

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation Alumbra Innovations Foundation		A Employer identification number 83-2841232	
Number and street (or P.O. box number if mail is not delivered to street address) PO Box 1860		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Bentonville, AR 72712		B Telephone number (see instructions)	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 39,152,984		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	45,856,408			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,931	1,931		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,304,958			
	b Gross sales price for all assets on line 6a _____	41,084,551			
	7 Capital gain net income (from Part IV, line 2)		37,822,255		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____	0			
Operating and Administrative Expenses	b Less: Cost of goods sold	0			
	c Gross profit or (loss) (attach schedule)	0			
	11 Other income (attach schedule)	-10,022	-10,022	0	
	12 Total. Add lines 1 through 11	47,153,275	37,814,164	0	
	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	34,457	0	0	34,457
	b Accounting fees (attach schedule)	0	0	0	0
	c Other professional fees (attach schedule)	437,424	2,813	0	434,611
	17 Interest	13,098	13,098		
	18 Taxes (attach schedule) (see instructions)	65,000	0	0	0
	19 Depreciation (attach schedule) and depletion	0	0	0	
	20 Occupancy				
	21 Travel, conferences, and meetings	1,530			1,530
	22 Printing and publications				
	23 Other expenses (attach schedule)	25	0	0	25
	24 Total operating and administrative expenses. Add lines 13 through 23	551,534	15,911	0	470,623
	25 Contributions, gifts, grants paid	11,172,679			11,172,679
	26 Total expenses and disbursements. Add lines 24 and 25	11,724,213	15,911	0	11,643,302
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	35,429,062			
	b Net investment income (if negative, enter -0-)		37,798,253		
	c Adjusted net income (if negative, enter -0-)			0	

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		223,274	204,971	204,971
	2	Savings and temporary cash investments		368,782		
	3	Accounts receivable ▶ _____				
		Less: allowance for doubtful accounts ▶ _____		699	0	0
	4	Pledges receivable ▶ _____				
		Less: allowance for doubtful accounts ▶ _____		0	0	0
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		0	0	
	7	Other notes and loans receivable (attach schedule) ▶ _____				
		Less: allowance for doubtful accounts ▶ _____		0	0	0
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)		0	0	0
	b	Investments—corporate stock (attach schedule)		2,923,185	0	0
	c	Investments—corporate bonds (attach schedule)		0		0
	Liabilities	11	Investments—land, buildings, and equipment: basis ▶ _____			
		Less: accumulated depreciation (attach schedule) ▶ _____		0		0
12		Investments—mortgage loans				
13		Investments—other (attach schedule)		0	38,740,031	38,948,013
14		Land, buildings, and equipment: basis ▶ _____				
		Less: accumulated depreciation (attach schedule) ▶ _____		0		0
15		Other assets (describe ▶ _____)		0	0	0
16		Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		3,515,940	38,945,002	39,152,984
17		Accounts payable and accrued expenses				
18		Grants payable				
19	Deferred revenue.					
20	Loans from officers, directors, trustees, and other disqualified persons		0	0		
21	Mortgages and other notes payable (attach schedule)		0	0		
22	Other liabilities (describe ▶ _____)					
23	Total liabilities (add lines 17 through 22).		0	0		
Net Assets or Fund Balances		Foundations that follow FASB ASC 958, check here ▶ ☐				
	24	Net assets without donor restrictions				
	25	Net assets with donor restrictions				
		Foundations that do not follow FASB ASC 958, check here ▶ ☒				
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds		3,515,940	38,945,002	
	29	Total net assets or fund balances (see instructions)		3,515,940	38,945,002	
30	Total liabilities and net assets/fund balances (see instructions) .		3,515,940	38,945,002		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1 3,515,940
2	Enter amount from Part I, line 27a	2 35,429,062
3	Other increases not included in line 2 (itemize) ▶ _____	3 0
4	Add lines 1, 2, and 3	4 38,945,002
5	Decreases not included in line 2 (itemize) ▶ _____	5 0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6 38,945,002

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a 69,000 Shares of Publicly Traded Securities				
b 100,000 Shares of Publicly Traded Securities				
c 334,000 Shares of Publicly Traded Securities				
d NT - TPI Climate				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,090,843		962,525	3,128,318
b 7,132,652		1,394,965	5,737,687
c 29,861,037		904,806	28,956,231
d 19		0	19
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a		0	3,128,318
b		0	5,737,687
c		0	28,956,231
d		0	19
e			

Capital gain net income or (net capital loss)		2	37,822,255
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	0

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved		2	
3 Reserved.		3	
4 Reserved		4	
5 Reserved		5	
6 Reserved		6	
7 Reserved		7	
8 Reserved		8	

Part VII

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.		
	Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved	1	525,396
All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	525,396
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	525,396
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	65,749
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	525,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	590,749
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	65,353
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded	11	0
			65,353

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1a				No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).			No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ _____ (2) On foundation managers. \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	<i>If "Yes," attach the statement required by General Instruction T.</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) DE, AR			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes	

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14	The books are in care of ▶ <u>Kari Harris</u> Telephone no. ▶ <u>(479) 464-1500</u> Located at ▶ <u>PO Box 2030 Bentonville AR 72712</u> ZIP+4 ▶ _____			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign		Yes	

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☒ No If "Yes," list the years ▶ <u>20____, 20____, 20____, 20____</u>			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ <u>20____, 20____, 20____, 20____</u>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.

☒ Yes

☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance check here.

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

☐

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes

☒ No

If "Yes" to 6b, file Form 8870.

☐

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

☐

8

Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

☐ Yes

☒ No

Yes

No

5b

No

6b

No

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Robert A Smith PO BOX 1860 BENTONVILLE, AR 72712	Treasurer 1.000	0	0	0
Christy R Walton PO BOX 1860 BEONTONVILLE, AR 72712	Director 2.000	0	0	0
Ann Laddon PO BOX 1860 BENTONVILLE, AR 72712	Director, President 2.000	0	0	0
Diana Welch PO BOX 1860 BENTONVILLE, AR 72712	Director, Secretary 2.000	0	0	0
Jim Schneringer PO BOX 1860 BENTONVILLE, AR 72712	Assistant Treasurer 0.500	0	0	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

Form 990-PF (2020)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
WALTON ENTERPRISES LLC	Management Services	199,979
PO BOX 1860 BENTONVILLE,AR 72712		
ALUMBRA ADVISORS	Consulting	88,397
2250 COLONY TERRACE ENCINITAS,CA 92024		
LETICIA LIERA CASTANEDA	Consulting	69,647
PO Box 2458 Jackson,WY 83001		
SHARON ISABEL ZUMEL	Consulting	56,083
PO Box 14002 Jackson,WY 83002		
Total number of others receiving over \$50,000 for professional services. 0		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	3,525,064
b	Average of monthly cash balances.	1b	4,577,673
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	8,102,737
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d.	3	8,102,737
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	121,541
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,981,196
6	Minimum investment return. Enter 5% of line 5.	6	399,060

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	399,060
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	525,396
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	525,396
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	0
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	0
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	0

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	11,643,302
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11,643,302
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	11,643,302
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				0
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 2016		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	0			
b From 2016.	0			
c From 2017.	0			
d From 2018.	0			
e From 2019.	1,161,302			
f Total of lines 3a through e.	1,161,302			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ 11,643,302				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				0
e Remaining amount distributed out of corpus	11,643,302			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)				0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	12,804,604			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	12,804,604			
10 Analysis of line 9:				
a Excess from 2016	0			
b Excess from 2017	0			
c Excess from 2018.	0			
d Excess from 2019	1,161,302			
e Excess from 2020	11,643,302			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- | | |
|---|--|
| <p>1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling</p> | |
| <p>b. Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)</p> | |

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test—enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test—enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- Christy R Walton

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Form 990-PF (2020)				Page 11
Part XV Supplementary Information (continued)				
3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> Alumbra La Paz AC Av Ayudamiento el Triunjo Baja California Sur 23210 MX		P C	General Operations Support	199,600
Alumbra La Paz AC Av Ayudamiento el Triunjo Baja California Sur 23210 MX		P C	To support the design of Museo Regional de Historia Natural	93,034
Alumbra La Paz AC Av Ayudamiento el Triunjo Baja California Sur 23210 MX		P C	MuVaCa design and sculpture design	423,347
Alumbra La Paz AC Av Ayudamiento el Triunjo Baja California Sur 23210 MX		P C	Covid-19 food security initiative	1,800
America Near East Refugee Aid 1111 14th Street NW 400 Washington,DC 20005		P C	Beirut Explosion Response	40,000
America Near East Refugee Aid 1111 14th Street NW 400 Washington,DC 20005		P C	WASH services in Gaza, in hospitals, health clinics, and schools	150,000
American Civil Liberties Union Foundation 125 Broad St 18th Floor New York,NY 10004		P C	Teton Immigration Law Clinic	25,000
American National Red Cross 53-0196605 Washington,DC 20006		P C	Southwest Oregon Chapter' Forest Fire Response	250,000
Black Voters Matter Capacity Building Institute Inc 3645 Marketplace Blvd Suite 130-209 East Point,GA 30344		P C	Fair Elections	100,000
Black Voters Matter Capacity Building Institute Inc 3645 Marketplace Blvd Suite 130-209 East Point,GA 30344		P C	Voter registration education	5,000
Brennan Center for Justice 120 Broadway Suite 1750 New York,NY 10271		P C	Fair Elections	300,000
Center for Reproductive Rights 199 Water Street 22nd Floor New York,NY 10038		P C	General Operations Support	10,000
Clean Future Forum 1101 Pennsylvania Ave NW Suite 300 Washington,DC 20004		P C	Civitas's Climate Action Plan	235,000
Elementary Institute of Science 608 51st Street San Diego,CA 92114		P C	General Operations Support	25,000
Elementary Institute of Science 608 51st Street San Diego,CA 92114		P C	COVID-19 Rapid Response	40,000
Forth Mobility Fund 2035 NW Front Ave Ste 204 Portland,OR 97209		P C	E-Tractor Demonstration Project	119,650
Friends of Big Marsh 1000 W Fulton Market 4 Chicago,IL 60607		P C	General Operations	200,000
GlobalGiving 1110 Vermont Ave NW Suite 550 Washington,DC 20005		P C	Beirut Port Explosion Relief Fund	40,000
Greater Cedar Rapids Community Foundation 324 3rd Street SE Cedar Rapids,IA 52401		P C	2020 Derecho Storm Response	5,000
Hawkeye Area Community Action Program Inc PO Box 490 1515 Hawkeye Drive Hiawatha,IA 52233		P C	2020 Derecho Storm Response	5,000
ICF Center for Cross-Border Philanthropy 2525 N Avenue National City,CA 91950		P C	COVID-19 Rapid Response	200,000
Institute of the Americas 1011 N Torrey Pines Rd La Jolla,CA 92037		P C	Professional STEAM Teacher Training Workshop	15,000
Institute of the Americas 1011 N Torrey Pines Rd La Jolla,CA 92037		P C	To support the Research for China's Energy Ambitions in Latin America	10,000
International Community Foundation 2505 N Avenue National City,CA 91950		P C	COVID-19 Rapid Response	200,000
IVD Vision 1817 Aston Ave 104 Carlsbad,CA 92008		NC	Home Testing for COVID-19	165,000
Jackson Hole Community School PO Box 6787 Jackson,WY 83002		P C	Scholarship and Financial Aid Endowment	300,000
Lawyer's Committee for Civil Rights Under Law 1500 K Street NW Suite 900 Washington,DC 20005		P C	Fair Elections	400,000
Lawyer's Committee for Civil Rights Under Law 1500 K Street NW Suite 900 Washington,DC 20005		P C	Support voter registration education	5,000
Living Coast Discover Center 1000 Gunpower Point Drive Chula Vista,CA 91910		P C	COVID-19 Rapid Response	30,000
Mercy Corps PO Box 80020 Prescott,AZ 86304		P C	To support relief efforts for victims of the Beruit explosion	40,000
Mingei International Inc 1439 El Prado San Diego,CA 92101		P C	General Operating Support	100,000
National Geographic Society 1145 17th Street NW Washington,DC 20036		P C	National Geographic Emergency Fund	30,000
National Governors Association for Best Practices 444 N Capitol St Ste 267 Washington,DC 20001		P C	NGA COVID-19 Response	150,000
National Vote at Home Institute PO Box 65752 Washington,DC 20035		P C	Fair Elections	200,000
New Americans Museum Inc 2825 Dewey Rd 102 San Diego,CA 92106		P C	General Operations Support	15,000
New Heights Christian School 11791 Harmony Ln Bentonville,AR 72712		P C	General Operations Support	50,000
Outreach International 112 W 18th St Kansas City,MO 64108		P C	General Operations Support	15,000
PAI-Champion of Global Reproductive Rights 1300 19th St NW Suite 200 Washington,DC 20036		P C	General Operations Support	15,000
Planned Parenthood Federation of America Inc 123 William St New York,NY 10038		P C	Challenge Match at Planned Parenthood	5,000
Planned Parenthood of Greater Texas 7424 Greenville Ave Suite 206 Dallas,TX 75231		P C	Greater Texas Planned Parenthood	10,000
Planned Parenthood of the Pacific Southwest 1075 Camino Del Rio South San Diego,CA 92108		P C	General Operations Support	100,000
Plant With Purpose 4747 Morena Blvd Suite 100 San Diego,CA 92117		P C	General Operations Support	25,000
Progeorgia State Table Inc 151 Ellis Street NE F11 Atlanta,GA 30303		P C	Support voter registration education	5,000
Resilient Cities Catalyst 315 Clinton Ave Apt 2 Brooklyn,NY 11205		P C	California Coastal Catalyst Lab	159,520
Rotary Club of Jackson Hole Foundation PO BOX 3315 Jackson,WY 83001		P C	Rotary Club of Jackson Hole Foundation College Scholarships	20,000
Rusted Gate Farm 5700 Upton Road Central Point,OR 97502		P C	General Operations Support	3,000,000
San Diego History Center 1649 El Prado Suite 3 San Diego,CA 92101		P C	To support the development of A Feature Documentary about pioneer photographer and historian of the Baja California Peninsula	5,000
San Diego Natural History Museum 1788 El Prado San Diego,CA 92101		P C	General Operations Support	500,000
San Diego Natural History Museum 1788 El Prado San Diego,CA 92101		P C	General Operations Support	350,000
Seed Savers Exchange Inc 3074 North Winn Road Decorah,IA 52101		P C	General Operations Support	20,000
Silicon Valley Community Foundation 2440 West El Camino Real Ste 300 Mountain View,CA 94040		P C	Collaborative Fund for Civitas	235,000
Special Forces Charitable Trust PO Box 53 Essex,CT 06426		P C	Special Forces Charitable Trust Event Series	150,000
Sustainable Northwest 1130 SW Morrison St Suite 510 Portland,OR 97205		P C	Integrated Forest and Fire Treatment	1,312,500
Teton Science Schools 700 Coyote Canyon Road Jackson,WY 83001		P C	General Operations Support	56,960
Teton Science Schools 700 Coyote Canyon Road Jackson,WY 83001		P C	EPI Graduate Fellowship	20,268
Teton Science Schools 700 Coyote Canyon Road Jackson,WY 83001		P C	Teacher Retention and Incentive Structure	250,000
The Children's Learning Center Inc PO Box 4100 Jackson,WY 83001		P C	Scholarship Fund	30,000
The Rancho Santa Fe Foundation PO Box 811 Rancho Santa Fe,CA 92067		P C	North County COVID-19 Response Fund	25,000
The Salvation Army 5550 Prairie Stone Parkway Hoffman Estates,IL 60192		P C	2020 Derecho Storm Response	10,000
Unite America Institute Inc 1580 N Lincoln St Ste 520 Denver,CO 80203		P C	Unite America Institute	75,000
United States Fund for Unicef 125 Maiden Lane New York,NY 10038		P C	To support relief efforts for victims of the Beirut explosion	70,000
University of Wyoming Wyoming Public Radio Dept 3984 1000 E University Ave Laramie,WY 82071		GOV	Wyoming Public Radio	10,000
University of Wyoming Foundation 222 S 22ND ST Laramie,WY 82070		P C	Data to Decisions Conservation Engagement program	200,000
Valley School of Southern Oregon 857 Valley View Drive Medford,OR 97504		P C	General Operations Support	20,000
World Central Kitchen Inc 1342 Florida Ave NW Washington,DC 20009		P C	Beirut Explosion Response	20,000
World Central Kitchen Inc 1342 Florida Ave NW Washington,DC 20009		P C	COVID-19 Rapid Response	200,000
Wyoming Agriculture in the Classroom PO Box 347 Cheyenne,WY 82003		P C	Capital Campaign	75,000
Zoological Society of San Diego PO Box 120551 San Diego,CA 92112		P C	General Operations Support	6,000
Total			3a	11,172,679
b <i>Approved for future payment</i>				
Total			3b	0

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
Enter gross amounts unless otherwise indicated.				
1 Program service revenue:				
a				
b				
c				
d				
e				
f				
g Fees and contracts from government agencies				
2 Membership dues and assessments.				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities				
		14	1,931	
5 Net rental income or (loss) from real estate:				
a Debt-financed property.				
b Not debt-financed property.				
6 Net rental income or (loss) from personal property				
7 Other investment income.				
8 Gain or (loss) from sales of assets other than inventory				
		18	1,304,958	
9 Net income or (loss) from special events:				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue:				
a	Section 988 Loss	18	-10,022	
b				
c				
d				
e				
12 Subtotal. Add columns (b), (d), and (e).				
	0		1,296,867	
13 Total. Add line 12, columns (b), (d), and (e).				
1,296,867				

[illegible]

Part XVII

Yes

No

No

1a(1)

1a(2)

111

1b(2)

1b(3)

1b(4)

1b(5)

1b(6)

1c

No

et va

[illegible]☐ Yes☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below
(see instr.) ☒ Yes ☐ No

May the IRS discuss this return with the preparer shown below
(see instr.) ☒ Yes ☐ No

P00810750

Firm's name ► WALTON ENTERPRISES LLC

Firm's address ► PO BOX 1860

BENTONVILLE, AR 72712

Phone no.
(479) 464-1500

Additional Data

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Software ID: 20011424

Software Version: 2020v4.0

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to www.irs.gov/Form990 for the latest information.	OMB No. 1545-0047
		2020
Name of the organization Alumbra Innovations Foundation		Employer identification number 83-2841232

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Alumbra Innovations Foundation	Employer identification number 83-2841232
--	--

Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	CHRISTY R WALTON PO BOX 1860 BENTONVILLE, AR 72712	\$ 7,009,500	☐ Person ☐ Payroll ☒ Noncash (Complete Part II for noncash contributions.)
2	Lukas T Walton P O Box 1860 Bentonville, AR 72712	\$ 29,846,908	☐ Person ☐ Payroll ☒ Noncash (Complete Part II for noncash contributions.)
3	Christy R Walton PO Box 1860 Bentonville, AR 72712	\$ 9,000,000	☒ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization Alumbra Innovations Foundation	Employer identification number 83-2841232
--	--

Part II

Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	100,000 Shares of Publicly traded Securities	\$ 7,009,500	2020-09-08
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	334,000 Shares of Publicly Traded Securities	\$ 29,846,908	2020-12-02
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
.		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
.		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
.		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
.		\$	

Name of organization Alumbra Innovations Foundation	Employer identification number 83-2841232
--	--

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

Additional Data

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TY 2020 IRS 990 e-File Render

Name: Alumbra Innovations Foundation

EIN: 83-2841232

Software ID: 20011424

Software Version: 2020v4.0

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
IVD vision	1817 Aston Avenue 104 Carlsbad, CA 92008	2020-05-15	165,000	Home Testing for COVID-19	165,000	None	03/04/2021	2021-03-04	THE GRANTEE HAS PROVIDED THE FOUNDATION WITH THE REQUIRED REPORTS. THE FOUNDATION HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT; THEREFORE, NO FURTHER VERIFIICATION BY THE FOUNDATION IS DEEMED NECESSARY.

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TY 2020 IRS 990 e-File Render

Name: Alumbra Innovations Foundation

EIN: 83-2841232

Software ID: 20011424

Software Version: 2020v4.0

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
69,000 Shares of Publicly Traded Securities					4,090,843	2,923,185	FMV		1,167,658	
100,000 Shares of Publicly Traded Securities					7,132,652	7,009,500	FMV		123,152	
334,000 Shares of Publicly Traded Securities					29,861,037	29,846,908	FMV		14,129	
NT - Climate					19	0	Cost		19	

TY 2020 IRS 990 e-File Render

Name: Alumbra Innovations Foundation

EIN: 83-2841232

Software ID: 20011424

Software Version: 2020v4.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Publicly Traded Securities		

TY 2020 IRS 990 e-File Render

Name: Alumbra Innovations Foundation

EIN: 83-2841232

Software ID: 20011424

Software Version: 2020v4.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Northern Trust Account	AT COST	1,963,160	1,963,337
Northern Trust Account - Breckinridge	AT COST	9,686,901	9,686,540
Northern Trust Account - TPI Climate	AT COST	27,089,970	27,298,136

TY 2020 IRS 990 e-File Render

Name: Alumbra Innovations Foundation

EIN: 83-2841232

Software ID: 20011424

Software Version: 2020v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Legal Fees	34,457			34,457

TY 2020 IRS 990 e-File Render

Name: Alumbra Innovations Foundation

EIN: 83-2841232

Software ID: 20011424

Software Version: 2020v4.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Secretary of state Fee	25			25

TY 2020 IRS 990 e-File Render

Name: Alumbra Innovations Foundation

EIN: 83-2841232

Software ID: 20011424

Software Version: 2020v4.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NT- Section 988 Loss	-10,030	-10,030	
NT - Other Income	8	8	

TY 2020 IRS 990 e-File Render

Name: Alumbra Innovations Foundation

EIN: 83-2841232

Software ID: 20011424

Software Version: 2020v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Consulting fees	225,393			225,393
Management Fees	209,218			209,218
Investment Management Fees	2,813	2,813		

TY 2020 IRS 990 e-File Render

Name: Alumbra Innovations Foundation

EIN: 83-2841232

Software ID: 20011424

Software Version: 2020v4.0

Name	Address
Lukas T Walton	POBox 1860 Bentonville, A R 72712

TY 2020 IRS 990 e-File Render

Name: Alumbra Innovations Foundation

EIN: 83-2841232

Software ID: 20011424

Software Version: 2020v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise Tax	65,000			