

For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation Y & H Soda Foundation		A Employer identification number 94-1611668	
Number and street (or P.O. box number if mail is not delivered to street address) 1635 School Street		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Moraga, CA 94556		B Telephone number (see instructions) (925) 631-1133	
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 109,556,231		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	2,877,555	2,877,555		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-5,676,182			
	b Gross sales price for all assets on line 6a 72,754,974				
	7 Capital gain net income (from Part IV, line 2) . . .		2,759,382		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	238,510			
	12 Total. Add lines 1 through 11	-2,560,117	5,636,937		
	13 Compensation of officers, directors, trustees, etc.	236,300			236,300
	14 Other employee salaries and wages	850,761	143,868		706,893
	15 Pension plans, employee benefits	247,247	38,948		208,298
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	32,480			32,480
	c Other professional fees (attach schedule)	1,123,922	1,074,545		49,147
	17 Interest				
	18 Taxes (attach schedule) (see instructions) . . .	1,616			1,616
	19 Depreciation (attach schedule) and depletion . . .	125,836			
	20 Occupancy	28,074			28,114
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,276,624	1,170,594		105,931
	24 Total operating and administrative expenses. Add lines 13 through 23	3,922,860	2,427,955		1,368,779
	25 Contributions, gifts, grants paid	4,586,008			3,984,000
	26 Total expenses and disbursements. Add lines 24 and 25	8,508,868	2,427,955		5,352,779
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-11,068,985			
	b Net investment income (if negative, enter -0-)		3,208,982		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	804,265	1,151,591	1,151,591
	2 Savings and temporary cash investments	15,480	98	98
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	15,924	39,895	39,895
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	116,976,796	106,260,618	106,260,618
	14 Land, buildings, and equipment: basis ▶ <u>3,272,261</u> Less: accumulated depreciation (attach schedule) ▶ <u>1,799,482</u>	1,595,336	1,472,779	1,472,779
15 Other assets (describe ▶ _____)	1,250,000	631,250	631,250	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	120,657,801	109,556,231	109,556,231	
Liabilities	17 Accounts payable and accrued expenses	84,820	55,725	
	18 Grants payable	172,992	150,000	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	398,677	159,808	
	23 Total liabilities (add lines 17 through 22)	656,489	365,533	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ☒ and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	120,001,312		
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ☐ and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	120,001,312	109,190,698	
30 Total liabilities and net assets/fund balances (see instructions) .	120,657,801	109,556,231		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	120,001,312
2 Enter amount from Part I, line 27a	2	-11,068,985
3 Other increases not included in line 2 (itemize) ▶ _____	3	258,371
4 Add lines 1, 2, and 3	4	109,190,698
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	109,190,698

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a Sales of Publicly Traded Securities	P	2000-01-01	2020-12-31
b AQR Gibraltar	P	2000-01-01	2020-12-31
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 64,285,489		69,961,671	-5,676,182
b 8,469,485		33,921	8,435,564
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-5,676,182
b			8,435,564
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,759,382
	3	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 { }		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved				
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved	
2 Reserved			2	
3 Reserved.			3	
4 Reserved			4	
5 Reserved			5	
6 Reserved			6	
7 Reserved			7	
8 Reserved , ...			8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved.	1	44,605
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	44,605
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	44,605
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	30,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	30,000
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached.	8	320
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed .	9	14,925
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. (2) On foundation managers.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.yhsodafoundation.org</u>	13	Yes	
14	The books are in care of ► <u>Kappy Dye</u> Telephone no. ► <u>(925) 631-1133</u>			

Located at ► 1635 School Street Moraga CAZIP+4 ► 94556

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	No
	Organizations relying on a current notice regarding disaster assistance check here. ► ☐		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b
	Organizations relying on a current notice regarding disaster assistance check here.	☐	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	☐ Yes ☐ No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Robert H Uyeki	CEO	178,010	32,556	
1635 School Street Moraga, CA 94556	40.00			
Kappy L Dye	CFO	236,640	52,004	
1635 School Street Moraga, CA 94556	40.00			
Luis Arteaga	Sr Prog/CEO	223,100	43,936	
1635 School Street Moraga, CA 94556	40.00			
Mary Doyle	Sr Prog Officer	135,200	36,891	
1635 School Street Moraga, CA 94556	40.00			
Deborah L Floyd	Accountant	89,808	29,216	
1635 School Street Moraga, CA 94556	40.00			
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
PIMCO 650 Newport Center Drive Newport Beach, CA 92660	Investment Manager	298,750
Silver Rock Financial 430 Park Avenue Suite 1702 New York, NY 10022	Investment Manager	295,677
Meketa Investment Group Inc 100 Lowder Brook Drive Suite 1100 Westwood, MA 02090	Investment Consult.	110,833
Schroder Investment Management 7 Bryant Park New York, NY 10018	Investment Manager	79,763
AQR Capital Management LLC Two Greenwich Plaza 3rd Floor Greenwich, CT 06830	Investment Manager	96,289
Total number of others receiving over \$50,000 for professional services. ►		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	105,211,017
b	Average of monthly cash balances.	1b	518,173
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	105,729,190
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	105,729,190
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,585,938
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	104,143,252
6	Minimum investment return. Enter 5% of line 5.	6	5,207,163

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	5,207,163
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	44,605
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	44,605
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	5,162,558
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	5,162,558
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	5,162,558

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	5,352,779
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	3,276
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,356,055
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	5,356,055

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				5,162,558
2 Undistributed income, if any, as of the end of the 2020:				
a Enter amount for 2019 only.				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2020:				
a From 2015. 27,551				
b From 2016.				
c From 2017. 45,518				
d From 2018. 41,586				
e From 2019.				
f Total of lines 3a through e.	114,655			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ 5,356,055				
a Applied to 2019, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				5,162,558
e Remaining amount distributed out of corpus	193,497			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	308,152			
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	27,551			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a.	280,601			
10 Analysis of line 9:				
a Excess from 2016.				
b Excess from 2017. 45,518				
c Excess from 2018. 41,586				
d Excess from 2019.				
e Excess from 2020. 193,497				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1	Information Regarding Foundation Managers:
a	List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
b	List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
2	Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
	Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions
a	The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: Y H Soda Foundation 1635 School Street Moraga, CA 94556 (925) 631-1133
b	The form in which applications should be submitted and information and materials they should include: See Statement 24
c	Any submission deadlines: See Statement 24
d	Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: See Statement 24

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Grant Statement See Grant Statement See Grant Statement, CA 94556	N/A	PC	Charitable	3,984,000
Total			▶ 3a	3,984,000
b Approved for future payment See Additional Data Table				
Total			▶ 3b	150,000

Enter gross amounts unless otherwise indicated.

	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(See instructions.)
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	2,877,555	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-5,676,182	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a Adj Provision Excise Tax _____					232,260
b PRI Interest _____					6,250
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). . .				-2,798,627	238,510
13 Total. Add line 12, columns (b), (d), and (e).			13		-2,560,117

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:				
(1) Cash.		1a(1)		No
(2) Other assets.		1a(2)		No
b Other transactions:				
(1) Sales of assets to a noncharitable exempt organization.		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)		No
(3) Rental of facilities, equipment, or other assets.		1b(3)		No
(4) Reimbursement arrangements.		1b(4)		No
(5) Loans or loan guarantees.		1b(5)		No
(6) Performance of services or membership or fundraising solicitations.		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	***** Signature of officer or trustee	2021-10-22 Date	***** Title

May the IRS discuss this return with the preparer shown below
 (see instr.) ☐ Yes ☒ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN P01257136
	Carol Duffield				
	Firm's name ▶ Fontanello Duffield & Otake LLP				Firm's EIN ▶ 37-1420474
	Firm's address ▶ 44 Montgomery Street Suite 1305 San Francisco, CA 94104				Phone no. (415) 983-0200

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Alfred Dossa 1635 School Street Moraga, CA 94556	Treasurer 5.00	40,000		
Judith A Murphy 1635 School Street Moraga, CA 94556				
James Dye 1635 School Street Moraga, CA 94556	Vice Chairman 5.00	39,600		
William McLeod 1635 School Street Moraga, CA 94556				
Rosemary Soda 1635 School Street Moraga, CA 94556	Chairman 5.00	40,000		
Diana Bermudez 1635 School Street Moraga, CA 94556				

TY 2020 Accounting Fees Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 20011551**Software Version:** 2020v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Auditing	26,370	0	0	26,370
Tax Preparation	6,110	0	0	6,110

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 Depreciation Schedule

Name: Y & H Soda Foundation

EIN: 94-1611668

Software ID: 20011551

Software Version: 2020v4.0

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
Building	2005-11-21	1,415,577	890,635	SL	20.0000	70,779			
Building Renovation	2006-11-30	996,254	626,812	SL	20.0000	49,813			
Building Crawl Space	2013-12-16	1,618	486	SL	20.0000	81			
Building Crawl Space	2014-02-01	8,296	3,433	SL	20.0000	415			
HVAC Work	2014-09-01	7,443	1,983	SL	20.0000	372			
Bathroom Vanity Lights	2015-08-01	11,046	9,940	SL	5.0000	1,106			
Conf Rm AV Equipmt	2018-11-12	16,348	3,270	SL	5.0000	3,270			

TY 2020 General Explanation Attachment

Name: Y & H Soda Foundation

EIN: 94-1611668

Software ID: 20011551

Software Version: 2020v4.0

General Explanation Attachment

Identifier	Return Reference	Explanation	
1		General Explanation Supplemental Information for Form 990-PF	Statement 24Form 990-PFPart XV, Line 2(a)-(d)How do you apply? Step 1: Determine your eligibilityThe Y&H Soda Foundation supports organizations whose work qualifies as charitable, according to the IRS definition. This includes organizations with a 501(c)3 status, public agencies and projects sponsored by public charities. Additionally, the work must serve the residents of Alameda and Contra Costa Counties. We do not make grants to individuals. Step 2: Determine your projects fit with our goalsReview our strategic priorities and funding strategies within those areas; key elements of a successful application and a list of previous grants to determine whether your efforts align with our strategies and achieve our desired outcomes. Step 3: Contact the FoundationPlease send us an email (program-assistant@yhsodafoundation.org) with the name of your organization, your contact information and identify which of our strategic priorities and funding strategies that you feel is aligned with your programming. We try to respond to inquiries within 10 business days. If you do not have a response from us after 2 weeks, please contact us by phone at (925) 631-1133. If we determine that your project aligns with our strategies, then we will invite you to submit an application for funding. Step 4: Submit a Grant Application (by invitation only)If selected, program staff will invite you to submit a grant application. Grant applications are submitted online through our password protected grantee portal. Please note that program staff perform detailed due diligence which may include but is not limited to program and financial assessment, a site visit, and follow-up meetings with key staff. The due diligence process can take 2-3 months to conduct before bringing a funding recommendation to our Board of Directors. Foundation staff will keep in touch with you during this process to keep you informed of the status of your request. Step 5: Grant application review and funding determinationProgram staff will notify you if your grant request will be presented to the Board of Directors and when to expect a decision. The Board meets monthly to make final funding decisions. Key Elements of Successful Grant ApplicationsIn reviewing applications from prospective grantees, the Y&H Soda Foundation looks for a broad array of key elements. These are typical characteristics that encompass most projects, though the Foundation recognizes that each project may not have every element present due to the nature of its scope of work. East Bay ImpactCentral to the mission of the Y&H Soda Foundation is a commitment to supporting the underserved in Alameda and Contra Costa Counties. Projects and programs must significantly impact the underserved in the East Bay. Clear Outcomes and AccountabilityThe Foundation expects to find the following elements in proposed projects: - Strategies incorporate and reflect learning from related projects and the research base. - Existence of accurate baseline data on the defined problem. - A statement of the anticipated impact and outcomes of the program and detail on how progress will be measured. - Demonstration of how East Bay residents will benefit as a result of the work. Organizational PracticesOrganizational processes and procedures are transparent, accountable and effective. Organizations working with the richly diverse population of the East Bay value and understand different cultures, languages and needs. A well-articulated work plan and budget that fits the projects stage of development. Committed PartnersAlleviating poverty cannot be done in isolation. We look for opportunities to value and build upon a wide range of partnerships addressing the work. Evidence of collaboration and cooperation. In these instances, projects draw on the expertise, resources and capabilities of each of the partners. Financial commitment from the partners is particularly compelling.

TY 2020 Investments - Other Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 20011551**Software Version:** 2020v4.0**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PanAgora	FMV	28,909,384	28,909,384
PIMCO Bravo Fund II, LP	FMV	4,505,703	4,505,703
Silver Creek	FMV	5,859,118	5,859,118
Schroders ARP	FMV	10,502,348	10,502,348
PIMCO Short Asset Investment Inst	FMV	4,784,723	4,784,723
Tortoise MLP & Pipeline Fund	FMV	1,644,393	1,644,393
Welton Paragon	FMV	1,322,620	1,322,620
PIMCO Private Income Fund	FMV	17,097,167	17,097,167
Graham	FMV	7,349,787	7,349,787
Aspect SGM	FMV	10,656,392	10,656,392
Aspect Trend	FMV	5,234,881	5,234,881
Silver Rock TAF	FMV	8,394,102	8,394,102

**TY 2020 Land, Etc.
Schedule****Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 20011551**Software Version:** 2020v4.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Furniture and Fixtures	99,192	99,192		
Machinery and Equipment	49,039	35,953	13,086	13,086
Buildings	2,429,189	1,644,807	784,382	784,382
Land	675,311		675,311	675,311
Miscellaneous	19,530	19,530		

TY 2020 Other Assets Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 20011551**Software Version:** 2020v4.0**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Interest & Dividends Receivable		6,250	6,250
Prog Related Investment ICA	1,250,000	625,000	625,000

TY 2020 Other Expenses Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 20011551**Software Version:** 2020v4.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Board Education	340			340
Board Meeting Exp	2,856			2,856
Community Networking	1,815			1,815
Dues and Memberships	35,340			35,340
Education	3,499	1,989		1,510
Equipment	707			707
Hardware	6,210			6,210
Insurance	15,833			15,833
Licenses and Filing Fees	12,925			12,925
Maintenance - Computer	12,994			12,994

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Payroll Fees	5,724			5,720
Portfolio Expenses	1,168,605	1,168,605		
Supplies	5,082			4,987
Telephone & Internet	4,502			4,502
Website Maintenance	192			192

TY 2020 Other Income Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 20011551**Software Version:** 2020v4.0**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Adj Provision Excise Tax	232,260		
PRI Interest	6,250		

TY 2020 Other Liabilities Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 20011551**Software Version:** 2020v4.0

Description	Beginning of Year - Book Value	End of Year - Book Value
Deferred Excise Tax Liability	398,677	159,808

TY 2020 Other Professional Fees Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 20011551**Software Version:** 2020v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Consulting/Investment	110,833	110,833	0	0
Investment Management Fees	963,712	963,712	0	0
Other Consultants	49,377	0	0	49,147

TY 2020 Taxes Schedule**Name:** Y & H Soda Foundation**EIN:** 94-1611668**Software ID:** 20011551**Software Version:** 2020v4.0**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Property Taxes	1,616			1,616

Statement 24
Form 990-PF
Part XV, Line 2(a)-(d)

How do you apply?

Step 1: Determine your eligibility

The Y&H Soda Foundation supports organizations whose work qualifies as charitable, according to the IRS definition. This includes organizations with a 501(c)3 status, public agencies and projects sponsored by public charities. Additionally, the work must serve the residents of Alameda and Contra Costa Counties. We do not make grants to individuals.

Step 2: Determine your project's fit with our goals

Review our strategic priorities and funding strategies within those areas; key elements of a successful application and a list of previous grants to determine whether your efforts align with our strategies and achieve our desired outcomes.

Step 3: Contact the Foundation

Please send us an email (program-assistant@yhsodafoundation.org) with the name of your organization, your contact information and identify which of our strategic priorities and funding strategies that you feel is aligned with your programming. We try to respond to inquiries within 10 business days. If you do not have a response from us after 2 weeks, please contact us by phone at (925) 631-1133.

If we determine that your project aligns with our strategies, then we will invite you to submit an application for funding.

Step 4: Submit a Grant Application (by invitation only)

If selected, program staff will invite you to submit a grant application. Grant applications are submitted online through our password protected grantee portal.

Please note that program staff perform detailed due diligence which may include but is not limited to program and financial assessment, a site visit, and follow-up meetings with key staff. The due diligence process can take 2-3 months to conduct before bringing a funding recommendation to our Board of Directors. Foundation staff will keep in touch with you during this process to keep you informed of the status of your request.

Step 5: Grant application review and funding determination

Program staff will notify you if your grant request will be presented to the Board of Directors and when to expect a decision. The Board meets monthly to make final funding decisions.

Key Elements of Successful Grant Applications

In reviewing applications from prospective grantees, the Y&H Soda Foundation looks for a broad array of key elements. These are typical characteristics that encompass most projects, though the Foundation recognizes that each project may not have every element present due to the nature of its scope of work.

East Bay Impact

Central to the mission of the Y&H Soda Foundation is a commitment to supporting the under-served in Alameda and Contra Costa Counties. Projects and programs must significantly impact the under-served in the East Bay.

Clear Outcomes and Accountability

The Foundation expects to find the following elements in proposed projects:

- Strategies incorporate and reflect learning from related projects and the

research base.

- Existence of accurate baseline data on the defined problem.
- A statement of the anticipated impact and outcomes of the program and detail on how progress will be measured.
- Demonstration of how East Bay residents will benefit as a result of the work.

Organizational Practices

- Organizational processes and procedures are transparent, accountable and effective.
- Organizations working with the richly diverse population of the East Bay value and understand different cultures, languages and needs.
- A well-articulated work plan and budget that fits the project's stage of development.

Committed Partners

Alleviating poverty cannot be done in isolation. We look for opportunities to value and build upon a wide range of partnerships addressing the work.

- Evidence of collaboration and cooperation. In these instances, projects draw on the expertise, resources and capabilities of each of the partners.
- Financial commitment from the partners is particularly compelling.

Organization name as registered with the IRS	Address	Status	Description	Amount
ACCE Institute	2501 International Blvd. Suite D Oakland, CA 94601	PC	East Bay Organizing	\$120,000.00
ACCE Institute	2501 International Blvd. Suite D Oakland, CA 94601	PC	COVID-19 Response	\$20,000.00
Asian American-Pacific Islanders in Philanthropy	300 Frank H. Ogawa Plaza, Suite 256 Oakland, CA 94612	PC	Bay Area Leaders Circle Meetings	\$1,000.00
At The Crossroads	167 Jessie Street San Francisco, CA 94105	PC	Homeless Outreach	\$3,000.00
Bay Area Rescue Mission	2114 Macdonald Avenue Richmond CA 94801	PC	General Operating Support	\$1,000.00
Berkeley Community Scholars	P.O. Box 680 Berkeley, CA 94701	PC	Emergency Fund	\$5,000.00
Bread Project	1615 University Avenue Berkeley, CA 94703	PC	General Operating Support	\$50,000.00
California Community Builders Inc.	1918 University Ave., Suite 3C Berkeley, CA 94610	PC	Housing Policy Curriculum Development	\$5,000.00
California Reinvestment Coalition	474 Valencia Street, Suite 230 San Francisco, CA 94103	PC	General Operating Support	\$75,000.00
Catholic Charities of the Diocese of Oakland, Inc.	433 Jefferson Street Oakland, CA 94607	PC	Immigration Legal Services Program	\$70,000.00
Catholic Legal Immigration Network Inc.	8757 Georgia Avenue, Suite 850 Silver Spring, MD 20910	PC	East Bay Capacity Building and Technical Assistance	\$50,000.00
Centro Community Partners	825 Washington Street, Suite 228 Oakland, CA 94607	PC	General Operating Support	\$40,000.00
Centro Legal de la Raza Inc.	3400 East 12th Street Oakland, CA 94601	PC	General Operating Support	\$60,000.00
Christ the King Church	199 Brandon Rd. Pleasant Hill, CA 94523	PC	Dress a Child Around the World	\$500.00
City of Fremont	PO Box 5006 Fremont, CA 94537-5006	GOV	COVID-19 Response	\$25,000.00
City of Fremont	PO Box 5006 Fremont, CA 94537-5006	GOV	Fremont Family Resource Center	\$80,000.00
City of Pittsburg	65 Civic Avenue Pittsburg, CA 94565	GOV	FutureBuild Program	\$40,000.00
City of Richmond	450 Civic Center Plaza, Suite 300 Richmond, CA 94804	GOV	RichmondBuild Program	\$50,000.00
Civicorps	101 Myrtle Street Oakland, CA 94607	PC	General Operating Support	\$50,000.00
Contra Costa Association of Realtors Scholarship Foundation	P.O. Box 3626 San Ramon, CA 94583	PC	Scholarship Fund	\$5,000.00
Contra Costa Regional Health Foundation	50 Douglas Drive, Suite 310-A Martinez, CA 94553	PC	Contra Costa Office of Racial Equity Community Planning Process	\$25,000.00
De La Salle Cristo Rey High School of East Bay Inc	1530 34th Avenue Oakland, CA 94601	PC	General Operating Support	\$50,000.00
De La Salle Cristo Rey High School of East Bay Inc	1530 34th Avenue Oakland, CA 94601	PC	General Operating Support	\$4,000.00
Destiny Arts Center	970 Grace Avenue Oakland, CA 94608	PC	General Operating Support	\$1,000.00
Diocese of Oakland	2121 Harrison Street, Suite 100 Oakland, CA 94612	PC	Lumen Christi Academy General Operating Support	\$22,500.00
Diversity in Health Training Institute	1900 Embarcadero Cove, #305 Oakland, CA 94606	PC	General Operating Support	\$50,000.00
Dominican Sisters of Mission San Jose	43326 Mission Blvd. Fremont, CA 94539	PC	Vision of Hope in Honor of Sr. Johnnie	\$1,000.00
Dominican Sisters of Mission San Jose	43326 Mission Blvd. Fremont, CA 94539	PC	Vision of Hope in Honor of Sr. Johnnie	\$3,000.00
Downey Symphonic Society Inc.	PO Box 763 Downey, CA 90241	PC	Music in the Schools Program	\$5,000.00
East Bay Alliance for A Sustainable Economy	360 14th Street, 4th Floor Oakland, CA 94612	PC	Contra Costa Immigrant Rights Alliance	\$40,000.00
East Bay Alliance for A Sustainable Economy	360 14th Street, 4th Floor Oakland, CA 94612	PC	COVID-19 Response	\$20,000.00
East Bay Alliance for A Sustainable Economy	360 14th Street, 4th Floor Oakland, CA 94612	PC	Oakland United Coalition	\$5,000.00
East Bay Alliance for A Sustainable Economy	360 14th Street, 4th Floor Oakland, CA 94612	PC	General Operating Support	\$100,000.00
East Bay Asian Local Development Corporation	1825 San Pablo Avenue, Suite 200 Oakland, CA 94612-1517	PC	General Operating Support	\$25,000.00
East Bay Community Foundation	De Domenico Building 200 Frank H. Ogawa Plaza Oakland, CA 94612	PC	Census Evaluation Project	\$5,500.00

Grant Statement**Form 990-PF, Part XV, Line 3a****Grants and Contributions Paid During the Year**

Organization name as registered with the IRS	Address	Status	Description	Amount
East Bay Community Foundation	De Domenico Building 200 Frank H. Ogawa Plaza Oakland, CA 94612	PC	ASCEND - Black-Led Organizations Initiative	\$50,000.00
East Bay Community Foundation	De Domenico Building 200 Frank H. Ogawa Plaza Oakland, CA 94612	PC	East Contra Costa STRONG Nonprofits Initiative	\$50,000.00
East Bay Community Law Center	1950 University Avenue, Suite 200 Berkeley, CA 94704	PC	General Operating Support	\$50,000.00
East Bay Housing Organizations	538 Ninth Street, Suite 200 Oakland, CA 94607	PC	General Operating Support	\$80,000.00
East Bay Sanctuary Covenant	PO Box 4670 Berkeley, CA 94704	PC	General Operating Support	\$1,000.00
East Bay Sanctuary Covenant	PO Box 4670 Berkeley, CA 94704	PC	General Operating Support	\$50,000.00
English Speaking Union of the United States	144 East 39th Street New York, NY 10016	PC	Bay Area Youth Scholarships	\$1,000.00
Faith in Action East Bay	5100 Foothill Boulevard Oakland, CA 94601	PC	General Operating Support	\$65,000.00
Faith in Action Network	999 No. Capital Street NE, #200 Washington DC 20002	PC	Masks for the People Campaign	\$10,000.00
Faith in Action Network	999 No. Capital Street NE, #200 Washington DC 20002	PC	Masks for the People Campaign	\$2,500.00
Faith Network of the East Bay Inc.	2633 Telegraph Avenue, #412 Oakland, CA 94612	PC	General Operating Support	\$2,000.00
First 5 Contra Costa Children and Families Commission	1485 Civic Court, Suite 1200 Concord, CA 94520	GOV	Parent Organizing	\$60,000.00
First 5 Contra Costa Children and Families Commission	1485 Civic Court, Suite 1200 Concord, CA 94520	GOV	Family Relief	\$5,000.00
First Place for Youth	426 17th Street, Suite 100 Oakland, CA 94612	PC	General Operating Support	\$50,000.00
Florenciendo	1721 Broadway, Suite 201 Oakland, CA 94612	PC	General Operating Support	\$5,000.00
Food Bank Contra Costa and Solano	4010 Nelson Avenue Concord, CA 94520	PC	General Operating Support	\$2,000.00
Food Bank Contra Costa and Solano	4010 Nelson Avenue Concord, CA 94520	PC	General Operating Support	\$3,000.00
Freedom for Immigrants	1322 Webster St., Suite 300 Oakland, CA 94612	PC	East Bay Accompaniment, Outreach and Advocacy	\$65,000.00
Freedom for Immigrants	1322 Webster St., Suite 300 Oakland, CA 94612	PC	East Bay Accompaniment, Outreach and Advocacy	\$5,000.00
Grantmakers Concerned With Immigrants and Refugees	PO Box 2178 Petaluma, CA 94953	PC	California Immigrant Resilience Fund for Recipients in Alameda and Contra Costa Counties	\$5,000.00
Grantmakers Concerned With Immigrants and Refugees	PO Box 2178 Petaluma, CA 94953	PC	California Immigrant Resilience Fund for Recipients in Alameda and Contra Costa Counties	\$25,000.00
Grantmakers Concerned With Immigrants and Refugees	PO Box 2178 Petaluma, CA 94953	PC	General Operating Support	\$20,000.00
Gritty City Repertory Youth Theatre	1540 Broadway Oakland, CA 94612	PC	General Operating Support	\$3,000.00
Hanna Boys Center	17000 Arnold Drive Sonoma, CA 94576	PC	Support Services for Boys from Alameda and Contra Costa Counties	\$1,000.00
Holy Names High School	4660 Harbord Drive Oakland, CA 94618	PC	General Operating Support	\$5,000.00
Holy Names High School	4660 Harbord Drive Oakland, CA 94618	PC	General Operating Support	\$3,000.00
Holy Names High School	4660 Harbord Drive Oakland, CA 94618	PC	General Operating Support	\$1,000.00
Holy Names University	3500 Mountain Boulevard Oakland, CA 94619	PC	Y. Charles & Helen C. Soda Endowed Scholarship	\$1,000.00
Housing and Economic Rights Advocates	PO Box 29435 Oakland, CA 94604	PC	General Operating Support	\$75,000.00
Immigrant Legal Defense	1322 Webster Street, Suite 300 Oakland, CA 94612	PC	General Operating Support	\$50,000.00
Immigrant Legal Resource Center	1458 Howard Street San Francisco, CA 94103	PC	Ready Bay Area	\$20,000.00
Immigration Institute of the Bay Area	1111 Market Street, 4th Floor San Francisco, CA 94105	PC	East Bay Immigration Legal Services	\$150,000.00
Immigration Institute of the Bay Area	1111 Market Street, 4th Floor San Francisco, CA 94105	PC	Covid-19 Response	\$20,000.00
Immigration Institute of the Bay Area	1111 Market Street, 4th Floor San Francisco, CA 94105	PC	DEI Executive Coaching	\$5,000.00
Impact Justice	2633 Telegraph Avenue, Ste 104 Oakland, CA 94612	PC	Youth Research Project	\$10,000.00
Inner City Advisors	1714 Franklin St. #100-174 Oakland, CA 94612	PC	General Operating Support	\$125,000.00

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Grants and Contributions Paid During the Year

Organization name as registered with the IRS	Address	Status	Description	Amount
Inner City Advisors	1715 Franklin St. #100-174 Oakland, CA 94612	PC	PRI Repayment	(\$625,000.00)
Insight Center for Community Economic Development	360 14th St. #500a Oakland, CA 94612	PC	Family Needs Calculator Update	\$10,000.00
Interfaith Council of Contra Costa County	404 Gregory Lane, Rm 1 Pleasant Hill, CA 94523	PC	Winter Nights Shelter Program	\$3,000.00
Interfaith Movement for Human Integrity	310 8th St. #310 Oakland, CA 94607	PC	General Operating Support	\$70,000.00
International Rescue Committee Inc.	440 Grand Ave, Suite 500 Oakland, CA 94610	PC	East Bay Employment and Asset Building Programs	\$50,000.00
Jewish Family and Community Services East Bay	2484 Shattuck Avenue, Suite 210 Berkeley, CA 94704	PC	Executive Director Search	\$5,000.00
Jewish Family and Community Services East Bay	2484 Shattuck Avenue, Suite 210 Berkeley, CA 94704	PC	East Bay Immigration Legal Services and Covid-19 Response	\$90,000.00
Jewish Family and Community Services East Bay	2484 Shattuck Avenue, Suite 210 Berkeley, CA 94704	PC	Removal Defense Legal Services	\$100,000.00
Jewish Vocational & Career Counseling Service	225 Bush Street, Suite 400 San Francisco, CA 94104	PC	General Operating Support	\$50,000.00
La Cocina Inc.	2948 Folsom Street San Francisco, CA 94110	PC	General Operating Support	\$5,000.00
La Cocina Inc.	2948 Folsom Street San Francisco, CA 94110	PC	General Operating Support	\$40,000.00
Lasallian Christian Brothers Foundation, Inc.	4401 Redwood Road Napa, CA 94558	PC	General Operating Support	\$10,000.00
Legal Aid at Work	180 Montgomery Street, Ste. 600 San Francisco, CA 94104	PC	East Bay Legal Services	\$50,000.00
Mandela Partners	1344 7th Street Oakland, CA 94607	PC	COVID-19 Response	\$20,000.00
Mandela Partners	1344 7th Street Oakland, CA 94607	PC	General Operating Support	\$60,000.00
Maryknoll Fathers and Brothers	PO Box 302 Maryknoll, NY 10545	PC	General Operating Support	\$1,500.00
Mercy Retirement and Care Center	3431 Foothill Boulevard Oakland, CA 94601	PC	Brown Bag Program	\$500.00
Mercy Retirement and Care Center	3431 Foothill Boulevard Oakland, CA 94601	PC	Brown Bag Program	\$2,000.00
Mexican American Legal Defense and Educational Fund	634 S. Spring St., 11th Floor Los Angeles, CA 90014	PC	California Immigrant Youth Justice Alliance	\$35,000.00
Monument Crisis Center	PO Box 23973 Pleasant Hill, CA 94523	PC	General Operating Support	\$1,000.00
Monument Impact	1760 Clayton Rd. Concord, CA 94520	PC	COVID-19 Response	\$20,000.00
Monument Impact	1760 Clayton Rd. Concord, CA 94520	PC	General Operating Support	\$80,000.00
Movement Strategy Center	436 14th St., 5th Floor Oakland, CA 94612	PC	Brown Boi Project Executive Transition Planning	\$9,000.00
Mujeres Unidas y Activas	3543 18th Street Box 23 San Francisco, CA 94110	PC	East Bay Operations	\$60,000.00
Mujeres Unidas y Activas	3543 18th Street Box 23 San Francisco, CA 94110	PC	COVID-19 Response	\$20,000.00
Multicultural Institute	1920 Seventh Street Berkeley, CA 94710	PC	COVID-19 Response	\$25,000.00
Multicultural Institute	1920 Seventh Street Berkeley, CA 94710	PC	General Operating Support	\$1,000.00
Multicultural Institute	1920 Seventh Street Berkeley, CA 94710	PC	East Bay Operations	\$40,000.00
Multiplier	405 14th Street, Suite 164 Oakland, CA 94612	PC	Project Equity - East Bay Conversions	\$25,000.00
Music at Kohl Mansion Inc.	2750 Adeline Drive Burlingame, CA 94010	PC	School Education Programs	\$3,000.00
Oakland Communities United for Equity and Justice	4799 Shattuck Avenue Oakland, CA 94609	PC	Administrative support	\$10,000.00
Oakland Community Organization	5001 Foothill Blvd. Oakland, CA 94601	PC	Covid-19 Response	\$20,000.00
Oakland Community Organization	5001 Foothill Blvd. Oakland, CA 94601	PC	Funder Briefing Materials	\$7,500.00
Oasis Legal Services	1900 Addison Berkeley, CA 94704	PC	COVID-19 Response	\$10,000.00
One Fair Wage Inc	7510 Hillmont Drive Oakland, CA 94605	PC	Community Kitchens Program	\$10,000.00
Onejustice	433 California St., Ste 815 San Francisco, CA 94104	PC	Phase VI of the Immigration Pro Bono Response Network	\$30,000.00

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Opportunity Fund Community Development	111 West St. John Street, Suite 800 San Jose, CA 95113	PC	East Bay Small Business Loan Program	\$100,000.00
Opportunity Junction	3103 Delta Fair Boulevard Antioch, CA 94509	PC	General Operating Support	\$80,000.00
Order of Malta Clinic of Northern California	2121 Harrison Street, #120 Oakland, CA 94612	PC	General Operating Support	\$1,500.00
Oregon Shakespeare Festival Association	PO Box 158 Ashland, OR 97520	PC	Angus Bowmer Society Scholarships for East Bay Students	\$5,000.00
Passenger Vessel Foundation Inc.	W 5845 Carla Court Stoddard, WI 54658	PC	Scholarship Support	\$2,000.00
Peninsula Symphony of Northern California Association	146 Main Street, Suite 102 Los Altos, CA 94022	PC	Educational Outreach to the Schools	\$1,000.00
Prospera Community Development	1232 Fruitvale Avenue Oakland, CA 94601	PC	COVID-19 Response	\$15,000.00
Prospera Community Development	1232 Fruitvale Avenue Oakland, CA 94601	PC	General Operating Support	\$50,000.00
Renaissance Entrepreneurship Center	275 Fifth Street San Francisco, CA 94103	PC	East Bay Programs	\$75,000.00
Richmond Community Foundation	1014 Florida Avenue, Suite 200 Richmond, CA 94804	PC	Bay Point SparkPoint Program	\$25,000.00
Richmond Community Foundation	1014 Florida Avenue, Suite 200 Richmond, CA 94804	PC	Contra Costa Budget Justice Coalition	\$10,000.00
Richmond Community Foundation	1014 Florida Avenue, Suite 200 Richmond, CA 94804	PC	Ensuring Opportunity Campaign	\$50,000.00
Rising Sun Center for Opportunity	1116 36th Street Oakland, CA 94608	PC	General Operating Support	\$40,000.00
Rubicon Programs Inc.	2500 Bissell Avenue Richmond, CA 94804	PC	Executive Transition Support	\$10,000.00
Rubicon Programs Inc.	2500 Bissell Avenue Richmond, CA 94804	PC	General Operating Support	\$85,000.00
Saint Mary's College High School	1294 Albina Avenue Berkeley, CA 94706	PC	General Operating Support	\$2,500.00
Saint Mary's College of California	PO Box 4200 Moraga, CA 94579	PC	Class of '59 Endowed Scholarship Fund	\$2,000.00
Saint Mary's College of California	PO Box 4200 Moraga, CA 94579	PC	Summer Choir Tour	\$3,000.00
Saint Mary's College of California	PO Box 4200 Moraga, CA 94579	PC	John F. Henning Institute	\$2,000.00
Saint Mary's College of California	PO Box 4200 Moraga, CA 94579	PC	San Miguel High School	\$3,000.00
Saint Mary's College of California	PO Box 4200 Moraga, CA 94579	PC	Library Collections Campaign	\$7,000.00
Saint Mary's College of California	PO Box 4200 Moraga, CA 94579	PC	Y. Charles & Helen C. Soda Endowed Scholarship	\$5,000.00
Saint Mary's College of California	PO Box 4200 Moraga, CA 94579	PC	Y. Charles & Helen C. Soda Endowed Scholarship	\$5,000.00
Saint Mary's College of California	PO Box 4200 Moraga, CA 94579	PC	Library Collections Campaign	\$3,000.00
San Francisco Foundation	One Embarcadero Center, Suite 1400 San Francisco, CA 94111	PC	ReWork the Bay Funder Collaborative	\$100,000.00
Shelter Inc.	P.O. Box 5368 Concord, CA 94524	PC	Employment Services Program	\$25,000.00
Society of St. Vincent de Paul Contra Costa	2210 Gladstone Drive Pittsburg, CA 94565	PC	General Operating Support	\$40,000.00
Society of St. Vincent De Paul Particular Council of San Mateo	50 North B Street San Mateo, CA 94401-3917	PC	Catherine's Center	\$5,000.00
Spanish-Speaking Unity Council of Alameda County Inc.	1900 Fruitvale Avenue, Suite 2-A Oakland, CA 94601	PC	COVID-19 Response	\$25,000.00
St. Mary's Center	925 Brockhurst Oakland, CA 94608	PC	A Friendly Manor	\$1,000.00
St. Mary's Center	925 Brockhurst Oakland, CA 94608	PC	A Friendly Manor	\$1,000.00
St. Marys Medical Center Foundation	450 Stanyan Street San Francisco, CA 94117-1079	PC	Charity Care	\$10,000.00
Sustainable Economies Law Center	1428 Franklin Street Oakland, CA 94612	PC	General Operating Support	\$25,000.00
Swords to Plowshares Veterans Rights Organization	401 Van Ness Avenue, Suite 313 San Francisco, CA 94102	PC	East Bay Employment & Training Program	\$10,000.00
Swords to Plowshares Veterans Rights Organization	401 Van Ness Avenue, Suite 313 San Francisco, CA 94102	PC	East Bay Employment & Training Program	\$20,000.00
Tenants Together	474 Valencia Street, #156 San Francisco, CA 94103	PC	East Bay Tenant Organizing	\$60,000.00
Tenants Together	474 Valencia Street, #156 San Francisco, CA 94103	PC	Covid-19 Response	\$20,000.00

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The Oakland Public Education Fund	2351 Poplar Street Oakland, CA 94607	PC	Tech Exchange Computers and Internet Service Resources	\$5,000.00
Tides Center	PO Box 29907 San Francisco, CA 94129-0907	PC	Building Blocks for Kids Collaborative	\$65,000.00
Tides Center	PO Box 29907 San Francisco, CA 94129-0907	PC	Lift Up Contra Costa	\$30,000.00
Tides Center	PO Box 29907 San Francisco, CA 94129-0907	PC	Budget Equity Research	\$10,000.00
Tides Center	PO Box 29907 San Francisco, CA 94129-0907	PC	LeaderSpring Center	\$50,000.00
Tides Center	PO Box 29907 San Francisco, CA 94129-0907	PC	Rise Together Leadership for Equity and Opportunity Training	\$10,000.00
Tradeswoman Incorporated	337 17th Street, Suite 207 Oakland, CA 94612	PC	General Operating Support	\$50,000.00
United Methodist Foundation of the Virginia Conference Inc.	10330 Staples Mill Road Glen Allen, VA 23060	PC	The Edgar H. and Betty M. Dye Memorial Scholarship Endowment Fund	\$10,000.00
United Way of the Bay Area	550 Kearny, Suite 1000 San Francisco, CA 94108	PC	East Bay Earn it! Keep it! Save it! Program	\$25,000.00
Utility Reform Network	785 Market St., Suite 1400 San Francisco, CA 94103	PC	No Power - No Home Project	\$5,000.00
Vietnamese American Community Center of the East Bay	655 International Blvd. Oakland, CA 94606	PC	Support Services	\$5,000.00
Vietnamese American Community Center of the East Bay	655 International Blvd. Oakland, CA 94606	PC	General Operating Support	\$50,000.00
Village Community Resource Center	633 Village Drive Brentwood, CA 94513	PC	Covid-19 Response	\$15,000.00
Village Community Resource Center	633 Village Drive Brentwood, CA 94513	PC	General Operating Support	\$60,000.00
Wardrobe for Opportunity	570 14th Street, Suite 5 Oakland, CA 94612	PC	General Operating Support	\$50,000.00
West Oakland Job Resource Center	1801 Adeline Street, 2nd Floor Oakland, CA 94607	PC	General Operating Support	\$50,000.00
Winter Nights Family Shelter	404 Gregory Lane, #7 Pleasant Hill, CA 94523	PC	General Operating Support	\$3,500.00
Youth Radio	1701 Broadway Oakland, CA 94612	PC	General Operating Support	\$35,000.00
				\$3,984,000.00