

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation BRETT FAMILY FOUNDATION		A Employer identification number 84-1525821	
Number and street (or P.O. box number if mail is not delivered to street address) 1123 SPRUCE STREET	Room/suite	B Telephone number (see instructions) (303) 442-1200	
City or town, state or province, country, and ZIP or foreign postal code BOULDER, CO 803024001		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>10,054,065</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	193,058	193,058		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	515,835			
	b Gross sales price for all assets on line 6a	3,602,311			
	7 Capital gain net income (from Part IV, line 2)		515,835		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	708,893	708,893			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0	0		0
	14 Other employee salaries and wages	44,000	4,400		39,600
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	6,775	678		6,097
	c Other professional fees (attach schedule)	90,023	90,023		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	5,012	5,012		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,108	62		552
	22 Printing and publications	161	16		145
	23 Other expenses (attach schedule)	2,988	1,634		1,354
	24 Total operating and administrative expenses. Add lines 13 through 23	150,067	101,825		47,748
	25 Contributions, gifts, grants paid	504,000			504,000
26 Total expenses and disbursements. Add lines 24 and 25	654,067	101,825		551,748	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	54,826				
b Net investment income (if negative, enter -0-)		607,068			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	29,305	4,586	4,586
	2 Savings and temporary cash investments	260,613	224,243	224,243
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	6,968,243	7,499,314	7,499,314
	c Investments—corporate bonds (attach schedule)	2,205,910	2,325,922	2,325,922
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ _____ 7,805 Less: accumulated depreciation (attach schedule) ▶ _____ 7,805			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	9,464,071	10,054,065	10,054,065	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	442	273	
	23 Total liabilities (add lines 17 through 22)	442	273	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	9,463,629	10,053,792	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	9,463,629	10,053,792		
30 Total liabilities and net assets/fund balances (see instructions) .	9,464,071	10,054,065		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	9,463,629
2 Enter amount from Part I, line 27a	2	54,826
3 Other increases not included in line 2 (itemize) ▶ _____	3	535,337
4 Add lines 1, 2, and 3	4	10,053,792
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	10,053,792

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a JPMORGAN CHASE BANK, N.A. - SEE ATTACHED	P	2019-01-01	2020-06-30
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,602,311		3,086,476	515,835
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			515,835
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	2	515,835
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8				3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved			2
3 Reserved			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and credits/payments. Total tax due is 6,503.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, expenditures, and asset requirements. Includes a dropdown menu for state reporting (CO).

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.BRETTFOUNDATION.ORG
14 The books are in care of THE FOUNDATION Telephone no. (303) 442-1200

Located at 1123 SPRUCE STREET BOULDER CO ZIP+4 803024001

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. 15

16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	8,769,888
b	Average of monthly cash balances.	1b	263,985
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	9,033,873
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	9,033,873
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	135,508
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,898,365
6	Minimum investment return. Enter 5% of line 5.	6	444,918

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	444,918
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	8,438
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	8,438
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	436,480
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	436,480
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	436,480

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	551,748
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	551,748
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	551,748

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1	Distributable amount for 2020 from Part XI, line 7				436,480
2	Undistributed income, if any, as of the end of 2020:				
a	Enter amount for 2019 only.			0	
b	Total for prior years: 20___, 20___, 20___		0		
3	Excess distributions carryover, if any, to 2020:				
a	From 2015.	190,156			
b	From 2016.	82,943			
c	From 2017.	44,095			
d	From 2018.	60,202			
e	From 2019.	143,560			
f	Total of lines 3a through e.	520,956			
4	Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>551,748</u>				
a	Applied to 2019, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions).		0		
c	Treated as distributions out of corpus (Election required—see instructions).	0			
d	Applied to 2020 distributable amount.				436,480
e	Remaining amount distributed out of corpus	115,268			
5	Excess distributions carryover applied to 2020. <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>	0			0
6	Enter the net total of each column as indicated below:				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	636,224			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d	Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e	Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f	Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8	Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	190,156			
9	Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	446,068			
10	Analysis of line 9:				
a	Excess from 2016.	82,943			
b	Excess from 2017.	44,095			
c	Excess from 2018.	60,202			
d	Excess from 2019.	143,560			
e	Excess from 2020.	115,268			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling.
b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.
b 85% of line 2a
c Qualifying distributions from Part XII, line 4 for each year listed.
d Amounts included in line 2c not used directly for active conduct of exempt activities
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c
3 Complete 3a, b, or c for the alternative test relied upon:
a "Assets" alternative test—enter:
(1) Value of all assets
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.
c "Support" alternative test—enter:
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).
(3) Largest amount of support from an exempt organization
(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) See Additional Data Table
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
THE BRETT FAMILY FOUNDATION E-MAIL
1123 SPRUCE STREET
BOULDER, CO 80302
(303) 442-1200
b The form in which applications should be submitted and information and materials they should include:
THE FOUNDATION HAS NO PRESCRIBED APPLICATION FORM. FIRST-TIME GRANT APPLICANTS SHOULD INITIALLY CONTACT THE FOUNDATION BY LETTER OF INQUIRY AT LEAST TWO MONTHS PRIOR TO THE TARGETED APPLICATION DEADLINE. PLEASE INCLUDE THE FOLLOWING INFORMATION IN THE LETTER OF INQUIRY: 1.) BRIEF DESCRIPTION OF YOUR ORGANIZATION; 2.) PURPOSE OF THE PROGRAM OR PROJECT FOR WHICH YOU ARE SEEKING FUNDING AND THE AMOUNT BEING REQUESTED; 3.) OUTCOMES ANTICIPATED AND PLANS FOR ASSESSING ACHIEVEMENTS; 4.) CURRENT ORGANIZATIONAL OPERATING BUDGET, PLUS A PROJECT BUDGET IF APPLICABLE; AND 5.) COPY OF CURRENT 501(C)(3) DETERMINATION LETTER FROM THE IRS. THE BRETT FAMILY FOUNDATION WILL SEND A LETTER TO REQUEST A FULL PROPOSAL OR DECLINE YOUR LETTER OF INQUIRY. THE FOUNDATION ENCOURAGES THE USE OF THE COLORADO COMMON GRANT APPLICATION FORMAT FOR FULL PROPOSALS. PLEASE SEE OUR WEBSITE FOR ADDITIONAL INFORMATION (WWW.BRETTFOUNDATION.ORG).
c Any submission deadlines:
GRANT CYCLE DEADLINES ARE ACCORDING TO PROGRAM AREA. PLEASE SEE WEBSITE (WWW.BRETTFOUNDATION.ORG).
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
THE BRETT FAMILY FOUNDATION SUPPORTS CARING COMMUNITIES BY INVESTING IN ORGANIZATIONS THROUGHOUT COLORADO WORKING FOR SOCIAL JUSTICE, AND BOULDER COUNTY NONPROFITS ADDRESSING THE NEEDS OF UNDERSERVED COMMUNITIES, PRIMARILY DISADVANTAGED YOUTH AND THEIR FAMILIES. THE FOUNDATION HAS TWO PRIMARY FUNDING PRIORITIES: 1.) SOCIAL JUSTICE (STATEWIDE): ORGANIZATIONS THROUGHOUT THE STATE OF COLORADO WORKING TO ADDRESS THE ROOT CAUSES OF SOCIAL, ECONOMIC, GENDER AND RACIAL INEQUITIES THROUGH STRATEGIES THAT EMPOWER AFFECTED COMMUNITIES TO ENGAGE IN THE DEMOCRATIC PROCESS AND ADVANCE PROGRESSIVE POLICY SOLUTIONS. 2.) DIRECT SERVICES (BOULDER COUNTY): BOULDER COUNTY NONPROFITS THAT PROVIDE DIRECT SERVICES AND SUPPORT FOR UNDERSERVED POPULATIONS WITH AN EMPHASIS ON PROGRAMS FOR DISADVANTAGED AND HIGH-RISK YOUTH AND THEIR FAMILIES. THE BRETT FAMILY FOUNDATION FUNDS GROUPS THAT ARE TAX-EXEMPT UNDER SECTION 501 (C)(3) OF THE INTERNAL REVENUE CODE.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				504,000
b <i>Approved for future payment</i>				
Total ▶ 3b				0

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

LINDA J SHOEMAKER

STEPHEN M BRETT

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
9 TO 5 NATIONAL ASSOCIATION OF WORKING WOMEN 207 E BUFFALO STREET SUITE 211 MILWAUKEE, WI 53202	N/A	PUBLIC CHARITY	OPERATING SUPPORT	3,000
ASPEN JOURNALISM 1280 UTE AVENUE SUITE 9 ASPEN, CO 81611	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000
BOULDER VALLEY WOMEN'S HEALTH CENTER INC 2855 VALMONT ROAD BOULDER, CO 80301	N/A	PUBLIC CHARITY	OPERATING SUPPORT	4,000
Total	▶ 3a			504,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHALKBEAT INC 1239 BROADWAY SUITE 703B NEW YORK, NY 10001	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000
COLORADO BLACK WOMEN ENDOWMENT FUND INC PO BOX 370913 DENVER, CO 80237	N/A	PUBLIC CHARITY	OPERATING SUPPORT	2,000
COLORADO CIVIC ENGAGEMENT ROUNDTABLE 1120 LINCOLN STREET SUITE 900 DENVER, CO 80203	N/A	PUBLIC CHARITY	OPERATING SUPPORT	10,000
Total	▶ 3a			504,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLORADO DEMOCRACY NETWORK 1624 MARKET STREET SUITE 475 DENVER, CO 80202	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000
COLORADO FISCAL INSTITUTE 1905 SHERMAN STREET SUITE 225 DENVER, CO 80203	N/A	PUBLIC CHARITY	OPERATING SUPPORT	7,500
COLORADO IMMIGRANT RIGHTS COALITION 2525 W ALAMEDA AVENUE DENVER, CO 80219	N/A	PUBLIC CHARITY	OPERATING SUPPORT	7,500
Total ▶ 3a				504,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLORADO INDEPENDENT 8273 E 29TH PLACE DENVER, CO 80238	N/A	PUBLIC CHARITY	OPERATING SUPPORT	10,000
COLORADO ORGANIZATION FOR LATINA OPPORTUNITY AND REPRODUCTIVE RIGHT PO BOX 40991 DENVER, CO 80204	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000
COLORADO PEOPLE'S ALLIANCE 700 KALAMATH STREET DENVER, CO 80204	N/A	PUBLIC CHARITY	OPERATING SUPPORT	8,500
Total	▶ 3a			504,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLORADO SYMPHONY ASSOCIATION 1000 24TH STREET UNIT 15 DENVER, CO 80202	N/A	PUBLIC CHARITY	OPERATING SUPPORT	7,500
COMMUNITY RESOURCE CENTER INC 789 SHERMAN STREET SUITE 210 DENVER, CO 80203	N/A	PUBLIC CHARITY	OPERATING SUPPORT; PROGRAM SUPPORT - PARTICIPATION PROJECT	10,000
CONSERVATION COLORADO EDUCATION FUND 1536 WYNKOOP STREET SUITE 510 DENVER, CO 80202	N/A	PUBLIC CHARITY	OPERATING SUPPORT	7,500
Total ▶ 3a				504,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EMERGENCY FAMILY ASSISTANCE ASSOCIATION 1575 YARMOUTH AVENUE BOULDER, CO 80304	N/A	PUBLIC CHARITY	PROGRAM SUPPORT - GOLTEN FUND	3,000
FIRST LIGHT FOUNDATION 1755 SNOWMASS CREEK ROAD SNOWMASS, CO 81654	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000
FLORENCE CRITTENTON SERVICES OF COLORADO 96 SOUTH ZUNI STREET DENVER, CO 80223	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000
Total	▶ 3a			504,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FOCUS REENTRY4705 BASELINE ROAD BOULDER, CO 80303	N/A	PUBLIC CHARITY	OPERATING SUPPORT	10,000
FUTURO MEDIA GROUP 361 WEST 125TH STREET 6TH FLOOR NEW YORK, NY 10027	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000
GREAT EDUCATION COLORADO FUND 1355 S COLORADO BOULEVARD C-500 DENVER, CO 80222	N/A	PUBLIC CHARITY	OPERATING SUPPORT	7,500
Total ▶ 3a				504,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
HOPEPO BOX 756 LONGMONT, CO 80502	N/A	PUBLIC CHARITY	OPERATING SUPPORT	4,000
HIGH COUNTRY NEWS 119 GRAND AVENUE PAONIA, CO 81428	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000
LATINO COMMUNITY FOUNDATION OF COLORADO INC 2250 S ONEIDA STREET SUITE 102 DENVER, CO 80224	N/A	PUBLIC CHARITY	PROGRAM SUPPORT - CIFC	5,000
Total ▶ 3a				504,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LYONS EMERGENCY ASSISTANCE FUND PO BOX 324 LYONS, CO 80540	N/A	PUBLIC CHARITY	OPERATING SUPPORT	4,000
METRO CARING1100 E 18TH AVENUE DENVER, CO 80218	N/A	PUBLIC CHARITY	OPERATING SUPPORT	5,000
MI FAMILIA VOTA EDUCATION FUND 1140 E WASHINGTON STREET C-206 PHOENIX, AZ 85034	N/A	PUBLIC CHARITY	OPERATING SUPPORT	3,000
Total ▶ 3a				504,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MOTHER JONESPO BOX 8540 BIG SANDY, TX 75755	N/A	PUBLIC CHARITY	PROGRAM SUPPORT - THE MOTHER JONES INVESTIGATIVE FUND	2,500
NARAL PRO-CHOICE COLORADO FOUNDATION PO BOX 22485 DENVER, CO 80222	N/A	PUBLIC CHARITY	OPERATING SUPPORT	7,500
NEW ERA COLORADO FOUNDATION 1648 SPRUCE STREET BOULDER, CO 80302	N/A	PUBLIC CHARITY	OPERATING SUPPORT	8,500
Total ▶ 3a				504,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OUTREACH UNITED RESOURCE CENTER INC 220 COLLYER STREET LONGMONT, CO 80501	N/A	PUBLIC CHARITY	OPERATING SUPPORT	3,000
PLANNED PARENTHOOD OF THE ROCKY MOUNTAINS INC 7155 E 38TH AVENUE DENVER, CO 80207	N/A	PUBLIC CHARITY	OPERATING SUPPORT	4,000
PROGRESSNOW COLORADO EDUCATION 1536 WYNKOOP STREET SUITE 300 DENVER, CO 80202	N/A	PUBLIC CHARITY	OPERATING SUPPORT	15,000
Total ▶ 3a				504,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PUBLIC BROADCASTING OF COLORADO INC 7409 SOUTH ALTON COURT CENTENNIAL, CO 80112	N/A	PUBLIC CHARITY	OPERATING SUPPORT	10,000
THE BELL POLICY CENTER 1905 SHERMAN STREET SUITE 900 DENVER, CO 80203	N/A	PUBLIC CHARITY	OPERATING SUPPORT	58,000
THE COMMUNITY FOUNDATION SERVING BOULDER COUNTY 1123 SPRUCE STREET BOULDER, CO 80302	N/A	PUBLIC CHARITY	PROGRAM SUPPORT - BRETT-SHOEMAKER FUND	160,000
Total ▶ 3a				504,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE WOMEN'S FOUNDATION OF COLORADO 1901 EAST ASBURY AVENUE DENVER, CO 80205	N/A	PUBLIC CHARITY	OPERATING SUPPORT	1,000
THIRD WAY CENTERPO BOX 61385 DENVER, CO 80206	N/A	PUBLIC CHARITY	OPERATING SUPPORT	3,000
TOGETHER COLORADO 1980 DAHLIA STREET DENVER, CO 80220	N/A	PUBLIC CHARITY	OPERATING SUPPORT	10,000
Total ▶ 3a				504,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED FOR A NEW ECONOMY 7190 COLORADO BOULEVARD SUITE 400 COMMERCE CITY, CO 80022	N/A	PUBLIC CHARITY	PROGRAM SUPPORT - TRANSFORMATIVE LEADERSHIP FOR CHANGE	5,000
UNIVERSITY OF COLORADO FOUNDATION 10901 WEST 120TH AVENUE SUITE 200 BROOMFIELD, CO 80021	N/A	PUBLIC CHARITY	OPERATING SUPPORT; PROGRAM SUPPORT - SCHOOL OF PUBLIC AFFAIRS FUND AT CU-DENVER, PROGRAM IN ENVIRONMENTAL DESIGN, GROWING UP BOULDER - CEDAR FUND, CONFERENCE ON WORLD AFFAIRS, GENERATIONS! CLINIC FUND	57,500
Total ▶ 3a				504,000

TY 2020 Accounting Fees Schedule**Name:** BRETT FAMILY FOUNDATION**EIN:** 84-1525821

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	6,775	678		6,097

TY 2020 Investments Corporate Bonds Schedule**Name:** BRETT FAMILY FOUNDATION**EIN:** 84-1525821**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
JPMORGAN CHASE BANK, N.A. - SEE ATTACHED	2,325,922	2,325,922

TY 2020 Investments Corporate Stock Schedule**Name:** BRETT FAMILY FOUNDATION**EIN:** 84-1525821**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JPMORGAN CHASE BANK, N.A. - SEE ATTACHED	7,499,314	7,499,314

TY 2020 Other Expenses Schedule**Name:** BRETT FAMILY FOUNDATION**EIN:** 84-1525821**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DUES AND SUBSCRIPTIONS	242	24		218
OFFICE EXPENSE	539	54		485
POSTAGE AND SHIPPING	124	12		112
PARKING	9	1		8
INTERNET AND WEBSITE	300	30		270
INVESTMENT EXPENSES	1,483	1,483		0
FEES AND LICENSES	85	9		76
BANK SERVICE CHARGES	206	21		185

TY 2020 Other Increases Schedule**Name:** BRETT FAMILY FOUNDATION**EIN:** 84-1525821**Other Increases Schedule**

Description	Amount
UNREALIZED GAIN ON SECURITIES	535,337

TY 2020 Other Liabilities Schedule**Name:** BRETT FAMILY FOUNDATION**EIN:** 84-1525821

Description	Beginning of Year - Book Value	End of Year - Book Value
CREDIT CARD PAYABLE	442	273

TY 2020 Other Professional Fees Schedule**Name:** BRETT FAMILY FOUNDATION**EIN:** 84-1525821

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	90,023	90,023		0

TY 2020 Taxes Schedule**Name:** BRETT FAMILY FOUNDATION**EIN:** 84-1525821**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	5,012	5,012		0