Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 10-01-2020 , and ending 09-30-2021

Name of foundation
CARNEGIE CORPORATION OF NEW YORK

A Employer identification number
13-1628151

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
437 MADISON AVENUE

B Telephone number (see instructions)
(212) 371-3200

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10022

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 4,684,968,837

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	15,831	20,685		
	4	Dividends and interest from securities	751,198	696,493		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	298,567,430			
	b	Gross sales price for all assets on line 6a 1,048,245,723				
	7	Capital gain net income (from Part IV, line 2)		236,361,124		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	24,803,040	-3,040,486		
	12	**Total.** Add lines 1 through 11	324,137,499	234,037,816		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	3,329,064	1,078,262		2,250,802
	14	Other employee salaries and wages	9,929,913	1,477,419		8,452,669
	15	Pension plans, employee benefits	6,358,283	594,509		5,744,308
	16a	Legal fees (attach schedule)	228,453	90,507		169,688
	b	Accounting fees (attach schedule)	500,582	112,047		102,720
	c	Other professional fees (attach schedule)	13,617,907	1,546,593		0
	17	Interest	475,791	0		483,326
	18	Taxes (attach schedule) (see instructions)	3,869,314	0		0
	19	Depreciation (attach schedule) and depletion	826,903	0		
	20	Occupancy	3,058,362	352,740		3,119,112
	21	Travel, conferences, and meetings	221,555	6,317		211,612
	22	Printing and publications	765,233	0		753,989
	23	Other expenses (attach schedule)	2,612,050	371,789		2,461,198
	24	**Total operating and administrative expenses.** Add lines 13 through 23	45,793,410	5,630,183		23,749,424
	25	Contributions, gifts, grants paid	130,326,254			122,791,465
	26	**Total expenses and disbursements.** Add lines 24 and 25	176,119,664	5,630,183		146,540,889
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	148,017,835			
	b	**Net investment income** (if negative, enter -0-)		228,407,633		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	6,198	4,471	4,471
	2	Savings and temporary cash investments	114,401	128,571	128,571
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	1,987,739	2,430,984	2,430,984
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	100,141,139	88,553,436	88,553,436
	c	Investments—corporate bonds (attach schedule)	388,545,880	289,062,256	289,062,256
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	3,067,209,788	4,299,547,016	4,299,547,016
	14	Land, buildings, and equipment: basis ▶ 12,667,554			
		Less: accumulated depreciation (attach schedule) ▶ 7,522,631	5,971,816	5,144,923	5,144,923
	15	Other assets (describe ▶)	47,328	97,180	97,180
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,564,024,289	4,684,968,837	4,684,968,837
Liabilities	17	Accounts payable and accrued expenses	6,431,601	5,948,216	
	18	Grants payable	93,511,859	100,949,083	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	33,368,583	29,132,077	
	23	**Total liabilities**(add lines 17 through 22)	133,312,043	136,029,376	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions	3,430,712,246	4,548,939,461	
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	3,430,712,246	4,548,939,461	
	30	**Total liabilities and net assets/fund balances** (see instructions)	3,564,024,289	4,684,968,837	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	3,430,712,246
2	Enter amount from Part I, line 27a	2	148,017,835
3	Other increases not included in line 2 (itemize) ▶	3	970,209,380
4	Add lines 1, 2, and 3	4	4,548,939,461
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	4,548,939,461

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	PUBLICLY TRADED SECURITIES	P	2021-09-30	2021-09-30
b	PARTNERSHIP K-1S GAINS	P	2021-09-30	2021-09-30
c	PARTNERSHIP K-1S GAINS	P	2021-09-30	2021-09-30
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,048,245,723		1,043,445,730	4,799,993
b				293,767,437
c				-62,206,306
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				4,799,993
b				293,767,437
c				-62,206,306
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	236,361,124
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2 Reserved	2	
3 Reserved	3	
4 Reserved	4	
5 Reserved	5	
6 Reserved	6	
7 Reserved	7	
8 Reserved	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**		
b	Reserved	1	3,174,866
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	3,174,866
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	3,174,866
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020 — 6a: 3,600,000		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d: 65		
7	Total credits and payments. Add lines 6a through 6d	7	3,600,065
8	Enter any **penalty** for underpayment of estimated tax. Check here ☑ if Form 2220 is attached.	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	425,199
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ 0 **Refunded** ▶	11	425,199

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA, NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ WWW.CARNEGIE.ORG

14 The books are in care of ▶ ROBERT J SEMAN Telephone no. ▶ (212) 371-3200

Located at ▶ 437 MADISON AVENUE NEW YORK NY ZIP+4 ▶ 10022

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☑ Yes ☐ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☑ Yes ☐ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MARK BAUMGARTNER STARTED ON 12220 437 MADISON AVENUE NEW YORK, NY 10022	CHIEF INVESTMENT OFFICER 50.00	1,027,115	115,638	0
VARTAN GREGORIAN SEE STMT 19 21 437 MADISON AVENUE NEW YORK, NY 10022	PRESIDENT (UNTIL 4/15/21) 60.00	613,375	98,028	0
ROBERT SEMAN 437 MADISON AVENUE NEW YORK, NY 10022	VP & CHIEF FINANCIAL OFFICER 50.00	499,685	127,272	0
LAVERNE SRINIVASAN 437 MADISON AVENUE NEW YORK, NY 10022	VP, NAT'L PROGRAM & PROGRAM DIR. EDU 50.00	387,500	125,482	0
DEANA ARSENIAN 437 MADISON AVENUE NEW YORK, NY 10022	VP INT'L PROGRAM DIR, RUSSIA & EURASIA 50.00	387,500	125,424	0
NICOLE HOWE-BUGGS 437 MADISON AVENUE NEW YORK, NY 10022	CHIEF ADMIN OFF & CORP SECRETARY 50.00	283,000	99,771	0
KIM LEW UNTIL 10232020 437 MADISON AVENUE NEW YORK, NY 10022	VP & CHIEF INVESTMENT OFFICER 50.00	51,147	18,219	0
BONNIE RIVERS UNTIL 1821 437 MADISON AVENUE NEW YORK, NY 10022	ASSOC CORP SEC/DIR, GRANTS & REC MGMT 50.00	40,142	15,711	0
PEDRO ASPE 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
LLOYD J AUSTIN RESIGNED 12221 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	4,950	0	0
LIONEL BARBER 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
MARTIN BARON SEE STMT 21 438 MADISON AVENUE NEW YORK, NY 10023	TRUSTEE 5.00	0	0	0
JARED L COHON 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	10,500	0	0
KEVIN CONWAY 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
JOHN J DEGIOIA 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
LEYMAH GBOWEE 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	9,300	0	0
JEAN-MARIE GUEHENNO 437 MADISON AVENUE	TRUSTEE 5.00	14,850	0	0

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NEW YORK, NY 10022				
THOMAS H KEAN 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
CAROLINE KENNEDY 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
MARIA ELENA LAGOMASINO 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
MARCIA MCNUTT 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
MARTHA MINOW 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
LOUISE RICHARDSON 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
JANET ROBINSON 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
KURT SCHMOKE SEE STMT 21 438 MADISON AVENUE NEW YORK, NY 10023	TRUSTEE 6.00	0	0	0
ANNE TATLOCK 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
ANN CLAIRE WILLIAMS SEE STMT 21 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0
JUDY WOODRUFF 437 MADISON AVENUE NEW YORK, NY 10022	TRUSTEE 5.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JON-MICHAEL CONSALVO 437 MADISON AVENUE NEW YORK,NY 10022	MANAGING DIR, INVEST 50.00	505,000	90,567	0
STEVEN DEL ROSSO 437 MADISON AVENUE NEW YORK,NY 10022	PROG DIR., INTERNATI 50.00	300,760	105,499	0
JULIA WEEDE 437 MADISON AVENUE NEW YORK,NY 10022	CHIEF COMM & DIGITAL 50.00	318,500	87,209	0
GERALDINE MANNION 437 MADISON AVENUE NEW YORK,NY 10022	PROG DIR., STRENGTHE 50.00	290,000	86,992	0
PATRICIA CALLAHAN 437 MADISON AVENUE NEW YORK,NY 10022	CHIEF HUMAN RESOURCE 50.00	276,750	77,550	0

Total number of other employees paid over $50,000 . . . . . ▶ 71

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
THE BANK OF NEW YORK MELLON ONE MELLON CENTER PITTSBURGH, PA 15258	CUSTODY & RECORDKEEPING SERVICES	515,606
OAKVIEW CAPITAL MANAGEMENT 3879 MAPLE AVENUE SUITE 300 DALLAS, TX 75219	INVESTMENT MANAGEMENT	402,105
RSM US LLP 4 TIMES SQUARE NEW YORK, NY 10036	AUDIT & TAX SERVICES	368,945
HOLDCO ASSET MANAGEMENT 300 SE 2ND STREET SUITE 600 FORT LAUDERDALE, FL 33301	INVESTMENT MANAGEMENT	182,003
SIMPSON THACHER & BARTLETT PO BOX 29008 NEW YORK, NY 10087	LEGAL SERVICES	167,511

Total number of others receiving over $50,000 for professional services. . . . . ▶ 12

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 22	5,120,361
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. **3**	
Total. Add lines 1 through 3 . . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	4,171,212,063
b	Average of monthly cash balances			1b	-2,449,593
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	4,168,762,470
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	4,168,762,470
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	62,531,437
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	4,106,231,033
6	**Minimum investment return.** Enter 5% of line 5			6	205,311,552

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	205,311,552
2a	Tax on investment income for 2020 from Part VI, line 5	2a	3,174,866		
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	3,174,866
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	202,136,686
4	Recoveries of amounts treated as qualifying distributions			4	131,162
5	Add lines 3 and 4			5	202,267,848
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	202,267,848

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	146,540,889
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	146,540,889
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	146,540,889

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				202,267,848
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017. 7,257,102				
d From 2018. 14,367,998				
e From 2019. 26,840,022				
f **Total** of lines 3a through e.	48,465,122			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 146,540,889				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				146,540,889
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	48,465,122			48,465,122
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				7,261,837
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2016				
b Excess from 2017				
c Excess from 2018. . . .				
d Excess from 2019				
e Excess from 2020				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	**(b)** 2019	**(c)** 2018	**(d)** 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 23
C/O CARNEGIE CORPORATION OF NY 437
MADISON AVENUE
NEW YORK,NY 10022
(212) 371-3200

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 23

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 23

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	15,831	
4 Dividends and interest from securities			14	751,198	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	298,567,430	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a INCOME FROM PARTNERSHIPS	525990	627,356	14	24,082,457	
b CLASS ACTION PROCEEDS			01	92,024	
c MISCELLANEOUS			01	1,185	
d INTEREST RECEIVED ON TAX REFUNDS			01	18	
e					
12 Subtotal. Add columns (b), (d), and (e)		627,356		323,510,143	0
13 **Total.** Add line 12, columns (b), (d), and (e)				13	324,137,499

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee | Date 2022-07-08 | Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
LYNNE JOHNSON		2022-07-05		P00757336
Firm's name ▶ RSM US LLP				Firm's EIN ▶ 42-0714325
Firm's address ▶ 4 TIMES SQUARE NEW YORK, NY 10036				Phone no. (212) 371-1000

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	500,582	112,047		102,720

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Person Name	Explanation
VARTAN GREGORIAN PRESIDENTTRUSTEE	- COMPENSATION $613,375 INCLUDES $102,189 REPRESENTING PROCEEDS FROM OPTIONS EXERCISED AND INCOME EARNED ON ACCOUNT HOLDINGS UNDER THE CORPORATION'S FLEX-OP PLAN.

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
LEASEHOLD IMPROVEMENTS		9,170,580	3,972,183		0 %	611,576	0		
FURNITURE AND EQUIPMENT		3,496,974	2,723,545		0 %	215,327	0		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended Prior Year(s)	Any Direction Or Agreement Governing Grantee	Dates of Reports By Grantee	Date of Verification	Results of Verification
2REVOLUTIONS	77 BROADVIEW AVENUE NEW ROCHELLE, NY 10804	10/10-29	200,000	FOR CONTINUED COLLABORATIVE DESIGN WORK TO FOSTER INNOVATION IN VIRGINIA BEACH CITY PUBLIC SCHOOLS. AMOUNT SPENT INCLUDES CARRYOVER AMOUNT OF $153,272 FROM G-17-54904.	353,272	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2020, 11/1/2021	2021-06-16	
2REVOLUTIONS	77 BROADVIEW AVENUE NEW ROCHELLE, NY 10804	2021-09-02	200,000	FOR A PROJECT TO TEST A SCALABLE AND COST-EFFECTIVE APPROACH TO CURRICULUM-BASED PROFESSIONAL LEARNING.	128,738	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/4/2022	2022-02-04	
ASSOCIATED PRESS	200 LIBERTY ST NEW YORK, NY 10281	2021-09-30	1,000,000	FOR PROJECT SUPPORT TO EXPAND EDUCATION REPORTING THROUGH THE AP EDUCATION REPORTING NETWORK. GRANT REQUESTED ON FEBRUARY 1, 2021. FUTURE FUNDING PROHIBITED UNLESS REPORT RECEIVED.	910,260	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	REPORT RECEIVED ON 2/1/22.	2022-02-07	
ASSOCIATION OF COLLEGE AND UNIVERSITY EDUCATORS	743 FIFTH AVENUE 500 NEW YORK, NY 10151	2019-09-12	150,000	FOR SUPPORT OF A PILOT INITIATIVE WITHIN THE CITY UNIVERSITY OF NEW YORK (CUNY) SYSTEM TO DEPLOY THE NEWEST CAREER GUIDANCE AND REASONING CREDENTIAL FOR FACULTY IN EFFECTIVE INSTRUCTION. REMAINING $15,000 AND REFUND OF $750 RECEIVED ON 1/25/2021. GRANTEE OVER TO G-20-5919	134,250	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2020, 2/1/2021, 2/1/2022	2020-09-30	
ASSOCIATION OF COLLEGE AND UNIVERSITY EDUCATORS	743 FIFTH AVENUE 500 NEW YORK, NY 10151	2020-09-10	200,000	FOR SUPPORT OF FACULTY PROFESSIONAL LEARNING IN A MICRO-CREDENTIAL-REMOTE LEARNING ENVIRONMENT. REPORTING WILL INCLUDE CARRYOVER AMOUNT OF $15,000.	184,250	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2021	2021-04-13	
BUILDING IMPACT	44 HOWARD AVENUE NEW HAVEN, CT 06519	2021-07-16	30,000	FOR SUPPORT OF THE 2019%2 IMPACT ACCELERATOR PROJECT. REPORT REQUESTED ON FEBRUARY 1, 2022. FUTURE FUNDING PROHIBITED UNLESS REPORT RECEIVED.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	REPORT ON 2/1/22.		
CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	51 VISTA LANE STANFORD, CA 94305	2018-09-13	2,500,000	FOR CONTINUATION OF THE ILEAD PROJECT. AMOUNT SPENT INCLUDES CARRYOVER AMOUNT $83,727 FROM G-17-5515. REMAINING $196,440 CARRIED OVER TO G-20-5794%1.	2,367,287	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2019, 11/1/2019, 8/1/2020, 11/1/2021	2021-08-15	
CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	51 VISTA LANE STANFORD, CA 94305	2020-12-10	1,125,000	FOR THE ILEAD NETWORK OF SCHOOLS OF EDUCATION AND PARTNER DISTRICTS AND CONSORTIA. REPORTING WILL INCLUDE CARRYOVER AMOUNT OF $390,467 UNDER APPROVED IN THE AMOUNT OF $196,440 FROM PREVIOUS GRANT G-18-5221.	390,467	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2021, 8/1/2021	2021-09-17	
CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	51 VISTA LANE STANFORD, CA 94305	2019-12-05	25,000	FOR THE 2020 SUMMIT ON IMPROVEMENT IN EDUCATION. GRANT TO REMAIN OPEN UNTIL PROGRAM ASSESSMENT COMPLETE.	25,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2020	2022-12-16	
CARNEGIE FOUNDATION FOR THE ADVANCEMENT OF TEACHING	51 VISTA LANE STANFORD, CA 94305	2019-12-20	200,000	FOR A PROJECT CALLED "THE CHICAGO STORY: LESSONS FOR BUILDING AN EDUCATIONAL IMPROVEMENT INFRASTRUCTURE," WHICH SEEKS TO CAPTURE LESSONS FROM CHICAGO'S EFFORTS TO IMPROVE EDUCATIONAL OUTCOMES OVER THREE DECADES. GRANT TO REMAIN OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2020, 2/1/2021, 11/1/2021	2021-12-30	
CARNEGIE HERO FUND COMMISSION	436 SEVENTH AVENUE SUITE 1101 PITTSBURGH, PA 15219-1841	2018-09-13	150,000	AS A ONE-TIME GRANT FOR GENERAL SUPPORT. FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/14, 12/1/14, 11/1/15, 11/1/16, 11/1/17, 11/1/18, 1/1/20, 2/1/2021	99,743	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2021	2021-12-31	
DAILYCHARTER	32 ATLANTIC AVENUE THE PILOT HOUSE-LEWIS WHARF BOSTON, MA 02110	2021-04-08	17,500	AS A FINAL GRANT FOR HELPING COLLEGE STUDENTS KNOW THE WORLD AND COMBAT MISINFORMATION. GRANT TO REMAIN OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	17,500	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/2/2022	2022-01-27	
DAILYCHARTER	32 ATLANTIC AVENUE THE PILOT HOUSE-LEWIS WHARF BOSTON, MA 02110	2020-03-27	25,000	FOR PROVIDING FREE SUBSCRIPTIONS TO COLLEGE STUDENTS TO ENSURE THEIR UNDERSTANDING OF GLOBAL ISSUES. GRANT TO REMAIN OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	25,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2021	2021-11-24	
EDUCATION FIRST	PO BOX 23871 SEATTLE, WA 98122-0871	2021-09-02	95,000	FOR COMMUNICATIONS RELATED TO AND PLANNING FOR THE FUTURE OF THE CONFIDENCE LAB FELLOWSHIP, A PROJECT CALLED LAUNCHED AS PART OF THE CORPORATION'S INITIATION DESIGN CONSULTATION.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS REQUIRED 9/30/2021		
EDUCATION STRATEGY GROUP	2 WISCONSIN CIRCLE CHEVY CHASE, MD 20815	2020-09-10	150,000	FOR A PROJECT THAT BUILDS PUBLIC UNDERSTANDING OF PATHWAYS TO ECONOMIC OPPORTUNITY.	52,188	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2021	2021-02-21	
EDUCATIONCOUNSEL	101 CONSTITUTION AVENUE NW SUITE 900 WASHINGTON, DC 20001	2021-06-24	200,000	FOR CONTINUED WORK TO ADVANCE A LEARNING SYSTEMS APPROACH IN EDUCATION. GRANT TO REMAIN OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 1/1/2022	2022-01-01	
EDUCATIONCOUNSEL	101 CONSTITUTION AVENUE NW SUITE 900 WASHINGTON, DC 20001	2020-09-10	180,000	FOR PROJECTS ON ADVANCING LEARNING SYSTEMS IN EDUCATION AND A POLICY AGENDA TO IMPROVE THE EDUCATION RESEARCH AND DEVELOPMENT (R&D) INFRASTRUCTURE. GRANT WILL REMAIN OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	180,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2021	2021-11-24	
EZERO MEDIA	149 FIFTH AVENUE 15TH FLOOR NEW YORK, NY 10010	2021-04-16	130,000	A JOINT EURASIA GROUP AND CARNEGIE CORPORATION OF NEW YORK FORUM ON AN INTERNATIONAL RELATIONS ISSUE. REPORT REQUESTED ON 2/1/2022. FUTURE FUNDING PROHIBITED UNLESS REPORTS ARE RECEIVED.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 10/1/2021	2021-10-01	
INSTITUTE OF INTERNATIONAL EDUCATION	1132 RT 73 SUITE 400 MOUNT LAUREL, NJ 08054	2021-07-31	125,000	FOR INCREASING RACIAL DIVERSITY IN THE NUCLEAR SECURITY SECTOR.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS REQUIRED 9/30/2021		
INTERNATIONAL CENTER ON NONVIOLENT CONFLICT	1775 PENNSYLVANIA AVE NW SUITE 1200 WASHINGTON, DC 20006	2020-03-19	200,000	FOR A ONE-TIME ONLY GRANT ON ADVANCING RESEARCH AND ONLINE EDUCATION ON NON-VIOLENT CONFLICT AND RESISTANCE.	141,294	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2021	2022-01-31	
KINVOLVED	25 BROADWAY 12TH FLOOR NEW YORK, NY 10004	2021-03-04	25,000	FOR PROJECT SUPPORT TO TEST THE EFFICACY OF INTERVENTIONS TO INCREASE REMOTE ATTENDANCE IN SELECTED RURAL DISTRICTS.	20,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2021	2021-08-24	
MARK L GOLDBERG LLC	1135 S YORK STREET DENVER, CO 80210	2019-12-20	50,000	FOR SUPPORT OF THE GLOBAL DISPATCHES PODCAST TO FEATURE AFRICAN EXPERTISE ON PEACE AND SECURITY TOPICS. GRANT WILL REMAIN OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	50,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2021	2022-02-07	
MODERN STATES - EDUCATION ALLIANCE	1633 BROADWAY 48TH FLOOR NEW YORK, NY 10019	2020-09-10	150,000	FOR CONTINUED SUPPORT OF THE ENHANCED FRESHMAN YEAR FOR FREE PROGRAM, DESIGNED TO KEEP COLLEGE-BOUND HIGH SCHOOL SENIORS, PARTICULARLY FROM LOW-INCOME NEIGHBORHOODS IN NEW YORK CITY, ON A COLLEGE TRACK IN RESPONSE TO THE COVID-19 PANDEMIC. GRANT INCLUDES CARRY OVER OF $99,673 FROM G-5785.	249,673	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 1/1/2022	2022-01-03	
MODERN STATES - EDUCATION ALLIANCE	1633 BROADWAY 48TH FLOOR NEW YORK, NY 10019	2020-06-08	150,000	FOR SUPPORT OF THE ENHANCED FRESHMAN YEAR FOR FREE PROGRAM, DESIGNED TO KEEP HIGH SCHOOL SENIORS, PARTICULARLY FROM LOW-INCOME NEIGHBORHOODS IN NEW YORK CITY, ON A COLLEGE TRACK IN RESPONSE TO THE COVID-19 PANDEMIC. GRANT INCLUDES CARRY OVER OF $99,673 FROM G-5785.	50,327	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2021	2021-03-02	
MODERN STATES - EDUCATION ALLIANCE	1633 BROADWAY 48TH FLOOR NEW YORK, NY 10019	2021-02-10	150,000	FOR CONTINUED SUPPORT OF THE ENHANCED FRESHMAN YEAR FOR FREE PROGRAM, DESIGNED TO KEEP HIGH SCHOOL SENIORS ON A COLLEGE TRACK IN RESPONSE TO THE COVID-19 PANDEMIC.	40,200	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2021	2022-02-01	
ONE EARTH FUTURE	525 ZANG STREET SUITE C BROOMFIELD, CO 80021	2018-11-13	50,000	FOR A PROJECT ON GATHERING AND USING DATA TO STRENGTHEN NUCLEAR SECURITY. GRANT IS IN THE PROCESS OF BEING CLOSED.	50,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2019, 8/1/2019	2019-10-09	
OVERGRAD	2003 PHILADELPHIA PIKE #715 CLAYMONT, DE 19703	2021-05-19	200,000	FOR DEVELOPING AND SCALING A TECHNOLOGY PLATFORM TO SUPPORT COLLEGE ADVISING AND ADMISSIONS FOR NEW YORK CITY STUDENTS.	187,667	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 1/1/2022	2022-01-03	
OVERGRAD	2003 PHILADELPHIA PIKE #715 CLAYMONT, DE 19703	2021-09-02	200,000	FOR DEVELOPING AND SCALING A TECHNOLOGY PLATFORM TO SUPPORT COLLEGE ADVISING AND ADMISSIONS FOR NEW YORK CITY STUDENTS. REPORT REQUESTED ON FEBRUARY 11, 2022. FUTURE FUNDING PROHIBITED UNLESS REPORTS ARE RECEIVED.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2022		
PLAYMATICS	166 MORNINGSIDE DRIVE NEW YORK, NY 10027	2020-08-24	25,000	FOR A BOARD GAME ON STRATEGIC PHILANTHROPY DESIGNED TO EDUCATE AND INSPIRE ACTION. REPORT REQUESTED ON FEBRUARY 11, 2021. FUTURE FUNDING PROHIBITED UNLESS REPORTS ARE RECEIVED.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2021		
RESET DIALOGUES UK	48 OLD COLONY WAY CHESTNUT HILL, MA 02467	2019-04-12	200,000	FOR CAPACITY-BUILDING FOR THE RESET DIALOGUES U.S.	171,815	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2021	2021-08-19	
RESULTS IN EDUCATION FOUNDATION	511 OLDE TOWN ROAD 548 WESTPORT, ME 06880958	2020-10-05	100,000	FOR GENERAL SUPPORT.	77,745	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2021	2021-02-23	
ROVET EDUCATION	12181 INDIGO DRIVE PICKERINGTON, OH 43147	2020-05-11	180,000	AS AN EMERGENCY RESPONSE TO THE COVID-19 PANDEMIC FOR A PROJECT TO DEVELOP AND RELEASE A FREELY AVAILABLE PROFESSIONAL LEARNING PARTNER GUIDE FOR SCHOOL LEADERS AND TEACHERS TO SUPPORT EFFECTIVE REMOTE-ENABLED PROFESSIONAL LEARNING SERVICES. GRANT CLOSED AS OF 1/18/2022.	180,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2020, 2/1/2021, 8/1/2021	2021-06-11	
SHARED LANE CONSULTING SERVICES	285 RIVERSIDE DRIVE APT 8D NEW YORK, NY 10025	2020-09-10	250,000	FOR SUPPORT FOR CONTINUING TO BUILD THE TECHNOLOGY CAREER EXPLORATION PROGRAM (TCEP). GRANTEE REQUESTED AN EXTENSION THROUGH 4/1/2022.	250,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 9/30/2021		
SPITFIRE STRATEGIES	2300 N STREET SUITE 610 WASHINGTON, DC 20037	2018-05-25	200,000	FOR A PROJECT ON CAPACITY BUILDING AND TRAINING IN COMMUNICATIONS FOR NUCLEAR SECURITY EXPERTS. GRANT IS IN THE PROCESS OF BEING CLOSED.	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2019, 2/1/2020, 2/1/2021	2020-04-09	
SPITFIRE STRATEGIES	2300 N STREET SUITE 610 WASHINGTON, DC 20037	2020-08-12	150,000	FOR A PROJECT ON CAPACITY BUILDING AND TRAINING IN COMMUNICATIONS FOR NUCLEAR SECURITY EXPERTS. REPORT REQUESTED ON FEBRUARY 11, 2022.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/15/2021		
STANDARDSHORN INC	29 CARTER STREET QUINCY, MA 02169	2021-03-04	200,000	FOR A PROJECT TO EXPAND THE CAPACITY OF THE CURRICULUM MATTERS PROFESSIONAL LEARNING NETWORK.	150,177	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2021	2021-08-24	
STANDARDSHORN INC	29 CARTER STREET QUINCY, MA 02169	2020-06-04	200,000	FOR A PROJECT TO EXPAND THE CURRICULUM MATTERS PROFESSIONAL LEARNING NETWORK.	200,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 4/1/2021	2021-04-01	
UNIVISION	9551 NW 30TH TERRACE MIAMI, FL 33122	2021-07-31	30,000	FOR A PROJECT TO SUPPORT A NEW YORK CITY POST-SECONDARY RESOURCES SERIES.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2021		
UNIVISION	9551 NW 30TH TERRACE MIAMI, FL 33122	2020-06-09	30,000	FOR PROJECT SUPPORT OF A TELEVISED SEGMENT TO INFORM FAMILIES OF AVAILABLE EDUCATION-RELATED RESOURCES. REFUND IN THE AMOUNT OF $5130 PENDING. REFUND REQUESTED 6/21/2021. GRANT WILL REMAIN OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE AND REFUND RECEIVED.	29,945	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2021	2021-06-21	
URBAN SCHOOLS HUMAN CAPITAL ACADEMY	10705 CROSS FIELDING ROAD KESTON, WK 2EYU	2021-06-03	500,000	FOR GENERAL SUPPORT.	92,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2022	2022-01-22	
VALENS GLOBAL	3180 CYPRESS VALLEY DR DULUTH, GA 300971718	2018-06-27	50,000	FOR ASSESSING THE THREAT OF NUCLEAR TERRORISM THROUGH AN ACTION-CENTRIC LENS. GRANT REQUESTED EXTENSION TO 1/31/2020 FROM 1/31/2019 AS OF JANUARY 7, 2021. GRANTEE CONTACTED ABOUT REFUND ON FEBRUARY 11, 2022.	48,595	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2020	2020-04-30	
WAR ON THE ROCKS MEDIA LLC	80 M ST SE C/O WEWORK WASHINGTON, DC 20003	2019-08-20	80,000	FOR A DOCUMENTARY PODCAST ON THE NUCLEAR AGE AND THE WEAPONS IT PRODUCED. REPORTS REQUESTED 1/7/21. FUTURE FUNDING PROHIBITED UNLESS REPORTS ARE RECEIVED.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2021		
ASSOCIATION OF AFRICAN UNIVERSITIES	AFRICAN UNIVERSITIES HOUSE 11 AVIATION ROAD EXTENSIONAIRPORT RESIDENTIAL PO BOX ACCRA-NORTH 233302 GH	2019-04-22	130,000	FOR A BIENNIAL CONFERENCE OF AFRICAN UNIVERSITY LEADERS, AND MEDIA PROGRAMMING. REFUND RECEIVED IN THE AMOUNT OF $346.00. RECD 5/20/2021. GRANT REMAINS OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	129,654	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2019, 8/1/2020	2020-04-30	
ASSOCIATION OF AFRICAN UNIVERSITIES	AFRICAN UNIVERSITIES HOUSE 11 AVIATION ROAD EXTENSIONAIRPORT RESIDENTIAL PO BOX ACCRA-NORTH 233302 GH	2021-06-11	75,000	FOR A BIENNIAL CONFERENCE OF AFRICAN UNIVERSITY LEADERS, AND MEDIA PROGRAMMING.	0	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	NO REPORTS DUE AS OF 9/30/2021		
ATOMIC REPORTERS	BADAWISE 56 QUMPOUSKIECHEN 4000 AU	2018-08-10	50,000	FOR A WORKSHOP AND FELLOWSHIPS FOR REPORTERS TO INVESTIGATE NUCLEAR CHALLENGES. GRANTEE REQUESTED AN EXTENSION FOR SUBMISSION OF FINAL REPORTS TO 1/31/2020 FROM 1/31/2019 AS OF JANUARY 7, 2021. GRANT CLOSED 1/1/2021. FINAL PROGRESS REPORT REQUESTED 1/30/2022. RECD 1/25/2022. RETROACTIVE EXTENSION TO 11/30/2019 WAS APPROVED 12/5/2022. GRANT REMAINS OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE.	50,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2019, 2/1/2020, 2/1/2021	2018-11-30	
BIBLIOTHECA ALEXANDRINA	PO BOX 138 EL CHATBY ALEXANDRIA 21526 EG	2018-02-02	25,000	FOR A CONFERENCE ON RESEARCH METHODS AND QUANTITATIVE TECHNIQUES FOR DEVELOPMENT. GRANT IS IN THE PROCESS OF BEING CLOSED.	25,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 7/1/2018, 8/1/2018	2018-12-31	
BLOOMSBURY PUBLISHING	50 BEDFORD SQUARE LONDON WC1B 3DP UK	2020-09-10	155,000	FOR PUBLISHING AND DISSEMINATING CRITICAL GLOBALLY-RELEVANT RESEARCH OF EARLY-CAREER AFRICAN SCHOLARS. REPORTS REQUESTED 1/15/2021.	155,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 8/1/2021, 11/1/2021	2021-11-30	
BRITISH AMERICAN CENTER FOR INFORMATION COUNCIL (BACIC)	THE FOUNDRY 17 OPTIMA AVENUE GLASGOW, WALKWALL SE1 5AR UK	2019-08-26	160,000	FOR A CONSENSUS-BUILDING EFFORT AROUND PRAGMATIC, SHORT-TERM DISARMAMENT MEASURES. GRANT IS IN THE PROCESS OF BEING CLOSED.	160,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2020, 2/1/2021, 2/1/2021	2020-10-31	
CAIRO PEACE FOR AFRICAN WRITING	51 SOUTHWARK STREET LONDON SE1 1RU UK	2018-09-20	100,000	AS A FINAL GRANT FOR THE CAIRO PEACE FOR AFRICAN WRITERS PROJECT THAT SUPPORTED AFRICAN WRITERS. REPORTS REQUESTED: 12/10/2019, 9/14/2020, 1/4/2021, 1/19/2022. EXTENSION REQUEST IS PORTMONTHING. FUTURE FUNDING PROHIBITED UNLESS REPORTS AND EXTENSION REQUEST ARE RECEIVED.	100,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2020, 8/1/2020, 12/1/2021	2021-12-30	
CARNEGIE EUROPEAN TRUST	ABBEY PARK HOUSE/ANDREW CARNEGIE HOUSE ABBEY PARK PLACE DUNFERMLINE CROSSFORT DUNFERMLINE KY12 8AW	2019-03-19	42,000	AS A ONE-TIME ONLY GRANT FOR THE INSTALLATION OF THE CARNEGIE MEMORIAL TOTHAT WINDOW IN THE DUNFERMLINE ABBEY. GRANTEE SUBMITTED FINAL REPORTS EARLY. GRANT IS IN THE PROCESS OF BEING CLOSED.	42,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2020	2020-01-31	
CLIMATE RESEARCH FOUNDATION	IVARSGATEET 111 BERGEN 103 IC	2014-12-04	500,000	FOR THE DEVELOPMENT OF NEW AVENUES OF COOPERATION IN THE ARCTIC AND THE HIMALAYAS, IN THE INTERESTS OF INTERNATIONAL PEACE AND SECURITY. GRANT REMAINS OPEN. EXTENSION TO 3/31/2020 APPROVED 12/23/2020. GRANT REMAINS OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE. PROGRAM ASSESSMENT COMPLETED 1/29/2021. GRANT IS IN THE PROCESS OF BEING CLOSED.	500,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/14, 2/1/17, 2/1/18, 2/1/19, 2/1/20, 2/1/21, 2/1/22	2020-03-31	
COVE PICTURES	16 CARLISLE ST LONDON W1D 3BT UK	2020-03-25	75,000	AS A ONE-TIME GRANT FOR THE FILM PROJECT, THE BADASS LIBRARIANS OF TIMBUKTU. UPDATED FINANCIAL REPORT REQUESTED 1/21/2021; RECD 2/10/2021. GRANTEE REQUESTED AN EXTENSION TO 12/31/2021 FROM 12/31/2020. GRANT REMAINS OPEN UNTIL PROGRAM ASSESSMENT IS COMPLETE. GRANTEE SUBMITTED UPDATED FINANCIAL REPORT 1/21/2022. GRANT IS IN THE PROCESS OF BEING CLOSED.	75,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2020, 2/1/2021, 7/1/2020	2021-01-21	
FONDATION ENTREPRENDRE	14 FILATURE 32 RUE DU FAUBOURG SAINTDENIS PARIS 75010 FR	2019-04-23	25,000	FOR SUPPORT OF A CONFERENCE ON CHALLENGES TO DEMOCRACIES AROUND THE WORLD AND THE FUTURE OF THE NATION-STATE. GRANT IS IN THE PROCESS OF BEING CLOSED.	25,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 4/1/2020	2019-12-31	
INTERNATIONAL SCHOOL ON DISARMAMENT AND RESEARCH ON CONFLICTS (ISODARCO)	VIA DELLA ROTONDA 2 ROME 00186 IT	2019-12-09	150,000	FOR TWO ADVANCED COURSES ON ARMS CONTROL AND NUCLEAR RISK. UPDATED FINANCIAL REPORT REQUESTED 1/29/2022.	79,500	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2021, 2/1/2022	2022-01-25	
INTERNATIONAL SCHOOL ON DISARMAMENT AND RESEARCH ON CONFLICTS (ISODARCO)	VIA DELLA ROTONDA 2 ROME 00186 IT	2017-05-02	120,000	FOR TWO ADVANCED COURSES ON THE IMPACT OF NEW AND EMERGING TECHNOLOGIES ON THE NUCLEAR RISK. GRANT IS IN THE PROCESS OF BEING CLOSED.	120,000	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2018, 8/1/2018, 8/1/2019	2019-04-30	
JORDAN MEDIA INSTITUTE	PO BOX 3387 AMMAN 11821 JO	2018-12-05	200,000	FOR A PROJECT ON MEDIA EDUCATION AND TRAINING. UPDATED FINANCIAL REPORT REQUESTED 12/31/2021. RECD 1/12/2022. EXTENSION TO 3/31/2022 APPROVED 12/22/2021.	147,254	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2021, 8/1/2021	2022-12-31	
RIDGEWAY INFORMATION LTD	136 HIGH HOLBORN LONDON WC1V 6PX UK	2019-11-06	600,000	FOR RESEARCH ON GLOBAL NONPROLIFERATION ISSUES AND DEVELOPMENT OF METHODOLOGIES TO USE MULTIMEDIA OPEN SOURCES IN SUPPORT OF THE INTERNATIONAL ATOMIC ENERGY AGENCY'S DEPARTMENT OF SAFEGUARDS. PENDING CARRYOVER IN THE AMOUNT OF $3,524.00 TO G-19-5744.	596,476	NO PART OF THE GRANT WAS USED FOR OTHER THAN CHARITABLE PURPOSES.	FINANCIAL & PROGRESS REPORTS RECEIVED 2/1/2020, 2/1/2021, 4/1/2021	2020-12-31	

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Identifier	Return Reference	Explanation
FOUNDATION MANAGERS & THEIR COMPENSATION INFORMATION	FORM 990-PF, PART VIII, LINE 1	VARTAN GREGORIAN WAS THE PRESIDENT OF THE ORGANIZATION UNTIL APRIL 15, 2021.LLOYD J. AUSTIN RESIGNED FROM THE BOARD EFFECTIVE JANUARY 22, 2021.MARTIN BARON, KURT SCHMOKE AND ANN CLAIRE WILLIAMS BEGAN THEIR TERMS OF SERVICE AT THE JUNE 3, 2021 BOARD MEETING.
SUMMARY OF DIRECT CHARITABLE ACTIVITIES	FORM 990-PF, PART IX-A	CARNEGIE CORPORATION OF NEW YORK (CC) WAS FOUNDED IN 1911 FOR THE ADVANCEMENT AND DIFFUSION OF KNOWLEDGE AND UNDERSTANDING. CC FULFILLS ITS CHARTER PRIMARILY BY GIVING GRANTS TO ORGANIZATIONS IN THE PROGRAM AREAS SELECTED BY ITS PRESIDENT AND BOARD OF TRUSTEES. (SEE SCHEDULE 12 FOR IDENTIFICATION OF THE CURRENT AREAS OF CONCENTRATION.) IN ADDITION TO MAKING GRANTS, CC ENCOURAGES STAFF MEMBERS TO GIVE FREELY OF THEIR TIME TO ASSIST OTHER NON PROFIT ORGANIZATIONS AND GOVERNMENTAL AGENCIES INVOLVED IN PUBLIC SERVICE. THIS IS ACCOMPLISHED THROUGH SERVICE ON BOARDS AND ADVISORY COMMITTEES OF OTHER INSTITUTIONS, TECHNICAL ASSISTANCE, RESEARCH, PUBLICATION AND DISSEMINATION OF EDUCATIONAL MATERIALS AND THE CONDUCT OF EDUCATIONAL CONFERENCES, MEETINGS AND SEMINARS.TECHNICAL ASSISTANCE TO GRANTEES AND OTHER CHARITABLE ORGANIZATIONS: $771,126THIS AMOUNT REPRESENTS SALARY AND OVERHEAD ALLOCATIONS OF PROGRAM STAFF FOR TIME SPENT ADVISING GRANTEES AND OTHER CHARITABLE ORGANIZATIONS ON A VARIETY OF SUBJECTS INCLUDING, BUT NOT LIMITED TO, OBTAINING ADDITIONAL FUNDING FROM OTHER SOURCES, REDUCING OPERATING EXPENSES, COMPLYING WITH GOVERNMENT REGULATION, AND MAINTAINING ACCURATE FINANCIAL RECORDS.CONDUCTING CONFERENCES AND MEETINGS: $58,884CC CONDUCTS SEVERAL MEETINGS PER YEAR IN EACH OF ITS PROGRAM AREAS THAT BRING TOGETHER PARTICIPANTS FROM VARIOUS ACADEMIC DISCIPLINES, AND THE PUBLIC AND PRIVATE SECTORS, TO EXCHANGE IDEAS AND FORMULATE SOLUTIONS OR METHODS OF ARRIVING AT SOLUTIONS TO PROBLEMS IN THEIR AREA OF EXPERTISE. THE MEETINGS BENEFIT THESE INDIVIDUALS AND ULTIMATELY THE COMMUNITIES THEY SERVE.PUBLICATION AND DISSEMINATION OF EDUCATIONAL MATERIALS: $3,727,111ARTICLES, BOOKS AND OTHER READING MATERIAL, GENERATED FROM THE MEETINGS MENTIONED ABOVE, FROM CARNEGIE-SUPPORTED GRANTEES AND CARNEGIE STAFF, ARE REGULARLY PRODUCED AND DISTRIBUTED BY CC TO THE GENERAL PUBLIC AND TO THOSE INDIVIDUALS MOST DIRECTLY INTERESTED IN THEIR CONTENTS. THE CORPORATION MAINTAINS A FILE OF NAMES AND ADDRESSES TO FACILITATE THE DISSEMINATION PROGRAM. OTHER: $210,959THE MAJORITY OF THIS CATEGORY REPRESENTS THE ALLOCATION OF SALARIES, BENEFITS, AND OVERHEAD FOR STAFF WHO CONTRIBUTE PORTIONS OF THEIR TIME TO THE ADMINISTRATION OF CORPORATION-SPONSORED ACTIVITY: FOR CATALYZING INTEGRATED APPROACHES TO INNOVATION IN EDUCATION REFORM AND DEVELOPING NEW APPROACHES TO LEARNING AND CONTINUOUS IMPROVEMENT AND THE PROGRAM DISSEMINATION FUND. SERVICE ON BOARDS OR ADVISORY COMMITTEES: $352,281THE AMOUNT REPRESENTS THE COST TO SUPPORT THE SERVICE OF THE CORPORATION'S STAFF ON BOARDS OR ADVISORY COMMITTEES OF OTHER CHARITABLE ORGANIZATIONS.TOTAL DIRECT CHARITABLE ACTIVITIES: $5,120,361
SUPPLEMENTARY INFORMATION, LINES 2A, B, D	FORM 990-PF, PART XV	THE CHARTER OF THE CORPORATION PROVIDES THAT ALL FUNDS ARE TO BE USED TO PROMOTE "THE ADVANCEMENT AND DIFFUSION OF KNOWLEDGE AND UNDERSTANDING." GRANTS MUST BE BROADLY EDUCATIONAL IN NATURE, BUT THEY NEED NOT BE LIMITED TO THE FORMAL EDUCATIONAL SYSTEM OR TO EDUCATIONAL INSTITUTIONS. THE FOUNDATION MAKES IT A POLICY TO SELECT A FEW AREAS IN WHICH TO CONCENTRATE ITS GRANTS OVER A PERIOD OF YEARS. THE CORPORATION CURRENTLY OPERATES GRANT PROGRAMS IN FOUR BROAD AREAS. THE FOUR AREAS ARE EDUCATION, INTERNATIONAL PEACE AND SECURITY, INTERNATIONAL DEVELOPMENT, AND STRENGTHENING U.S. DEMOCRACY. THE CORPORATION ACCEPTS REQUESTS FOR FUNDING AT ALL TIMES OF THE YEAR. THERE ARE NO APPLICATION DEADLINES.GRANT DECISIONS ARE BASED PRIMARILY ON THE INFORMATION PROVIDED BY GRANTSEEKERS IN THE CORPORATION'S OWN DETAILED PROPOSALS FORMATS. ONLY PROPOSALS PRESENTED IN THE CORPORATION'S FORMAT WILL BE CONSIDERED FOR FUNDING. GRANTSEEKERS WHO WOULD LIKE TO APPROACH THE FOUNDATION WITH A PRELIMINARY REQUEST FOR FUNDING ARE ENCOURAGED TO SUBMIT A LETTER OF INQUIRY. IF THE PROJECT DESCRIBED IN THE LETTER FITS THE FOUNDATION'S GUIDELINES, THE SENDER WILL BE CONTACTED AND ASKED TO SUBMIT A PROPOSAL IN THE CORPORATION'S FORMAT. A REQUEST TO SUBMIT A PROPOSAL IS NOT AN INDICATION OF THE CORPORATION'S INTENTION OR COMMITMENT TO AWARD A GRANT.A LETTER OF INQUIRY FORM REQUESTING INFORMATION ABOUT THE PROJECT, ITS AIMS, ITS SIGNIFICANCE, ITS DURATION AND THE AMOUNTS OF FUNDS REQUIRED CAN BE FOUND AND SUBMITTED VIA THE CARNEGIE CORPORATION OF NEW YORK'S ONLINE GRANTS PORTAL HTTPS://CARNEGIE.FLUXX.IO. QUESTIONS CAN BE ADDRESSED TO NICOLE HOWE BUGGS, CHIEF ADMINISTRATIVE OFFICER AND CORPORATE SECRETARY, GRANTSINFO@CARNEGIE.ORG, 437 MADISON AVENUE, NEW YORK, NY 10022. HER TELEPHONE NUMBER IS (212) 371-3200.THE CORPORATION DOES NOT MAKE GRANTS FOR CONSTRUCTION OR MAINTENANCE OF FACILITIES OR ENDOWMENTS. IT DOES NOT MAKE PROGRAM-RELATED INVESTMENTS.THE CORPORATION DOES NOT GENERALLY MAKE GRANTS TO INDIVIDUALS. THE CORPORATION USUALLY CONSIDERS ONLY THOSE PROJECTS THAT HAVE THE POTENTIAL FOR WIDE-SPREAD NATIONAL OR INTERNATIONAL IMPACT. IT DOES NOT GENERALLY MAKE GRANTS TO LOCAL OR REGIONAL COMMUNITY SERVICE ORGANIZATIONS.
NAME OF FOREIGN COUNTRY FILING FBAR	FORM 990-PF, PART VII-A, LINE 16	CAYMAN ISLANDS, CHILE, GHANA, GREECE, MAURITIUS, SOUTH KOREA, TUNISIA, TURKEY

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Name of Bond	End of Year Book Value	End of Year Fair Market Value
489963 BNY MELLON CASH RESERVE	489,963	489,963
213912198 JP MORGAN US TRE PLUS INS 3918	213,424,328	213,424,328
40179134 DREYFUS TREASURY SECURITIES CM	40,179,134	40,179,134
24995611 PRIME MONEY MARKET FUND - INST	25,008,109	25,008,109
9968020 TRADE DATE CASH	9,960,722	9,960,722

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Name of Stock	End of Year Book Value	End of Year Fair Market Value
16350 ADVANCE AUTO PARTS INC	3,415,352	3,415,352
8620 AIR PRODUCTS AND CHEMICALS INC	2,207,668	2,207,668
96130 ALEXANDER & BALDWIN INC	2,253,287	2,253,287
1092 ALPHABET INC-CL A	2,919,484	2,919,484
85935 AMERICAN INTERNATIONAL GROUP I	4,716,972	4,716,972
17850 CHUBB LTD	3,096,618	3,096,618
58610 COMCAST CORP	3,278,057	3,278,057
80120 CORTEVA INC	3,371,450	3,371,450
10200 DEERE & CO	3,417,714	3,417,714
32403 DOW INC	1,865,117	1,865,117
15400 GENERAL DYNAMICS CORP	3,018,862	3,018,862
35730 GENERAL MOTORS CO	1,883,328	1,883,328
18720 JB HUNT TRANSPORT SERVICES INC	3,130,358	3,130,358
44175 ORTHOFIX MEDICAL INC	1,683,951	1,683,951
45435 SYSCO CORP	3,566,648	3,566,648
17460 T-MOBILE US INC	2,230,690	2,230,690
2339 MICROSOFT CORP	659,411	659,411
26962 KUAISHOU TECHNOLOGY	286,430	286,430
253112 MEITUAN	8,018,012	8,018,012
4219 AIRBNB INC	707,737	707,737
2326 BERKELEY LIGHTS INC	45,497	45,497
2821 BILL.COM HOLDINGS INC	753,066	753,066
1036 CORTEXYME INC	94,960	94,960
3167 CROWDSTRIKE HOLDINGS INC	778,385	778,385
3421 DADA NEXUS LTD	68,557	68,557
33659 PINDUODUO INC	3,051,862	3,051,862
117382 PUBMATIC INC	3,093,016	3,093,016
25015 SUMO LOGIC INC	403,242	403,242
30864 UIPATH INC	1,623,754	1,623,754
2 KINDER MORGAN INC	33	33
DUE FROM BROKER	22,913,918	22,913,918

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK
EIN: 13-1628151

Category/ Item		Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AQUILO CAPITAL OFFSHORE	FMV		27,826,947	27,826,947
BLS GLOBAL EQUITIES FUND LLC	FMV		39,977,279	39,977,279
CERNI CAPITAL & ANDREW CERNI	FMV		100,807,348	100,807,348
DYNAMO BRASIL FUND LTD	FMV		41,634,461	41,634,461
GANSDOWNE DEVELOPED MARKET FUND DML	FMV		94,101,815	94,101,815
LONE CASCADE	FMV		149,708,780	149,708,780
MAKARA INVESTORS	FMV		57,900,467	57,900,467
MERIDIAN CONSERVATIVE	FMV		51,520,152	51,520,152
NEW VERMION INDIA FUND II	FMV		1,674,434	1,674,434
NINE TEN PARTNERSHIP FUND	FMV		108,462,231	108,462,231
PARADIGM EUROPEAN OPPORTUNITIES FUND	FMV		89,012,622	89,012,622
SILCHESTER INTL	FMV		153,068,507	153,068,507
SQR FRONTIER MIDDLE EAST	FMV		359,170	359,170
SQUARE QUARTER EQUITY LTD	FMV		72,025,452	72,025,452
STEINBERG INDIA EMERGING OPP FUND	FMV		37,080,596	37,080,596
STONE FOREST CAPITAL	FMV		82,156,894	82,156,894
TARPON ALL EQUITIES FUND	FMV		348,668	348,668
ACCEL X	FMV		3,297,652	3,297,652
ACCEL XI	FMV		4,197,985	4,197,985
ACCEL XII	FMV		14,751,702	14,751,702
ACCEL XIII	FMV		9,130,153	9,130,153
ACCEL XIV	FMV		5,797,438	5,797,438
ACCEL GROWTH FUND II	FMV		9,457,365	9,457,365
ACCEL GROWTH FUND III	FMV		9,152,041	9,152,041
ACCEL GROWTH FUND IV	FMV		23,161,372	23,161,372
ACCEL GROWTH FUND V	FMV		12,856,978	12,856,978
ACCEL LEADERS FUND LP	FMV		11,996,669	11,996,669
ACCEL LEADERS FUND LP II	FMV		4,885,235	4,885,235
CATHAY CAPITAL HOLDINGS II	FMV		804,321	804,321
CDH VENTURE PARTNERS	FMV		105,924	105,924
CDH VENTURE PARTNERS II	FMV		4,115,561	4,115,561
EMERGENCE CAPITAL PARTNERS II	FMV		37,550,185	37,550,185
EMERGENCE CAPITAL PARTNERS IV	FMV		9,091,689	9,091,689
EMERGENCE CAPITAL PARTNERS V	FMV		8,596,823	8,596,823
EMERGENCE CAPITAL PARTNERS VI	FMV		935,745	935,745
EMERGENCE CAP PART OPP FUND I	FMV		1,653,957	1,653,957
FOUNDATION CAP PARTNERS X	FMV		439,018	439,018
H CAPITAL III	FMV		11,909,751	11,909,751
H CAPITAL IV	FMV		24,208,921	24,208,921
H CAPITAL V	FMV		11,070,294	11,070,294
H CAPITAL VI	FMV		11,096,640	11,096,640
HELION VENTURE PARTNERS	FMV		6,863,953	6,863,953
HELION VENTURE PARTNERS III	FMV		9,950,932	9,950,932
HELION VENTURE PARTNERS III	FMV		5,398,698	5,398,698
IDG-ACCEL CHINA CAPITAL	FMV		5,898,918	5,898,918
IDG-ACCEL CHINA GROWTH	FMV		9,646,684	9,646,684
IDG-ACCEL CHINA GROWTH FUND II	FMV		9,927,081	9,927,081
IDG-ACCEL CHINA GROWTH FUND III	FMV		9,381,730	9,381,730
KEYTONE VENTURES	FMV		2,127,422	2,127,422
KEYTONE VENTURES II	FMV		13,053,114	13,053,114
MATRIX PARTNERS CHINA I	FMV		3,570,782	3,570,782
MATRIX PARTNERS IX	FMV		14,952,816	14,952,816
MOHR DAVIDOW VENTURES IX	FMV		4,071,993	4,071,993
MONKINGSIDE CHINA TMT FUND II	FMV		119,197,526	119,197,526
NEXUS INDIA CAPITAL II	FMV		20,939,882	20,939,882
NEXUS VENTURES III	FMV		22,853,030	22,853,030
NEXUS FUND IV	FMV		11,763,579	11,763,579
NEXUS VENTURES V	FMV		15,885,797	15,885,797
NEXUS VENTURES VI	FMV		1,223,259	1,223,259
NEXUS OPPORTUNITY FUND	FMV		4,129,776	4,129,776
NEXUS OPPORTUNITY FUND II	FMV		5,607,813	5,607,813
SEQUOIA CAPITAL XII	FMV		76,219,722	76,219,722
SEQUOIA CAPITAL (US) XIV	FMV		37,895,404	37,895,404
SEQUOIA CAPITAL (US) XV	FMV		1,313,836	1,313,836
SEQUOIA CAPITAL (US) XVII	FMV		469,417	469,417
SEQUOIA CAPITAL CHINA I	FMV		5,300,497	5,300,497
SEQUOIA CAPITAL CHINA III	FMV		10,928,884	10,928,884
SEQUOIA CAPITAL CHINA VII	FMV		1,490,829	1,490,829
SEQUOIA CAPITAL CHINA VIII	FMV		782,831	782,831
SEQUOIA CAPITAL CHINA GROWTH 2010	FMV		9,617,518	9,617,518
SEQUOIA CAPITAL CHINA GROWTH FUND I	FMV		7,371,897	7,371,897
SEQUOIA CAPITAL CHINA GROWTH FUND II	FMV		3,120,416	3,120,416
SEQUOIA CAPITAL CHINA GROWTH FUND VI	FMV		1,780,736	1,780,736
SEQUOIA CAPITAL CHINA SEED FUND I	FMV		1,006,372	1,006,372
SEQUOIA CAPITAL CHINA SEED FUND II	FMV		903,663	903,663
SEQUOIA CAPITAL CHINA VENTURE 2010	FMV		10,323,464	10,323,464
SEQUOIA CAPITAL GLOBAL GROWTH	FMV		26,078,809	26,078,809
SEQUOIA CAPITAL GLOBAL GROWTH II	FMV		38,238,094	38,238,094
SEQUOIA CAPITAL INDIA III	FMV		3,426,668	3,426,668
SEQUOIA CAPITAL INDIA GROWTH FUND I	FMV		1,095,202	1,095,202
SEQUOIA CAPITAL INDIA GROWTH FUND II	FMV		14,705,898	14,705,898
SEQUOIA CAPITAL US/E SEED FUND IV	FMV		101,792	101,792
SEQUOIA CAPITAL US SCOUT SEED FUND III	FMV		1,193,848	1,193,848
SEQUOIA CAPITAL US VENTURE XVI	FMV		15,486,677	15,486,677
SEQUOIA CAPITAL GROWTH FUND III	FMV		5,673,661	5,673,661
SEQUOIA CAPITAL US GROWTH FUND IV	FMV		43,327,352	43,327,352
SEQUOIA CAPITAL US GROWTH FUND V	FMV		8,728,102	8,728,102
SEQUOIA CAPITAL US GROWTH FUND VI	FMV		10,722,249	10,722,249
SEQUOIA CAPITAL US GROWTH FUND VII	FMV		12,426,567	12,426,567
SEQUOIA CAPITAL US GROWTH FUND VIII	FMV		8,348,633	8,348,633
SEQUOIA CAPITAL US GROWTH FUND IX	FMV		2,213,807	2,213,807
WESTBRIDGE VENTURES II	FMV		1,468,889	1,468,889
B I HAMPER INC FUND VI LTD	FMV		3,831,549	3,831,549
ABRY PARTNERS IV	FMV		48,742	48,742
ABRY PARTNERS V	FMV		26,958	26,958
ABRY PARTNERS VI	FMV		285,380	285,380
ABRY PARTNERS VII	FMV		4,327,387	4,327,387
ABRY PARTNERS VIII	FMV		4,237,200	4,237,200
ABRY PARTNERS IX	FMV		11,771,098	11,771,098
ABRY HERITAGE PARTNERS	FMV		4,439,924	4,439,924
ABRY SENIOR EQUITY IV	FMV		729,072	729,072
ABRY SENIOR EQUITY V	FMV		3,656,016	3,656,016
ALPINE INVESTORS III	FMV		1,085,020	1,085,020
ALPINE INVESTORS V	FMV		15,385,754	15,385,754
AMERICAN SECURITIES V	FMV		13,688	13,688
ANGELES EQUITY PARTNERS	FMV		5,513,229	5,513,229
ARCLINE CAPITAL PARTNERS LP I	FMV		16,503,016	16,503,016
ARCLINE CAPITAL PARTNERS LP II	FMV		2,453,142	2,453,142
BAIN CAPITAL FUND VIII	FMV		3,420,720	3,420,720
BAIN CAPITAL FUND IXX	FMV		13,727	13,727
BAIN CAPITAL FUND VIII-E	FMV		33,651	33,651
BAIN CAPITAL IX	FMV		87,014	87,014
BAIN CAPITAL X	FMV		1,242,554	1,242,554
BAIN ASIA FUND II	FMV		3,055,915	3,055,915
BAIN CAPITAL EUROPE FUND III	FMV		831,848	831,848
BERKSHIRE FUND VI	FMV		2,216,853	2,216,853
BERKSHIRE FUND VII	FMV		627,562	627,562
BERKSHIRE FUND VIII	FMV		16,469,793	16,469,793
BERKSHIRE FUND IX	FMV		18,181,108	18,181,108
BERKSHIRE FUND IX CO-INVEST	FMV		5,210,180	5,210,180
CDH CHINA FUND III	FMV		367,960	367,960
CDH CHINA FUND IV	FMV		1,242,962	1,242,962
CDH CHINA FUND V	FMV		9,696,102	9,696,102
CITIC CAPITAL CHINA PARTNERS	FMV		174,420	174,420
CITIC CAPITAL CHINA PARTNERS II	FMV		2,321,779	2,321,779
CREST ROCK FUND IA	FMV		5,375,584	5,375,584
CS CAPITAL PARTNERS III	FMV		4,858	4,858
FLEXPORT FUND FUND III	FMV		5,637,772	5,637,772
FLEXPORT FUND FUND III	FMV		20,455,927	20,455,927
FLEXPORT/FLEXPORT OVERAGE FUND IV	FMV		9,435,125	9,435,125
FLEXPORT SPECIAL ASSETS FUND	FMV		4,917,470	4,917,470
FRANCISCO AGILITY PARTNERS	FMV		15,375,471	15,375,471
FRANCISCO AGILITY PARTNERS II	FMV		640,912	640,912
FRANCISCO PARTNERS IV	FMV		22,450,245	22,450,245
FRANCISCO PARTNERS V	FMV		21,487,344	21,487,344
FRANCISCO PARTNERS VI-A	FMV		1,399,581	1,399,581
GOLDEN GATE CAPITAL II/IIA	FMV		412,154	412,154
GGC INVESTMENT ANNEX FUND II	FMV		86,359	86,359
GOLDEN GATE CAPITAL INVESTMENT FUND	FMV		13,322,850	13,322,850
INDUSTRIAL GROWTH PARTNERS IV	FMV		817,759	817,759
INDUSTRIAL GROWTH PARTNERS V	FMV		15,397,528	15,397,528
LUMINATE PARTNERS I	FMV		70,680,000	70,680,000
LUMINATE CAPITAL PARTNERS II	FMV		16,134,000	16,134,000
LUMINATE CAPITAL PARTNERS III	FMV		1,443,347	1,443,347
MASON WELLS FUND IV	FMV		24,267,393	24,267,393
MASON WELLS FUND V	FMV		397,544	397,544
NEW HORIZON CAPITAL IV	FMV		1,058,759	1,058,759
STRATUS CAPITAL PARTNERS III-BETA	FMV		6,302,069	6,302,069
SYCAMORE PARTNERS	FMV		16,644,222	16,644,222
SYCAMORE PARTNERS II	FMV		10,338,701	10,338,701
SYCAMORE PARTNERS III	FMV		10,481,794	10,481,794
TIGER GLOBAL PARTNERS V	FMV		5,670,177	5,670,177
ACADIA STRATEGIC OPPS FUND II	FMV		19,834,331	19,834,331
ACADIA STRATEGIC OPPS FUND III	FMV		1,802,045	1,802,045
ACADIA STRATEGIC OPPS FUND IV	FMV		4,639,293	4,639,293
ACADIA STRATEGIC OPPS FUND V	FMV		6,886,633	6,886,633
AVANTI INVESTORS III	FMV		1	1
AVANTI INVESTORS IV	FMV		1,451,282	1,451,282
AVANTI INVESTORS V	FMV		10,800,880	10,800,880
AVANTI INVESTORS VI	FMV		17,123,048	17,123,048
AVANTI INVESTORS VIII	FMV		10,835,700	10,835,700
ASLAN REALTY II (TRANSWESTERN)	FMV		498,769	498,769
BROOKDALE INVESTORS	FMV		216,653	216,653
BROOKDALE INVESTORS VII	FMV		5,897,219	5,897,219
BROOKDALE INVESTORS VIII	FMV		2,051,560	2,051,560
CP-CARMEL PARTNERS III	FMV		2,372,277	2,372,277
CP-CARMEL PARTNERS V	FMV		10,195,924	10,195,924
CP-CARMEL PARTNERS VI	FMV		13,679,247	13,679,247
FRANCISCO PARTNERS VI-A	FMV		1,781,306	1,781,306
FRANCISCO PARTNERS VI-B	FMV		6,975,999	6,975,999
FPA APARTMENT OPPORTUNITY FUND IV	FMV		1,096,987	1,096,987
FPA APARTMENT OPPORTUNITY FUND VI-A	FMV		2,317,882	2,317,882
FPA APARTMENT OPPORTUNITY FUND VI-A	FMV		25,993,935	25,993,935
FPA APARTMENT OPPORTUNITY FUND VI-A	FMV		9,093,219	9,093,219
GREEN COURTE R. E. PARTNERS	FMV		2,012,743	2,012,743
GREEN COURTE R. E. PARTNERS II	FMV		1,167,408	1,167,408
GREEN COURTE R. E. PARTNERS III	FMV		13,785,811	13,785,811
GREEN OAK EUROPE II	FMV		5,671,361	5,671,361
HAMPSHIRE PARTNERS REIT VII	FMV		3,826,763	3,826,763
HAMPSHIRE PARTNERS REIT VIII	FMV		742,311	742,311
JBG INVESTMENT FUND VII	FMV		2,841,006	2,841,006
JBG INVESTMENT FUND VIII	FMV		2,031,105	2,031,105
JBG INVESTMENT FUND IX	FMV		1,196,157	1,196,157
LBA LOGISTICS VALUE FUND VII	FMV		7,241,594	7,241,594
LBA REALTY FUND	FMV		706,349	706,349
LBA REALTY FUND II	FMV		5,944,775	5,944,775
LBA REALTY FUND III	FMV		12,634,583	12,634,583
LBA REALTY FUND IV	FMV		1,409,146	1,409,146
LBA REALTY FUND V	FMV		2,960,992	2,960,992
LBA REALTY FUND VI	FMV		7,423,455	7,423,455
MBH REALTY V	FMV		644,166	644,166
MAVERICK LEIN FUND V	FMV		6,577,075	6,577,075
MAVERICK LEIN FUND VI	FMV		1,401,534	1,401,534
MADISON INTL RE LIQUIDITY FUND V	FMV		1,230,078	1,230,078
MERLONE GEIER PARTNERS	FMV		5,748,688	5,748,688
MERLONE GEIER PARTNERS IX	FMV		2,243,558	2,243,558
MERLONE GEIER PARTNERS X	FMV		5,564,540	5,564,540
MERLONE GEIER PARTNERS XI	FMV		5,612,051	5,612,051
MERLONE GEIER PARTNERS XII	FMV		6,568,039	6,568,039
NORTHWOOD RE CO INVEST - SERIES I	FMV		5,142,659	5,142,659
NORTHWOOD RE CO INVEST - SERIES II	FMV		2,625,845	2,625,845
NORTHWOOD RE PARTNERS - SERIES I	FMV		8,313,864	8,313,864
NORTHWOOD RE PARTNERS - SERIES II	FMV		10,852,325	10,852,325
NORTHWOOD RE PARTNERS - SERIES VII	FMV		6,790,918	6,790,918
REALTY FINANCIAL PARTNERS II/IIA	FMV		100	100
REALTY FINANCIAL PARTNERS IV	FMV		93,307	93,307
SFF REALTY FUND III	FMV		11,666,809	11,666,809
SRI EIGHT REIT	FMV		23,075,985	23,075,985
SRI TEN REIT	FMV		1,340,839	1,340,839
SRI ELEVEN REIT	FMV		6,779,248	6,779,248
WOODBOURNE CANADA PARTNERS II	FMV		4,246,146	4,246,146
WOODBOURNE CANADA PARTNERS III	FMV		9,612,224	9,612,224
WOODBOURNE CANADA PARTNERS IV	FMV		7,862,833	7,862,833
BLACK BAY ENERGY LP	FMV		10,488,373	10,488,373
CARNELIAN ENERGY CAPITAL LP	FMV		1,816,459	1,816,459
CARNELIAN ENERGY CAPITAL II LP	FMV		11,861,893	11,861,893
CARNELIAN ENERGY CAPITAL III LP	FMV		12,606,225	12,606,225
DENHAM PV CONTINUATION FUND	FMV		1,374,230	1,374,230
DENHAM COMMODITY PARTNERS V	FMV		2,521,311	2,521,311
DENHAM COMMODITY PARTNERS VI	FMV		3,268,364	3,268,364
DENHAM MINING FUND LP	FMV		18,857,642	18,857,642
ENERGY CAPITAL PARTNERS IIA	FMV		579,116	579,116
ENERGY CAPITAL MEZZANINE OPP	FMV		1,839,418	1,839,418
ENERGY CAPITAL PARTNERS III	FMV		10,964,740	10,964,740
ENERGY CAPITAL PARTNERS IV-A	FMV		5,919,213	5,919,213
ECP TERRA-GEN GROWTH FUND	FMV		1,991,879	1,991,879
ENG FUND II OFFSHORE	FMV		10,620,666	10,620,666
ENG FUND III	FMV		5,221,548	5,221,548
GREY ROCK ENERGY FUND II-B	FMV		15,282,077	15,282,077
GREY ROCK ENERGY FUND III-B	FMV		23,927,424	23,927,424
LIME ROCK PARTNERS IV	FMV		36,124	36,124
LIME ROCK PARTNERS IV-AF	FMV		16,889,654	16,889,654
LIME ROCK PARTNERS V	FMV		1,342,185	1,342,185
LIME ROCK PARTNERS VII	FMV		16,695,109	16,695,109
LIME ROCK PARTNERS VIII	FMV		13,549,399	13,549,399
MERIT ENERGY PARTNERS CANADA	FMV		5,133,322	5,133,322
MERIT ENERGY PARTNERS G	FMV		4,648,685	4,648,685
MERIT ENERGY PARTNERS I	FMV		16,020,524	16,020,524
MERIT ENERGY PARTNERS J	FMV		9,777,443	9,777,443
OLD IRONSIDES ENERGY FUND II-B	FMV		11,012,038	11,012,038
OLD IRONSIDES ENERGY FUND III	FMV		8,816,442	8,816,442
RESOURCE CAPITAL FUND IV	FMV		1,343,335	1,343,335
RESOURCE CAPITAL FUND VI	FMV		5,712,739	5,712,739
SCP-VI	FMV		211,709	211,709
SCP-VII	FMV		1,081,796	1,081,796
SCP-VIII	FMV		3,421,550	3,421,550
SCP-IX	FMV		7,998,704	7,998,704
SCP-IX	FMV		7,838,672	7,838,672
SFC ENERGY FUND II	FMV		142,491	142,491
WHITE DEER ENERGY II	FMV		5,120,199	5,120,199
BAUPOST VALUE PARTNERS	FMV		118,778,239	118,778,239
BAYBERRY OFFSHORE LIMITED	FMV		16,880,721	16,880,721
BERGAN LIGHT OFFSHORE FUND	FMV		46,146,115	46,146,115
BLUE RIDGE OFFSHORE FUND	FMV		287,951	287,951
BROOKSIDE CAPITAL (SKIBO)	FMV		100,103	100,103
CHADEK KENSINGTON GLOBAL STRAT FUND	FMV		90,905,105	90,905,105
COPERNICO	FMV		23,732,296	23,732,296
3D OPPORTUNITY FUND	FMV		28,253,245	28,253,245
FAIRHYSTAD OFFSHORE FUND	FMV		30,036,000	30,036,000
FIR TREE INTERNATIONAL VALUE	FMV		9,703,008	9,703,008
HOLDCO OPPORTUNITIES FUND III	FMV		32,264,652	32,264,652
ONE POINT (CAYMAN) KALIO)	FMV		29,646,537	29,646,537
NEWTYN TE PARTNERS	FMV		72,709,469	72,709,469
PARK PRESIDIO CAPITAL OFFSHORE FUND	FMV		51,303,939	51,303,939
SERVICE PARTNERS	FMV		87,037,750	87,037,750
TAL CHINA FOCUS FUND	FMV		84,510,336	84,510,336
TIGER GLOBAL LTD	FMV		117,949,554	117,949,554
TWO SIGMA COMPASS ENHANCED CAYMAN	FMV		20,893,272	20,893,272
TWO SIGMA SPECTRUM CAYMAN	FMV		20,292,755	20,292,755
WHITE TREE CAPITAL	FMV		112,013,737	112,013,737
VARDE EUROPE FUND (OFFSHORE)	FMV		31,127,370	31,127,370
VARDE FUND IV	FMV		58,583,309	58,583,309
VARDE FUND V	FMV		18,847	18,847
WORKING CAPITAL PARTNERS	FMV		79,283,398	79,283,398

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
LEASEHOLD IMPROVEMENTS	9,170,580	4,583,759	4,586,821	
FURNITURE AND EQUIPMENT	3,496,974	2,938,872	558,102	

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	228,453	90,507		169,688

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INCOME RECEIVABLE	47,328	97,180	97,180

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TRUSTEES EXPENSES	5,700	0		5,700
CONSULTANTS	1,394,633	229,000		1,304,399
POSTAGE, TELEPHONE & MESSENGER	66,426	9,041		57,630
OFFICE EQUIPMENT, SERVICES AND SUPPLIES	237,591	24,991		228,452
COMPUTER EQUIPMENT AND SERVICE	685,376	92,786		630,205
COPYING & DUPLICATING	33,665	4,532		28,842
BOOKS & PERIODICALS	35,680	1,676		30,962
INSURANCE	83,245	0		115,247
MEMBERSHIP FEES	28,854	4,212		24,788
MISCELLANEOUS	40,880	5,551		34,973

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INCOME FROM GREENOAK EUROPE II FEEDER LP		1,013,573	
INCOME FROM NEON LIBERTY LORIKEET FUND		1,268,110	
LOSS FROM STRATUS SCP III INVESTORS - BETA LP		-96,812	
INCOME FROM PARTNERSHIPS	24,709,813	-5,318,584	24,709,813
CLASS ACTION PROCEEDS	92,024	92,024	92,024
MISCELLANEOUS	1,185	1,185	1,185
INTEREST RECEIVED ON TAX REFUNDS	18	18	18

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Description	Amount
INCREASE IN UNREALIZED APPRECIATION (NET OF DEFERRED TAX)	970,209,380

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED TAXES PAYABLE	13,368,583	29,132,077
LOAN PAYABLE	20,000,000	0

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT AND CUSTODY	13,617,907	1,546,593		0

TY 2020 IRS 990 e-File Render

Name: CARNEGIE CORPORATION OF NEW YORK

EIN: 13-1628151

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE AND UNRELATED BUSINESS INCOME TAX	3,536,032	0		0
STATES UNRELATED BUSINESS INCOME TAX	333,282	0		0