

For calendar year 2020, or tax year beginning 01-01-2020

, and ending 12-31-2020

Name of foundation THE WINTHROP ROCKEFELLER FOUNDATION		A Employer identification number 71-0285871
Number and street (or P.O. box number if mail is not delivered to street address) 225 EAST MARKHAM STREET NO 200	Room/suite	B Telephone number (see instructions) (501) 376-6854
City or town, state or province, country, and ZIP or foreign postal code LITTLE ROCK, AR 72201		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ <u>157,147,472</u>	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	443,936	510,714		
	4 Dividends and interest from securities	795,072	1,235,835		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	2,675,929			
	b Gross sales price for all assets on line 6a _____ 29,104,376				
	7 Capital gain net income (from Part IV, line 2)		6,209,398		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	76,509	-724,382	0	
	12 Total. Add lines 1 through 11	3,991,446	7,231,565	0	
	13 Compensation of officers, directors, trustees, etc.	864,814	123,070	0	741,744
	14 Other employee salaries and wages	448,921	0	0	448,921
	15 Pension plans, employee benefits	563,279	20,311	0	542,968
	16a Legal fees (attach schedule)	7,547	4,165	0	3,382
	b Accounting fees (attach schedule)	60,068	36,320	0	23,748
	c Other professional fees (attach schedule)	813,723	561,924	0	158,447
	17 Interest	59	59	0	0
	18 Taxes (attach schedule) (see instructions)	63,721	77,910	0	0
	19 Depreciation (attach schedule) and depletion	43,050	0	0	
	20 Occupancy	125,582	0	0	125,582
	21 Travel, conferences, and meetings	8,760	645	0	8,115
	22 Printing and publications	28,428	0	0	28,428
	23 Other expenses (attach schedule)	800,406	10,020	0	740,379
	24 Total operating and administrative expenses. Add lines 13 through 23	3,828,358	834,424	0	2,821,714
	25 Contributions, gifts, grants paid	3,405,070			4,020,070
	26 Total expenses and disbursements. Add lines 24 and 25	7,233,428	834,424	0	6,841,784
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-3,241,982			
	b Net investment income (if negative, enter -0-)		6,397,141		
c Adjusted net income (if negative, enter -0-)				0	

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments		5,951,389	3,670,918	3,670,918
	3	Accounts receivable ▶ <u>58,705</u>				
		Less: allowance for doubtful accounts ▶ _____		17,693	58,705	58,705
	4	Pledges receivable ▶ _____				
		Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ <u>45,271</u>				
		Less: allowance for doubtful accounts ▶ _____ 0		13,536	45,271	45,271
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)		115,688,015	126,507,022	126,507,022
	c	Investments—corporate bonds (attach schedule)		17,409,741	18,728,605	18,728,605
	11	Investments—land, buildings, and equipment: basis ▶ <u>652,858</u>				
	Less: accumulated depreciation (attach schedule) ▶ <u>370,323</u>		301,162	282,535	282,535	
12	Investments—mortgage loans					
13	Investments—other (attach schedule)					
14	Land, buildings, and equipment: basis ▶ _____					
	Less: accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)		7,438,326	7,854,416	7,854,416	
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		146,819,862	157,147,472	157,147,472	
Liabilities	17	Accounts payable and accrued expenses		468,418	611,018	
	18	Grants payable		2,637,494	2,022,494	
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22).		3,105,912	2,633,512	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☒ and complete lines 24, 25, 29 and 30.					
	24	Net assets without donor restrictions		143,713,950	154,513,960	
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances (see instructions)		143,713,950	154,513,960	
	30	Total liabilities and net assets/fund balances (see instructions) .		146,819,862	157,147,472	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1 143,713,950
2	Enter amount from Part I, line 27a	2 -3,241,982
3	Other increases not included in line 2 (itemize) ▶ _____	3 14,041,992
4	Add lines 1, 2, and 3	4 154,513,960
5	Decreases not included in line 2 (itemize) ▶ _____	5 0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6 154,513,960

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES	P		
b PROGRAM-RELATED INVESTMENTS	P		
c K-1 - OWL VENTURES II, LP	P		
d K-1 - BAIN CAPITAL DOUBLE IMPACT FUND	P		
e K-1 - GQG PARTNERS	P		
K-1 - OWNERSHIP CAPITAL	P		
K-1 - DIAMOND STATE VENTURES III	P		
K-1 - DIAMOND STATE VENTURES II, LP	P		
K-1 - FUND FOR ARKANSAS' FUTURE	P		
K-1 - ELEVAR EQUITY	P		
K-1 - IMPACT AMERICA	P		
K-1 - SJF VENTURES IV	P		
K-1 - STEWART INVESTORS GEMSL FUND	P		
K-1 - NEWTYN TE PARTNERS LP	P		
K-1 - ILLUMEN CAPITAL	P		
K-1 - PRESERVER LP	P		
CAPITAL GAINS DIVIDENDS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 25,451,976		22,749,138	2,702,838
b 43,125			43,125
c		30,838	-30,838
d 415,445			415,445
e 1,820,589			1,820,589
261,413			261,413
		66,477	-66,477
331,358			331,358
30,552			30,552
68,852			68,852
13,496			13,496
380,831			380,831
		43,917	-43,917
269,590			269,590
4,879			4,879
		4,608	-4,608
12,270			12,270

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,702,838
b			43,125
c			-30,838
d			415,445
e			1,820,589
			261,413
			-66,477
			331,358
			30,552
			68,852
			13,496
			380,831
			-43,917
			269,590
			4,879
			-4,608
			12,270

Capital gain net income or (net capital loss)		2	6,209,398
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		
	If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	6,197,128

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved		2	
3 Reserved.		3	
4 Reserved		4	
5 Reserved		5	
6 Reserved		6	
7 Reserved		7	
8 Reserved		8	

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.		
	Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved	1	88,920
All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	88,920
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	88,920
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	105,720
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	10,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d	7	115,720
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	314
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	26,486
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded	11	0
			26,486

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			No
		1b		No
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ <u>0</u> (2) On foundation managers. ☐ \$ <u>0</u>			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ <u>0</u>			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?.			
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	6	Yes	
		7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ AR			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►WWW.WRFOUNDATION.ORG/WWW.GUIDESTAR.ORG	13	Yes	
14	The books are in care of ►ANDREA M DOBSON Telephone no. ►(501) 376-6854 Located at ►225 EAST MARKHAM STREET STE 200 LITTLE ROCK AR 72201 ZIP+4 ►			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance check here. ► ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
	a At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____			
	b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
	c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No			
	b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
	b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.

☒ Yes

☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance check here.

☐

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes

☒ No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

☐ Yes

☒ No

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Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

☐ Yes

☒ No

Yes

No

5b

Yes

6b

No

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SHERECE WEST-SCANTLEBURY 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	PRESIDENT & CEO 50.00	380,973	56,401	0
ANDREA DOBSON 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	COFO 50.00	283,242	48,904	0
REGAN GRUBER MOFFITT 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	SECRETARY 50.00	200,599	33,986	0
CHRIS VINCENT 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	CHAIR 2.77	0	0	0
CHRIS CARDONA 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	VICE CHAIR 1.48	0	0	0
MIKE RAMIREZ 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.78	0	0	0
KILOLO KIJAKAZI 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.63	0	0	0
CHARLOTTE GREEN 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.36	0	0	0
MIKE MALONE 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.58	0	0	0
TERRY MAZANY 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 0.79	0	0	0
WIN ROCKEFELLER JR 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.57	0	0	0
KIISHA MARROW 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.55	0	0	0
SHARON TOOMER 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.35	0	0	0
LISENNE ROCKEFELLER 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.25	0	0	0
ROSA VELAZQUEZ 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.52	0	0	0
CEDRIC WILLIAMS 225 EAST MARKHAM STREET STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.15	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CORY ANDERSON	CHIEF INNOVATION	239,131	33,048	0
225 EAST MARKHAM STREET STE 200	OFF			
LITTLE ROCK,AR 72201	50.00			
SARAH MCBROOM	EQUITY OFFICER	87,541	20,629	0
225 EAST MARKHAM STREET STE 200	40.00			
LITTLE ROCK,AR 72201				
SHANTELL HINTON	EQUITY OFFICER	87,557	16,404	0
225 EAST MARKHAM STREET STE 200	40.00			
LITTLE ROCK,AR 72201				
DAVID LEWIS	EQUITY OFFICER	87,835	10,561	0
225 EAST MARKHAM STREET STE 200	40.00			
LITTLE ROCK,AR 72201				
KATIE WIGGINS	SENIOR	67,700	20,397	0
225 EAST MARKHAM STREET STE 200	OPERATIONS AS			
LITTLE ROCK,AR 72201	40.00			
Total number of other employees paid over \$50,000. ►				1

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CAMBRIDGE ASSOCIATES 125 HIGH STREET BOSTON,MA 021102112	INVESTMENT ADVISORY SERVICES	262,043
THE NORTHERN TRUST COMPANY 5 SOUTH LASALLE STREET CHICAGO,IL 60603		
OAKVIEW CAPITAL MANAGEMENT 3879 MAPLE AVE SUITE 300 DALLAS,TX 75219	INVESTMENT CUSTODY & MANAGEMENT SERVICES	116,455
SPITFIRE STRATEGIES LLC 2300 N STREET NW STE 610 WASHINGTON,DC 20037	INVESTMENT MANAGEMENT SERVICES	86,435
SARA BISHOP DBA ORCHID COMMUNICATIO 410 W HOLLY ST FAYETTEVILLE,AR 72703	COMMUNICATION ADVISING	71,362
	COMMUNICATION ADVISING	64,656
Total number of others receiving over \$50,000 for professional services. 2		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 FORWARD ARKANSAS (15 ORGANIZATIONS ENGAGED):FORWARD ARKANSAS (FORWARD) IS AN INDEPENDENT NONPROFIT ORGANIZATION FOUNDED THROUGH A PARTNERSHIP OF THE WINTHROP ROCKEFELLER FOUNDATION, THE WALTON FAMILY FOUNDATION, AND THE ARKANSAS STATE BOARD OF EDUCATION. COMMITTED TO MAKING ARKANSAS A LEADING STATE IN EDUCATION AND TO CLOSING THE ACHIEVEMENT GAP WITHIN A GENERATION, FORWARD IS GUIDED BY A COMPREHENSIVE STRATEGIC PLAN FOR P-16 PUBLIC EDUCATION CREATED BY ARKANSAS RESIDENTS, EDUCATORS, BUSINESS LEADERS, AND POLICYMAKERS. IN 2020, WRF DEPLOYED A SENIOR WRF STAFF MEMBER TO SERVE AS INTERIM EXECUTIVE DIRECTOR TO REFOCUS THE ORGANIZATION ON EQUITY.	270,360
2 PHILANTHROPIC COLLABORATION (54 ORGANIZATIONS ENGAGED):WRF HAS DIRECTLY SUPPORTED THE INFRASTRUCTURE FOR FUNDERS TO HAVE COLLECTIVE IMPACT ON SIGNIFICANT CHALLENGES FACING THE STATE OF ARKANSAS. THROUGH GROUPS LIKE ARKANSAS BLACK PHILANTHROPY COLLABORATIVE (ABPC), ARKANSAS ASSET FUNDERS NETWORK (AFN), ARKANSAS IMPACT PHILANTHROPY (AIP), AND DELTA PHILANTHROPY FORUM (DPF), THIS PHILANTHROPIC COLLABORATION HAS BROUGHT TOGETHER COALITIONS AND RESOURCES TO SUPPORT COVID RELIEF AND RECOVERY, INCREASED ACCESS TO CAPITAL FOR PEOPLE OF COLOR AND WOMEN, AND MORE RESOURCES FOR THE DELTA REGION.	256,160
3 ASSET LIMITED, INCOME CONSTRAINED, EMPLOYED "ALICE" (28 ORGANIZATIONS ENGAGED):WRF CREATED THE ABC'S OF EQUITY (ALICE, BUSINESS CASE FOR EQUITY, AND CAPITAL ACCESS REPORT) TO QUANTIFY EXISTING EQUITY CHALLENGES AND TO IDENTIFY STRATEGIES TO OVERCOME THEM. IN PARTNERSHIP WITH ENTERGY ARKANSAS AND UNITED WAY, WRF RELEASED THE ALICE REPORT IN MARCH. ALICE HAS CREATED A COMMON FRAMEWORK FOR STAKEHOLDERS TO CONNECT TO ESSENTIAL WORKERS AND UNDERSTAND THE STRUCTURAL BARRIERS THEY FACE. WRF USED ALICE AS PART OF A LONG-TERM STRATEGY TO SUPPORT THESE STAKEHOLDERS TO COLLABORATE ON AN ECONOMIC EQUITY AGENDA.	195,823
4 REIMAGINE ARKANSAS (2 ORGANIZATIONS ENGAGED):CREATED BY WRF IN 2020, REIMAGINE ARKANSAS IS THE ONLY STATEWIDE INITIATIVE FOR NARRATIVE CHANGE THAT LISTENS TO THOSE MOST IMPACTED BY INEQUITY, OUR ALICE FAMILIES AND WORKERS, AND SHARES THEIR STORIES VIA A WIDELY ACCESSIBLE PLATFORM. BY ENABLING STORIES TO PROLIFERATE, WE AIM TO UNDERMINE ASSUMPTIONS AND PRECONCEPTIONS AND CHANGE THE NARRATIVE ABOUT ALICE FAMILIES TO INFLUENCE POLICY AND ADVOCACY AND ENCOURAGE OTHERS TO JOIN AR EQUITY 2025'S MOVEMENT BUILDING. WRF LAUNCHED REIMAGINE ARKANSAS USING LISTENING TECHNOLOGY TO SURFACE REAL, UNBIASED PERSPECTIVES AND STORIES OF ALICE FAMILIES.	175,715

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 ADDITIONAL LOAN MADE TO COMMUNITIES UNLIMITED:COMMUNITIES UNLIMITED, INC. IS A MULTI-STATE COMMUNITY DEVELOPMENT ORGANIZATION AND COMMUNITY DEVELOPMENT FINANCIAL INSTITUTION ESTABLISHED IN 1976 TO MOVE PEOPLE AND COMMUNITIES IN PERSISTENTLY POOR COUNTIES IN THE SOUTH TOWARD PROSPERITY. COMMUNITIES UNLIMITED SERVES 45% OF THE PERSISTENT POVERTY COUNTIES IN THE UNITED STATES. A PERSISTENT POVERTY COUNTY IS ONE IN WHICH OVER 20% OF ITS RESIDENTS HAVE LIVED IN POVERTY FOR 30 OR MORE YEARS. OVER HALF OF ARKANSAS COUNTIES ARE PERSISTENT POVERTY COUNTIES. COMMUNITIES UNLIMITED IS UNWINDING GENERATIONS OF INEQUITY AND DISINVESTMENT THROUGH HUMAN CONNECTION AND INGENUITY, COMBINED WITH CUTTING-EDGE TECHNOLOGY AND EXPERTISE. THE 2020 NOTE RECEIVABLE IS NONINTEREST BEARING FOR THE FIRST TWO YEARS. BEGINNING DECEMBER 31, 2022, INTEREST WILL ACCRUE AT 0.75%, AND QUARTERLY INTEREST ONLY PAYMENTS WILL COMMENCE ON MARCH 31, 2023. ALL UNPAID PRINCIPAL AND ACCRUED INTEREST IS DUE DECEMBER 31, 2030.	500,000
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	500,000

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	130,250,058
b	Average of monthly cash balances.	1b	4,385,702
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	134,635,760
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	134,635,760
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	2,019,536
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	132,616,224
6	Minimum investment return. Enter 5% of line 5.	6	6,630,811

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	6,630,811
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	88,920
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	88,920
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	6,541,891
4	Recoveries of amounts treated as qualifying distributions.	4	32,394
5	Add lines 3 and 4.	5	6,574,285
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . .	7	6,574,285

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	6,841,784
b	Program-related investments—total from Part IX-B.	1b	500,000
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,341,784
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	7,341,784

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1	Distributable amount for 2020 from Part XI, line 7				6,574,285
2	Undistributed income, if any, as of the end of 2020:				
a	Enter amount for 2019 only.			0	
b	Total for prior years: 20____, 20____, 20____		0		
3	Excess distributions carryover, if any, to 2020:				
a	From 2015.				
b	From 2016.	562,654			
c	From 2017.	1,952,914			
d	From 2018.				
e	From 2019.				
f	Total of lines 3a through e.	2,515,568			
4	Qualifying distributions for 2020 from Part XII, line 4: ► \$ 7,341,784				
a	Applied to 2019, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions).		0		
c	Treated as distributions out of corpus (Election required—see instructions).	0			
d	Applied to 2020 distributable amount				6,574,285
e	Remaining amount distributed out of corpus	767,499			
5	Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6	Enter the net total of each column as indicated below:				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,283,067			
b	Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d	Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e	Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f	Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8	Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	0			
9	Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	3,283,067			
10	Analysis of line 9:				
a	Excess from 2016	562,654			
b	Excess from 2017	1,952,914			
c	Excess from 2018.				
d	Excess from 2019				
e	Excess from 2020	767,499			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test—enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test—enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ONLINE AT WWW.WRFOUNDATIONORG
225 EAST MARKHAM STREET SUITE 200
LITTLE ROCK,AR 72201
(501) 376-6854

b

The form in which applications should be submitted and information and materials they should include:

WRF PROVIDES GENERAL OPERATING SUPPORT, PROJECT SUPPORT, TECHNICAL ASSISTANCE, AND PROGRAM RELATED INVESTMENTS TO ORGANIZATIONS WORKING TO ADVANCE ECONOMIC EQUITY, EDUCATIONAL EQUITY AND/OR SOCIAL, ETHNIC, AND RACIAL EQUITY WITHIN ARKANSAS. DETAILED GUIDELINES ARE AVAILABLE ON OUR WEBSITE AT [HTTPS://WWW.WRFOUNDATION.ORG/WHAT-WE-FUND/#HOW](https://www.wrfoundation.org/what-we-fund/#how). OUR APPROACH BEGINS WITH AN IDEA SUBMITTED TO OUR ONLINE PORTAL, WWW.WRFOUNDATION.ORG/HOW-TO-APPLY/

c

Any submission deadlines:

SUBMISSIONS ARE ROLLING AND CAN BE RECEIVED ONLINE AT WWW.WRFOUNDATION.ORG/HOW-TO-APPLY/ AT ANY TIME

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

WRF GRANTS AND PROGRAM-RELATED INVESTMENTS ARE LIMITED TO INITIATIVES THAT DIRECTLY OR SIGNIFICANTLY IMPACT THE STATE OF ARKANSAS, AND ARE PRIMARILY PROVIDED TO ORGANIZATIONS LOCATED IN ARKANSAS. IF YOUR INITIATIVE IS NOT LED BY A NONPROFIT, GOVERNMENT AGENCY, SCHOOL, COLLEGE, OR UNIVERSITY, WRF WILL REQUIRE A FISCAL SPONSOR. A FISCAL SPONSOR ACTS AS A GUARDIAN OF GRANT FUNDS, RETAINS DISCRETION AND CONTROL OVER THE USE OF GRANT FUNDS, AND ENSURES THAT THE FUNDS ARE USED FOR 501(C)(3) PURPOSES. THE FISCAL SPONSOR AND ORGANIZATION'S MISSIONS SHOULD BE IN ALIGNMENT. WRF WILL GENERALLY NOT: FUND INDIVIDUALS SUPPORT CAPITAL EXPENDITURES (CONSTRUCTION, MAJOR RENOVATIONS, EQUIPMENT, OR SUPPLIES) PROVIDE OPERATING SUPPORT TO COVER BUDGET SHORTFALLS SUPPORT FUNDRAISING CAMPAIGNS SUPPORT BASIC SCIENTIFIC RESEARCH SUPPORT ENDOWMENTS SUPPORT INITIATIVES THAT DO NOT DIRECTLY OR SIGNIFICANTLY HAVE AN IMPACT WITHIN THE STATE OF ARKANSAS

Grants and Contributions Paid During the Year or Approved for Future Payment			
Recipient			
Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation recipient	Purpose of grant or contribution
Amount			
Arkansas Advocates for Community and Rural Education Various Little Rock, AR 72201		PC	To support the rural community alliances to carry out its mission to help rural schools and communities thrive through parent and youth organizing, parent and youth leadership engagement, and education advocacy
Arkansas Advocates for Children and Families Various Little Rock, AR 72201		PC	To grow public will and infrastructure to support movement building for Alice Evictions and Housing Instability
Arkansas Community Action Agencies Association Inc Various Little Rock, AR 72201		PC	To leverage collective impact for Alice families co-invest in a coalition of diverse organizations providing direct support, organizing, and resources for Alice workers facing evictions and housing instability
Arkansas Community Foundation Inc Various Little Rock, AR 72201		PC	To provide general operating support to Arkansas Impact Philanthropy as it identifies and takes additional strategic philanthropic strategies to advance equity in Arkansas
Arkansas Community Foundation Inc Various Little Rock, AR 72201		PC	To serve as fiscal sponsor for the Excel by Eight initiative to increase health and economic outcomes and decrease existing gaps across gender, income, and geography, for children ages birth to 8
Arkansas Community Foundation Inc Various Little Rock, AR 72201		PC	To support direct services, advocacy, and organizing so that immigrants and refugees can fully participate in a thriving and prosperous state that benefits all Arkansans
Arkansas Community Foundation Inc Various Little Rock, AR 72201		PC	To conduct an internal equity audit and related training in preparation for strategic planning
Arkansas Community Foundation Inc Various Little Rock, AR 72201		PC	To support urgent funding needs of LGBTQ-led and -serving nonprofit organizations in Arkansas by providing stabilization grants in response to the basic needs of COVID-19
Arkansas Community Foundation Inc Various Little Rock, AR 72201		PC	To leverage individual and philanthropic funds to support nonprofit organizations actively responding to Arkansans in need
Arkansas Community Intitute Various Little Rock, AR 72201		PC	To develop community-driven problem-solving and strengthen narrative capacity of grassroots leaders engaged in challenging the root causes of inequity
Arkansas Community Intitute Various Little Rock, AR 72201		PC	To build collective impact for Alice families co-invest in a coalition of diverse organizations providing direct support, organizing, and resources for Alice workers facing evictions and housing instability
Arkansas Early Childhood Association Various Little Rock, AR 72201		PC	To complete a strategic plan driven by engagement of its members
Arkansas Justice Collective Various Little Rock, AR 72201		PC	To build collective impact for Alice families co-invest in a coalition of diverse organizations providing direct support, organizing, and resources for Alice workers facing evictions and housing instability
Arkansas Regional Innovation Hub Various Little Rock, AR 72201		PC	To provide residents living along the 12th Street Corridor with the tools necessary to access economic and educational opportunities by broadcasting wifi through a mobile makerspace
Arkansas United Community Coalition Various Little Rock, AR 72201		PC	To build and sustain the capacity of immigrant-led and -serving organizations that center immigrant voice through leadership development and power building
Arkansas United Community Coalition Various Little Rock, AR 72201		PC	To support the organizing infrastructure in Arkansas for long-term structural economic reform and bring awareness to barriers faced by Alice workers in the states essential worker population
Asset Funders Network Various Little Rock, AR 72201		PC	To support a local coordinator for the Arkansas Asset Funders Network who will oversee the coalition of director, membership and programs to advance economic equity in Arkansas
Asset Funders Network Various Little Rock, AR 72201		PC	To leverage local and national funds to support advocacy for a state earned income tax credit in Arkansas
Asset Funders Network Various Little Rock, AR 72201		PC	To support the Arkansas Asset Funders Network participation in a national cohort of narrative change collaborators to create a policy of funders, businesses, banks, and policy-maker champions for an asset limited income constrained employed (ALICE) agenda and to mobilize risk-driven change on barriers to Alice families.
Center for Arkansas Legal Services Various Little Rock, AR 72201		PC	To build collective impact for Alice families co-invest in a coalition of diverse organizations providing direct support, organizing, and resources for Alice workers facing evictions and housing instability
Communities Unlimited Various Little Rock, AR 72201		PC	To provide matching funds for USDA Rural Business Development Grants for Arkansas to provide technical assistance programs to entrepreneurs and to create and strengthen entrepreneurial ecosystems in three Delta communities.
Communities Unlimited Various Little Rock, AR 72201		PC	To provide entrepreneurs, small businesses, and consumers in Arkansas with access to immediate, affordable loans and grants to address losses in revenue, make payroll, allow for adjustments in service or product delivery, and address basic needs
Communities Unlimited Various Little Rock, AR 72201		PC	To support small businesses in the Arkansas Delta region with flexible grants and technical assistance to sustain during and after the COVID-19 pandemic
Decarcerate Various Little Rock, AR 72201		PC	To empower leaders to create a policy agenda to decriminalize poverty and confront systems of injustice
Decarcerate Various Little Rock, AR 72201		PC	To work with a team of Clinton School of Public Service of students to create a report on the collateral consequences of fines and fees in Pulaski County District Courts
Delta Circles Inc Various Little Rock, AR 72201		PC	To support small businesses in the Arkansas Delta region with flexible grants and technical assistance to sustain during and after the COVID-19 pandemic
Delta Community Development and Law Center Inc Various Little Rock, AR 72201		PC	To support small businesses in the Arkansas Delta region with flexible grants and technical assistance to sustain during and after the COVID-19 pandemic
Derek Lewis Foundation Various Little Rock, AR 72201		PF	To establish the Arkansas Black Philanthropy Collaborative
East Arkansas Enterprise Community Inc Various Little Rock, AR 72201		PC	To support small businesses in the Arkansas Delta region with flexible grants and technical assistance to sustain during and after the COVID-19 pandemic
Endeavor Foundation Various Little Rock, AR 72201		PC	To provide cash reimbursements up to \$1,000 to families in Northwest Arkansas for food, gas, rent/housing, and utilities through the Hark at Endeavor Social Services Infrastructure
Financing Ozarks Rural Growth and Economy (FORGE) Various Little Rock, AR 72201		PC	To provide entrepreneurs, small businesses, and consumers in Arkansas with access to immediate, affordable loans and grants to address losses in revenue, make payroll, allow for adjustments in service or product delivery, and address basic needs
Forward Arkansas Various Little Rock, AR 72201		PC	To support forward Arkansas in fulfilling its mission and work plan to promote equity and increase student achievement and economic prosperity for Arkansas; to serve in the roles of catalyst, convener, and truth-teller; and to engage and empower communities and deliver results through systemic change
Foundation for Social Impact Various Little Rock, AR 72201		PC	To deepen the capacity of the Black/Brown Power Coalition infrastructure that centers community voice through leadership development and power building
Just Communities for Arkansas Various Little Rock, AR 72201		PC	To create an inclusive community vision for a place-based racial equity movement in Russellville, Arkansas
Little Rock Public Education Foundation Inc Various Little Rock, AR 72201		PC	To support parent engagement as the city of Little Rock and its partners implement community schools within the Little Rock School District
Little Rock Public Education Foundation Inc Various Little Rock, AR 72201		PC	To partially support the education officer for the city of Little Rock to create and implement a parent engagement strategy
Mission Investors Exchange Various Little Rock, AR 72201		PC	2020 Mission Investors Exchange Conference Sponsorship
National Council on Teacher Quality Various Little Rock, AR 72201		PC	To supply state-based early reading advocates and policy makers with advocacy toolkits to increase the awareness of the need for teacher candidates to be prepared to teach reading using evidence-based methods
Nieghborhood Funders Group Various Little Rock, AR 72201		PC	To support the integrated rural strategies working group in highlighting important social justice movements coming from and to rural communities and to influence the philanthropic sector's approach to funding rural organizing.
Nieghborhood Funders Group Various Little Rock, AR 72201		PC	To support convening 2020's convening sponsorship
People Trust Various Little Rock, AR 72201		PC	To provide financial products otherwise not available to residents of Southwest Little Rock and the 12th Street Corridor to meet the short- and long-term financial needs of families seeking to grow assets
People Trust Various Little Rock, AR 72201		PC	To provide entrepreneurs, small businesses, and consumers in Arkansas with access to immediate, affordable loans and grants to address losses in revenue, make payroll, allow for adjustments in service or product delivery, and address basic needs
People Trust Various Little Rock, AR 72201		PC	To support Arkansas only Black-owned community development financial institution through internal capacity building to stimulate expansion and growth
Phoenix Youth and Family Services Inc Various Little Rock, AR 72201		PC	To support small businesses in the Arkansas Delta region with flexible grants and technical assistance to sustain during and after the COVID-19 pandemic
Southeastern Council of Foundations Various Little Rock, AR 72201		PC	To build an equitable South and promote innovative and transformative philanthropy in the region.
Southern Bancorp Community Partners Various Little Rock, AR 72201		PC	To process grant payments as part of the Arkansas Department of Human Services CARES Act grant program for nonprofit organizations providing COVID relief services in low wealth communities
Southern Bancorp Community Partners Various Little Rock, AR 72201		PC	To leverage national resources to build the capacity of social justice leaders and advocates
University of Arkansas - Office of Research and Sponsored Programs Various Little Rock, AR 72201		NC	To produce a 5-part radio/podcast series and panel discussion titled "The Movement That Never Was: A Peoples Guide to Antiracism in the South and Arkansas"
University of Central Arkansas Various Little Rock, AR 72201		NC	To partner with the Arkansas Department of Equity Education Project (Harvard Deep) to launch UCA Deep to examine inequity and system disruption within the UCA teacher preparation program and other programs statewide
Urban League of the State of Arkansas Inc Various Little Rock, AR 72201		PC	To support the Urban League of Arkansas to carry out its mission to support advocacy, research, and services for Black and Brown communities so that all families can participate in a thriving and prosperous state that benefits all Arkansans
Winrock International Various Little Rock, AR 72201		PC	To coordinate equitable development within opportunity zones in Arkansas
Women's Foundation of Arkansas Various Little Rock, AR 72201		PC	To support Arkansas operating funds for its mission to support women and girls in Arkansas to realize and achieve their full potential, advancing economic equity for women
Women's Foundation of Arkansas Various Little Rock, AR 72201		PC	To provide entrepreneurs, small businesses, and consumers in Arkansas with access to immediate, affordable loans and grants to address losses in revenue, make payroll, allow for adjustments in service or product delivery, and address basic needs
Unrestricted Grants Under 5000 Various Little Rock, AR 72201		PC	Unrestricted grants under \$5,000
Total			3a 4,020,070
b Approved for future payment			
Arkansas Advocates for Children and Families Various Little Rock, AR 72201		PC	To grow public will and narrative change infrastructure to support movement building for Alice families
Arkansas Baptist College Various Little Rock, AR 72201		NC	To reimagine the long-term infrastructure needed to ensure the sustainability of the states four historically Black colleges and universities
Arkansas Community Action Agencies Association Inc Various Little Rock, AR 72201		PC	To build collective impact for Alice families co-invest in a coalition of diverse organizations providing direct support, organizing, and resources for Alice workers facing evictions and housing instability
Arkansas Community Intitute Various Little Rock, AR 72201		PC	To build collective impact for Alice families co-invest in a coalition of diverse organizations providing direct support, organizing, and resources for Alice workers facing evictions and housing instability
Arkansas Justice Collective Various Little Rock, AR 72201		PC	To build collective impact for Alice families co-invest in a coalition of diverse organizations providing direct support, organizing, and resources for Alice workers facing evictions and housing instability
Arkansas United Community Coalition Various Little Rock, AR 72201		PC	To build and sustain the capacity of immigrant-led and -serving organizations that center immigrant voice through leadership development and power building
Asset Funders Network Various Little Rock, AR 72201		PC	To leverage local and national funds to support advocacy for a state earned income tax credit in Arkansas
Capacity for Equity Fund Various Little Rock, AR 72201		NC	2021 Capacity for Equity Fund
CCCUA Foundation Inc Various Little Rock, AR 72201		PC	2020 Rosa Velasquez Board of Directors Honorarium
Center for Arkansas Legal Services Various Little Rock, AR 72201		PC	To build collective impact for Alice families co-invest in a coalition of diverse organizations providing direct support, organizing, and resources for Alice workers facing evictions and housing instability
Communities Unlimited Various Little Rock, AR 72201		PC	To support small businesses in the Arkansas Delta region with flexible grants and technical assistance to sustain during and after the COVID-19 pandemic
Decarcerate Various Little Rock, AR 72201		PC	To empower leaders to create a policy agenda to decriminalize poverty and confront systems of injustice
Delta Circles Inc Various Little Rock, AR 72201		PC	To support small businesses in the Arkansas Delta region with flexible grants and technical assistance to sustain during and after the COVID-19 pandemic
Delta Circles Inc Various Little Rock, AR 72201		PC	2020 Kilo Kijakazi Board of Directors Honorarium
Delta Community Development and Law Center Inc Various Little Rock, AR 72201		PC	To support small businesses in the Arkansas Delta region with flexible grants and technical assistance to sustain during and after the COVID-19 pandemic
East Arkansas Enterprise Community Inc Various Little Rock, AR 72201		PC	To support small businesses in the Arkansas Delta region with flexible grants and technical assistance to sustain during and after the COVID-19 pandemic
Foundation for Social Impact Various Little Rock, AR 72201		PC	To deepen the capacity of the Black/Brown Power Coalition infrastructure that centers community voice through leadership development and power building
Hispanic Women's Organization of Arkansas Various Little Rock, AR 72201		PC	2020 Rosa Velasquez Board of Directors Honorarium
Hope Enterprise Corporation Various Little Rock, AR 72201		PC	To build collective impact for Alice families co-invest in a coalition of diverse organizations providing direct support, organizing, and resources for Alice workers facing evictions and housing instability
Little Rock Public Education Foundation Inc Various Little Rock, AR 72201		PC	To support parent engagement as the city of Little Rock and its partners implement community schools within the Little Rock School District
People Trust Various Little Rock, AR 72201		PC	To support Arkansas only Black-owned community development financial institution through internal capacity building to stimulate expansion and growth
Philander Smith College Various Little Rock, AR 72201		PC	To reimagine the long-term infrastructure needed to ensure the sustainability of the states four historically Black colleges and universities
Phoenix Youth and Family Services Inc Various Little Rock, AR 72201		PC	To support small businesses in the Arkansas Delta region with flexible grants and technical assistance to sustain during and after the COVID-19 pandemic
The Policy Academies Various Little Rock, AR 72201		PC	2020 Kilo Kijakazi Board of Directors Honorarium
Potluc and Poison Ivy Various Little Rock, AR 72201		PC	To build collective impact for Alice families co-invest in a coalition of diverse organizations providing direct support, organizing, and resources for Alice workers facing evictions and housing instability
Shorter College Various Little Rock, AR 72201		PC	To reimagine the long-term infrastructure needed to ensure the sustainability of the states four historically Black colleges and universities
Southern Bancorp Community Partners Various Little Rock, AR 72201		PC	To leverage national resources to build the capacity of social justice leaders and advocates
University of Arkansas at Pine Bluff Various Little Rock, AR 72201		PC	To reimagine the long-term infrastructure needed to ensure the sustainability of the states four historically Black colleges and universities
Winrock International Various Little Rock, AR 72201		PC	To coordinate equitable development within opportunity zones in Arkansas
Total			3b 1,427,500

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	443,936	
4 Dividends and interest from securities			14	795,072	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	76,509	
8 Gain or (loss) from sales of assets other than inventory			18	2,675,929	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).		0		3,991,446	0
13 Total. Add line 12, columns (b), (d), and (e).			13	3,991,446	3,991,446

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

Part XVII

Yes	No
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[illegible]

1a(1)		No
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1b(1)		No
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1b(2)		No
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1b(3)		No
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1b(4)		No
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1b(5)		No
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1b(6)		No
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1c		No
----	--	----

arket value

[illegible]

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

2021-11-03

Title

May the IRS discuss this return with the preparer shown below
(see instr.) ☒ Yes ☐ No

P00174819

Firm's EIN ▶ 71-035526

Phone no.
(501) 375-2025

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2020 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	7,369	0	0	7,369
AUDIT	45,400	36,320	0	9,080
TAX PREPERATION	7,299	0	0	7,299

Name: THE WINTHROP ROCKEFELLER FOUNDATION
EIN: 71-0285871

EIN: 71-0285871

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
OFF 216 31" FIREPROOF FILE DRAWER	2002-05-07	1,670	1,670	SL	10.000000000000	0	0	0	
SHARP MX 4101 COPIER	2011-06-09	10,393	10,393	SL	5.000000000000	0	0	0	
RECEPTION QUOIN SIDE CHAIRS	2009-02-01	1,600	1,600	SL	10.000000000000	0	0	0	
RECEPTION QUOIN SIDE CHAIRS	2009-02-01	1,600	1,600	SL	10.000000000000	0	0	0	
RECEPTION SIDE TABLE	2009-02-01	597	597	SL	10.000000000000	0	0	0	
RECEPTION SIDE TABLE	2009-02-01	597	597	SL	10.000000000000	0	0	0	
RECEPTION COFFEE TABLE	2009-02-01	732	732	SL	10.000000000000	0	0	0	
RECEPTION OCCASIONAL TABLE UNDER TV	2009-02-01	596	596	SL	10.000000000000	0	0	0	
RECEPTION SOFA	2009-02-01	2,742	2,742	SL	10.000000000000	0	0	0	
RECEPTION KATHRYN GUEST CHAIR	2009-02-01	749	749	SL	10.000000000000	0	0	0	
RECEPTION KATHRYN GUEST CHAIR	2009-02-01	749	749	SL	10.000000000000	0	0	0	
RECEPTION OVERHEAD CABINET	2009-02-01	2,379	2,379	SL	10.000000000000	0	0	0	
RECEPTION LATERAL FILES & MODULAR TOP	2009-02-01	824	824	SL	10.000000000000	0	0	0	
RECEPTION LATERAL FILES & MODULAR TOP	2009-02-01	281	281	SL	10.000000000000	0	0	0	
RECEPTION DESK	2009-05-15	2,563	2,563	SL	10.000000000000	0	0	0	
HALLWAY MODULAR MOBILE OTTOMAN	2009-02-01	1,963	1,963	SL	10.000000000000	0	0	0	
BREAKROOM 4 TALL STOOLS	2009-02-01	1,046	1,046	SL	10.000000000000	0	0	0	
BREAKROOM 2 TABLES	2009-02-01	916	916	SL	10.000000000000	0	0	0	
BREAKROOM CATERING CART	2009-02-01	611	611	SL	10.000000000000	0	0	0	
2 DRAWER LATERALS WITH COMMON TOP	2009-02-01	2,465	2,465	SL	10.000000000000	0	0	0	
OFF 205 COMBINATION CABINET	2009-02-01	979	979	SL	10.000000000000	0	0	0	
OFF 205 OVERHEAD STORAGE UNIT	2009-02-01	1,617	1,617	SL	10.000000000000	0	0	0	
OFF 205 CREDENZA & DESK UNIT	2009-02-01	2,338	2,338	SL	10.000000000000	0	0	0	
OFF 205 SIDE CHAIRS	2009-02-01	709	709	SL	10.000000000000	0	0	0	
OFF 206 CREDENZA & DESK UNIT	2009-02-01	2,247	2,247	SL	10.000000000000	0	0	0	
OFF 206 DOUBLE CREDENZA UNIT	2009-02-01	1,286	1,286	SL	10.000000000000	0	0	0	
OFF 206 DOUBLE OVERHEAD UNIT	2009-02-01	1,618	1,618	SL	10.000000000000	0	0	0	
OFF 206 OVERHEAD STORAGE UNIT	2009-02-01	1,617	1,617	SL	10.000000000000	0	0	0	
OFF 207 2 SIDE CHAIRS	2009-02-01	709	709	SL	10.000000000000	0	0	0	
OFF 207 DOUBLE OVERHEAD UNIT	2009-02-01	1,617	1,617	SL	10.000000000000	0	0	0	
OFF 207 CREDENZA & DESK UNIT	2009-02-01	2,643	2,643	SL	10.000000000000	0	0	0	
OFF 207 2 DRAWER CREDENZA	2009-02-01	643	643	SL	10.000000000000	0	0	0	
OFF 209 5 SIDE CHAIRS	2009-02-01	1,772	1,772	SL	10.000000000000	0	0	0	
OFF 209 CREDENZA & DESK UNIT	2009-02-01	2,671	2,671	SL	10.000000000000	0	0	0	
OFF 209 2 DRAWER CREDENZA	2009-02-01	643	643	SL	10.000000000000	0	0	0	
OFF 209 OVERHEAD STORAGE UNIT	2009-02-01	1,617	1,617	SL	10.000000000000	0	0	0	
OFF 210 SIDE CHAIRS	2009-02-01	354	354	SL	10.000000000000	0	0	0	
OFF 210 SIDE CHAIRS	2009-02-01	354	354	SL	10.000000000000	0	0	0	
OFF 210 SIDE CHAIRS	2009-02-01	354	354	SL	10.000000000000	0	0	0	
OFF 218 DESK P-TOP	2009-02-01	695	695	SL	10.000000000000	0	0	0	
OFF 218 DESK BRIDGE	2009-02-01	433	433	SL	10.000000000000	0	0	0	
OFF 218 CREDENZA COMBO FILE	2009-02-01	718	718	SL	10.000000000000	0	0	0	
OFF 210 BOOKCASE	2009-02-01	402	402	SL	10.000000000000	0	0	0	
OFF 210 OVERHEAD STORAGE UNIT	2009-02-01	1,567	1,567	SL	10.000000000000	0	0	0	
OFF 210 SMALL MEETING TABLE	2009-02-01	286	286	SL	10.000000000000	0	0	0	
OFF 209 SMALL MEETING TABLE	2009-02-01	286	286	SL	10.000000000000	0	0	0	
211 TEAM ROOM EASEL (ROLLING)	2009-02-01	584	584	SL	10.000000000000	0	0	0	
OFF 212 CREDENZA & DESK UNIT	2009-02-01	2,319	2,319	SL	10.000000000000	0	0	0	
OFF 212 CREDENZAS (2 DRAWER W/ COMMON TOP)	2009-02-01	1,643	1,643	SL	10.000000000000	0	0	0	
OFF 212 COMBINATION CABINET	2009-02-01	1,038	1,038	SL	10.000000000000	0	0	0	
OFF 212 OVERHEAD STORAGE UNIT	2009-02-01	1,617	1,617	SL	10.000000000000	0	0	0	
OFF 212 SIDE CHAIR	2009-02-01	354	354	SL	10.000000000000	0	0	0	
OFF 213 ALINA LOUNGE CHAIRS (2)	2009-02-01	3,146	3,146	SL	10.000000000000	0	0	0	
OFF 213 SIDE CHAIRS	2009-02-01	354	354	SL	10.000000000000	0	0	0	
OFF 213 SIDE CHAIRS	2009-02-01	354	354	SL	10.000000000000	0	0	0	
OFF 213 SIDE CHAIRS	2009-02-01	354	354	SL	10.000000000000	0	0	0	
OFF 213 OVERHEAD UNIT 60"	2009-02-01	834	834	SL	10.000000000000	0	0	0	
OFF 213 CREDENZA & DESK	2009-02-01	2,296	2,296	SL	10.000000000000	0	0	0	
OFF 213 CREDENZAS (2DRAWER) & TOP	2009-02-01	1,713	1,713	SL	10.000000000000	0	0	0	
OFF 214 SIDE CHAIR	2009-02-01	354	354	SL	10.000000000000	0	0	0	
OFF 214 BOX FILE & DESK	2009-02-01	1,980	1,980	SL	10.000000000000	0	0	0	
OFF 214 OVERHEAD UNITS	2009-02-01	1,617	1,617	SL	10.000000000000	0	0	0	
OFF 214 3 DRAWER FILES WITH COMMON TOP	2009-02-01	2,160	2,160	SL	10.000000000000	0	0	0	
OFF 215 SIDE CHAIRS (5)	2009-02-01	1,772	1,772	SL	10.000000000000	0	0	0	
OFF 215 COMBO BOX FILE & DESK	2009-02-01	2,226	2,226	SL	10.000000000000	0	0	0	
OFF 215 CREDENZAS & COMMON TOP	2009-02-01	1,713	1,713	SL	10.000000000000	0	0	0	
OFF 215 OVERHEAD UNIT	2009-02-01	1,707	1,707	SL	10.000000000000	0	0	0	
OFF 215 SMALL MEETING TABLE	2009-02-01	286	286	SL	10.000000000000	0	0	0	
OFF 213 SMALL MEETING TABLE	2009-02-01	286	286	SL	10.000000000000	0	0	0	
OFF 216 HIGH BACK CHAIR	2009-02-01	486	486	SL	10.000000000000	0	0	0	
OFF 216 WALL STORAGE & FILES WITH COMMON TOP	2009-02-01	2,919	2,919	SL	10.000000000000	0	0	0	
OFF 216 CENTER LATERAL FILES WITH COMMON TOP	2009-02-01	2,441	2,441	SL	10.000000000000	0	0	0	
OFF 218 LIBRARY SIDE CHAIRS (6)	2009-02-01	2,126	2,126	SL	10.000000000000	0	0	0	
OFF 218 LIBRARY BOOKCASES (2)	2009-02-01	664	664	SL	10.000000000000	0	0	0	
217 EXECUTIVE HALLWAY TABLE	2009-02-01	408	408	SL	10.000000000000	0	0	0	
217 EH TABLET CHAIRS - BLUE FABRIC	2009-02-01	3,142	3,142	SL	10.000000000000	0	0	0	
217 EH TABLET CHAIRS - BROWN PATTERN	2009-02-01	4,117	4,117	SL	10.000000000000	0	0	0	
211 TEAM RM JENNY TABLET CHAIRS - SAND FABRIC	2009-02-01	1,418	1,418	SL	10.000000000000	0	0	0	
PROG HALL TABLET CHAIRS - BROWN PATTERN FABRIC	2009-02-01	4,117	4,117	SL	10.000000000000	0	0	0	
OFFICE SIDE CHAIR	2009-08-06	407	407	SL	10.000000000000	0	0	0	
INNER PLAN FINAL PAYMENT	2009-06-18	990	990	SL	10.000000000000	0	0	0	
ART WORK FOR OFFICES	2009-11-03	11,700	11,700	SL	10.000000000000	0	0	0	
RECEPTION DESK ADDITIONS	2010-06-29	4,250	4,250	SL	8.830000000000	0	0	0	
NEW OFFICE FURNITURE: DESK	2012-10-23	3,138	3,138	SL	6.330000000000	0	0	0	
COMBINATION CABINET	2015-11-04	1,606	669	SL	10.000000000000	161	0	0	
CREDENZA P-TOP	2015-11-04	894	373	SL	10.000000000000	89	0	0	
CREDENZA BRIDGE	2015-11-04	463	193	SL	10.000000000000	46	0	0	
CREDENZA DESK	2015-11-04	978	407	SL	10.000000000000	98	0	0	
CREDENZA FILE	2015-11-04	1,002	417	SL	10.000000000000	100	0	0	
OVER HEAD CABINET	2015-11-04	2,572	1,072	SL	10.000000000000	257	0	0	
FRAMING / ARTWORK (HUNG 10/28)	2015-10-19	2,646	1,102	SL	10.000000000000	265	0	0	
CREDENZA FILE	2016-05-03	470	172	SL	10.000000000000	47	0	0	
CREDENZA L-TOP	2016-05-03	1,737	637	SL	10.000000000000	174	0	0	
CREDENZA DESK	2016-05-03	910	334	SL	10.000000000000	91	0	0	
CREDENZA 2 DRAWER FILE	2016-05-03	1,080	396	SL	10.000000000000	108	0	0	
CREDENZA 2 DRAWER FILE	2016-05-03	470	172	SL	10.000000000000	47	0	0	
CREDENZA DESK	2016-05-03	1,039	381	SL	10.000000000000	104	0	0	
CREDENZA L-TOP	2016-05-03	1,737	637	SL	10.000000000000	174	0	0	
CREDENZA 2 DRAWER FILE	2016-05-03	1,080	396	SL	10.000000000000	108	0	0	
NEW DESK CHAIRS - NEW OFFICE	2016-06-07	886	318	SL	10.000000000000	89	0	0	
NEW DESK CHAIRS - NEW OFFICE	2016-06-07	886	318	SL	10.000000000000	89	0	0	
MASSUAD GREY CHAIR	2018-12-31	1,307	131	SL	10.000000000000	131	0	0	
MASSUAD GREY CHAIR	2018-12-31	1,307	131	SL	10.000000000000	131	0	0	
MASSUAD GREY CHAIR	2018-12-31	1,307	131	SL	10.000000000000	131	0	0	
MASSUAD GREY CHAIR	2018-12-31	1,307	131	SL	10.000000000000	131	0	0	
MASSUAD GREY CHAIR	2018-12-31	1,307	131	SL	10.000000000000	131	0	0	
OLOGY INTERN DESK	2018-12-31	1,178	118	SL	10.000000000000	118	0	0	
OLOGY INTERN DESK	2018-12-31	1,178	118	SL	10.000000000000	118	0	0	
INTERN CABINET W/ COAT CLOSET	2018-12-31	1,706	171	SL	10.000000000000	171	0	0	
INTERN CABINET W/ COAT CLOSET	2018-12-31	1,706	171	SL	10.000000000000	171	0	0	
"ELEVEN" WHITE TRAPEZOID TABLE	2018-12-31	4,431	443	SL	10.000000000000	443	0	0	
COACT CORNER CURVED BENCH	2018-12-31	2,484	248	SL	10.000000000000	248	0	0	
COACT SOFA	2018-12-31	2,844	284	SL	10.000000000000	284	0	0	
COACT LOUNGE CHAIR	2018-12-31	1,376	138	SL	10.000000000000	138	0	0	
COACT LOVESEAT	2018-12-31	2,141	214	SL	10.000000000000	214	0	0	
MASSUAD GREY CHAIR	2018-12-31	1,307	131	SL	10.000000000000	131	0	0	
MASSUAD GREY CHAIR	2018-12-31	1,307	131	SL	10.000000000000	131	0	0	
MASSUAD GREY CHAIR	2018-12-31	1,307	131	SL	10.000000000000	131	0	0	
MASSUAD GREY CHAIR	2018-12-31	1,307	131	SL	10.000000000000	131	0	0	
MASSUAD GREY CHAIR	2018-12-31	1,307	131	SL	10.000000000000	131	0	0	
MASSUAD GREY CHAIR	2018-12-31	1,307	131	SL	10.000000000000	131	0	0	
MASSUAD GREY CHAIR	2018-12-31	1,307	131	SL	10.000000000000	131	0	0	
MASSUAD GREY CHAIR	2018-12-31	1,307	131	SL	10.000000000000	131	0	0	
MASSUAD GREY CHAIR	2018-								

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION
EIN: 71-0285871

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
DEREK LEWIS FOUNDATION	PO BOX 94602 NORTH LITTLE ROCK, AR 72190	2020-11-13	25,000	TO SUPPORT THE DEREK LEWIS FOUNDATION WITH THE IMPLEMENTATION OF THE CAPACITY FOR EQUITY: ARKANSAS BLACK PHILANTHROPY COLLABORATIVE.	3,860			2020-12-31	THE GRANTEE HAS SUBMITTED DETAILED SUPPORTING DOCUMENTATION FOR THE USE OF THE FUNDS. THE WINTHROP ROCKEFELLER FOUNDATION DEEMED FURTHER VERIFICATION OF THE GRANTEE'S REPORT UNNECESSARY.

TY 2020 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BRECKINRIDGE CAPITAL FIXED INCOME	5,426,632	5,426,632
CCM	6,173,665	6,173,665
NORTHERN TRUST BARCLAYS AGG BOND FUND	7,128,308	7,128,308

TY 2020 IRS 990 e-File Render
Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NTGI RUSSELL 1000 GROWTH EQUITY	12,293,441	12,293,441
NTAM R1000 VALUE FUND EQUITY	8,914,072	8,914,072
OAKVIEW	4,685,693	4,685,693
BROWN SUSTAINABLE GROWTH EQUITY	8,951,566	8,951,566
KABOUTER INT'L OPP OFFSHORE FUND EQUITY	4,997,141	4,997,141
ARIEL INT'L FUND EQUITY	12,462,800	12,462,800
GQG INT'L FUND EQUITY	12,524,140	12,524,140
BLACKROCK ISHARES FUND EQUITY	5,391,282	5,391,282
OWNERSHIP CAPITAL FUND EQUITY	7,146,906	7,146,906
STEWART GLOBAL EMERGING MARKETS FUND EQUITY	5,826,546	5,826,546
MAJ INVEST GLOBAL EQUITY	6,500,000	6,500,000
FORT GLOBAL OFFSHORE FUND EQUITY	1,262,692	1,262,692
CIM ENTERPRISE LOAN FUND	3,568,530	3,568,530
LONG POND OFFSHORE FUND EQUITY	3,346,638	3,346,638
FARALLON F5 FUND	1,615,101	1,615,101
TWO SIGMA ABSOLUTE RETURN FUND	2,023,388	2,023,388
COATUE	3,003,195	3,003,195
LAKEWOOD	1,661,214	1,661,214
SENTINEL DOME	2,589,867	2,589,867
VARADERO	1,867,272	1,867,272
HOLLIS PARK	1,643,784	1,643,784
NETWYN	2,044,247	2,044,247
ELIZABETH PARK CAPITAL OFFSHORE	1,371,093	1,371,093
PRESERVER PARTNERS	2,128,489	2,128,489
DIAMOND STATE VENTURES III	925,000	925,000
OWL VENTURES II - PRIVATE PARTNERSHIP	962,185	962,185
SJF VENTURES IV PRIVATE PARTNERSHIP	1,846,460	1,846,460
BAIN CAPITAL DOUBLE IMPACT PRIVATE PARTNERSHIP	1,186,410	1,186,410
MATERIAL IMPACT	901,994	901,994
ELEVAR EQUITY IV	1,291,287	1,291,287
ILLUMEN CAPITAL	339,121	339,121
IMPACT AMERICA	427,258	427,258
AVANATH AFFORDABLE HOUSING FUND IV	531,718	531,718
RETHINK IMPACT FUND II	76,997	76,997
MATERIAL IMPACT II	42,160	42,160
VISTA FOUNDATION FUND IV	7,335	7,335
SJF VENTURES V	150,000	150,000

TY 2020 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL SERVICES	7,547	4,165	0	3,382

TY 2020 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM RELATED INVESTMENTS	7,296,042	7,763,647	7,763,647
ACCRUED INTEREST RECEIVABLE	142,284	90,769	90,769

TY 2020 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	71	0	0	71
INSURANCE	17,227	0	0	17,227
POSTAGE	118	0	0	118
DUES & SUBSCRIPTIONS	101,556	10,000	0	91,556
OFFICE EXPENSES	24,856	0	0	24,869
PROGRAM EXPENSES	273,324	0	0	273,324
ACCRUAL TO CASH ADJUSTMENT	0	0	0	-50,020
MEETINGS AND CONVENTIONS	192,499	0	0	192,499
PROFESSIONAL DEVELOPMENT	95,029	20	0	95,009
TECHNOLOGY	80,064	0	0	80,064
TRUSTEE OPERATIONS EXPENSE	11,112	0	0	11,112
BOARD COMMITTEE SUPPORT	4,550	0	0	4,550

TY 2020 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PRI INTEREST INCOME	17,003	17,003	0
PRI DIVIDEND INCOME	87,452	87,452	0
OTHER INCOME - AQR STYLE PREMIA	0	-589	0
OTHER INCOME - CCM	0	1,711	0
OTHER INCOME - PASSTHROUGH	0	-791,104	0
OTHER INCOME - BROWN	0	1,487	0
OTHER INCOME - BRECKINRIDGE	0	-3,856	0
OTHER INCOME - NORTHERN TRUST	0	-9,301	0
OTHER INCOME - OAKVIEW	0	-25,329	0
MISCELLANEOUS INCOME	-27,946	-1,856	0

TY 2020 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Description	Amount
UNREALIZED GAINS/(LOSSES) ON INVESTMENTS	14,041,992

TY 2020 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CUSTODIAL BANK FEES	100,214	130,051	0	0
INVESTMENT OVERSIGHT	262,043	192,956	0	0
NT INVESTMENT MANAGEMENT FEES	16,241	16,226	0	0
BRECKINRIDGE INVESTMENT MANAGEMENT FEES	5,693	5,479	0	0
OAKVIEW MANAGEMENT FEES	86,435	32,562	0	0
STEWART GEM FEES	41,759	41,759	0	0
INVESTMENT EXPENSES ALLOCATION	142,891	142,891	0	0
OTHER CONSULTING FEES	158,447	0	0	158,447

TY 2020 IRS 990 e-File Render

Name: THE WINTHROP ROCKEFELLER FOUNDATION

EIN: 71-0285871

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	0	77,910	0	0
EXCISE TAXES	63,721	0	0	0