

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation: The Wallace Alexander Gerbode Foundation. A Employer identification number: 94-6065226. B Telephone number: (510) 915-8048. C If exemption application is pending, check here. D 1. Foreign organizations, check here. E If private foundation status was terminated under section 507(b)(1)(A), check here. F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. G Check all that apply: Initial return, Initial return of a former public charity, Final return, Amended return, Address change, Name change. H Check type of organization: Section 501(c)(3) exempt private foundation. I Fair market value of all assets at end of year: \$39,503,031. J Accounting method: Accrual.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include: 1 Contributions, gifts, grants, etc., received (568,034); 2 Check if foundation is not required to attach Sch. B; 3 Interest on savings and temporary cash investments (191); 4 Dividends and interest from securities (770,934); 5a Gross rents; b Net rental income or (loss); 6a Net gain or (loss) from sale of assets not on line 10 (1,450,293); b Gross sales price for all assets on line 6a (13,631,166); 7 Capital gain net income (from Part IV, line 2) (1,450,293); 8 Net short-term capital gain; 9 Income modifications; 10a Gross sales less returns and allowances; b Less: Cost of goods sold; c Gross profit or (loss) (attach schedule); 11 Other income (attach schedule) (65,406); 12 Total. Add lines 1 through 11 (2,854,858); 13 Compensation of officers, directors, trustees, etc. (178,250); 14 Other employee salaries and wages (85,000); 15 Pension plans, employee benefits (66,832); 16a Legal fees (attach schedule); b Accounting fees (attach schedule) (25,000); c Other professional fees (attach schedule) (223,255); 17 Interest (94,357); 18 Taxes (attach schedule) (see instructions); 19 Depreciation (attach schedule) and depletion; 20 Occupancy; 21 Travel, conferences, and meetings (1,581); 22 Printing and publications (1,221); 23 Other expenses (attach schedule) (73,957); 24 Total operating and administrative expenses. Add lines 13 through 23 (749,453); 25 Contributions, gifts, grants paid (2,479,380); 26 Total expenses and disbursements. Add lines 24 and 25 (3,228,833); 27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements (-373,975); b Net investment income (1,982,631); c Adjusted net income.

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	649,006	220,794	220,794
	2 Savings and temporary cash investments	199,181	400,044	400,044
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable		568,034	568,034
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	32,875	25,960	25,960
	10a Investments—U.S. and state government obligations (attach schedule)	936,738	1,013,850	1,013,850
	b Investments—corporate stock (attach schedule)	15,950,392	16,925,983	16,925,983
	c Investments—corporate bonds (attach schedule)	4,302,575	4,675,266	4,675,266
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	13,834,266	15,466,716	15,466,716
	14 Land, buildings, and equipment: basis ▶ _____ 57,465 Less: accumulated depreciation (attach schedule) ▶ _____ 57,465			
15 Other assets (describe ▶ _____)	193,713	206,384	206,384	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	36,098,746	39,503,031	39,503,031	
Liabilities	17 Accounts payable and accrued expenses	40,044	48,725	
	18 Grants payable	221,762	247,642	
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	107,000	159,000	
	23 Total liabilities (add lines 17 through 22)	368,806	455,367	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	35,729,940		
	25 Net assets with donor restrictions		568,034	
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	35,729,940	39,047,664		
30 Total liabilities and net assets/fund balances (see instructions)	36,098,746	39,503,031		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	35,729,940
2 Enter amount from Part I, line 27a	2	-373,975
3 Other increases not included in line 2 (itemize) ▶ _____	3	3,691,699
4 Add lines 1, 2, and 3	4	39,047,664
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	39,047,664

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a Sales of Publicly Traded Securities	P	2000-01-01	2020-12-31
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 13,631,166		12,180,873	1,450,293
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,450,293
b			
c			
d			
e			

Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	2	1,450,293
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8				3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved				2
3 Reserved				3
4 Reserved				4
5 Reserved				5
6 Reserved				6
7 Reserved				7
8 Reserved				8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here [] and enter "N/A" on line 1.
Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
b Reserved 1 27,559
c All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 2
3 Add lines 1 and 2. 3 27,559
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 4
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 27,559
6 Credits/Payments:
a 2020 estimated tax payments and 2019 overpayment credited to 2020 6a 38,943
b Exempt foreign organizations—tax withheld at source 6b
c Tax paid with application for extension of time to file (Form 8868) 6c
d Backup withholding erroneously withheld 6d
7 Total credits and payments. Add lines 6a through 6d 7 38,943
8 Enter any penalty for underpayment of estimated tax. Check here [x] if Form 2220 is attached. 8
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. 10 11,384
11 Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded 11 11,384

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? Yes No
1a No No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). Yes No
1b No No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.
c Did the foundation file Form 1120-POL for this year?. Yes No
1c No No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ (2) On foundation managers. \$
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? Yes No
2 No No
If "Yes," attach a detailed description of the activities.
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes Yes No
3 No No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? Yes Yes
4a Yes Yes
b If "Yes," has it filed a tax return on Form 990-T for this year? Yes Yes
4b Yes Yes
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? Yes No
5 No No
If "Yes," attach the statement required by General Instruction T.
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Yes No
6 No No
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Yes Yes
7 Yes Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation Yes Yes
8b Yes Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV Yes No
9 No No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. Yes No
10 No No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.				No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions				No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ http://gerbode.org	Yes			
14	The books are in care of ▶ <u>Traynor Group</u> Telephone no. ▶ <u>(510) 280-5772</u> Located at ▶ <u>6500 Fairmont Ave Ste 11 El Cerrito CA</u> ZIP+4 ▶ <u>94530</u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ and enter the amount of tax-exempt interest received or accrued during the year 15				
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign		Yes	No	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

					Yes	No
1a	During the year did the foundation (either directly or indirectly):					
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No					
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No					
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b				No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c				No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):					
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____					
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b				
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____					
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b				
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a				No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b				No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
 - (2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
 - (3)** Provide a grant to an individual for travel, study, or other similar purposes? Yes No
 - (4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. Yes No
 - (5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
Organizations relying on a current notice regarding disaster assistance check here.
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No
- b** If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No
- 8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? Yes No

	Yes	No
5b		
6b		No
7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Sharon Gerbode 1791 Solano Avenue F15 Berkeley, CA 94707	Chair 5.00	0		
Frank A Gerbode 1791 Solano Avenue F15 Berkeley, CA 94707	V. Chair/Tres 5.00	0		
Colin Gerbode 1791 Solano Avenue F15 Berkeley, CA 94707	V.Chair/Sec 5.00	0		
Stacie Maa 1791 Solano Avenue F15 Berkeley, CA 94707	Pres/Ass't Sec 40.00	155,000	23,250	
Ian Gerbode 1791 Solano Avenue F15 Berkeley, CA 94707	Director 5.00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Olivia Malabuyo 1791 Solano Avenue F15 Berkeley, C A 94707	Admin Mgr 40.00	85,000	27,219	

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Morgan Stanley 555 California St 14th Floor San Francisco, CA 94104	Investment Mgmt	211,720

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 	
2 	
3 	
4 	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 	
2 	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	35,335,735
b	Average of monthly cash balances.	1b	1,018,733
c	Fair market value of all other assets (see instructions).	1c	593,873
d	Total (add lines 1a, b, and c).	1d	36,948,341
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	36,948,341
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	554,225
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	36,394,116
6	Minimum investment return. Enter 5% of line 5.	6	1,819,706

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,819,706
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	27,559
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	27,559
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,792,147
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,792,147
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,792,147

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	2,800,651
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,800,651
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,800,651

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				1,792,147
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.				
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.	1,075,344			
c From 2017.				
d From 2018.	256,076			
e From 2019.	300,820			
f Total of lines 3a through e.	1,632,240			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>2,800,651</u>				
a Applied to 2019, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				1,792,147
e Remaining amount distributed out of corpus	1,008,504			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,640,744			
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	2,640,744			
10 Analysis of line 9:				
a Excess from 2016	1,075,344			
b Excess from 2017				
c Excess from 2018.	256,076			
d Excess from 2019	300,820			
e Excess from 2020	1,008,504			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b. Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Stacie Ma'a
1791 Solano Avenue F15
Berkeley, CA 94707
(510) 915-8048

b The form in which applications should be submitted and information and materials they should include:

The Foundation is interested in programs and projects offering potential for significant impact. The primary focus is on the San Francisco Bay Area and Hawaii. The Foundation's interests generally fall under the following categories: arts and culture, environment, population, reproductive rights, citizen participation/building communities/inclusiveness, strength of the philanthropic process and the nonprofit sector, Foundation-initiated special projects. Preferred form of contact: letter of inquiry with short description of the project. Initial contact should not include materials (videotapes, etc.) requiring return. In the event that materials must be returned, an explicit request for their return and a self-addressed, stamped envelope must be provided. The Foundation does not accept unsolicited applications. Applications are accepted on an ongoing basis. Special awards cycles are usually announced in May.

c Any submission deadlines:

Accepted on an ongoing basis.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

To qualify for support, an organization must be a tax-exempt public charity, as determined by internal revenue code section 501(c)(3). The Foundation generally does not support direct services, deficit budgets, general operating funds, building or equipment funds, general fundraising campaigns, religious purposes, private schools, publications, scholarships, or grants to individuals.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
Kulintang Arts Inc 474 Faxon Avenue San Francisco, CA 94112	N/A	P C	General Support	15,000
Womens Audio Mission 542-544 Natoma Street C-1 San Francisco, CA 94103	N/A	P C	Music Project by Lalin St. Juste	25,000
CubaCaribe 60 29th Street Suite 315 San Francisco, CA 94110	N/A	P C	General Support	15,000
East Bay Center for the Performing 339 11th Street Richmond, CA 94801	N/A	P C	Music Project by Howard Wiley	25,000
Intersection for the Arts 901 Mission Street Suite 306 San Francisco, CA 94103	N/A	P C	New Theater Production "The Living Word Project"& General Support	30,000
Ashby Village 1821 Catalina Ave Berkeley, CA 94707	N/A	P C	Berkeley Age-Friendly Continuum	40,000
Asian Pacific Fund 465 California Street Suite 809 San Francisco, CA 94104	N/A	P C	Asian Pacific Islander Summit & Speaker Series	5,000
Grantmakers Con with Immigrants Ref PO BOX 2178 Petaluma, CA 94953	N/A	P C	General Support	5,000
Northern CA Grantmakers 160 Spear Street Suite 360 San Francisco, CA 94105	N/A	P C	General Support	5,000
Movement Strategy Center 436 14th St 5th Floor Oakland, CA 94612	N/A	P C	Bay Area Justice Funders Network	2,500
Aurora Theatre Company 2081 Addison St Berkeley, CA 94704	N/A	P C	General Support	15,000
Crowded Fire Theater Company 1695 18th St C101 San Francisco, CA 94107	N/A	P C	General Support	15,000
Intersection for the Arts 1446 Market Street San Francisco, CA 94102	N/A	P C	Campo Santo's Commission and Production of a New Theater Work	40,000
Intersection for the Arts 1446 Market Street San Francisco, CA 94102	N/A	P C	Dancing Earth	25,000
Asian Americans Advancing Justice 1620 L St NW Suite 1050 Washington, DC 20036	N/A	P C	General Support	100,000
Baykeeper 1736 Franklin St 800 Oakland, CA 94612	N/A	P C	In support of communication efforts	40,000
Center for Media Justice 116 East 16th Street 7th Floor New York, NY 10003	N/A	P C	Bay Area work	30,000
Chinese for Affirmative Action 17 Walter U Lum Pl San Francisco, CA 94108	N/A	P C	API Equality	15,000
Coleman Children and Youth Services 459 Vienna St San Francisco, CA 94112	N/A	P C	General Support	40,000
Commonwealth Club Of California 110 The Embarcadero San Francisco, CA 94105	N/A	P C	In support of its Climate One project	25,000
Community Music Center 544 Capp Street San Francisco, CA 94110	N/A	P C	General Support	40,000
Fresh Approach 5060 Commercial Cir Ste C Concord, CA 94520	N/A	P C	General Support	100,000
Grantmakers in the Arts 522 Courtlandt Avenue New York, NY 10451	N/A	P C	General Support	5,000
Hawaii Appleseed 733 Bishop St Suite 1180 Honolulu, HI 96813	N/A	P C	To support the Hawaii Budget and Policy Center	35,000
Hawaii Community Reinvestment Corp PO Box 3409 Honolulu, HI 96801	N/A	P C	General Support	40,000
Hawaii Women in Filmmaking 1050 Queen Street 100	N/A	P C	General Support	25,000

Honolulu, HI 96814				
Maplight 2223 Shattuck Ave Berkeley, CA 94704	N/A	P C	Support of its Digital Deception Solutions project	100,000
Movement Strategy Center 436 14th St 500 Oakland, CA 94612	N/A	P C	Support of its Bay Rising Project	50,000
People For Open Space Inc 631 Howard St San Francisco, CA 94105	N/A	P C	General Support	50,000
Regents Of The University Of Califo 1111 Franklin St Oakland, CA 94607	N/A	P C	In Support of its Professional Program in Fundraising and Volunteer Management	30,000
Social Good Fund 12651 San Pablo Ave Richmond, CA 94805	N/A	P C	Support of its Safe Return Project	40,000
The Working Group PO Box 70232 Oakland, CA 94612	N/A	P C	General Support	40,000
Tides Center 1012 Torney Avenue San Francisco, CA 94129	N/A	P C	Support of its Lift Up Contra Costa Project	40,000
Tides Center 1012 Torney Avenue San Francisco, CA 94129	N/A	P C	Support of its Building Blocks for Kids Collaborative Project	40,000
Transgender Law Center PO Box 70976 Oakland, CA 94612	N/A	P C	Support of its work in the Bay Area and more broadly in California	75,000
Trust For Public Land PO BOX 399336 San Francisco, CA 94139	N/A	P C	General Support	25,000
Urban Habitat Program 2000 Franklin St Oakland, CA 94612	N/A	P C	General Support	25,000
CubaCaribe 60 29th Street Suite 315 San Francisco, CA 94110	N/A	P C	Dance Composition by Susana Arena Pedroso	25,000
Kulintang Arts Inc 474 Faxon Avenue San Francisco, CA 94112	N/A	P C	New Dance Composition by Alleluia Panis	25,000
The Center for Cultural Power 1330 Broadway 3rd Floor Oakland, CA 94612	N/A	P C	General Support	75,000
Asian American-Pacific Islanders in 300 Frank H Ogawa Plaza Suite 290 Oakland, CA 94612	N/A	P C	General Support	1,000
Alliance for Girls 1203 Preservation Park Way 200 Oakland, CA 94612	N/A	P C	General Support	40,000
The Center for Cultural Innovation 1446 Market Street San Francisco, CA 94102	N/A	P C	East Bay Individuals in the Arts Relief Fund	30,000
Root Rebound 1610 Harrison St Suite E Oakland, CA 94612	N/A	P C	General Support	100,000
Communities for a Better Environmen 120 Broadway Suite 2 Richmond, CA 94804	N/A	P C	General Support	30,000
San Francisco Information Clearingh 325 Clementina St San Francisco, CA 94103	N/A	P C	Housing Reporters Education Project	30,000
California Association of Nonprofit 870 Market St 985 San Francisco, CA 94102	N/A	P C	General Support	10,000
The Watershed Project 1327 S 46th St building 155 Richmond, CA 94804	N/A	P C	North Richmond Urban Greening Master Plan	25,000
Northern CA Grantmakers 160 Spear Street Suite 360 San Francisco, CA 94105	N/A	P C	Arts Loan Fund	5,000
Chinese Progressive Association 1042 Grant Ave San Francisco, CA 94133	N/A	P C	AAPIs' for Civic Empowerment Education Fund	25,000
Arts for Oakland Kids 3871 Piedmont Avenue 11 Oakland, CA 94611	N/A	P C	General Support	35,000
Black Organizing Project Inc 1035 W Grand Ave Oakland, CA 94607	N/A	P C	General Support	40,000
Center for Applied Research Solutio 708 College Ave Santa Rosa, CA 95404	N/A	P C	California Mentoring Partnership	35,000
Chinese for Affirmative Action 17 Walter U Lum Place San Francisco, CA 94108	N/A	P C	Covid-19 related hate and violence against Asian Americans	40,000
Common Counsel Foundation	N/A	P C	Still We Rise Fund	40,000

624 Franklin St Ste 1022 Oakland, C A 94612				
Community Partners 1000 N Alameda Street Suite 240 Los Angeles, C A 90012	N/A	P C	ClimatePlan Program	30,000
Ella Baker Center for Human Rights 1419 34th Ave Suite 202 Oakland, C A 94601	N/A	P C	General Support	75,000
Gamelan Sekar Jaya 3023 Shattuck Ave Berkeley, C A 94705	N/A	P C	Music Project by I Dewa Putu Berata	25,000
Horizons Foundation 550 Montgomery Street Suite 700 San Francisco, C A 94111	N/A	P C	LGBTQ Covid19 Responsive Emergency Fund	40,000
Musical Traditions 55 Taylor St San Francisco, C A 94102	N/A	P C	Music Project by Liza Mezzacappa	25,000
Northern CA Grantmakers 160 Spear Street Suite 360 San Francisco, C A 94105	N/A	P C	Youth Organizing Power Building Initiative	75,000
San Francisco Jazz Organization 201 Franklin St San Francisco, C A 94102	N/A	P C	Music Project by Ambrose Akinmusire	25,000
Sew Productions Inc 762 Fulton St San Francisco, C A 94102	N/A	P C	General Support	25,000
The Living Earth Show 55 Taylor St San Francisco, C A 94102	N/A	P C	Music Project by Ellen Fullman	25,000
TransForm 560 14th St 400 Oakland, C A 94612	N/A	P C	ED Transition	20,000
FoodCorps Inc 1140 SE 7th Ave Suite 110 Portland, OR 97214	N/A	P C	Support of its work in Hawaii	40,000
Hawaii Alliance of Progressive Acti PO Box 1534 Kapaa, HI 96746	N/A	P C	Kuleana Leadership Training Progarm	25,000
The Kohala Center Inc 65-1291 Kawaihae Rd suite a Waimea, HI 96743	N/A	P C	General Support	30,000
Kua'aina Ulu Auamo 47-200 Waihee Road Kaneohe, HI 96744	N/A	P C	General Support	40,000
Intersection for the Arts 1446 Market Street San Francisco, C A 94102	N/A	P C	New Theater Production by Britney Fraizer & Margo Hall	25,000
Community Initiatives 1000 Broadway Suite 480 Oakland, C A 94607	N/A	P C	Renaissance journalism emergency grant program for Bay Area nonprofit news outlets	20,000
Total			3a	2,428,500
b Approved for future payment				
Magic Theatre Inc Landmark Building D Fort Mason San Francisco, C A 94123	N/A	P C	New Theater Production by Christopher Chen	25,000
Movimiento de Arte y Cultura Latina 510 S First Street San Jose, C A 95113	N/A	P C	New Theater Production by Lisa Marie Rollins	25,000
Cutting Ball Theater 277 Taylor St San Francisco, C A 94102	N/A	P C	New Theater Production by Mercedes Floresislal	25,000
Crowded Fire Theater Company 1695 18th St C101 San Francisco, C A 94107	N/A	P C	New Theater Work by Anna Moench	25,000
Discount Grants Payable 1791 Solano Avenue Berkeley, C A 94707	N/A	N C	Change in Grant Discount	-3,238
East Bay Center for the Performing 339 11th Street Richmond, C A 94801	N/A	P C	Music Project by Howard Wiley	25,000
Gamelan Sekar Jaya 3023 Shattuck Ave Berkeley, C A 94705	N/A	P C	Music Project by I Dewa Putu Berata	25,000
Musical Traditions 55 Taylor St San Francisco, C A 94102	N/A	P C	Music Project by Liza Mezzacappa	25,000
San Francisco Jazz Organization 201 Franklin St San Francisco, C A 94102	N/A	P C	Music Project by Ambrose Akinmusire	25,000
The Living Earth Show 55 Taylor St San Francisco, C A 94102	N/A	P C	Music Project by Ellen Fullman	25,000
Womens Audio Mission 542-544 Natoma Street C-1 San Francisco, C A 94103	N/A	P C	Music Project by Lalin St. Juste	25,000

Change in Discount of Grants Payabl 1791 Solano Avenue Berkeley, C A 94707	N/A	N C	C/Y Change in Grant Discount	880
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Total			3b	247,642
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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include Program service revenue, Investment Income, Passthrough Income, PRI Ordinary Income, Fees and contracts from government agencies, Membership dues and assessments, Interest on savings and temporary cash investments, Dividends and interest from securities, Net rental income, Gain or (loss) from sales of assets other than inventory, Net income or (loss) from special events, Gross profit or (loss) from sales of inventory, Other revenue, Subtotal, and Total.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes. Rows include 1c, 11a, and 11b.

Additional Data

[Return to Form](#)

Software ID: 20011551

Software Version: 2020v4.0

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Name of the organization The Wallace Alexander Gerbode Foundation	Employer identification number 94-6065226
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Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
The Wallace Alexander Gerbode Foundation

Employer identification number
94-6065226

Part I
Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	The William Flora Hewlett Fdn 2121 Sand Hill Road Menlo Park, C A 94025	\$ 570,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization
The Wallace Alexander Gerbode Foundation

Employer identification number
94-6065226

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____

Name of organization The Wallace Alexander Gerbode Foundation	Employer identification number 94-6065226
--	--

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	

Additional Data

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Software ID: 20011551

Software Version: 2020v4.0

TY 2020 IRS 990 e-File Render

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 20011551

Software Version: 2020v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Audit and Tax Services	25,000	0	0	25,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 20011551

Software Version: 2020v4.0

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
Website	2019-01-01	42,400	1,279		7,672			8,951

TY 2020 IRS 990 e-File Render

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 20011551

Software Version: 2020v4.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Microsoft Corp, 2.7%, 2/12/25	190,110	190,110
AT&T Inc, 3.4%, 5/15/25	416,794	416,794
Comcast Corp, 3.375%, 8/15/25	306,828	306,828
IBM Corp, 3.45%, 2/19/26	312,065	312,065
Apple Inc, 3.25%, 2/23/26	308,608	308,608
Cisco Systems, 2.95%, 2/28/26	306,028	306,028
Wal-Mart Stores, 5.625%, 4/15/41	573,904	573,904
Verizon Communications, 4.75%, 11/1/41	495,735	495,735
Target Corp, 4.0%, 7/1/42	504,161	504,161
Pepsico Inc, 4.25%, 10/22/44	488,978	488,978
Philip Morris Intl, 4.25%, 11/10/44	472,305	472,305
JPMorgan Chase, 6.125%, 4/20/24	299,750	299,750

TY 2020 IRS 990 e-File Render**Name:** The Wallace Alexander Gerbode Foundation**EIN:** 94-6065226**Software ID:** 20011551**Software Version:** 2020v4.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Advantest Corp, 1,129 Shs	83,930	83,930
Alphabet Inc, 264 Shs	462,697	462,697
Amazon, 526 Shs	1,713,145	1,713,145
American Tower REIT, 1,679 Shs	376,868	376,868
Apple, 11,632 Shs	1,543,450	1,543,450
Baidu, 356 Shs	76,981	76,981
Blackrock, 561 Shs	404,784	404,784
Crown Castle Intl, 2,693 Shs	428,699	428,699
Facebook CL-A, 3,341 Shs	912,628	912,628
HDFC Bank Ltd, 1,755 Shs	126,816	126,816
Home Depot, 1,945 Shs	516,631	516,631
Lockheed Martin, 1,029 Shs	365,274	365,274
Microsoft Corp, 4,174 Shs	928,381	928,381
New Oriental Ed & Tech Grp, 866 Shs	160,911	160,911
Nippon Telegraph & Telephone, 900 Shs	23,130	23,130
Paypal Hldgs, 7,319 Shs	1,714,110	1,714,110
Sony Corp ADR 1974, 1,669 Shs	168,736	168,736
Taiwan Smcndctr Mfg, 829 Shs	90,394	90,394
Tencent Hldgs Ltd, 2,098 Shs	150,825	150,825
Visa Inc, 3,777 Shs	826,143	826,143
Yum China Hldgs, 1,976 Shs	112,810	112,810
BHP Group Ltd, 1,757 Shs	114,802	114,802
Huazhu Group Ltd, 2,297 Shs	103,434	103,434
McDonalds Corp, 1,781 Shs	382,167	382,167
Thermo Fisher Scientific, 1,807 Shs	841,664	841,664
Alibaba Group, 2,755 Shs	641,171	641,171
Alphabet Inc C, 222 Shs	388,917	388,917
DBS Group Holdings, 976 Shs	74,225	74,225
JD Com Inc, 362 Shs	31,820	31,820
Mastercard Inc, 1,093 Shs	390,135	390,135
Nestle ADR, 2,515 Shs	296,267	296,267
Nextera Energy, 5,812 Shs	448,396	448,396
Nintendo Co, 1,415 Shs	113,936	113,936
Pinduoduo Inc, 622 Shs	110,511	110,511
Trip Group, 1,662 Shs	56,059	56,059
Alexander & Baldwin, 16,098 Sh	276,564	276,564
Matson Inc, 10,227 Shs	582,632	582,632
Tencent Hldgs, 7,074 Shs	136,104	136,104
10x Genomics, 1,565 Shs	221,604	221,604
Komatsu LTD, 1,137 Shs	31,475	31,475
Veeva Sys, 1,503 Shs	409,192	409,192
Zai Lab LTD, 647 Shs	87,565	87,565

TY 2020 IRS 990 e-File Render

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 20011551

Software Version: 2020v4.0

**US Government Securities - End of
Year Book Value:**

1,013,850

**US Government Securities - End of
Year Fair Market Value:**

1,013,850

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2020 IRS 990 e-File Render**Name:** The Wallace Alexander Gerbode Foundation**EIN:** 94-6065226**Software ID:** 20011551**Software Version:** 2020v4.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Danco Investors Group, LP	AT COST	83,167	83,167
Fortress Lending Fund II A	FMV	500,384	500,384
iShares MSCI India ETF, 3,713 Shs	FMV	149,337	149,337
Vanguard FTSE Europe ETF, 11,070 Shs	FMV	666,857	666,857
MSIF Ultra Short Inc, 242,130.233 Shs	FMV	2,416,460	2,416,460
iShares MSCI Japan ETF, 3,374 Shs	FMV	227,947	227,947
Vaneck Vectors China, 2,802 Shs	FMV	130,018	130,018
Guggenheim Ttl Return Bd, 16,507.473 Shs	FMV	490,272	490,272
MSIF Em Mkts Fx Inc, 447,955.545 Shs	FMV	4,103,273	4,103,273
MS Global Fixed Income, 846,459.995 Shs	FMV	4,985,649	4,985,649
iShares Tips Bd, 4,136 Shs	FMV	527,960	527,960
SPDR Gold TR, 1,570 Shs	FMV	280,025	280,025
Aberdeen China A, 3,677.554 Shs	FMV	147,029	147,029
EV Adv Floating Rate I, 72,777.194 Shs	FMV	758,338	758,338

TY 2020 IRS 990 e-File Render

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 20011551

Software Version: 2020v4.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Furniture and Fixtures	57,465	57,465		

TY 2020 IRS 990 e-File Render

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EIN: 94-6065226

Software ID: 20011551

Software Version: 2020v4.0

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Accrued Income	48,541	47,262	47,262
Net Intangible Assets	41,121	33,449	33,449
Program-Related Investment	104,051	125,673	125,673

TY 2020 IRS 990 e-File Render**Name:** The Wallace Alexander Gerbode Foundation**EIN:** 94-6065226**Software ID:** 20011551**Software Version:** 2020v4.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Amortization	8,446			
Bank Service Charges	38			38
Bookkeeping	33,547			31,357
Equipment Expenses	736			736
File Storage	737			737
Filings Fees and Licenses	160			160
Grant Database and Training	3,500			3,500
Honorarium	4,800			4,800
Insurance	6,307	1,009		5,298
Memberships	89			1,046
Payroll Processing	1,191			1,191
Postage	1,099			1,099
Program Consultants	1,500			1,500
Software	6,810			6,810
Supplies	819	131		688
Telephone	3,832			3,832
Web Hosting	346			346

TY 2020 IRS 990 e-File Render

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EIN: 94-6065226

Software ID: 20011551

Software Version: 2020v4.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Adjust P/Y UBIT & Excise Taxes	10,474		
Cancelled Grant	25,000		
Investment Income fr Psp	201	201	
Other Partnership Income	-19,459	5,540	
Passthrough Income	27,850		
PRI Ordinary Income	21,340	21,340	

TY 2020 IRS 990 e-File Render

Name: The Wallace Alexander Gerbode Foundation

EIN: 94-6065226

Software ID: 20011551

Software Version: 2020v4.0

Description	Beginning of Year - Book Value	End of Year - Book Value
Deferred Excise Tax Liability	107,000	159,000

TY 2020 IRS 990 e-File Render

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Software Version: 2020v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Fees	211,720	211,720	0	0
IT Support	9,675	0	0	9,675
Pension/401k Plan Fees	1,860	0	0	1,860

TY 2020 IRS 990 e-File Render

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EIN: 94-6065226

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Software Version: 2020v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal Excise and Unrelated Business Ta	94,357			