPUTER	572	572	0	0
FURNITURE	223	223	0	0
FURNITURE	431	430	1	1
COMPUTER	1,202	1,201	1	1
LEASEHOLD IMPROVEMENT	4,580	839	3,741	3,741
COMPUTER EQUIPMENT	7,470	7,470	0	0
AMAZON NEW SERVER	795	557	238	238
LEASEHOLD IMPROVEMENT	811	49	762	762
COMPUTER	1,211	303	908	908
COMPUTER	1,792	448	1,344	1,344
LEASEHOLD IMPROVEMENT	810	40	770	770
COMPUTER	1,380	253	1,127	1,127

TY 2021 IRS 990 e-File Render

Name: BERNARD F & ALVA B GIMBEL FOUNDATION
INC

EIN: 13-6090843

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
RENT SECURITY DEPOSIT	11,929	11,929	11,929

TY 2021 IRS 990 e-File Render

Name: BERNARD F & ALVA B GIMBEL FOUNDATION
INC
EIN: 13-6090843

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	1,500	0	0	1,500
BANK CHARGES	477	0	0	477
DUES AND SUBSCRIPTIONS	2,750	0	0	2,750
INSURANCE EXPENSE	8,930	0	0	8,930
TELEPHONE EXPENSE	3,228	0	0	3,228
OFFICE EXPENSES & SUPPLIES	1,952	0	0	1,952
PAYROLL EXPENSES	1,886	0	0	1,886
COMPUTER AND INTERNET EXPENSES	14,293	0	0	14,293
OTHER MISC. EXPENSES	190	0	0	190
INVESTMENT EXPENSE THRU PASS THRU DSM ALL WORLD	108,217	108,217	0	0

TY 2021 IRS 990 e-File Render

Name: BERNARD F & ALVA B GIMBEL FOUNDATION
INC

EIN: 13-6090843

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
TAX REFUND	14,960		14,960

TY 2021 IRS 990 e-File Render

Name: BERNARD F & ALVA B GIMBEL FOUNDATION
INC

EIN: 13-6090843

Description	Beginning of Year - Book Value	End of Year - Book Value
OTHER LIABILITIES	1,872	2,147

TY 2021 IRS 990 e-File Render

Name: BERNARD F & ALVA B GIMBEL FOUNDATION
INC

EIN: 13-6090843

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	382,060	382,060	0	0
COMPUTER CONSULTANT	873	0	0	873

TY 2021 IRS 990 e-File Render

Name: BERNARD F & ALVA B GIMBEL FOUNDATION
INC

EIN: 13-6090843

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GIVERNY - FOREIGN TAX PAID	6,470	6,470	0	0
PERSHING - FOREIGN TAX PAID	4,406	4,406	0	0
DSM ALL WORLD GROWTH TRUST	2,440	2,440	0	0
FEDERAL EXCISE TAXES	125,000	0	0	0