Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation
Charles Koch Foundation

% KARA HARTNETT

A Employer identification number
48-0918408

Number and street (or P.O. box number if mail is not delivered to street address)
1320 N Courthouse Rd Suite 400

Room/suite

B Telephone number (see instructions)
(571) 290-6811

City or town, state or province, country, and ZIP or foreign postal code
Arlington, VA 22201

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☑ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 701,790,847

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	27,940,269			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	586,495	586,495		
	4	Dividends and interest from securities	6,881,671	6,881,671		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	38,732,755			
	b	Gross sales price for all assets on line 6a 38,732,755				
	7	Capital gain net income (from Part IV, line 2)		38,732,755		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	43,874,415	46,464,802		
	12	**Total.** Add lines 1 through 11	118,015,605	92,665,723		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	348,152			348,152
	14	Other employee salaries and wages	4,566,885			4,639,405
	15	Pension plans, employee benefits	247,301			83,242
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	37,044	777	0	3,096
	c	Other professional fees (attach schedule)	1,972,011	364,874		1,595,878
	17	Interest	3,170,483	3,170,483		
	18	Taxes (attach schedule) (see instructions)	596,715	88,072		328,922
	19	Depreciation (attach schedule) and depletion		0		
	20	Occupancy				
	21	Travel, conferences, and meetings	383,966			444,600
	22	Printing and publications	1,882			1,882
	23	Other expenses (attach schedule)	12,213,053	11,868,180		344,873
	24	**Total operating and administrative expenses.** Add lines 13 through 23	23,537,492	15,492,386	0	7,790,050
	25	Contributions, gifts, grants paid	99,559,148			100,892,812
	26	**Total expenses and disbursements.** Add lines 24 and 25	123,096,640	15,492,386	0	108,682,862
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-5,081,035			
	b	**Net investment income** (if negative, enter -0-)		77,173,337		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	209,096	58,437	58,437
	2	Savings and temporary cash investments	38,570,783	86,050,333	87,307,810
	3	Accounts receivable ▶ 77,022			
		Less: allowance for doubtful accounts ▶	1,211,679	77,022	77,022
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	374,496	552,306	552,306
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶ 355,213			
		Less: accumulated depreciation (attach schedule) ▶	355,213	355,213	355,213
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	509,881,275	374,996,813	613,440,059
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	550,602,542	462,090,124	701,790,847
Liabilities	17	Accounts payable and accrued expenses	1,355,262	1,426,696	
	18	Grants payable	5,982,222	4,686,000	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	7,337,484	6,112,696	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☑ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions	543,265,058	455,977,428	
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	543,265,058	455,977,428	
	30	**Total liabilities and net assets/fund balances** (see instructions)	550,602,542	462,090,124	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	543,265,058
2	Enter amount from Part I, line 27a	2	-5,081,035
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	538,184,023
5	Decreases not included in line 2 (itemize) ▶	5	82,206,595
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	455,977,428

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	PASSIVE FUND I			
b	PASSIVE FUND I			
c	PASSIVE FUND II			
d	PASSIVE FUND IV			
e	PASSIVE FUND IV			
	PASSIVE FUND IV			
	PASSIVE FUND III			
	PASSIVE FUND III			
	PASSIVE FUND III			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	0		22,148	-22,148
b	2,035		0	2,035
c	220,485		0	220,485
d	0		1,635,836	-1,635,836
e	9,318,264		0	9,318,264
	2,054,505			2,054,505
	5,024,272			5,024,272
			519,176	-519,176
	24,186,989			24,186,989

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a				-22,148
b				2,035
c				220,485
d				-1,635,836
e				9,318,264
				2,054,505
				5,024,272
				-519,176
				24,186,989

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	38,732,755
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2 Reserved	2	
3 Reserved	3	
4 Reserved	4	
5 Reserved	5	
6 Reserved	6	
7 Reserved	7	
8 Reserved	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**		
b	Reserved	1	1,072,709
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	1,072,709
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	1,072,709
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020 — 6a: 249,422		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 500,000		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	749,422
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	323,287
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ KS, VA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ WWW.CHARLESKOCHFOUNDATION.ORG

14 The books are in care of ▶ KARA HARTNETT Telephone no. ▶ (571) 290-6811

Located at ▶ 1320 N COURTHOUSE STE 300 ARLINGTON VA ZIP+4 ▶ 22201

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☑ Yes ☐ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☑ Yes ☐ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
CHARLES CHASE KOCH 1320 N Courthouse Rd Suite 400 Arlington, VA 22201	DIRECTOR 1.0	0	0	0
ELIZABETH B KOCH 1320 N Courthouse Rd Suite 400 Arlington, VA 22201	DIRECTOR 1.0	0	0	0
CHARLES G KOCH 1320 N Courthouse Rd Suite 400 Arlington, VA 22201	DIRECTOR / CHAIRMAN 1.0	0	0	0
BRIAN MENKES 1320 N Courthouse Rd Suite 400 Arlington, VA 22201	SECRETARY 1.0	0	0	0
DALE GIBBENS 1320 N Courthouse Rd Suite 400 Arlington, VA 22201	DIRECTOR 1.0	0	0	0
RYAN STOWERS 1320 N Courthouse Rd Suite 400 Arlington, VA 22201	EXECUTIVE DIRECTOR 50.0	348,152	44,482	0
SUSAN MOTIFF 1320 N Courthouse Rd Suite 400 Arlington, VA 22201	Treasurer 1.0	0	0	0
DAVE ROBERTSON 1320 N Courthouse Rd Suite 400 Arlington, VA 22201	DIRECTOR 1.0	0	0	0
BRIAN HOOKS 1320 N Courthouse Rd Suite 400 Arlington, VA 22201	DIRECTOR 1.0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
CHARLES RUGER 1320 N COURTHOUSE RD ARLINGTON,VA 22201	VP, PHILANTHROPY 50.0	283,636	18,568	0
BRETT HINKEY 1320 N COURTHOUSE RD ARLINGTON,VA 22201	SENIOR PROGRAM OFFIC 50.0	213,222	39,865	0
STEPHEN SWEET 1320 N COURTHOUSE RD ARLINGTON,VA 22201	PROGRAM OFFICER 50.0	172,738	36,665	0
BRENNAN BROWN 1320 N COURTHOUSE RD ARLINGTON,VA 22201	DIRECTOR, HIGHER EDU 50.0	181,677	40,123	0
JONATHAN FRANKLIN 1320 N COURTHOUSE RD ARLINGTON,VA 22201	DIRECTOR, RESEARCH 50.0	177,655	31,563	0

Total number of other employees paid over $50,000 ▶ 37

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
1888 MANAGEMENT LLC PO BOX 5004 WICHITA,KS 672015004	INVESTMENT MGMT SVCS	183,384
PRAIRIE CAPITAL MANAGEMENT LLC 4900 MAIN ST SUITE 700 KANSAS CITY,MO 64112	INVESTMENT MGMT SVCS	169,102
EdCERT LLC 85 BROAD STREET 17TH ST NEW YORK,NY 10004	EDUCATIONAL SERVICES	1,575,000
THE BIG THINK INC 18 EAST 17TH STREET 5TH FLOOR NEW YORK,NY 10003	MEDIA PRODUCTION	78,000
MRW PRODUCTIONS 1207 4TH STREET PH1 SANTA MONICA,CA 90401	MEDIA PRODUCTION	87,500

Total number of others receiving over $50,000 for professional services. . . . . ▶ 3

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	0
b	Average of monthly cash balances		1b	81,706,947
c	Fair market value of all other assets (see instructions)		1c	589,442,836
d	**Total** (add lines 1a, b, and c)		1d	671,149,783
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	671,149,783
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	10,067,247
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	661,082,536
6	**Minimum investment return.** Enter 5% of line 5		6	33,054,127

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	33,054,127
2a	Tax on investment income for 2020 from Part VI, line 5	2a	1,072,709		
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,072,709
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	31,981,418
4	Recoveries of amounts treated as qualifying distributions			4	181,362
5	Add lines 3 and 4			5	32,162,780
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	32,162,780

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	108,682,862
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	108,682,862
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	108,682,862

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				32,162,780
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 2018 , 2017 , 2016		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015. 26,728,687				
b From 2016. 52,194,616				
c From 2017. 62,499,087				
d From 2018. 91,068,230				
e From 2019. 112,313,380				
f **Total** of lines 3a through e.	344,804,000			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 108,682,862				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2020 distributable amount				32,162,780
e Remaining amount distributed out of corpus	76,520,082			
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	421,324,082			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	27,940,269			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a	393,383,813			
10 Analysis of line 9:				
a Excess from 2016 50,983,034				
b Excess from 2017 62,499,087				
c Excess from 2018. . . . 91,068,230				
d Excess from 2019 112,313,380				
e Excess from 2020 76,520,082				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

CHARLES G KOCH

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

CHARLES KOCH FOUNDATION
1320 N COURTHOUSE RD SUITE 500
ARLINGTON,VA 22201
(571) 290-6811

b The form in which applications should be submitted and information and materials they should include:

ORGANIZATIONS SEEKING GRANTS FROM THE FOUNDATION AND WHICH MEET THE CRITERIA LISTED IN THIS ATTACHMENT SHOULD SUBMIT A SHORT LETTER (NO MORE THAN THREE PAGES) OUTLINING THEIR REQUEST. THE LETTER SHOULD CLEARLY AND SUCCINCTLY STATE: 1. PROSPECTIVE GRANTEE'S MISSION AND GOALS; 2. SPECIFIC PROJECT FOR WHICH SUPPORT IS BEING SOUGHT; 3. AMOUNT OF FUNDING REQUESTED; 4. NAME, TITLE, ADDRESS, TELEPHONE NUMBER, AND EMAIL ADDRESS OF THE PRIMARY CONTACT PERSON; AND, 5.CURRENT ANNUAL BUDGET OR AUDITED FINANCIAL STATEMENTS IF APPLICABLE, PLEASE ALSO INCLUDE A LIST OF OTHER SUPPORT (E.G., FUNDING, IN-KIND CONTRIBUTIONS) SECURED FOR THE PROJECT. BECAUSE THE FOUNDATION GENERALLY ONLY SUPPORTS SECTION 501(C)(3) PUBLIC CHARITIES, IT ALSO REQUIRES THAT YOU SUBMIT VERIFICATION FROM THE IRS THAT YOUR ORGANIZATION IS EXEMPT FROM FEDERAL INCOME TAX AS A SECTION 501(C)(3) ORGANIZATION AND IS CLASSIFIED AS A PUBLIC CHARITY UNDER SECTION 509(A) OF THE INTERNAL REVENUE CODE. THE FOUNDATION KINDLY REQUESTS THAT N

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE CHARLES KOCH FOUNDATION SUPPORTS STUDENTS AND SCHOLARS PURSING RESEARCH AND EXPANDING EDUCATIONAL PROGRAMS THAT HELP PEOPLE REACH THEIR FULL POTENTIONAL THROUGH WORK ON POVERTY, IMMIGRATION, CRIMINAL JUSTICE REFORM, FREE EXPRESSION, AND OTHER CRITICAL ISSUES. THE FOUNDATION GENERALLY SUPPORTS ONLY INTERNAL REVENUE CODE SECTION 501(C)(3) NON-PROFIT PUBLIC CHARITIES AND UNIVERSITIES THAT ARE ORGANIZED AND OPERATED WITHIN THE UNITED STATES. GRANT REQUESTS FROM FOR-PROFIT CORPORATIONS WILL GENERALLY NOT BE CONSIDERED, AND REQUESTS FROM INDIVIDUALS WILL NOT BE CONSIDERED UNLESS PURSUANT TO AN IRS-APPROVED FOUNDATION INDIVIDUAL GRANT PROGRAM. THE FOUNDATION GENERALLY DOES NOT PROVIDE SUPPORT FOR OVERHEAD IN GRANTS MADE TO UNIVERSITIES, COLLEGES, AND OTHER SIMILAR INSTITUTIONS. OVERHEAD INCLUDES, BUT IS NOT LIMITED TO, INSUFFICIENTLY SPECIFIED AND/OR INSUFFICIENTLY DETAILED OVERHEAD COSTS (E.G., A REQUIREMENT THAT A FIXED PERCENTAGE OF A GRANT AMOUNT BE DEDICATED TO GRANT ADMINISTRATION.)

Unclaimed Dividend - 2019-20

Name of the Company : GREAVES COTTON LIMITED

Folio No.	Name	Amount
A 00000001	A A ALI	1.20
A 00000002	A A ALI	1.20
A 00000003	A A ALI	1.20
A 00000004	A A ALI	1.20
A

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	586,495	
4 Dividends and interest from securities			14	6,881,671	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	38,732,755	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a MISCELLANEOUS INCOME			01	5,895	
b INVESTMENTS - OTHER INCOME/(LOSS)			01	48,109,534	
c INVESTMENTS - UNRELATED INCOME	523000	-2,777,644	01		
d INVESTMENTS - RENTAL INCOME			01	-1,644,732	
e GRANT RECOVERIES					181,362
12 Subtotal. Add columns (b), (d), and (e)		-2,777,644		92,671,618	181,362
13 **Total.** Add line 12, columns (b), (d), and (e)				13	90,075,336

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
11B	RETURN OF UNUSED GRANT FUNDS

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☑ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
CCKC4 INC	501(C)(4)	COMMON DIRECTORS
TSN INSTITUTE	501(C)(4)	COMMON DIRECTORS

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee — Date: 2021-11-15 — Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
SHAWNELL LINOT				P01663908
Firm's name ▶ BKD LLP				Firm's EIN ▶
Firm's address ▶ 1551 N WATERFRONT PKWY STE 300 WICHITA, KS 672066601				Phone no. (316) 265-2811

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2020

Name of the organization	**Employer identification number**
Charles Koch Foundation	48-0918408

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
Charles Koch Foundation

Employer identification number
48-0918408

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

Contributors

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	STAND TOGETHER TRUST 1320 NORTH COURTHOUSE ROAD SUITE 4 Arlington, V A 22201	$ 200,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
2	CHARLES G KOCH 1997 TRUST 1320 North Courthouse Road Suite 4 Arlington, V A 22201	$ 26,734,769	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
3	Dennis and Carol Troesh 1320 North Courthouse Road Suite 4 ARLINGTON, V A 22201	$ 980,500	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
4	George and Jeanne Lewis 1320 North Courthouse Road Suite 4 ARLINGTON, V A 22201	$ 25,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
Charles Koch Foundation

Employer identification number
48-0918408

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	

Name of organization
Charles Koch Foundation

Employer identification number
48-0918408

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $ _____**

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Return to Form

Software ID:

Software Version:

TY 2020 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	37,044	777		3,096

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
UNIMPROVED LAND	1988-05-13	355,213		L					

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
The National HBCU Speech and Debate Asso	10730 BARKER CYPRESS RD SUITE C-10 CYPRESS, TX 77433	2019-10-08	118,000	Debate League Support	118,000	NO	07/06/2021 - FULL & COMPLETE REPORT OF ALL EXPENDITURES		The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the reports were made.
James G Martin Center for Academic Rene	353 E SIX FORKS ROAD SUITE 200 RALEIGH, NC 27609	2018-07-13	45,000	FELLOWSHIP & CONFERENCE SUPPORT	42,042	NO	07/07/2021 - PARTIAL REPORT OF EXPENDITURES TO DATE		The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the reports were made.
Technology Policy Institute	409 12TH STREET SW SUITE 700 WASHINGTON, DC 20024	2019-06-03	200,000	GENERAL OPERATING SUPPORT	200,000	NO	05/17/2021 - FULL & COMPLETE REPORT OF ALL EXPENDITURES		The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the reports were made.
UNIVERSITY OF HONG KONG	POK FU LAM ROAD HONG KONG CH	2020-04-23	40,000	Research Support	0	NO	06/06/2021 - PARTIAL REPORT OF EXPENDITURES TO DATE		The organization has requested expenditure responsibility reporting. As of this filing, the organization has been unable to obtain a report. The organization will withhold all future grants until the delinquent report is submitted by grantee. The organization is taking reasonable steps to obtain a report from the grantee
UNIVERSITY OF OXFORD	WELLINGTON SQUARE OXFORD OX1 2JD UK	2019-04-25	5,000	Research Support	0	NO	UNKNOWN - PARTIAL REPORT OF EXPENDITURES TO DATE		The organization has requested expenditure responsibility reporting. As of this filing, the organization has been unable to obtain a report. The organization will withhold all future grants until the delinquent report is submitted by grantee. The organization is taking reasonable steps to obtain a report from the grantee
University of Vienna	universitatsring 1 A-1010 VIENNA AU	2019-01-11	182,000	Research Support	102,740	NO	3/26/2021- PARTIAL REPORT OF EXPENDITURES TO DATE		The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the reports were made.
Praxis Foundation	1567 MEETING STREET RD SUITE 200 CHARLESTON, SC 29405	2019-07-24	70,000	Scholarship Support	68,350	NO	3/09/2021- PARTIAL REPORT OF EXPENDITURES TO DATE		The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the reports were made.
Public Choice Society	224C FORSYTH COLLEGE OF BUSINESS CULLOWHEE, NC 28723	2019-12-20	44,000	PROGRAMMING SUPPORT	4,500	NO	UNKNOWN - PARTIAL REPORT OF EXPENDITURES TO DATE		The organization has requested expenditure responsibility reporting. As of this filing, the organization has been unable to obtain a report. The organization will withhold all future grants until the delinquent report is submitted by grantee. The organization is taking reasonable steps to obtain a report from the grantee
Technology Policy Institute	409 12TH STREET SW SUITE 700 WASHINGTON, DC 20024	2019-12-17	230,000	PROGRAM Support	65,000	NO	UNKNOWN - PARTIAL REPORT OF EXPENDITURES TO DATE		The organization has requested expenditure responsibility reporting. As of This filing, the organization has been unable to obtain a report. The organization will withhold all future grants until the delinquent report is submitted by grantee. The organization is taking reasonable steps to obtain a report from the grantee
UNIVERSITY OF SURREY	STAG HILL GUILDFORD GU2 7XH UK	2020-04-09	18,267	RESEARCH SUPPORT	0	NO	UNKNOWN - FULL AND COMPLETE REPORT OF ALL EXPENDITURES		The organization has requested expenditure responsibility reporting. As of this filing, the organization has been unable to obtain a report. The organization will withhold all future grants until the delinquent report is submitted by grantee. The organization is taking reasonable steps to obtain a report from the grantee
UNIVERSITY OF BIRMINGHAM	EDGBASTON BIRMINGHAM B15 2TT UK	2020-04-23	32,500	TRAVEL RESEARCH SUPPORT	0	NO	05/10/2021 - PARTIAL REPORT OF EXPENDITURES TO DATE		The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the reports were made.
COUNCIL ON INTEGRITY IN RESULTS REPORTIN	1519 OAKWOOD AV HIGHLAND PARK, IL 60035	2020-02-03	187,000	PROGRAMMING SUPPORT	151,913	NO	05/23/2021 - PARTIAL REPORT OF EXPENDITURES TO DATE		The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the reports were made. This grantee intends to cease operations and will be returning the remaining amount not expended.
UNIVERSITY OF OKLAHOMA	900 BROADWAY NEW YORK, NY 10003	2017-05-24	195,000	GENERAL OPERATING SUPPORT	195,000	NO	05/15/2021 - FULL & COMPLETE REPORT OF ALL EXPENDITURES		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
UNIVERSITY OF MANCHESTER	OXFORD RD MANCHESTER M13 9PL UK	2020-04-23	8,000	TRAVEL	8,000	NO	07/07/2021 - FULL & COMPLETE REPORT OF ALL EXPENDITURES		THE GRANTOR HAS NO REASON TO DOUBT THE ACCURACY OR RELIABILITY OF THE REPORT FROM THE GRANTEE; THEREFORE, NO INDEPENDENT VERIFICATION OF THE REPORTS WERE MADE.
Technology Policy Institute	409 12TH ST SW SUITE 700 WASHINGTON, DC 20024	2018-05-11	162,500	GENERAL OPERATING SUPPORT	90,658	NO	07/27/2021 - PARTIAL REPORT OF EXPENDITURES TO DATE		The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the reports were made.
Technology Policy Institute	409 12TH ST SW SUITE 700 WASHINGTON, DC 20024	2019-05-01	162,500	GENERAL OPERATING SUPPORT	162,500	NO	03/23/2020 - FULL & COMPLETE REPORT OF ALL EXPENDITURES		The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the reports were made.

TY 2020 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
UNIMPROVED LAND	355,213		355,213	

TY 2020 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PASSIVE FUND VII	FMV	309,347	40,056,535
PASSIVE FUND IV	FMV	0	0
PASSIVE FUND VIII	FMV	0	0
PASSIVE FUND III	FMV	374,437,466	573,133,524
PASSIVE FUND IX	FMV	250,000	250,000
PASSIVE FUND II	FMV	0	0

TY 2020 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Description	Amount
UNREALIZED GAINS/LOSSES	82,206,595

TY 2020 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SUPPLIES	8,711			8,711
DUES/LICENSES	28,263			28,263
INVESTMENTS - CHARITABLE	4,819			4,819
INVESTMENT EXPENSES	11,868,180	11,868,180		
GIFTS	173			173
POSTAGE & DELIVERY	1,119			1,119
TELECOMMUNICATION	1,182			1,182
ADVERTISING & PROMOTION	264,082			264,082
BAD DEBT EXPENSE	36,524			36,524

TY 2020 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME - MISCELLANEOUS	5,895		
INVESTMENTS - OTHER INCOME	48,109,534	48,109,534	
INVESTMENTS - UNRELATED INCOME/LOSS	-2,777,644		
INVESTMENTS - RENTAL INCOME/LOSS	-1,644,732	-1,644,732	
OTHER INCOME - GRANT RECOVERIES	181,362		

TY 2020 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
HR PROCESSING FEES	9,838			9,838
INVESTMENT MANAGEMENT FEES	364,874	364,874		0
PROFESSIONAL CONSULTING FEES	1,597,299			1,586,040

TY 2020 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
IVESTMENT FOREIGN TAXES	88,072	88,072		
INVESTMENT TAXES	179,721			
PROPERTY TAXES	67			67
PAYROLL TAXES	328,855			328,855

TY 2020 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Name	US / Foreign Address	EIN	Description	Amount
EFPRP INVESTMENTS LLC	4111 E 37TH STREET NORTH WICHITA, KS 67220	45-5579068	WITHDRAWALS & DISTRIBUTIONS FROM DOMESTIC PASSIVE INVESTMENT	495,546,726
VAPOR II INVESTMENTS LLC	PO BOX 5004 WICHITA, KS 67201	81-2727563	WITHDRAWALS & DISTRIBUTIONS FROM DOMESTIC PASSIVE INVESTMENT	51,121,759
Total				546,668,485

TY 2020 IRS 990 e-File Render

Name: Charles Koch Foundation

EIN: 48-0918408

Name	US / Foreign Address	EIN	Description	Amount
NON-PROFIT 1888 FEEDER FUND LLC	PO BOX 5004 WICHITA, KS 67201	85-1484620	CAPITAL CONTRIBUTIONS TO DOMESTIC PASSIVE INVESTMENT	504,022,780
STVL3 LLC	1320 N COURTHOUSE RD STE 500 ARLINGTON, VA 22201	85-2667830	CAPITAL CONTRIBUTIONS TO DOMESTIC PASSIVE INVESTMENT	250,000
VAPOR INVESTMENTS LLC	PO BOX 5004 WICHITA, KS 67201	47-2537421	CAPITAL CONTRIBUTIONS TO DOMESTIC PASSIVE INVESTMENT	51,121,759
Total				555,394,539