

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

|                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                        |                                                                                                                                                                                                     |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br>THE SMIDT FOUNDATION                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                        | <b>A Employer identification number</b><br><br>81-4631478                                                                                                                                           |
| Number and street (or P.O. box number if mail is not delivered to street address)<br>9355 WILSHIRE BOULEVARD NO 400                                                                                                                                                                                                   | Room/suite                                                                                                                                                                                             | <b>B Telephone number</b> (see instructions)<br><br>(424) 363-1780                                                                                                                                  |
| City or town, state or province, country, and ZIP or foreign postal code<br>BEVERLY HILLS, CA 90210                                                                                                                                                                                                                   |                                                                                                                                                                                                        | <b>C</b> If exemption application is pending, check here <input type="checkbox"/>                                                                                                                   |
| <b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change |                                                                                                                                                                                                        | <b>D 1.</b> Foreign organizations, check here..... <input type="checkbox"/><br><b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/> |
| <b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                              |                                                                                                                                                                                                        | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>                                                                          |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ <u>209,740,330</u>                                                                                                                                                                                                      | <b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis.) | <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>                                                                       |

| Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small> |                                                                                                             | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                                            | <b>1</b> Contributions, gifts, grants, etc., received (attach schedule)                                     | 127,514,025                        |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B . . . . . |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>3</b> Interest on savings and temporary cash investments . . . . .                                       | 426,358                            | 426,358                   |                         |                                                             |
|                                                                                                                                                                                    | <b>4</b> Dividends and interest from securities . . . . .                                                   | 229,804                            | 229,804                   |                         |                                                             |
|                                                                                                                                                                                    | <b>5a</b> Gross rents . . . . .                                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>b</b> Net rental income or (loss) _____                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>6a</b> Net gain or (loss) from sale of assets not on line 10                                             | -348,080                           |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>b</b> Gross sales price for all assets on line 6a _____<br>27,165,946                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>7</b> Capital gain net income (from Part IV, line 2) . . . . .                                           |                                    | 7,160,582                 |                         |                                                             |
|                                                                                                                                                                                    | <b>8</b> Net short-term capital gain . . . . .                                                              |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>9</b> Income modifications . . . . .                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>10a</b> Gross sales less returns and allowances _____                                                    |                                    |                           |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                                              | <b>b</b> Less: Cost of goods sold . . . . .                                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>c</b> Gross profit or (loss) (attach schedule) . . . . .                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>11</b> Other income (attach schedule) . . . . .                                                          |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>12 Total.</b> Add lines 1 through 11 . . . . .                                                           | 127,822,107                        | 7,816,744                 |                         |                                                             |
|                                                                                                                                                                                    | <b>13</b> Compensation of officers, directors, trustees, etc.                                               | 0                                  | 0                         |                         | 0                                                           |
|                                                                                                                                                                                    | <b>14</b> Other employee salaries and wages . . . . .                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>15</b> Pension plans, employee benefits . . . . .                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>16a</b> Legal fees (attach schedule) . . . . .                                                           | 39,716                             | 0                         |                         | 38,575                                                      |
|                                                                                                                                                                                    | <b>b</b> Accounting fees (attach schedule) . . . . .                                                        | 26,390                             | 0                         |                         | 26,390                                                      |
|                                                                                                                                                                                    | <b>c</b> Other professional fees (attach schedule) . . . . .                                                | 939,097                            | 0                         |                         | 857,441                                                     |
|                                                                                                                                                                                    | <b>17</b> Interest . . . . .                                                                                |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>18</b> Taxes (attach schedule) (see instructions) . . . . .                                              | 110,253                            | 0                         |                         | 0                                                           |
|                                                                                                                                                                                    | <b>19</b> Depreciation (attach schedule) and depletion . . . . .                                            |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>20</b> Occupancy . . . . .                                                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>21</b> Travel, conferences, and meetings . . . . .                                                       | 3,918                              | 0                         |                         | 3,918                                                       |
|                                                                                                                                                                                    | <b>22</b> Printing and publications . . . . .                                                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>23</b> Other expenses (attach schedule) . . . . .                                                        | 199,504                            | 336                       |                         | 199,779                                                     |
|                                                                                                                                                                                    | <b>24 Total operating and administrative expenses.</b><br>Add lines 13 through 23 . . . . .                 | 1,318,878                          | 336                       |                         | 1,126,103                                                   |
|                                                                                                                                                                                    | <b>25</b> Contributions, gifts, grants paid . . . . .                                                       | 16,783,332                         |                           |                         | 17,681,335                                                  |
|                                                                                                                                                                                    | <b>26 Total expenses and disbursements.</b> Add lines 24 and 25                                             | 18,102,210                         | 336                       |                         | 18,807,438                                                  |
|                                                                                                                                                                                    | <b>27</b> Subtract line 26 from line 12:                                                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>a Excess of revenue over expenses and disbursements</b>                                                  | 109,719,897                        |                           |                         |                                                             |
|                                                                                                                                                                                    | <b>b Net investment income</b> (if negative, enter -0-)                                                     |                                    | 7,816,408                 |                         |                                                             |
|                                                                                                                                                                                    | <b>c Adjusted net income</b> (if negative, enter -0-)                                                       |                                    |                           |                         |                                                             |

| Part II                     |                                                                                                                                            | Balance Sheets                                                                                                                    | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | Beginning of year | End of year    |                       |
|-----------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                             |                                                                                                                                            |                                                                                                                                   |                                                                                                                      | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1                                                                                                                                          | Cash—non-interest-bearing . . . . .                                                                                               |                                                                                                                      | 101,214           | 3,897,838      | 3,897,838             |
|                             | 2                                                                                                                                          | Savings and temporary cash investments . . . . .                                                                                  |                                                                                                                      | 99,584,590        | 191,112,218    | 191,112,218           |
|                             | 3                                                                                                                                          | Accounts receivable ▶ _____<br>Less: allowance for doubtful accounts ▶ _____                                                      |                                                                                                                      |                   |                |                       |
|                             | 4                                                                                                                                          | Pledges receivable ▶ _____<br>Less: allowance for doubtful accounts ▶ _____                                                       |                                                                                                                      |                   |                |                       |
|                             | 5                                                                                                                                          | Grants receivable . . . . .                                                                                                       |                                                                                                                      |                   |                |                       |
|                             | 6                                                                                                                                          | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |                                                                                                                      |                   |                |                       |
|                             | 7                                                                                                                                          | Other notes and loans receivable (attach schedule) ▶ _____<br>Less: allowance for doubtful accounts ▶ _____                       |                                                                                                                      |                   |                |                       |
|                             | 8                                                                                                                                          | Inventories for sale or use . . . . .                                                                                             |                                                                                                                      |                   |                |                       |
|                             | 9                                                                                                                                          | Prepaid expenses and deferred charges . . . . .                                                                                   |                                                                                                                      | 785               | 37,318         | 37,318                |
|                             | 10a                                                                                                                                        | Investments—U.S. and state government obligations (attach schedule)                                                               |                                                                                                                      |                   |                |                       |
|                             | b                                                                                                                                          | Investments—corporate stock (attach schedule) . . . . .                                                                           |                                                                                                                      |                   |                |                       |
|                             | c                                                                                                                                          | Investments—corporate bonds (attach schedule) . . . . .                                                                           |                                                                                                                      |                   |                |                       |
|                             | 11                                                                                                                                         | Investments—land, buildings, and equipment: basis ▶ _____<br>Less: accumulated depreciation (attach schedule) ▶ _____             |                                                                                                                      |                   |                |                       |
|                             | 12                                                                                                                                         | Investments—mortgage loans . . . . .                                                                                              |                                                                                                                      |                   |                |                       |
|                             | 13                                                                                                                                         | Investments—other (attach schedule) . . . . .                                                                                     |                                                                                                                      | 0                 | 14,691,790     | 14,691,790            |
|                             | 14                                                                                                                                         | Land, buildings, and equipment: basis ▶ _____<br>Less: accumulated depreciation (attach schedule) ▶ _____                         |                                                                                                                      |                   |                |                       |
| 15                          | Other assets (describe ▶ _____)                                                                                                            |                                                                                                                                   | 0                                                                                                                    | 1,166             | 1,166          |                       |
| 16                          | <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)                                         |                                                                                                                                   | 99,686,589                                                                                                           | 209,740,330       | 209,740,330    |                       |
| Liabilities                 | 17                                                                                                                                         | Accounts payable and accrued expenses . . . . .                                                                                   |                                                                                                                      | 155,137           | 82,858         |                       |
|                             | 18                                                                                                                                         | Grants payable . . . . .                                                                                                          |                                                                                                                      | 24,538,137        | 23,640,134     |                       |
|                             | 19                                                                                                                                         | Deferred revenue. . . . .                                                                                                         |                                                                                                                      |                   |                |                       |
|                             | 20                                                                                                                                         | Loans from officers, directors, trustees, and other disqualified persons                                                          |                                                                                                                      |                   |                |                       |
|                             | 21                                                                                                                                         | Mortgages and other notes payable (attach schedule) . . . . .                                                                     |                                                                                                                      |                   |                |                       |
|                             | 22                                                                                                                                         | Other liabilities (describe ▶ _____)                                                                                              |                                                                                                                      |                   |                |                       |
|                             | 23                                                                                                                                         | <b>Total liabilities</b> (add lines 17 through 22). . . . .                                                                       |                                                                                                                      | 24,693,274        | 23,722,992     |                       |
| Net Assets or Fund Balances | <b>Foundations that follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b> |                                                                                                                                   |                                                                                                                      |                   |                |                       |
|                             | 24                                                                                                                                         | Net assets without donor restrictions . . . . .                                                                                   |                                                                                                                      | 74,993,315        | 186,017,338    |                       |
|                             | 25                                                                                                                                         | Net assets with donor restrictions . . . . .                                                                                      |                                                                                                                      |                   |                |                       |
|                             | <b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 26 through 30.</b>         |                                                                                                                                   |                                                                                                                      |                   |                |                       |
|                             | 26                                                                                                                                         | Capital stock, trust principal, or current funds . . . . .                                                                        |                                                                                                                      |                   |                |                       |
|                             | 27                                                                                                                                         | Paid-in or capital surplus, or land, bldg., and equipment fund                                                                    |                                                                                                                      |                   |                |                       |
|                             | 28                                                                                                                                         | Retained earnings, accumulated income, endowment, or other funds                                                                  |                                                                                                                      |                   |                |                       |
|                             | 29                                                                                                                                         | <b>Total net assets or fund balances</b> (see instructions) . . . . .                                                             |                                                                                                                      | 74,993,315        | 186,017,338    |                       |
| 30                          | <b>Total liabilities and net assets/fund balances</b> (see instructions) .                                                                 |                                                                                                                                   | 99,686,589                                                                                                           | 209,740,330       |                |                       |

| Part III Analysis of Changes in Net Assets or Fund Balances |                                                                                                                                                                    |               |
|-------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| 1                                                           | Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 74,993,315  |
| 2                                                           | Enter amount from Part I, line 27a . . . . .                                                                                                                       | 2 109,719,897 |
| 3                                                           | Other increases not included in line 2 (itemize) ▶ _____                                                                                                           | 3 1,304,126   |
| 4                                                           | Add lines 1, 2, and 3 . . . . .                                                                                                                                    | 4 186,017,338 |
| 5                                                           | Decreases not included in line 2 (itemize) ▶ _____                                                                                                                 | 5 0           |
| 6                                                           | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.                                                               | 6 186,017,338 |

Part IV

Capital Gains and Losses for Tax on Investment Income

|                                                                                                                                                                                                    |                                               |                                                                                     |                                                                                                   |                                     |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|-------------------------------------|
| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)                                                                 |                                               | (b)<br>How acquired<br>P—Purchase<br>D—Donation                                     | (c)<br>Date acquired<br>(mo., day, yr.)                                                           | (d)<br>Date sold<br>(mo., day, yr.) |
| 1 a PUBLICLY TRADED SECURITIES                                                                                                                                                                     |                                               |                                                                                     |                                                                                                   |                                     |
| b                                                                                                                                                                                                  |                                               |                                                                                     |                                                                                                   |                                     |
| c                                                                                                                                                                                                  |                                               |                                                                                     |                                                                                                   |                                     |
| d                                                                                                                                                                                                  |                                               |                                                                                     |                                                                                                   |                                     |
| e                                                                                                                                                                                                  |                                               |                                                                                     |                                                                                                   |                                     |
| (e)<br>Gross sales price                                                                                                                                                                           | (f)<br>Depreciation allowed<br>(or allowable) | (g)<br>Cost or other basis<br>plus expense of sale                                  | (h)<br>Gain or (loss)<br>(e) plus (f) minus (g)                                                   |                                     |
| a 27,165,945                                                                                                                                                                                       |                                               | 20,005,363                                                                          | 7,160,582                                                                                         |                                     |
| b                                                                                                                                                                                                  |                                               |                                                                                     |                                                                                                   |                                     |
| c                                                                                                                                                                                                  |                                               |                                                                                     |                                                                                                   |                                     |
| d                                                                                                                                                                                                  |                                               |                                                                                     |                                                                                                   |                                     |
| e                                                                                                                                                                                                  |                                               |                                                                                     |                                                                                                   |                                     |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                                        |                                               |                                                                                     | (l)<br>Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |                                     |
| (i)<br>F.M.V. as of 12/31/69                                                                                                                                                                       | (j)<br>Adjusted basis<br>as of 12/31/69       | (k)<br>Excess of col. (i)<br>over col. (j), if any                                  |                                                                                                   |                                     |
| a                                                                                                                                                                                                  |                                               |                                                                                     | 7,160,582                                                                                         |                                     |
| b                                                                                                                                                                                                  |                                               |                                                                                     |                                                                                                   |                                     |
| c                                                                                                                                                                                                  |                                               |                                                                                     |                                                                                                   |                                     |
| d                                                                                                                                                                                                  |                                               |                                                                                     |                                                                                                   |                                     |
| e                                                                                                                                                                                                  |                                               |                                                                                     |                                                                                                   |                                     |
| Capital gain net income or (net capital loss)                                                                                                                                                      |                                               | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | 2                                                                                                 | 7,160,582                           |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-<br>in Part I, line 8 |                                               | {                                                                                   | 3                                                                                                 |                                     |

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

|                 |                 |                 |
|-----------------|-----------------|-----------------|
| 1 Reserved      |                 |                 |
| (a)<br>Reserved | (b)<br>Reserved | (c)<br>Reserved |
|                 |                 |                 |
|                 |                 |                 |
|                 |                 |                 |
| 2 Reserved      |                 | 2               |
| 3 Reserved.     |                 | 3               |
| 4 Reserved      |                 | 4               |
| 5 Reserved      |                 | 5               |
| 6 Reserved      |                 | 6               |
| 7 Reserved      |                 | 7               |
| 8 Reserved      |                 | 8               |

Part VII

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

|                                                                                                                            |                                                                                                                                      |    |         |
|----------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|----|---------|
| 1a                                                                                                                         | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.         |    |         |
|                                                                                                                            | Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)                                  |    |         |
| b                                                                                                                          | Reserved                                                                                                                             | 1  | 108,648 |
| All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) |                                                                                                                                      |    |         |
| 2                                                                                                                          | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                            | 2  | 0       |
| 3                                                                                                                          | Add lines 1 and 2.                                                                                                                   | 3  | 108,648 |
| 4                                                                                                                          | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                          | 4  | 0       |
| 5                                                                                                                          | <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-.                                      | 5  | 108,648 |
| 6                                                                                                                          | Credits/Payments:                                                                                                                    |    |         |
| a                                                                                                                          | 2020 estimated tax payments and 2019 overpayment credited to 2020                                                                    | 6a | 130,703 |
| b                                                                                                                          | Exempt foreign organizations—tax withheld at source                                                                                  | 6b |         |
| c                                                                                                                          | Tax paid with application for extension of time to file (Form 8868)                                                                  | 6c | 0       |
| d                                                                                                                          | Backup withholding erroneously withheld                                                                                              | 6d | 0       |
| 7                                                                                                                          | Total credits and payments. Add lines 6a through 6d                                                                                  | 7  | 130,703 |
| 8                                                                                                                          | Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached. | 8  | 2       |
| 9                                                                                                                          | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>                                          | 9  |         |
| 10                                                                                                                         | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> .                            | 10 | 22,053  |
| 11                                                                                                                         | Enter the amount of line 10 to be: <b>Credited to 2021 estimated tax</b> <b>Refunded</b>                                             | 11 | 0       |
|                                                                                                                            |                                                                                                                                      |    | 22,053  |

Part VII-A

Statements Regarding Activities

|    |                                                                                                                                                                                                                                                                                                                                    |    |     |    |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                               |    | Yes | No |
| 1a |                                                                                                                                                                                                                                                                                                                                    |    |     | No |
| b  | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).                                                                                                                                                                                            |    |     | No |
|    | <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>                                                                                                                               |    |     |    |
| 1c |                                                                                                                                                                                                                                                                                                                                    |    |     | No |
| c  | Did the foundation file <b>Form 1120-POL</b> for this year?                                                                                                                                                                                                                                                                        |    |     | No |
| d  | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. <input type="checkbox"/> \$ <u>0</u> (2) On foundation managers. <input type="checkbox"/> \$ <u>0</u>                                                                                                 |    |     |    |
| e  | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ <u>0</u>                                                                                                                                                         |    |     |    |
| 2  | Has the foundation engaged in any activities that have not previously been reported to the IRS?                                                                                                                                                                                                                                    | 2  |     | No |
|    | <i>If "Yes," attach a detailed description of the activities.</i>                                                                                                                                                                                                                                                                  |    |     |    |
| 3  | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>                                                                                                  | 3  |     | No |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                        | 4a |     | No |
| b  | If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?                                                                                                                                                                                                                                                            | 4b |     |    |
| 5  | Was there a liquidation, termination, dissolution, or substantial contraction during the year?                                                                                                                                                                                                                                     | 5  |     | No |
|    | <i>If "Yes," attach the statement required by General Instruction T.</i>                                                                                                                                                                                                                                                           |    |     |    |
| 6  | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6  | Yes |    |
| 7  | Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>                                                                                                                                                                                          | 7  | Yes |    |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions)<br><input type="checkbox"/> CA, <input type="checkbox"/> DE                                                                                                                                                                     |    |     |    |
| b  | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>                                                                                                                | 8b | Yes |    |
| 9  | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>                                                                     | 9  |     | No |
| 10 | Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>                                                                                                                                                                                         | 10 |     | No |

Part VII-A

Statements Regarding Activities (continued)

|    |                                                                                                                                                                                                                                                                                                                                 |    |     |    |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .                                                                                                                                 | 11 | Yes |    |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.                                                                                                                                        | 12 | Yes |    |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A                                                                                                                                                                                         | 13 | Yes |    |
| 14 | The books are in care of ALLAN MUTCHNIK TREASURER Telephone no. (805) 312-1163<br>Located at 9355 WILSHIRE BOULEVARD SUITE 400 BEVERLY HILLS CA ZIP+4 90210                                                                                                                                                                     |    |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . 15                                                                                                                       |    |     |    |
| 16 | At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign | 16 | Yes | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

|                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                     |     |    |
|-----------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|-----|----|
| File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                     | Yes | No |
| 1a                                                                                      | During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                     |     |    |
|                                                                                         | (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
|                                                                                         | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
|                                                                                         | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                               | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |     |    |
|                                                                                         | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
|                                                                                         | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .                                                                                                                                                                                                                                                                                                                                                  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
|                                                                                         | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . .                                                                                                                                                                                                                                                | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| b                                                                                       | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . .                                                                                                                                                                                 |                                                                     | 1b  | No |
| c                                                                                       | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? . . . . .                                                                                                                                                                                                                                                                                                        |                                                                     | 1c  | No |
| 2                                                                                       | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                           |                                                                     |     |    |
| a                                                                                       | At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? . . . . .<br>If "Yes," list the years 20 , 20 , 20 , 20                                                                                                                                                                                                                                                                                                | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| b                                                                                       | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .                                                                                                                                                                                        |                                                                     | 2b  |    |
| c                                                                                       | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>20 , 20 , 20 , 20                                                                                                                                                                                                                                                                                                                                                                    |                                                                     |     |    |
| 3a                                                                                      | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |    |
| b                                                                                       | If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) . . . . . |                                                                     | 3b  |    |
| 4a                                                                                      | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                          |                                                                     | 4a  | No |
| b                                                                                       | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?                                                                                                                                                                                                                                                                        |                                                                     | 4b  | No |

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. . . . .

☐ Yes

☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions  
Organizations relying on a current notice regarding disaster assistance check here. . . . .

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .  
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

☐ Yes

☐ No

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .  
If "Yes" to 6b, file Form 8870.

☐ Yes

☒ No

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . . . .

☐ Yes

☒ No

b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .

☐ Yes

☒ No

8

Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? . . . . .

☐ Yes

☒ No

|    |     |    |
|----|-----|----|
|    | Yes | No |
| 5b |     |    |
| 6b |     | No |
| 7b |     |    |

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation. See instructions

| (a) Name and address                                                             | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| ERIC L SMIDT<br>9355 WILSHIRE BOULEVARD SUITE 400<br>BEVERLY HILLS, CA 90210     | DIRECTOR / PRESIDENT<br>5.00                              | 0                                         | 0                                                                     | 0                                     |
| ALLAN G MUTCHNIK<br>9355 WILSHIRE BOULEVARD SUITE 400<br>BEVERLY HILLS, CA 90210 | DIRECTOR / TREASURER<br>5.00                              | 0                                         | 0                                                                     | 0                                     |
| DEBORAH S GUERRA<br>9355 WILSHIRE BOULEVARD SUITE 400<br>BEVERLY HILLS, CA 90210 | SECRETARY<br>4.50                                         | 0                                         | 0                                                                     | 0                                     |

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total

number of other employees paid over \$50,000. . . . .

0

Form 990-PF (2020)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

| 3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE". |                     |                  |
|------------------------------------------------------------------------------------------------------------------|---------------------|------------------|
| (a) Name and address of each person paid more than \$50,000                                                      | (b) Type of service | (c) Compensation |
| DRUCKER INSTITUTE CGU                                                                                            | CONSULTANT          | 474,907          |
| 150 EAST 10TH STREET<br>CLAREMONT,CA 91711                                                                       |                     |                  |
| NORC                                                                                                             | CONSULTANT          | 86,763           |
| 55 E MONROE STREET SUITE 2000<br>CHICAGO,IL 60603                                                                |                     |                  |
| 5E LLC                                                                                                           | CONSULTANT          | 82,383           |
| 4808 17TH ST NW<br>WASHINGTON,DC 20011                                                                           |                     |                  |
| JOBS FOR THE FUTURE INC                                                                                          | CONSULTANT          | 52,000           |
| 88 BROAD ST<br>BOSTON,MA 02110                                                                                   |                     |                  |
|                                                                                                                  |                     |                  |
| Total number of others receiving over \$50,000 for professional services. . . . . 0                              |                     |                  |

Part IX-A

Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |
|                                                                                                                                                                                                                                                        |          |
| 2                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |
|                                                                                                                                                                                                                                                        |          |
| 3                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |
|                                                                                                                                                                                                                                                        |          |
| 4                                                                                                                                                                                                                                                      |          |
|                                                                                                                                                                                                                                                        |          |
|                                                                                                                                                                                                                                                        |          |

Part IX-B

Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|-------------------------------------------------------------------------------------------------------------------|--------|
| 1                                                                                                                 |        |
|                                                                                                                   |        |
|                                                                                                                   |        |
| 2                                                                                                                 |        |
|                                                                                                                   |        |
|                                                                                                                   |        |
| All other program-related investments. See instructions.                                                          |        |
| 3                                                                                                                 |        |
|                                                                                                                   |        |
|                                                                                                                   |        |
| Total. Add lines 1 through 3 . . . . .                                                                            | 0      |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                                    |           |             |
|----------|--------------------------------------------------------------------------------------------------------------------|-----------|-------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:        |           |             |
| <b>a</b> | Average monthly fair market value of securities. . . . .                                                           | <b>1a</b> | 922,296     |
| <b>b</b> | Average of monthly cash balances. . . . .                                                                          | <b>1b</b> | 95,067,229  |
| <b>c</b> | Fair market value of all other assets (see instructions). . . . .                                                  | <b>1c</b> | 5,991,039   |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c). . . . .                                                                     | <b>1d</b> | 101,980,564 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . . | <b>1e</b> | 0           |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                               | <b>2</b>  | 0           |
| <b>3</b> | Subtract line 2 from line 1d. . . . .                                                                              | <b>3</b>  | 101,980,564 |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . . | <b>4</b>  | 1,529,708   |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4        | <b>5</b>  | 100,450,856 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5. . . . .                                                      | <b>6</b>  | 5,022,543   |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                                    |           |           |
|-----------|--------------------------------------------------------------------------------------------------------------------|-----------|-----------|
| <b>1</b>  | Minimum investment return from Part X, line 6. . . . .                                                             | <b>1</b>  | 5,022,543 |
| <b>2a</b> | Tax on investment income for 2020 from Part VI, line 5. . . . .                                                    | <b>2a</b> | 108,648   |
| <b>b</b>  | Income tax for 2020. (This does not include the tax from Part VI.)                                                 | <b>2b</b> |           |
| <b>c</b>  | Add lines 2a and 2b. . . . .                                                                                       | <b>2c</b> | 108,648   |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1. . . . .                                     | <b>3</b>  | 4,913,895 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions. . . . .                                                 | <b>4</b>  | 0         |
| <b>5</b>  | Add lines 3 and 4. . . . .                                                                                         | <b>5</b>  | 4,913,895 |
| <b>6</b>  | Deduction from distributable amount (see instructions). . . . .                                                    | <b>6</b>  | 0         |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . . | <b>7</b>  | 4,913,895 |

**Part XII Qualifying Distributions** (see instructions)

|                                                                                                                                                                                                      |                                                                                                                                                              |           |            |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b>                                                                                                                                                                                             | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                                                   |           |            |
| <b>a</b>                                                                                                                                                                                             | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .                                                                         | <b>1a</b> | 18,807,438 |
| <b>b</b>                                                                                                                                                                                             | Program-related investments—total from Part IX-B. . . . .                                                                                                    | <b>1b</b> | 0          |
| <b>2</b>                                                                                                                                                                                             | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .                                           | <b>2</b>  |            |
| <b>3</b>                                                                                                                                                                                             | Amounts set aside for specific charitable projects that satisfy the:                                                                                         |           |            |
| <b>a</b>                                                                                                                                                                                             | Suitability test (prior IRS approval required). . . . .                                                                                                      | <b>3a</b> |            |
| <b>b</b>                                                                                                                                                                                             | Cash distribution test (attach the required schedule). . . . .                                                                                               | <b>3b</b> |            |
| <b>4</b>                                                                                                                                                                                             | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                            | <b>4</b>  | 18,807,438 |
| <b>5</b>                                                                                                                                                                                             | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . . | <b>5</b>  | 0          |
| <b>6</b>                                                                                                                                                                                             | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .                                                                               | <b>6</b>  | 18,807,438 |
| <b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years. |                                                                                                                                                              |           |            |

Part XIII

Undistributed Income (see instructions)

|                                                                                                                                                                               | (a)<br>Corpus | (b)<br>Years prior to 2019 | (c)<br>2019 | (d)<br>2020 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2020 from Part XI, line 7                                                                                                                          |               |                            |             | 4,913,895   |
| 2 Undistributed income, if any, as of the end of 2020:                                                                                                                        |               |                            |             |             |
| a Enter amount for 2019 only. . . . .                                                                                                                                         |               |                            | 0           |             |
| b Total for prior years: 20____, 20____, 20____                                                                                                                               |               | 0                          |             |             |
| 3 Excess distributions carryover, if any, to 2020:                                                                                                                            |               |                            |             |             |
| a From 2015. . . . .                                                                                                                                                          |               |                            |             |             |
| b From 2016. . . . .                                                                                                                                                          |               |                            |             |             |
| c From 2017. . . . .                                                                                                                                                          |               |                            | 2,160,432   |             |
| d From 2018. . . . .                                                                                                                                                          |               |                            | 8,131,995   |             |
| e From 2019. . . . .                                                                                                                                                          |               |                            | 8,200,431   |             |
| f Total of lines 3a through e. . . . .                                                                                                                                        | 18,492,858    |                            |             |             |
| 4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ 18,807,438                                                                                                    |               |                            |             |             |
| a Applied to 2019, but not more than line 2a                                                                                                                                  |               |                            | 0           |             |
| b Applied to undistributed income of prior years (Election required—see instructions). . . . .                                                                                |               | 0                          |             |             |
| c Treated as distributions out of corpus (Election required—see instructions). . . . .                                                                                        | 0             |                            |             |             |
| d Applied to 2020 distributable amount                                                                                                                                        |               |                            |             | 4,913,895   |
| e Remaining amount distributed out of corpus                                                                                                                                  | 13,893,543    |                            |             |             |
| 5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)                                          | 0             |                            |             | 0           |
| 6 Enter the net total of each column as indicated below:                                                                                                                      |               |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                           | 32,386,401    |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b. . . . .                                                                                                   |               | 0                          |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed    |               | 0                          |             |             |
| d Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .                                                                                                     |               | 0                          |             |             |
| e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .                                                                      |               |                            | 0           |             |
| f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020                                                                 |               |                            |             | 0           |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . . | 0             |                            |             |             |
| 8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)                                                                                 | 0             |                            |             |             |
| 9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a                                                                                                 | 32,386,401    |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                        |               |                            |             |             |
| a Excess from 2016                                                                                                                                                            |               |                            |             |             |
| b Excess from 2017                                                                                                                                                            |               | 2,160,432                  |             |             |
| c Excess from 2018. . . . .                                                                                                                                                   |               | 8,131,995                  |             |             |
| d Excess from 2019                                                                                                                                                            |               | 8,200,431                  |             |             |
| e Excess from 2020                                                                                                                                                            |               | 13,893,543                 |             |             |

## Part XIV

- Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ERIC L SMIDT

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

|                                                                                                                                         |                                                                                                                  |                                       |                                                                                        |                           |                |
|-----------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|---------------------------------------|----------------------------------------------------------------------------------------|---------------------------|----------------|
| Form 990-PF (2020)                                                                                                                      |                                                                                                                  |                                       |                                                                                        |                           | Page <b>11</b> |
| <b>Part XIV Supplementary Information (continued)</b>                                                                                   |                                                                                                                  |                                       |                                                                                        |                           |                |
| <b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>                                                   |                                                                                                                  |                                       |                                                                                        |                           |                |
| <b>Recipient</b><br>Name and address (home or business)                                                                                 | <b>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</b> | <b>Foundation status of recipient</b> | <b>Purpose of grant or contribution</b>                                                | <b>Amount</b>             |                |
| <b>a Paid during the year</b>                                                                                                           |                                                                                                                  |                                       |                                                                                        |                           |                |
| SOUTHERN CALIFORNIA GRANTMAKERS<br>1000 NORTH ALAMEDA STREET<br>SUITE 230<br>LOS ANGELES,CA 90012                                       |                                                                                                                  | P C                                   | SUPPORT OF THE COMMITTEE FOR GREATER LA THROUGH ITS FISCAL SPONSOR                     | 50,000                    |                |
| MICHAEL SHEPHARD<br>150 S RARITAN ROAD<br>SCOTCH PLAINS,NJ 07076                                                                        | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 15,000                    |                |
| TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA<br>2929 WALNUT STREET SUITE 300<br>PHILADELPHIA,PA 19104                                     |                                                                                                                  | P C                                   | ART COLLECTION FUND                                                                    | 100,000                   |                |
| PORTLAND ART MUSEUM<br>1219 SW PARK AVENUE<br>PORTLAND,OR 97305                                                                         |                                                                                                                  | P C                                   | CAPITAL EXPANSION AND RENOVATION                                                       | 100,000                   |                |
| MARTIN LUTHER KING JR COMMUNITY HEALTH FOUNDATION<br>1680 E 120TH STREET<br>LOS ANGELES,CA 90059                                        |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 125,000                   |                |
| ALLIES FOR EVERY CHIL<br>7521 W SLAUSON AVENUE SUITE 140<br>CULVER CITY,CA 90230                                                        |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 7,500                     |                |
| THE MAYOR'S FUND FOR LA<br>200 NORTH SPRING STREET ROOM 305B<br>LOS ANGELES,CA 90012                                                    |                                                                                                                  | P C                                   | DISASTER RESPONSE                                                                      | 1,000,000                 |                |
| VAHAGN SETIAN CHARITABLE FOUNDATION<br>PO BOX 16181<br>BEVERLY HILLS,CA 90209                                                           |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 1,000                     |                |
| CORO SOUTHERN CA<br>1000 N ALAMEDA STREET SUITE 240<br>LOS ANGELES,CA 90012                                                             |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 14,500                    |                |
| REPUBLIC-MICHIGAMME SCHOOLS<br>1219 SW PARK AVENUE<br>PORTLAND,OR 97305                                                                 |                                                                                                                  | GOV                                   | TEACHER-LED SKILLED TRADES EDUCATION PROJECT                                           | 12,810                    |                |
| UNITED WAY OF GREATER LOS ANGELES<br>1150 S OLIVE STREET SUITE T500<br>LOS ANGELES,CA 90015                                             |                                                                                                                  | P C                                   | DISASTER RESPONSE                                                                      | 500,000                   |                |
| SHAWN BURNS<br>1760 ROANOKE BLVD<br>SALEM,VA 24153                                                                                      | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 15,000                    |                |
| LA FAMILY HOUSING CORPORATION<br>7843 LANKERSHIM BLVD<br>NORTH HOLLYWOOD,CA 91605                                                       |                                                                                                                  | P C                                   | CAMPUS CAPITAL CAMPAIGN                                                                | 208,000                   |                |
| THE SUGAR RAY LEONARD FOUNDATION<br>21731 VENTURA BLVD SUITE 300<br>WOODLAND HILLS,CA 91364                                             |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 5,000                     |                |
| GLENDAL E UNIFIED SCHOOL DISTRICT<br>223 N JACKSON STREET<br>GLENDAL E,CA 91206                                                         |                                                                                                                  | GOV                                   | PLANNING GRANT                                                                         | 8,000                     |                |
| SHATTERPROOF STRONGER THAN ADDICTION<br>135 WEST 41ST STREET 6TH FLOOR<br>NEW YORK,NY 10036                                             |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 20,000                    |                |
| THE LOS ANGELES FREE CLINIC<br>8405 BEVERLY BLVD<br>LOS ANGELES,CA 90048                                                                |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 50,000                    |                |
| PLACER UNION HIGH SCHOOL DISTRICT<br>13000 NEW AIRPORT ROAD<br>AUBURN,CA 95603                                                          |                                                                                                                  | GOV                                   | TEACHER-LED SKILLED TRADES EDUCATION PROJECT                                           | 1,000                     |                |
| CAREFIRST CAROLINA FOUNDATION<br>PO BOX 1090 300 WEST HOME AVENUE<br>HARTSVILLE,SC 29551                                                |                                                                                                                  | P C                                   | DISASTER RESPONSE                                                                      | 50,000                    |                |
| GROSSMONT UNION HIGH SCHOOL DISTRICT<br>11005 MURRAY DRIVE<br>EL CAJON,CA 92020                                                         |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 70,000                    |                |
| CHP 11 99 FOUNDATION<br>2244 N STATE COLLEGE BOULEVARD<br>FULLERTON,CA 92831                                                            |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 30,000                    |                |
| ANGEL FLIGHT WEST INC<br>3161 DONALD DOUGLAS LOOP<br>SOUTH<br>SANTA MONICA,CA 90405                                                     |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 15,000                    |                |
| FUNDERS TOGETHER TO END HOMELESSNESS INC<br>89 SOUTH STREET 803<br>BOSTON,MA 02111                                                      |                                                                                                                  | P C                                   | SUPPORT OF WORKFORCE MATTERS THOUGH ITS FISCAL SPONSOR                                 | 1,500                     |                |
| ALLIANCE FOR COMMUNITY EMPOWERMENT<br>7227 OWENSMOUTH AVENUE<br>CANOGA PARK,CA 91303                                                    |                                                                                                                  | P C                                   | PLANNING GRANT                                                                         | 8,000                     |                |
| THOMAS S AUBIN<br>9055 MILITARY TURNPIKE<br>PLATTSBURGH,NY 12901                                                                        | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 15,000                    |                |
| BEVERLY HILLS POLICE OFFICERS BENEVOLENT FUND<br>9663 SANTA MONICA BLVD 786<br>BEVERLY HILLS,CA 90210                                   |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 25,000                    |                |
| GULFPORT MUNICIPAL SEPARATE SCHOOL DISTRICT<br>100 PERRY STREET<br>GULFPORT,MS 39501                                                    |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| NATIONAL COURT APPOINTED SPECIAL ADVOCATES ASSOCIATION FOR CHILDREN<br>100 W HARRISON STREET NORTH TOWER<br>SUITE 5<br>SEATTLE,WA 98119 |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 25,000                    |                |
| UNITED STATES VETERANS INITIATIVE<br>800 W 6TH STREET SUITE 1505<br>LOS ANGELES,CA 90017                                                |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 100,000                   |                |
| PORTLAND ART MUSEUM<br>1219 SW PARK AVENUE<br>PORTLAND,OR 97305                                                                         |                                                                                                                  | P C                                   | CAPITAL EXPANSION AND RENOVATION                                                       | 125,000                   |                |
| CHERRY CREEK SCHOOLS FOUNDATION<br>4700 S YOSEMITE STREET<br>GREENWOOD VILLAGE,CO 80111                                                 |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 70,000                    |                |
| DOS PUEBLOS HIGH SCHOOL<br>720 SANTA BARBARA STREET<br>SANTA BARBARA,CA 93101                                                           |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| UNION COUNTY VOCATIONAL-TECHNICAL SCHOOLS<br>1776 RARITAN RD<br>SCOTCH PLAINS,NJ 07076                                                  |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| DEMETRIUS WAYNE WILSON<br>1371 N PERRY STREET<br>PONTIAC,MI 48340                                                                       | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 15,000                    |                |
| NATIONAL COALITION FOR HOMELESS VETERANS<br>1730 M STREET NW SUITE 705<br>WASHINGTON,DC 20036                                           |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 100,000                   |                |
| NICOLE TAYLOR<br>3075 ALTON ROAD<br>CHAMBLEE,GA 30341                                                                                   | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 15,000                    |                |
| BIG BROTHERS BIG SISTERS OF GREATER LA<br>11755 WILSHIRE BLVD SUITE 1670<br>LOS ANGELES,CA 90025                                        |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 5,000                     |                |
| ROANOKE COUNTY SCHOOL BOARD<br>593 COVE ROAD<br>ROANOKE,VA 24019                                                                        |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| THE LEARNING CENTERS AT FAIRPLEX<br>1101 W MCKINLEY AVENUE<br>POMONA,CA 91768                                                           |                                                                                                                  | GOV                                   | PLANNING GRANT                                                                         | 8,000                     |                |
| BRIAN K MANLEY<br>8000 S CHAMBERS RD<br>CENTENNIAL,CO 80112                                                                             | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 30,000                    |                |
| COACHING CORPS<br>310 EIGHTH STREET SUITE 300<br>OAKLAND,CA 94607                                                                       |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 100,000                   |                |
| CLINTON-ESSEX-WARREN-WASHINGTON BOCES<br>PO BOX 455<br>PLATTSBURGH,NY 12901                                                             |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| BEVERLY HILLS FIREMENS RELIEF FUND<br>PO BOX 1720<br>BEVERLY HILLS,CA 90213                                                             |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 7,000                     |                |
| THE UCLA FOUNDATION<br>650 CHARLES E YOUNG DRIVE S SUITE 12-260<br>LOS ANGELES,CA 90095                                                 |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 25,000                    |                |
| CHILDREN'S HOSPITAL LOS ANGELES<br>4650 SUNSET BLVD MAILSTOP 29<br>LOS ANGELES,CA 90027                                                 |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 200,000                   |                |
| KATHRYN WORLEY<br>8756 MAST BLVD<br>SANTEE,CA 92071                                                                                     | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 30,000                    |                |
| CENTRAL CAROLINA COMMUNITY FOUNDATION<br>2142 BOYCE STREET SUITE 402<br>COLUMBIA,SC 29201                                               |                                                                                                                  | P C                                   | DISASTER RESPONSE                                                                      | 200,000                   |                |
| MUSEUM ASSOCIATES DBA LACMA<br>5905 WILSHIRE BLVD<br>LOS ANGELES,CA 90036                                                               |                                                                                                                  | P C                                   | DISASTER RESPONSE                                                                      | 200,000                   |                |
| CHRYSLALIS<br>522 S MAIN STREET<br>LOS ANGELES,CA 90013                                                                                 |                                                                                                                  | P C                                   | DISASTER RESPONSE                                                                      | 20,000                    |                |
| EQUAL JUSTICE INITIATIVE<br>122 COMMERCE STREET<br>MONTGOMERY,AL 36104                                                                  |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 50,000                    |                |
| AMERICAN NATIONAL RED CROSS<br>1450 S CENTRAL AVENUE<br>LOS ANGELES,CA 90021                                                            |                                                                                                                  | P C                                   | DISASTER RESPONSE                                                                      | 250,000                   |                |
| THE RAPE FOUNDATION<br>1223 WILSHIRE BLVD NO 410<br>SANTA MONICA,CA 90403                                                               |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 100,000                   |                |
| CAREER TECHNICAL EDUCATION FOUNDATION SONOMA COUNTY<br>1030 APOLLO WAY SUITE 200<br>SANTA ROSA,CA 95407                                 |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 100,000                   |                |
| LOS ANGELES BROTHERHOOD CRUSADE BLACK UNITED FUND<br>200 E SLAUSON AVENUE<br>LOS ANGELES,CA 90011                                       |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 125,000                   |                |
| PARTNERSHIP FOR LOS ANGELES SCHOOLS<br>1055 WILSHIRE BLVD SUITE 1850<br>LOS ANGELES,CA 90017                                            |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 130,000                   |                |
| WOMEN'S CANCER RESEARCH FUND OF BCRF<br>28 W 44TH STREET SUITE 609<br>NEW YORK,NY 10036                                                 |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 15,000                    |                |
| SCHOOL BOARD OF HILLSBOROUGH COUNTY<br>901 E KENNEDY BOULEVARD<br>TAMPA,FL 33602                                                        |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| GARRETT-KEYSER-BUTLER CSD<br>801 E HOUSTON STREET<br>GARETT,IN 46738                                                                    |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 50,000                    |                |
| LOS ANGELES URBAN LEAGUE<br>4401 CRENSHAW BLVD SUITE 201<br>LOS ANGELES,CA 90043                                                        |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 125,000                   |                |
| LOS ANGELES URBAN LEAGUE<br>4401 CRENSHAW BLVD SUITE 201<br>LOS ANGELES,CA 90043                                                        |                                                                                                                  | P C                                   | PLANNING GRANT                                                                         | 8,000                     |                |
| PORTLAND ART MUSEUM<br>1219 SW PARK AVENUE<br>PORTLAND,OR 97305                                                                         |                                                                                                                  | P C                                   | CAPITAL EXPANSION AND RENOVATION                                                       | 25,000                    |                |
| CEDARS-SINAI MEDICAL CENTER<br>8700 BEVERLY BOULEVARD SUITE 2416<br>LOS ANGELES,CA 90048                                                |                                                                                                                  | P C                                   | SUPPORT FOR SMIDT HEART INSTITUTE                                                      | 3,000,000                 |                |
| AMARILLO INDEPENDENT SCHOOL DISTRICT<br>7200 I-40 WEST<br>AMARILLO,TX 79106                                                             |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 50,000                    |                |
| ROBERT CAYLOR<br>100 PERRY STREET<br>GULFPORT,MS 39507                                                                                  | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 15,000                    |                |
| EVERYCHIL D FOUNDATION<br>PO BOX 1808<br>PACIFIC PALISADES,CA 90272                                                                     |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 6,000                     |                |
| MORTGAGE MIRACLES FOR KIDS INC<br>3002 DOW AVENUE SUITE 126<br>TUSTIN,CA 92780                                                          |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 100,000                   |                |
| ANTI-DEFAMATION LEAGUE<br>10495 SANTA MONICA BLVD<br>LOS ANGELES,CA 90035                                                               |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 620,000                   |                |
| CENTER THEATRE GROUP OF LOS ANGELES<br>601 W TEMPLE STREET<br>LOS ANGELES,CA 90012                                                      |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 10,000                    |                |
| ALZHEIMERS ASSOCIATION<br>225 N MICHIGAN AVENUE FLOOR 17<br>CHICAGO,IL 60601                                                            |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 5,000                     |                |
| BRENT TUTTLE<br>13520 ADELFA DRIVE<br>LA MIRADA,CA 90638                                                                                | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 15,000                    |                |
| OAKLAND SCHOOLS<br>8211 BIG LAKE ROAD<br>CLARKSTON,MI 48346                                                                             |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| SOUTH BAY WORKFORCE INV BOARD INC<br>110 S LA BREA AVENUE FIFTH FLOOR<br>INGLEWOOD,CA 90301                                             |                                                                                                                  | GOV                                   | PLANNING GRANT                                                                         | 8,000                     |                |
| TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA<br>2929 WALNUT STREET SUITE 300<br>PHILADELPHIA,PA 19104                                     |                                                                                                                  | P C                                   | ART COLLECTION FUND                                                                    | 300,000                   |                |
| ON RAMPS<br>6601 FRANKLIN AVENUE<br>NEW ORLEANS,LA 70122                                                                                |                                                                                                                  | P C                                   | TEACHER-LED SKILLED TRADES EDUCATION PROJECT                                           | 4,200                     |                |
| WICOMICO PUBLIC SCHOOLS<br>2424 NORTH GATE DRIVE<br>WILMINGTON,MD 21802                                                                 |                                                                                                                  | GOV                                   | TEACHER-LED SKILLED TRADES EDUCATION PROJECT                                           | 10,000                    |                |
| CITY YEAR INC<br>606 S OLIVE STREET 2ND FLOOR<br>LOS ANGELES,CA 90014                                                                   |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 100,000                   |                |
| STEM HIGH SCHOOL<br>8773 RIDGELINE BLVD<br>HIGHLANDS RANCH,CO 80129                                                                     |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 70,000                    |                |
| MICHAEL J SHALLENBERGER<br>200 E RIDGELINE BLVD<br>HIGHLANDS RANCH,CO 80129                                                             | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 30,000                    |                |
| INNERCITY STRUGGLE<br>530 S BOYLE AVENUE<br>LOS ANGELES,CA 90033                                                                        |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 135,000                   |                |
| MCGAVOCK HIGH SCHOOL<br>3150 MCGAVOCK PARK<br>NASHVILLE,TN 37214                                                                        |                                                                                                                  | GOV                                   | 2019 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| GARY MISHICA<br>501 CAMPUS DRIVE<br>HANCOCK,MI 49930                                                                                    | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 15,000                    |                |
| DEKALB COUNTY SCHOOL DISTRICT<br>1701 MOUNTAIN INDUSTRIAL BLVD<br>STONE MOUNTAIN,GA 30083                                               |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| VISALIA UNIFIED SCHOOL DISTRICT<br>5000 W CYPRESS AVENUE<br>VISALIA,CA 93277                                                            |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| PHASE ONE FOUNDATION<br>5792 W JEFFERSON BOULEVARD<br>LOS ANGELES,CA 90016                                                              |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 10,000                    |                |
| WASHINGTON COUNTY BOARD OF EDUCATION<br>10435 DOWNSVILLE PIKE<br>HAGERSTOWN,MD 21740                                                    |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| JACK BRITT HIGH SCHOOL<br>7403 ROCKFISH ROAD<br>FAYETTEVILLE,NC 28306                                                                   |                                                                                                                  | GOV                                   | TEACHER-LED SKILLED TRADES EDUCATION PROJECT                                           | 1,000                     |                |
| CALIFORNIA COMMUNITY FOUNDATION<br>221 S FIGUEROA SUITE 400<br>LOS ANGELES,CA 90012                                                     |                                                                                                                  | P C                                   | DISASTER RESPONSE                                                                      | 1,000,000                 |                |
| PUBLIC COUNCIL<br>525 S FLOWER STREET<br>LOS ANGELES,CA 90071                                                                           |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 150,000                   |                |
| LONG BEACH UNIFIED SCHOOL DISTRICT<br>1515 HUGHES WAY<br>LONG BEACH,CA 90810                                                            |                                                                                                                  | GOV                                   | PLANNING GRANT                                                                         | 8,000                     |                |
| WAYNE VIOLET<br>50 W OAK RIDGE DRIVE<br>HAGERSTOWN,MD 21740                                                                             | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 15,000                    |                |
| LOS ANGELES POLICE FOUNDATION<br>633 W 5TH STREET SUITE 960<br>LOS ANGELES,CA 90071                                                     |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 50,000                    |                |
| BIG PICTURE LEARNING COMPANY INC<br>325 PUBLIC STREET<br>PROVIDENCE,RI 02905                                                            |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 828,575                   |                |
| BABY2BABY<br>5830 W JEFFERSON BLVD SUITE 200<br>LOS ANGELES,CA 90016                                                                    |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 10,000                    |                |
| CHRYSLALIS<br>522 S MAIN STREET<br>LOS ANGELES,CA 90013                                                                                 |                                                                                                                  | P C                                   | DISASTER RESPONSE                                                                      | 25,000                    |                |
| VANGUARD CHARITABLE ENDOWMENT PROGRAM<br>PO BOX 9509<br>WARWICK,RI 02889                                                                |                                                                                                                  | P C                                   | GENERAL NONPROFIT SUPPORT                                                              | 4,000,000                 |                |
| OAKLAND SCHOOLS<br>8211 BIG LAKE ROAD<br>CLARKSTON,MI 48346                                                                             |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| CHRIS MOLLKOY<br>7266 ALAMEDA AVENUE<br>GOLETA,CA 93117                                                                                 | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 15,000                    |                |
| ALBERT EINSTEIN COLLEGE OF MEDICINE<br>3325 BAINBRIDGE AVENUE<br>BRONX,NY 10467                                                         |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 25,000                    |                |
| COMMUNITY COALITION FOR SUBSTANCE ABUSE<br>8101 S VERMONT AVENUE<br>LOS ANGELES,CA 90044                                                |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 125,000                   |                |
| CCF COMMUNITY INITIATIVE FUND<br>221 S FIGUEROA STREET SUITE 400<br>LOS ANGELES,CA 90012                                                |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 200,000                   |                |
| COUNTY OF SAN LUIS OBISPO EFT ACCOUNT<br>401 NISLEICK ROAD<br>PASO ROBLES,CA 93446                                                      |                                                                                                                  | GOV                                   | TEACHER-LED SKILLED TRADES EDUCATION PROJECT                                           | 1,500                     |                |
| FOOD FORWARD<br>7412 FULTON AVENUE SUITE 3<br>NORTH HOLLYWOOD,CA 91605                                                                  |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 125,000                   |                |
| UNITED WAY OF GREATER LOS ANGELES<br>1150 S OLIVE STREET SUITE T500<br>LOS ANGELES,CA 90015                                             |                                                                                                                  | P C                                   | DISASTER RESPONSE                                                                      | 100,000                   |                |
| NAACP LEGAL DEFENSE FUND INC<br>40 RECTOR STREET 5TH FLOOR<br>NEW YORK,NY 10006                                                         |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 125,000                   |                |
| VIP COMMUNITY MENTAL HEALTH CENTER INC<br>1721 GRIFFIN AVENUE<br>LOS ANGELES,CA 90031                                                   |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 50,000                    |                |
| NORWALK LA MIRADA UNIFIED SCHOOL DISTRICT<br>12820 PIONEER BLVD<br>NORWALK,CA 90650                                                     |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| STARS AND STRIPES CHILDRENS FOUNDATION<br>1137 MARINE DRIVE<br>LAGUNA BEACH,CA 92651                                                    |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 20,000                    |                |
| HANCOCK PUBLIC SCHOOLS<br>501 CAMPUS DRIVE<br>HANCOCK,MI 49930                                                                          |                                                                                                                  | GOV                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| UNICEF USA<br>10351 SANTA MONICA BLVD SUITE 402<br>LOS ANGELES,CA 90025                                                                 |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 60,000                    |                |
| WOODLAND JOINT UNIFIED SCHOOL DISTRICT<br>4350 14TH STREET<br>WOODLAND,CA 95695                                                         |                                                                                                                  | GOV                                   | TEACHER-LED SKILLED TRADES EDUCATION PROJECT                                           | 4,750                     |                |
| TRAVIS J WYRICK<br>2245 S LINWOOD STREET<br>VISALIA,CA 93277                                                                            | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 15,000                    |                |
| FLINTRIDGE CENTER<br>6800 WESTCHESTER PARKWAY<br>TRAILER 5<br>LOS ANGELES,CA 90045                                                      |                                                                                                                  | P C                                   | SUPPORT OF HIRELAX THROUGH ITS FISCAL SPONSOR                                          | 8,000                     |                |
| KYLE THOMPSON<br>4801 N 22ND STREET<br>TAMPA,FL 33610                                                                                   | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 15,000                    |                |
| UNITED WAY OF THE INLAND VALLEY<br>1835 CHICAGO AVENUE SUITE B<br>RIVERSIDE,CA 92507                                                    |                                                                                                                  | P C                                   | DISASTER RESPONSE                                                                      | 80,000                    |                |
| ALLIANCE FOR COLLEGE-READY PUBLIC SCHOOLS<br>601 SOUTH FIGUEROA STREET 4TH FLOOR<br>LOS ANGELES,CA 90017                                |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 325,000                   |                |
| MUSEUM ASSOCIATES DBA LACMA<br>5905 WILSHIRE BLVD<br>LOS ANGELES,CA 90036                                                               |                                                                                                                  | P C                                   | DISASTER RESPONSE                                                                      | 15,000                    |                |
| MARTIN COUNTRY SCHOOL DISTRICT<br>10000 SW BULLDOG WAY<br>STUART,FL 34997                                                               |                                                                                                                  | GOV                                   | TEACHER-LED SKILLED TRADES EDUCATION PROJECT                                           | 3,000                     |                |
| PORT OF LOS ANGELES HIGH SCHOOL<br>250 W 5TH STREET<br>SAN PEDRO,CA 90731                                                               |                                                                                                                  | GOV                                   | PLANNING GRANT                                                                         | 8,000                     |                |
| SKILLSUSA FOUNDATION INC<br>14001 SKILLSUSA WAY<br>LEESBURG,VA 20176                                                                    |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 115,000                   |                |
| NEW AMERICA FOUNDATION<br>740 15TH STREET NW SUITE 900<br>WASHINGTON,DC 20005                                                           |                                                                                                                  | P C                                   | PARTNERSHIP TO ADVANCE YOUTH APPRENTICESHIP                                            | 100,000                   |                |
| LOS ANGELES FIRE DEPARTMENT FOUNDATION<br>1895 CENTURY PARK EAST SUITE 200<br>LOS ANGELES,CA 90067                                      |                                                                                                                  | GOV                                   | GENERAL OPERATING SUPPORT                                                              | 50,000                    |                |
| INTERNATIONAL MEDICAL CORPS<br>9701 WILSHIRE BLVD SUITE 1000<br>BEVERLY HILLS,CA 90212                                                  |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 5,000                     |                |
| LA FAMILY HOUSING CORPORATION<br>7843 LANKERSHIM BLVD<br>NORTH HOLLYWOOD,CA 91605                                                       |                                                                                                                  | P C                                   | CAMPUS CAPITAL CAMPAIGN                                                                | 300,000                   |                |
| AMERICAN HEART ASSOCIATION INC<br>7272 GREENVILLE AVENUE<br>DALLAS,TX 75231                                                             |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 10,000                    |                |
| <b>Total</b>                                                                                                                            |                                                                                                                  |                                       |                                                                                        | <b>3a</b>                 | 17,681,335     |
| <b>b Approved for future payment</b>                                                                                                    |                                                                                                                  |                                       |                                                                                        |                           |                |
| THE RAPE FOUNDATION<br>1223 WILSHIRE BLVD NO 410<br>SANTA MONICA,CA 90403                                                               |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 200,000                   |                |
| FUND FOR PUBLIC SCHOOLS<br>52 CHAMBERS STREET ROOM 305<br>NEW YORK,NY 10007                                                             |                                                                                                                  | P C                                   | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - SCHOOL AWARD     | 35,000                    |                |
| LOS ANGELES URBAN LEAGUE<br>4401 CRENSHAW BLVD SUITE 201<br>LOS ANGELES,CA 90043                                                        |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 375,000                   |                |
| ALFIA ANDERSON<br>2474 CROTONA AVE<br>BRONX,NY 10458                                                                                    | NO RELATIONSHIP                                                                                                  | I                                     | 2020 HARBOR FREIGHT TOOLS FOR SCHOOLS PRIZE FOR TEACHING EXCELLENCE - INDIVIDUAL AWARD | 15,000                    |                |
| INNERCITY STRUGGLE<br>530 S BOYLE AVENUE<br>LOS ANGELES,CA 90033                                                                        |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 375,000                   |                |
| MARTIN LUTHER KING JR COMMUNITY HEALTH FOUNDATION<br>1680 E 120TH ST<br>LOS ANGELES,CA 90059                                            |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 375,000                   |                |
| COMMUNITY COALITION FOR SUBSTANCE ABUSE<br>8101 S VERMONT AVE<br>LOS ANGELES,CA 90044                                                   |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 375,000                   |                |
| LA BROTHERHOOD CRUSADE BLACK UNITED FND<br>200 EAST SLAUSON AVENUE STREET<br>LOS ANGELES,CA 90011                                       |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 375,000                   |                |
| ANTI-DEFAMATION LEAGUE<br>10495 SANTA MONICA BL<br>LOS ANGELES,CA 90035                                                                 |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 2,000,000                 |                |
| VIP COMMUNITY MENTAL HEALTH CENTER INC<br>1721 GRIFFIN AVENUE<br>LOS ANGELES,CA 90031                                                   |                                                                                                                  | P C                                   | GENERAL OPERATING SUPPORT                                                              | 100,000                   |                |
| <b>Total</b>                                                                                                                            |                                                                                                                  |                                       |                                                                                        | <b>3b</b>                 | 4,225,000      |
|                                                                                                                                         |                                                                                                                  |                                       |                                                                                        | Form <b>990-PF</b> (2020) |                |

| Enter gross amounts unless otherwise indicated.                                |  | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(See<br>instructions.) |
|--------------------------------------------------------------------------------|--|---------------------------|---------------|--------------------------------------|---------------|-----------------------------------------------------------------------|
|                                                                                |  | (a)<br>Business<br>code   | (b)<br>Amount | (c)<br>Exclusion code                | (d)<br>Amount |                                                                       |
| <b>1</b> Program service revenue:                                              |  |                           |               |                                      |               |                                                                       |
| <b>a</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>b</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>c</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>d</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>e</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>f</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>g</b> Fees and contracts from government agencies                           |  |                           |               |                                      |               |                                                                       |
| <b>2</b> Membership dues and assessments. . . . .                              |  |                           |               |                                      |               |                                                                       |
| <b>3</b> Interest on savings and temporary cash<br>investments . . . . .       |  |                           |               | 14                                   | 426,358       |                                                                       |
| <b>4</b> Dividends and interest from securities<br>. . . . .                   |  |                           |               | 14                                   | 229,804       |                                                                       |
| <b>5</b> Net rental income or (loss) from real estate:                         |  |                           |               |                                      |               |                                                                       |
| <b>a</b> Debt-financed property. . . . .                                       |  |                           |               |                                      |               |                                                                       |
| <b>b</b> Not debt-financed property. . . . .                                   |  |                           |               |                                      |               |                                                                       |
| <b>6</b> Net rental income or (loss) from personal<br>property                 |  |                           |               |                                      |               |                                                                       |
| <b>7</b> Other investment income. . . . .                                      |  |                           |               |                                      |               |                                                                       |
| <b>8</b> Gain or (loss) from sales of assets other than<br>inventory . . . . . |  |                           |               | 18                                   | -348,080      |                                                                       |
| <b>9</b> Net income or (loss) from special events:                             |  |                           |               |                                      |               |                                                                       |
| <b>10</b> Gross profit or (loss) from sales of inventory                       |  |                           |               |                                      |               |                                                                       |
| <b>11</b> Other revenue: <b>a</b> _____                                        |  |                           |               |                                      |               |                                                                       |
| <b>b</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>c</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>d</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>e</b> _____                                                                 |  |                           |               |                                      |               |                                                                       |
| <b>12</b> Subtotal. Add columns (b), (d), and (e). . . . .                     |  |                           | 0             |                                      | 308,082       | 0                                                                     |
| <b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . .               |  |                           |               | <b>13</b>                            | 308,082       | 308,082                                                               |

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

**1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a** Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash. . . . .

(2) Other assets. . . . .

**b Other transactions:**

**(1) Sales of assets to a noncharitable exempt organization.** . . . . .

**(2) Purchases of assets from a noncharitable exempt organization**

(3) Rental of facilities, equipment, or other assets. . . .

(4) Reimbursement arrangements. . . . .

(5) Loans or loan guarantees. . . .

**(6)** Performance of services or membership or fundraising solicitations. . . . .

**c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

## Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

|                                 |            |       |
|---------------------------------|------------|-------|
|                                 | 2021-11-15 |       |
| Signature of officer or trustee | Date       | Title |

May the IRS discuss this return with the preparer shown below  
(see instr.) ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

|                               |                                                           |                      |      |                                                 |                             |
|-------------------------------|-----------------------------------------------------------|----------------------|------|-------------------------------------------------|-----------------------------|
| <b>Paid Preparer Use Only</b> | Print/Type preparer's name                                | Preparer's Signature | Date | Check if self-employed <input type="checkbox"/> | PTIN<br>P01770943           |
|                               | MICHAEL R SALES                                           |                      |      |                                                 |                             |
|                               | Firm's name ▶ ERNST & YOUNG US LLP                        |                      |      |                                                 | Firm's EIN ▶ 34-656559      |
|                               | Firm's address ▶ 99 WOOD AVENUE SOUTH<br>ISELIN, NJ 08830 |                      |      |                                                 | Phone no.<br>(732) 516-4200 |

**Additional Data**

**Return to Form**

**Software ID:**

**Software Version:**

**Form 990PF - Special Condition Description:**

**Special Condition Description**

|                                                                                                              |                                                                                                                                                                                     |                                                     |
|--------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|
| <b>Schedule B</b><br>(Form 990, 990-EZ, or 990-PF)<br>Department of the Treasury<br>Internal Revenue Service | <b>Schedule of Contributors</b><br><br>▶ Attach to Form 990, 990-EZ, or 990-PF.<br>▶ Go to <a href="http://www.irs.gov/Form990">www.irs.gov/Form990</a> for the latest information. | OMB No. 1545-0047                                   |
|                                                                                                              |                                                                                                                                                                                     | <b>2020</b>                                         |
| Name of the organization<br>THE SMIDT FOUNDATION                                                             |                                                                                                                                                                                     | <b>Employer identification number</b><br>81-4631478 |

Organization type (check one):

|                    |                                                                                                           |
|--------------------|-----------------------------------------------------------------------------------------------------------|
| <b>Filers of:</b>  | <b>Section:</b>                                                                                           |
| Form 990 or 990-EZ | <input type="checkbox"/> 501(c)( ) (enter number) organization                                            |
|                    | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation |
|                    | <input type="checkbox"/> 527 political organization                                                       |
| Form 990-PF        | <input checked="" type="checkbox"/> 501(c)(3) exempt private foundation                                   |
|                    | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation            |
|                    | <input type="checkbox"/> 501(c)(3) taxable private foundation                                             |

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

|                                              |                                              |
|----------------------------------------------|----------------------------------------------|
| Name of organization<br>THE SMIDT FOUNDATION | Employer identification number<br>81-4631478 |
|----------------------------------------------|----------------------------------------------|

Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                             | (c)<br>Total contributions | (d)<br>Type of contribution                                                                                                                                                    |
|------------|-------------------------------------------------------------------------------|----------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1          | ERIC L SMIDT<br>9355 WILSHIRE BOULEVARD SUITE 400<br>BEVERLY HILLS, C A 90210 | \$ 27,514,025              | <input checked="" type="checkbox"/> Person<br><input type="checkbox"/> Payroll<br><input checked="" type="checkbox"/> Noncash<br>(Complete Part II for noncash contributions.) |
| 2          | ERIC L SMIDT<br>9355 WILSHIRE BOULEVARD SUITE 400<br>BEVERLY HILLS, C A 90210 | \$ 100,000,000             | <input checked="" type="checkbox"/> Person<br><input type="checkbox"/> Payroll<br><input type="checkbox"/> Noncash<br>(Complete Part II for noncash contributions.)            |
| .          |                                                                               | \$                         | <input type="checkbox"/> Person<br><input type="checkbox"/> Payroll<br><input type="checkbox"/> Noncash<br>(Complete Part II for noncash contributions.)                       |
| .          |                                                                               | \$                         | <input type="checkbox"/> Person<br><input type="checkbox"/> Payroll<br><input type="checkbox"/> Noncash<br>(Complete Part II for noncash contributions.)                       |
| .          |                                                                               | \$                         | <input type="checkbox"/> Person<br><input type="checkbox"/> Payroll<br><input type="checkbox"/> Noncash<br>(Complete Part II for noncash contributions.)                       |
| .          |                                                                               | \$                         | <input type="checkbox"/> Person<br><input type="checkbox"/> Payroll<br><input type="checkbox"/> Noncash<br>(Complete Part II for noncash contributions.)                       |
| .          |                                                                               | \$                         | <input type="checkbox"/> Person<br><input type="checkbox"/> Payroll<br><input type="checkbox"/> Noncash<br>(Complete Part II for noncash contributions.)                       |

|                                              |                                              |
|----------------------------------------------|----------------------------------------------|
| Name of organization<br>THE SMIDT FOUNDATION | Employer identification number<br>81-4631478 |
|----------------------------------------------|----------------------------------------------|

Part II

Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a)<br>No. from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(See instructions) | (d)<br>Date received |
|---------------------------|----------------------------------------------|------------------------------------------------|----------------------|
| 1                         | 385,548 SHARES OF VARIOUS STOCK              | \$ 27,514,025                                  | 2020-09-03           |
| (a)<br>No. from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(See instructions) | (d)<br>Date received |
| -                         |                                              | \$                                             |                      |
| (a)<br>No. from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(See instructions) | (d)<br>Date received |
| -                         |                                              | \$                                             |                      |
| (a)<br>No. from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(See instructions) | (d)<br>Date received |
| -                         |                                              | \$                                             |                      |
| (a)<br>No. from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(See instructions) | (d)<br>Date received |
| -                         |                                              | \$                                             |                      |
| (a)<br>No. from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(See instructions) | (d)<br>Date received |
| -                         |                                              | \$                                             |                      |
| (a)<br>No. from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(See instructions) | (d)<br>Date received |
| -                         |                                              | \$                                             |                      |

|                                              |                                              |
|----------------------------------------------|----------------------------------------------|
| Name of organization<br>THE SMIDT FOUNDATION | Employer identification number<br>81-4631478 |
|----------------------------------------------|----------------------------------------------|

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

|                           |                                       |                                     |                                          |
|---------------------------|---------------------------------------|-------------------------------------|------------------------------------------|
| (a)<br>No. from<br>Part I | (b) Purpose of gift                   | (c) Use of gift                     | (d) Description of how gift is held      |
|                           | <div></div> <div></div> <div></div>   | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div>      |
|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |
|                           | <div></div> <div></div> <div></div>   | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div>      |
|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |
|                           | <div></div> <div></div> <div></div>   | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div>      |
|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |
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|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |
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|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |
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|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |
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|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |
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|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |
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|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |
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|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |
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|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |
|                           | <div></div> <div></div> <div></div>   | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div>      |
|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |
|                           | <div></div> <div></div> <div></div>   | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div>      |
|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |
|                           | <div></div> <div></div> <div></div>   | <div></div> <div></div> <div></div> | <div></div> <div></div> <div></div>      |
|                           | (e) Transfer of gift                  |                                     |                                          |
|                           | Transferee's name, address, and ZIP 4 |                                     | Relationship of transferor to transferee |

# Additional Data

Return to Form

Software ID:

Software Version:

**TY 2020 IRS 990 e-File Render**

**Name:** THE SMIDT FOUNDATION  
**EIN:** 81-4631478

| Category        | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|--------|-----------------------|---------------------|---------------------------------------|
| ACCOUNTING FEES | 26,390 | 0                     |                     | 26,390                                |

## TY 2020 IRS 990 e-File Render

**Name:** THE SMIDT FOUNDATION

**EIN:** 81-4631478

| Category/ Item | Listed at Cost<br>or FMV | Book Value | End of Year<br>Fair Market<br>Value |
|----------------|--------------------------|------------|-------------------------------------|
| FUND 1         | FMV                      | 10,988,590 | 10,988,590                          |
| FUND 2         | FMV                      | 3,703,200  | 3,703,200                           |

**TY 2020 IRS 990 e-File Render**

**Name:** THE SMIDT FOUNDATION  
**EIN:** 81-4631478

| Category                       | Amount | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|--------------------------------|--------|--------------------------|------------------------|---------------------------------------------|
| REGULATORY COMPLIANCE SERVICES | 39,716 | 0                        |                        | 38,575                                      |

# TY 2020 IRS 990 e-File Render

**Name:** THE SMIDT FOUNDATION

**EIN:** 81-4631478

| Description         | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|---------------------|--------------------------------|--------------------------|---------------------------------|
| INTEREST RECEIVABLE |                                | 1,166                    | 1,166                           |

# TY 2020 IRS 990 e-File Render

**Name:** THE SMIDT FOUNDATION

**EIN:** 81-4631478

| Description                | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| BANK SERVICE FEES          | 336                            | 336                   |                     | 0                                     |
| LICENSES AND FEES          | 746                            | 0                     |                     | 746                                   |
| COMPUTER/INTERNET SERVICES | 151,715                        | 0                     |                     | 151,715                               |
| SUPPLIES                   | 9,531                          | 0                     |                     | 9,531                                 |
| POSTAGE AND MAILING        | 1,400                          | 0                     |                     | 1,400                                 |
| DE SECRETARY OF STATE      | 553                            | 0                     |                     | 553                                   |
| CA FRANCHISE TAX BOARD     | 10                             | 0                     |                     | 10                                    |
| DUES AND SUBSCRIPTIONS     | 31,910                         | 0                     |                     | 31,910                                |
| MISCELLANEOUS EXPENSE      | 3,303                          | 0                     |                     | 3,914                                 |

**TY 2020 IRS 990 e-File Render**

**Name:** THE SMIDT FOUNDATION

**EIN:** 81-4631478

| Description     | Amount    |
|-----------------|-----------|
| UNREALIZED GAIN | 1,304,126 |

## TY 2020 IRS 990 e-File Render

**Name:** THE SMIDT FOUNDATION

**EIN:** 81-4631478

| Category                   | Amount  | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|----------------------------|---------|--------------------------|------------------------|---------------------------------------------|
| PHOTOGRAPHY/VIDEO SERVICES | 3,684   | 0                        |                        | 3,684                                       |
| OTHER CONTRACT SERVICES    | 935,276 | 0                        |                        | 853,620                                     |
| OTHER PROFESSIONAL FEES    | 137     | 0                        |                        | 137                                         |

## TY 2020 IRS 990 e-File Render

**Name:** THE SMIDT FOUNDATION

**EIN:** 81-4631478

| Category               | Amount  | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
|------------------------|---------|--------------------------|------------------------|---------------------------------------------|
| FEDERAL EXCISE TAX     | 108,653 | 0                        |                        | 0                                           |
| CA FRANCHISE TAX BOARD | 1,600   | 0                        |                        | 0                                           |