

**Return of Private Foundation**

**or Section 4947(a)(1) Trust Treated as Private Foundation**

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**Open to Public Inspection**

**For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020**


Name of foundation The Swaha Foundation		<b>A Employer identification number</b> 83-3505984
Number and street (or P.O. box number if mail is not delivered to street address) PO Box 2214	Room/suite	<b>B Telephone number (see instructions)</b> (303) 596-4283
City or town, state or province, country, and ZIP or foreign postal code Boulder, CO 80306		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here..... <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>19,251,108</u>	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	
		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>

	<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions.)</i>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	257,583	257,583		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	27,197			
	<b>b</b> Gross sales price for all assets on line 6a	1,469,288			
	<b>7</b> Capital gain net income (from Part IV, line 2)		27,197		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	413,108	415,053			
<b>12 Total.</b> Add lines 1 through 11	697,888	699,833			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.	48,000			48,000
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)				
	<b>c</b> Other professional fees (attach schedule)	179,826	179,826		
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	9,549	4,090		
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	8,302	480		6,900
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	245,677	184,396		54,900
<b>25</b> Contributions, gifts, grants paid	542,700			542,700	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	788,377	184,396		597,600	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b>	-90,489				
<b>b Net investment income</b> (if negative, enter -0-)		515,437			
<b>c Adjusted net income</b> (if negative, enter -0-)					

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	3,101,503	3,306	3,306
	<b>2</b> Savings and temporary cash investments . . . . .		70,235	70,235
	<b>3</b> Accounts receivable ▶ <u>3,120</u>			
	Less: allowance for doubtful accounts ▶ _____		3,120	3,120
	<b>4</b> Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)		580,234	580,234
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .			
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .		957,787	957,787
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
<b>12</b> Investments—mortgage loans . . . . .				
<b>13</b> Investments—other (attach schedule) . . . . .		11,496,979	17,536,426	17,536,426
<b>14</b> Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
<b>15</b> Other assets (describe ▶ _____)		100,000		100,000
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)		14,598,482	19,251,108	19,251,108
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue. . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)		48,000	
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		48,000	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .	14,598,482		
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .			
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds . . . . .			
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	14,598,482	19,203,108		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	14,598,482	19,251,108		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	14,598,482
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-90,489
<b>3</b> Other increases not included in line 2 (itemize) ▶ 	<b>3</b>	4,695,115
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	19,203,108
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	<b>6</b>	19,203,108

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1 a</b> Sales of Publicly Traded Securities	P	2000-01-01	2020-12-31
<b>b</b> Capital Gains Distributions	P	2000-01-01	2020-12-31
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 1,435,854		1,442,091	-6,237
<b>b</b> 33,434			33,434
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			-6,237
<b>b</b>			33,434
<b>c</b>			
<b>d</b>			
<b>e</b>			

Capital gain net income or (net capital loss) <span style="font-size: 2em;">}</span> <span style="font-size: 0.8em;">If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</span>	<b>2</b>	27,197
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 <span style="font-size: 2em;">}</span>	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

<b>1</b> Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
<b>2</b> Reserved				
<b>3</b> Reserved.				
<b>4</b> Reserved				
<b>5</b> Reserved				
<b>6</b> Reserved				
<b>7</b> Reserved				
<b>8</b> Reserved				

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 main rows and sub-rows (a-e) for credits and payments. Includes amounts like 7,165 and 2,800. Row 11 includes 'Credited to 2021 estimated tax' and 'Refunded'.

Part VII-A Statements Regarding Activities

Table with 10 main rows (1a-10) and sub-rows (a, b) for detailed activity questions. Includes 'Yes' and 'No' columns for responses.

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .	<b>12</b>		<b>No</b>
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	<b>13</b>	<b>Yes</b>	
<b>14</b> The books are in care of ▶ <u>Julie Goldstein</u> Telephone no. ▶ <u>(303) 596-4283</u> Located at ▶ <u>PO Box 2214 Boulder CO</u> ZIP+4 ▶ <u>803062214</u>			
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>15</b> <u>                    </u>			
<b>16</b> At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		<b>Yes</b>	<b>No</b>
<b>1a</b> During the year did the foundation (either directly or indirectly):			
<b>(1)</b> Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>(2)</b> Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>(3)</b> Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
<b>(4)</b> Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
<b>(5)</b> Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>(6)</b> Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	<b>1b</b>		<b>No</b>
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? . . . . . <input type="checkbox"/>	<b>1c</b>		<b>No</b>
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
<b>a</b> At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ <u>20___, 20___, 20___, 20___</u>			
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>		
<b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ <u>20___, 20___, 20___, 20___</u>			
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b> If "Yes," did it have excess business holdings in 2020 as a result of <b>(1)</b> any purchase by the foundation or disqualified persons after May 26, 1969; <b>(2)</b> the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or <b>(3)</b> the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) . . . . .	<b>3b</b>		
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	<b>4b</b>		<b>No</b>



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . **▶**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> _____ _____	
<b>2</b> _____ _____	
<b>3</b> _____ _____	
<b>4</b> _____ _____	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b> Truss Fund - Provide loans to social enterprises that are employing market-driven solutions to end global poverty and protect the planet.	50,000
<b>2</b> The Town Kitchen - Community-driven food company that employs and trains our youth.	50,000
All other program-related investments. See instructions.	
<b>3</b> _____	
_____	

**Total.** Add lines 1 through 3 . . . . . **▶** 100,000

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	14,787,440
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	641,287
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	1,565,268
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	16,993,995
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	16,993,995
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	254,910
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	16,739,085
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	836,954

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	836,954
<b>2a</b>	Tax on investment income for 2020 from Part VI, line 5. . . . .	<b>2a</b>	7,165
<b>b</b>	Income tax for 2020. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	7,165
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	829,789
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	829,789
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	829,789

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	597,600
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	100,000
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	697,600
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	697,600

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
<b>1</b> Distributable amount for 2020 from Part XI, line 7				829,789
<b>2</b> Undistributed income, if any, as of the end of 2020:				
<b>a</b> Enter amount for 2019 only. . . . .			192,652	
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2020:				
<b>a</b> From 2015. . . . .				
<b>b</b> From 2016. . . . .				
<b>c</b> From 2017. . . . .				
<b>d</b> From 2018. . . . .				
<b>e</b> From 2019. . . . .				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .				
<b>4</b> Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>697,600</u>				
<b>a</b> Applied to 2019, but not more than line 2a			192,652	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2020 distributable amount . . . . .				504,948
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . .				324,841
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> <b>Excess distributions carryover to 2021.</b> Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2016 . . . . .				
<b>b</b> Excess from 2017 . . . . .				
<b>c</b> Excess from 2018. . . . .				
<b>d</b> Excess from 2019 . . . . .				
<b>e</b> Excess from 2020 . . . . .				



**Part XV Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
Partners in Health 800 Boylston Street Ste 300 Boston, MA 02199	N/A	P C	General Support	50,000
Monte Fiore Medical Center 3415 Bainbridge Avenue Bronx, NY 10467	N/A	P C	General Support	30,000
Pathfinders 6550 Camp Bowie Blvd Suite 111 Fort Worth, TX 76116	N/A	P C	General Support	6,000
AJWS 45 West 36th Street New York, NY 10018	N/A	P C	General Support	100,000
Shambhala Mountain Center 151 Shambhala Way Red Feather Lakes, CO 80545	N/A	P C	General Support	2,000
Girl Rising 28 Verandah Place Brooklyn, NY 11201	N/A	P C	General Support	20,000
Conservation Colorado Education Fun 1536 Wynkoop Street Suite 510 Denver, CO 80202	N/A	P C	General Support	25,000
Electric Girls 612 Andrew Higgins Blvd Floor 3 New Orleans, LA 70130	N/A	P C	General Support	50,000
Congregation Nevei Kodesh 1925 Glenwood Dr Boulder, CO 80304	N/A	P C	General Support	5,000
Neshama Center PO Box 8064 Aspen, CO 81612	N/A	P C	General Support	500
Jewish Colorado 300 S Dahlia St Ste 300 Denver, CO 80246	N/A	P C	General Support	3,000
Bridge House 4150 Earhart Boulevard New Orleans, LA 70125	N/A	P C	General Support	5,000
Valley Settlement 1901 Grand Avenue Suite 206 Glenwood Springs, CO 81601	N/A	P C	General Support	5,000
Lewis Clark College 615 S Palatine Hill Road Portland, OR 97219	N/A	P C	General Support	2,500
Aspen Center for Environmental Stud 100 Puppy Smith Street Aspen, CO 81611	N/A	P C	General Support	5,000
Carbondale Arts 76 S 4th St Carbondale, CO 81623	N/A	P C	General Support	1,500
Moving Traditions 8380 Old York Road Suite 4300 Elkins Park, PA 19027	N/A	P C	General Support	5,000
Rose Andom Center 1330 Fox Street Denver, CO 80204	N/A	P C	General Support	3,000
Independence Pass Fund PO Box 1700 Aspen, CO 81612	N/A	P C	General Support	3,500
Walking Mountains Science School 318 Walking Mountains Ln Avon, CO 81620	N/A	P C	General Support	5,000
English in Action PO Box 4856 Basalt, CO 81621	N/A	P C	General Support	3,500
Sounds True Foundation 413 S Arthur Ave Louisville, CO 80027	N/A	P C	General Support	10,000
Protect Our Winters 4676 Broadway Street Boulder, CO 80304	N/A	P C	General Support	8,000
Watershed School 1661 Alpine Avenue Boulder, CO 80304	N/A	P C	General Support	5,000
High Country News PO Box 1090 Paonia, CO 81428	N/A	P C	General Support	5,000
Youth Zone 413 9th Street	N/A	P C	General Support	3,500

Glenwood Springs, C O 81601	N/A	P C	General Support	3,500
Attention Homes 1440 Pine St Suite B Boulder, C O 80304	N/A	P C	General Support	500
RESPONSE 405 Castle Creek Road Suite 203 Aspen, C O 81611	N/A	P C	General Support	1,200
Cambodian Children's 2309 Santa Monica Blvd PMB 833 Santa Monica, C A 90404	N/A	P C	General Support	3,500
Rose Community Foundation 4500 Cherry Creek Drive S Ste 900 Denver, C O 80246	N/A	P C	General Support	100,000
NDN Collective 408 Knollwood Dr Rapid City, SD 57701	N/A	P C	General Support	5,000
AJWS 45 West 36th Street New York, NY 10018	N/A	P C	General Support	1,500
Art Base PO Box 4300 Basalt, C O 81621	N/A	P C	General Support	25,000
Climate Justice Alliance PO Box 10202 Berkeley, C A 94709	N/A	P C	General Support	5,000
Colorado Immigrant Alliance 2525 W Alameda Ave Denver, C O 80219	N/A	P C	General Support	1,000
Colorado Wild Public Lands PO Box 1772 Basalt, C O 81621	N/A	P C	General Support	5,000
Congregation Nevei Kodesh 1925 Glenwood Dr Boulder, C O 80304	N/A	P C	General Support	1,000
Free Flow Foundation PO Box 50153 Jacksonville Beach, FL 32240	N/A	P C	General Support	5,000
Global Fund for Women 800 Market Street 7th Floor San Francisco, C A 94102	N/A	P C	General Support	1,000
Himalayan Childrens Fund PO Box 15644 Beverly Hills, C A 90209	N/A	P C	General Support	15,000
Indigenous Environmental Network PO Box 485 Bemidji, MN 56619	N/A	P C	General Support	2,500
Jewish Family Services PO Box 159004 San Francisco, C A 94115	N/A	P C	General Support	5,000
Michael J Fox Foundation PO Box 4777 New York, NY 10163	N/A	P C	General Support	
<b>Total . . . . .</b>			<b>3a</b>	<b>542,700</b>
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>3b</b>	





## Additional Data

[Return to Form](#)

**Software ID:** 20011551

**Software Version:** 2020v4.0

### Form 990PF - Special Condition Description:

Special Condition Description

**TY 2020 IRS 990 e-File Render****Name:** The Swaha Foundation**EIN:** 83-3505984**Software ID:** 20011551**Software Version:** 2020v4.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Duke Energy Corp 3.35% 5/15/22	46,872	46,872
Asian Dev Bk 1.875% 8/10/22	30,803	30,803
The Bank of No 2.375% 1/18/23	62,341	62,341
Apple Inc 2.85% 2/23/23	62,974	62,974
The European Ba 0.25% 7/10/23	65,037	65,037
Citigroup Inc 5/15/24	61,826	61,826
European Invt Bk 2.5% 10/15/24	64,984	64,984
Bank of America 10/22/25	63,905	63,905
Starbucks Corp 2.45% 6/15/26	48,630	48,630
Midamerican Energy 3.1% 5/1/27	61,605	61,605
Equinix Inc 1.55% 3/15/28	5,035	5,035
Verizon Communications 3.875% 2/28/29	46,943	46,943
Pfizer Inc 2.625% 4/1/30	44,275	44,275
Alphabet Inc 1.1% 8/15/30	34,450	34,450
Kings Loc SD 3.25% 12/1/21	61,583	61,583
Ypsilanti MI SD 2.44% 5/1/22	61,021	61,021
Triboro Brdg/Tu 3.884% 11/15/22	26,186	26,186
Univ Pitt PA 2.871% 9/15/23	21,305	21,305
Commonwealth FA 2.023% 6/1/24	20,837	20,837
Univ CA 3.159% 5/15/25	22,251	22,251
Interntnl Bk 0.375% 7/28/25	44,924	44,924

## TY 2020 IRS 990 e-File Render

**Name:** The Swaha Foundation

**EIN:** 83-3505984

**Software ID:** 20011551

**Software Version:** 2020v4.0

**US Government Securities - End of  
Year Book Value:**

162,869

**US Government Securities - End of  
Year Fair Market Value:**

162,869

**State & Local Government  
Securities - End of Year Book  
Value:**

417,365

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

417,365

**TY 2020 IRS 990 e-File Render**
**Name:** The Swaha Foundation

**EIN:** 83-3505984

**Software ID:** 20011551

**Software Version:** 2020v4.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Allign Impact Fund II, LP	AT COST	90,394	90,394
Align TMIF II Access Fund LP	AT COST	182,142	182,142
Beneficial Return LLC	AT COST	50,000	50,000
Greenbacker Renewable Energy	AT COST	97,267	97,267
International Columbia US LLC	AT COST	267,284	267,284
Low Income Investment Fund	AT COST	200,000	200,000
Rose Affordable Housing V LP	AT COST	99,968	99,968
SJF Ventures Fund V LP	AT COST	24,443	24,443
Sonen Global Sustainable LLC	AT COST	7,343	7,343
UP Fund LP	AT COST	38,015	38,015
Putting America Back to Work Fund	AT COST	12,008	12,008
Odin Capital LP	AT COST	343,285	343,285
Calvert Bond I, 35,690.719 Sh	FMV	611,025	611,025
Calvert Short Duration, 30,079.111 Sh	FMV	497,509	497,509
CRA Qualified Investment, 58,259.231 Sh	FMV	630,947	630,947
PAX High Yield Bd Inst, 37,211.289 Sh	FMV	258,246	258,246
TIAA-CREF Core Impact, 80,946.962 Sh	FMV	877,465	877,465
Invesco Cleantech, 14,830 Sh	FMV	1,114,178	1,114,178
Invesco S&P Global Water, 3,180 Sh	FMV	148,824	148,824
iShares Esg Aware MSCI EAFE, 2,592 Sh	FMV	189,320	189,320
iShares Esg Aware MSCI USA, 7,170 Sh	FMV	616,835	616,835
iShares MSCI Global, 9,567 Sh	FMV	893,127	893,127
Nuveen ESG Emerging Mkt, 4,090 Sh	FMV	136,817	136,817
Nuveen ESG Mid Cap, 11,297 Sh	FMV	339,784	339,784
Nuveen ESG Small Cap, 20,666 Sh	FMV	792,334	792,334
Vanguard ST Bond, 417 Sh	FMV	34,569	34,569
Calvert Emerging Markets, 33,285.964 Sh	FMV	740,946	740,946
Calvert Equity I, 8,326.20 Sh	FMV	652,692	652,692
Calvert International, 32,301.682 Sh	FMV	904,447	904,447
Calvert Mid Cap, 10,169.824 Sh	FMV	471,676	471,676
Calvert Small Cap, 24,244.698 Sh	FMV	782,619	782,619
Calvert US Large Cap Core, 34,158.02 Sh	FMV	1,175,036	1,175,036
Calvert US Large Cap Value, 5,371.558 Sh	FMV	143,528	143,528
DFA Em Mkts, 22,425.265 Sh	FMV	236,138	236,138
DFA Intl Sustainability, 73,315.916 Sh	FMV	887,856	887,856
Hartford Global Impact, 32,075.381 Sh	FMV	492,999	492,999
PAX Ellevate Gbl Womens, 18,374.862 Sh	FMV	560,433	560,433
PAX Global Environment, 40,965.279 Sh	FMV	869,693	869,693
Versus Cap Multi-Man, 8,274.638 Sh	FMV	225,484	225,484
Hannon Armstrong Sus REIT, 11,710 Sh	FMV	742,765	742,765
Iroquois Valley Farmland, 163 Sh	FMV	96,985	96,985

## TY 2020 IRS 990 e-File Render

**Name:** The Swaha Foundation

**EIN:** 83-3505984

**Software ID:** 20011551

**Software Version:** 2020v4.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Dues & Memberships	6,900			6,900
Logo Expenses	900			
Other Investment Expenses	480	480		
Postage & Delivery	22			

## TY 2020 IRS 990 e-File Render

**Name:** The Swaha Foundation

**EIN:** 83-3505984

**Software ID:** 20011551

**Software Version:** 2020v4.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Partnership Income	413,108	415,053	

## TY 2020 IRS 990 e-File Render

**Name:** The Swaha Foundation

**EIN:** 83-3505984

**Software ID:** 20011551

**Software Version:** 2020v4.0

Description	Amount
Psp Adj. fr Prior Year transfer fr Richard C Goldstein PF	2,168,372

## TY 2020 IRS 990 e-File Render

**Name:** The Swaha Foundation

**EIN:** 83-3505984

**Software ID:** 20011551

**Software Version:** 2020v4.0

Description	Beginning of Year - Book Value	End of Year - Book Value
Payroll Payable		48,000

## TY 2020 IRS 990 e-File Render

**Name:** The Swaha Foundation

**EIN:** 83-3505984

**Software ID:** 20011551

**Software Version:** 2020v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Advisor Fees	179,826	179,826	0	0

## TY 2020 IRS 990 e-File Render

**Name:** The Swaha Foundation

**EIN:** 83-3505984

**Software ID:** 20011551

**Software Version:** 2020v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise Tax	5,459			
Foreign Taxes w/h on Dividends	4,090	4,090		