

For calendar year 2021, or tax year beginning 01-01-2021 , and ending 12-31-2021

|                                                                                                                                                                                                                                                                                                            |  |                                                                                                                                                                                                     |                                                                    |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|
| Name of foundation<br>THE OAK FOUNDATION USA<br>C/O KEMNAY ADVISORY SERVICES INC                                                                                                                                                                                                                           |  | <b>A Employer identification number</b><br><br>13-3321196                                                                                                                                           |                                                                    |
| Number and street (or P.O. box number if mail is not delivered to street address)<br>45 ROCKEFELLER PLAZA 2100                                                                                                                                                                                             |  | Room/suite                                                                                                                                                                                          | <b>B Telephone number</b> (see instructions)<br><br>(415) 498-5000 |
| City or town, state or province, country, and ZIP or foreign postal code<br>NEW YORK CITY, NY 10111                                                                                                                                                                                                        |  | <b>C</b> If exemption application is pending, check here <input type="checkbox"/>                                                                                                                   |                                                                    |
| <b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |  | <b>D 1.</b> Foreign organizations, check here..... <input type="checkbox"/><br><b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/> |                                                                    |
| <b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                   |  | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>                                                                          |                                                                    |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ <u>9,840,513</u>                                                                                                                                                                                             |  | <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>                                                                       |                                                                    |
| <b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis.)                                                                                                     |  |                                                                                                                                                                                                     |                                                                    |

| Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small> |                                                                                                             | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                                            | <b>1</b> Contributions, gifts, grants, etc., received (attach schedule)                                     | 2,231,000                          |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B . . . . . |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>3</b> Interest on savings and temporary cash investments . . . . .                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>4</b> Dividends and interest from securities . . . . .                                                   | 3                                  | 3                         |                         |                                                             |
|                                                                                                                                                                                    | <b>5a</b> Gross rents . . . . .                                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>b</b> Net rental income or (loss) _____                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>6a</b> Net gain or (loss) from sale of assets not on line 10                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>b</b> Gross sales price for all assets on line 6a _____                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>7</b> Capital gain net income (from Part IV, line 2) . . . . .                                           |                                    | 0                         |                         |                                                             |
|                                                                                                                                                                                    | <b>8</b> Net short-term capital gain . . . . .                                                              |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>9</b> Income modifications . . . . .                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>10a</b> Gross sales less returns and allowances _____                                                    |                                    |                           |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                                              | <b>b</b> Less: Cost of goods sold . . . . .                                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>c</b> Gross profit or (loss) (attach schedule) . . . . .                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>11</b> Other income (attach schedule) . . . . .                                                          | 145                                | 0                         | 0                       |                                                             |
|                                                                                                                                                                                    | <b>12 Total.</b> Add lines 1 through 11 . . . . .                                                           | 2,231,148                          | 3                         | 0                       |                                                             |
|                                                                                                                                                                                    | <b>13</b> Compensation of officers, directors, trustees, etc.                                               | 0                                  | 0                         | 0                       | 0                                                           |
|                                                                                                                                                                                    | <b>14</b> Other employee salaries and wages . . . . .                                                       | 1,771,554                          | 0                         | 0                       | 1,771,554                                                   |
|                                                                                                                                                                                    | <b>15</b> Pension plans, employee benefits . . . . .                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>16a</b> Legal fees (attach schedule) . . . . .                                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>b</b> Accounting fees (attach schedule) . . . . .                                                        | 66,572                             | 66,572                    | 0                       | 0                                                           |
|                                                                                                                                                                                    | <b>c</b> Other professional fees (attach schedule) . . . . .                                                | 248,432                            | 124,216                   | 0                       | 124,216                                                     |
|                                                                                                                                                                                    | <b>17</b> Interest . . . . .                                                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>18</b> Taxes (attach schedule) (see instructions) . . . . .                                              |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>19</b> Depreciation (attach schedule) and depletion . . . . .                                            | 16,287                             | 0                         | 0                       |                                                             |
|                                                                                                                                                                                    | <b>20</b> Occupancy . . . . .                                                                               | 136,728                            | 0                         | 0                       | 136,728                                                     |
|                                                                                                                                                                                    | <b>21</b> Travel, conferences, and meetings . . . . .                                                       | 9,895                              | 0                         | 0                       | 9,895                                                       |
|                                                                                                                                                                                    | <b>22</b> Printing and publications . . . . .                                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>23</b> Other expenses (attach schedule) . . . . .                                                        | 61,796                             | 0                         | 0                       | 61,796                                                      |
|                                                                                                                                                                                    | <b>24 Total operating and administrative expenses.</b><br>Add lines 13 through 23 . . . . .                 | 2,311,264                          | 190,788                   | 0                       | 2,104,189                                                   |
|                                                                                                                                                                                    | <b>25</b> Contributions, gifts, grants paid . . . . .                                                       | 10,262,633                         |                           |                         | 10,262,633                                                  |
|                                                                                                                                                                                    | <b>26 Total expenses and disbursements.</b> Add lines 24 and 25                                             | 12,573,897                         | 190,788                   | 0                       | 12,366,822                                                  |
|                                                                                                                                                                                    | <b>27</b> Subtract line 26 from line 12:                                                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>a Excess of revenue over expenses and disbursements</b>                                                  | -10,342,749                        |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>b Net investment income</b> (if negative, enter -0-)                                                     |                                    | 0                         |                         |                                                             |
|                                                                                                                                                                                    | <b>c Adjusted net income</b> (if negative, enter -0-)                                                       |                                    |                           | 0                       |                                                             |

| Part II                     |                                                                                                      | Balance Sheets                                                                                                                    | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | Beginning of year | End of year    |                       |
|-----------------------------|------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                             |                                                                                                      |                                                                                                                                   |                                                                                                                      | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1                                                                                                    | Cash—non-interest-bearing . . . . .                                                                                               |                                                                                                                      |                   |                |                       |
|                             | 2                                                                                                    | Savings and temporary cash investments . . . . .                                                                                  | 20,157,281                                                                                                           | 8,710,244         | 8,710,244      |                       |
|                             | 3                                                                                                    | Accounts receivable ▶ <u>1,092,000</u>                                                                                            |                                                                                                                      |                   |                |                       |
|                             |                                                                                                      | Less: allowance for doubtful accounts ▶ _____                                                                                     |                                                                                                                      | 1,092,000         | 1,092,000      |                       |
|                             | 4                                                                                                    | Pledges receivable ▶ _____                                                                                                        |                                                                                                                      |                   |                |                       |
|                             |                                                                                                      | Less: allowance for doubtful accounts ▶ _____                                                                                     |                                                                                                                      |                   |                |                       |
|                             | 5                                                                                                    | Grants receivable . . . . .                                                                                                       |                                                                                                                      |                   |                |                       |
|                             | 6                                                                                                    | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |                                                                                                                      |                   |                |                       |
|                             | 7                                                                                                    | Other notes and loans receivable (attach schedule) ▶ _____                                                                        |                                                                                                                      |                   |                |                       |
|                             |                                                                                                      | Less: allowance for doubtful accounts ▶ _____                                                                                     |                                                                                                                      |                   |                |                       |
|                             | 8                                                                                                    | Inventories for sale or use . . . . .                                                                                             |                                                                                                                      |                   |                |                       |
|                             | 9                                                                                                    | Prepaid expenses and deferred charges . . . . .                                                                                   | 18,648                                                                                                               | 27,513            | 27,513         |                       |
|                             | 10a                                                                                                  | Investments—U.S. and state government obligations (attach schedule)                                                               |                                                                                                                      |                   |                |                       |
|                             | b                                                                                                    | Investments—corporate stock (attach schedule) . . . . .                                                                           | 197,760                                                                                                              | 197,760           | 0              |                       |
|                             | c                                                                                                    | Investments—corporate bonds (attach schedule) . . . . .                                                                           |                                                                                                                      |                   |                |                       |
|                             | Liabilities                                                                                          | 11                                                                                                                                | Investments—land, buildings, and equipment: basis ▶ _____                                                            |                   |                |                       |
|                             |                                                                                                      | Less: accumulated depreciation (attach schedule) ▶ _____                                                                          |                                                                                                                      |                   |                |                       |
| 12                          |                                                                                                      | Investments—mortgage loans . . . . .                                                                                              |                                                                                                                      |                   |                |                       |
| 13                          |                                                                                                      | Investments—other (attach schedule) . . . . .                                                                                     |                                                                                                                      |                   |                |                       |
| 14                          |                                                                                                      | Land, buildings, and equipment: basis ▶ <u>276,896</u>                                                                            |                                                                                                                      |                   |                |                       |
|                             |                                                                                                      | Less: accumulated depreciation (attach schedule) ▶ <u>266,140</u>                                                                 | 18,494                                                                                                               | 10,756            | 10,756         |                       |
| 15                          |                                                                                                      | Other assets (describe ▶ _____)                                                                                                   |                                                                                                                      |                   |                |                       |
| 16                          |                                                                                                      | <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)                                | 20,392,183                                                                                                           | 10,038,273        | 9,840,513      |                       |
| 17                          |                                                                                                      | Accounts payable and accrued expenses . . . . .                                                                                   | 81,604                                                                                                               | 71,242            |                |                       |
| 18                          |                                                                                                      | Grants payable . . . . .                                                                                                          | 16,156,251                                                                                                           | 6,372,987         |                |                       |
| 19                          | Deferred revenue. . . . .                                                                            |                                                                                                                                   |                                                                                                                      |                   |                |                       |
| 20                          | Loans from officers, directors, trustees, and other disqualified persons . . . . .                   |                                                                                                                                   |                                                                                                                      |                   |                |                       |
| 21                          | Mortgages and other notes payable (attach schedule) . . . . .                                        |                                                                                                                                   |                                                                                                                      |                   |                |                       |
| 22                          | Other liabilities (describe ▶ _____)                                                                 |                                                                                                                                   |                                                                                                                      |                   |                |                       |
| 23                          | <b>Total liabilities</b> (add lines 17 through 22). . . . .                                          | 16,237,855                                                                                                                        | 6,444,229                                                                                                            |                   |                |                       |
| Net Assets or Fund Balances | <b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/>                   |                                                                                                                                   |                                                                                                                      |                   |                |                       |
|                             | <b>and complete lines 24, 25, 29 and 30.</b>                                                         |                                                                                                                                   |                                                                                                                      |                   |                |                       |
|                             | 24                                                                                                   | Net assets without donor restrictions . . . . .                                                                                   |                                                                                                                      |                   |                |                       |
|                             | 25                                                                                                   | Net assets with donor restrictions . . . . .                                                                                      |                                                                                                                      |                   |                |                       |
|                             | <b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> |                                                                                                                                   |                                                                                                                      |                   |                |                       |
|                             | <b>and complete lines 26 through 30.</b>                                                             |                                                                                                                                   |                                                                                                                      |                   |                |                       |
|                             | 26                                                                                                   | Capital stock, trust principal, or current funds . . . . .                                                                        | 0                                                                                                                    | 0                 |                |                       |
|                             | 27                                                                                                   | Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .                                                          | 0                                                                                                                    | 0                 |                |                       |
| 28                          | Retained earnings, accumulated income, endowment, or other funds . . . . .                           | 4,154,328                                                                                                                         | 3,594,044                                                                                                            |                   |                |                       |
| 29                          | <b>Total net assets or fund balances</b> (see instructions) . . . . .                                | 4,154,328                                                                                                                         | 3,594,044                                                                                                            |                   |                |                       |
| 30                          | <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .                   | 20,392,183                                                                                                                        | 10,038,273                                                                                                           |                   |                |                       |

| Part III Analysis of Changes in Net Assets or Fund Balances |                                                                                                                                                                    |   |             |
|-------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1                                                           | Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 4,154,328   |
| 2                                                           | Enter amount from Part I, line 27a . . . . .                                                                                                                       | 2 | -10,342,749 |
| 3                                                           | Other increases not included in line 2 (itemize) ▶ _____                                                                                                           | 3 | 9,782,546   |
| 4                                                           | Add lines 1, 2, and 3 . . . . .                                                                                                                                    | 4 | 3,594,125   |
| 5                                                           | Decreases not included in line 2 (itemize) ▶ _____                                                                                                                 | 5 | 81          |
| 6                                                           | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.                                                               | 6 | 3,594,044   |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b)<br>How acquired<br>P—Purchase<br>D—Donation | (c)<br>Date acquired<br>(mo., day, yr.) | (d)<br>Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| <b>1a</b>                                                                                                                          |                                                 |                                         |                                     |
| <b>b</b>                                                                                                                           |                                                 |                                         |                                     |
| <b>c</b>                                                                                                                           |                                                 |                                         |                                     |
| <b>d</b>                                                                                                                           |                                                 |                                         |                                     |
| <b>e</b>                                                                                                                           |                                                 |                                         |                                     |

| (e)<br>Gross sales price | (f)<br>Depreciation allowed<br>(or allowable) | (g)<br>Cost or other basis<br>plus expense of sale | (h)<br>Gain or (loss)<br>(e) plus (f) minus (g) |
|--------------------------|-----------------------------------------------|----------------------------------------------------|-------------------------------------------------|
| <b>a</b>                 |                                               |                                                    |                                                 |
| <b>b</b>                 |                                               |                                                    |                                                 |
| <b>c</b>                 |                                               |                                                    |                                                 |
| <b>d</b>                 |                                               |                                                    |                                                 |
| <b>e</b>                 |                                               |                                                    |                                                 |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                         |                                                    | (l)<br>Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------------------------|
| (i)<br>F.M.V. as of 12/31/69                                                                | (j)<br>Adjusted basis<br>as of 12/31/69 | (k)<br>Excess of col. (i)<br>over col. (j), if any |                                                                                                   |
| <b>a</b>                                                                                    |                                         |                                                    |                                                                                                   |
| <b>b</b>                                                                                    |                                         |                                                    |                                                                                                   |
| <b>c</b>                                                                                    |                                         |                                                    |                                                                                                   |
| <b>d</b>                                                                                    |                                         |                                                    |                                                                                                   |
| <b>e</b>                                                                                    |                                         |                                                    |                                                                                                   |

|                                                                                                                                                                                                                                                                      |          |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--|
| <b>2</b> Capital gain net income or (net capital loss) <span style="font-size: 2em; vertical-align: middle;">{</span> If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 <span style="font-size: 2em; vertical-align: middle;">}</span> | <b>2</b> |  |
|                                                                                                                                                                                                                                                                      | <b>3</b> |  |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-<br>in Part I, line 8 <span style="float: right;">}</span>                       |          |  |

Part V

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 

Bracket for line 1a

"N/A" on line 1.

1a

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary—see instructions)

All other domestic foundations enter 1.39% (0.0139) of line 27b. Exempt foreign organizations enter 4% (0.04) of Part I, line 12, col. (b)

b

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

2

Add lines 1 and 2.

3

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

4

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.

5

Credits/Payments:

6

a 2021 estimated tax payments and 2020 overpayment credited to 2021

6a

b Exempt foreign organizations—tax withheld at source

6b

c Tax paid with application for extension of time to file (Form 8868)

6c

d Backup withholding erroneously withheld

6d

7 Total credits and payments. Add lines 6a through 6d

7

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.

8

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.

10

11 Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded

11

Part VI-A

Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a

Yes No

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.

1b

Yes No

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?.

1c

Yes No

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  
(1) On the foundation. \$ 0 (2) On foundation managers. \$ 0

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

2

Yes No

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

Yes No

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

Yes No

b If "Yes," has it filed a tax return on Form 990-T for this year?.

4b

Yes No

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5

Yes No

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  
• By language in the governing instrument, or  
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?.

6

Yes

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV.

7

Yes

8a Enter the states to which the foundation reports or with which it is registered (see instructions)  
NC

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.

8b

Yes

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021? See the instructions for Part XIII. If "Yes," complete Part XIII

9

Yes No

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.

10

Yes No

Part VI-A

Statements Regarding Activities (continued)

|    |                                                                                                                                                                                                              |    |     |    |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .              | 11 |     | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .            | 12 |     | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►WWW.OAKFND.ORG                                                          | 13 | Yes |    |
| 14 | The books are in care of ►ROBERT D FARR Telephone no. ►(415) 498-5000<br>Located at ►C/O PWC LLP 405 HOWARD STREET SUITE 600 SAN FRANCISCO CA 94105 ZIP+4 ►                                                  |    |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here . . . . .<br>and enter the amount of tax-exempt interest received or accrued during the year . . . . . 15 |    |     |    |
| 16 | At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .          | 16 | Yes | No |
|    | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign                                                                                     |    | Yes | No |

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required

|                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |       |     |    |
|-----------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|-----|----|
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |       | Yes | No |
| 1a                                                                                      | During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                                      |       |     |    |
|                                                                                         | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                         | 1a(1) |     | No |
|                                                                                         | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                 | 1a(2) |     | No |
|                                                                                         | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                               | 1a(3) |     | No |
|                                                                                         | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                                     | 1a(4) | Yes |    |
|                                                                                         | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .                                                                                                                                                                                                                                                                                                                                                  | 1a(5) |     | No |
|                                                                                         | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                          | 1a(6) |     | No |
| b                                                                                       | If any answer is "Yes" to 1a(1)–(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions                                                                                                                                                                                                                                                                                       | 1b    |     | No |
| c                                                                                       | Organizations relying on a current notice regarding disaster assistance check here. . . . .                                                                                                                                                                                                                                                                                                                                                                                                              |       |     |    |
| d                                                                                       | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021? . . . . .                                                                                                                                                                                                                                                                                                        | 1d    |     | No |
| 2                                                                                       | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                           |       |     |    |
| a                                                                                       | At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? . . . . .<br>If "Yes," list the years ► 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                  | 2a    |     | No |
| b                                                                                       | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .                                                                                                                                                                                        | 2b    |     |    |
| c                                                                                       | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>► 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                                                                                     |       |     |    |
| 3a                                                                                      | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .                                                                                                                                                                                                                                                                                                                                                                     | 3a    |     | No |
| b                                                                                       | If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.) . . . . . | 3b    |     |    |
| 4a                                                                                      | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                          | 4a    |     | No |
| b                                                                                       | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?                                                                                                                                                                                                                                                                        | 4b    |     | No |

Part VI-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

5a(1)

No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

5a(2)

No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

5a(3)

No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

5a(4)

No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

5a(5)

No

b

If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

5b

c

Organizations relying on a current notice regarding disaster assistance check here

d

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

5d

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

6a

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

7a

No

b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

8

Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

8

No

Part VII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation. See instructions

| (a) Name and address                                                                              | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| HEATHER GRAHAM<br>PRICEWATERHOUSECOOPERS LLP 405<br>HOWARD ST STE 600<br>SAN FRANCISCO, CA 94105  | VICE PRESIDENT<br>40.00                                   | 204,866                                   | 18,996                                                                | 0                                     |
| CAROLINE TURNER<br>PRICEWATERHOUSECOOPERS LLP 405<br>HOWARD ST STE 600<br>SAN FRANCISCO, CA 94105 | PRESIDENT/TREASURER/TRUSTEE<br>32.00                      | 103,517                                   | 0                                                                     | 0                                     |
| KRISTIAN PARKER<br>PRICEWATERHOUSECOOPERS LLP 405<br>HOWARD ST STE 600<br>SAN FRANCISCO, CA 94105 | TRUSTEE<br>1.00                                           | 0                                         | 0                                                                     | 0                                     |
| NATALIE PARKER<br>PRICEWATERHOUSECOOPERS LLP 405<br>HOWARD ST STE 600<br>SAN FRANCISCO, CA 94105  | TRUSTEE<br>1.00                                           | 0                                         | 0                                                                     | 0                                     |
| JAMES ZHA<br>PRICEWATERHOUSECOOPERS LLP 405<br>HOWARD ST STE 600<br>SAN FRANCISCO, CA 94105       | SECRETARY<br>1.00                                         | 0                                         | 0                                                                     | 0                                     |

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000                                     | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| HEATHER GRAHAM<br>C/O PRICEWATERHOUSECOOPERS 405<br>HOWARD ST STE 600<br>SAN FRANCISCO, CA 94105  | VICE PRESIDENT<br>40.00                                   | 204,866          | 18,996                                                                | 0                                     |
| ANNE HENSHAW<br>C/O PRICEWATERHOUSECOOPERS 405<br>HOWARD ST STE 600<br>SAN FRANCISCO, CA 94105    | PROGRAM OFFICER<br>40.00                                  | 158,976          | 18,000                                                                | 0                                     |
| VICKY RATEAU<br>C/O PRICEWATERHOUSECOOPERS 405<br>HOWARD ST STE 600<br>SAN FRANCISCO, CA 94105    | PROGRAM ASSISTANT<br>40.00                                | 128,667          | 0                                                                     | 0                                     |
| JULIE KOWAL<br>C/O PRICEWATERHOUSECOOPERS 405<br>HOWARD ST STE 600<br>SAN FRANCISCO, CA 94105     | PROGRAM ASSISTANT<br>40.00                                | 118,871          | 3,281                                                                 | 0                                     |
| MILLIE BROBSTON<br>C/O PRICEWATERHOUSECOOPERS 405<br>HOWARD ST STE 600<br>SAN FRANCISCO, CA 94105 | PROGRAM OFFICER<br>40.00                                  | 117,950          | 0                                                                     | 0                                     |
| Total number of other employees paid over \$50,000.                                               |                                                           |                  |                                                                       | 0                                     |

**Part VII**

**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

**Total** number of others receiving over \$50,000 for professional services. . . . . 

0

Part VIII- Summary of Direct Charitable Activities

|                                                                                                                                                                                                                                                        |          |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
| 1                                                                                                                                                                                                                                                      |          |
| 2                                                                                                                                                                                                                                                      |          |
| 3                                                                                                                                                                                                                                                      |          |
| 4                                                                                                                                                                                                                                                      |          |

Part VIII- Summary of Program-Related Investments (see instructions)

|                                                                                                                   |        |
|-------------------------------------------------------------------------------------------------------------------|--------|
| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
| 1 NONE                                                                                                            | 0      |
| 2                                                                                                                 |        |
| All other program-related investments. See instructions.                                                          |        |
| 3                                                                                                                 | 0      |
| Total. Add lines 1 through 3                                                                                      | 0      |

**Part IX Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                                          |           |            |
|----------|--------------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:              |           |            |
| <b>a</b> | Average monthly fair market value of securities. . . . .                                                                 | <b>1a</b> | 0          |
| <b>b</b> | Average of monthly cash balances. . . . .                                                                                | <b>1b</b> | 14,076,277 |
| <b>c</b> | Fair market value of all other assets (see instructions). . . . .                                                        | <b>1c</b> | 0          |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c). . . . .                                                                           | <b>1d</b> | 14,076,277 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .       | <b>1e</b> | 0          |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                                     | <b>2</b>  | 0          |
| <b>3</b> | Subtract line 2 from line 1d. . . . .                                                                                    | <b>3</b>  | 14,076,277 |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions). . . . . | <b>4</b>  | 211,144    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3.                                               | <b>5</b>  | 13,865,133 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% (0.05) of line 5. . . . .                                                     | <b>6</b>  | 693,257    |

**Part X Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                                 |           |         |
|-----------|-----------------------------------------------------------------------------------------------------------------|-----------|---------|
| <b>1</b>  | Minimum investment return from Part IX, line 6. . . . .                                                         | <b>1</b>  | 693,257 |
| <b>2a</b> | Tax on investment income for 2021 from Part V, line 5. . . . .                                                  | <b>2a</b> |         |
| <b>b</b>  | Income tax for 2021. (This does not include the tax from Part V.). . . . .                                      | <b>2b</b> |         |
| <b>c</b>  | Add lines 2a and 2b. . . . .                                                                                    | <b>2c</b> | 0       |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1. . . . .                                  | <b>3</b>  | 693,257 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions. . . . .                                              | <b>4</b>  | 0       |
| <b>5</b>  | Add lines 3 and 4. . . . .                                                                                      | <b>5</b>  | 693,257 |
| <b>6</b>  | Deduction from distributable amount (see instructions). . . . .                                                 | <b>6</b>  | 0       |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1. . . . | <b>7</b>  | 693,257 |

**Part XI Qualifying Distributions** (see instructions)

|          |                                                                                                                    |           |  |
|----------|--------------------------------------------------------------------------------------------------------------------|-----------|--|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                         |           |  |
| <b>a</b> | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .                               | <b>1a</b> |  |
| <b>b</b> | Program-related investments—total from Part VIII-B                                                                 | <b>1b</b> |  |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . . | <b>2</b>  |  |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                               |           |  |
| <b>a</b> | Suitability test (prior IRS approval required). . . . .                                                            | <b>3a</b> |  |
| <b>b</b> | Cash distribution test (attach the required schedule). . . . .                                                     | <b>3b</b> |  |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part XII, line 4.                      | <b>4</b>  |  |

Part XII

Undistributed Income (see instructions)

|                                                                                                                                                                               |  | (a)<br>Corpus | (b)<br>Years prior to 2020 | (c)<br>2020 | (d)<br>2021 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2021 from Part X, line 7                                                                                                                           |  |               |                            |             | 693,257     |
| 2 Undistributed income, if any, as of the end of 2021:                                                                                                                        |  |               |                            |             |             |
| a Enter amount for 2020 only. . . . .                                                                                                                                         |  |               |                            | 0           |             |
| b Total for prior years: 20____, 20____, 20____                                                                                                                               |  |               | 0                          |             |             |
| 3 Excess distributions carryover, if any, to 2021:                                                                                                                            |  |               |                            |             |             |
| a From 2016. . . . .                                                                                                                                                          |  | 9,554,097     |                            |             |             |
| b From 2017. . . . .                                                                                                                                                          |  | 5,482,049     |                            |             |             |
| c From 2018. . . . .                                                                                                                                                          |  | 31,969,269    |                            |             |             |
| d From 2019. . . . .                                                                                                                                                          |  | 11,000,564    |                            |             |             |
| e From 2020. . . . .                                                                                                                                                          |  | 31,302,939    |                            |             |             |
| f Total of lines 3a through e. . . . .                                                                                                                                        |  | 89,308,918    |                            |             |             |
| 4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ 12,366,822                                                                                                     |  |               |                            |             |             |
| a Applied to 2020, but not more than line 2a                                                                                                                                  |  |               |                            | 0           |             |
| b Applied to undistributed income of prior years (Election required—see instructions). . . . .                                                                                |  |               | 0                          |             |             |
| c Treated as distributions out of corpus (Election required—see instructions). . . . .                                                                                        |  | 0             |                            |             |             |
| d Applied to 2021 distributable amount                                                                                                                                        |  |               |                            |             | 693,257     |
| e Remaining amount distributed out of corpus                                                                                                                                  |  | 11,673,565    |                            |             |             |
| 5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)                                          |  | 0             |                            |             | 0           |
| 6 Enter the net total of each column as indicated below:                                                                                                                      |  |               |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                           |  | 100,982,483   |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b. . . . .                                                                                                   |  |               | 0                          |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed    |  |               | 0                          |             |             |
| d Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .                                                                                                     |  |               | 0                          |             |             |
| e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .                                                                      |  |               |                            | 0           |             |
| f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022                                                                 |  |               |                            |             | 0           |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . . |  | 0             |                            |             |             |
| 8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)                                                                                 |  | 9,554,097     |                            |             |             |
| 9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a                                                                                                 |  | 91,428,386    |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                        |  |               |                            |             |             |
| a Excess from 2017                                                                                                                                                            |  | 5,482,049     |                            |             |             |
| b Excess from 2018                                                                                                                                                            |  | 31,969,269    |                            |             |             |
| c Excess from 2019.                                                                                                                                                           |  | 11,000,564    |                            |             |             |
| d Excess from 2020                                                                                                                                                            |  | 31,302,939    |                            |             |             |
| e Excess from 2021                                                                                                                                                            |  | 11,673,565    |                            |             |             |

Part XIII

Private Operating Foundations (see instructions and Part VI-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

|    |                                                                                                                                                           |          |               |          |          |           |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
| 2a | Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                       | Tax year | Prior 3 years |          |          | (e) Total |
|    |                                                                                                                                                           | (a) 2021 | (b) 2020      | (c) 2019 | (d) 2018 |           |
| b  | 85% (0.85) of line 2a                                                                                                                                     |          |               |          |          |           |
| c  | Qualifying distributions from Part XI, line 4 for each year listed . . . . .                                                                              |          |               |          |          |           |
| d  | Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                           |          |               |          |          |           |
| e  | Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                   |          |               |          |          |           |
| 3  | Complete 3a, b, or c for the alternative test relied upon:                                                                                                |          |               |          |          |           |
| a  | "Assets" alternative test—enter:                                                                                                                          |          |               |          |          |           |
|    | (1) Value of all assets . . . . .                                                                                                                         |          |               |          |          |           |
|    | (2) Value of assets qualifying under section 4942(j)(3)(B)(i)                                                                                             |          |               |          |          |           |
| b  | "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .                                    |          |               |          |          |           |
| c  | "Support" alternative test—enter:                                                                                                                         |          |               |          |          |           |
|    | (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . |          |               |          |          |           |
|    | (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .                                     |          |               |          |          |           |
|    | (3) Largest amount of support from an exempt organization                                                                                                 |          |               |          |          |           |
|    | (4) Gross investment income                                                                                                                               |          |               |          |          |           |

Part

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

HEATHER GRAHAM  
55 VILCOM CENTER DRIVE SUITE 340  
CHAPEL HILL, NC 27514  
(919) 951-0585

b

The form in which applications should be submitted and information and materials they should include:

UNSOLICITED GRANTS MUST BE SUBMITTED AT [HTTPS://OAKFND.ORG/SUBMIT-ENQUIRY/](https://oakfnd.org/submit-enquiry/)

c

Any submission deadlines:

N/A

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE FOUNDATION DOES NOT PROVIDE SUPPORT FOR INDIVIDUALS; SCHOLARSHIPS OR TUITION ASSISTANCE FOR UNDERGRADUATE OR POSTGRADUATE STUDIES; RELIGIOUS ORGANISATIONS FOR RELIGIOUS PURPOSES; ELECTION CAMPAIGNS; EXCEPT IN SPECIAL CIRCUMSTANCES OR IN ZIMBABWE, WE GENERALLY DO NOT PROVIDE PROGRAMME GRANTS UNDER USD 25,000.

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient                                                                                                  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Amount  |
|------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|
| Name and address (home or business)                                                                        |                                                                                                           |                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |         |
| <b>a</b> <i>Paid during the year</i><br>WORLD RESOURCES INSTITUTE<br>10 G STREET NE<br>WASHINGTON,DC 20002 |                                                                                                           | P C                            | TO STRENGTHEN WRI'S GLOBAL NETWORK BY EXPANDING THE CAPACITY AND RESILIENCE OF ITS INTERNATIONAL OFFICES IN BRAZIL, CHINA AND INDIA. THE WORLD RESOURCES INSTITUTE IS A GLOBAL RESEARCH ORGANISATION THAT WAS ESTABLISHED IN 1982.                                                                                                                                                                                                                                                                                          | 622,699 |
| THE PUBLIC INTEREST LAW CENTER<br>1709 BENJAMIN FRANKLIN PARKWAY<br>2ND<br>FLOOR<br>PHILADELPHIA,PA 19103  |                                                                                                           | P C                            | TO SUPPORT LOW-INCOME FAMILIES IN PHILADELPHIA TO LIVE AND THRIVE IN SAFE, HEALTHY AND AFFORDABLE HOMES. LOW-INCOME TENANTS, PARTICULARLY AFRICAN AMERICANS, LATINOS AND WOMEN, WILL STRENGTHEN THEIR ABILITY TO ADVOCATE FOR THEMSELVES AND TO REFORM AND INFLUENCE GOVERNMENT POLICIES AND COURT PROCEDURES. THE PUBLIC INTEREST LAW CENTER USES HIGH-IMPACT LEGAL STRATEGIES TO ADVANCE THE CIVIL, SOCIAL, AND ECONOMIC RIGHTS OF COMMUNITIES IN THE PHILADELPHIA REGION FACING DISCRIMINATION, INEQUALITY, AND POVERTY. | 100,674 |
| PROJECT HOME<br>1515 FAIRMOUNT AVENUE<br>PHILADELPHIA,PA 19130                                             |                                                                                                           | P C                            | TO ENABLE PROJECT HOME TO BUILD QUALITY AND CAPACITY WITHIN ITS RESIDENTIAL PROGRAMMES TO END AND PREVENT HOMELESSNESS IN PHILADELPHIA. PROJECT HOME (WHICH STANDS FOR HOUSING, OPPORTUNITIES FOR EMPLOYMENT, MEDICAL CARE, EDUCATION) ACHIEVES ITS MISSION THROUGH A CONTINUUM OF SERVICES. THESE COMPRISE STREET OUTREACH, A RANGE OF SUPPORTIVE HOUSING AND COMPREHENSIVE SERVICES DESIGNED FOR PERSONS WHO HAVE EXPERIENCED HOMELESSNESS.                                                                               | 400,000 |
| PRESIDENT & FELLOWS OF HARVARD COLLEGE<br>1033 MASSACHUSETTS AVENUE<br>CAMBRIDGE,MA 02138                  |                                                                                                           | P C                            | TO ADVANCE KNOWLEDGE AND SUPPORT THE DEVELOPMENT OF INNOVATIVE SCIENCE AND TECHNOLOGY-ORIENTED POLICY SOLUTIONS TO ADDRESS INDIA'S CLIMATE AND ENERGY CHALLENGES. TO THIS END, THIS GRANT WILL SUPPORT A PARTNERSHIP BETWEEN THE SCIENCE, TECHNOLOGY AND PUBLIC POLICY SCHOOLS AT THE HARVARD KENNEDY SCHOOL AND THE INDIAN INSTITUTE OF TECHNOLOGY DELHI.                                                                                                                                                                  | 136,322 |

|                                                                                        |  |     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |           |
|----------------------------------------------------------------------------------------|--|-----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| NATIONAL DOMESTIC WORKERS ALLIANCE (NDWA)<br>45 BROADWAY SUITE 30<br>NEW YORK,NY 10006 |  | P C | TO SUPPORT THE NDWA'S CIVIC ENGAGEMENT PROJECT IN THE US TO STRENGTHEN THE ACTIVISM OF EVERYDAY PEOPLE, PARTICULARLY WOMEN, AND EXPAND THE ELECTORATE TO REFLECT A MULTIRACIAL DEMOCRACY. NDWA'S STRATEGY WILL SUPPORT WOMEN OF COLOUR VOTERS IN ELECTIONS THROUGHOUT THE ENTIRE VOTING PROCESS. THE GRANT WILL ALSO PROTECT FRONTLINE WORKERS AND SUPPORT COMMUNITIES HARDEST-HIT BY THE COVID-19 PANDEMIC.                                                                                                                                      | 250,000   |
| MASSACHUSETTS BUDGET AND POLICY CENTER<br>SUITE 700 15 COURT SQUARE<br>BOSTON,MA 02108 |  | P C | TO MAKE ECONOMIC STABILITY A REALITY FOR MORE PEOPLE THROUGHOUT MASSACHUSETTS, SO THAT THOSE ON LOWER INCOMES CAN BECOME ECONOMICALLY INDEPENDENT. MASSBUDGET IS A NOT-FOR-PROFIT ORGANISATION PRODUCING INDEPENDENT ANALYSIS OF STATE BUDGET AND TAX POLICIES TO IMPROVE THE LIVES OF PEOPLE ON LOW INCOMES.                                                                                                                                                                                                                                     | 100,000   |
| HEARTLAND ALLIANCE<br>45 BROADWAY SUITE 30<br>NEW YORK,NY 10006                        |  | P C | TO PROMOTE THE HUMAN RIGHTS OF IMMIGRANTS, REFUGEES AND ASYLUM SEEKERS, PARTICULARLY THOSE DEPRIVED OF THEIR LIBERTY IN IMMIGRATION DETENTION. HEARTLAND ALLIANCE'S NATIONAL IMMIGRANT JUSTICE CENTER USES A MULTI-PRONG APPROACH OF ALLIANCE-BUILDING, POLICY ADVOCACY, IMPACT LITIGATION AND STRATEGIC COMMUNICATIONS TO REDUCE HARSH ENFORCEMENT PRACTICES AND THE NUMBER OF IMMIGRANTS IN DETENTION, IMPROVE DETENTION CONDITIONS AND ENSURE DUE PROCESS PROTECTIONS.                                                                         | 400,000   |
| HARLEM CHILDREN'S ZONE<br>1033 MASSACHUSSETTES AVENUE 5TH FLOOR<br>CAMBRIDGE,MA 02138  |  | P C | TO PROVIDE CORE SUPPORT TO HARLEM CHILDREN'S ZONEHCZ AND ITS PIPELINE OF PROGRAMMES THAT OFFER EDUCATION, SOCIAL SERVICE AND WELLNESS SERVICES TO OVER 12,000 CHILDREN AND THEIR FAMILIES IN CENTRAL HARLEM, NEW YORK THE 97-BLOCK ZONE EACH YEAR. HCZ STRIVES TO BREAK THE CYCLE OF POVERTY IN CENTRAL HARLEM BY WORKING AT SCALE TO BUILD COMMUNITY, STRENGTHEN FAMILIES, AND ENSURE CHILDREN SUCCEED FROM BIRTH THROUGH COLLEGE GRADUATION. HCZ'S STRATEGY IS A MODEL FOR COMMUNITIES STRUGGLING WITH POVERTY AND FAILING EDUCATIONAL SYSTEMS. | 6,000,000 |
| EAST DURHAM CHILDRENS                                                                  |  | P C | TO PROVIDE HIGH-                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | 500,000   |

|                                                                                           |  |    |                                                                                                                                                                                                                                                                                                                                                                                                                                                               |         |
|-------------------------------------------------------------------------------------------|--|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|
| INITIATIVE<br>2101 ANGIER AVENUE<br>DURHAM,NC 27703                                       |  |    | QUALITY SERVICES TO CHILDREN AND FAMILIES OF EAST DURHAM, NORTH CAROLINA. EAST DURHAM CHILDREN'S INITIATIVE FOCUSES ON THE FOLLOWING PRIORITIES: INCREASING OUT-OF-SCHOOL LEARNING OPPORTUNITIES FOR YOUTH; DEVELOPING MIDDLE AND HIGH SCHOOL PROGRAMMING; EXPLORING OPPORTUNITIES TO SCALE SERVICES; AND CREATING A NEW SERVICE DELIVERY MODEL THAT WILL INCREASE ITS REACH WHILE PROVIDING INDIVIDUALISED ACADEMIC AND SOCIAL-EMOTIONAL SUPPORTS FOR YOUTH. |         |
| CLIMATEWORKS FOUNDATION USA<br>235 MONTGOMERY STREET SUITE 1300<br>SAN FRANCISCO,CA 94104 |  | PC | TO SUPPORT THE GREENING OF THE BELT AND ROAD INITIATIVE. THIS WILL HELP PREPARE COUNTRIES TO MEET THEIR INTERNATIONAL FINANCING AMBITIONS WITHOUT UNDERWRITING NEW SOURCES OF EMISSIONS INCONSISTENT WITH CLIMATE STABILISATION.                                                                                                                                                                                                                              | 333,334 |
| CITY YEAR<br>287 COLUMBUS AVENUE<br>BOSTON,MA 02116                                       |  | PC | TO SUPPORT CITY YEAR AND COMPASS ACADEMY TO DISSEMINATE INNOVATIONS IN PERSONALISED LEARNING THAT FOCUS ON SUPPORTING STUDENTS WITH LEARNING DIFFERENCES. CITY YEAR IS COMMITTED TO STRENGTHENING STUDENT SELF-AGENCY, PERSONALISING LEARNING AND DEVELOPING STUDENTS' COGNITIVE AND SOCIAL-EMOTIONAL SKILLS. IT AIMS TO ENSURE THAT ALL STUDENTS GRADUATE READY FOR COLLEGE OR A CAREER.                                                                     | 350,000 |
| CHATHAM EDUCATION FOUNDATION<br>PO BOX 1518<br>PITTSBORO,NC 27312                         |  | PC | TO LAUNCH CHATHAM COUNTY KINDERGARTEN READINESS CAMP SO THAT LOW-INCOME STUDENTS IN NORTH CAROLINA, THE UNITED STATES CAN GAIN ADDITIONAL SKILLS TO HELP CLOSE THE ACHIEVEMENT GAP BEFORE ATTENDING PUBLIC SCHOOL. CHATHAM EDUCATION FOUNDATION SEEKS TO OPEN DOORS TO EDUCATIONAL AND PERSONAL GROWTH FOR ALL STUDENTS IN CHATHAM COUNTY SCHOOLS IN NORTH CAROLINA.                                                                                          | 26,208  |
| CENTER FOR LEARNER EQUITY<br>420 LEXINGTON AVENUE SUITE 300<br>NEW YORK,NY 10170          |  | PC | COMMITTED TO ENSURING THAT STUDENTS WITH DISABILITIES, PARTICULARLY THOSE IN UNDER-RESOURCED COMMUNITIES, HAVE THE QUALITY EDUCATIONAL OPPORTUNITIES AND CHOICES THEY NEED TO THRIVE AND LEARN. THE CENTER FOR LEARNER EQUITY ACCOMPLISHES THIS THROUGH RESEARCH, ADVOCACY,                                                                                                                                                                                   | 284,712 |

|                                                                                                    |  |     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |         |
|----------------------------------------------------------------------------------------------------|--|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|
|                                                                                                    |  |     | COALITION FORMATION, AND CAPACITY BUILDING WITH NATIONAL, STATE, AND LOCAL PARTNERS.                                                                                                                                                                                                                                                                                                                                                                                                                |         |
| CARNEGIE COUNCIL FOR ETHICS IN INTERNATIONAL AFFAIRS<br>1700 EAST 64TH STREET<br>NEW YORK,NY 10065 |  | P C | TO CATALYSE THE CREATION OF EFFECTIVE GOVERNANCE FOR SOLAR GEOENGINEERING AND CARBON REMOVAL. THIS WILL BE ACHIEVED BY INCLUDING GLOBAL POLICY-MAKERS IN THE CONVERSATION AND BY ENCOURAGING A BROADER, SOCIETY-WIDE DISCUSSION ABOUT THE RISKS, POTENTIAL BENEFITS, ETHICAL AND GOVERNANCE CHALLENGES RAISED BY GEOENGINEERING. CARNEGIE COUNCIL FOR ETHICS IN INTERNATIONAL AFFAIRS IS AN INTERNATIONAL ORGANISATION THAT PROVIDES GUIDANCE ON THE MORAL ISSUES OF WAR, PEACE AND SOCIAL JUSTICE. | 202,692 |
| BASSUK CENTER (CENTER FOR SOCIAL INNOVATION)<br>200 RESERVOIR ST SUITE 200<br>NEEDHAM,MA 02494     |  | P C | TO SUPPORT THE CENTER FOR SOCIAL INNOVATION, BASED IN THE US, WHICH WORKS TO IMPROVE THE LIVES OF MARGINALISED AND VULNERABLE PEOPLE. THE CENTER FOCUSES ON FINDING SOLUTIONS FOR COMPLEX PUBLIC HEALTH PROBLEMS SUCH AS HOMELESSNESS, TRAUMA, MENTAL HEALTH ISSUES AND SUBSTANCE ABUSE DISORDERS. USING STATE-OF-THE-ART RESEARCH, TRAINING AND TECHNOLOGY, IT HELPS INDIVIDUALS, AGENCIES AND COMMUNITIES TO DEEPEN THE IMPACT OF THEIR WORK.                                                     | 200,093 |
| ASSOCIATION FOR PROGRESSIVE COMMUNICATIONS (APC)<br>PO BOX 29755<br>MELVILLE,JOHANNESBURG 2109 SF  |  | P C | TO PROVIDE UNRESTRICTED PROGRAMME SUPPORT TO THE ASSOCIATION FOR PROGRESSIVE COMMUNICATION'S WOMEN'S RIGHTS PROGRAMME. THIS WILL SUPPORT ITS LONG-TERM GOAL OF ENSURING THAT WOMEN AND QUEER PERSONSIN ALL THEIR DIVERSITYARE ABLE TO: ACCESS AND ENJOY FREE AND OPEN INTERNET; BUILD COLLECTIVE POWER; STRENGTHEN MOVEMENT-BUILDING WORK; AND TRANSFORM POWER RELATIONS FOR GENDER AND SEXUAL JUSTICE.                                                                                             | 150,000 |
| COMPASS WORKING CAPITAL<br>89 SOUTH ST 804<br>BOSTON,MA 02111                                      |  | P C | TO IDENTIFY WAYS TO EXTEND THE REACH OF CWC'S FAMILY SELF-SUFFICIENCY PROGRAMME. TO DO SO, IT WILL FACILITATE IN-DEPTH QUANTITATIVE AND QUALITATIVE RESEARCH ON THE INCLUSION OF YOUNGER PARENTS, SINGLE PEOPLE AND UNDER-REPRESENTED ETHNIC COMMUNITIES. CWC IS A NOT-FOR-PROFIT ORGANISATION THAT PROVIDES SAVINGS AND FINANCIAL COACHING PROGRAMMES TO SUPPORT LOW-INCOME                                                                                                                        | 205,899 |

|                               |  |  |                                                                                                           |            |
|-------------------------------|--|--|-----------------------------------------------------------------------------------------------------------|------------|
|                               |  |  | FAMILIES TO: BUILD<br>ASSETS; ACHIEVE THEIR<br>FINANCIAL GOALS; AND<br>BECOME MORE<br>FINANCIALLY SECURE. |            |
| Total . . . . . ▶ 3a          |  |  |                                                                                                           | 10,262,633 |
| b Approved for future payment |  |  |                                                                                                           |            |
| Total . . . . . ▶ 3b          |  |  |                                                                                                           | 0          |

## Part XV-A

| Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(See<br>instructions.) |
|---------------------------|---------------|--------------------------------------|---------------|-----------------------------------------------------------------------|
| (a)<br>Business<br>code   | (b)<br>Amount | (c)<br>Exclusion code                | (d)<br>Amount |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               | 18                                   |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               | 18                                   | 145           |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           |               |                                      |               |                                                                       |
|                           | 0             |                                      | 148           |                                                                       |

|                                                          |           |            |
|----------------------------------------------------------|-----------|------------|
| <b>13 Total.</b> Add line 12, columns (b), (d), and (e). | <b>13</b> | <b>148</b> |
|----------------------------------------------------------|-----------|------------|

(See worksheet in line 13 instructions to verify calculations.)

## Part XV-B

[illegible]

## Part XVI

| Yes | No |
|-----|----|
|-----|----|

[illegible]

|              |  |           |
|--------------|--|-----------|
| <b>1a(1)</b> |  | <b>No</b> |
|--------------|--|-----------|

|       |  |    |
|-------|--|----|
| 1a(2) |  | No |
|-------|--|----|

|                          | 1980                        | 1985                        | 1990                        | 1995                        | 2000                        |
|--------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Population               | 76,000                      | 80,000                      | 85,000                      | 90,000                      | 95,000                      |
| Population density       | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> |
| Urban population         | 50,000                      | 55,000                      | 60,000                      | 65,000                      | 70,000                      |
| Rural population         | 26,000                      | 25,000                      | 25,000                      | 25,000                      | 25,000                      |
| Total population         | 76,000                      | 80,000                      | 85,000                      | 90,000                      | 95,000                      |
| Urban population density | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> |
| Rural population density | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> |
| Total population density | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> | 100 persons/km <sup>2</sup> |

|              |  |           |
|--------------|--|-----------|
| <b>1b(1)</b> |  | <b>No</b> |
|--------------|--|-----------|

|              |  |           |
|--------------|--|-----------|
| <b>1b(2)</b> |  | <b>No</b> |
|--------------|--|-----------|

|              |  |           |
|--------------|--|-----------|
| <b>1b(3)</b> |  | <b>No</b> |
|--------------|--|-----------|

|              |  |           |
|--------------|--|-----------|
| <b>1b(4)</b> |  | <b>No</b> |
|--------------|--|-----------|

|              |  |           |
|--------------|--|-----------|
| <b>1b(5)</b> |  | <b>No</b> |
|              |  |           |

|              |  |           |
|--------------|--|-----------|
| <b>1b(6)</b> |  | <b>No</b> |
|--------------|--|-----------|

|    |  |    |
|----|--|----|
| 1c |  | No |
|----|--|----|

[illegible]☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

May the IRS discuss this return with the preparer shown below?  
See instructions. ☒ Yes ☐ No

Form **990-PF** (2021)

**Additional Data**

[Return to Form](#)

**Software ID:**

**Software Version:**

**Form 990PF - Special Condition Description:**

Special Condition Description

## TY 2021 IRS 990 e-File Render

**Name:** THE OAK FOUNDATION USA  
C/O KEMNAY ADVISORY SERVICES INC  
**EIN:** 13-3321196

| Category               | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|------------------------|--------|--------------------------|------------------------|---------------------------------------------|
| PRICewaterhouseCOOPERS | 66,572 | 66,572                   | 0                      | 0                                           |

**TY 2021 IRS 990 e-File Render**

**Name:** THE OAK FOUNDATION USA  
C/O KEMNAY ADVISORY SERVICES INC  
**EIN:** 13-3321196

| Category | Amount |
|----------|--------|
| NONE     | 0      |

**TY 2021 IRS 990 e-File Render**

**Name:** THE OAK FOUNDATION USA  
C/O KEMNAY ADVISORY SERVICES INC  
**EIN:** 13-3321196

| Name of Stock         | End of Year Book Value | End of Year Fair Market Value |
|-----------------------|------------------------|-------------------------------|
| BRILLIANT LIGHT POWER | 197,760                | 0                             |

**TY 2021 IRS 990 e-File Render**

**Name:** THE OAK FOUNDATION USA  
C/O KEMNAY ADVISORY SERVICES INC  
**EIN:** 13-3321196

| Description                              | Amount |
|------------------------------------------|--------|
| UNREALIZED DEPRECIATION FROM INVESTMENTS | 81     |

## TY 2021 IRS 990 e-File Render

**Name:** THE OAK FOUNDATION USA  
C/O KEMNAY ADVISORY SERVICES INC

**EIN:** 13-3321196

| Description                | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| POSTAGE & TELEPHONE        | 13,403                         | 0                     | 0                   | 13,403                                |
| COMPUTER RELATED           | 9,609                          | 0                     | 0                   | 9,609                                 |
| GENERAL AND ADMINISTRATION | 134                            | 0                     | 0                   | 134                                   |
| SUPPLIES AND MISC          | 38,650                         | 0                     | 0                   | 38,650                                |

## TY 2021 IRS 990 e-File Render

**Name:** THE OAK FOUNDATION USA  
C/O KEMNAY ADVISORY SERVICES INC

**EIN:** 13-3321196

| Description                         | Revenue And<br>Expenses Per Books | Net Investment<br>Income | Adjusted Net<br>Income |
|-------------------------------------|-----------------------------------|--------------------------|------------------------|
| FOREIGN CURRENCY TRANSACTION INCOME | 0                                 | 0                        | -145                   |
| FOREIGN CURRENCY TRANSACTION INCOME | 145                               |                          | 145                    |

**TY 2021 IRS 990 e-File Render**

**Name:** THE OAK FOUNDATION USA  
C/O KEMNAY ADVISORY SERVICES INC  
**EIN:** 13-3321196

| Description                | Amount    |
|----------------------------|-----------|
| DECREASE TO GRANTS PAYABLE | 9,782,546 |

Name: THE OAK FOUNDATION USA

C/O KEMNAY ADVISORY SERVICES INC

EIN: 13-3321196

| Category                               | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------------------------------|--------|-----------------------|---------------------|---------------------------------------|
| 30 PUBLIC RELATIONS LLC                | 2,000  | 1,000                 | 0                   | 1,000                                 |
| ALIGNMENT CONSULTING                   | 1,000  | 500                   | 0                   | 500                                   |
| ALTHEA GONZALEZ                        | 7,875  | 3,938                 | 0                   | 3,937                                 |
| AMAZON.COM ECU FOCUS GROUP             | 150    | 75                    | 0                   | 75                                    |
| ART WERAPONG                           | 1,000  | 500                   | 0                   | 500                                   |
| ARTPOST AT HAPPYMESS                   | 250    | 125                   | 0                   | 125                                   |
| ASKQ CONSULTING                        | 1,000  | 500                   | 0                   | 500                                   |
| BACKYARD SCHOOLS                       | 35,000 | 17,500                | 0                   | 17,500                                |
| BENJAMIN SCOTT HALPERN                 | 1,000  | 500                   | 0                   | 500                                   |
| C&E ADVISORY SERVICES LTD              | 6,250  | 3,125                 | 0                   | 3,125                                 |
| CONSULTING BETWIXT                     | 1,000  | 500                   | 0                   | 500                                   |
| DALE CHU                               | 1,000  | 500                   | 0                   | 500                                   |
| DAVID SECORD                           | 860    | 430                   | 0                   | 430                                   |
| ED TOGETHER INC                        | 2,000  | 1,000                 | 0                   | 1,000                                 |
| EDUCATING POTENTIAL                    | 2,000  | 1,000                 | 0                   | 1,000                                 |
| GLOBAL DIALOGUE                        | 7,000  | 3,500                 | 0                   | 3,500                                 |
| GRANTMAKERS FOR EDUCATION PROGRAMS     | 600    | 300                   | 0                   | 300                                   |
| INFORMED INTERNATIONAL LLC             | 2,000  | 1,000                 | 0                   | 1,000                                 |
| JASON TERRELL                          | 1,000  | 500                   | 0                   | 500                                   |
| JOSEPH ABLEIDINGER                     | 1,000  | 500                   | 0                   | 500                                   |
| JUJU ASIAN TAPAS                       | 248    | 124                   | 0                   | 124                                   |
| JULIA CONRAD FISHER                    | 4,200  | 2,100                 | 0                   | 2,100                                 |
| LAURA FLAXMAN                          | 2,000  | 1,000                 | 0                   | 1,000                                 |
| LEADING ELEPHANTS                      | 250    | 125                   | 0                   | 125                                   |
| LISA TOWNE                             | 3,500  | 1,750                 | 0                   | 1,750                                 |
| MANNY AMADI                            | 1,000  | 500                   | 0                   | 500                                   |
| MARGARET WEST                          | 1,000  | 500                   | 0                   | 500                                   |
| MARY SYLVIA HARRISON                   | 2,000  | 1,000                 | 0                   | 1,000                                 |
| MDC                                    | 62,790 | 31,395                | 0                   | 31,395                                |
| MICHELLE HYNES                         | 5,000  | 2,500                 | 0                   | 2,500                                 |
| NATALIE TAMBURELLO                     | 1,000  | 500                   | 0                   | 500                                   |
| OPENSOURCE                             | 6,000  | 3,000                 | 0                   | 3,000                                 |
| RECLASS NATIONAL PUBLIC EDUCATION FUND | 20,000 | 10,000                | 0                   | 10,000                                |
| ROOTS TO CANOPY CONSULTING             | 1,000  | 500                   | 0                   | 500                                   |
| SAUMEL SHAH                            | 1,000  | 500                   | 0                   | 500                                   |
| SCOTT BENSON                           | 13,000 | 6,500                 | 0                   | 6,500                                 |
| SOREN STACH NIELSEN                    | 1,000  | 500                   | 0                   | 500                                   |
| THE DURHAM HOTEL                       | 2,563  | 1,282                 | 0                   | 1,281                                 |
| TIMOTHY FIELD                          | 1,000  | 500                   | 0                   | 500                                   |
| ADMIN PARTNERS                         | 2,022  | 1,011                 | 0                   | 1,011                                 |
| ADP - PAYROLL PROCESSING               | 2,995  | 1,497                 | 0                   | 1,498                                 |
| HAMILTON FINANCIAL                     | 1,500  | 750                   | 0                   | 750                                   |
| NATIONAL NONPROFITS                    | 99     | 49                    | 0                   | 50                                    |
| PROMISE54                              | 38,950 | 19,475                | 0                   | 19,475                                |
| OTHER PROFESSIONAL FEES                | 330    | 165                   | 0                   | 165                                   |