

990

Return of Organization Exempt From Income Tax

OMB No. 1545-

0047 2021

Open to Public Inspection

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundation.) Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

Form 990 Department of the Treasury Internal Revenue Service

For the 2021 calendar year, or tax year beginning 01-01-2021, and ending 12-31-2021

- B Check if applicable: Address change, Name change, Initial return, Final return/terminated, Amended return, Application pending

C Name of organization: THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS. Doing business as: Number and street (or P.O. box if mail is not delivered to street address) Room/suite: 424 EAST 92ND STREET City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 101286804

D Employer identification number: 13-1623829. E Telephone number: (212) 876-7700. G Gross receipts \$ 403,842,871

F Name and address of principal officer: MATTHEW BERSHADKER, 424 EAST 92ND ST, NEW YORK, NY 101286804

H(a) Is this a group return for subordinates? No. H(b) Are all subordinates included? No. H(c) Group exemption number

I Tax-exempt status: 501(c)(3). J Website: WWW.ASPCA.ORG

K Form of organization: Corporation. L Year of formation: 1866. M State of legal domicile: NY

Part I Summary

1 Briefly describe the organization's mission or most significant activities: TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS IN THE U.S.

Table with 2 columns: Description, Amount. Rows 2-7b: 2 Check this box, 3 Number of voting members, 4 Number of independent voting members, 5 Total number of individuals employed, 6 Total number of volunteers, 7a Total unrelated business revenue, 7b Net unrelated business taxable income.

Table with 3 columns: Description, Prior Year, Current Year. Rows 8-12: 8 Contributions and grants, 9 Program service revenue, 10 Investment income, 11 Other revenue, 12 Total revenue.

Table with 3 columns: Description, Prior Year, Current Year. Rows 13-19: 13 Grants and similar amounts paid, 14 Benefits paid to or for members, 15 Salaries, other compensation, 16a Professional fundraising fees, 16b Total fundraising expenses, 17 Other expenses, 18 Total expenses, 19 Revenue less expenses.

Table with 3 columns: Description, Beginning of Current Year, End of Year. Rows 20-22: 20 Total assets, 21 Total liabilities, 22 Net assets or fund balances.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here: Signature of officer: GORDON LAVALETTE CFO. Date: 2022-07-15. Type or print name and title.

Paid Preparer Use Only: Print/Type preparer's name, Preparer's signature, Date, Check if self-employed, PTIN P00675982, Firm's name BAKER TILLY US LLP, Firm's EIN 39-0859910, Firm's address ONE PENN PLAZA SUITE 3000, NEW YORK, NY 10119, Phone no. (212) 697-6900.

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1 Briefly describe the organization's mission:

SEE SCHEDULE O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 102,418,892 including grants of \$ 5,292,353) (Revenue \$ 18,851,202)

SEE SCHEDULE OSVS INCLUDES THE ASPCA ANIMAL HOSPITAL (NYC), ASPCA SPAY/NEUTER ALLIANCE (NC), NORTHERN TIER SHELTER INITIATIVE, ASPCA ADOPTION CENTER (NYC), ASPCA ANIMAL POISON CONTROL CENTER (IL), ANIMAL RECOVERY CENTER (NYC), CANINE ANNEX FOR RECOVERY & ENRICHMENT (NYC), KITTEN NURSERY (NYC), KITTEN FOSTER PROGRAM (LA), ANIMAL RELOCATION PROGRAM, AND COMMUNITY MEDICINE PROGRAMS INCLUDING SPAY/NEUTER CLINICS IN NEW YORK CITY AND LOS ANGELES; AND COMMUNITY VETERINARY CLINICS (CVCS) IN LIBERTY CITY, MIAMI, AND IN THE NEW YORK CITY BOROUGHS OF THE BRONX AND BROOKLYN. IN 2021, ASPCA SVS PROGRAMS HELPED HUNDREDS OF THOUSANDS OF ANIMALS THROUGH MEDICAL CARE, BEHAVIORAL TREATMENT, RELOCATION, EMERGENCY AND STANDARD SHELTERING, POISON EXPOSURE CONSULTATIONS, FOSTERING, AND ADOPTION. 2021 SVS HIGHLIGHTS1. HELPING AT-RISK PETS AND THEIR OWNERS IN NY, LA, AND MIAMI ASPCA DIRECT ANIMAL CARE PROGRAMS IN NEW YORK, LOS ANGELES, AND MIAMI ARE SPECIALLY DESIGNED AND STAFFED TO PROVIDE EXPERT VETERINARY, BEHAVIORAL, AND PLACEMENT CARE TO ANIMALS AND COMMUNITIES WHO NEED IT MOST. ASPCA ANIMAL HOSPITAL, ANIMAL RECOVERY CENTER, AND CANINE ANNEX FOR RECOVERY AND ENRICHMENT IN 2021, THE ASPCA ANIMAL HOSPITAL TREATED MORE THAN 8,750 ANIMALS, AND THE ANIMAL RECOVERY CENTER (ARC) AND CANINE ANNEX FOR RECOVERY AND ENRICHMENT (CARE) PROVIDED CARE FOR MORE THAN 360 ANIMAL VICTIMS OF CRUELTY, AN OVERWHELMING MAJORITY OF WHOM WERE RESCUED THROUGH THE ASPCA-NYPD PARTNERSHIP. ASPCA ADOPTION CENTER & KITTEN NURSERY EACH YEAR, THE ASPCA ADOPTION CENTER AND KITTEN NURSERY IN NEW YORK CITY FOCUSES EXCLUSIVELY ON PROVIDING CARE, TREATMENT, AND ADOPTION SERVICES FOR HUNDREDS OF CATS AND DOGS WITH COMPLICATED MEDICAL AND/OR BEHAVIORAL NEEDS, INCLUDING FROM NYPD CRUELTY CASES, AS WELL AS ANIMALS RESCUED AND RELOCATED FROM DISASTER AND OTHER EMERGENCY SITUATIONS. IN 2021, 1,415 ADOPTION CENTER ANIMALS WERE ADOPTED FROM THE ADOPTION CENTER INTO SAFE AND LOVING HOMES, MOST OF THEM WITH SUPPORT FROM NEARLY 600 FOSTER HOMES. ASPCA LOS ANGELES KITTEN FOSTER PROGRAM IN 2021, THE ASPCA LOS ANGELES KITTEN FOSTER PROGRAM FOSTERED NEARLY 1,400 CATS, PERFORMED MORE THAN 4,000 MEDICAL EXAMS, AND PROVIDED POSITIVE OUTCOMES FOR MORE THAN 1,430 VULNERABLE CATS. ASPCA COMMUNITY MEDICINE ASPCA VETERINARY CLINICS IN LOS ANGELES, NEW YORK CITY, AND MIAMI ASSISTED MORE THAN 58,480 ANIMALS WITH BASIC AND PREVENTATIVE CARE IN 2021, INCLUDING MORE THAN 43,740 SPAY/NEUTER SURGERIES. OPENING OF BROOKLYN COMMUNITY VETERINARY CLINIC IN APRIL, THE ASPCA OPENED THE BROOKLYN COMMUNITY VETERINARY CLINIC (CVC), SUPPORTED BY THE ALEX AND ELISABETH LEWY CHARITABLE TRUST, TO CREATE BETTER ACCESS TO AFFORDABLE VETERINARY SERVICES FOR UNDERSERVED PET OWNERS AND IMPROVE THE HEALTH AND WELFARE OF DOGS AND CATS IN BROOKLYN. THE BROOKLYN CVC SERVES EAST NEW YORK, AN AREA WITH LIMITED EXISTING RESOURCES FOR VETERINARY CARE. BEFORE THE CONSTRUCTION OF THE BROOKLYN CVC WAS COMPLETE, THE ASPCA WAS OPERATING A MOBILE CLINIC IN THE PARKING LOT TO HELP PET OWNERS AFFECTED BY THE PANDEMIC. THE PERMANENT FACILITY OFFERS PARTIALLY AND FULLY SUBSIDIZED BASIC AND PREVENTIVE VETERINARY CARE TO BROOKLYN RESIDENTS, AS WELL AS SPAY/NEUTER SURGERIES AND VACCINATIONS FOR HOMELESS DOGS AND CATS BEING CARED FOR BY ANIMAL SHELTERS AND RESCUE ORGANIZATIONS, INCLUDING ANIMAL CARE CENTERS OF NYC. OVERALL NUMBER OF ANIMALS DIRECTLY ASSISTED BY LOCATION: NYC* ASPCA BRONX CVC: NEARLY 12,400 ANIMALS* ASPCA BROOKLYN CVC: MORE THAN 11,400 ANIMALS* ASPCA NYC MOBILE CLINICS: MORE THAN 7,000 ANIMALS* ASPCA GLENDALE, NYC SPAY/NEUTER CLINIC: NEARLY 6,800 ANIMALS MIAMI, FL* ASPCA MIAMI CVC: MORE THAN 6,500 ANIMALS LOS ANGELES* LOS ANGELES CLINIC AND MOBILE VEHICLES: MORE THAN 22,000 ANIMALS2. MOVING ANIMALS FROM PERIL TO OPPORTUNITY THROUGH ANIMAL RELOCATION ASPCA ANIMAL RELOCATION PROGRAMS COMPLETED MORE THAN 1,025 ANIMAL TRANSPORT TRIPS IN 2021, RELOCATING MORE THAN 34,550 ANIMALS (ROUGHLY 24,700 DOGS AND 9,760 CATS) FROM UNDER-RESOURCED SHELTERS TO SHELTERS WHERE THOSE ANIMALS HAVE A GREATER CHANCE OF BEING ADOPTED. THESE LIFESAVING JOURNEYS INCLUDED 895 GROUND TRANSPORTS AND 132 AIR TRANSPORTS. THE ASPCA ANIMAL RELOCATION PROGRAM REDUCES OVERCROWDING AT PARTNER SHELTERS AND HELPS CREATE THE CAPACITY FOR SHELTERS TO CREATE AND STRENGTHEN PROGRAMS THAT WILL HAVE A LONG-TERM POSITIVE EFFECT ON ANIMAL WELFARE IN THEIR SURROUNDING COMMUNITY. SINCE STARTING THE RELOCATION PROGRAM IN 2014, THE ASPCA HAS TRANSPORTED MORE THAN 200,000 COMPANION ANIMALS ON OVER 7,800 TRIPS THROUGHOUT THE U.S., MAKING THE ASPCA THE LARGEST NATIONAL TRANSPORTER OF SHELTER DOGS AND CATS FOR ADOPTION. 3. ADVANCING THE PRACTICE AND IMPACT OF SPAY/NEUTER SURGERY SPAY/NEUTER SURGERY CONTINUES TO BE AN IMPACTFUL WAY TO ADDRESS ANIMAL OVERPOPULATION AND REDUCE LENGTHS OF STAY IN ANIMAL SHELTERS SO SHELTERS CAN ASSIST MORE ANIMALS IN NEED. THE ASPCA PERFORMS, TRAINS, AND PROMOTES THE PRACTICE OF EFFECTIVE AND EFFICIENT SPAY/NEUTER PROCEDURES IN SEVERAL LOCATIONS. ASPCA SPAY NEUTER ALLIANCE IN 2021, THE ASPCA SPAY NEUTER ALLIANCE (ASNA) IN NORTH CAROLINA PERFORMED MORE THAN 20,000 SURGERIES, INCLUDING LOW-COST SPAY/NEUTER SURGERIES FOR COMMUNITY MEMBERS AND SPAY/NEUTER SERVICES FOR SHELTERS AND RESCUES IN THE REGION. ASNA ALSO ADMINISTERED MORE THAN 10,550 FREE RABIES SHOTS AND TRAINED 30 VETERINARIANS AND MORE THAN 80 VETERINARY STUDENTS IN HIGH-QUALITY, HIGH-VOLUME SPAY/NEUTER TECHNIQUES. INCLUDED IN THE TOTAL SURGERY COUNT, ASNA DELIVERED OVER 3,240 "COMMUNITY CAT" PACKAGES FOR FERAL CATS (SPAY/NEUTER SURGERY, RABIES AND OTHER VACCINATIONS, IVERMECTIN, AND EAR TIPS). NEW YORK CITY IN NEW YORK CITY, THE ASPCA COMMUNITY MEDICINE TEAM PERFORMED 25,995 SPAY/NEUTER SURGERIES AT PERMANENT FACILITIES INCLUDING THE ASPCA ANIMAL HOSPITAL AND ASPCA COMMUNITY VETERINARY CLINICS IN THE BRONX AND BROOKLYN, AS WELL AS ON ASPCA MOBILE SPAY/NEUTER CLINICS. LOS ANGELES THE ASPCA LOS ANGELES COMMUNITY MEDICINE TEAM PERFORMED 16,913 SPAY/NEUTER SURGERIES IN THE CITY AND THE COUNTY IN 2021, INCLUDING AT OUR SOUTH L.A. CLINIC WHERE THEY SPAYED/NEUTERED 6,880 SHELTER AND RESCUE ORGANIZATION ANIMALS, PUBLIC ANIMALS, AND FOSTERED KITTENS. THAT NUMBER ALSO INCLUDES 10,033 CATS AND DOGS SPAYED OR NEUTERED ABOARD ASPCA MOBILE CLINICS. IN OCTOBER, THE LOS ANGELES TEAM ACHIEVED A SIGNIFICANT MILESTONE: COMPLETING THEIR 100,000TH SPAY/NEUTER SURGERY SINCE ASPCA SPAY/NEUTER SERVICES STARTED IN 2014. MIAMI THE ASPCA MIAMI COMMUNITY VETERINARY CLINIC PERFORMED 837 SPAY/NEUTER SURGERIES, MORE THAN HALF OF WHICH WERE FOR CLIENTS IN LIBERTY CITY, WHERE THE CVC IS SITUATED AND WHERE WE'RE FOCUSING THIS EFFORT TO ADDRESS THE PRESSING PET CARE NEEDS OF THAT UNDERSERVED COMMUNITY. 4. HELPING PETS EXPOSED TO POTENTIAL TOXICITY STAFF AT THE ASPCA ANIMAL POISON CONTROL CENTER (APCC), HEADQUARTERED IN ILLINOIS, ANSWERED MORE THAN 415,900 CALLS IN 2021, ASSISTING MORE THAN 401,500 ANIMALS. THE APCC IS THE ONLY POISON CONTROL CENTER FOCUSED EXCLUSIVELY ON ANIMALS AND IS STAFFED BY NEARLY 200 VETERINARY PROFESSIONALS, INCLUDING BOARD-CERTIFIED TOXICOLOGISTS, VETERINARIANS, VETERINARY TECHNICIANS, AND VETERINARY ASSISTANTS. THE APCC'S PROPRIETARY DATABASE CONTAINS DATA FROM OVER FOUR MILLION RECORDED CASES. THE APCC ALSO PROVIDES EDUCATIONAL RESOURCES FOR PET OWNERS AND THE VETERINARY COMMUNITY. ALWAYS AT THE FOREFRONT OF VETERINARY TOXICOLOGY DISCOVERY, APCC RESEARCHERS DISCOVERED IN 2021 THAT THE LIKELY TOXIC COMPONENT IN GRAPES AND RAISINS IS TARTARIC ACID. THE APCC WAS THE FIRST ORGANIZATION TO PUBLISH THIS DISCOVERY IN A SCIENTIFIC JOURNAL. ALTHOUGH THE APCC DISCOVERED MORE THAN 20 YEARS AGO THAT GRAPES AND RAISINS MAY BE TOXIC TO DOGS, THE TOXIC COMPONENT WAS UNKNOWN UNTIL THIS TIME.

4b (Code:) (Expenses \$ 84,426,721 including grants of \$) (Revenue \$)

SEE SCHEDULE O ESSENTIAL TO THE ASPCA'S MISSION IS BRINGING AWARENESS TO ITS PROGRAMS AND EDUCATING THE PUBLIC, ILLUSTRATING HOW PEOPLE AND ORGANIZATIONS CAN ENGAGE AND GET INVOLVED IN HELPING TO PROTECT ANIMALS FROM CRUELTY. THE ASPCA HAD MORE THAN 54 MILLION PAGE VIEWS ON ASPCA.ORG IN 2021, BRINGING AWARENESS TO MORE THAN 3 MILLION SUPPORTERS AND THE PUBLIC ON EFFECTIVE ACTION THEY CAN TAKE ON BEHALF OF ANIMALS. SOCIAL MEDIA POSTINGS ARE A KEY PART OF THE ASPCA'S EDUCATION PROCESS, AND THEY KEEP THE PUBLIC UPDATED ON POLICY WINS AND DETAILS OF THE ASPCA'S ANTI-CRUELTY EFFORTS. THE ASPCA'S PROMOTIONS GENERATED MORE THAN 240 MILLION SOCIAL MEDIA IMPRESSIONS IN 2021. THE PUBLIC WAS UPDATED ON ACTIONS THEY CAN TAKE, INCLUDING LETTER WRITING, PHONE CALLS, SOCIAL MEDIA OUTREACH, AND PETITIONS TO LEGISLATORS TO ENSURE ANIMALS ARE GIVEN THE GREATEST POSSIBLE PROTECTION UNDER THE LAW. IN 2021, THE ASPCA SENT MORE THAN 11 MILLION ADVOCACY EMAILS TO ASPCA SUPPORTERS, URGING THEM TO TAKE ACTION ON A VARIETY OF ANIMAL WELFARE ISSUES. WITH THE HELP OF ADVOCATES, THE ASPCA SECURED NEW ANIMAL PROTECTION LAWS AND REGULATORY WINS FOR ANIMALS IN THE NATION'S CAPITAL AND ACROSS THE COUNTRY IN STATE LEGISLATURES. THE ASPCA DISTRIBUTED NEARLY 3.5 MILLION PRINT AND DIGITAL COPIES OF ASPCA ACTION, THE ASPCA'S MEMBER MAGAZINE. ASPCA ACTION INCLUDES INFORMATION ON ASPCA EVENTS AND PROGRAMS AND PET CARE INSIGHT AND ADVICE. LEGISLATIVE AND ANIMAL ADVOCACY NEWS KEEPS ASPCA MEMBERS UP TO DATE ON CURRENT AND FUTURE INITIATIVES AND HOW THEY CAN HELP ENSURE THAT ANIMALS RECEIVE NECESSARY PROTECTION. THIS MAGAZINE IS AVAILABLE ON THE ASPCA WEBSITE, ALONGSIDE MANY ADDITIONAL EDUCATIONAL RESOURCES FOR THE PUBLIC. THE ASPCA PROVIDED VITAL INSIGHT, HOPE, AND SUPPORT DURING THE PANDEMIC THROUGH MEDIA PLACEMENTS HIGHLIGHTING THE ORGANIZATION'S SUBSTANTIAL PANDEMIC RESPONSE PROGRAMS AROUND THE COUNTRY. MANY OF THESE ARTICLES COVERED ONE OF THE MOST ENCOURAGING RESPONSES TO THE PANDEMIC: A DRAMATIC INCREASE IN ANIMAL FOSTERING ENTHUSIASM, WHICH HELPED SHELTER ANIMALS DIRECTLY AND ALLEVIATED PRESSURE ON STRAINED ANIMAL SHELTERS. IN 2021, THE ASPCA APPEARED IN 15,616 MEDIA STORIES, INCLUDING 1,586 PLACEMENTS IN HIGH-EXPOSURE OUTLETS SUCH AS ABC NEWS, THE ASSOCIATED PRESS, CNN, FORBES, FOX NEWS, GOOD MORNING AMERICA, THE HILL, THE NEW YORK TIMES, NBC NEWS, TODAY, USA TODAY, AND THE WASHINGTON POST. THE ASPCA ALSO ENGAGED MANY CELEBRITY INFLUENCERS TO SUPPORT ITS LIFESAVING EFFORTS IN 2021, INCLUDING RICKY GERVAIS, BROOKE SHIELDS, PATRICK STEWART, ERIC MCCORMACK, ANDY COHEN, AND EDIE FALCO, WHO CONTRIBUTED THEIR VOICES TO INCREASE AWARENESS OF THE ASPCA'S PROGRAMS FOR DOGS, CATS, HORSES, AND FARM ANIMALS IN NEED. THE ASPCA'S NATIONAL COMMUNICATIONS AND PUBLIC EDUCATION EFFORTS HAVE HELPED TO ELEVATE THE STATUS OF ANIMALS TO BE SEEN AS DESERVING OF CARE AND TREATED WITH THE KINDNESS AND RESPECT THEY DESERVE.

4c

(Code:) (Expenses \$ 40,991,063 including grants of \$ 5,085,148) (Revenue \$)

SEE SCHEDULE OPRE INCLUDES THE BEHAVIORAL REHABILITATION CENTER (NC), THE CRUELTY RECOVERY CENTER (OH), THE ASPCA LEARNING LAB (NC), NATIONAL FIELD RESPONSE, LEGAL ADVOCACY & INVESTIGATION; HUMANE LAW ENFORCEMENT (WHICH INCLUDES THE ASPCA-NEW YORK CITY POLICE DEPARTMENT PARTNERSHIP, COMMUNITY ENGAGEMENT WORK IN NYC, AND THE FORENSIC SCIENCES TEAM), EQUINE WELFARE, FARM ANIMAL WELFARE, THE ASPCA PUPPY MILL INITIATIVE, BEHAVIORAL SCIENCE TEAM, ASPCA GRANTS, AND GOVERNMENT RELATIONS (COVERING FEDERAL, STATE, AND LOCAL LEVELS).2021 PRE HIGHLIGHTS1. DISASTER AND CRUELTY RESPONSE: RESCUING AND BRINGING CARE TO ANIMALS IN CRISISASPCA NATURAL DISASTER AND CRUELTY RESPONSE ACTIVITY IN 2021 BROUGHT ASPCA RESCUE TEAMS TO ANIMAL ABUSE AND NEGLECT CASES IN OHIO (INVOLVING 90 DOGS), FLORIDA (TWO CASES INVOLVING MORE THAN 90 ANIMALS), IOWA (INVOLVING MORE THAN 500 DOGS), NORTH CAROLINA (INVOLVING 60 DOGS), ALABAMA (INVOLVING 30 DOGS), NEW YORK (INVOLVING 80 DOGS), AND MISSOURI (INVOLVING 20 ANIMALS).ASPCA TEAMS ALSO RESPONDED IMMEDIATELY TO ASSIST ANIMALS AFFECTED BY HURRICANE IDA IN LOUISIANA (ASSISTING 1,500 ANIMALS) AND TORNADOES IN KENTUCKY (ASSISTING MORE THAN 500 ANIMALS). MANY OF THE ANIMALS RESCUED FROM THESE CASES RECEIVED CARE AT THE ASPCA'S CRUELTY RECOVERY CENTER IN OHIO AND/OR THE BEHAVIORAL REHABILITATION CENTER IN NORTH CAROLINA BEFORE BEING PLACED WITH SHELTER PARTNERS ACROSS THE COUNTRY TO BE MADE AVAILABLE FOR ADOPTION. IN ADDITION TO OPERATING THE CRC FACILITY IN OHIO, THE ASPCA ALSO OPENED A TEMPORARY SHELTER IN TENNESSEE, WHICH ACCEPTED SHELTER DOGS WHO WERE EVACUATED FROM LOUISIANA AFTER HURRICANE IDA HIT THE AREA IN LATE AUGUST.RESCUE WORK HIGHLIGHTS:NORTH CAROLINA NEGLECT CASE (FEBRUARY 2021)IN FEBRUARY, ASPCA RESCUE TEAMS HELPED CALDWELL COUNTY ANIMAL CONTROL (CCAC) RESCUE MORE THAN 60 NEGLECTED DOGS - AS WELL AS LIVESTOCK AND OTHER ANIMALS - FROM A PROPERTY IN LENOIR, NORTH CAROLINA. DOGS OF VARYING BREEDS, SIZES, AND AGES, INCLUDING NEWBORN PUPPIES, WERE FOUND LIVING IN SUBSTANDARD CONDITIONS INSIDE A SHACK WHERE THE FLOOR WAS COVERED IN FECES, URINE, AND MUD. LIVESTOCK AND POULTRY WERE FOUND LIVING AMONG PILES OF TRASH, AND THE ANIMALS WERE FED OUT OF A TROUGH, FORCING THEM TO COMPETE FOR FOOD.ASPCA SUBJECT MATTER EXPERTS PROVIDED SHELTERING, MEDICAL CARE, AND PLACEMENT SUPPORT FOR THE DOGS. THEIR OWNER WAS CHARGED WITH FELONY CRUELTY TO ANIMALS AND ALLOWING ANIMALS TO LIVE IN CROWDED OR UNSANITARY CONDITIONS, A MISDEMEANOR. ALABAMA CRUELTY CASE (MARCH 2021)AT THE REQUEST OF THE HAMILTON, ALABAMA POLICE DEPARTMENT, THE ASPCA DEPLOYED A RESPONSE TEAM IN MARCH TO ASSIST WITH THE RESCUE OF NEARLY 30 DOGS, INCLUDING PUPPIES, FROM A PROPERTY IN HAMILTON. THE ASPCA PROVIDED SUPPORT WITH EVIDENCE COLLECTION, LEGAL ASSISTANCE, FORENSICS EXAMS, MEDICAL AND BEHAVIORAL CARE, AND SHELTERING FOR THE ANIMALS. WHEN INVESTIGATORS ARRIVED ON THE PROPERTY, THEY DISCOVERED DOGS OF VARIOUS BREEDS AND AGES LIVING IN FILTHY AND OVERCROWDED CONDITIONS, WITH MANY SUFFERING FROM UNTREATED MEDICAL ISSUES. DECEASED ANIMAL REMAINS WERE ALSO FOUND ON THE PROPERTY.THE OWNER WAS EVENTUALLY CHARGED WITH 13 MISDEMEANOR COUNTS OF ANIMAL CRUELTY, AND THE DOGS WERE FORFEITED TO THE ASPCA.IN THE WEEKS FOLLOWING THE INTERVENTION, THE DOGS RECEIVED MEDICAL CARE, FORENSIC EXAMS, BEHAVIOR EVALUATIONS, AND DAILY CARE. THE ASPCA RELOCATED MANY OF THEM TO OUR TEMPORARY CRUELTY RECOVERY CENTER IN OHIO AND OTHERS TO THE ASPCA BEHAVIORAL REHABILITATION CENTER IN NORTH CAROLINA. OHIO CRUELTY CASE (MAY 2021)IN MAY, AT THE REQUEST OF BELMONT COUNTY ANIMAL RESCUE LEAGUE, THE ASPCA ASSISTED WITH THE RESCUE OF MORE THAN 90 NEGLECTED, MIXED-BREED DOGS FROM A PROPERTY IN SHADYSIDE, OHIO. WHEN INVESTIGATORS ARRIVED ON THE SCENE, THEY FOUND THE ANIMALS LIVING IN A DILAPIDATED SINGLE-WIDE TRAILER, AND MANY WERE CONFINED TO A DARK ROOM WITH NO ACCESS TO FRESH AIR, FOOD, OR WATER. THE DOGS WERE EXPOSED TO DANGEROUS LEVELS OF AMMONIA, AND DECEASED DOGS WERE ALSO FOUND ON THE SCENE. SOME ANIMALS HAD SEVERE UNTREATED MEDICAL CONDITIONS, INCLUDING PUNCTURE WOUNDS, SCARRING, AND PAINFUL EYE CONDITIONS, AND SEVERAL PUPPIES AND DOGS NEEDED EMERGENCY TRANSPORT TO RECEIVE CRITICAL CARE.THE ASPCA SUPPORTED THE CASE BY ASSISTING WITH OPERATIONAL PLANNING AND REMOVAL, EVIDENCE COLLECTION, FORENSIC EXAMS, LEGAL ASSISTANCE, MEDICAL AND BEHAVIORAL CARE, AND RELOCATION TO THE ASPCA CRUELTY RECOVERY CENTER (CRC) IN OHIO.MISSOURI CRUELTY CASE (SEPTEMBER 2021)IN SEPTEMBER, AT THE REQUEST OF THE CARUTHERSVILLE, MISSOURI POLICE DEPARTMENT, THE ASPCA ASSISTED WITH THE RESCUE OF 19 DOGS AND ONE CAT FROM FILTHY, UNSAFE CONDITIONS AT A PROPERTY IN SOUTHEAST MISSOURI. MANY OF THE DOGS INVOLVED IN THIS CASE WERE UNDERWEIGHT, AND SOME SUFFERED FROM UNTREATED MEDICAL ISSUES. THE ASPCA ASSISTED WITH OPERATIONAL SUPPORT, ANIMAL REMOVAL, EVIDENCE COLLECTION, LEGAL ASSISTANCE, AND FORENSICS EXAMS. NATIONAL PREPAREDNESS MONTH DURING NATIONAL PREPAREDNESS MONTH IN SEPTEMBER, THE ASPCA RELEASED NEW DATA FROM A NATIONAL SURVEY CONFIRMING THAT MORE THAN ONE IN FIVE PET OWNERS HAD EVACUATED THEIR HOMES DUE TO A DISASTER OR EMERGENCY AND THAT NEARLY HALF LEFT AT LEAST ONE PET BEHIND WHEN THEY EVACUATED. THESE RESULTS WILL ENABLE DISASTER RESPONSE EXPERTS TO BETTER UNDERSTAND THE NEEDS AND AVAILABLE RESOURCES FOR PET OWNERS, ESPECIALLY THE 83% OF PET OWNERS WHO REPORTED LIVING IN A COMMUNITY TYPICALLY STRUCK BY NATURAL DISASTERS.2. GOVERNMENT RELATIONS AND LEGAL ADVOCACY & INVESTIGATIONS: SUPPORTING LOCAL AND NATIONAL POLICIES THAT HELP ANIMALS IN NEEDTHROUGHOUT 2021, THE ASPCA GOVERNMENT RELATIONS AND LEGAL ADVOCACY TEAMS KEPT INTENSE PRESSURE ON FEDERAL, STATE, AND LOCAL GOVERNMENTS TO ENSURE COMPASSIONATE ANIMAL WELFARE PROTECTIONS WERE ENACTED AND EXPANDED. HIGHLIGHTS OF THAT WORK:STATE EFFORTSNEW YORKWITH ASPCA SUPPORT AND INVOLVEMENT, THE NEW YORK CITY COUNCIL ENACTED TWO BILLS INTO LAW TO EXPAND CO-SHELTERING OPTIONS SO PEOPLE EXPERIENCING HOMELESSNESS AND THEIR PETS CAN STAY SAFELY TOGETHER. INTRO. 1483 REQUIRES THE DEPARTMENT OF HOMELESS SERVICES (DHS), IN COLLABORATION WITH THE DEPARTMENT OF SOCIAL SERVICES, TO DEVELOP A PLAN TO ACCOMMODATE PETS OF INDIVIDUALS AND FAMILIES WHO ARE HOMELESS BY PROVIDING PET-FRIENDLY SHELTERS. INTRO. 1484 REQUIRES DHS TO DELIVER A MONTHLY REPORT ON THE PLACEMENT OR DISPOSITION OF PETS WHO BELONG TO PEOPLE WHO ENTER HOMELESS SHELTERS. THE COMPILATION OF THIS DATA WILL FACILITATE EFFORTS TO INCREASE THE AVAILABILITY OF PET-FRIENDLY SERVICES.TO SUPPORT THE ANIMAL SHELTERING FIELD IN NEW YORK, THE ASPCA ALSO WORKED TO ENSURE THAT CAPITAL FUNDING FOR ANIMAL SHELTERS AND RESCUE ORGANIZATIONS THROUGHOUT NEW YORK WOULD BE REINSTATED IN THE 2022 NEW YORK STATE BUDGET. THE TEAM ALSO HELPED DEFEAT A BUDGET PROPOSAL THAT WOULD HAVE REQUIRED EXCLUSIVE USE OF EPRESCRIPTIONS BY VETERINARIANS. MANDATING EPRESCRIPTIONSWHICH MANY SHELTERS ARE NOT EQUIPPED TO IMPLEMENTWOULD HAVE COMPLICATED THE DELIVERY OF VETERINARY SERVICES.FLORIDAIN FLORIDA, WITH STRONG ASPCA SUPPORT, THE BOARDS OF COMMISSIONERS OF ORANGE AND MANATEE COUNTIES, FLORIDA, PASSED ORDINANCES TO SHUT DOWN THE PUPPY MILL PIPELINE INTO THOSE COMMUNITIES BY BANNING THE SALE OF CATS AND DOGS IN RETAIL STORES. MULTIPLE ASPCA TEAMS SUPPORTED THIS LEGISLATIVE SUCCESS WITH DEDICATED WORK, INCLUDING AN OFFICIAL LETTER AND DIRECT TESTIMONY FROM ASPCA LEGAL ADVOCACY COUNSEL TO MANATEE COUNTY COMMISSIONERS. KANSAS AND NORTH CAROLINATHE ASPCA LED A CAMPAIGN TO OVERTURN A 30-YEAR-OLD LAW IN OVERLAND PARK, KANSAS, BARRING RESIDENTS FROM OWNING PIT-BULL-TYPE DOGS AND JOINED A COALITION OF ORGANIZATIONS THAT SUCCESSFULLY STOPPED AN ANTI-PIT BULL ORDINANCE IN STONEVILLE, NORTH CAROLINA.MISSOURIIN MISSOURI, THE ASPCA SUCCESSFULLY LOBBIED FOR THE ENACTMENT OF A NEW LAW ESTABLISHING PET PROTECTIVE ORDERS, ENSURING THAT PETS ARE LEGALLY ABLE TO BE INCLUDED WHEN VICTIMS OF DOMESTIC VIOLENCE OR OTHER THREATS REQUIRE SUCH ORDERS. NEVADAAFTER IDENTIFYING THE INSURANCE INDUSTRY AS RIPE FOR REFORM IN THE REALM OF PETS AND HOUSING, ASPCA GOVERNMENT RELATIONS EFFORTS LED TO THE INTRODUCTION AND PASSAGE OF CUTTING-EDGE LEGISLATION TO PROHIBIT BREED DISCRIMINATION IN HOME INSURANCE.

4d Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses ▶ 227,836,676

Part IV Checklist of Required Schedules

Table with 3 columns: Question ID, Question Text, and Yes/No columns. Rows include questions 1 through 21, with sub-questions 11a-e and 14a-b. Each row has a corresponding 'Yes' and 'No' column for the answer.

Part IV Checklist of Required Schedules (continued)

		Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		No
24b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
24c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
24d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		No
25b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		No
26	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons?		No
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		No
28	Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
28a	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If "Yes," complete Schedule L, Part IV</i>		No
28b	A family member of any individual described in line 28a? <i>If "Yes," complete Schedule L, Part IV</i>		No
28c	A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? <i>If "Yes," complete Schedule L, Part IV</i>		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions?		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3?		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	Yes	
35b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		No
38	Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance
 Check if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable		
1b	Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable		
1c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

Table with 17 main rows and multiple sub-rows (a-e). Columns include question text, a numeric column (e.g., 2a, 7d, 10a, 11a, 12b, 13b, 13c), and a Yes/No column. Row 2a contains the value 1,279. Row 17 contains the value 20.

Part VI Governance, Management, and Disclosure. For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

Table with 3 main columns: Question, Yes, No. Rows include: 1a Enter the number of voting members of the governing body at the end of the tax year... 1b Enter the number of voting members included in line 1a... 2 Did any officer, director, trustee, or key employee have a family relationship... 3 Did the organization delegate control over management duties... 4 Did the organization make any significant changes to its governing documents... 5 Did the organization become aware during the year of a significant diversion of the organization's assets... 6 Did the organization have members or stockholders... 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? 7b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? 8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: 8a The governing body? 8b Each committee with authority to act on behalf of the governing body? 9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address?

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 main columns: Question, Yes, No. Rows include: 10a Did the organization have local chapters, branches, or affiliates? 10b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? 11b Describe on Schedule O the process, if any, used by the organization to review this Form 990. 12a Did the organization have a written conflict of interest policy? 12b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? 12c Did the organization regularly and consistently monitor and enforce compliance with the policy? 13 Did the organization have a written whistleblower policy? 14 Did the organization have a written document retention and destruction policy? 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? 15a The organization's CEO, Executive Director, or top management official 15b Other officers or key employees of the organization 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 16b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?

Section C. Disclosure

Table with 2 main columns: Question, Answer. Row 17: List the states with which a copy of this Form 990 is required to be filed. Row 18: Section 6104 requires an organization to make its Form 1023 (1024 or 1024-A, if applicable), 990, and 990-T (section 501(c)(3)s only) available for public inspection. Row 19: Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. Row 20: State the name, address, and telephone number of the person who possesses the organization's books and records.

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See the instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (box 5 of Form W-2, Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) MATT BERSHADKER PRESIDENT & CHIEF EXECUTIVE OFFICER	65.00 2.00	X		X				881,785	0	108,740
(2) TODD HENDRICKS SVP, DEVELOPMENT	50.00 0.00				X			468,538	0	38,158
(3) BERT TROUGHTON SVP, SHELTER & VETERINARY SERVICES	50.00 2.00				X			420,613	0	47,440
(4) CHERYL BUCCI SENIOR VICE PRESIDENT, OPS & PEOPLE	50.00 0.00				X			385,415	0	64,977
(5) ELIZABETH ESTROFF SVP, COMMUNICATIONS	50.00 0.00				X			384,793	0	57,361
(6) BEVERLY JONES SVP, CHIEF LEGAL OFFICER	50.00 2.00				X			348,354	0	55,229
(7) J'MAI GAYLE DIRECTOR, SURGERY	50.00 0.00					X		325,802	0	63,901
(8) STACY WOLF SVP, POLICY, RESPONSE & ENGAGEMENT	50.00 0.00				X			350,560	0	32,320
(9) CAMILLE DECLEMENTI VP, ASPCA ANIMAL HOSPITAL	50.00 0.00					X		279,797	0	35,713
(10) NANCY PERRY SVP, GOVERNMENT RELATIONS	50.00 0.00					X		261,416	0	46,312
(11) JENNIFER CHIN VICE PRESIDENT, LEGAL ADVOC./INVESTIG	50.00 0.00					X		262,969	0	37,570
(12) AMY BERKOWITZ VP, INFORMATION TECHNOLOGY	50.00 0.00					X		254,810	0	32,734
(13) GORDON LAVALETTE SVP, CFO AS OF 3/15/2021	50.00 5.00			X				250,909	0	997
(14) SALLY SPOONER CHAIRPERSON	3.00 0.00	X		X				0	0	0
(15) SCOTT THIEL VICE CHAIRPERSON	1.00 0.00	X		X				0	0	0
(16) JANE W PARVER TREASURER	1.00 0.00	X		X				0	0	0
(17) LINDA LLOYD LAMBERT SECRETARY	1.00 0.00	X		X				0	0	0

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC/1099-NEC)	(E) Reportable compensation from related organizations (W-2/1099-MISC/1099-NEC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(18) ARRIANA BOARDMAN DIRECTOR	1.00 0.00	X						0	0	0
(19) MICHAEL D'ALTO DIRECTOR	1.00 0.00	X						0	0	0
(20) SIVAN HONG DIRECTOR AS OF 6/2021	1.00 0.00	X						0	0	0
(21) JEFFREY A PFEIFLE DIRECTOR	1.00 0.00	X						0	0	0
(22) MARTIN PURIS DIRECTOR	1.00 0.00	X						0	0	0
(23) FREDERICK TANNE DIRECTOR	1.00 0.00	X						0	0	0
(24) C ALLEN PARKER DIRECTOR UNTIL 1/6/2021	1.00 0.00	X						0	0	0
(25) MARY JO WHITE DIRECTOR	1.00 0.00	X						0	0	0
(26) TIM F WRAY DIRECTOR	1.00 0.00	X						0	0	0
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)								4,875,761	0	621,452

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 259

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		No
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	Yes	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
EAGLE-COM INC 2300 YONGE ST STE 1700 TORONTO, ONTARIO M4P 1E4 CA	DONOR ACQUISITION	30,076,521
LAUGHLIN CONSTABLE INC 207 E MICHIGAN ST MILWAUKEE, WI 53202	DONOR ACQUISITION	13,947,275
ASCENTA GROUP INC 315 WEST 36TH ST 10TH FLOOR NEW YORK, NY 10018	DONOR ENGAGEMENT	13,540,710
EDGE DIRECT LLC 3030 WATERVIEW AVENUE BALTIMORE, MD 21230	DONOR ENGAGEMENT	12,075,407
GOOGLE INC DEPT 33654 1600 AMPHITHEATRE PKWY MOUNTAIN VIEW, CA 94043	DONOR ACQUISITION	7,557,282

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶ 121

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants, and Other Similar Amounts				
1a Federated campaigns		1a		
b Membership dues		1b		
c Fundraising events		1c	387,361	
d Related organizations		1d		
e Government grants (contributions)		1e	191,127	
f All other contributions, gifts, grants, and similar amounts not included above		1f	350,671,266	
g Noncash contributions included in lines 1a - 1f:\$		1g	4,909,376	
h Total. Add lines 1a-1f				351,249,754

Program Service Revenue		Business Code				
			(A)	(B)	(C)	(D)
2a ANIMAL POISON CONTROL CENTER FEES		900000	17,343,359	17,343,359		
b ASPCA SPAY NEUTER ALLIANCE		900000	864,353	864,353		
c MOBILE CLINIC VET & CLINIC REV.		900000	378,032	378,032		
d ANIMAL HOSPITAL FEES		900000	221,871	221,871		
e ADOPTION CENTER FEES		900000	43,587	43,587		
f All other program service revenue.						
g Total. Add lines 2a-2f.			18,851,202			

Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		6,356,976			6,356,976	
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties		3,675,877			3,675,877	
	6a Gross rents	(i) Real					
		(ii) Personal					
		6b Less: rental expenses					
		6c Rental income or (loss)					
	d Net rental income or (loss)						
	7a Gross amount from sales of assets other than inventory	(i) Securities	23,106,497				
		(ii) Other					
		7b Less: cost or other basis and sales expenses	13,795,812				
		7c Gain or (loss)	9,310,685				
	d Net gain or (loss)		9,310,685			9,310,685	
	8a Gross income from fundraising events (not including \$ 387,361 of contributions reported on line 1c). See Part IV, line 18						
		8a	6,062				
		8b Less: direct expenses	112,174				
	c Net income or (loss) from fundraising events		-106,112			-106,112	
	9a Gross income from gaming activities. See Part IV, line 19						
		9a					
		9b Less: direct expenses					
c Net income or (loss) from gaming activities							
10a Gross sales of inventory, less returns and allowances							
	10a						
	10b Less: cost of goods sold						
c Net income or (loss) from sales of inventory							
Miscellaneous Revenue	Business Code						
11a LIST SALES	900099		369,386			369,386	
b GAIN FROM K-1 ACTIVITY	900099		227,117		227,117		
c							
d All other revenue							
e Total. Add lines 11a-11d			596,503				
12 Total revenue. See instructions			389,934,885	18,851,202	227,117	19,606,812	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	10,377,501	10,377,501		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	3,896,188	1,897,075	1,280,331	718,782
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	84,084,793	71,749,125	5,268,123	7,067,545
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	4,773,717	4,087,037	275,616	411,064
9 Other employee benefits	15,496,670	13,054,932	1,053,939	1,387,799
10 Payroll taxes	6,400,823	5,374,106	450,213	576,504
11 Fees for services (non-employees):				
a Management	566,388	315,110	153,479	97,799
b Legal	1,183,958	577,938	426,780	179,240
c Accounting	746,282		746,282	
d Lobbying	395,040	395,040		
e Professional fundraising services. See Part IV, line 17	7,045,347			7,045,347
f Investment management fees	817,986		817,986	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	21,589,687	20,381,608	393,282	814,797
12 Advertising and promotion	56,578,629	36,625,280	122,430	19,830,919
13 Office expenses	33,961,919	22,003,059	172,599	11,786,261
14 Information technology	19,412,702	9,996,714	731,972	8,684,016
15 Royalties				
16 Occupancy	6,894,381	4,277,333	1,690,375	926,673
17 Travel	5,262,608	5,178,317	41,491	42,800
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	383,776	179,397	163,605	40,774
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	6,403,504	6,089,548	203,885	110,071
23 Insurance	1,803,835	1,469,277	225,412	109,146
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a VETERINARY & MEDICAL SE	8,182,571	8,182,571		
b OPERATING SUPPLIES	2,706,893	2,628,881	35,315	42,697
c MISCELLANEOUS EXPENSES	1,981,919	1,638,642	272,234	71,043
d REPAIRS AND MAINTENANCE	1,498,479	1,358,185	91,380	48,914
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	302,445,596	227,836,676	14,616,729	59,992,191
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input checked="" type="checkbox"/> if following SOP 98-2 (ASC 958-720).	122,663,670	74,979,530	268,599	47,415,541

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part IX

		(A)		(B)
		Beginning of year		End of year
Assets	1 Cash-non-interest-bearing	10,594,590	1	11,948,498
	2 Savings and temporary cash investments	40,616,194	2	93,754,904
	3 Pledges and grants receivable, net	13,654,044	3	24,284,914
	4 Accounts receivable, net	5,301,656	4	5,189,016
	5 Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	5,488,607	9	7,037,598
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 122,505,580		
	b Less: accumulated depreciation	10b 58,046,299	61,164,799	10c 64,459,281
	11 Investments—publicly traded securities	219,911,584	11	247,993,558
	12 Investments—other securities. See Part IV, line 11	59,439,736	12	63,868,453
	13 Investments—program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	53,953,234	15	56,544,270
16 Total assets: Add lines 1 through 15 (must equal line 33)	470,124,444	16	575,080,492	
Liabilities	17 Accounts payable and accrued expenses	19,045,160	17	21,011,449
	18 Grants payable	1,313,840	18	2,586,246
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D	42,477,904	25	43,234,686
	26 Total liabilities. Add lines 17 through 25	62,836,904	26	66,832,381
Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.			
	27 Net assets without donor restrictions	334,126,940	27	424,064,683
	28 Net assets with donor restrictions	73,160,600	28	84,183,428
	Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.			
	29 Capital stock or trust principal, or current funds		29	
	30 Paid-in or capital surplus, or land, building or equipment fund		30	
	31 Retained earnings, endowment, accumulated income, or other funds		31	
	32 Total net assets or fund balances	407,287,540	32	508,248,111
33 Total liabilities and net assets/fund balances	470,124,444	33	575,080,492	

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	389,934,885
2	Total expenses (must equal Part IX, column (A), line 25)	2	302,445,596
3	Revenue less expenses. Subtract line 2 from line 1	3	87,489,289
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	407,287,540
5	Net unrealized gains (losses) on investments	5	11,010,798
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	2,460,484
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (A))	10	508,248,111

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
b	Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

Additional Data

Return to Form

Software ID:

Software Version:

Form 990, Special Condition Description:

Special Condition Description

SCHEDULE A
(Form 990)

Public Charity Status and Public Support

OMB No. 1545-0047

2021

Open to Public Inspection

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.
▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury
Internal Revenue Service

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number
13-1623829

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990).)
- 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state:
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture. See instructions. Enter the name, city, and state of the college or university:
- 10 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
 - a **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
 - b **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
 - c **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
 - d **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
 - e Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
 - f Enter the number of supported organizations _____
 - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization failed to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Table with 7 columns: (a) 2017, (b) 2018, (c) 2019, (d) 2020, (e) 2021, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Tax revenues levied for the organization's benefit; 3 The value of services or facilities furnished by a governmental unit; 4 Total; 5 The portion of total contributions by each person; 6 Public support.

Section B. Total Support

Table with 7 columns: (a) 2017, (b) 2018, (c) 2019, (d) 2020, (e) 2021, (f) Total. Rows include: 7 Amounts from line 4; 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources; 9 Net income from unrelated business activities; 10 Other income; 11 Total support; 12 Gross receipts from related activities; 13 First 5 years.

Section C. Computation of Public Support Percentage

Table with 2 columns: Line number, Percentage. Rows include: 14 Public support percentage for 2021; 15 Public support percentage for 2020; 16a 33 1/3% support test—2021; b 33 1/3% support test—2020; 17a 10%-facts-and-circumstances test—2021; b 10%-facts-and-circumstances test—2020; 18 Private foundation.

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b. .						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
9 Amounts from line 6.						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included on line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2021 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2020 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2021 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2020 Schedule A, Part III, line 17	18	

19a 33 1/3% support tests—2021. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

b 33 1/3% support tests—2020. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV Supporting Organizations

(Complete only if you checked a box on line 12 of Part I. If you checked box 12a, of Part I, complete Sections A and B. If you checked box 12b, of Part I, complete Sections A and C. If you checked box 12c, of Part I, complete Sections A, D, and E. If you checked box 12d, of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

		Yes	No
1	Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2	Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a	Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.		
b	Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c	Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a	Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes" and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.		
b	Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c	Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a	Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b	Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c	Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6	Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI .		
7	Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990) .		
8	Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7? If "Yes," complete Part I of Schedule L (Form 990).		
9a	Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI .		
b	Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI .		
c	Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI .		
10a	Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.		
b	Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings).		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1** Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (*explain in Part VI*). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

(A) Prior Year

(B) Current Year
(optional)

- | | | | |
|---|----------|--|--|
| 1 Net short-term capital gain | 1 | | |
| 2 Recoveries of prior-year distributions | 2 | | |
| 3 Other gross income (see instructions) | 3 | | |
| 4 Add lines 1 through 3 | 4 | | |
| 5 Depreciation and depletion | 5 | | |
| 6 Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6 | | |
| 7 Other expenses (see instructions) | 7 | | |
| 8 Adjusted Net Income (subtract lines 5, 6 and 7 from line 4) | 8 | | |

Section B - Minimum Asset Amount

(A) Prior Year

(B) Current Year
(optional)

- | | | | |
|--|-----------|--|--|
| 1 Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): | 1 | | |
| a Average monthly value of securities | 1a | | |
| b Average monthly cash balances | 1b | | |
| c Fair market value of other non-exempt-use assets | 1c | | |
| d Total (add lines 1a, 1b, and 1c) | 1d | | |
| e Discount claimed for blockage or other factors (<i>explain in detail in Part VI</i>): | | | |
| 2 Acquisition indebtedness applicable to non-exempt use assets | 2 | | |
| 3 Subtract line 2 from line 1d | 3 | | |
| 4 Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions). | 4 | | |
| 5 Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | | |
| 6 Multiply line 5 by 0.035 | 6 | | |
| 7 Recoveries of prior-year distributions | 7 | | |
| 8 Minimum Asset Amount (add line 7 to line 6) | 8 | | |

Section C - Distributable Amount

Current Year

- | | | |
|--|----------|--|
| 1 Adjusted net income for prior year (from Section A, line 8, Column A) | 1 | |
| 2 Enter 85% of line 1 | 2 | |
| 3 Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | |
| 4 Enter greater of line 2 or line 3 | 4 | |
| 5 Income tax imposed in prior year | 5 | |
| 6 Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions) | 6 | |

- 7** Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

(continued)

Section D - Distributions		Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	1	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	3	
4 Amounts paid to acquire exempt-use assets	4	
5 Qualified set-aside amounts (prior IRS approval required - provide details in Part VI)	5	
6 Other distributions (describe in Part VI). See instructions	6	
7 Total annual distributions. Add lines 1 through 6.	7	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	8	
9 Distributable amount for 2021 from Section C, line 6	9	
10 Line 8 amount divided by Line 9 amount	10	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2021	(iii) Distributable Amount for 2021
1 Distributable amount for 2021 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2021 (reasonable cause required-- explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2021:			
a From 2016.			
b From 2017.			
c From 2018.			
d From 2019.			
e From 2020.			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2021 distributable amount			
i Carryover from 2016 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from line 3f.			
4 Distributions for 2021 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2021 distributable amount			
c Remainder. Subtract lines 4a and 4b from line 4.			
5 Remaining underdistributions for years prior to 2021, if any. Subtract lines 3g and 4a from line 2. If the amount is greater than zero, explain in Part VI . See instructions.			
6 Remaining underdistributions for 2021. Subtract lines 3h and 4b from line 1. If the amount is greater than zero, explain in Part VI . See instructions.			
7 Excess distributions carryover to 2022. Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2017.			
b Excess from 2018.			
c Excess from 2019.			
d Excess from 2020.			
e Excess from 2021.			

Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation
SCHEDULE A, PART II, LINE 10, EXPLANATION OF OTHER INCOME:	LIST RENTALS - 2017 AMOUNT: \$ 311,653. 2018 AMOUNT: \$ 341,899. 2019 AMOUNT: \$ 254,737. 2020 AMOUNT: \$ 243,805. 2021 AMOUNT: \$ 369,386. FUNDRAISING INCOME - 2017 AMOUNT: \$ 1,283,649. 2018 AMOUNT: \$ 983,614. 2019 AMOUNT: \$ 719,142. 2020 AMOUNT: \$ 5,000. 2021 AMOUNT: \$ 6,062.

Additional Data

Return to Form

Software ID:

Software Version:

Political Campaign and Lobbying Activities
For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS	Employer identification number 13-1623829
--	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities in Part IV. See instructions for definition of "political campaign activities."		
2	Political campaign activity expenditures. See instructions	▶	\$ _____
3	Volunteer hours for political campaign activities. See instructions		_____

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955		\$ _____
2	Enter the amount of any excise tax incurred by organization managers under section 4955		\$ _____
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?		<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If "Yes," describe in Part IV.		

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities		\$ _____
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	▶	\$ _____
3	Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b.....		\$ _____
4	Did the filing organization file Form 1120-POL for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.		

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
1				
2				
3				
4				
5				
6				

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)														
b Total lobbying expenditures to influence a legislative body (direct lobbying)														
c Total lobbying expenditures (add lines 1a and 1b)														
d Other exempt purpose expenditures														
e Total exempt purpose expenditures (add lines 1c and 1d)														
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.														
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:35%;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width:65%;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:													
Not over \$500,000	20% of the amount on line 1e.													
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.													
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.													
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.													
Over \$17,000,000	\$1,000,000.													
g Grassroots nontaxable amount (enter 25% of line 1f)														
h Subtract line 1g from line 1a. If zero or less, enter -0-														
i Subtract line 1f from line 1c. If zero or less, enter -0-														
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No													

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2018	(b) 2019	(c) 2020	(d) 2021	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?	Yes		
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c Media advertisements?	Yes		111,902
d Mailings to members, legislators, or the public?	Yes		43,249
e Publications, or published or broadcast statements?	Yes		21,662
f Grants to other organizations for lobbying purposes?		No	
g Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		755,935
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	Yes		8,353
i Other activities?	Yes		392,484
j Total. Add lines 1c through 1i			1,333,585
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?		
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?		
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues .	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures. See Instructions	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Return Reference	Explanation
PART II-B, LINE 1:	THE ASPCA'S MISSION TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS IN THE UNITED STATES IS ADVANCED THROUGH A COMBINATION OF: SIGNIFICANT DIRECT CARE PROGRAMS; POLICY AND LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT IMPACT MILLIONS OF ANIMALS AND ORGANIZATIONS AROUND THE COUNTRY EACH YEAR. SOME OF THE PROGRAMS IN THIS LATTER CATEGORY ARE THE ASPCA'S NATIONAL RELOCATION PROGRAM TO SAVE LIVES OF AT-RISK HOMELESS ANIMALS; PARTNERSHIPS WITH COMMUNITIES TO INCENTIVIZE MORE LIVE RELEASE AND RESCUE FOR HOMELESS ANIMALS; PROFESSIONAL DEVELOPMENT FOR SHELTERS AND RESCUE ORGANIZATIONS; A BEHAVIORAL REHABILITATION CENTER AND LEARNING LAB TO REHABILITATE UNDER SOCIALIZED, FEARFUL DOGS FROM PUPPY MILLS, HOARDING AND OTHER CRUELTY CASES; A COLLABORATION WITH THE NEW YORK CITY POLICE DEPARTMENT; THE ASPCA'S COMMUNITY ENGAGEMENT PROGRAM TO ADDRESS THE ROOT CAUSES OF SUFFERING IN HOARDING CASES; THE ASPCA ANIMAL HOSPITAL; AND SPAY/NEUTER OPERATIONS AND ADOPTION CENTER IN NEW YORK CITY. ALL OF THESE PROGRAMS SERVE AS PROVING GROUNDS TO UNDERSTAND THE MYRIAD OF PROBLEMS ANIMALS FACE AND INFORM THE ASPCA'S WORK TO ADVANCE POLICIES THAT WILL PREVENT CRUELTY IN THE FUTURE. THE LESSONS THE ASPCA TAKES FROM THESE PROGRAMS ENABLE THEM TO BRING EXPERT VOICES AND INFORMED OPINIONS TO THEIR WORK TO DISSEMINATE THESE LEARNINGS TO OTHER ANIMAL WELFARE ORGANIZATIONS AROUND THE COUNTRY AS WELL AS TO ADVOCATE FOR LAWS TO DETER CRUEL TREATMENT OF ANIMALS IN COMMUNITIES ALL ACROSS THE COUNTRY. 1A. VOLUNTEERS: THE ASPCA WORKS WITH VOLUNTEERS BY HOLDING CITIZEN TRAINING WORKSHOPS IN LOCAL COMMUNITIES, PROVIDING OPPORTUNITIES FOR THEM TO JOIN ASPCA STAFF AT THE STATE AND FEDERAL CAPITOLS TO PROMOTE OR OPPOSE LEGISLATION THROUGH MEETINGS WITH LEGISLATORS AND THEIR AIDES. THE ASPCA EMPLOYS TRAINING TOOLS SUCH AS WEBINARS AND CONFERENCES. 1B. PAID STAFF OR MANAGEMENT: ASPCA MANAGEMENT AND STAFF STRATEGIZE AND COORDINATE THEIR PUBLIC POLICY EFFORTS AIMED AT ENHANCING THE ASPCA'S ABILITY TO PERFORM DIRECT CARE WORK AND TO HELP PREVENT CRUELTY. THEY CULTIVATE AND EXPAND CONTACTS WITHIN GOVERNMENT BODIES, INCLUDING LEGISLATURES AND REGULATORY AGENCIES, AND WORK WITH OTHER NATIONAL AND LOCAL ORGANIZATIONS TO PROMOTE HUMANE POLICIES. 1C. MEDIA ADVERTISEMENTS: PERIODICALLY, THE ASPCA BUYS ADVERTISEMENT SPACE IN PUBLIC POLICY-FOCUSED NEWSPAPERS (E.G., ROLL CALL, THE HILL) THAT LEGISLATORS AND THEIR STAFFS REGULARLY READ. THE ADVERTISEMENTS ARE STRATEGICALLY SCHEDULED (OFTEN AHEAD OF A CRUCIAL COMMITTEE VOTE OR FLOOR VOTE) TO MAXIMIZE EXPOSURE AND TIMELINESS TO CRITICAL ISSUES. THE ASPCA ALSO BUYS STRATEGICALLY TARGETED ADVERTISING SPACE

ON SOCIAL MEDIA (E.G., FACEBOOK) FOR THE SAME PURPOSE. 1D. MAILINGS TO MEMBERS, LEGISLATORS, OR THE PUBLIC: THE ASPCA COMMUNICATES WITH ITS MEMBERS, UNPAID VOLUNTEERS, LEGISLATORS AND THE PUBLIC THROUGH MAILINGS, EMAIL, AND ELECTRONIC ALERTS TO UPDATE AND INFORM AS WELL AS TO ENCOURAGE THEIR PARTICIPATION IN POSITIVE OUTCOMES FOR ANIMALS. THE ASPCA EMPLOYS TRADITIONAL AND SOCIAL MEDIA TOOLS TO INFORM THE PUBLIC OF LEGISLATION, REGULATIONS, AND OTHER POLICIES THAT PROMOTE ANIMAL WELFARE OR THAT ARE HOSTILE TO IT AND TO PROVIDE THEM WITH SUPPORT AND TOOLS FOR POLICY CHANGE. 1E. PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS: ASPCA STAFF CONDUCTS INTERVIEWS WITH AND PROVIDES BACKGROUND TO MEDIA ORGANIZATIONS TO INFORM THE PUBLIC OF ITS LIFESAVING PROGRAMS AND POLICY DECISIONS CONCERNING ANIMAL WELFARE. 1F. NONE 1G. DIRECT CONTACT WITH LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY: THE ASPCA PROMOTES ANTI-CRUELTY LEGISLATION THROUGH DIRECT CONTACTS WITH FEDERAL AND STATE LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS AT ALL LEVELS, AND LOCAL LEGISLATURES. THE ASPCA'S STAFF, UNPAID VOLUNTEERS, AND CONSULTANTS WORK TO INFLUENCE LEGISLATION TO HELP ANIMALS THROUGH SUCH CONTACTS. 1H. RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES, OR ANY OTHER MEANS: THE ASPCA HOLDS LOBBY DAYS, LEADERSHIP TRAINING SUMMITS, CITIZEN LOBBYING WORKSHOPS, INCLUDING SPEECHES AND SEMINARS, AND GIVES PRESENTATIONS AND SPEECHES TO ENCOURAGE PUBLIC AWARENESS OF HUMANE LEGISLATION AND TO PROMOTE ACTION INFLUENCING POSITIVE OUTCOMES FOR ANIMAL WELFARE POLICY. 1I. OTHER ACTIVITIES: THE ASPCA WORKS CLOSELY WITH OTHER NATIONAL, STATE, AND LOCAL SHELTERS AND ANIMAL WELFARE ORGANIZATIONS AS WELL AS OTHER INDUSTRY OR NON-PROFIT ORGANIZATIONS WITH COMMON INTERESTS TO ALIGN PUBLIC POLICIES WITH BEST PRACTICES FOR ANIMAL WELFARE AND TO ENSURE THAT LAW ENFORCEMENT, FIELD WORK, DISASTER RELIEF, ANTI-CRUELTY EFFORTS, AND SHELTERING OPERATIONS ARE ABLE TO BEST PROTECT ANIMALS. THE ASPCA EMPLOYS PROFESSIONAL CONSULTANTS TO SUPPORT AND INFORM ITS LOBBYING EFFORTS AND TO CONDUCT COALITION WORK, INTERNAL COORDINATION AND GRASSROOTS NETWORKING AND CULTIVATION FOR HUMANE PUBLIC POLICY ADVANCEMENT. SEE SCHEDULE O FOR MORE INFORMATION.

Additional Data

Return to Form

Software ID:

Software Version:

SCHEDULE D
(Form 990)

Supplemental Financial Statements

OMB No. 1545-0047

2021

Open to Public Inspection

▶ **Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**

▶ **Attach to Form 990.**

▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

Department of the Treasury
Internal Revenue Service

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

Employer identification number

13-1623829

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <input type="checkbox"/> Yes <input type="checkbox"/> No		
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <input type="checkbox"/> Yes <input type="checkbox"/> No		

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of organization easements held by the organization (check all that apply). <input type="checkbox"/> Preservation of land for public use (e.g., recreation or education) <input type="checkbox"/> Preservation of an historically important land area <input type="checkbox"/> Protection of natural habitat <input type="checkbox"/> Preservation of a certified historic structure <input type="checkbox"/> Preservation of open space	
2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.	Held at the End of the Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d
3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____	
4 Number of states where property subject to conservation easement is located ▶ _____	
5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? <input type="checkbox"/> Yes <input type="checkbox"/> No	
6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____	
7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ _____	
8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? <input type="checkbox"/> Yes <input type="checkbox"/> No	
9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.	

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.	
b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items: (i) Revenue included on Form 990, Part VIII, line 1 ▶ \$ _____ (ii) Assets included in Form 990, Part X ▶ \$ _____	
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items: a Revenue included on Form 990, Part VIII, line 1 ▶ \$ _____ b Assets included in Form 990, Part X ▶ \$ _____	

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a** Public exhibition
 - b** Scholarly research
 - c** Preservation for future generations
 - d** Loan or exchange programs
 - e** Other
- 4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? . . . **Yes** **No**

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? **Yes** **No**
- b** If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|--|-----------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a** Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? **Yes** **No**
- b** If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	12,629,150	11,246,524	10,238,118	65,998,068	57,060,223
b Contributions		279,399		15,663	601,555
c Net investment earnings, gains, and losses	557,685	1,103,227	1,008,406	-3,129,099	8,336,290
d Grants or scholarships					
e Other expenditures for facilities and programs				52,646,514	
f Administrative expenses					
g End of year balance	13,186,835	12,629,150	11,246,524	10,238,118	65,998,068

- 2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a** Board designated or quasi-endowment ▶ 0 %
 - b** Permanent endowment ▶ 59.000 %
 - c** Term endowment ▶ 41.000 %
- The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i)** Unrelated organizations
- (ii)** Related organizations

	Yes	No
3a(i)	No	
3a(ii)		No
3b		

- b** If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?
- 4** Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		8,105,334		8,105,334
b Buildings		65,633,347	30,306,711	35,326,636
c Leasehold improvements		17,140,406	5,567,210	11,573,196
d Equipment		18,184,885	15,693,702	2,491,183
e Other		13,441,608	6,478,676	6,962,932
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) . . . ▶				64,459,281

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

Table with 3 columns: (a) Description of security or category, (b) Book value, (c) Method of valuation. Rows include Financial derivatives, Closely-held equity interests, Other EQUITY LONG, EMERGING MARKETS, PRIVATE EQUITY, PRIVATE EQUITY IN LIQUIDATION, FUND OF FUNDS - PRIVATE EQUITY, and a Total row.

Part VIII Investments - Program Related.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

Table with 3 columns: (a) Description of investment, (b) Book value, (c) Method of valuation. Rows numbered (1) through (9) and a Total row.

Part IX Other Assets.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

Table with 2 columns: (a) Description, (b) Book value. Rows include DUE FROM ASPCA VETERINARY SERVICES OF NC, PC, RIGHT-OF-USE ASSETS, PERPETUAL TRUSTS, CHARITABLE REMAINDER TRUSTS, and a Total row.

Part X Other Liabilities.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

Table with 2 columns: (a) Description of liability, (b) Book value. Rows include Federal income taxes and a Total row.

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	403,354,114
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	2a	11,010,798
b	Donated services and use of facilities	2b	926,203
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	1,482,228
e	Add lines 2a through 2d	2e	13,419,229
3	Subtract line 2e from line 1	3	389,934,885
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	0
5	Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.)	5	389,934,885

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	302,553,813
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	926,203
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	926,203
3	Subtract line 2e from line 1	3	301,627,610
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	817,986
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	817,986
5	Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.)	5	302,445,596

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
PART V, LINE 4:	THE ASPCA MAINTAINS AN ENDOWMENT FOR THE PURPOSE OF GENERATING INCOME TO SUPPORT THE ORGANIZATION'S CHARITABLE MISSION. THE ORGANIZATION'S ENDOWMENT CONSISTS OF A PORTFOLIO OF ACTIVELY MANAGED FUNDS ESTABLISHED TO PROVIDE BOTH A SOURCE OF OPERATING FUNDS AS WELL AS LONG-TERM FINANCIAL STABILITY. THE ENDOWMENT'S PRINCIPAL IS INTENDED TO BE LEFT UNTOUCHED, WHILE THE INCOME GENERATED IS INTENDED TO FUND ASPCA PROGRAMS. SOME OF THE ENDOWMENT FUNDS MAY HAVE PURPOSE RESTRICTIONS ON THE USE OF INCOME.
PART X, LINE 2:	THE ASPCA QUALIFIES AS A TAX-EXEMPT ORGANIZATION UNDER SECTION 501(C)(3) OF THE IRC AND CORRESPONDING PROVISIONS OF NEW YORK STATE LAW AND IS NOT SUBJECT TO FEDERAL OR STATE INCOME TAXES. ACCORDINGLY, DONORS ARE ENTITLED TO A CHARITABLE CONTRIBUTION DEDUCTION AS DEFINED IN THE IRC. CONTINUED QUALIFICATION OF TAX-EXEMPT STATUS IS CONTINGENT UPON COMPLIANCE WITH THE REQUIREMENTS OF THE IRC. THE ASPCA RECOGNIZES THE EFFECTS OF INCOME TAX POSITIONS ONLY IF THOSE POSITIONS ARE MORE LIKELY THAN NOT OF BEING SUSTAINED. NO PROVISION FOR INCOME TAXES WAS REQUIRED FOR 2021.
PART XI, LINE 2D - OTHER ADJUSTMENTS:	INVESTMENT EXPENSES -817,986. UNREALIZED GAIN ON BENEFICIAL INTERESTS IN PERPETUAL TRUSTS HELD BY OTHERS 2,300,214.
SCHEDULE D, PART VI, LINE 1E:"OTHER" IN LAND, BUILDINGS, AND EQUIPMENT	EQUIPMENT: OTHER HAS A TOTAL OF \$13,441,608. THIS TOTAL CONSISTS OF \$8,691,705 FOR TRANSPORTATION EQUIPMENT AND \$4,749,903 OF CONSTRUCTION IN PROGRESS.

Additional Data

[Return to Form](#)

Software ID:
Software Version:

2021

Open to Public Inspection

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

Employer identification number

13-1623829

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- For grantmakers.** Does the organization maintain records to substantiate the amount of its grants or other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No
- For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
(1) CENTRAL AMERICA AND THE CARIBBEAN	0	0	INVESTMENT		10,901,882
(2) EUROPE	0	0	INVESTMENT		3,978
(3) NORTH AMERICA (CANADA)	0	0	PROGRAM SERVICES	LEGAL SERVICES AND HONORARIUM	3,229
(4) EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIUM	0	0	PROGRAM SERVICES	LEGAL SERVICES	6,725
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					
(11)					
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
3a Sub-total	0	0			10,915,814
b Total from continuation sheets to Part I	0	0			0
c Totals (add lines 3a and 3b)	0	0			10,915,814

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)									
(2)									
(3)									
(4)									
(5)									
(6)									
(7)									
(8)									
(9)									
(10)									
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* Yes No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* Yes No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* Yes No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* . Yes No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* Yes No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990).* Yes No

Additional Data

Software ID:

Software Version:

Supplemental Information Regarding Fundraising or Gaming Activities
Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
Attach to Form 990 or Form 990-EZ.
Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number
13-1623829

Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- | | |
|---|--|
| a <input checked="" type="checkbox"/> Mail solicitations | e <input checked="" type="checkbox"/> Solicitation of non-government grants |
| b <input checked="" type="checkbox"/> Internet and email solicitations | f <input checked="" type="checkbox"/> Solicitation of government grants |
| c <input checked="" type="checkbox"/> Phone solicitations | g <input checked="" type="checkbox"/> Special fundraising events |
| d <input checked="" type="checkbox"/> In-person solicitations | |
- 2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? **Yes** **No**
- b** If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1 ASCENTA GROUP (APPCO) 138 SOUTH FIRST STREET SUITE 110 LINDENHURST, NY 11757	DIRECT MARKETING		No	11,000,782	13,046,775	-2,045,993
2 SD&A TELESERVICES INC 5757 WEST CENTURY BLVD SUITE 300 LOS ANGELES, CA 90045	TELEMARKETING		No	7,252,182	1,156,125	6,096,057
3 NEW CANVASSING EXPERIENCE INC 78 SAN MARCOS STREET AUSTIN, TX 78702	DIRECT MARKETING		No	2,515,365	2,560,704	-45,339
4 KNEWSALES GROUP INC 550 QUEEN STREET EAST SUITE 145 TORONTO, ONTARIO CA M5A 1V2	DIRECT MARKETING		No	1,833,920	2,129,770	-295,850
5 3SIXTY 134 N 4TH ST BROOKLYN, NY 11249	DIRECT MARKETING		No	241,120	363,302	-122,182
6						
7						
8						
9						
10						
Total				22,843,369	19,256,676	3,586,693

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AL, AK, AZ, AR, CA, CO, CT, DC, DE, FL, GA, HI, ID, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, MT, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1 HUMANE AWARD (event type)	(b) Event #2 NY MARATHON (event type)	(c) Other events 1 (total number)	(d) Total events (add col. (a) through col. (c))
Revenue	1 Gross receipts	325,270	62,091	6,062	393,423
	2 Less: Contributions	325,270	62,091		387,361
	3 Gross income (line 1 minus line 2)			6,062	6,062
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages	35,000			35,000
	8 Entertainment				
	9 Other direct expenses	37,095	40,079		77,174
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				112,174
11 Net income summary. Subtract line 10 from line 3, column (d) ▶				-106,112	

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col.(a) through col.(c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No		
7 Direct expense summary. Add lines 2 through 5 in column (d) ▶					
8 Net gaming income summary. Subtract line 7 from line 1, column (d) ▶					

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? Yes No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No

b If "Yes," explain: _____

11 Does the organization conduct gaming activities with nonmembers? Yes No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No

13 Indicate the percentage of gaming activity conducted in:

a The organization's facility	13a	%
b An outside facility	13b	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ -----

Address ▶ -----

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____.

c If "Yes," enter name and address of the third party:

Name ▶ -----

Address ▶ -----

16 Gaming manager information:

Name ▶ -----

Gaming manager compensation ▶ \$ -----

Description of services provided ▶ -----

Director/officer Employee Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See Instructions.

Return Reference	Explanation
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Additional Data

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
PART I, LINE 2:	<p>THE ASPCA PROVIDES GRANTS TO A VARIETY OF ORGANIZATIONS TO ADVANCE ITS MISSION OF STOPPING AND PREVENTING ANIMAL CRUELTY AND ASSISTING ANIMALS IN NEED. ELIGIBLE GRANT-SEEKERS GO THROUGH A ROBUST DUE DILIGENCE PROCESS TO ASSESS THEIR COMPLIANCE WITH REGULATORY REQUIREMENTS, IMPLEMENTATION OF GOVERNANCE BEST PRACTICES, BUSINESS CONTINUITY, AND CAPACITY TO CARRY OUT THE PROPOSED PROJECT. GRANT PROPOSALS ARE ALSO REVIEWED BY SUBJECT MATTER EXPERTS TO ASSESS THEIR FEASIBILITY, ALIGNMENT WITH ASPCA POLICY, POSITION, AND STANDARD PRACTICES, AND ABILITY TO EFFECTIVELY FURTHER THE ASPCA'S MISSION AND PROGRAMMATIC GOALS. TIERED REVIEW AND APPROVAL IS REQUIRED BASED ON THE SIZE OF THE GRANT. GRANTEEES THAT RECEIVE FUNDING ARE REQUIRED TO SUBMIT FINAL REPORTS WHICH ARE REVIEWED TO ENSURE THAT THE FUNDS WERE USED APPROPRIATELY AND TO ASSESS THE PROJECT OUTCOMES, IF APPLICABLE. INTERIM REPORTS MAY ALSO BE REQUIRED TO PROVIDE ADDITIONAL ACCOUNTABILITY ON LONGER-TERM GRANTS. WHILE THE ASPCA IS A WORKING CHARITY, NOT SIMPLY A GRANTMAKING ENTITY, THE ASPCA IS ONE OF THE NATION'S LARGEST ANIMAL WELFARE GRANTMAKERS. THE ASPCA OFFERS ANIMAL WELFARE AND OTHER ORGANIZATIONS A RANGE OF FINANCIAL SUPPORT THAT MAKES A SUBSTANTIAL IMPACT ON THEIR ABILITY TO STOP AND PREVENT ANIMAL SUFFERING AND CRUELTY, AND TO ASSIST ANIMALS IN NEED. SINCE 2001, THE ASPCA HAS GIVEN MORE THAN \$190 MILLION IN GRANTS TO MANY THOUSANDS OF ANIMAL SHELTERS, MUNICIPAL AND GOVERNMENTAL AGENCIES, RESCUE GROUPS, SANCTUARIES, AND OTHER ANIMAL WELFARE ORGANIZATIONS NATIONWIDE. ORGANIZATIONS IN ALL 50 STATES AND U.S. TERRITORIES HAVE RECEIVED FUNDING AND ARE ELIGIBLE TO APPLY. GRANTS ARE MADE TO SUPPORT A WIDE VARIETY OF ACTIVITIES THAT ADVANCE THE ASPCA'S ANTI-CRUELTY MISSION, INCLUDING ANIMAL SHELTER & RESCUE ORGANIZATION GRANTS, EQUINE WELFARE GRANTS, AND EMERGENCY & DISASTER RESPONSE GRANTS. ALL OF THE ASPCA'S GRANTS ARE AIMED AT PREVENTING AND COMBATTING ANIMAL CRUELTY. OUR GRANTS HELP BUILD AND SUSTAIN LOCAL AND REGIONAL CAPACITY ACROSS THE COUNTRY TO ADVANCE ANIMAL WELFARE; SUPPORT DIRECT RESPONSE TO DISASTERS, CRUELTY AND OTHER EMERGENCIES; PROVIDE FUNDING FOR RESEARCHING NEW APPROACHES, DEVELOPING AND TESTING PILOT PROGRAMS, AND THE IMPLEMENTATION AND DISSEMINATION OF BEST PRACTICES TO IMPROVE IMPACT; AND SUPPORT CHANGING LAWS, POLICIES, PRACTICES, AND PERCEPTIONS TO INCREASE PROTECTIONS FOR ANIMALS AND IMPROVE THEIR WELFARE. IN 2021, THE ASPCA AWARDED GRANT FUNDING TOTALING MORE THAN \$10 MILLION TO 364 ORGANIZATIONS IN 47 STATES, PUERTO RICO, THE US VIRGIN ISLANDS, AND THE DISTRICT OF COLUMBIA. OUR GRANTS SUPPORTED DISASTER AND EMERGENCY ANIMAL RESCUE PROGRAMS, LIFESAVING ANIMAL SHELTER LIVE-RELEASE IMPROVEMENT PROGRAMS; SPAY/NEUTER EFFORTS TO COMBAT ANIMAL HOMELESSNESS; SURRENDER-PREVENTION PROGRAMS THAT HELP CHALLENGED PET OWNERS KEEP AND CARE FOR THEIR PETS; AND AT-RISK EQUINE PROTECTION PROGRAMS.</p>

Additional Data

[Return to Form](#)

Software ID:
Software Version:

Schedule J
(Form 990)

Compensation Information

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**
- ▶ **Attach to Form 990.**
- ▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

2021

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

Employer identification number

13-1623829

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|---|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax idemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
 - b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
 - c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
 - b** Any related organization?
- If "Yes," on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
 - b** Any related organization?
- If "Yes," on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1b		
2		
4a		No
4b	Yes	
4c		No
5a		No
5b		No
6a		No
6b		No
7	Yes	
8		No
9		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2, 1099-MISC compensation, and/or 1099-NEC			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 MATT BERSHADKER PRESIDENT & CHIEF EXECUTIVE OFFICER	(i)	752,293	108,750	20,742	73,200	35,540	990,525	0
	(ii)	0	0	0	0	0	0	0
2 TODD HENDRICKS SVP, DEVELOPMENT	(i)	370,825	76,971	20,742	23,200	14,958	506,696	0
	(ii)	0	0	0	0	0	0	0
3 BERT TROUGHTON SVP, SHELTER & VETERINARY SERVICES	(i)	334,227	53,028	33,358	23,200	24,240	468,053	0
	(ii)	0	0	0	0	0	0	0
4 CHERYL BUCCI SENIOR VICE PRESIDENT, OPS & PEOPLE	(i)	313,933	51,172	20,310	23,200	41,777	450,392	0
	(ii)	0	0	0	0	0	0	0
5 ELIZABETH ESTROFF SVP, COMMUNICATIONS	(i)	357,051	7,000	20,742	23,200	34,161	442,154	0
	(ii)	0	0	0	0	0	0	0
6 BEVERLY JONES SVP, CHIEF LEGAL OFFICER	(i)	323,044	5,000	20,310	23,200	32,029	403,583	0
	(ii)	0	0	0	0	0	0	0
7 MAI GAYLE DIRECTOR, SURGERY	(i)	303,810	20,750	1,242	23,200	40,701	389,703	0
	(ii)	0	0	0	0	0	0	0
8 STACY WOLF SVP, POLICY, RESPONSE & ENGAGEMENT	(i)	324,476	5,000	21,084	19,544	12,776	382,880	0
	(ii)	0	0	0	0	0	0	0
9 CAMILLE DECLEMENTI VP, ASPCA ANIMAL HOSPITAL	(i)	257,287	22,000	510	22,584	13,129	315,510	0
	(ii)	0	0	0	0	0	0	0
10 NANCY PERRY SVP, GOVERNMENT RELATIONS	(i)	250,155	8,939	2,322	21,147	25,165	307,728	0
	(ii)	0	0	0	0	0	0	0
11 JENNIFER CHIN VICE PRESIDENT, LEGAL ADVOC/INVESTIG	(i)	262,221	0	748	21,436	16,134	300,539	0
	(ii)	0	0	0	0	0	0	0
12 AMY BERKOWITZ VP, INFORMATION TECHNOLOGY	(i)	252,488	0	2,322	16,799	15,935	287,544	0
	(ii)	0	0	0	0	0	0	0
13 GORDON LAVALETTE SVP, CFO AS OF 3/15/2021	(i)	250,234	0	675	0	997	251,906	0
	(ii)	0	0	0	0	0	0	0

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINE 4B	LINE 4B: THE ASPCA HAS A 457(F) DEFERRED COMPENSATION PLAN (THE F PLAN) FOR THE PRESIDENT AND CHIEF EXECUTIVE OFFICER. THE F PLAN ACCRUES AN ANNUAL EMPLOYER FUNDED AMOUNT OF \$50,000 THAT REMAINS UNVESTED UNTIL THE END OF A FIVE-YEAR EMPLOYMENT TERM, AT WHICH POINT THE F PLAN RENEWS IN FIVE YEAR INCREMENTS CONTINGENT ON CONTINUOUS EMPLOYMENT. THIS AMOUNT IS NOT INCREASED FOR INVESTMENT EARNINGS.
PART I, LINE 7	THE FOLLOWING EMPLOYEES RECEIVED DISCRETIONARY, NON-FIXED PAYMENTS THAT ARE REPORTED IN SCHEDULE J, PART II, COLUMN B(II). THE DISCRETIONARY NON-FIXED PAYMENTS ARE DETERMINED BASED ON THE PERFORMANCE EVALUATION PROCESS: MATTHEW BERSHADKER \$108,750 TODD HENDRICKS \$76,971 BERT TROUGHTON \$53,028 CHERYL BUCCI \$51,172 ELIZABETH ESTROFF \$7,000 BEVERLY JONES \$5,000 J'MAI GAYLE \$20,750 STACY WOLF \$5,000 CAMILLE DECLEMENTI \$22,000 NANCY PERRY \$8,939

Additional Data

Return to Form

Software ID:

Software Version:

Noncash Contributions

2021

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- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
- ▶ **Attach to Form 990.**
- ▶ **Go to www.irs.gov/Form990 for the latest information.**

Department of the Treasury
Internal Revenue Service

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

Employer identification number

13-1623829

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles	X	1,297	995,602	COMPARABLE SALES
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	133	3,687,299	NYSE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
Other (PET ▶ SUPPLIES)	X	6	226,475	COST
26 Other ▶ (_____)				
27 Other ▶ (_____)				
28 Other ▶ (_____)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement 29 9

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?		No
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	Yes	
b If "Yes," describe in Part II.		
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

Part II Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
PART I, COLUMN (B):	THE NUMBER ON PART I, COLUMN B REPRESENTS NUMBER OF DONORS.
PART I, LINE 32B:	CHARITABLE ADULT RIDES & SERVICES, INC. ("CARS") IS A CONTRACTED SERVICE PROVIDER WHICH ACCEPTS VEHICLE DONATIONS FROM DONORS ON BEHALF OF THE ASPCA AS THE DONEE. CARS UTILIZES THIRD PARTIES TO SELL THE DONATED VEHICLES IN THE RESALE MARKET. IN RETURN, CARS KEEPS 20% OF THE NET AMOUNT OF DONATED PROCEEDS AS PAYMENT FOR THESE SERVICES, WITH THE REMAINDER GOING TO THE ASPCA.

Additional Data

Return to Form

Software ID:

Software Version:

SCHEDULE O
(Form 990)**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.

2021**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

Employer identification number

13-1623829

Return Reference	Explanation
PART III, LINE 1: DESCRIPTION OF ORGANIZATION MISSION	<p>THE ASPCA'S MISSION, AS STATED BY FOUNDER HENRY BERGH IN 1866, IS "TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS THROUGHOUT THE UNITED STATES." THE ASPCA WAS FOUNDED ON THE BELIEF THAT ANIMALS ARE ENTITLED TO KIND AND RESPECTFUL TREATMENT AT THE HANDS OF HUMANS AND MUST BE PROTECTED UNDER THE LAW. ALL OF THE ASPCA'S SERVICES AND RESOURCES ARE FOCUSED ON INITIATIVES THAT CONTRIBUTE TO PREVENTING AND COMBATING ANIMAL CRUELTY ACROSS THE COUNTRY THROUGH THREE PRIMARY AREAS OF ACTION: SHELTER AND VETERINARY SERVICES; PUBLIC EDUCATION AND COMMUNICATIONS; AND POLICY, RESPONSE, AND ENGAGEMENT. WITHIN THESE AREAS OF ACTIVITY, THE ASPCA'S WORK SPANS A BROAD SPECTRUM IN SERVICE OF ITS MISSION TO PREVENT CRUELTY AND SUFFERING. THE CAUSES AND EFFECTS OF ANIMAL CRUELTY ARE COMPLEX, AND THE ASPCA'S WORK IS EXPANSIVE AND WIDE-RANGING ACROSS ALL OF THE ASPCA'S PROGRAMS TO MEET THESE MULTIFACETED CHALLENGES. THE ASPCA'S PROGRAMS TACKLE ISSUES FACING ANIMAL WELFARE ON A NATIONAL SCALE WITH OPERATIONS THROUGHOUT THE COUNTRY UNDER A VISION THAT ANIMALS ARE VALUED BY SOCIETY, PROTECTED BY ITS LAWS, AND FREE FROM CRUELTY, PAIN, AND SUFFERING. THAT VISION IS ACHIEVED THROUGH INNOVATIVE PROGRAMS ADDRESSING CRITICAL ANIMAL WELFARE ISSUES INCLUDING ANIMAL HOMELESSNESS, ACCESS TO VETERINARY CARE, BEHAVIORAL REHABILITATION, HUMANE LEGISLATION, RESCUE FROM ABUSIVE SITUATIONS, AND RESPONSE TO NATURAL DISASTERS AND OTHER MAJOR CRISES. THE ASPCA MAINTAINS A PHYSICAL PRESENCE IN LOCATIONS ACROSS THE COUNTRY INCLUDING IN NYC; MIAMI, FL; GAINESVILLE, FL; CHAMPAIGN, IL; LOS ANGELES, CA; WEAVERVILLE, NC; ASHEVILLE, NC; COLUMBUS, OH; WASHINGTON, DC; OKLAHOMA CITY, OK; OVERLAND PARK, KS; AND UNION, MO. AS AN ESTABLISHED NATIONAL ORGANIZATION WITH A BROAD PERSPECTIVE ON ANIMAL WELFARE ISSUES, THE ASPCA INCREASES ITS IMPACT BY FILLING STRATEGIC AND GEOGRAPHIC VOIDS WHERE NECESSARY TO PREVENT ANIMAL SUFFERING. THE ORGANIZATION CAN QUICKLY MOBILIZE TEAMS AND RESOURCES IN SUPPORT OF REGIONAL EFFORTS IN A DISASTER LIKE A HURRICANE OR WILDFIRE. THE ASPCA COLLABORATES WITH LOCAL AND REGIONAL PEERS ON LARGE-SCALE RELOCATION OF HOMELESS ANIMALS, ASSISTS LAW ENFORCEMENT AGENCIES AROUND THE COUNTRY WITH THE COLLECTION AND ANALYSIS OF EVIDENCE IN ANIMAL CRUELTY CASES, AND TRAINS THOUSANDS OF ANIMAL WELFARE PROFESSIONALS AROUND THE COUNTRY ON HOW TO REHABILITATE SUFFERING ANIMALS TO GIVE THEM THE BEST CHANCE OF FINDING SAFE AND LOVING HOMES. THE ASPCA BENEFITS ANIMALS NATIONWIDE BY TACKLING SOME OF THE BIGGEST CHALLENGES FACING ANIMALS IN SOCIETY INCLUDING CHAMPIONING FEDERAL, STATE, AND LOCAL LEGISLATION AND POLICIES THAT INCREASE LEGAL PROTECTIONS FOR ANIMALS AND BY ADVANCING RESEARCH AND PROGRAMS TO HELP MAKE VETERINARY CARE MORE AFFORDABLE AND ACCESSIBLE TO ALL. SERVICES INCLUDING THE ASPCA'S BEHAVIOR REHABILITATION CENTER, LEARNING LAB, NATIONAL RELOCATION PROGRAM, AND SPAY/NEUTER ALLIANCE DISSEMINATE LIFESAVING VETERINARY RESEARCH, INSIGHT, AND TRAINING THROUGHOUT THE ANIMAL WELFARE FIELD, IMPACTING ANIMALS IN NEARLY EVERY STATE IN THE COUNTRY. THE ORGANIZATION ALSO WORKS ALONGSIDE HUNDREDS OF OTHER LOCAL ANIMAL WELFARE ORGANIZATIONS, RESCUE GROUPS, ANIMAL CONTROL, AND LAW ENFORCEMENT AGENCIES, AND OTHER ORGANIZATIONS UNITED IN THE ASPCA'S ANTI-CRUELTY MISSION.</p>
FORM 990, PART III, LINE 4C	<p>FEDERAL EFFORTS 2022 APPROPRIATIONS BILLS WITH ASPCA SUPPORT, FEDERAL FISCAL YEAR 2022 APPROPRIATIONS BILLS TO FUND THE USDA AND THE INTERIOR DEPARTMENT INCLUDED CRUCIAL PROTECTIONS FOR ANIMALS AND INCREASED FUNDING FOR IMPORTANT ANIMAL WELFARE PROGRAMS, SUCH AS MEASURES THAT PROTECT DOGS IN PUPPY MILLS, CURB EXTREME-SPEED SLAUGHTER AT CHICKEN SLAUGHTERHOUSES, END HORSE SLAUGHTER AND PREVENT HORSE CRUELTY, ADDRESS DOMESTIC VIOLENCE-RELATED ANIMAL ABUSE, SUPPORT FARMERS WHO USE HIGHER-WELFARE STANDARDS, AND FUND A SUSTAINABLE WILD HORSE AND BURRO MANAGEMENT PLAN THAT INCLUDES FERTILITY CONTROL IMPLEMENTATION, WHICH THE ASPCA STRONGLY SUPPORTS AS THE MOST HUMANE MANAGEMENT APPROACH TO PROTECTING WILD HORSES. ASPCA LAWSUIT AGAINST THE USDA ON JUNE 11, THE ASPCA LEGAL ADVOCACY & INVESTIGATIONS (LAI) TEAM FILED A LAWSUIT AGAINST THE U.S. DEPARTMENT OF AGRICULTURE (USDA) FOR ABANDONING ITS RESPONSIBILITY TO ENFORCE THE ANIMAL WELFARE ACT (AWA) A FEDERAL LAW PASSED MORE THAN 50 YEARS AGO TO ENSURE HUMANE TREATMENT AND CARE OF COMMERCIAL BRED DOGS. APPROXIMATELY 2,000 COMMERCIAL DOG BREEDERS AND DEALERS ARE LICENSED BY THE USDA AND HOUSE ROUGHLY 250,000 DOGS AND PUPPIES, MOST OF WHOM ARE SOLD AT PET STORES OR OVER THE INTERNET. BECAUSE THESE BUSINESSES ARE RARELY OPEN TO THE PUBLIC, CONGRESS PREVIOUSLY DIRECTED THE USDA TO INSPECT THEIR FACILITIES TO ENSURE THEY PROVIDE MINIMUM STANDARDS OF CARE. DESPITE THIS CONGRESSIONAL MANDATE, THE USDA HAS ADOPTED POLICIES THAT ALLOW VIOLATIONS TO GO UNREPORTED AND UNPUNISHED. DESPITE OVERWHELMING EVIDENCE OF CRUELTY, THE USDA HAS NOT IMPOSED A SINGLE PENALTY AGAINST A DOG DEALER SINCE 2017. AS A RESULT OF THESE POLICIES, THE NUMBER OF REPORTED VIOLATIONS HAS DECLINED SIGNIFICANTLY, GIVING BOTH THE PUBLIC AND LAWMAKERS THE FALSE IMPRESSION THAT DEALERS ARE IN COMPLIANCE WITH THE LAW. LAI'S LAWSUIT SEEKS TO COMPEL THE USDA TO RECORD ALL OBSERVED VIOLATIONS OF THE AWA AND END ITS POLICIES OF NON-ENFORCEMENT. SUPPORTING THE FARM SYSTEM REFORM ACT IN JULY 2021, THE ASPCA SUPPORTED THE REINTRODUCTION OF THE FARM SYSTEM REFORM ACT, FEDERAL LEGISLATION SPONSORED BY SEN. CORY BOOKER AND REP. RO KHANNA THAT WILL HELP CREATE A MORE HUMANE FOOD SYSTEM BY MOVING AWAY FROM DESTRUCTIVE SEVERE CONFINEMENT PRACTICES AND SUPPORTING FARMER TRANSITIONS TOWARD HIGHER WELFARE CONDITIONS. THE ASPCA HELPED SECURE ADDITIONAL SPONSORS FOR THIS LEGISLATION AND RAISED ITS PROFILE WITH DOZENS OF LETTERS TO EDITORS AND MEETINGS BETWEEN VOLUNTEERS AND REPRESENTATIVES. SUPPORTING THE SAFE ACT IN MAY 2021, THE ASPCA'S LONGSTANDING EFFORTS TO END HORSE SLAUGHTER ENABLED THE INTRODUCTION OF THE SAVE AMERICA'S FORGOTTEN EQUINES (SAFE) ACT IN THE U.S. HOUSE OF REPRESENTATIVES BY REP. JAN SCHAKOWSKY AND REP. VERN BUCHANAN. ASPCA STAFF ALSO WORKED CLOSELY WITH SENATORS ROB MENENDEZ AND SENATOR LINDSAY GRAHAM, WHO INTRODUCED A SENATE BAN ON HORSE SLAUGHTER. THE ASPCA HELPED BUILD STRONG COSPONSOR SUPPORT FOR THE LEGISLATION, WORKING WITH EQUINE INDUSTRY NETWORKS AND RESCUE ORGANIZATIONS AND PROVIDING CRITICAL NATIONAL DATA AND BACKGROUND RESEARCH TO DEMONSTRATE THE</p>

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	<p>URGENCY AND JUSTIFICATION FOR PREVENTING HORSE SLAUGHTER. SUPPORTING GOLDIE'S ACT IN DECEMBER, FOLLOWING YEARS OF ASPCA LEADERSHIP AND BACKGROUND WORKING ON PUPPY MILL ENFORCEMENT EFFORTS, A BIPARTISAN GROUP OF FEDERAL LAWMAKERS INTRODUCED GOLDIE'S ACT, WHICH REQUIRES MEANINGFUL PENALTIES FOR ANIMAL WELFARE ACT VIOLATIONS UNCOVERED BY USDA INSPECTORS AND ENSURES THEY SHARE FINDINGS OF CRUELTY AND NEGLECT WITH LOCAL LAW ENFORCEMENT. TURNING LESSONS FROM COVID-19 INTO STRONGER PROTECTIONS FOR ANIMALS IN MAY, THE ASPCA RELEASED THE REPORT "LESSONS LEARNED FOR ANIMAL PROTECTION DURING THE COVID-19 PANDEMIC: THE ASPCA'S RECOMMENDATIONS FOR ADDRESSING IMPACTS ON ANIMALS THROUGH FEDERAL POLICY." THE REPORT SHARES THE ASPCA'S PERSPECTIVE ON HOW THE COVID-19 PANDEMIC HAS IMPACTED ANIMALS AND RECOMMENDS ACTIONS CONGRESS AND THE BIDEN ADMINISTRATION CAN TAKE TO KEEP THESE VULNERABLE ANIMALS SAFE FROM SUFFERING AND ABUSE. SUCCESSFUL EFFORTS TO HAVE THE USDA REPOST CRITICAL INSPECTION REPORTS. IN 2020, AFTER LAWSUITS BY MULTIPLE ANIMAL WELFARE GROUPS INCLUDING TWO FILED BY THE ASPCA AND A LAW PASSED BY CONGRESS, THE USDA WAS FORCED TO REPOST THOUSANDS OF ANIMAL WELFARE INSPECTION REPORTS AND ENFORCEMENT RECORDS THAT THE AGENCY ABRUPTLY PURGED FROM ITS ONLINE DATABASE IN 2017. ON MARCH 25, 2022, IN RESPONSE TO AN ASPCA LAWSUIT, THE FEDERAL COURT IN THE SOUTHERN DISTRICT OF NEW YORK FOUND THAT THE USDA HAD UNLAWFULLY WITHHELD ANIMAL WELFARE RECORDS FROM THE ASPCA FOR SEVERAL YEARS. AS A RESULT OF THE COURT'S DECISION, THE ASPCA RECEIVED ACCESS TO THESE CRITICAL RECORDS ON JUNE 21. SUPPORTING REINTRODUCTION OF THE HEART ACT AND THE PREPARED ACT IN MARCH 2021, THE ASPCA SUPPORTED THE REINTRODUCTION OF THE HELP EXTRACT ANIMALS FROM RED TAPE (HEART) ACT, FEDERAL LEGISLATION TO SIGNIFICANTLY IMPROVE THE PROCESS OF CARING FOR ANIMAL VICTIMS SEIZED IN FEDERAL ANIMAL FIGHTING CASES. THE HEART ACT WILL PREVENT UNNECESSARY AND HARMFUL DELAYS IN THE REHABILITATION OF THESE ANIMALS. IT WILL ALSO REQUIRE DEFENDANTS TO REIMBURSE THE COSTS OF CARING FOR ANIMALS SEIZED IN FEDERAL ANIMAL FIGHTING CASES FOLLOWING A FORFEITURE PROCEEDING. THE ASPCA ALSO SUPPORTED THE REINTRODUCTION OF THE PREPARED ACT, WHICH WILL REQUIRE BUSINESSES THAT PROFIT OFF ANIMALS SUCH AS ANIMAL DEALERS, RESEARCH INSTITUTIONS, LARGE-SCALE COMMERCIAL DOG BREEDERS, AND ZOOS TO CREATE WELL-FORMED CONTINGENCY PLANS FOR EMERGENCIES TO BETTER PROTECT ANIMALS IN THEIR CARE DURING DISASTER SITUATIONS. SUBSEQUENTLY, THE USDA ANNOUNCED A FINALIZED RULE TO REQUIRE THESE PLANS OF ALL LICENSEES, ESSENTIALLY TAKING THE VERY ACTION DEMANDED BY THIS LEGISLATION.</p> <p>3. GIVING VULNERABLE AND VICTIMIZED ANIMALS SECOND CHANCES THROUGH BEHAVIORAL REHABILITATION IN 2021, ASPCA ANIMAL BEHAVIORAL REHABILITATION WORK AND TRAINING FACILITIES, SUPPORTED BY THE ONLINE RESOURCES OF THE ASPCA WEBSITE FOR PROFESSIONALS, ASPCAPRO, HELPED ANIMALS IN NEED AND ENABLED THE NATIONWIDE EXPANSION OF EFFECTIVE ANIMAL REHABILITATION PRACTICES. BEHAVIORAL REHABILITATION CENTER AT THE BEHAVIORAL REHABILITATION CENTER (BRC) IN NORTH CAROLINA, SELECT DOGS ARE TREATED WITH INNOVATIVE AND PROVEN PROTOCOLS TO HELP THEM OVERCOME EXTREME FEAR THAT PREVENTS ADOPTABILITY OR DIMINISHES THEIR QUALITY OF LIFE. THE LEARNINGS AND RESEARCH DEVELOPED THROUGH THIS WORK IS THEN SHARED WITH SHELTERS AND RESCUE ORGANIZATIONS ACROSS THE COUNTRY THROUGH PUBLICATIONS, TRAININGS, STUDIES, AND OTHER RESOURCES OF THE ASPCA LEARNING LAB (SEE BELOW) AND ASPCAPRO, THE ASPCA INFORMATIONAL AND TRAINING WEBSITE FOR ANIMAL WELFARE PROFESSIONALS. A MAJOR ASPCA SCIENTIFIC STUDY ON THE EFFICACY OF AN INTENSIVE TREATMENT PROGRAM FOR FEARFUL DOGS WAS CONCLUDED IN 2021. IT SHOWED THAT THE BRC'S BEHAVIOR MODIFICATION PROGRAM SUCCESSFULLY REHABILITATED 86% OF DOGS IN THE STUDY, 99% OF WHOM WERE ADOPTED AFTER PLACEMENT WITH SHELTER PARTNERS. THE STUDY RESULTS SUGGEST THAT INCREASING THE AVAILABILITY OF TREATMENT FOR MODERATELY TO EXTREMELY FEARFUL, UNDESOCIALIZED DOGS MAY CONTRIBUTE TO REDUCING THE EUTHANASIA OF ANIMALS WITH BEHAVIOR PROBLEMS. AS MOST DOGS IN THE BRC STUDY CAME FROM HOARDING SITUATIONS AND PUPPY MILLS, THESE FINDINGS INSPIRE HOPE FOR UNADOPTABLE ANIMALS SUFFERING FROM A POOR QUALITY OF LIFE DUE TO CRUELTY AND NEGLECT. (THIS STUDY WAS PUBLISHED IN THE JOURNAL APPLIED ANIMAL BEHAVIOUR SCIENCE IN 2022). FROM THE BRC'S LAUNCH IN 2013 THROUGH DECEMBER 2021, THE PROGRAM TREATED AND GRADUATED MORE THAN 500 AT-RISK ANIMALS, GENERATING VALUABLE ANIMAL BEHAVIOR INSIGHT THAT WAS DISSEMINATED NATIONWIDE. CRUELTY RECOVERY CENTER IN 2021, THE ASPCA CRUELTY RECOVERY CENTER IN OHIO SHELTERED MORE THAN 350 ANIMALS RESCUED FROM SCENES OF CRUELTY, NEGLECT, AND NATURAL DISASTERS AROUND THE COUNTRY. IN ADDITION TO DAILY CARE AND ENRICHMENT, THESE ANIMALS RECEIVED MEDICAL AND BEHAVIORAL CARE. THROUGHOUT THE YEAR, CRC MEDICAL, BEHAVIOR, AND SHELTERING STAFF ALSO DEPLOYED TO ASSIST AND PROVIDE CONSULTATION FOR SEVERAL OTHER AGENCIES IN 2021, PROVIDING CARE FOR AN ADDITIONAL 768 RESCUED ANIMALS. ASPCA LEARNING LAB THE ASPCA LEARNING LAB SHARED CRITICAL ANIMAL BEHAVIORAL CARE STRATEGIES AND TECHNIQUES WITH THE PROFESSIONAL ANIMAL WELFARE COMMUNITY. IN 2021, THESE INSIGHTS WERE EXPERIENCED THROUGH MORE THAN 10,000 INDIVIDUAL EDUCATIONAL INTERACTIONS, INCLUDING ONLINE ROUNDTABLES, WORKSHOPS, COURSES, AND CONFERENCES.</p>
FORM 990, PART III, LINE 4C	<p>4. STOPPING ANIMAL CRUELTY THROUGH THE ASPCA-NYPD PARTNERSHIP THE ASPCA-NYPD PARTNERSHIP LAUNCHED CITYWIDE IN 2014, WITH THE NYPD TAKING THE LEAD ROLE IN RESPONDING TO ALL ANIMAL CRUELTY COMPLAINTS IN THE FIVE BOROUGHS, AND THE ASPCA PROVIDING DIRECT CARE FOR ANIMAL VICTIMS, AS WELL AS LAW ENFORCEMENT TRAINING AND VETERINARY FORENSIC AND LEGAL SUPPORT. THE ASPCA AND NYPD ALSO PARTICIPATE COLLABORATIVELY IN COMMUNITY EVENTS ACROSS THE CITY. FROM ITS CITYWIDE INCEPTION IN 2014 THROUGH THE END OF 2021, THE ASPCA-NYPD PARTNERSHIP HAS RESULTED IN THE RESCUE AND TREATMENT OF MORE THAN 3350 VULNERABLE ANIMALS. OVERALL IN 2021, THE ASPCA-NYPD PARTNERSHIP RESULTED IN NEARLY 400 DOGS AND CATS BEING SEIZED BY THE NYPD AND TREATED BY ASPCA VETERINARY AND BEHAVIOR PROFESSIONALS. IN ADDITION, THE NYPD MADE 101 ANIMAL CRUELTY ARRESTS, AND ASPCA VETERINARY FORENSIC SCIENCE TEAMS IN NYC AND GAINESVILLE TOOK ON MORE THAN 300 CASES TO SUPPORT ANIMAL CRUELTY PROSECUTIONS. FOR EXAMPLE, IN LATE SEPTEMBER, AT THE REQUEST OF THE NEW YORK CITY POLICE DEPARTMENT (NYPD), THE ASPCA ASSISTED WITH THE RESCUE OF 21 DOGS, INCLUDING PUPPIES, FROM A CRUELTY SITUATION IN BROOKLYN, NY. SEVERAL DOGS WERE FOUND CRAMMED IN SMALL CAGES, COVERED IN FECES AND URINE IN AN APARTMENT WITH POOR VENTILATION AND A STRONG ODOR OF AMMONIA. ASPCA EXPERTS SUPPORTED THIS CASE WITH BOOTS-ON-THE-GROUND ASSISTANCE TO REMOVE THE DOGS FROM THE PROPERTY AND TRANSPORT THEM TO ASPCA CARE, WHERE VETERINARY AND BEHAVIOR EXPERTS CONDUCTED FORENSIC EXAMS. ASPCA MEDICAL AND BEHAVIORAL EXPERTS PROVIDED THE DOGS WITH MEDICAL CARE, BEHAVIORAL TREATMENT, AND ENRICHMENT.</p> <p>5. EQUINE WELFARE: EXPLORING AND APPLYING THE BEST WAYS TO HELP AT-RISK HORSES THE ASPCA EQUINE WELFARE TEAM FINDS AND LEVERAGES INNOVATIVE AND COLLABORATIVE WAYS TO HELP EQUINES IN NEED SECURE NEW HOMES AND CAREERS. ASPCA RIGHT HORSE ACROSS 2021, ASPCA RIGHT HORSE PARTNERS PLACED MORE THAN 3,577 HORSES IN ADOPTIVE HOMES. THEY ALSO ADDED SIX NEW ASPCA RIGHT HORSE ADOPTION PARTNERS AND 13 NEW INDUSTRY/TRAINING PARTNERS TO IDENTIFY AND TAKE</p>

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	<p>ADVANTAGE OF NEW EQUINE ADOPTION AND CAREER OPPORTUNITIES. ASPCA HORSE ADOPTION EXPRESS THE ASPCA HORSE ADOPTION EXPRESS, WHICH MOVES HORSES TO AND FROM ASPCA ADOPTION PARTNER FACILITIES, TRANSPORTED 188 HORSES TO ADOPTION PARTNERS AND TRAINING PARTNERS. WE'VE OBSERVED THAT THE BIGGEST BARRIER TO ADOPTION IS OFTEN THE DISTANCE BETWEEN THE ADOPTER AND THEIR RIGHT HORSE. THE HORSE ADOPTION EXPRESS REMOVES THAT BARRIER AND ENSURES MORE HORSES ARE HELPED THROUGH STRATEGIC RELOCATION. ASPCA REGIONAL SUPPORT CENTER THE PILOT ASPCA REGIONAL SUPPORT CENTER (RSC) PROVIDED FULLY SUBSIDIZED SERVICES TO HORSE OWNERS IN NEED IN THE OKLAHOMA CITY AREA. IN COLLABORATION WITH A LOCAL VETERINARY CLINIC AND SEVERAL REHOMING PARTNERS, THE OPEN-ADMISSION CENTER WAS A SAFE PLACE FOR HORSE OWNERS TO RELINQUISH HORSES FOR ADOPTION INTO NEW HOMES, OFFERING ACCESS TO BASIC VETERINARY SERVICES AND HUMANE EUTHANASIA FOR SUFFERING HORSES, MULES, AND DONKEYS. OVER 100 EQUINES WERE HELPED THROUGH THIS PROGRAM IN 2021. ASPCA EQUINE TRANSITION AND ADOPTION CENTER TOWARD THE END OF THE YEAR, THE ASPCA ENDED THE RSC PROGRAM AND LAUNCHED THE EQUINE TRANSITION AND ADOPTION CENTER, WHICH CONTINUES TO PROVIDE THE SERVICES THE RSC PROVIDED WHILE ALSO ADDRESSING SPECIFIC CHALLENGES IN EQUINE REHOMING, INCLUDING BEHAVIOR REHABILITATION AND THE MARKETING OF OLDER AND MEDICALLY COMPROMISED EQUINES. 6. FARM ANIMAL WELFARE: PROTECTING ANIMALS ABUSED IN CRUEL FARM SYSTEMS IN 2021, THE ASPCA CONTINUED TO HELP THE PUBLIC, FOOD COMPANIES, AND LAWMAKERS IMPROVE FARM ANIMALS' LIVES THROUGH THE CREATION AND PROMOTION OF CONSUMER RESOURCES AND CORPORATE ENGAGEMENT AND ADVOCACY EFFORTS AIMED AT ELIMINATING CRUEL FACTORY FARMING PRACTICES AND TRANSITIONING THEM TO HIGHER WELFARE FARMING METHODS LIKE THOSE REQUIRED BY MEANINGFUL WELFARE CERTIFICATIONS. NEW FOOD COMPANY COMMITMENTS THE FARM ANIMAL WELFARE DEPARTMENT SECURED 36 NEW FOOD INDUSTRY COMMITMENTS TO MEANINGFULLY IMPROVE FARM ANIMAL WELFARE. ONE OF THESE COMPANIES, THE HONEST KITCHEN, BECAME THE FIRST MAJOR PET FOOD COMPANY AVAILABLE IN NATIONAL RETAILER STORES TO ADOPT THE BETTER CHICKEN COMMITMENT AND PUBLICLY STATE THEIR INTENTION TO CERTIFY THE WELFARE OF ALL PRODUCTS FROM CHICKENS. IN ADDITION, 16 COMPANIES FROM WHOM THE ASPCA PREVIOUSLY SECURED COMMITMENTS REPORTED FULL IMPLEMENTATION OR SIGNIFICANT PROGRESS ON IMPLEMENTING THEIR FARM ANIMAL WELFARE IMPROVEMENTS. GRANTS SUPPORTING FARMERS' TRANSITION TO HIGH WELFARE FOR THE FOURTH YEAR, THE ASPCA GRANTED FUNDS TO THE FOOD ANIMAL CONCERNS TRUST (FACT) TO HELP FARMERS TRANSITION TO MEET THE STANDARDS OF MEANINGFUL ANIMAL WELFARE CERTIFICATION PROGRAMS AND EXPAND THEIR EXISTING WELFARE-CERTIFIED OPERATIONS TO MEET THE GROWING DEMAND FOR MORE HUMANELY FARMED ANIMAL PRODUCTS. NEARLY 32,000 ANIMALS HAVE BENEFITED FROM FACT GRANTS IN THE LAST FOUR YEARS. FACT GRANTS ARE A CRITICAL RESOURCE FOR HIGHER-WELFARE FARMS AND FARMERS OFTEN EXCLUDED FROM THE MAJORITY OF FEDERAL FUNDING AND RELIEF PROGRAMS. THIS YEAR, SEVEN OF THE 19 ASPCA-FUNDED GRANTS GIVEN TO FARMERS IN 15 STATES WENT TO FARMERS IDENTIFIED AS BLACK, INDIGENOUS, OR PERSON OF COLOR (BIPOC), COMMUNITIES THAT HAVE HISTORICALLY FACED ADDITIONAL BARRIERS TO ACCESSING FUNDS. TAKING ACTION DURING FARM ANIMAL AWARENESS WEEK IN SEPTEMBER, THE ASPCA CELEBRATED FARM ANIMAL AWARENESS WEEK TO SHOWCASE PRACTICAL STEPS PEOPLE CAN TAKE TO IMPROVE THE LIVES OF FARM ANIMALS. TO SUPPORT THE EVENT, THE ASPCA CREATED A SOCIAL MEDIA CAMPAIGN THAT URGED A RANGE OF ACTIONS, INCLUDING MAKING MORE HUMANE FOOD CHOICES AND SUPPORTING THE FARM SYSTEM REFORM ACT. THESE POSTS WERE SHARED BY HIGHER-WELFARE FOOD COMPANIES, CHEFS, CELEBRITIES, AND INFLUENCERS WITH A COMBINED FOLLOWING OF MORE THAN ONE MILLION PEOPLE. THIS CAMPAIGN ALSO HELPED US DEEPEN RELATIONSHIPS WITH THESE ALLIES AND REACH NEW AUDIENCES WITH ASPCA FARM ANIMAL WELFARE MESSAGING AND CAMPAIGNS. NEW ASPCA MEDIA FELLOWSHIP EDUCATES JOURNALISTS ON FARMED ANIMAL LAW IN APRIL 2021, THE ASPCA AND VERMONT LAW SCHOOL (VLS) LAUNCHED A NEW ANIMAL LAW MEDIA FELLOWSHIP THAT OFFERS JOURNALISTS THE OPPORTUNITY TO ATTEND A VLS SUMMER COURSE FOCUSING ON THE INTERSECTIONS OF FARM ANIMAL WELFARE, FACTORY FARMING, MEDIA, AND THE LAW. AN ASPCA GRANT COVERED THE TOTAL COST OF THE FELLOWSHIP, WHICH IS THE FIRST MEDIA FELLOWSHIP FOCUSING SPECIFICALLY ON FARMED ANIMAL LAW. THIS FELLOWSHIP IS ALSO AN EXCITING OPPORTUNITY TO FOSTER GREATER TRANSPARENCY AND SPARK DIALOGUE AROUND THE DEPICTION OF FARM ANIMAL WELFARE IN THE MEDIA.</p>
FORM 990, PART VI, SECTION A, LINE 1A	<p>THE ASPCA SHALL HAVE TWO CLASSES OF MEMBERS: GOVERNING MEMBERS, WHO SHALL HAVE FULL VOTING RIGHTS RESERVED TO "MEMBERS" UNDER THE NEW YORK NOT-FOR-PROFIT CORPORATION LAW, AND AFFINITY MEMBERS, WHO SHALL NOT HAVE VOTING RIGHTS. GOVERNING MEMBERS SHALL CONSIST AT ANY TIME OF THOSE PERSONS WHO ARE SERVING AT THAT TIME AS MEMBERS OF THE BOARD OF DIRECTORS. ONLY GOVERNING MEMBERS SHALL HAVE THE RIGHT TO ELECT THE MEMBERS OF THE BOARD OF DIRECTORS AND TO VOTE ON ANY OTHER TRANSACTION OR MATTER THAT SHALL PROPERLY COME BEFORE THE MEMBERS OF THE CORPORATION IN ACCORDANCE WITH THE ASPCA'S CERTIFICATE OF INCORPORATION, ITS BY-LAWS, OR APPLICABLE LAW. AFFINITY MEMBERS SHALL CONSIST OF ONE OR MORE CATEGORIES OF INDIVIDUALS AS MAY BE ESTABLISHED FROM TIME TO TIME BY THE BOARD OF DIRECTORS. AFFINITY MEMBERS SHALL MAKE SUCH ANNUAL CONTRIBUTIONS OR PAY SUCH ANNUAL DUES AS MAY BE ESTABLISHED FROM TIME TO TIME BY THE BOARD OF DIRECTORS. THE ASPCA MAY OFFER AFFINITY MEMBERS CERTAIN BENEFITS OF MEMBERSHIP, BUT AFFINITY MEMBERS SHALL NOT BE CONSIDERED "MEMBERS" AS THAT TERM IS USED IN THE NEW YORK NOT-FOR-PROFIT CORPORATION LAW OR IN ANY OTHER APPLICABLE LAW, RULE, OR REGULATION. ACCORDINGLY, WITH THE EXCEPTION OF THOSE AFFINITY MEMBERS WHO ARE ALSO GOVERNING MEMBERS, NO AFFINITY MEMBER SHALL HAVE THE RIGHT TO VOTE ON THE ELECTION OF PERSONS TO THE BOARD OF DIRECTORS OR ON ANY OTHER TRANSACTION OR MATTER THAT SHALL PROPERLY COME BEFORE THE MEMBERS OF THE CORPORATION IN ACCORDANCE WITH THE ASPCA'S CERTIFICATE OF INCORPORATION, THESE BY-LAWS, OR APPLICABLE LAW.</p>
FORM 990, PART VI, SECTION B, LINE 11B	<p>THE FORM 990 WAS PREPARED BY A NATIONALLY RENOWNED ACCOUNTING FIRM IN CONJUNCTION WITH THE ORGANIZATION'S FINANCE DEPARTMENT. THE DRAFT OF THE FORM 990 IS REVIEWED BY SENIOR MANAGEMENT, LEGAL COUNSEL, AS WELL AS THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS. A COPY IS CIRCULATED TO THE FULL BOARD OF DIRECTORS PRIOR TO THE RETURN'S FILING WITH THE INTERNAL REVENUE SERVICE.</p>
FORM 990, PART VI, SECTION B, LINE 12C	<p>ALL DIRECTORS, OFFICERS AND KEY EMPLOYEES COMPLETE A WRITTEN CONFLICT OF INTEREST QUESTIONNAIRE AND DECLARATION ANNUALLY. THE SECRETARY OF THE ASPCA PROVIDES COPIES OF ALL COMPLETED DISCLOSURE STATEMENTS TO THE CHAIR OF THE AUDIT COMMITTEE AND TO THE CHIEF LEGAL OFFICER. ANY POTENTIAL CONFLICTS ARE ADDED TO RECORDS MAINTAINED BY THE ASPCA'S LEGAL DEPARTMENT.</p>
FORM 990, PART VI, SECTION B,	<p>THE AUDIT COMMITTEE OF THE ASPCA BOARD IS THE AUTHORIZED COMPENSATION-SETTING BODY THAT REVIEWS AND APPROVES THE COMPENSATION OF THE "DISQUALIFIED PERSONS" OF THE ASPCA. THE ASPCA ENGAGES AN INDEPENDENT COMPENSATION EXPERT TO CONDUCT A COMPENSATION STUDY TO ASSESS THE REASONABLENESS OF</p>

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LINE 15	EACH "DISQUALIFIED PERSONS" TOTAL COMPENSATION IN ACCORDANCE WITH THE REBUTTABLE PRESUMPTION "SAFE HARBOR" PROVISIONS OF SECTION 4958 OF THE INTERNAL REVENUE CODE. THE COMPENSATION EXPERT ASSESSES THE REASONABLENESS OF EACH PERSON'S TOTAL COMPENSATION BASED ON COMPARABILITY DATA FOR THE POSITIONS UNDER REVIEW AND PROVIDES SUCH DATA AND ANALYSIS TO THE AUDIT COMMITTEE FOR ITS REVIEW. THE COMPARABILITY DATA IS DRAWN FROM INDUSTRY SURVEYS AND DATA SOURCES FOR COMPARABLE POSITIONS IN ORGANIZATIONS OF SIMILAR SCOPE, OPERATING BUDGET, AND TYPE. WITH RESPECT TO "DISQUALIFIED PERSONS" OTHER THAN THE PRESIDENT & CEO, THE AUDIT COMMITTEE REVIEWS THE COMPENSATION EXPERT'S STUDY AND COMPARABILITY DATA AND THE PRESIDENT & CEO'S ANALYSIS OF EACH INDIVIDUAL'S PERFORMANCE, DELIBERATES, AND VOTES ON WHETHER TO APPROVE THE TOTAL COMPENSATION RECOMMENDATION PROPOSED BY THE PRESIDENT & CEO. THE PERSON WHOSE COMPENSATION IS UNDER REVIEW IS NOT PRESENT AND DOES NOT PARTICIPATE IN THE DELIBERATIONS, EXCEPT THAT SUCH PERSON MAY ANSWER QUESTIONS THAT WILL HELP THE COMMITTEE IN ITS DELIBERATIONS. WITH RESPECT TO THE PRESIDENT & CEO, THE AUDIT COMMITTEE REVIEWS THE COMPENSATION EXPERT'S STUDY AND COMPARABILITY DATA AND THE EXECUTIVE COMMITTEE'S ANALYSIS OF THE PRESIDENT & CEO'S PERFORMANCE, DELIBERATES, AND VOTES ON A RECOMMENDATION ON THE PRESIDENT & CEO'S TOTAL COMPENSATION (INCLUDING PERFORMANCE BONUS), WHICH RECOMMENDATION IT PROVIDES TO THE FULL BOARD OF DIRECTORS. THE FULL BOARD OF DIRECTORS ASSESSES THE AUDIT COMMITTEE'S RECOMMENDATIONS AND VOTES WHETHER TO APPROVE THE TOTAL COMPENSATION (INCLUDING PERFORMANCE BONUS) FOR THE PRESIDENT & CEO. THE PRESIDENT & CEO IS NOT PRESENT DURING EITHER THE AUDIT COMMITTEE'S REVIEW OF HIS COMPENSATION NOR THE FULL BOARD'S APPROVAL OF THE COMPENSATION AND DOES NOT PARTICIPATE IN THE DELIBERATIONS, EXCEPT THAT HE MAY ANSWER QUESTIONS THAT WILL HELP THE COMMITTEE OR THE FULL BOARD IN THEIR DELIBERATIONS. FOR ALL "DISQUALIFIED PERSONS," THE AUDIT COMMITTEE DOCUMENTS THE BASIS FOR ITS DETERMINATIONS CONCURRENTLY WITH THE APPROVAL OF THE COMPENSATION BY DRAFTING MINUTES OF THE MEETING AT WHICH THE DETERMINATIONS WERE MADE. THE MINUTES INCLUDE THE FOLLOWING INFORMATION: 1. THE TERMS OF THE APPROVED COMPENSATION AND THE DATE APPROVED; 2. THE NAMES OF MEMBERS OF THE AUDIT COMMITTEE WHO WERE PRESENT DURING DISCUSSION OF THE COMPENSATION AND THOSE WHO VOTED ON IT; 3. THE COMPARABILITY DATA THAT WAS RELIED ON BY THE AUDIT COMMITTEE AND HOW SUCH DATA WAS OBTAINED; AND 4. ANY ACTIONS (SUCH AS RECUSAL) TAKEN BY A MEMBER OF THE AUDIT COMMITTEE HAVING A CONFLICT OF INTEREST. THE AUDIT COMMITTEE THEN APPROVES THE MINUTES WITHIN A REASONABLE PERIOD OF TIME AFTER ITS PREPARATION. SIMILARLY, THE BOARD DOCUMENTS THE BASIS FOR ITS DETERMINATION OF THE PRESIDENT & CEO'S COMPENSATION CONCURRENTLY WITH THE APPROVAL OF THE COMPENSATION BY DRAFTING MINUTES OF THE MEETING AT WHICH THE DETERMINATION WAS MADE.
FORM 990, PART VI, SECTION C, LINE 19	AUDITED FINANCIAL STATEMENTS, CONFLICT OF INTEREST POLICY, CERTIFICATE OF INCORPORATION AND BY-LAWS ARE MADE AVAILABLE TO THE PUBLIC UPON REQUEST AND THROUGH CHARITABLE REGISTRATION REQUIREMENTS IN OVER 40 STATES. THE ASPCA MAKES ITS FORM 990 AVAILABLE TO THE PUBLIC BY RETAINING A COPY AT ITS PLACE OF BUSINESS AND PLACING A COPY ON ITS WEBSITE. THE FORM 990 IS ALSO PUBLISHED ON THE INTERNET AT WWW.GUIDESTAR.ORG.
FORM 990, PART XI, LINE 9:	UNREALIZED GAIN ON BENEFICIAL INTERESTS IN PERPETUAL TRUSTS HELD BY OTHERS 2,300,214. DUE FROM ASPCA VETERINARY SERVICES OF NC, PC 160,270.

Additional Data

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Software ID:

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**SCHEDULE R
(Form 990)**

Related Organizations and Unrelated Partnerships

OMB No. 1545-0047

2021

**Open to Public
Inspection**

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
- ▶ Attach to Form 990.
- ▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury
Internal Revenue Service

Name of the organization
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

Employer identification number

13-1623829

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) ASPCA VETERINARY SERVICES OF NORTH CAROLINA PC 25 HERITAGE DR ASHVILLE, NC 28806 47-3987701	VETERINARY SERVICES TO THE ASPCA IN NC	NC	501(C)(3)	LINE 7	ASPCA	Yes	

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

	Yes	No
a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity		No
b Gift, grant, or capital contribution to related organization(s)		No
c Gift, grant, or capital contribution from related organization(s)		No
d Loans or loan guarantees to or for related organization(s)		No
e Loans or loan guarantees by related organization(s)		No
f Dividends from related organization(s)		No
g Sale of assets to related organization(s)		No
h Purchase of assets from related organization(s)		No
i Exchange of assets with related organization(s)		No
j Lease of facilities, equipment, or other assets to related organization(s)		No
k Lease of facilities, equipment, or other assets from related organization(s)		No
l Performance of services or membership or fundraising solicitations for related organization(s)	Yes	
m Performance of services or membership or fundraising solicitations by related organization(s)		No
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	Yes	
o Sharing of paid employees with related organization(s)	Yes	
p Reimbursement paid to related organization(s) for expenses		No
q Reimbursement paid by related organization(s) for expenses		No
r Other transfer of cash or property to related organization(s)		No
s Other transfer of cash or property from related organization(s)		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) ASPCA VETERINARY SERVICES OF NORTH CAROLINA PC	L	89,937	BOOK VALUE
(2) ASPCA VETERINARY SERVICES OF NORTH CAROLINA PC	O	315,305	BOOK VALUE

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.

Return Reference

Explanation

Schedule R (Form 990) 2021

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