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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

**2020**

**Open to Public Inspection**

**For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020**

Name of foundation
EA Morris Charitable Foundation

**A Employer identification number**
58-1413060

Number and street (or P.O. box number if mail is not delivered to street address)
3802 Swarthmore Road

Room/suite

**B** Telephone number (see instructions)
(919) 403-9498

City or town, state or province, country, and ZIP or foreign postal code
Durham, NC 27707

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here............ ▶ ☐
**2.** Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

**H** Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ....... ▶ ☐

**I** Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 14,705,084

**J** Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify)
*(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ....... ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 | Check ▶ ☑ if the foundation is **not** required to attach Sch. B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 174,514 | 174,514 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 209,578 | | | |
| | b | Gross sales price for all assets on line 6a 4,001,330 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 209,578 | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less: Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 384,092 | 384,092 | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc. | 224,404 | 11,220 | | 165,202 |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | 24,089 | 0 | | 0 |
| | 16a | Legal fees (attach schedule) | 3,113 | 0 | | 0 |
| | b | Accounting fees (attach schedule) | | | | |
| | c | Other professional fees (attach schedule) | | | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) | 5,606 | 1,302 | | 0 |
| | 19 | Depreciation (attach schedule) and depletion | 137 | 0 | | |
| | 20 | Occupancy | 3,840 | 0 | | 0 |
| | 21 | Travel, conferences, and meetings | 230 | 0 | | 0 |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) | 64,452 | 61,963 | | 0 |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 325,871 | 74,485 | | 165,202 |
| | 25 | Contributions, gifts, grants paid | 453,500 | | | 453,500 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 779,371 | 74,485 | | 618,702 |
| | 27 | Subtract line 26 from line 12: | | | | |
| | a | **Excess of revenue over expenses and disbursements** | -395,279 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 309,607 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | | |

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash—non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 3,563,044 | 981,407 | 981,407 |
| | 3 | Accounts receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | 7,421 | 7,421 |
| | 10a | Investments—U.S. and state government obligations (attach schedule) | 140,042 | 0 | 0 |
| | b | Investments—corporate stock (attach schedule) | 7,711,290 | 7,128,441 | 10,502,503 |
| | c | Investments—corporate bonds (attach schedule) | 284,400 | 3,186,365 | 3,213,753 |
| | 11 | Investments—land, buildings, and equipment: basis ▶ | | | |
| | | Less: accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment: basis ▶ 772 | | | |
| | | Less: accumulated depreciation (attach schedule) ▶ 772 | 137 | 0 | 0 |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I) | 11,698,913 | 11,303,634 | 14,705,084 |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities**(add lines 17 through 22) | 0 | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.** | | | |
| | 24 | Net assets without donor restrictions | | | |
| | 25 | Net assets with donor restrictions | | | |
| | | **Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.** | | | |
| | 26 | Capital stock, trust principal, or current funds | 4,474,067 | 4,474,067 | |
| | 27 | Paid-in or capital surplus, or land, bldg., and equipment fund | 0 | 0 | |
| | 28 | Retained earnings, accumulated income, endowment, or other funds | 7,224,846 | 6,829,567 | |
| | 29 | **Total net assets or fund balances** (see instructions) | 11,698,913 | 11,303,634 | |
| | 30 | **Total liabilities and net assets/fund balances** (see instructions) | 11,698,913 | 11,303,634 | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 11,698,913 |
| 2 | Enter amount from Part I, line 27a | 2 | -395,279 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0 |
| 4 | Add lines 1, 2, and 3 | 4 | 11,303,634 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 | 6 | 11,303,634 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|---|
| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 209,578 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . . . . . . . . . . . . . . . . | 3 | |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

**1** Reserved

| (a) Reserved | (b) Reserved | (c) Reserved | (d) Reserved |
|---|---|---|---|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

| | | |
|---|---|---|
| **2** Reserved . . . . . . . . . . . . . . . . . . . . . . . . . . | 2 | |
| **3** Reserved. . . . . . . . . . . . . . . . . . . . . . . . . . | 3 | |
| **4** Reserved . . . . . . . . . . . . . . . . . . . . . . . . . . | 4 | |
| **5** Reserved . . . . . . . . . . . . . . . . . . . . . . . . . . | 5 | |
| **6** Reserved . . . . . . . . . . . . . . . . . . . . . . . . . . | 6 | |
| **7** Reserved . . . . . . . . . . . . . . . . . . . . . . . . . . | 7 | |
| **8** Reserved , . . . . . . . . . . . . . . . . . . . . . . . . . | 8 | |

**Part VI** **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

| | | | | | |
|---|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary–see instructions)** | | | | |
| b | Reserved | | | 1 | 4,304 |
| c | All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 2 | 0 |
| 3 | Add lines 1 and 2 | | | 3 | 4,304 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 4,304 |
| 6 | Credits/Payments: | | | | |
| a | 2020 estimated tax payments and 2019 overpayment credited to 2020 | 6a | 11,760 | | |
| b | Exempt foreign organizations—tax withheld at source | 6b | 0 | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | 0 | | |
| d | Backup withholding erroneously withheld | 6d | 0 | | |
| 7 | Total credits and payments. Add lines 6a through 6d | | | 7 | 11,760 |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached. | | | 8 | 35 |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 7,421 |
| 11 | Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ 7,421 | **Refunded** ▶ | | 11 | 0 |

**Part VII-A** **Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition) | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0 | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0 | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | | No |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NC | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.* | 10 | | No |

## Part VII-A Statements Regarding Activities (continued)

| | | | | |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶N/A

14 The books are in care of ▶K B MORGAN Telephone no. ▶(336) 271-2870

Located at ▶405 BATTLEGROUND AVE SUITE 101 GREENSBORO NC ZIP+4 ▶27401

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?. | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020?. ☐ Yes ☑ No | | | |
| | If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020? | 4b | | No |

**Part VII-B** **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | | Yes | No |
|---|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to: | | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions | ☐ Yes ☑ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☑ No | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | | **5b** | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53.4945–5(d).* | | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☑ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | **6b** | | No |
| | *If "Yes" to 6b, file Form 8870.* | | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☑ No | | | |
| **b** | If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? | | **7b** | | |
| **8** | Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? | ☐ Yes ☑ No | | | |

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| See Additional Data Table | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| JOHN S THOMAS<br>3802 SWARTHMORE RD<br>DURHAM, NC 27707 | PRESIDENT<br>35.00 | 0 | 0 | 0 |
| KENNETH B MORGAN<br>405 BATTLEGROUND AVE SUITE 101<br>GREENSBORO, NC 27401 | DIRECTOR,VICE PRES,T<br>20.00 | 0 | 0 | 0 |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services. . . . . . . . . . . . . . . ▶ | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 | |
| 2 | |
| All other program-related investments. See instructions.<br>3 | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . ▶ | 0 |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | |
| a | Average monthly fair market value of securities. | | 1a | 9,515,704 |
| b | Average of monthly cash balances. | | 1b | 1,899,353 |
| c | Fair market value of all other assets (see instructions). | | 1c | 0 |
| d | **Total** (add lines 1a, b, and c). | | 1d | 11,415,057 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e 0 | | |
| 2 | Acquisition indebtedness applicable to line 1 assets. | | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | | 3 | 11,415,057 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | | 4 | 171,226 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | 5 | 11,243,831 |
| 6 | **Minimum investment return.** Enter 5% of line 5. | | 6 | 562,192 |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6. | | 1 | 562,192 |
| 2a | Tax on investment income for 2020 from Part VI, line 5. | 2a 4,304 | | |
| b | Income tax for 2020. (This does not include the tax from Part VI.). | 2b | | |
| c | Add lines 2a and 2b. | | 2c | 4,304 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | | 3 | 557,888 |
| 4 | Recoveries of amounts treated as qualifying distributions. | | 4 | 0 |
| 5 | Add lines 3 and 4. | | 5 | 557,888 |
| 6 | Deduction from distributable amount (see instructions). | | 6 | 0 |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | | 7 | 557,888 |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 618,702 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 618,702 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | 0 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4. | 6 | 618,702 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2019 | (c) 2019 | (d) 2020 |
|---|---|---|---|---|
| **1** Distributable amount for 2020 from Part XI, line 7 | | | | 557,888 |
| **2** Undistributed income, if any, as of the end of 2020: | | | | |
| **a** Enter amount for 2019 only. | | | 386,737 | |
| **b** Total for prior years: 20___ , 20___ , 20___ | | 0 | | |
| **3** Excess distributions carryover, if any, to 2020: | | | | |
| **a** From 2015. | | | | |
| **b** From 2016. | | | | |
| **c** From 2017. | | | | |
| **d** From 2018. | | | | |
| **e** From 2019. | | | | |
| **f Total** of lines 3a through e. | 0 | | | |
| **4** Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 618,702 | | | | |
| **a** Applied to 2019, but not more than line 2a | | | 386,737 | |
| **b** Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| **c** Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| **d** Applied to 2020 distributable amount. | | | | 231,965 |
| **e** Remaining amount distributed out of corpus | 0 | | | |
| **5** Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)* | 0 | | | 0 |
| **6 Enter the net total of each column as indicated below:** | | | | |
| **a** Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0 | | | |
| **b** Prior years' undistributed income. Subtract line 4b from line 2b | | 0 | | |
| **c** Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | 0 | | |
| **d** Subtract line 6c from line 6b. Taxable amount —see instructions | | 0 | | |
| **e** Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions | | | 0 | |
| **f** Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 | | | | 325,923 |
| **7** Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0 | | | |
| **8** Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) | 0 | | | |
| **9 Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a | 0 | | | |
| **10** Analysis of line 9: | | | | |
| **a** Excess from 2016. | | | | |
| **b** Excess from 2017. | | | | |
| **c** Excess from 2018. | | | | |
| **d** Excess from 2019. | | | | |
| **e** Excess from 2020. | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. . . . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | **(a)** 2020 | **(b)** 2019 | **(c)** 2018 | **(d)** 2017 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| **a** "Assets" alternative test—enter: | | | | | |
| **(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| **c** "Support" alternative test—enter: | | | | | |
| **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

MR JOHN S THOMAS
3802 SWARTHMORE ROAD
DURHAM, NC 27707
(919) 403-9498

**b** The form in which applications should be submitted and information and materials they should include:

APPLICATIONS SHOULD BE SUBMITTED IN LETTER FORM.

**c** Any submission deadlines:

NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

**Part XV Supplementary Information** (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br>See Additional Data Table | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 453,500 |
| **b** *Approved for future payment* | | | | |
| | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | 0 |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments . . . . . . . . . . . . . | | | | | |
| 4 Dividends and interest from securities. . . . | | | 14 | 174,514 | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property. . . . . . | | | | | |
| b Not debt-financed property. . . . . | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. . . . . | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory . . . . . . . . . . . . . . | | | 18 | 209,578 | |
| 9 Net income or (loss) from special events: | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e). . | | 0 | | 384,092 | 0 |

**13 Total.** Add line 12, columns (b), (d), and (e). . . . . . . . . . . . . . . . . . . . . 13 384,092

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| **1** | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | **(1)** Cash. | 1a(1) | | No |
| | **(2)** Other assets. | 1a(2) | | No |
| **b** | Other transactions: | | | |
| | **(1)** Sales of assets to a noncharitable exempt organization. | 1b(1) | | No |
| | **(2)** Purchases of assets from a noncharitable exempt organization. | 1b(2) | | No |
| | **(3)** Rental of facilities, equipment, or other assets. | 1b(3) | | No |
| | **(4)** Reimbursement arrangements. | 1b(4) | | No |
| | **(5)** Loans or loan guarantees. | 1b(5) | | No |
| | **(6)** Performance of services or membership or fundraising solicitations. | 1b(6) | | No |
| **c** | Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| **(a)** Line No. | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

**b** If "Yes," complete the following schedule.

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

| ****** | 2021-10-19 | ****** |
|---|---|---|
| Signature of officer or trustee | Date | Title |

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ▶ ☑ | PTIN |
|---|---|---|---|---|
| Kenneth B Morgan | | | | P00006346 |

Firm's name ▶ KB Morgan PLLC — Firm's EIN ▶56-2231176

Firm's address ▶ 405 Battleground Avenue Suite 101
Greensboro, NC 27401 — Phone no. (336) 271-2870

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| BWGLB ALT CR I-68.891 SHS | | 2019-04-01 | 2020-03-06 |
| BWGLB ALT CR I-70.141 SHS | | 2019-07-01 | 2020-03-06 |
| BWGLB ALT CR I-39.682 SHS | | 2019-10-01 | 2020-03-06 |
| BWGLB ALT CR I-160.259 SHS | | 2019-12-20 | 2020-03-06 |
| BOSTON L/SHRT RSRCH-98.118 SHS | | 2019-12-13 | 2020-03-06 |
| BOSTON L/SHRT RSRCH-70.679 SHS | | 2019-12-13 | 2020-03-06 |
| BWGLB ALT CR I- 9587.728 SHS | | 2018-01-04 | 2020-03-06 |
| BWGLB ALT CR I-92.494 SHS | | 2018-03-29 | 2020-03-06 |
| BWGLB ALT CR I- 10.891 SHS | | 2018-06-29 | 2020-03-06 |
| BWGLB ALT CR I- 89.325 SHS | | 2018-09-28 | 2020-03-06 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 752 | | 707 | 45 |
| 765 | | 731 | 34 |
| 433 | | 417 | 16 |
| 1,748 | | 1,670 | 78 |
| 1,421 | | 1,543 | -122 |
| 1,023 | | 1,112 | -89 |
| 104,602 | | 100,000 | 4,602 |
| 1,009 | | 955 | 54 |
| 119 | | 113 | 6 |
| 975 | | 918 | 57 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 45 |
| | | | 34 |
| | | | 16 |
| | | | 78 |
| | | | -122 |
| | | | -89 |
| | | | 4,602 |
| | | | 54 |
| | | | 6 |
| | | | 57 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| BWGLB ALT CR I- 42.032 SHS | | 2018-12-21 | 2020-03-06 |
| BOSTON L/SHRT RSRCH-6972.690 SHS | | 2018-01-04 | 2020-03-06 |
| BOSTON L/SHRT RSRCH- 370.046 SHS | | 2018-12-14 | 2020-03-06 |
| BOSTON L/SHRT RSRCH- 5.923 SHS | | 2018-12-14 | 2020-03-06 |
| RMB FINL L/S I-7996.726 SHS | | 2018-01-04 | 2020-03-06 |
| AMERICAN EXPRESS CO | | 2016-01-04 | 2020-04-14 |
| AMERICAN EXPRESS CO | | 2013-12-06 | 2020-04-14 |
| CARRIER GLOBAL CORP | | 2016-01-04 | 2020-04-16 |
| CARRIER GLOBAL CORP | | 2013-12-06 | 2020-04-16 |
| COMMERCE BANCSHARES INC | | 2013-12-06 | 2020-12-30 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 459 | | 425 | 34 |
| 100,965 | | 120,000 | -19,035 |
| 5,358 | | 5,443 | -85 |
| 86 | | 87 | -1 |
| 87,864 | | 125,000 | -37,136 |
| 8,528 | | 6,466 | 2,062 |
| 2,931 | | 2,832 | 99 |
| 766 | | 1,082 | -316 |
| 578 | | 955 | -377 |
| 3 | | 2 | 1 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 34 |
| | | | -19,035 |
| | | | -85 |
| | | | -1 |
| | | | -37,136 |
| | | | 2,062 |
| | | | 99 |
| | | | -316 |
| | | | -377 |
| | | | 1 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| EXXON MOBIL CORP | | 2016-01-04 | 2020-03-12 |
| EXXON MOBIL CORP | | 2015-07-15 | 2020-03-12 |
| EXXON MOBIL CORP | | 2013-12-06 | 2020-03-12 |
| MICROSOFT CORP | | 2013-12-06 | 2020-07-24 |
| OTIS WORLDWIDE CORP | | 2016-01-04 | 2020-04-16 |
| OTIS WORLDWIDE CORP | | 2013-12-06 | 2020-04-16 |
| OTIS WORLDWIDE CORP | | 2013-12-06 | 2020-04-08 |
| ACTIVISION BLIZZARD INC | | 2018-09-27 | 2020-06-17 |
| ANALOG DEVICES INC | | 2018-10-24 | 2020-02-14 |
| ANALOG DEVICES INC | | 2018-05-16 | 2020-02-14 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,133 | | 4,212 | -2,079 |
| 1,008 | | 2,149 | -1,141 |
| 2,405 | | 5,909 | -3,504 |
| 7,259 | | 1,378 | 5,881 |
| 1,360 | | 1,513 | -153 |
| 1,003 | | 1,307 | -304 |
| 21 | | 29 | -8 |
| 8,362 | | 9,232 | -870 |
| 3,638 | | 2,476 | 1,162 |
| 6,102 | | 4,905 | 1,197 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -2,079 |
| | | | -1,141 |
| | | | -3,504 |
| | | | 5,881 |
| | | | -153 |
| | | | -304 |
| | | | -8 |
| | | | -870 |
| | | | 1,162 |
| | | | 1,197 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| ANALOG DEVICES INC | | 2017-11-24 | 2020-02-14 |
| ANALOG DEVICES INC | | 2018-11-21 | 2020-02-14 |
| ANTHEM INC | | 2019-06-24 | 2020-09-22 |
| AON PLC CL A CHG | | 2018-05-16 | 2020-03-05 |
| AON PLC CL A CHG | | 2017-11-24 | 2020-03-05 |
| APPLE INC | | 2018-05-16 | 2020-09-22 |
| APPLE INC | | 2017-11-24 | 2020-09-22 |
| BANK NEW YORK MELLON CORP | | 2018-05-16 | 2020-08-28 |
| BANK NEW YORK MELLON CORP | | 2018-03-27 | 2020-08-28 |
| BANK NEW YORK MELLON CORP | | 2017-11-24 | 2020-08-28 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 6,102 | | 4,606 | 1,496 |
| 2,933 | | 2,254 | 679 |
| 4,004 | | 4,607 | -603 |
| 6,758 | | 4,356 | 2,402 |
| 7,631 | | 4,858 | 2,773 |
| 12,833 | | 5,500 | 7,333 |
| 7,020 | | 2,800 | 4,220 |
| 6,887 | | 10,505 | -3,618 |
| 3,069 | | 4,262 | -1,193 |
| 3,294 | | 4,611 | -1,317 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 1,496 |
| | | | 679 |
| | | | -603 |
| | | | 2,402 |
| | | | 2,773 |
| | | | 7,333 |
| | | | 4,220 |
| | | | -3,618 |
| | | | -1,193 |
| | | | -1,317 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| BANK NEW YORK MELLON CORP | | 2018-11-21 | 2020-08-28 |
| BANK NEW YORK MELLON CORP | | 2018-10-24 | 2020-08-28 |
| BOEING COMPANY | | 2018-11-21 | 2020-04-15 |
| BOEING COMPANY | | 2018-10-24 | 2020-04-15 |
| BOEING COMPANY | | 2018-05-16 | 2020-04-15 |
| BWX TECHNOLOGIES INC | | 2020-03-19 | 2020-06-17 |
| BWX TECHNOLOGIES INC | | 2020-03-19 | 2020-07-16 |
| CHARTER COMMUNICATIONS INC NEW CL A | | 2018-05-16 | 2020-06-17 |
| COCA-COLA COMPANY | | 2018-11-21 | 2020-05-22 |
| COCA-COLA COMPANY | | 2018-10-24 | 2020-05-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 3,219 | | 4,324 | -1,105 |
| 3,930 | | 4,804 | -874 |
| 1,900 | | 4,492 | -2,592 |
| 2,443 | | 6,507 | -4,064 |
| 3,800 | | 9,589 | -5,789 |
| 5,493 | | 4,107 | 1,386 |
| 8,184 | | 7,085 | 1,099 |
| 12,288 | | 6,297 | 5,991 |
| 4,365 | | 4,720 | -355 |
| 4,680 | | 4,895 | -215 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -1,105 |
| | | | -874 |
| | | | -2,592 |
| | | | -4,064 |
| | | | -5,789 |
| | | | 1,386 |
| | | | 1,099 |
| | | | 5,991 |
| | | | -355 |
| | | | -215 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| COCA-COLA COMPANY | | 2018-08-21 | 2020-05-22 |
| COCA-COLA COMPANY | | 2018-08-21 | 2020-03-05 |
| COCA-COLA COMPANY | | 2018-05-16 | 2020-03-05 |
| COCA-COLA COMPANY | | 2017-11-24 | 2020-03-05 |
| DIAGEO PLC NEW SPON ADR | | 2018-11-21 | 2020-08-21 |
| DIAGEO PLC NEW SPON ADR | | 2018-10-24 | 2020-08-21 |
| DIAGEO PLC NEW SPON ADR | | 2018-05-16 | 2020-08-21 |
| ELECTRONIC ARTS INC | | 2020-11-10 | 2020-11-16 |
| ELECTRONIC ARTS INC | | 2020-09-01 | 2020-11-16 |
| ELECTRONIC ARTS INC | | 2020-08-28 | 2020-11-16 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 5,400 | | 5,570 | -170 |
| 3,976 | | 3,203 | 773 |
| 6,512 | | 4,708 | 1,804 |
| 4,034 | | 3,218 | 816 |
| 6,364 | | 6,777 | -413 |
| 6,635 | | 6,769 | -134 |
| 9,208 | | 9,929 | -721 |
| 354 | | 345 | 9 |
| 236 | | 275 | -39 |
| 9,686 | | 11,502 | -1,816 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -170 |
| | | | 773 |
| | | | 1,804 |
| | | | 816 |
| | | | -413 |
| | | | -134 |
| | | | -721 |
| | | | 9 |
| | | | -39 |
| | | | -1,816 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| ENBRIDGE INC | | 2018-03-27 | 2020-04-15 |
| ENBRIDGE INC | | 2018-11-21 | 2020-05-22 |
| ENBRIDGE INC | | 2018-10-24 | 2020-05-22 |
| ENBRIDGE INC | | 2018-05-16 | 2020-05-22 |
| ENBRIDGE INC | | 2018-05-16 | 2020-04-15 |
| EOG RESOURCES INC | | 2019-11-11 | 2020-03-02 |
| EOG RESOURCES INC | | 2019-03-15 | 2020-03-02 |
| EOG RESOURCES INC | | 2018-11-21 | 2020-03-02 |
| EOG RESOURCES INC | | 2018-10-24 | 2020-03-02 |
| EOG RESOURCES INC | | 2018-05-17 | 2020-03-02 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 170 | | 182 | -12 |
| 3,838 | | 4,099 | -261 |
| 5,726 | | 5,815 | -89 |
| 189 | | 196 | -7 |
| 14,328 | | 16,564 | -2,236 |
| 1,205 | | 1,487 | -282 |
| 7,412 | | 11,087 | -3,675 |
| 1,808 | | 3,202 | -1,394 |
| 2,471 | | 4,436 | -1,965 |
| 4,701 | | 9,773 | -5,072 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -12 |
| | | | -261 |
| | | | -89 |
| | | | -7 |
| | | | -2,236 |
| | | | -282 |
| | | | -3,675 |
| | | | -1,394 |
| | | | -1,965 |
| | | | -5,072 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| EOG RESOURCES INC | | 2018-05-16 | 2020-03-02 |
| EOG RESOURCES INC | | 2017-11-24 | 2020-03-02 |
| EOG RESOURCES INC | | 2020-11-10 | 2020-11-16 |
| EOG RESOURCES INC | | 2020-09-01 | 2020-11-16 |
| EOG RESOURCES INC | | 2020-04-27 | 2020-11-16 |
| EOG RESOURCES INC | | 2019-11-11 | 2020-03-19 |
| EQUINIX INC PAR $0.001 | | 2018-10-24 | 2020-04-27 |
| EQUINIX INC PAR $0.001 | | 2018-06-07 | 2020-04-27 |
| EXELON CORP | | 2020-09-01 | 2020-09-17 |
| EXELON CORP | | 2020-03-19 | 2020-09-17 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,567 | | 3,165 | -1,598 |
| 422 | | 714 | -292 |
| 1,315 | | 1,173 | 142 |
| 136 | | 135 | 1 |
| 14,509 | | 14,358 | 151 |
| 4,624 | | 10,709 | -6,085 |
| 3,506 | | 2,089 | 1,417 |
| 13,324 | | 7,546 | 5,778 |
| 36 | | 36 | 0 |
| 11,740 | | 10,657 | 1,083 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -1,598 |
| | | | -292 |
| | | | 142 |
| | | | 1 |
| | | | 151 |
| | | | -6,085 |
| | | | 1,417 |
| | | | 5,778 |
| | | | 0 |
| | | | 1,083 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| GLOBAL PAYMENTS INC | | 2018-11-21 | 2020-12-17 |
| GLOBAL PAYMENTS INC | | 2018-10-24 | 2020-12-17 |
| GLOBAL PAYMENTS INC | | 2018-05-16 | 2020-12-17 |
| GLOBAL PAYMENTS INC | | 2018-05-16 | 2020-03-19 |
| GLOBAL PAYMENTS INC | | 2017-11-24 | 2020-03-19 |
| GLOBAL PAYMENTS INC | | 2019-03-15 | 2020-12-17 |
| HILTON WORLDWIDE HOLDINGS INC NEW | | 2018-05-16 | 2020-03-05 |
| HILTON WORLDWIDE HOLDINGS INC NEW | | 2017-11-24 | 2020-03-05 |
| HILTON WORLDWIDE HOLDINGS INC NEW | | 2019-05-30 | 2020-03-17 |
| HILTON WORLDWIDE HOLDINGS INC NEW | | 2018-11-21 | 2020-03-17 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4,140 | | 2,203 | 1,937 |
| 5,125 | | 2,912 | 2,213 |
| 2,168 | | 1,269 | 899 |
| 4,804 | | 4,384 | 420 |
| 4,425 | | 3,605 | 820 |
| 4,337 | | 2,927 | 1,410 |
| 6,668 | | 5,973 | 695 |
| 6,104 | | 4,924 | 1,180 |
| 7,833 | | 10,865 | -3,032 |
| 2,201 | | 2,483 | -282 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 1,937 |
| | | | 2,213 |
| | | | 899 |
| | | | 420 |
| | | | 820 |
| | | | 1,410 |
| | | | 695 |
| | | | 1,180 |
| | | | -3,032 |
| | | | -282 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| HILTON WORLDWIDE HOLDINGS INC NEW | | 2018-10-24 | 2020-03-17 |
| HILTON WORLDWIDE HOLDINGS INC NEW | | 2018-10-24 | 2020-03-05 |
| HORMEL FOODS CORP | | 2020-11-10 | 2020-12-17 |
| HORMEL FOODS CORP | | 2020-09-01 | 2020-12-17 |
| HORMEL FOODS CORP | | 2020-03-19 | 2020-12-17 |
| HUNTINGTON BANCSHRES INC | | 2018-10-24 | 2020-04-09 |
| HUNTINGTON BANCSHRES INC | | 2018-05-16 | 2020-04-09 |
| HUNTINGTON BANCSHRES INC | | 2017-11-24 | 2020-04-09 |
| HUNTINGTON BANCSHRES INC | | 2018-11-21 | 2020-04-09 |
| JACK HENRY & ASSOCIATES INC | | 2017-11-24 | 2020-11-16 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,230 | | 1,229 | 1 |
| 1,503 | | 1,035 | 468 |
| 192 | | 199 | -7 |
| 720 | | 760 | -40 |
| 10,169 | | 10,163 | 6 |
| 2,525 | | 3,580 | -1,055 |
| 3,684 | | 5,977 | -2,293 |
| 3,338 | | 4,848 | -1,510 |
| 1,421 | | 2,216 | -795 |
| 13,472 | | 9,687 | 3,785 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 1 |
| | | | 468 |
| | | | -7 |
| | | | -40 |
| | | | 6 |
| | | | -1,055 |
| | | | -2,293 |
| | | | -1,510 |
| | | | -795 |
| | | | 3,785 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| MICROSOFT CORP | | 2017-11-24 | 2020-03-05 |
| MICROSOFT CORP | | 2018-05-16 | 2020-03-05 |
| MOODYS CORP | | 2018-05-16 | 2020-02-14 |
| MOODYS CORP | | 2017-11-24 | 2020-02-14 |
| MSCI INC CLASS A | | 2019-12-04 | 2020-04-27 |
| NESTLE S A SPON ADR REPSTG REG SHS | | 2018-05-16 | 2020-04-15 |
| NESTLE S A SPON ADR REPSTG REG SHS | | 2017-11-24 | 2020-04-15 |
| NORTHROP GRUMMAN CORP | | 2017-11-24 | 2020-03-19 |
| PROCTER & GAMBLE CO | | 2018-05-16 | 2020-03-19 |
| PROCTER & GAMBLE CO | | 2017-11-24 | 2020-03-19 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 8,262 | | 4,067 | 4,195 |
| 7,419 | | 4,259 | 3,160 |
| 9,627 | | 6,037 | 3,590 |
| 8,527 | | 4,663 | 3,864 |
| 12,588 | | 9,849 | 2,739 |
| 6,837 | | 4,920 | 1,917 |
| 7,906 | | 6,439 | 1,467 |
| 6,135 | | 6,355 | -220 |
| 9,241 | | 6,114 | 3,127 |
| 668 | | 533 | 135 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 4,195 |
| | | | 3,160 |
| | | | 3,590 |
| | | | 3,864 |
| | | | 2,739 |
| | | | 1,917 |
| | | | 1,467 |
| | | | -220 |
| | | | 3,127 |
| | | | 135 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHARES | | 2018-12-10 | 2020-03-03 |
| SCHLUMBERGER LTD | | 2019-06-24 | 2020-03-12 |
| SCHLUMBERGER LTD | | 2018-11-21 | 2020-03-12 |
| SEAGEN INC | | 2018-05-16 | 2020-11-16 |
| SEAGEN INC | | 2017-12-18 | 2020-11-16 |
| SERVICENOW INC | | 2019-11-11 | 2020-08-21 |
| SERVICENOW INC | | 2019-11-11 | 2020-03-05 |
| TEXAS INSTRUMENTS INC | | 2018-11-21 | 2020-02-14 |
| TEXAS INSTRUMENTS INC | | 2018-10-24 | 2020-02-14 |
| UNITEDHEALTH GROUP INC | | 2017-11-24 | 2020-06-17 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 10,944 | | 14,867 | -3,923 |
| 4,634 | | 12,079 | -7,445 |
| 194 | | 621 | -427 |
| 3,527 | | 1,174 | 2,353 |
| 9,523 | | 2,834 | 6,689 |
| 8,082 | | 4,491 | 3,591 |
| 13,579 | | 9,980 | 3,599 |
| 5,390 | | 3,991 | 1,399 |
| 2,498 | | 1,815 | 683 |
| 6,151 | | 4,460 | 1,691 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -3,923 |
| | | | -7,445 |
| | | | -427 |
| | | | 2,353 |
| | | | 6,689 |
| | | | 3,591 |
| | | | 3,599 |
| | | | 1,399 |
| | | | 683 |
| | | | 1,691 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| VERTEX PHARMACEUTICALS INC | | 2020-11-10 | 2020-12-17 |
| VERTEX PHARMACEUTICALS INC | | 2020-09-01 | 2020-12-17 |
| VERTEX PHARMACEUTICALS INC | | 2019-12-04 | 2020-12-17 |
| WABTEC | | 2018-11-21 | 2020-02-14 |
| WABTEC | | 2018-10-24 | 2020-02-14 |
| WABTEC | | 2018-09-27 | 2020-02-14 |
| WASTE CONNECTIONS INC | | 2017-11-24 | 2020-02-14 |
| WELLS FARGO & CO NEW | | 2018-11-21 | 2020-04-07 |
| WELLS FARGO & CO NEW | | 2018-10-24 | 2020-04-07 |
| WYNN RESORTS LTD | | 2020-02-14 | 2020-03-19 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 473 | | 442 | 31 |
| 710 | | 816 | -106 |
| 13,729 | | 12,985 | 744 |
| 2,005 | | 2,244 | -239 |
| 2,314 | | 2,807 | -493 |
| 8,099 | | 11,293 | -3,194 |
| 15,353 | | 10,171 | 5,182 |
| 3,114 | | 5,636 | -2,522 |
| 4,598 | | 7,960 | -3,362 |
| 4,913 | | 13,460 | -8,547 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 31 |
| | | | -106 |
| | | | 744 |
| | | | -239 |
| | | | -493 |
| | | | -3,194 |
| | | | 5,182 |
| | | | -2,522 |
| | | | -3,362 |
| | | | -8,547 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| ABERDEEN STANDARD PHYSICAL GOLD SHS ETF | | 2020-04-13 | 2020-12-21 |
| ABERDEEN STANDARD PHYSICAL GOLD SHS ETF | | 2020-04-08 | 2020-12-21 |
| INVESCO OPTIMUM YLD DIVERSIFIED COMMODITY STR K1 ETF | | 2020-07-08 | 2020-08-13 |
| SPDR GOLD TRUST GOLD SHARES | | 2020-04-06 | 2020-12-21 |
| SPDR GOLD TRUST GOLD SHARES | | 2019-10-08 | 2020-12-21 |
| SPDR GOLD TRUST GOLD SHARES | | 2019-10-08 | 2020-10-07 |
| BLACKROCK SHORT MATURITY BOND ETF | | 2019-06-12 | 2020-04-13 |
| BLACKROCK SHORT MATURITY BOND ETF | | 2019-01-08 | 2020-04-13 |
| BLACKROCK SHORT MATURITY BOND ETF | | 2019-01-08 | 2020-04-06 |
| FIRST TRUST SENIOR LOAN FUND ETF | | 2019-05-08 | 2020-04-13 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 25,121 | | 22,947 | 2,174 |
| 17,283 | | 15,204 | 2,079 |
| 41,054 | | 39,452 | 1,602 |
| 11,992 | | 10,549 | 1,443 |
| 28,392 | | 22,793 | 5,599 |
| 2,300 | | 1,840 | 460 |
| 25,658 | | 26,326 | -668 |
| 4,652 | | 4,740 | -88 |
| 32,510 | | 33,476 | -966 |
| 3,229 | | 3,490 | -261 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 2,174 |
| | | | 2,079 |
| | | | 1,602 |
| | | | 1,443 |
| | | | 5,599 |
| | | | 460 |
| | | | -668 |
| | | | -88 |
| | | | -966 |
| | | | -261 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| FIRST TRUST SENIOR LOAN FUND ETF | | 2018-07-12 | 2020-04-13 |
| FIRST TRUST SENIOR LOAN FUND ETF | | 2018-07-12 | 2020-04-08 |
| ISHARES 3-7YR TREASURY BOND ETF | | 2020-04-13 | 2020-10-07 |
| ISHARES 3-7YR TREASURY BOND ETF | | 2020-04-08 | 2020-10-07 |
| ISHARES CORE U S AGGREGATE BOND ETF | | 2020-04-13 | 2020-06-09 |
| ISHARES CORE U S AGGREGATE BOND ETF | | 2020-04-06 | 2020-06-09 |
| ISHARES IBOXX $INVESTMENT GRADE CORP BOND ETF | | 2020-05-12 | 2020-11-10 |
| ISHARES IBOXX $INVESTMENT GRADE CORP BOND ETF | | 2020-05-12 | 2020-09-09 |
| ISHARES IBOXX $INVESTMENT GRADE CORP BOND ETF | | 2020-05-12 | 2020-08-13 |
| ISHARES SHORT TREASURY BOND ETF | | 2020-04-06 | 2020-07-08 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 16,147 | | 17,500 | -1,353 |
| 18,769 | | 20,617 | -1,848 |
| 19,439 | | 19,417 | 22 |
| 19,172 | | 19,122 | 50 |
| 19,690 | | 19,648 | 42 |
| 19,104 | | 18,773 | 331 |
| 47,993 | | 45,004 | 2,989 |
| 7,571 | | 7,099 | 472 |
| 2,738 | | 2,535 | 203 |
| 15,057 | | 15,084 | -27 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -1,353 |
| | | | -1,848 |
| | | | 22 |
| | | | 50 |
| | | | 42 |
| | | | 331 |
| | | | 2,989 |
| | | | 472 |
| | | | 203 |
| | | | -27 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| ISHARES SHORT TREASURY BOND ETF | | 2020-04-13 | 2020-08-18 |
| ISHARES SHORT TREASURY BOND ETF | | 2020-04-13 | 2020-08-13 |
| ISHARES SHORT TREASURY BOND ETF | | 2020-04-13 | 2020-07-08 |
| ISHARES SHORT TREASURY BOND ETF | | 2020-04-08 | 2020-07-08 |
| JP MORGAN ULTRA SHORT INCOME ETF | | 2019-05-08 | 2020-09-09 |
| JP MORGAN ULTRA SHORT INCOME ETF | | 2019-05-08 | 2020-08-18 |
| JP MORGAN ULTRA SHORT INCOME ETF | | 2019-03-12 | 2020-08-18 |
| SPDR PORTFOLIO LONG TERM TREASURY ETF | | 2020-04-13 | 2020-09-09 |
| SPDR PORTFOLIO LONG TERM TREASURY ETF | | 2020-04-06 | 2020-09-09 |
| SPDR PORTFOLIO SHORT TERM TREASURY ETF | | 2020-04-13 | 2020-10-07 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 12,289 | | 12,311 | -22 |
| 19,263 | | 19,299 | -36 |
| 3,543 | | 3,549 | -6 |
| 15,389 | | 15,418 | -29 |
| 24,543 | | 24,324 | 219 |
| 9,199 | | 9,115 | 84 |
| 40,251 | | 39,830 | 421 |
| 19,799 | | 20,040 | -241 |
| 18,351 | | 18,827 | -476 |
| 19,509 | | 19,527 | -18 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -22 |
| | | | -36 |
| | | | -6 |
| | | | -29 |
| | | | 219 |
| | | | 84 |
| | | | 421 |
| | | | -241 |
| | | | -476 |
| | | | -18 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| SPDR PORTFOLIO SHORT TERM TREASURY ETF | | 2020-04-08 | 2020-10-07 |
| INVESCO QQQ ETF | | 2019-12-10 | 2020-06-09 |
| INVESCO QQQ ETF | | 2020-04-06 | 2020-12-09 |
| INVESCO QQQ ETF | | 2019-12-10 | 2020-12-09 |
| INVESCO QQQ ETF | | 2019-12-10 | 2020-10-07 |
| INVESCO S&P 500 EQUAL WEIGHT ETF | | 2017-07-12 | 2020-04-08 |
| INVESCO S&P 500 EQUAL WEIGHT ETF | | 2017-07-12 | 2020-04-06 |
| INVESCO S&P 500 EQUAL WEIGHT ETF | | 2018-09-11 | 2020-04-13 |
| INVESCO S&P 500 EQUAL WEIGHT ETF | | 2018-07-12 | 2020-04-13 |
| INVESCO S&P 500 EQUAL WEIGHT ETF | | 2017-07-12 | 2020-04-13 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 19,079 | | 19,092 | -13 |
| 8,503 | | 7,153 | 1,350 |
| 8,919 | | 5,568 | 3,351 |
| 35,677 | | 23,706 | 11,971 |
| 4,447 | | 3,270 | 1,177 |
| 20,862 | | 21,790 | -928 |
| 8,601 | | 9,498 | -897 |
| 15,652 | | 18,637 | -2,985 |
| 21,499 | | 24,882 | -3,383 |
| 2,069 | | 2,142 | -73 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -13 |
| | | | 1,350 |
| | | | 3,351 |
| | | | 11,971 |
| | | | 1,177 |
| | | | -928 |
| | | | -897 |
| | | | -2,985 |
| | | | -3,383 |
| | | | -73 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo., day, yr.) | **(d)** Date sold (mo., day, yr.) |
|---|---|---|---|
| ISHARES CORE MSCI EMERGING MARKETS ETF | | 2020-07-08 | 2020-09-09 |
| ISHARES CORE MSCI EMERGING MARKETS ETF | | 2019-11-05 | 2020-04-13 |
| ISHARES CORE MSCI EMERGING MARKETS ETF | | 2019-11-05 | 2020-04-06 |
| ISHARES CORE S&P TOTAL U S STOCK MARKET ETF | | 2018-12-12 | 2020-04-13 |
| ISHARES CORE S&P TOTAL U S STOCK MARKET ETF | | 2017-06-06 | 2020-04-13 |
| ISHARES CORE S&P TOTAL U S STOCK MARKET ETF | | 2016-01-07 | 2020-04-13 |
| ISHARES CORE S&P TOTAL U S STOCK MARKET ETF | | 2014-10-08 | 2020-04-13 |
| ISHARES CORE S&P TOTAL U S STOCK MARKET ETF | | 2014-10-08 | 2020-04-08 |
| ISHARES CORE S&P TOTAL U S STOCK MARKET ETF | | 2014-10-08 | 2020-04-06 |
| ISHARES CORE S&P TOTAL U S STOCK MARKET ETF | | 2012-12-12 | 2020-04-06 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 24,245 | | 23,547 | 698 |
| 15,274 | | 19,202 | -3,928 |
| 11,414 | | 14,573 | -3,159 |
| 5,950 | | 5,939 | 11 |
| 18,336 | | 16,818 | 1,518 |
| 23,314 | | 17,128 | 6,186 |
| 9,168 | | 6,644 | 2,524 |
| 26,504 | | 19,448 | 7,056 |
| 1,322 | | 1,012 | 310 |
| 21,377 | | 12,153 | 9,224 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| **(i)** F.M.V. as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col. (i) over col. (j), if any | |
| | | | 698 |
| | | | -3,928 |
| | | | -3,159 |
| | | | 11 |
| | | | 1,518 |
| | | | 6,186 |
| | | | 2,524 |
| | | | 7,056 |
| | | | 310 |
| | | | 9,224 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| ISHARES CORE S&P TOTAL U S STOCK MARKET ETF | | 2012-11-08 | 2020-04-06 |
| ISHARES CORE S&P TOTAL U S STOCK MARKET ETF | | 2020-06-09 | 2020-12-09 |
| ISHARES MSCI USA MIN VOLATILITY FACTOR ETF | | 2018-02-07 | 2020-04-13 |
| ISHARES MSCI USA MIN VOLATILITY FACTOR ETF | | 2018-02-07 | 2020-04-08 |
| ISHARES MSCI USA MIN VOLATILITY FACTOR ETF | | 2018-02-07 | 2020-01-14 |
| ISHARES MSCI USA MIN VOLATILITY FACTOR ETF | | 2016-04-07 | 2020-01-14 |
| OSI OSHARES U S QUALITY DIVIDEND ETF | | 2017-06-06 | 2020-04-13 |
| OSI OSHARES U S QUALITY DIVIDEND ETF | | 2017-06-06 | 2020-04-06 |
| OSI OSHARES U S QUALITY DIVIDEND ETF | | 2016-12-06 | 2020-04-06 |
| SPDR PORTFOLIO EMERGING MARKETS ETF | | 2020-01-14 | 2020-04-13 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,816 | | 1,548 | 1,268 |
| 91,721 | | 77,883 | 13,838 |
| 19,912 | | 18,022 | 1,890 |
| 17,909 | | 16,503 | 1,406 |
| 28,040 | | 22,161 | 5,879 |
| 7,424 | | 4,920 | 2,504 |
| 11,759 | | 11,300 | 459 |
| 443 | | 445 | -2 |
| 9,960 | | 9,055 | 905 |
| 15,365 | | 20,045 | -4,680 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 1,268 |
| | | | 13,838 |
| | | | 1,890 |
| | | | 1,406 |
| | | | 5,879 |
| | | | 2,504 |
| | | | 459 |
| | | | -2 |
| | | | 905 |
| | | | -4,680 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| SPDR PORTFOLIO EMERGING MARKETS ETF | | 2020-01-14 | 2020-04-08 |
| SPDR PORTFOLIO EMERGING MARKETS ETF | | 2020-08-18 | 2020-10-07 |
| SPDR PORTFOLIO EMERGING MARKETS ETF | | 2020-08-13 | 2020-10-07 |
| VANGUARD S&P 500 INDEX ETF | | 2019-09-10 | 2020-04-13 |
| VANGUARD S&P 500 INDEX ETF | | 2019-09-10 | 2020-04-08 |
| VANGUARD S&P 500 INDEX ETF | | 2018-10-11 | 2020-04-08 |
| VANGUARD S&P 500 INDEX ETF | | 2018-10-11 | 2020-04-06 |
| WISDOMTREE TRUST EUROPE HEDGED EQUITY FUND ETF | | 2018-03-16 | 2020-04-08 |
| SPDR BLOOMBERG BARCLAYS 1-3 MONTH T-BILL ETF NEW | | 2020-04-13 | 2020-06-09 |
| SPDR BLOOMBERG BARCLAYS 1-3 MONTH T-BILL ETF NEW | | 2020-04-08 | 2020-06-09 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 11,462 | | 14,937 | -3,475 |
| 20,401 | | 20,231 | 170 |
| 20,774 | | 20,391 | 383 |
| 15,592 | | 16,873 | -1,281 |
| 7,227 | | 7,892 | -665 |
| 20,436 | | 20,746 | -310 |
| 24,351 | | 25,806 | -1,455 |
| 20,431 | | 24,383 | -3,952 |
| 3,935 | | 3,936 | -1 |
| 27,088 | | 27,103 | -15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -3,475 |
| | | | 170 |
| | | | 383 |
| | | | -1,281 |
| | | | -665 |
| | | | -310 |
| | | | -1,455 |
| | | | -3,952 |
| | | | -1 |
| | | | -15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| SPDR BLOOMBERG BARCLAYS 1-3 MONTH T-BILL ETF NEW | | 2020-04-06 | 2020-06-09 |
| SPDR BLOOMBERG BARCLAYS 1-3 MONTH T-BILL ETF NEW | | 2020-04-13 | 2020-07-08 |
| AMETEK INC NEW | | 2013-01-31 | 2020-07-01 |
| AMETEK INC NEW | | 2012-04-12 | 2020-07-01 |
| AMETEK INC NEW | | 2011-02-10 | 2020-07-01 |
| AMETEK INC NEW | | 2013-12-31 | 2020-07-01 |
| COCA-COLA COMPANY | | 2019-02-14 | 2020-07-20 |
| COCA-COLA COMPANY | | 2018-02-02 | 2020-07-20 |
| COLFAX CORP | | 2019-12-30 | 2020-04-07 |
| COMCAST CORP CL A NEW | | 2017-02-07 | 2020-07-01 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 22,513 | | 22,527 | -14 |
| 43,016 | | 43,026 | -10 |
| 616 | | 287 | 329 |
| 1,980 | | 726 | 1,254 |
| 11,218 | | 3,751 | 7,467 |
| 20,677 | | 12,383 | 8,294 |
| 8,970 | | 8,971 | -1 |
| 22,908 | | 23,420 | -512 |
| 3,808 | | 6,768 | -2,960 |
| 28,602 | | 26,869 | 1,733 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -14 |
| | | | -10 |
| | | | 329 |
| | | | 1,254 |
| | | | 7,467 |
| | | | 8,294 |
| | | | -1 |
| | | | -512 |
| | | | -2,960 |
| | | | 1,733 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| EOG RESOURCES INC | | 2014-08-07 | 2020-02-24 |
| FORTIVE CORP | | 2013-12-31 | 2020-04-07 |
| FORTIVE CORP | | 2013-01-31 | 2020-04-07 |
| FORTIVE CORP | | 2010-12-08 | 2020-04-07 |
| FORTIVE CORP | | 2018-01-25 | 2020-04-07 |
| GLOBUS MEDICAL INC CL A NEW | | 2018-02-20 | 2020-03-10 |
| GLOBUS MEDICAL INC CL A NEW | | 2018-01-26 | 2020-03-10 |
| PACIRA BIOSCIENCES INC | | 2017-08-10 | 2020-03-11 |
| PACIRA BIOSCIENCES INC | | 2017-07-19 | 2020-03-11 |
| STRYKER CORP | | 2017-06-30 | 2020-03-11 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 8,377 | | 12,685 | -4,308 |
| 6,768 | | 4,213 | 2,555 |
| 177 | | 86 | 91 |
| 3,531 | | 1,297 | 2,234 |
| 7,121 | | 9,101 | -1,980 |
| 6,745 | | 7,931 | -1,186 |
| 5,470 | | 6,135 | -665 |
| 1,111 | | 1,171 | -60 |
| 4,653 | | 6,647 | -1,994 |
| 13,499 | | 10,875 | 2,624 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -4,308 |
| | | | 2,555 |
| | | | 91 |
| | | | 2,234 |
| | | | -1,980 |
| | | | -1,186 |
| | | | -665 |
| | | | -60 |
| | | | -1,994 |
| | | | 2,624 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| TRANSDIGM GROUP INC | | 2012-03-28 | 2020-07-01 |
| UNITEDHEALTH GROUP INC | | 2015-07-29 | 2020-02-24 |
| WASTE CONNECTIONS INC | | 2016-06-03 | 2020-07-01 |
| YUM BRANDS INC | | 2017-09-12 | 2020-02-25 |
| AGNC INVESTMENT CORP | | 2019-09-23 | 2020-03-06 |
| CIMAREX ENERGY COMPANY CUSIP: 171798101 Symbol: XEC | | 2019-06-25 | 2020-01-17 |
| CIMAREX ENERGY COMPANY CUSIP: 171798101 Symbol: XEC | | 2019-03-07 | 2020-03-06 |
| CISCO SYSTEMS INC CUSIP: 17275R102 Symbol: CSCO | | 2020-02-28 | 2020-03-06 |
| DISCOVERY INC C CUSIP: 25470F302 Symbol: DISCK | | 2019-10-18 | 2020-03-06 |
| ISHS SHORT TREASURY ETF CUSIP: 464288679 Symbol: SHV | | 2019-12-26 | 2020-03-06 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 10,607 | | 589 | 10,018 |
| 16,003 | | 6,918 | 9,085 |
| 39,046 | | 18,426 | 20,620 |
| 22,281 | | 17,313 | 4,968 |
| 34,287 | | 32,709 | 1,578 |
| 17,733 | | 19,257 | -1,524 |
| 11,011 | | 22,775 | -11,764 |
| 27,974 | | 28,008 | -34 |
| 27,132 | | 29,863 | -2,731 |
| 51,439 | | 51,243 | 196 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 10,018 |
| | | | 9,085 |
| | | | 20,620 |
| | | | 4,968 |
| | | | 1,578 |
| | | | -1,524 |
| | | | -11,764 |
| | | | -34 |
| | | | -2,731 |
| | | | 196 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| KROGER CO/CUSIP: 501044101 Symbol: KR | | 2019-03-07 | 2020-03-06 |
| MOLSON COORS BEVRG CO B CUSIP: 60871R209 Symbol: TAP | | 2019-03-07 | 2020-03-06 |
| NATIONAL FUEL GAS CO CUSIP: 636180101 Symbol: NFG | | 2019-03-07 | 2020-03-06 |
| SANOFI SPON ADR CUSIP: 80105N105 Symbol: SNY | | 2019-09-25 | 2020-03-06 |
| SCHLUMBERGER LTD CUSIP: 806857108 Symbol: SLB | | 2020-01-17 | 2020-03-06 |
| SPON ADR CUSIP: 89151E109 Symbol: TOT | | 2019-06-13 | 2020-03-06 |
| TRAVELERS COS INC CUSIP: 89417E109 Symbol: TRV | | 2020-01-31 | 2020-03-06 |
| USBANCORP DE NEW CUSIP: 902973304 Symbol: USB | | 2020-03-04 | 2020-03-06 |
| UPS INC B/CUSIP: 911312106 Symbol: UPS | | 2020-03-03 | 2020-03-06 |
| VERIZON COMMNS INC CUSIP: 92343V104 Symbol: VZ | | 2020-02-18 | 2020-03-06 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 37,769 | | 26,579 | 11,190 |
| 24,323 | | 29,467 | -5,144 |
| 20,110 | | 22,972 | -2,862 |
| 30,315 | | 29,393 | 922 |
| 14,610 | | 24,392 | -9,782 |
| 24,059 | | 30,378 | -6,319 |
| 8,683 | | 9,252 | -569 |
| 4,197 | | 4,616 | -419 |
| 8,404 | | 8,397 | 7 |
| 18,552 | | 19,155 | -603 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 11,190 |
| | | | -5,144 |
| | | | -2,862 |
| | | | 922 |
| | | | -9,782 |
| | | | -6,319 |
| | | | -569 |
| | | | -419 |
| | | | 7 |
| | | | -603 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| WELLS FARGO & CO NEW CUSIP: 949746101 Symbol: WFC | | 2020-03-06 | 2020-03-06 |
| WILLIAMS COS INC DEL CUSIP: 969457100 Symbol: WMB | | 2020-03-06 | 2020-03-06 |
| ALLIANCE DATA SYS CORP | | 2019-01-31 | 2020-03-06 |
| ALLIANCE DATA SYS CORP | | 2019-02-07 | 2020-03-06 |
| AMERN EXPRESS CO CUSIP: 025816109 Symbol: AXP | | 2019-03-05 | 2020-03-06 |
| AMERISOURCEBERGEN CORP CUSIP: 03073E105 Symbol: ABC | | 2019-03-05 | 2020-03-06 |
| BOOKING HOLDINGS INC CUSIP: 09857L108 Symbol: BKNG | | 2019-03-05 | 2020-03-06 |
| CONOCOPHILLIPS CUSIP: 20825C104 Symbol: COP | | 2019-03-05 | 2020-03-06 |
| EBAY INC CUSIP: 278642103 Symbol: EBAY | | 2019-03-05 | 2020-03-06 |
| EXELON CORP CUSIP: 30161N101 Symbol: EXC | | 2019-03-05 | 2020-03-06 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 8,446 | | 8,415 | 31 |
| 20,252 | | 20,603 | -351 |
| 4,323 | | 10,824 | -6,501 |
| 9,366 | | 21,079 | -11,713 |
| 43,379 | | 24,385 | 18,994 |
| 35,659 | | 34,571 | 1,088 |
| 25,478 | | 27,731 | -2,253 |
| 23,358 | | 22,962 | 396 |
| 47,251 | | 38,190 | 9,061 |
| 34,511 | | 21,604 | 12,907 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 31 |
| | | | -351 |
| | | | -6,501 |
| | | | -11,713 |
| | | | 18,994 |
| | | | 1,088 |
| | | | -2,253 |
| | | | 396 |
| | | | 9,061 |
| | | | 12,907 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| EXXON MOBIL CORP CUSIP: 30231G102 Symbol: XOM | | 2019-03-05 | 2020-03-06 |
| FACEBOOK INC A CUSIP: 30303M102 Symbol: FB | | 2018-08-03 | 2020-01-21 |
| FACEBOOK INC A CUSIP: 30303M102 Symbol: FB | | 2019-03-05 | 2020-03-06 |
| FRANKLIN RESOURCES INC CUSIP: 354613101 Symbol: BEN | | 2019-03-03 | 2020-03-04 |
| GLAXOSMITHKLINE PLC ADR CUSIP: 37733W105 Symbol: GSK | | 2019-03-05 | 2020-03-06 |
| GLOBE LIFE INC CUSIP: 37959E102 Symbol: GL | | 2012-01-26 | 2020-03-06 |
| HARTFORD FINL SVCS GROUP CUSIP: 416515104 Symbol: HIG | | 2018-12-14 | 2020-03-06 |
| HONDA MTR LTD ADR CUSIP: 438128308 Symbol: HMC | | 2019-03-05 | 2020-03-06 |
| ISHS SHORT TREASURY ETF CUSIP: 464288679 Symbol: SHV | | 2018-03-22 | 2020-01-17 |
| ISHS SHORT TREASURY ETF CUSIP: 464288679 Symbol: SHV | | 2018-03-22 | 2020-03-03 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 14,369 | | 22,417 | -8,048 |
| 7,531 | | 6,068 | 1,463 |
| 31,424 | | 28,054 | 3,370 |
| 15,245 | | 27,795 | -12,550 |
| 51,404 | | 47,137 | 4,267 |
| 23,237 | | 8,129 | 15,108 |
| 25,136 | | 20,594 | 4,542 |
| 34,849 | | 43,356 | -8,507 |
| 30,837 | | 30,776 | 61 |
| 35,500 | | 35,410 | 90 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -8,048 |
| | | | 1,463 |
| | | | 3,370 |
| | | | -12,550 |
| | | | 4,267 |
| | | | 15,108 |
| | | | 4,542 |
| | | | -8,507 |
| | | | 61 |
| | | | 90 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| ISHS SHORT TREASURY ETF CUSIP: 464288679 Symbol: SHV | | 2018-03-22 | 2020-03-06 |
| JOHNSON & JOHNSON CUSIP: 478160104 Symbol: JNJ | | 2019-03-05 | 2020-03-06 |
| LOWES COMPANIES INC/CUSIP: 548661107/Symbol: LOW | | 2017-08-23 | 2020-03-06 |
| MCKESSON CORP/CUSIP: 58155Q103/Symbol: MCK | | 2017-03-09 | 2020-03-06 |
| MOHAWK INDUSTRIES INC CUSIP: 608190104 Symbol: MHK | | 2018-09-13 | 2020-03-06 |
| MOLSON COORS BEVRG CO B CUSIP: 60871R209 Symbol: TAP | | 2018-05-08 | 2020-03-06 |
| NATL GRID ADR NEW 2017 CUSIP: 636274409 Symbol: NGG | | 2018-03-06 | 2020-03-06 |
| PNC FINL SVCS GROUP INC CUSIP: 693475105 Symbol: PNC | | 2019-03-05 | 2020-03-06 |
| PPG INDUSTRIES INC CUSIP: 693506107 Symbol: PPG | | 2020-03-05 | 2020-03-06 |
| PEPSICO INC/CUSIP: 713448108/Symbol: PEP | | 2013-12-31 | 2020-03-06 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 22,726 | | 22,614 | 112 |
| 47,074 | | 34,936 | 12,138 |
| 28,028 | | 19,349 | 8,679 |
| 27,498 | | 29,024 | -1,526 |
| 29,334 | | 46,639 | -17,305 |
| 8,568 | | 10,739 | -2,171 |
| 34,031 | | 27,383 | 6,648 |
| 24,868 | | 22,567 | 2,301 |
| 33,737 | | 31,181 | 2,556 |
| 36,511 | | 22,331 | 14,180 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 112 |
| | | | 12,138 |
| | | | 8,679 |
| | | | -1,526 |
| | | | -17,305 |
| | | | -2,171 |
| | | | 6,648 |
| | | | 2,301 |
| | | | 2,556 |
| | | | 14,180 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| SCHLUMBERGER LTD CUSIP: 806857108 Symbol: SLB | | 2019-01-03 | 2020-03-06 |
| TARGET CORP CUSIP: 87612E106 Symbol: TGT | | 2017-11-16 | 2020-03-06 |
| TRAVELERS COS INC CUSIP: 89417E109 Symbol: TRV | | 2020-03-05 | 2020-03-06 |
| TRUIST FINL CORP CUSIP: 89832Q109 Symbol: TFC | | 2019-03-05 | 2020-03-06 |
| USBANCORP DE NEW CUSIP: 902973304 Symbol: USB | | 2019-03-05 | 2020-03-06 |
| UPSINCB/CUSIP 911312106/Symbol UPS (contd) | | 2019-03-05 | 2020-03-06 |
| VERIZON COMMNS INC CUSIP 92343V104 Symbol VZ | | 2001-03-05 | 2020-03-06 |
| WELLS FARGO & CO NEW/CUSIP 949746101 Symbol WFC | | 2019-03-05 | 2020-03-06 |
| MEDTRONIC PLC/CUSIP G5960L103 Symbol MDT | | 2015-01-27 | 2020-01-22 |
| Capital Gains Dividends | P | | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 9,220 | | 14,985 | -5,765 |
| 25,137 | | 23,684 | 1,453 |
| 33,864 | | 24,677 | 9,187 |
| 34,293 | | 29,632 | 4,661 |
| 39,829 | | 41,603 | -1,774 |
| 40,617 | | 47,418 | -6,801 |
| 41,040 | | 35,599 | 5,441 |
| 32,681 | | 33,093 | -412 |
| 35,658 | | 22,322 | 13,336 |
| 92,461 | | | 92,461 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -5,765 |
| | | | 1,453 |
| | | | 9,187 |
| | | | 4,661 |
| | | | -1,774 |
| | | | -6,801 |
| | | | 5,441 |
| | | | -412 |
| | | | 13,336 |
| | | | 92,461 |

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| KATHARINE THOMAS<br>3802 SWARTHMORE RD<br>DURHAM, NC 27707 | DIRECTOR<br>0.10 | 0 | 0 | 127 |
| E JACK WALKER<br>3802 SWARTHMORE RD<br>DURHAM, NC 27707 | DIRECTOR,SECRETARY<br>0.15 | 0 | 0 | 127 |
| EDWIN THOMAS<br>3802 SWARTHMORE RD<br>DURHAM, NC 27707 | DIRECTOR<br>0.10 | 0 | 0 | 127 |
| CHARLES FULLER<br>3802 SWARTHMORE RD<br>DURHAM, NC 27707 | DIRECTOR<br>0.10 | 0 | 0 | 128 |
| JOHN S THOMAS<br>3802 SWARTHMORE RD<br>DURHAM, NC 27707 | PRESIDENT<br>35.00 | 171,090 | 19,137 | 128 |
| KENNETH B MORGAN<br>405 BATTLEGROUND AVE SUITE 101<br>GREENSBORO, NC 27401 | DIRECTOR,VICE PRES,TREAS<br>10.00 | 53,314 | 4,952 | 128 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| AMERICANS FOR PROSPERITY FOUNDATION<br>1310 N Courthouse Road Suite 700<br>Arlington, VA 222012508 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 5,000 |
| AMISTAD MISSIONP0 BOX 23030<br>NASHVILLE, TN 37202 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 20,000 |
| CHILD EVANGELISM FELLOWSHIP<br>PO BOX 348<br>WARRENTON, MO 63383 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 5,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 453,500 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| CONE HEALTH1200 N ELM STREET<br>GREENSBORO, NC 274011004 | NONE | PUBLIC CHARITY | E A MORRIS FUND | 20,000 |
| DUKE COMPREHENSIVE CANCER CENTER<br>PO BOX 3707 Duke University Medical Center c/o Dr Cary Robertson<br>DURHAM, NC 27710 | NONE | PUBLIC CHARITY | COMPREHENSIVE CANCER CENTER | 20,000 |
| DURHAM LIBRARY FOUNDATION<br>PO BOX 25246<br>DURHAM, NC 27702 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 5,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 453,500 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| EPISCOPAL CHURCH OF THE HOLY COMFORTER<br>2701 PARK ROAD<br>CHARLOTTE, NC 28209 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 5,500 |
| EXPONENT PHILANTHROPY<br>1720 N Street<br>Washsington, DC 20036 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 1,000 |
| FOOD BANK OF CENTRAL & EASTERN NC<br>1924 CAPITAL BLVD<br>RALEIGH, NC 27604 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 2,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 453,500 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| HOMEWARD BOUND OF WESTERN NC INC<br>PO BOX 1166<br>ASHVILLE, NC 28801 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 5,000 |
| LEADERSHIP EDGE INCORPORATED<br>PO BOX 51657<br>DURHAM, NC 27717 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 10,000 |
| NATIONAL RIGHT TO WORK LEGAL DEFENSE FOUNDATION<br>8001 BRADDOCK RD<br>SPRINGFIELD, VA 22160 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 20,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 453,500 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| NATIONAL TAXPAYERS UNION FOUNDATION<br>108 NORTH ALFRED ST<br>ALEXANDRIA, VA 22314 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 30,000 |
| NEIGHBORHOOD HEALTH CENTER INC<br>4201 LAKE BOONE TRAIL SUITE 100<br>RALEIGH, NC 27607 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 7,500 |
| NORTH CAROLINA MUSEUM OF LIFE AND SCIENCE<br>433 MURRAY AVENUE<br>DURHAM, NC 27704 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 25,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 453,500 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SAMARITANS PURSEPO BOX 3000<br>BOONE, NC 28607 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 20,000 |
| SERVE NOWPO BOX 50890<br>COLORADO SPRINGS, CO 80949 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 5,000 |
| ST STEPHENS EPISCOPAL CHURCH<br>82 KIMBERLY DRIVE<br>DURHAM, NC 27707 | NONE | CHURCH | GENERAL SUPPORT | 7,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 453,500 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| THE EMILY KRYZEWSKI FAMILY LIFE CENTER<br>904 WEST CHAPEL HILL STREET<br>DURHAM, NC 27701 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 2,500 |
| THE JESSE HELMS CENTER FOUNDATION<br>PO BOX 247<br>WINGATE, NC 28270 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 100,000 |
| THE JOHN LOCK FOUNDATION<br>4800 SIX FORKS ROAD SUITE<br>RALEIGH, NC 27609 | NONE | PUBLIC CHARITY | EA MORRIS FELLOWSHIP PROGRAM | 100,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 453,500 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| TRINITY EPISCOPAL SCHOOL<br>750 E 9TH ST<br>CHARLOTTE, NC 28202 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 2,000 |
| UNC-CHARLOTTE FOUNDATION<br>8724 UNIVERSITY CITY BLVD<br>CHARLOTTE, NC 28223 | NONE | PUBLIC CHARITY | SCHOLARSHIPS | 7,500 |
| WESTMINSTER PRESBYTERIAN CHURCH<br>3639 OLD CHAPEL HILL RD<br>DURHAM, NC 27707 | NONE | CHURCH | GENERAL SUPPORT | 2,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 453,500 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| YOUNG AMERICAS FOUNDATION<br>11480 COMMERCAE PARK DRIVE 6TH FLOOR<br>RESTON, VA 201911556 | NONE | PUBLIC CHARITY | GENERAL SUPPORT | 25,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 453,500 |

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# TY 2020 Depreciation Schedule

**Name:** EA Morris Charitable Foundation

**EIN:** 58-1413060

**Depreciation Schedule**

| Description of Property | Date Acquired | Cost or Other Basis | Prior Years' Depreciation | Computation Method | Rate / Life (# of years) | Current Year's Depreciation Expense | Net Investment Income | Adjusted Net Income | Cost of Goods Sold Not Included |
|---|---|---|---|---|---|---|---|---|---|
| Ipad & accessories | 2015-12-16 | 772 | 635 | SL | 5.000000000000 | 137 | 0 | | |

# TY 2020 Investments Corporate Bonds Schedule

**Name:** EA Morris Charitable Foundation

**EIN:** 58-1413060

**Investments Corporate Bonds Schedule**

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| Stifel Bonds -1594 | 3,043,984 | 3,106,526 |
| Stifel Equities-6546 | 142,381 | 107,227 |

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# TY 2020 Investments Corporate Stock Schedule

**Name:** EA Morris Charitable Foundation

**EIN:** 58-1413060

**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| Stifel Equities-1453 | 1,060,503 | 1,136,112 |
| Stifel Equities-5244 | 509,639 | 879,110 |
| Stifel Equities-5965 | 720,462 | 777,633 |
| Stifel Equities-6707 | 2,172,459 | 3,030,050 |
| Stifel Equities-9259 | 1,037,507 | 2,527,171 |
| Stifel Equities-9777 | 360,934 | 506,950 |
| Stifel Equities-9969 | 1,266,937 | 1,645,477 |

# TY 2020 Land, Etc. Schedule

**Name:** EA Morris Charitable Foundation

**EIN:** 58-1413060

| Category / Item | Cost / Other Basis | Accumulated Depreciation | Book Value | End of Year Fair Market Value |
|---|---|---|---|---|
| Ipad & accessories | 772 | 772 | 0 | 0 |

# TY 2020 Legal Fees Schedule

**Name:** EA Morris Charitable Foundation

**EIN:** 58-1413060

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| Legal fees | 3,113 | 0 | | 0 |

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# TY 2020 Other Expenses Schedule

**Name:** EA Morris Charitable Foundation

**EIN:** 58-1413060

## Other Expenses Schedule

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| INVESTMENT MANAGEMENT FEES | 61,741 | 61,741 | | 0 |
| OTHER INVESTMENT EXPENSES | 222 | 222 | | 0 |
| PAYROLL PROCESSING | 1,395 | 0 | | 0 |
| POSTAGE | 55 | 0 | | 0 |
| TELEPHONE & INTERNET | 818 | 0 | | 0 |
| SHREDDING CONFIDENTIAL DOCUMENY=TS | 150 | 0 | | 0 |
| BANK CHARGES | 71 | 0 | | 0 |

# TY 2020 Taxes Schedule

**Name:** EA Morris Charitable Foundation

**EIN:** 58-1413060

**Taxes Schedule**

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| FOREIGN TAX W/H | 1,302 | 1,302 | | 0 |
| FEDERAL EXCISE TAX PAID | 4,304 | 0 | | 0 |