Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No. 1545-0047

2021

Open to Public Inspection

For calendar year 2021, or tax year beginning 01-01-2021 , and ending 12-31-2021

Name of foundation
THE DAVID ROCKEFELLER FUND INC

A Employer identification number
13-3533359

Number and street (or P.O. box number if mail is not delivered to street address)
475 RIVERSIDE DRIVE 900

Room/suite

B Telephone number (see instructions)
(212) 812-4300

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10115

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 76,948,270

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	10,100,000			
	2 Check ▶☐ if the foundation is **not** required to attach Sch. B				
	3 Interest on savings and temporary cash investments	1,207	1,207		
	4 Dividends and interest from securities	1,413,083	1,475,021		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,402,047			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		1,623,210		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	-27,801	2,166		
	12 **Total.** Add lines 1 through 11	12,888,536	3,101,604		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	390,263	98,043		294,220
	14 Other employee salaries and wages	128,236	352		101,955
	15 Pension plans, employee benefits	158,365	19,858		104,175
	16a Legal fees (attach schedule)	24,134	0		22,303
	b Accounting fees (attach schedule)	31,581	14,797		14,673
	c Other professional fees (attach schedule)	407,711	295,541		92,722
	17 Interest		1,137		
	18 Taxes (attach schedule) (see instructions)	36,429	40,208		0
	19 Depreciation (attach schedule) and depletion	4,238	0		
	20 Occupancy	31,930	2,158		22,007
	21 Travel, conferences, and meetings	26,159	0		26,055
	22 Printing and publications	1,131	0		840
	23 Other expenses (attach schedule)	295,996	249,880		91,307
	24 **Total operating and administrative expenses.** Add lines 13 through 23	1,536,173	721,974		770,257
	25 Contributions, gifts, grants paid	5,771,000			4,491,750
	26 **Total expenses and disbursements.** Add lines 24 and 25	7,307,173	721,974		5,262,007
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	5,581,363			
	b **Net investment income** (if negative, enter -0-)		2,379,630		
	c **Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	511,865	515,595	515,595
	2 Savings and temporary cash investments	397,504	598,988	598,988
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ 9,167,076			
	Less: allowance for doubtful accounts ▶	8,300	9,167,076	9,167,076
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	22,757	11,093	11,093
	10a Investments—U.S. and state government obligations (attach schedule)	6,966,527	6,014,357	6,014,357
	b Investments—corporate stock (attach schedule)	20,019,851	21,062,111	21,062,111
	c Investments—corporate bonds (attach schedule)	2,786,215	2,928,979	2,928,979
	11 Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	35,247,659	36,577,182	36,577,182
	14 Land, buildings, and equipment: basis ▶ 29,110			
	Less: accumulated depreciation (attach schedule) ▶ 16,542	16,806	12,568	12,568
	15 Other assets (describe ▶)	67,159	60,321	60,321
	16 **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	66,044,643	76,948,270	76,948,270
Liabilities	17 Accounts payable and accrued expenses	293,184	427,191	
	18 Grants payable	475,000	1,754,500	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)	768,184	2,181,691	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24 Net assets without donor restrictions	61,134,181	62,179,354	
	25 Net assets with donor restrictions	4,142,278	12,587,225	
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 **Total net assets or fund balances** (see instructions)	65,276,459	74,766,579	
	30 **Total liabilities and net assets/fund balances** (see instructions)	66,044,643	76,948,270	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	65,276,459
2	Enter amount from Part I, line 27a	2	5,581,363
3	Other increases not included in line 2 (itemize) ▶	3	4,809,762
4	Add lines 1, 2, and 3	4	75,667,584
5	Decreases not included in line 2 (itemize) ▶	5	901,005
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	74,766,579

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	PUBLICLY TRADED SECURITIES			
b	LIBRA FOSSIL FUEL FREE FUND, LP	P		
c	ROCKEFELLER ACCESS FUND 06-I, LLC - NET OF UBI	P		
d	ROCKEFELLER ALTERNATIVE STRATEGIES FUND, LP	P		
e	NEW ENERGY CAPITAL INFRASTRUCTURE	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				495,731
b				980,874
c				145,293
d				926
e				386

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a				495,731
b				980,874
c				145,293
d				926
e				386

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	1,623,210
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Bracket for line 1a

1a Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**

All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)

b Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) **2** 0

2 Add lines 1 and 2 . . . . . **3** 33,077

3 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) **4** 0

4 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- **5** 33,077

5 Credits/Payments:

6

a	2021 estimated tax payments and 2020 overpayment credited to 2021	6a	36,088
b	Exempt foreign organizations—tax withheld at source	6b	0
c	Tax paid with application for extension of time to file (Form 8868) . . .	6c	5,150
d	Backup withholding erroneously withheld	6d	0

7	Total credits and payments. Add lines 6a through 6d	7	41,238
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.	8	11
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . . ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . ▶	10	8,150
11	Enter the amount of line 10 to be: **Credited to 2022 estimated tax** ▶ 8,150 **Refunded** ▶	11	0

Part VI-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.	1b		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* . . .	3	Yes	
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XIV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10	Yes	

Part VI-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ WWW.DRFUND.ORG

14 The books are in care of ▶ LEXI FISHER Telephone no. ▶ (212) 812-4300

Located at ▶ 475 RIVERSIDE DRIVE NEW YORK NY ZIP+4 ▶ 10115

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year 15

16	At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	16		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	1a(1)		No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	1a(2)		No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	1a(3)	Yes	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	1a(4)	Yes	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	1a(5)		No
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	1a(6)		No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?	1d		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021?	2a		No
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3a		No
b	If "Yes," did it have excess business holdings in 2021 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?	4b		No

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	5a(1)		No
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	5a(2)		No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	5a(3)		No
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	5a(4)	Yes	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	5a(5)		No
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		No
c	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
d	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53.4945–5(d).*	5d	Yes	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	6a		No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	7a		No
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	8		No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMIE BENNETT 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.00	2,000	0	0
PEGGY DULANY 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0	0	0
MINDY FULLILOVE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	2,000	0	0
LISA GARCIA 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
NILI GILBERT 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 5.00	39,021	0	0
DANIEL GROWALD 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.00	0	0	0
CHRISTINE HEENAN 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	2,000	0	0
REBECCA LAMBERT 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0	0	0
MAX MILLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0	0	0
MICHAEL QUATTRONE 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.50	0	0	0
ARIANA ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0	0	0
CAMILLA ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE/CHAIR 3.00	0	0	0
CLAY ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 2.00	0	0	0
SUSAN ROCKEFELLER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.50	0	0	0
MANYA RUBINSTEIN 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
ANNABEL SCHULZ 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TRUSTEE 1.00	0	0	0
LUKAS HAYNES 475 RIVERSIDE DRIVE SUITE 900	CHIEF EXECUTIVE OFFICER 40.00	228,570	60,433	1,794

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NEW YORK, NY 10115				
LEXI FISHER 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	SECRETARY/CHIEF OPERATING OFFICER 40.00	109,335	59,483	0
LEAH D'ANGELO 475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	TREASURER 1.00	7,337	2,201	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. . . . . ▶ 0

Part VII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
ROCKEFELLER CAPITAL MANAGEMENT 45 ROCKEFELLER PLAZA FLOOR 5 NEW YORK,NY 10111	INVESTMENT MANAGEMENT	402,657
JPMORGAN CHASE & CO 270 PARK AVENUE NEW YORK,CT 100172014	INVESTMENT CUSTODIAN	56,885

Total number of others receiving over $50,000 for professional services. . . . . ▶

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 DURING 2021, 3 MEMBERS OF THE STAFF OF DAVID ROCKEFELLER FUND PROVIDED TECHNICAL ASSISTANCE TO CHARITABLE ORGANIZATIONS AND 1 MEMBER OF THE STAFF SERVED ON 5 CHARITABLE ORGANIZATIONS' BOARDS. 2 MEMBERS OF THE STAFF SERVED ON TOTAL OF 5 COMMITTEES, PUBLIC COMMISSIONS, OR TASK FORCES IN ORDER TO PROVIDE TECHNICAL ASSISTANCE.	58,082
2	
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . . ▶	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	64,892,226
b	Average of monthly cash balances		1b	3,094,274
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	67,986,500
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	67,986,500
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions)		4	1,019,798
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3.		5	66,966,702
6	**Minimum investment return.** Enter 5% (0.05) of line 5		6	3,348,335

Part X **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part IX, line 6		1	3,348,335
2a	Tax on investment income for 2021 from Part V, line 5	2a 33,077		
b	Income tax for 2021. (This does not include the tax from Part V.)	2b		
c	Add lines 2a and 2b		2c	33,077
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	3,315,258
4	Recoveries of amounts treated as qualifying distributions		4	0
5	Add lines 3 and 4		5	3,315,258
6	Deduction from distributable amount (see instructions)		6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1		7	3,315,258

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				3,315,258
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only			0	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2021:				
a From 2016 1,355,799				
b From 2017 1,209,689				
c From 2018 593,750				
d From 2019 1,621,017				
e From 2020 8,473,946				
f **Total** of lines 3a through e	13,254,201			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ $ 5,262,007				
a Applied to 2020, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2021 distributable amount				3,315,258
e Remaining amount distributed out of corpus	1,946,749			
5 Excess distributions carryover applied to 2021. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	15,200,950			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)	1,355,799			
9 **Excess distributions carryover to 2022.** Subtract lines 7 and 8 from line 6a	13,845,151			
10 Analysis of line 9:				
a Excess from 2017 1,209,689				
b Excess from 2018 593,750				
c Excess from 2019 1,621,017				
d Excess from 2020 8,473,946				
e Excess from 2021 1,946,749				

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2021	**(b)** 2020	**(c)** 2019	**(d)** 2018	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

LEXI FISHER
475 RIVERSIDE DRIVE SUITE 900
NEW YORK,NY 10115
(212) 812-4300
LOI@DRFUND.ORG

b The form in which applications should be submitted and information and materials they should include:

THE DAVID ROCKEFELLER FUND WEBSITE STATES 1-2 PAGE LETTER OF INTENT SHOULD BE SUBMITTED TO LOI@DRFUND.ORG

c Any submission deadlines:

THE DAVID ROCKEFELLER FUND ACCEPTS UNSOLICITED REQUESTS ON A ROLLING BASIS.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE DAVID ROCKEFELLER FUND ONLY ACCEPTS UNSOLICITED REQUESTS THAT FIT ITS THREE KEY PROGRAM AREAS (ARTS, JUSTICE AND CLIMATE)

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business) or substantial contributor	Recipient If recipient is an individual, show any relationship to any foreign officer, director, trustee, or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CLIMATE CHANGE PO BOX 84306 SEATTLE WA 981248306	N/A	PC	ACTIVATE CLIMATE CHANGE AND END THE USE OF FOSSIL FUELS BY ENGAGING A DIVERSITY OF PEOPLE THAT MATCHES THE DEMOGRAPHICS OF	100,000
ALTERNATE ROOTS 1083 AUSTELL RD ATLANTA GA 30037	N/A	PC	NOPO LOCAL ARTS POLICY ADVOCACY	15,000
ALTERNATIVE GIVING FUND 1500 GILHAM AVENUE NW 4TH FLOOR WASHINGTON DC 20005	N/A	PC	ALTERNATIVE GIVING FUND ACCESSING FEDERAL CLIMATE FUNDING FOR UNDERSERVED COMMUNITY ADVOCACY	50,000
AMERICAN CONSTITUTION SOCIETY 1333 H ST NW FLOOR 6 UNIT 100 WASHINGTON DC 20005	N/A	PC	ACS STUDENT DIVISION LAW AND POLICY WORK GROUP ON CLIMATE AND EMPLOY SOCIAL JUSTICE/ENVIRO	50,000
ARIZONA CENTER FOR ENTREPRENEURSHIP 1 E WASHINGTON ST PHOENIX AZ 850031	N/A	PC	ASSIST FIRST ECONOMY AND INTERCHAT PROJECTS TO PROTECT AND ENHANCE/EXPAND DIVERSITY IN ARIZONA	25,000
AZ PEACE PO BOX 2346 AVILA N MARIPAILE RD PARADALE AZ 85063	N/A	PC	ORGANIZING FOR COLOR AND ENGAGEMENT AT THE STATE LEVEL TO BUILD POWER	75,000
ARTISTIC FOUNDRY 139 NORFOLK ST 1D NEW YORK CITY NY 10002	N/A	PC	ARTISTIC INSTALLATION AND PERFORMANCE AS AN INTERVENTION ON THE ROLE OF ART IN TRANSFORMATION TOWARDS A JUST AND SUSTAINABLE WORLD OF GLOBAL WARMING	50,000
BIODIVERSITY FUNDERS GROUP 1904 FRANKLIN ST STE 600 OAKLAND CA 94612	N/A	PC	BIODIVERSITY FUNDERS WORKING GROUP MEMBERSHIP	50,000
BOOK REVOLUTION FUND PO BOX 1 HARTWELL GA 30643	N/A	PC	EXPLORE FIGHTING FOR BOOKS AS A WAY TO CONFRONT INFRASTRUCTURE CHALLENGES	25,000
BRAND NEW PICNIC ACTION FUND 1000 ELMEN REPUBLICAN COUCH CITY LA 96232	N/A	PC	ELECTORAL ORGANIZING AND MOBILIZATION PROJECTS ON CIVIC ENGAGEMENT	25,000
BRENNAN CENTER FOR JUSTICE 461 5TH AVE NEW YORK NY 10017	N/A	PC	GENERAL SUPPORT FOR ARTISTIC INITIATIVES ADVANCING DEMOCRACY, A SUPPORT ORGANIZATION	50,000
CHOOSE TO CHALLENGE HOBBY 1330 BROADWAY 3ND FLOOR OAKLAND CA 94612	N/A	PC	CHOOSE TO CHALLENGE HOBBY LOBBY AND TO ENGAGE YOUNG WOMEN AND GIRLS IN ADVOCACY FOR NATIONAL STATE AND LOCAL LEGISLATION	50,000
CHICAGO FREEDOM SCHOOL 1900 W VAN BUREN STREET 300 CHICAGO IL 60605	N/A	PC	FREEDOM SCHOOL ORGANIZING AND EDUCATION, RESEARCH, AND ADVOCACY WORK WITH THE LONG HAUL ORGANIZATION	50,000
CLIMATE EMERGENCY RESOLUTION 1170 MAINWAY SUITE A BOULDER,CO 80304	N/A	PC	CLIMATE EMERGENCY RESOLUTION ORGANIZING AND ADVOCACY	100,000
COMMON CAUSE 1133 19TH STREET NW WASHINGTON DC 20036	N/A	PC	CLIMATE FUTURE PROJECT TO BUILD PUBLIC SUPPORT TO HOLD THE COMMISSION OF TEXAS (RRC) ACCOUNTABLE TO ADDRESS THE CLIMATE/ENERGY CRISIS	50,000
COMMUNITY RESOLUTION 1170 MAINWAY SUITE A BOULDER,CO 80304	N/A	PC	EDUCATIONAL ORGANIZING FOR CLIMATE AND WEALTH ACTION PROJECTS	5,000
CONNECTING ENTREPRENEURS 1170 MAINWAY SUITE A BOULDER,CO 80304	N/A	PC	CLIMATE FOR CHANGE AND ENTREPRENEURS FOR SOCIETY AND THE ENVIRONMENT ACTION AND PRESS PROJECTS	150,000
CRITICAL RESISTANCE PO BOX 22780 OAKLAND,CA 946062301	N/A	PC	BUILDING SR ORGANIZING FOR ABOLITION AND CAMPAIGN	75,000
DEBUG 1170 MAINWAY SUITE A BOULDER,CO 80304	N/A	PC	DEBUG DEMOCRACY FEDERAL ELECTION ACTIVITIES	50,000
DEBUG 1170 MAINWAY SUITE 502 BOULDER,CO 80304	N/A	PC	DEBUG IMPACT FUND GRANTMAKING COMMITTEE ACTIVITIES	50,000
DEEP MUSIC ALLIANCE 111 WEST 19TH STREET 5TH NEW YORK,NY 10001	N/A	PC	DEEP MUSIC ALLIANCE ORGANIZING IN NEW MEXICO	50,000
ENVIRONMENTAL GRANTMAKERS ASSOCIATION 55 EXCHANGE PLACE SUITE 960 NEW YORK,NY 10015	N/A	PC	ENVIRONMENTAL GRANTMAKERS ASSOCIATION (EGA) COLLABORATIVE FUND FOR ENVIRONMENTAL GRANTMAKERS	5,000
ENVIRONMENTAL INTEGRITY PROJECT 1000 VERMONT AVE NW STE 1100 WASHINGTON,DC 20005	N/A	PC	ENVIRONMENTAL INTEGRITY PROJECT REGULATORY OVERSIGHT WORK	50,000
EQUAL CITIZENS PO BOX 14107 MINNEAPOLIS,MN 55414	N/A	PC	EQUAL CITIZENS ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
EQUITABLE GROWTH 1616 P STREET NW STE 320 WASHINGTON,DC 20036	N/A	PC	EQUITABLE GROWTH ADVOCACY AND RESEARCH PIECE ABOUT CLIMATE CHANGE	50,000
EXPOSE 1170 MAINWAY A BOULDER,CO 80304	N/A	PC	EXPOSE GRANTMAKING COMMITTEE ACTIVITIES	50,000
FAIR VOTE 6930 CARROLL AVENUE SUITE 901 TAKOMA PARK,MD 20912	N/A	PC	FAIR VOTE TRANSFORMATIONAL ORGANIZING AND ADVOCACY FOR ELECTORAL REFORM	50,000
FAIRVOTE 6930 CARROLL AVENUE SUITE 901 TAKOMA PARK,MD 20912	N/A	PC	FAIRVOTE TRANSFORMATIONAL ORGANIZING AND ADVOCACY FOR ELECTORAL REFORM	50,000
FOOD AND WATER WATCH 1616 P STREET NW WASHINGTON,DC 20036	N/A	PC	FOOD AND WATER WATCH ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
FOUNDATION FOR ECONOMIC TRUSTWORTHINESS 1 MARSHALL ST NORTHAMPTON,MA 01060	N/A	PC	FOUNDATION FOR ECONOMIC TRUSTWORTHINESS ORGANIZING AND ADVOCACY	50,000
FRIENDS OF THE EARTH 1101 15TH STREET NW 11TH FLOOR WASHINGTON,DC 20005	N/A	PC	FRIENDS OF THE EARTH ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
GEORGE MASON UNIVERSITY FOUNDATION 4400 UNIVERSITY DRIVE MSN4A3 FAIRFAX,VA 22030	N/A	PC	GEORGE MASON UNIVERSITY FOUNDATION MOBILIZING THE ECO- MODERN POLICY NETWORK	50,000
GEORGIA ALLIANCE EDUCATION PO BOX 1 ATLANTA,GA 30301	N/A	PC	COALITION TO BUILD A MOVEMENT FOR CLIMATE JUSTICE	50,000
GLOBAL GREENGRANTS FUND 2550 HILLSBOROUGH PLACE SUITE A BOULDER,CO 80301	N/A	PC	GLOBAL GREENGRANTS FUND GRANTMAKING FOR GRASSROOTS ENVIRONMENTAL ORGANIZATIONS WORLDWIDE	150,000
GLOBAL WITNESS PO BOX 23502 WASHINGTON,DC 20026	N/A	PC	GLOBAL WITNESS ORGANIZING AND ADVOCACY FOR TRANSPARENCY REGULATIONS	50,000
GOOD GOOD GOOD 120 ZADDIE STREET ROOM 301 NEW ORLEANS,LA 70114	N/A	PC	GOOD GOOD GOOD ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
GOOD NATION FOUNDATION 100 ZADDIE STREET ROOM 301 NEW ORLEANS,LA 70114	N/A	PC	GOOD NATION FOUNDATION ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
GP VOTE 1616 P STREET NW WASHINGTON,DC 20036	N/A	PC	GP VOTE ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
GUP GP EDUCATION ACTION FUND 1616 P STREET NW WASHINGTON,DC 20036	N/A	PC	GUP GP EDUCATION ACTION FUND ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
GUP GP INDEPENDENT EXPENDITURE 1616 P STREET NW WASHINGTON,DC 20036	N/A	PC	GUP GP INDEPENDENT EXPENDITURE ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
HEADWATERS ECONOMICS 1666 DEARBORN ST MISSOULA,MT 59801	N/A	PC	HEADWATERS ECONOMICS ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
HOUSTON CLIMATE ACTION NETWORK PO BOX 20993 HOUSTON,TX 77225	N/A	PC	HOUSTON CLIMATE ACTION NETWORK ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
HUMAN RIGHTS CAMPAIGN 1640 RHODE ISLAND AVE NW WASHINGTON,DC 20036	N/A	PC	HUMAN RIGHTS CAMPAIGN ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
IMPACT ENGINE 1 SANSOME ST 3500 SAN FRANCISCO,CA 94104	N/A	PC	IMPACT ENGINE ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
INDIVISIBLE 1100 13TH STREET NW 800 WASHINGTON,DC 20005	N/A	PC	INDIVISIBLE ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
INSTITUTE FOR SOCIOECONOMICS 1555 CONNECTICUT AVE NW SUITE 200 WASHINGTON,DC 20036	N/A	PC	INSTITUTE FOR SOCIOECONOMICS ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
INTERNATIONAL ORGANIZATION FOR MIGRATION 17 ROUTE DES MORILLONS GENEVA 1218 SWITZERLAND	N/A	PC	INTERNATIONAL ORGANIZATION FOR MIGRATION ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
IRONWORKERS 1750 NEW YORK AVENUE NW WASHINGTON,DC 20006	N/A	PC	IRONWORKERS ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
JACOBIN 1019 A 19TH AVENUE PHOENIX,AZ 85015	N/A	PC	JACOBIN ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
JUSTICE DEMOCRATS 1100 13TH STREET NW 800 WASHINGTON,DC 20005	N/A	PC	JUSTICE DEMOCRATS ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
KEEP AMERICA BEAUTIFUL 1010 WASHINGTON BLVD STAMFORD,CT 06901	N/A	PC	KEEP AMERICA BEAUTIFUL ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
LEAGUE OF CONSERVATION VOTERS 740 15TH ST NW 7TH FLOOR WASHINGTON,DC 20005	N/A	PC	LEAGUE OF CONSERVATION VOTERS ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
LEGAL ACTION CENTER OF THE CITY OF NEW YORK 225 VARICK STREET 4TH FLOOR NEW YORK,NY 10014	N/A	PC	LEGAL ACTION CENTER OF THE CITY OF NEW YORK ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
LOUISIANA BUCKET BRIGADE PO BOX 1235 NEW ORLEANS,LA 70116	N/A	PC	LOUISIANA BUCKET BRIGADE ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
LUCHA 1514 N 16TH AVENUE PHOENIX,AZ 85015	N/A	PC	LUCHA ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
MEDICINE DEFENCE 1 SANSOME ST 3500 SAN FRANCISCO,CA 94104	N/A	PC	MEDICINE DEFENCE ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
NATIONAL CENTER FOR FAMILY PHILANTHROPY 1400 16TH AVE NW - SUITE 550 WASHINGTON,DC 20036	N/A	PC	NATIONAL CENTER FOR FAMILY PHILANTHROPY ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY 1500 L STREET NW SUITE 825 WASHINGTON,DC 20005	N/A	PC	NATIONAL COMMITTEE FOR RESPONSIVE PHILANTHROPY ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
NEW STANDARD PERFORMING ARTS INC 30 CASTLE HILL DR NEW ROCHELLE,NY 01330	N/A	PC	NEW STANDARD PERFORMING ARTS ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
NEW VENTURE FUND 1424 K STREET NW SUITE 500 WASHINGTON,DC 20036	N/A	PC	NEW VENTURE FUND ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
NEW VENTURE FUND 1424 K STREET NW SUITE 500 A WASHINGTON,DC 20036	N/A	PC	NEW VENTURE FUND ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
NEW WAY OF LIFE PO BOX 41098 LOS ANGELES,CA 90087	N/A	PC	NEW WAY OF LIFE A CONNECTED PEOPLE AND FAMILIES MOVEMENT TO BUILD A NEW KARMA SOUTH - LED BY AND FOR WOMEN OF PEOPLE WHO HAVE BEEN INCARCERATED AND THEIR FAMILIES	75,000
NOT BE AFTERNOTE 1 SANSOME ST 3500 SAN FRANCISCO,CA 94104	N/A	PC	THE 500 BOND TO SC A NEW BOND TO BUILD THE MOVEMENT TO RAISE AWARENESS ABOUT CLIMATE CHANGE/ENERGY	10,000
ONE FOR DEMOCRACY 1424 K STREET NW FLOOR WASHINGTON,DC 20005	N/A	PC	STRENGTHEN THE DEMOCRATIC PROCESS BY ENCOURAGING CITIZENS TO COMMUNICATE TO THEIR REPRESENTATIVES	50,000
PACIFICSTREET 1 SANSOME ST 3500 SAN FRANCISCO,CA 94104	N/A	PC	PACIFICSTREET GRANTMAKING COMMITTEE THAT DEVELOPS FORWARD THINKING GRANTS AND PROGRAMMING TO ADDRESS THE UNIQUE NEEDS OF ORGANIZATIONS STRENGTHEN THIS SECTOR'S CAPACITY	7,250
PARTNERSHIP FOR CIVIL JUSTICE FUND 1620 I ST NW FLOOR 4 WASHINGTON,DC 20006	N/A	PC	PARTNERSHIP FOR CIVIL JUSTICE FUND ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
PEOPLE POWER 100 PIONEER STREET SANTA CRUZ,CA 95060	N/A	PC	PEOPLE POWER ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
PEOPLES REVOLUTION HIP HOP 714 G ST SE ES 200 WASHINGTON,DC 20003	N/A	PC	PEOPLES REVOLUTION HIP HOP ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
PROMISE OF JUSTICE INITIATIVE 1024 ELYSIAN FIELDS AVE NEW ORLEANS,LA 70117	N/A	PC	PROMISE OF JUSTICE INITIATIVE ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	25,000
PROTECT OUR WINTERS 1629 BOULDER STREET BOULDER,CO 80304	N/A	PC	PROTECT OUR WINTERS ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
PUBLIC POLICY MEDIA EDUCATION FUND AT NEW YORK 1 PENN PLAZA SUITE 212 NEW YORK,NY 10119	N/A	PC	PUBLIC POLICY MEDIA ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
RECOVERY 1666 DEARBORN MISSOULA,MT 59801	N/A	PC	RECOVERY ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
REDISTRICTING PARTNERS 1 SANSOME ST 3500 SUITE 212 SAN FRANCISCO,CA 94104	N/A	PC	REDISTRICTING PARTNERS ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
RESEARCH FOUNDATION OF CUNY 1 SANSOME ST 3500 SUITE 600 SAN FRANCISCO,CA 94104	N/A	PC	RESEARCH FOUNDATION OF CUNY ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
ROCKEFELLER FAMILY FUND 1 SANSOME ST 3500 SUITE 600 NEW YORK,NY 10019	N/A	PC	ROCKEFELLER FAMILY FUND ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
ROCKEFELLER PHILANTHROPY ADVISORS 1 SANSOME ST 3500 SUITE 600 NEW YORK,NY 100199	N/A	PC	ROCKEFELLER PHILANTHROPY ADVISORS ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
SAN FRANCISCO PUBLIC PRESS 1455 MONTEVILLA DR SAN FRANCISCO,CA 94129	N/A	PC	SAN FRANCISCO PUBLIC PRESS ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
TEXAS CIVIL RIGHTS PROJECT 1405 MONTOPOLIS DR AUSTIN,TX 78741	N/A	PC	TEXAS CIVIL RIGHTS PROJECT ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
THE ADVENT SCHOOL 15 BROWNS STREET SAN FRANCISCO,CA 94102	N/A	PC	THE ADVENT SCHOOL ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
THE STRATEGIC INSTITUTE 3 EAST 54TH STREET NEW YORK,NY 10022	N/A	PC	THE STRATEGIC INSTITUTE ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
TIDES CENTER 1201 CONNECTICUT AVE NW SUITE 400 WASHINGTON,DC 20036	N/A	PC	TIDES CENTER ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
TIDES FOUNDATION 1 SANSOME ST 3500 SAN FRANCISCO,CA 94129	N/A	PC	TIDES FOUNDATION ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
TIDES FOUNDATION PO BOX 29903 SAN FRANCISCO,CA 94129	N/A	PC	TIDES FOUNDATION GRANTMAKING COMMITTEE ACTIVITIES	75,000
UNDER SIEGE 126 SOUTH SPRING STREET 4B BROOKLYN,NY 11217	N/A	PC	UNDER SIEGE ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
UNION OF CONCERNED SCIENTISTS 1825 K STREET NW SUITE 800 WASHINGTON,DC 20006	N/A	PC	UNION OF CONCERNED SCIENTISTS ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
UNION SQUARE & MULBERRY 1 SANSOME ST 3500 SAN FRANCISCO,CA 94104	N/A	PC	UNION SQUARE & MULBERRY ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
UNITED LEX CLIMATE ACTION NETWORK PO BOX 23463 LEXINGTON,KY 40523	N/A	PC	UNITED LEX CLIMATE ACTION NETWORK ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	100,000
UNITED LEX CLIMATE ACTION NETWORK PO BOX 23463 LEXINGTON,KY 40523	N/A	PC	UNITED LEX CLIMATE ACTION NETWORK ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	25,000
UNITED WAY OF THE NATIONAL CAPITAL AREA 1577 C STREET NW WASHINGTON,DC 20001	N/A	PC	UNITED WAY OF THE NATIONAL CAPITAL AREA ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
VOICES FOR CREATIVE NONVIOLENCE 1250 4TH STREET SW WASHINGTON,DC 20024	N/A	PC	VOICES FOR CREATIVE NONVIOLENCE ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
VOTE SOLAR 360 22ND STREET SUITE 730 OAKLAND,CA 94612	N/A	PC	VOTE SOLAR ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
WOMEN FOR WISDOM BEFORE WAR 1 SANSOME ST 3500 SUITE 600 SAN FRANCISCO,CA 94104	N/A	PC	WOMEN FOR WISDOM BEFORE WAR ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
YOUNG KINGS 1 SANSOME ST 3500 SAN FRANCISCO,CA 94104	N/A	PC	YOUNG KINGS ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
b Approved for future payment				
AZ PEACE PO BOX 23463 AVILA N MARIPAILE RD PARADALE AZ 85063	N/A	PC	EDUCATING ORGANIZING OF COLOR ABOUT ENERGY DEMOCRACY AND SOVEREIGNTY	75,000
BE THE MATCH FOUNDATION PO BOX 9403 MINNEAPOLIS,MN 55440	N/A	PC	STAFF MATCHING GRANT TO BE USED FOR GENERAL OPERATING SUPPORT	110
BRENNAN CENTER FOR JUSTICE 120 BROADWAY 3RD FLOOR NEW YORK,NY 10271	N/A	PC	BRENNAN CENTER FOR JUSTICE PSYCHOLOGY STUDENTS ORGANIZING FOR CLIMATE JUSTICE PROJECT	50,000
CENTER FOR CULTURAL POWER 1170 MAINWAY 2ND FLOOR OAKLAND,CA 94612	N/A	PC	CENTER FOR CULTURAL POWER ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
CHICAGO FREEDOM SCHOOL 1900 W VAN BUREN STREET 300 CHICAGO,IL 60605	N/A	PC	CHICAGO FREEDOM SCHOOL ORGANIZING AND EDUCATION, RESEARCH, AND ADVOCACY WORK WITH THE LONG HAUL ORGANIZATION	25,000
CRITICAL RESISTANCE PO BOX 22780 OAKLAND,CA 946062301	N/A	PC	BUILDING SR ORGANIZING FOR ABOLITION AND CAMPAIGN	150,000
DEBUG 1170 MAINWAY SUITE A BOULDER,CO 80304	N/A	PC	DEBUG IMPACT FUND GRANTMAKING COMMITTEE ACTIVITIES	50,000
DEBUG 1170 MAINWAY SUITE 502 BOULDER,CO 80304	N/A	PC	DEBUG IMPACT FUND GRANTMAKING COMMITTEE ACTIVITIES	250,000
DEEP MUSIC ALLIANCE 111 WEST 19TH STREET 5TH NEW YORK,NY 10001	N/A	PC	DEEP MUSIC ALLIANCE ORGANIZING IN NEW MEXICO	50,000
ENVIRONMENTAL INTEGRITY PROJECT 1000 VERMONT AVE NW STE 1100 WASHINGTON,DC 20005	N/A	PC	ENVIRONMENTAL INTEGRITY PROJECT REGULATORY OVERSIGHT WORK	50,000
EQUITABLE GROWTH 1616 P STREET NW STE 320 WASHINGTON,DC 20036	N/A	PC	EQUITABLE GROWTH ADVOCACY AND RESEARCH PIECE ABOUT CLIMATE CHANGE	50,000
FAIR VOTE 6930 CARROLL AVENUE SUITE 901 TAKOMA PARK,MD 20912	N/A	PC	FAIR VOTE TRANSFORMATIONAL ORGANIZING AND ADVOCACY FOR ELECTORAL REFORM	50,000
FAIRVOTE 6930 CARROLL AVENUE SUITE 901 TAKOMA PARK,MD 20912	N/A	PC	FAIRVOTE TRANSFORMATIONAL ORGANIZING AND ADVOCACY FOR ELECTORAL REFORM	50,000
FOOD AND WATER WATCH 1616 P STREET NW WASHINGTON,DC 20036	N/A	PC	FOOD AND WATER WATCH ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
FOUNDATION FOR ECONOMIC TRUSTWORTHINESS 1 MARSHALL ST NORTHAMPTON,MA 01060	N/A	PC	FOUNDATION FOR ECONOMIC TRUSTWORTHINESS ORGANIZING AND ADVOCACY	50,000
FRIENDS OF THE EARTH 1101 15TH STREET NW 11TH FLOOR WASHINGTON,DC 20005	N/A	PC	FRIENDS OF THE EARTH ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
GEORGE MASON UNIVERSITY FOUNDATION 4400 UNIVERSITY DRIVE MSN4A3 FAIRFAX,VA 22030	N/A	PC	GEORGE MASON UNIVERSITY FOUNDATION MOBILIZING THE ECO- MODERN POLICY NETWORK	50,000
GEORGIA ALLIANCE EDUCATION PO BOX 1 ATLANTA,GA 30301	N/A	PC	COALITION TO BUILD A MOVEMENT FOR CLIMATE JUSTICE	50,000
GLOBAL GREENGRANTS FUND 2550 HILLSBOROUGH PLACE SUITE A BOULDER,CO 80301	N/A	PC	GLOBAL GREENGRANTS FUND GRANTMAKING FOR GRASSROOTS ENVIRONMENTAL ORGANIZATIONS WORLDWIDE	250,000
GLOBAL WITNESS PO BOX 23502 WASHINGTON,DC 20026	N/A	PC	GLOBAL WITNESS ORGANIZING AND ADVOCACY FOR TRANSPARENCY REGULATIONS	50,000
GOOD GOOD GOOD 120 ZADDIE STREET ROOM 301 NEW ORLEANS,LA 70114	N/A	PC	GOOD GOOD GOOD ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
GOOD NATION FOUNDATION 100 ZADDIE STREET ROOM 301 NEW ORLEANS,LA 70114	N/A	PC	GOOD NATION FOUNDATION ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
GP VOTE 1616 P STREET NW WASHINGTON,DC 20036	N/A	PC	GP VOTE ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
GUP GP EDUCATION ACTION FUND 1616 P STREET NW WASHINGTON,DC 20036	N/A	PC	GUP GP EDUCATION ACTION FUND ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
GUP GP INDEPENDENT EXPENDITURE 1616 P STREET NW WASHINGTON,DC 20036	N/A	PC	GUP GP INDEPENDENT EXPENDITURE ORGANIZING AND ADVOCACY TO DEMOCRATIZE REDISTRICTING	50,000
HEADWATERS ECONOMICS 1666 DEARBORN ST MISSOULA,MT 59801	N/A	PC	HEADWATERS ECONOMIC

Part XV-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1,207	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	901101	126	18	1,401,921	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a ORDINARY INCOME (LOSS) THROUGH K-1S	901101	137	14	-28,036	
b OTHER INVESTMENT INCOME			14	98	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		281		2,788,255	0
13 **Total.** Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)				**13**	2,788,536

Part XV-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XV-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVI Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)	Yes	
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
1a(1)	25,000	AMERICANS FOR THE ARTS ACTION FUND	GRANT PAYMENT TO EDUCATE ARTISTS ON ACCESSING FEDERAL RELIEF FUNDS AND OTHER VOTER EDUCATION EFFORTS.
1a(1)	25,000	BRAVE NEW FILMS ACTION FUND	GRANT PAYMENT TO CREATE AND DISTRIBUTE NEW SHORT FILMS FOCUSED ON CIVIC ENGAGEMENT.
1a(1)	75,000	AZ PODER	GRANT PAYMENT TO EDUCATE COMMUNITIES OF COLOR ABOUT CIVIC ENGAGEMENT AT THE LOCAL AND STATE LEVEL.
1a(1)	100,000	CLIMATE EMERGENCY ADVOCATES	GRANT PAYMENT TO EDUCATE YOUNG CLIMATE ACTIVISTS IN ARIZONA AND PENNSYLVANIA.
1a(1)	50,000	GAMALIEL FAITH AND DEMOCRACY CAMPAIGN	GRANT PAYMENT TO SUPPORT THE TRANSFORMATIONAL JUSTICE IN ACTION CAMPAIGN'S IN-PRISON OUTREACH PROGRAM, WHEREBY INCARCERATED LEADERS EDUCATE FRIENDS, FAMILY MEMBERS, CO-WORKERS, AND THE FAITH COMMUNITY ON CIVIC PARTICIPATION.
1a(1)	75,000	HIP HOP CAUCUS ACTION FUND	GRANT PAYMENT TO ENGAGE THE PUBLIC ON ENVIRONMENTAL JUSTICE THROUGH MEDIA.
1a(1)	75,000	LEAGUE OF CONSERVATION VOTERS	GRANT PAYMENT TO ENGAGE LATINX FAMILIES AND COMMUNITIES OF COLOR IN THE FIGHT AGAINST CLIMATE CHANGE AND ENVIRONMENTAL INJUSTICE.
1a(1)	50,000	LUCHA	GRANT PAYMENT TO EDUCATE UNDOCUMENTED, LOW-INCOME, AND YOUNG PEOPLE ABOUT LOCAL ECONOMIC AND SOCIAL ISSUES.
1a(1)	250,000	MICHIGAN CIVIC ACTION FUND	GRANT PAYMENT TO EDUCATE BIPOC VOTERS ABOUT PUBLIC POLICY ISSUES IN MICHIGAN.
1a(1)	50,000	ONE FOR DEMOCRACY	GRANT PAYMENT TO STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY COMMUNITIES, TO THRIVE.
1a(1)	75,000	PROGRESSIVE MULTIPLIER ACTION FUND	GRANT PAYMENT TO HELP PROGRESSIVE NONPROFIT GROUPS IMPLEMENT AND SCALE REVENUE GENERATION TECHNIQUES TO REINFORCE THEIR BUDGETS AND SUPPORT MISSION DELIVERY.
1a(1)	200,000	SIXTEEN THIRTY FUND	GRANT PAYMENT TO SUPPORT THE CLIMATE EQUITY ACTION FUND IN ADVANCING STRATEGIES TO STOP CLIMATE CHANGE AND ADVANCE CLEAN ENERGY SOLUTIONS.
1a(1)	50,000	TIDES ADVOCACY	GRANT PAYMENT TO SUPPORT EDUCATIONAL ACTIVITIES OF THE ALLIANCE FOR SAFETY & JUSTICE ACTION FUND, WHICH EDUCATES THE PUBLIC ON REDUCING MASS CRIMINALIZATION AND INCARCERATION.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2022-11-15

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below? See instructions. ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
EVA MRUK		2022-11-09		P00543254

Firm's name ▶ PKF O'CONNOR DAVIES LLP — Firm's EIN ▶ 27-1728945

Firm's address ▶ 500 MAMARONECK AVENUE SUITE 301 HARRISON, NY 105281633 — Phone no. (914) 381-8900

Additional Data

Return to Form

Software ID:
Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANT THORNTON, LLP	371	0		148
PKF O'CONNOR DAVIES, LLP	31,210	14,797		14,525

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
AMERICANS FOR THE ARTS ACTION FUND	1000 VERMONT AVENUE 6TH FLOOR WASHINGTON, DC 20005	2020-07-31	75,000	TO EDUCATE CITIZENS ON ARTS-FRIENDLY PUBLIC POLICIES AT THE FEDERAL, STATE AND LOCAL LEVELS.	75,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/21 & 4/6/22		
AMERICANS FOR THE ARTS ACTION FUND	1000 VERMONT AVENUE 6TH FLOOR WASHINGTON, DC 20005	2021-11-11	25,000	TO EDUCATE ARTISTS ON ACCESSING FEDERAL RELIEF FUNDS AND OTHER VOTER EDUCATION EFFORTS.	0	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/22		
AZ PODER	4415 N MARYVALE PKWY PHOENIX, AZ 85063	2021-11-11	150,000	TO EDUCATE COMMUNITIES OF COLOR ABOUT CIVIC ENGAGEMENT AT THE LOCAL AND STATE LEVEL.	23,598	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/22		
BRAVE NEW FILMS ACTION FUND	10510 CULVER BOULEVARD CULVER CITY, CA 90232	2020-05-22	50,000	TO CREATE AND DISTRIBUTE ENGAGING MEDIA TO EDUCATE AND MOTIVATE CITIZENS, LEADING TO PRODUCTIVE CIVIC PARTICIPATION.	50,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/21 & 2/28/22		
CLIMATE EMERGENCY ADVOCATES	4676 BROADWAY SUITE A BOULDER, CO 80304	2021-11-11	100,000	TO EDUCATE YOUNG CLIMATE ACTIVISTS IN ARIZONA AND PENNSYLVANIA.	26,750	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/22		
CONSERVATION COLORADO	1536 WYNKOOP STREET SUITE 510 DENVER, CO 80202	2020-09-23	50,000	TO PROTECT COLORADO'S CLIMATE, AIR, LAND, WATER, AND COMMUNITIES THROUGH ORGANIZING AND ADVOCACY.	50,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/21 & 2/28/22		
CULTURAL ENGAGEMENT LAB	1330 BROADWAY SUITE 300 OAKLAND, CA 94612	2020-11-09	100,000	TO ORGANIZE A STRONG NETWORK OF ARTISTS AND CULTURE MAKERS FROM IMPACTED COMMUNITIES WHO CAN USE ART AND CULTURE AS AN ENGINE FOR CIVIC ENGAGEMENT.	100,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/21 & 2/28/22		
GAMALIEL FAITH AND DEMOCRACY CAMPAIGN	5401 S CORNELL AVENUE SUITE 301 CHICAGO, IL 60615	2021-06-22	50,000	TO SUPPORT THE TRANSFORMATIONAL JUSTICE IN ACTION CAMPAIGN'S IN-PRISON OUTREACH PROGRAM, WHEREBY INCARCERATED LEADERS EDUCATE FRIENDS, FAMILY MEMBERS, CO-WORKERS, AND THE FAITH COMMUNITY ON CIVIC PARTICIPATION.	50,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/22		
HIP HOP CAUCUS ACTION FUND	20 F ST NW 7TH FLOOR WASHINGTON, DC 20001	2020-05-22	50,000	TO ENERGIZE THE HIP HOP COMMUNITY AS A WAY TO BUILD CLIMATE MOVEMENT POWER AND PROMOTE CIVIC ENGAGEMENT.	48,580	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/21 & 10/18/22		
HIP HOP CAUCUS ACTION FUND	20 F ST NW 7TH FLOOR WASHINGTON, DC 20001	2020-09-09	50,000	TO ENERGIZE THE HIP HOP COMMUNITY AS A WAY TO BUILD CLIMATE MOVEMENT POWER AND PROMOTE CIVIC ENGAGEMENT.	48,580	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/21 & 10/18/22		
HIP HOP CAUCUS ACTION FUND	20 F ST NW 7TH FLOOR WASHINGTON, DC 20001	2021-11-11	150,000	TO ENGAGE THE PUBLIC ON ENVIRONMENTAL JUSTICE THROUGH MEDIA.	61,900	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	10/19/22		
JOLT ACTION	PO BOX 4185 AUSTIN, TX 78765	2020-09-26	50,000	TO BUILD LONG-TERM LEADERSHIP OF LATINX YOUTH, GIVING THEM THE ABILITY TO SHAPE THE CONVERSATION ON CLIMATE JUSTICE.	50,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/21 & 2/28/22		
LEAGUE OF CONSERVATION VOTERS	740 15TH ST NW 7TH FLOOR WASHINGTON, DC 20005	2020-10-19	250,000	TO BUILD POWER THROUGH EDUCATION THAT WILL CREATE A WORLD WITH CLEAN AIR, CLEAN WATER, PUBLIC LANDS, AND A SAFE CLIMATE THAT ARE PROTECTED BY A JUST AND EQUITABLE DEMOCRACY.	250,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/21 & 2/28/22		
LEAGUE OF CONSERVATION VOTERS	740 15TH ST NW 7TH FLOOR WASHINGTON, DC 20005	2021-06-22	75,000	TO ENGAGE LATINX FAMILIES AND COMMUNITIES OF COLOR IN THE FIGHT AGAINST CLIMATE CHANGE AND ENVIRONMENTAL INJUSTICE.	37,550	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/22		
LUCHA	5716 N 19TH AVENUE PHOENIX, AZ 85015	2021-11-11	100,000	TO EDUCATE UNDOCUMENTED, LOW-INCOME, AND YOUNG PEOPLE ABOUT LOCAL ECONOMIC AND SOCIAL ISSUES.	50,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/22		
MAKE THE ROAD ACTION FUND	449 TROUTMAN STREET SUITE C BROOKLYN, NY 11237	2020-11-06	50,000	TO BUILD POWER THROUGH EDUCATION FOR JUSTICE IN LATINX AND IMMIGRANT COMMUNITIES AND WORKING CLASS COMMUNITIES OF COLOR.	50,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/21 & 2/28/22		
MICHIGAN	28342	2021-11-	500,000	TO EDUCATE BIPOC VOTERS ABOUT PUBLIC POLICY ISSUES IN MICHIGAN.	250,000	TO OUR KNOWLEDGE, THE	2/28/22		

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
CIVIC ACTION FUND	DARTMOUTH STREET MADISON HEIGHTS, MI 48071	11				GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.			
ONE FOR DEMOCRACY	107 GRAND STREET 7TH FLOOR NEW YORK, NY 10013	2021-06-22	200,000	TO STRENGTHEN THE INFRASTRUCTURE NEEDED FOR DEMOCRACY, AND ULTIMATELY COMMUNITIES, TO THRIVE.	50,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/22		
PROGRESSIVE MULTIPLIER ACTION FUND	2733 WOODLEY PLACE NW WASHINGTON, DC 20008	2021-06-22	75,000	TO HELP PROGRESSIVE NONPROFIT GROUPS IMPLEMENT AND SCALE REVENUE GENERATION TECHNIQUES TO REINFORCE THEIR BUDGETS AND SUPPORT MISSION DELIVERY.	75,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	8/31/2022		
SIXTEEN THIRTY FUND	1201 CONNECTICUT AVE NW SUITE 300 WASHINGTON, DC 20036	2021-06-22	325,000	TO SUPPORT THE CLIMATE EQUITY ACTION FUND IN ADVANCING STRATEGIES TO STOP CLIMATE CHANGE AND ADVANCE CLEAN ENERGY SOLUTIONS.	200,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/2022		
TIDES ADVOCACY	PO BOX 29229 SAN FRANCISCO, CA 94129	2019-12-03	100,000	TO SUPPORT EDUCATIONAL ACTIVITIES OF THE FLORIDA RIGHTS RESTORATION COALITION, DEDICATED TO ENDING THE DISENFRANCHISEMENT AND DISCRIMINATION AGAINST PEOPLE WITH CONVICTIONS.	100,000	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/2020, 2/28/21 & 2/28/22		
TIDES ADVOCACY	PO BOX 29229 SAN FRANCISCO, CA 94129	2021-06-22	50,000	TO SUPPORT EDUCATIONAL ACTIVITIES OF THE ALLIANCE FOR SAFETY & JUSTICE ACTION FUND, WHICH EDUCATES THE PUBLIC ON REDUCING MASS CRIMINALIZATION AND INCARCERATION.	39,500	TO OUR KNOWLEDGE, THE GRANTEE HAS NOT DIVERTED ANY PORTION OF THE FUNDS.	2/28/22		

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Identifier	Return Reference	Explanation
REGARDING GRANT PROGRAMS	990-PF, PART XIV, LINE 2	THE DAVID ROCKEFELLER FUND CURRENTLY HAS THREE PRIMARY PROGRAM AREAS: ARTS, JUSTICE, AND CLIMATE.THE ARTS PROGRAM FOCUSES ON CULTIVATING, SHOWCASING AND DISSEMINATING THE STORIES OF INNOVATIVE ART FOR SOCIAL CHANGE; JUSTICE SUPPORTS BOLD NEW IDEAS AND POLICY INITIATIVES FOR TRANSFORMING U.S. DETENTION AND INCARCERATION POLICY; AND CLIMATE SUPPORTS AND SUSTAINS BOLD, SCIENCE-BASED U.S. LEADERSHIP ON CLIMATE SOLUTIONS. IN ADDITION TO THE PROGRAM AREAS OUTLINED ABOVE, THE FUND SUPPORTED A NUMBER OF CHARITABLE INITIATIVES DESIGNED TO ENCOURAGE TRUSTEES' INDIVIDUAL CHARITABLE GIVING.

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AMAZON.COM INC 0.80% DUE 06/03/2025 CALLABLE @ 100 05/03/25	35,602	35,602
AMAZON.COM INCORPORATED 0.45% DUE 05/12/2024	89,134	89,134
AMERICAN EXPRESS 4.05% DUE 12/03/2042	42,857	42,857
AT&T INC. 0.90% DUE 03/25/24 CALL @ 100 03/25/22	69,696	69,696
AT&T INC. 1.70% DUE 03/25/26 CALL @ 100 03/25/23	67,685	67,685
BANK OF AMERICA CORPORATION 1.486% DUE 05/19/2024 CALL @ 100 05/19/2023	100,733	100,733
BANK OF AMERICA CORPORATION VAR% DUE 09/25/25 CALL @ 100 09/25/24	103,747	103,747
BRUNSWICK CORP 0.85% 08/18/2024	69,014	69,014
CITIGROUP INC. VAR% DUE 10/30/24 CALL @ 100 10/30/23	69,556	69,556
COMCAST CORP 3.90% DUE 03/01/2038 CALL @ 100 09/01/2037	106,606	106,606
CONSERVATION FUND 3.474% DUE 12/15/2029	105,641	105,641
CVS HEALTH CORP 3.25% DUE 08/15/2029 CALL @ 100 05/15/2029	89,641	89,641
CVS HEALTH CORPORATION 3.375% DUE 08/12/24 CALL @ 100 05/12/24	84,091	84,091
FANNIE MAE 2.00% DUE 07/01/36	54,731	54,731
FANNIE MAE 3.00% DUE 04/01/2051	37,120	37,120
FANNIE MAE FN BM3973 3.00% DUE 04/01/2032	26,934	26,934
FANNIE MAE FN BM4676 4.00% DUE 10/01/2048	50,203	50,203
FANNIE MAE FN BQ2350 2.00% DUE 09/01/2050	53,586	53,586
FANNIE MAE FN CA3845 4.50% DUE 07/01/2049	29,220	29,220
FANNIE MAE FN FM4933 2.00% DUE 12/01/2035	22,216	22,216
FANNIE MAE FN MA3902 2.50% DUE 01/01/2050	11,978	11,978
FANNIE MAE POOL #CB1343 2.50% DUE 08/01/41	50,511	50,511
FANNIE MAE POOL #FM8178 2.50% DUE 07/01/51	90,595	90,595
FANNIE MAE POOL #FM8821 3.00% DUE 09/01/51	30,625	30,625
FREDDIE MAC 2.50% DUE 10/01/2040	20,359	20,359
FREDDIE MAC FN ZS7888 2.50% DUE 10/01/2032	20,731	20,731
GM FINANCIAL SECURITIZED TERM GMCAR 2019-3 A3 2.18% DUE 04/16/2024	16,607	16,607
GOLDMAN SACHS GROUP INC 4.223% DUE 05/01/2029 CALL @ 100 05/01/2028	45,579	45,579
HOME DEPOT INC 3.75% DUE 02/15/2024 CALL @ 100 11/15/2023	63,252	63,252
HOME DEPOT INC 5.875% DUE 12/16/2036	103,553	103,553
JPMORGAN CHASE & CO 4.493% DUE 03/24/2031 CALL @ 100 03/24/2030	108,882	108,882
KROGER COMPANY 4.00% DUE 02/01/2024 CALL @100 11/01/2023	72,588	72,588
KFW 2.00% DUE 09/29/2022	96,095	96,095
MICROSOFT CORP 2.875% DUE 02/06/2024 CALL @ 100 12/06/2023	80,155	80,155
MICROSOFT CORPORATION 3.50% DUE 02/12/2035 CALL @ 100 08/12/2034	57,211	57,211
NIKE INC. CORP 2.25% DUE 05/01/2023 CALL @ 100 02/01/23	67,214	67,214
NVIDIA CORPORATION 0.584% DUE 06/14/2024 CALL @ 100 06/14/2023	69,366	69,366
ORACLE CORPORATION 3.40% DUE 07/08/24 CALL @ 100 04/08/24	66,920	66,920

Name of Bond	End of Year Book Value	End of Year Fair Market Value
PNC FINANCIAL SERVICES 2.20% DUE 11/01/2024 CALL @ 100 10/02/2024	87,304	87,304
SIMON PROPERTY GROUP LP 3.375% DUE 06/15/2027 CALL @ 100 03/15/2027	48,296	48,296
SIMON PROPERTY GROUP LP 3.75% DUE 02/01/2024 CALL @ 100 11/01/2023	65,969	65,969
THE WALT DISNEY COMPANY 1.75% DUE 08/30/24 CALL @ 100 07/30/24	67,059	67,059
TRUIST FINANCIAL CORPORATION 3.75% DUE 12/06/2023 CALL @ 100 11/06/2023	50,459	50,459
VANGUARD MORTGAGE BACKED SECURITIES ETF	110,488	110,488
VERIZON COMMUNICATIONS INC. 0.75% DUE 03/22/24 CALL @ 100 03/22/21	69,725	69,725
WELLS FARGO & COMPANY VAR% DUE 05/19/25 CALL @ 100 05/19/24	49,445	49,445

FT 2011-2020 Deal & Firm Rankings

Updated: 19 JAN 2021 02:07:25 PM EST
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Name of Firm	Rank of Value Rank	Rank of Deal Count Rank
1	1	1
2	2	2
3	3	3
4	4	4
5	5	5
6	6	6
7	7	7
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98	98	98
99	99	99
100	100	100

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

US Government Securities - End of Year Book Value:	4,697,354
US Government Securities - End of Year Fair Market Value:	4,697,354
State & Local Government Securities - End of Year Book Value:	1,317,003
State & Local Government Securities - End of Year Fair Market Value:	1,317,003

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ALEXANDRIA REAL ESTATE EQUITIES, INC.	FMV	2,453	2,453
AMERICAN TOWER CORPORATION	FMV	41,243	41,243
AVALONBAY COMMUNITIES, INC.	FMV	15,408	15,408
DIGITAL REALTY TRUST, INC.	FMV	8,667	8,667
DUKE REALTY CORPORATION	FMV	8,599	8,599
EQUINIX, INC.	FMV	27,913	27,913
FEDERAL REALTY INVESTMENT TR.	FMV	14,586	14,586
GAMING AND LEISURE PROPERTIES, INC.	FMV	584	584
HEALTHPEAK PROPERTIES INC	FMV	6,821	6,821
HOST HOTELS & RESORTS INC.	FMV	11,408	11,408
IRON MOUNTAIN INC.	FMV	14,077	14,077
JBG SMITH PROPERTIES	FMV	7,465	7,465
KILROY REALTY COPORATION	FMV	2,592	2,592
KITE REALTY GROUP TRUST	FMV	17,402	17,402
PROLOGIS INC.	FMV	46,467	46,467
REGENCY CENTERS CORPORATION	FMV	7,158	7,158
SIMON PROPERTY GROUP, INC.	FMV	19,172	19,172
STORE CAPITAL CORP	FMV	11,283	11,283
THE MACERICH COMPANY	FMV	10,005	10,005
VENTAS, INC.	FMV	22,748	22,748
WELLTOWER INC.	FMV	13,637	13,637
CRA QUALIFIED INVESTMENT FUND	FMV	5,566,908	5,566,908
PARNASSUS FUND INSTITUTIONAL SHARES	FMV	6,680,711	6,680,711
PAX GLOBAL ENVIRONMENTAL MARKETS FUND	FMV	7,977,573	7,977,573
NEW ENERGY CAPITAL INFRASTRUCTURE	FMV	616,339	616,339
ROCKEFELLER ALTERNATIVE STRATEGIES FUND	FMV	9,597	9,597
ROCKEFELLER ACCESS FUND 06-1	FMV	283,070	283,070
ROCKEFELLER LIBRA FOSSIL FUEL FREE L.P.	FMV	15,133,296	15,133,296

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
OFFICE EQUIPMENT	9,905	8,130	1,775	1,775
COMPUTER EQUIPMENT & SOFTWARE	19,205	8,412	10,793	10,793

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PATTERSON BELKNAP WEBB & TYLER, LLP	24,134	0		22,303

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INVESTMENT RECEIVABLE	67,159	57,233	57,233
RECOVERABLE TAXES PAID		3,088	3,088

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Description	Amount
DEFERRED FEDERAL EXCISE TAX EXPENSE	68,081
CONTRA-REVENUE DISCOUNT	832,924

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TELEPHONE & INTERNET	3,323	225		3,245
INSURANCE	12,571	850		11,740
GEN. OFFICE EXP: DUES & MEMBERSHIPS	44,471	38		44,471
GEN. OFFICE EXP: MAINTENANCE & REPAIRS	13,051	887		16,601
GENERAL OFFICE EXP: OTHER	7,439	505		7,952
PORTFOLIO DEDUCTIONS THROUGH PARTNERSHIPS	161,245	201,631		0
APERIO ADR FEES	45,158	45,158		0
GEN. OFFICE EXP: PAYROLL FEES	7,048	477		7,048
FILING FEE	1,613	109		250
MISCELLANEOUS GENERAL	77	0		0

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ORDINARY INCOME THROUGH K-1S		2,068	
ORDINARY INCOME (LOSS) THROUGH K-1S	-27,899		-27,899
OTHER INVESTMENT INCOME	98	98	98

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Description	Amount
UNREALIZED APPRECIATION ON INVESTMENTS	4,809,762

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROGRAM CONSULTANTS	80,210	0		80,210
INVESTMENT ADVISORY COMMITTEE STIPENDS	4,000	4,000		0
INVESTMENT MANAGEMENT FEES	283,205	283,205		0
CONSULTANTS' FEES - BENEFIT RESOURCES	20,366	0		3,728
INFORMATION & TECHNOLOGY SERVICES	11,594	0		8,784
CUSTODY FEES	8,336	8,336		0

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Name	Address
MARGARET DULANY	475 RIVERSIDE DRIVE SUITE 900 NEW YORK,NY 10020

TY 2021 IRS 990 e-File Render

Name: THE DAVID ROCKEFELLER FUND INC

EIN: 13-3533359

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	36,429	0		0
FOREIGN TAXES THROUGH K-1S	0	40,208		0