

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation: ROBERT AND RUTH HALPERIN FOUNDATION. A Employer identification number: 94-3334424. B Telephone number: (415) 834-9934. C If exemption application is pending, check here. D 1. Foreign organizations, check here. E If private foundation status was terminated under section 507(b)(1)(A), check here. F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here.

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), followed by summary rows 27-29.

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	233,319	309,870	309,870
	2 Savings and temporary cash investments		4,383,897	4,383,897
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	129,524,302	123,440,139	123,440,139
	c Investments—corporate bonds (attach schedule)	0	36,410,443	36,410,443
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	9,334,230	7,386,086	7,386,086
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	0	1,818	1,818	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	139,091,851	171,932,253	171,932,253	
Liabilities	17 Accounts payable and accrued expenses	58,926	43,617	
	18 Grants payable			
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	58,926	43,617	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	139,032,925	171,888,636	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	139,032,925	171,888,636		
30 Total liabilities and net assets/fund balances (see instructions)	139,091,851	171,932,253		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	139,032,925
2 Enter amount from Part I, line 27a	2	-2,984,712
3 Other increases not included in line 2 (itemize) ▶ _____	3	38,588,524
4 Add lines 1, 2, and 3	4	174,636,737
5 Decreases not included in line 2 (itemize) ▶ _____	5	2,748,101
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	171,888,636

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a CHARLES SCHWAB (SHORT TERM)		2020-01-01	2020-12-31
b CHARLES SCHWAB (LONG TERM)		2019-01-01	2020-12-31
c CHARLES SCHWAB (LONG TERM)		2019-01-01	2020-12-31
d CHARLES SCHWAB - CAPITAL GAIN DISTRIBUTION		2019-01-01	2020-12-31
e LONG TERM CAPITAL GAINS FROM PASSTHROUGHS	P	2019-01-01	2020-12-31
SHORT TERM CAPITAL GAINS FROM PASSTHROUGHS	P	2020-01-01	2020-12-31

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,683,350		2,468,302	215,048
b 13,677,574		13,658,382	19,192
c 15,677,038		14,753,650	923,388
d 103,505			103,505
e 531,953			531,953
11,952			11,952

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a			215,048
b			19,192
c			923,388
d			103,505
e			531,953
			11,952

Capital gain net income or (net capital loss) } If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,805,038
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3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	
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Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved			2
3 Reserved.			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 main rows and sub-rows (a-e) for credits and payments. Includes fields for 'Tax based on investment income' and 'Total credits and payments'.

Part VII-A Statements Regarding Activities

Table with 10 main rows (1a-10) regarding activities. Includes questions about political campaigns, tax on political expenditures, and substantial contributors.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation...
(2) Influence the outcome of any specific public election...
(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)?
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes...
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance?
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Table with columns Yes and No for questions 5b, 6b, and 7b.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

Table with 5 columns: (a) Name and address, (b) Title and average hours per week, (c) Compensation, (d) Contributions to employee benefit plans, (e) Expense account. Includes entries for Philip W Halperin, Peggy Anne Dow, Maurine S Halperin, and Julie Kidd.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title and average hours per week, (c) Compensation, (d) Contributions to employee benefit plans, (e) Expense account. Includes entry for Macy Parker.

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GOLDSTEIN MUNGER & ASSOCIATES 18 CROWE CANYON COURT 250 SAN RAMON, CA 94583	INVESTMENT ADVISORY	406,971
EDUCATION FIRST CONSULTING PO BOX 22871 SEATTLE, WA 98122	CONSULTING	65,146
GLEN PRICE GROUP 719 EL CERRITO PLAZA EL CERRITO, CA 94530	CONSULTING	55,000

Total number of others receiving over \$50,000 for professional services. **0** ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 _____ _____	
2 _____ _____	
3 _____ _____	
4 _____ _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 _____ _____	
2 _____ _____	
3 All other program-related investments. See instructions. _____	

Total. Add lines 1 through 3 **0** ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	147,792,119
b	Average of monthly cash balances.	1b	6,199,592
c	Fair market value of all other assets (see instructions).	1c	10,847,683
d	Total (add lines 1a, b, and c).	1d	164,839,394
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	164,839,394
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	2,472,591
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	162,366,803
6	Minimum investment return. Enter 5% of line 5.	6	8,118,340

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	8,118,340
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	66,455
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	8,237
c	Add lines 2a and 2b.	2c	74,692
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	8,043,648
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	8,043,648
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	8,043,648

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	7,883,571
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,883,571
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	7,883,571

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				8,043,648
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			7,647,217	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017.				
d From 2018.				
e From 2019.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>7,883,571</u>				
a Applied to 2019, but not more than line 2a			7,647,217	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				236,354
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				7,807,294
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2016				
b Excess from 2017				
c Excess from 2018.				
d Excess from 2019				
e Excess from 2020				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN CIVIL LIBERTIES UNION FOUNDATION 125 BROAD STREET 18TH FLOOR NEW YORK, NY 10004	N/A	P C	FURTHER CHARITABLE PURPOSE	25,000
AIM HIGH FOR HIGH SCHOOL 2030 HARRISON STREET SAN FRANCISCO, CA 94110	N/A	P C	SUPPORT EDUCATION PROGRAMS	50,000
ALDER GRADUATE SCHOOL OF EDUCATION 2946 BRAODWAY STREET SUITE B REDWOOD CITY, CA 94062	N/A	P C	FURTHER CHARITABLE PURPOSE	200,000
ALLIANCE FOR YOUTH ORGANIZING 915 5TH SREET NW WASHINGTON, DC 20001	N/A	P C	FURTHER CHARITABLE PURPOSE	80,000
ANTI-DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK, NY 10158	N/A	P C	FURTHER CHARITABLE PURPOSE	75,000
BOYS & GIRLS CLUB OF SAN FRANCISCO 380 FULTON STREET SAN FRANCISCO, CA 94102	N/A	P C	FURTHER CHARITABLE PURPOSE	125,000
BRANCH ALLIANCE FOR EDUCATOR DIVERSITY 7500 RIALTO BLVD BLDG 1 STE 270 AUSTIN, TX 78735	N/A	P C	FURTHER CHARITABLE PURPOSE	150,000
CALIFORNIANS DEDICATED TO EDUCATION FOUNDATION 260 MAIN STREET SUITE 200 REDWOOD CITY, CA 94063	N/A	P C	FURTHER CHARITABLE PURPOSE	210,000
CALIFORNIA EDUCATION PARTNERS ONE LOMBARD STREET SUITE 305 SAN FRANCISCO, CA 94111	N/A	P C	FURTHER CHARITABLE PURPOSE	2,000,000
COMPASS FAMILY SERVICES 37 GROVE STREET SAN FRANCISCO, CA 94102	N/A	P C	FURTHER CHARITABLE PURPOSE	100,000
THE EDUCATION TRUST-WEST 580 2ND STREET SUITE 200 OAKLAND, CA 94607	N/A	P C	FURTHER CHARITABLE PURPOSE	50,000
HOMELESS PRENATAL PROGRAM 2500 18TH STREET SAN FRANCISCO, CA 94110	N/A	P C	FURTHER CHARITABLE PURPOSE	50,000
INTERNATIONALS NETWORK FOR PUBLIC SCHOOLS 50 BROADWAY 161 NEW YORK, NY 10004	N/A	P C	FURTHER CHARITABLE PURPOSE	200,000
JAMESTOWN COMMUNITY CENTER 2929 19TH STREET SAN FRANCISCO, CA 94110	N/A	P C	FURTHER CHARITABLE PURPOSE	50,000
JEWISH COMMUNITY CENTER OF SAN FRANCISCO 3200 CALIFORNIA STREET SAN FRANCISCO, CA 94118	N/A	P C	FURTHER CHARITABLE PURPOSE	1,000
JUMPSTART FOR YOUNG CHILDREN INC 235 MONTGOMERY STREET SUITE 1025 SAN FRANCISCO, CA 94104	N/A	P C	FURTHER CHARITABLE PURPOSE	100,000
MAKING WAVES ACADEMY 4123 LAKESIDE DRIVE RICHMOND, CA 94806	N/A	P C	SUPPORT EDUCATION PROGRAMS	50,000
MARCH FOR OUR LIVES FOUNDATION PO BOX 8929 CORAL SPRINGS, FL 33075	N/A	P C	FURTHER CHARITABLE PURPOSE	50,000
NATIONAL IMMIGRATION LAW CENTER PO BOX 34573 WASHINGTON, DC 34573	N/A	P C	FURTHER CHARITABLE PURPOSE	100,000
NATIONAL WOMEN'S LAW CENTER 11 DUPONT CIRCLE NW 800 WASHINGTON, DC 20036	N/A	P C	FURTHER CHARITABLE PURPOSE	25,000
NEW TEACHER CENTER 1205 PACIFIC AVENUE 301 SANTA CRUZ, CA 95060	N/A	P C	FURTHER CHARITABLE PURPOSE	150,000
NEW VENTURE FUND 1201 CONNECTICUT AVENUE NW 300 WASHINGTON, DC 20036	N/A	P C	FURTHER CHARITABLE PURPOSE	585,000

EXTGEN CLIMATE AMERICA INC 1414 K STREET 6TH FLOOR SACRAMENTO,CA 95814	N/A	P C	FURTHER CHARITABLE PURPOSE	50,000
PARENTS FOR PUBLIC SCHOOLS OF SAN FRANCISCO 3543 18TH STREET ROOM 1 SAN FRANCISCO,CA 94110	N/A	P C	FURTHER CHARITABLE PURPOSE	50,000
PARTNERS IN SCHOOL INNOVATION 1060 TENNESSEE STREET FLOOR 2 SAN FRANCISCO,CA 94107	N/A	P C	FURTHER CHARITABLE PURPOSE	100,000
PARTNERSHIP FOR LOS ANGELES SCHOOLS 1055 WILSHIRE BOULEVARD 1850 LOS ANGELES,CA 90017	N/A	P C	FURTHER CHARITABLE PURPOSE	250,000
FAMILY CONNECTIONS CENTER INC 2565 SAN BRUNO AVENUE SAN FRANCISCO,CA 94134	N/A	P C	FURTHER CHARITABLE PURPOSE	25,000
READING PARTNERS 180 GRAND AVENUE 800 OAKLAND,CA 94612	N/A	P C	FURTHER CHARITABLE PURPOSE	50,000
SAFE & SOUND 1757 WALLER STREET SAN FRANCISCO,CA 94117	N/A	P C	FURTHER CHARITABLE PURPOSE	100,000
SAN FRANCISCO MUSEUM OF MODERN ART 151 THIRD STREET SAN FRANCISCO,CA 94103	N/A	P C	FURTHER CHARITABLE PURPOSE	15,000
SCHWAB CHARITABLE 211 MAIN STREET SAN FRANCISCO,CA 94105	N/A	P C	FURTHER CHARITABLE PURPOSE	100,000
SAN FRANCISCO COURT APPOINTED SPECIAL ADVOCATE PROGRAM 2535 MISSION STREET SAN FRANCISCO,CA 94110	N/A	P C	FURTHER CHARITABLE PURPOSE	100,000
SPARK SF PUBLIC SCHOOLS 135 VAN NESS AVENUE SUITE 119 SAN FRANCISCO,CA 94102	N/A	P C	SUPPORT EDUCATION PROGRAMS	150,000
STANFORD UNIVERSITY 485 BROADWAY MAIL CODE 8838 REDWOOD CITY,CA 94063	N/A	P C	SUPPORT EDUCATION PROGRAMS	975,000
TEACHORG 2443 FILLMORE STREET 380-9883 SAN FRANCISCO,CA 94115	N/A	P C	FURTHER CHARITABLE PURPOSE	200,000
TEN STRANDS PO BOX 150869 SAN RAFAEL,CA 94915	N/A	P C	FURTHER CHARITABLE PURPOSE	25,000
THE REGENTS OF UCLA 405 HILGARD AVENUE LOS ANGELES,CA 90095	N/A	P C	SUPPORT EDUCATION PROGRAMS	167,390
UCSF BENIOFF CHILDREN'S HOSPITAL FOUNDATION 2201 BROADWAY SUITE 600 OAKLAND,CA 94612	N/A	P C	FURTHER CHARITABLE PURPOSE	210,000
UNBOUNDED LEARNING INC 228 PARK AVE SOUTH 90834 NEW YORK,NY 10003	N/A	P C	FURTHER CHARITABLE PURPOSE	150,000
WHEN WE ALL VOTE 1156 15TH STREET NW SUITE 1000 WASHINGTON,DC 20005	N/A	P C	FURTHER CHARITABLE PURPOSE	50,000
SAN FRANCISCO UNIVERSITY HIGH SCHOOL 3065 JACKSON STREET SAN FRANCISCO,CA 94115	N/A	P C	SUPPORT EDUCATION PROGRAMS	25,000
UNIVERSITY OF CHICAGO 6054 S DREXEL AVENUE CHICAGO,IL 60637	N/A	P C	SUPPORT EDUCATION PROGRAMS	10,000
Total			▶ 3a	7,228,390
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Additional Data

[Return to Form](#)

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2020 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	52,651	39,488		13,163

TY 2020 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Identifier	Return Reference	Explanation
AMENDED RETURN EXPLANATION	FORM 990-PF, PAGE 1, ITEM G:	THE FORM 990-PF WAS ORIGINALLY FILED WHEN THE FINANCIAL AUDIT OF THE ORGANIZATION BY AN INDEPENDENT CERTIFIED PUBLIC ACCOUNTANT WAS IN PROGRESS. THE ORGANIZATION AMENDED THE RETURN TO REFLECT THE CHANGES AS A RESULT OF A COMPLETED FINANCIAL AUDIT. THE ITEMS WHICH HAVE CHANGED INCLUDE:FORM 990-PF, PART I, LINE 6A, COLUMN (A) - A DECREASE FROM \$1,785,511 TO \$1,770,909FORM 990-PF, PART I, LINE 16C, COLUMN (A) - AN INCREASE FROM \$533,917 TO \$534,566FORM 990-PF, PART I, LINE 16C, COLUMN (B) - AN INCREASE FROM \$406,971 TO \$407,620FORM 990-PF, PART II, LINE 1, COLUMNS (B) AND (C) - AN INCREASE FROM \$309,869 TO \$309,870FORM 990-PF, PART II, LINE 10B, COLUMNS (B) AND (C) - A DECREASE FROM \$126,741,257 TO \$123,440,139FORM 990-PF, PART II, LINE 13, COLUMNS (B) AND (C) - A DECREASE FROM \$7,503,908 TO \$7,386,086FORM 990-PF, PART II, LINE 15, COLUMNS (B) AND (C) - AN INCREASE FROM \$0 TO \$1,818FORM 990-PF, PART II, LINE 24, COLUMN (B) - A DECREASE FROM \$175,305,757 TO \$171,888,636FORM 990-PF, PART III, LINE 3 - AN DECREASE FROM \$39,332,983 TO \$38,588,524FORM 990-PF, PART III, LINE 5 - AN INCREASE FROM \$0 TO \$2,748,101FORM 990-PF, PART VI, LINE 1 - A DECREASE FROM \$66,464 TO \$66,455FORM 990-PF, PART VI, LINES 10 AND 11 - AN INCREASE FROM \$48,530 TO \$48,539FORM 990-PF, PART X, LINE 1C - A DECREASE FROM \$14,264,695 TO \$10,847,683FORM 990-PF, PART XIII, LINE 1 - A DECREASE FROM \$8,211,927 TO \$8,043,648FORM 990-PF, PART XIII, LINE 6F - A DECREASE FROM \$7,975,573 TO \$7,807,294

TY 2020 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Name of Bond	End of Year Book Value	End of Year Fair Market Value
DODGE & COX INCOME - 700,616.085 SHARES	10,264,026	10,264,026
DOUBLELINE TOTAL RETURN BOND I - 799,411.262 SHARES	8,553,701	8,553,701
PIMCO INCOME INSTL - 735,874.899 SHARES	8,911,445	8,911,445
VANGUARD TOATL BOND MARK ET INDEX ADM - 747,097.371 SHARES	8,681,271	8,681,271

TY 2020 IRS 990 e-File Render**Name:** ROBERT AND RUTH HALPERIN FOUNDATION**EIN:** 94-3334424

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PICARRO - 2,931,257 SHARES	3,465,003	3,465,003
INTEL CORP - 802,884 SHARES	39,999,681	39,999,681
ISHARES GOLD ETF - 230,000 SHARES	4,169,900	4,169,900
ISHARES MSCI EAFE ETF - 57,507 SHARES	4,195,711	4,195,711
ISHARES MSCI EMERGING MARKETS ETF - 45,200 SHARES	2,335,484	2,335,484
ISHARES RUSSELL 1000 ETF - 111,200 SHARES	23,556,608	23,556,608
ISHARES RUSSELL 2000 ETF - 19,314 SHARES	3,786,703	3,786,703
SPDR GOLD SHARES ETF - 9,849 SHARES	1,756,668	1,756,668
SPDR S&P 500 ETF - 1,150 SHARES	429,962	429,962
VANGUARD FTSE DEVELOPED MARKETS ETF - 89,150 SHARES	4,208,772	4,208,772
VANGUARD FTSE EMERGING MARKETS ETF - 59,057 SHARES	2,959,346	2,959,346
VANGUARD HEALTH CARE ETF - 4,565 SHARES	1,021,327	1,021,327
VANGUARD HIGH DIVIDEND YIELD ETF - 38015 SHARES	3,478,753	3,478,753
DFA EMERGING MARKETS CORE EQUITY I - 45,481.8370 SHARES	1,103,844	1,103,844
DFA INTERNATIONAL CORE EQUITY I - 443,446.063 SHARES	6,461,009	6,461,009
DFA US CORE EQUITY 1 I - 201,939.521 SHARES	5,993,565	5,993,565
DFA US CORE EQUITY 2 I - 538,294.551 SHARES	14,517,803	14,517,803

TY 2020 IRS 990 e-File Render**Name:** ROBERT AND RUTH HALPERIN FOUNDATION**EIN:** 94-3334424

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
GS MEZZANINE PARTNERS 2006 OFFSHORE LP	FMV	5,224	5,224
BAIN CAPITAL FUND VII LP	FMV	1,289,800	1,289,800
BAIN CAPITAL FUND VIII LP	FMV	12,987	12,987
GOLDEN GATE CAPITAL INVESTMENT FUND II LP	FMV	133,150	133,150
GOLDEN GATE CAPITAL INVESTMENTS II-A LP	FMV	12,768	12,768
GOLDEN GATE CAPITAL INVESTMENTS II (BVI) LP	FMV	19,705	19,705
GOLDEN GATE CAPITAL INVESTMENTS II-A ADJUNCT (BVI) LP	FMV	6,459	6,459
GOLDEN GATE CAPITAL OPPORTUNITY FUND LP	FMV	3,092,724	3,092,724
GREYLOCK XI, LIMITED PARTNERSHIP	FMV	46,017	46,017
NEW ENTERPRISE ASSOCIATES 10	FMV	2,103,597	2,103,597
NORTHGATE PRIVATE EQUITY PARTNERS LP	FMV	20,476	20,476
NORTHGATE VENTURE PARTNERS II LP	FMV	579,209	579,209
UNIVERSITY TECHNOLOGY VENTURES LP	FMV	63,970	63,970

TY 2020 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDEND RECEIVABLE		1,818	1,818

TY 2020 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	2,748,101

TY 2020 IRS 990 e-File Render**Name:** ROBERT AND RUTH HALPERIN FOUNDATION**EIN:** 94-3334424

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MISCELLANEOUS ALLOCATED EXPENSES (STAFF, OCCUPANCY)	203,970	0		203,970
STORAGE	900	0		900
BANK CHARGES	105	105		0
OFFICE SUPPLIES	353	0		353
CALIFORNIA FORM 199 FILING FEES	10	0		10
CALIFORNIA RRF-1 FILING FEES	150	0		150
OTHER LOSS/EXPENSE ITEMS FROM PASSTHROUGHS	76,293	74,943		0
ACCRUAL TO CASH ADJUSTMENT	0	0		15,309

TY 2020 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME FROM PASSTHROUGHS	47,370		47,370

TY 2020 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Description	Amount
PRIOR PERIOD ADJUSTMENT	38,588,524

TY 2020 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADVISORY FEES - BROKER	407,620	407,620		0
PROGRAM CONSULTING	126,946	0		126,946

TY 2020 IRS 990 e-File Render

Name: ROBERT AND RUTH HALPERIN FOUNDATION

EIN: 94-3334424

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD	33,989	33,989		0
FOREIGN TAXES PAID FROM PASSTHROUGHS	544	544		0