

Return of Private Foundation

Form Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation DALIO FOUNDATION INC, A Employer identification number 43-1965846, B Telephone number (203) 291-5000, C If exemption application is pending, check here, D 1. Foreign organizations, check here, E If private foundation status was terminated under section 507(b)(1)(A), check here, F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here, G Check all that apply: Initial return, Initial return of a former public charity, Final return, Amended return, Address change, Name change, H Check type of organization: Section 501(c)(3) exempt private foundation, Section 4947(a)(1) nonexempt charitable trust, Other taxable private foundation, I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 804,361,145, J Accounting method: Cash, Accrual, Other (specify) Modified Cash

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include Part I Analysis of Revenue and Expenses (lines 1-12), Operating and Administrative Expenses (lines 13-26), and Summary (lines 27-29).

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	66,543,312	95,160,851	95,160,851
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	190,982	179,555	179,555
	10a Investments—U.S. and state government obligations (attach schedule)	12,482,167	33,397,630	33,397,630
	b Investments—corporate stock (attach schedule)	0	33,851,501	33,851,501
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	646,840,312	636,761,968	636,761,968
	14 Land, buildings, and equipment: basis ▶ _____ 1,208,195 Less: accumulated depreciation (attach schedule) ▶ _____ 339,429	6,021,955	868,766	868,766
15 Other assets (describe ▶ _____)	10,449,421	4,140,874	4,140,874	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	742,528,149	804,361,145	804,361,145	
Liabilities	17 Accounts payable and accrued expenses	21,519	10,537	
	18 Grants payable			
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	21,519	10,537	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0	0	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	742,506,630	804,350,608	
29 Total net assets or fund balances (see instructions)	742,506,630	804,350,608		
30 Total liabilities and net assets/fund balances (see instructions)	742,528,149	804,361,145		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	742,506,630
2 Enter amount from Part I, line 27a	2	83,932,580
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	826,439,210
5 Decreases not included in line 2 (itemize) ▶ _____	5	22,088,602
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	804,350,608

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a SALE OF INVESTMENT FUNDS	P	2019-01-01	2020-12-31
b BROKERAGE ACCOUNT FUTURES	P	2019-01-01	2020-12-31
c SALE OF CHARITABLE USE ASSETS	P	2019-01-01	2020-12-31
d LOSS ON PROGRAM RELATED INVESTMENT	P	2019-01-01	2020-12-31
e CLEARLY, INC.	P	2019-01-01	2020-05-07
FROM PASSTHROUGH ENTITIES	P	2019-01-01	2020-12-31

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 530,376,132		465,744,172	64,631,960
b 47,472,732			47,472,732
c 5,449,073		5,030,926	418,147
d			0
e 4,999,997		4,999,997	0
110,748			110,748

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a			64,631,960
b			47,472,732
c			418,147
d			0
e			0
			110,748

Capital gain net income or (net capital loss)	2	112,633,587
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income
SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved			2
3 Reserved			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here [] and enter "N/A" on line 1.
Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
b Reserved 1 1,695,383
c All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 2 0
3 Add lines 1 and 2. 3 1,695,383
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 4 0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 1,695,383
6 Credits/Payments:
a 2020 estimated tax payments and 2019 overpayment credited to 2020 6a 1,227,664
b Exempt foreign organizations—tax withheld at source 6b
c Tax paid with application for extension of time to file (Form 8868) 6c 900,000
d Backup withholding erroneously withheld 6d 0
7 Total credits and payments. Add lines 6a through 6d 7 2,127,664
8 Enter any penalty for underpayment of estimated tax. Check here [x] if Form 2220 is attached. 8 580
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. 10 431,701
11 Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded 11 0

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? Yes No
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). Yes No
1c If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. Yes No
2 Did the foundation file Form 1120-POL for this year? Yes No
3 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0 (2) On foundation managers. \$ 0
4 Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? Yes No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes Yes No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? Yes No
4b If "Yes," has it filed a tax return on Form 990-T for this year? Yes No
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? Yes No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT, NY
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV Yes No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. Yes No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions			
		11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions			No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>NONE</u>	13	Yes	
14	The books are in care of ▶ <u>LISA SAFIAN</u> Telephone no. ▶ <u>(203) 291-5000</u> Located at ▶ <u>ONE GLENDINNING PLACE WESTPORT CT</u> ZIP+4 ▶ <u>06880</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ <u>20___, 20___, 20___, 20___</u>			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ <u>20___, 20___, 20___, 20___</u>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
 - (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
 - (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
 - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. Yes No
 - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Yes No
 Organizations relying on a current notice regarding disaster assistance check here.
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No
- b** If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No
- 8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? Yes No

	Yes	No
5b		
6b		
7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RAYMOND T DALIO ONE GLENDINNING PLACE WESTPORT, CT 06880	PRESIDENT & DIRECTOR 2.00	0	0	0
MATTHEW A DALIO ONE GLENDINNING PLACE WESTPORT, CT 06880	DIRECTOR 1.00	0	0	0
DEVON DALIO ONE GLENDINNING PLACE WESTPORT, CT 06880	DIRECTOR (THROUGH 12/17/20) 1.00	0	0	0
BARBARA DALIO ONE GLENDINNING PLACE WESTPORT, CT 06880	DIRECTOR 20.00	0	0	0
JANINE RACANELLI ONE GLENDINNING PLACE WESTPORT, CT 06880	EXEC. DIRECTOR, TREASURER & SECRETARY 1.50	0	0	0
LISA SAFIAN ONE GLENDINNING PLACE WESTPORT, CT 06880	ASSISTANT TREASURER 1.50	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
MARINO MANAGEMENT 25 FORD ROAD WESTPORT,CT 06880	PHILANTHROPIC MGMT, INV ADVISORY, & BACK OFFICE SVCS	2,368,338
ELLEN G BASS 74 LAWN STREET ROXBURY,MA 02120	EDUCATION CONSULTING	198,000
HUNTER CONSULTING LLC 319 HILL STREET HAMDEN,CT 06514	EDUCATION CONSULTING	130,417
CLARK NUBER 10900 NE 4TH STREET SUITE 1400 BELLEVUE,WA 98004	TAX COMPLIANCE SERVICES	51,271

Total number of others receiving over \$50,000 for professional services. **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 EDUCATION CHARITABLE INITIATIVE - PUBLIC SCHOOL EDUCATION SUPPORT - TO SUPPORT SCHOOLS AND COMMUNITY-BASED NON-PROFIT ORGANIZATIONS IN STRENGTHENING INSTRUCTIONAL SYSTEMS, IMPLEMENTING MANAGEMENT SYSTEMS, AND DESIGNING EDUCATIONAL PROGRAMMING IN ORDER TO SUPPORT PUBLIC SCHOOL STUDENTS.	686,154
2 MARINE SCIENCE & AWARENESS CHARITABLE INITIATIVE - TO SUPPORT STATE OF THE ART SCIENTIFIC RESEARCH AND RAISE AWARENESS TO ENHANCE MARINE CONSERVATION AND EDUCATION.	282,195
3 COMMUNITY SUPPORT CHARITABLE INITIATIVE - TO SUPPORT A PROJECT TO PROVIDE WINTER COATS TO UNDERPRIVILEGED CHILDREN AND THEIR FAMILIES IN LOW INCOME SCHOOL DISTRICTS THROUGHOUT CONNECTICUT.	211,739
4 COMMUNITY SUPPORT CHARITABLE INITIATIVE - PARK PRESERVATION, BEAUTIFICATION, AND MAINTENANCE FOR BRUCE PARK GARDEN.	5,840

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 GLOBAL HEALTH INVESTMENT FUND - TO FINANCE THE DEVELOPMENT AND PRODUCTION OF PRODUCTS TO ADDRESS GLOBAL HEALTH CHALLENGES AND ACHIEVE SPECIFIED GLOBAL HEALTH OBJECTIVES.	366,539
2 	
All other program-related investments. See instructions. 3 	

Total. Add lines 1 through 3 **366,539**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	619,381,719
b	Average of monthly cash balances.	1b	82,800,312
c	Fair market value of all other assets (see instructions).	1c	14,740,894
d	Total (add lines 1a, b, and c).	1d	716,922,925
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	716,922,925
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	10,753,844
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	706,169,081
6	Minimum investment return. Enter 5% of line 5.	6	35,308,454

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	35,308,454
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	1,695,383
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	1,695,383
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	33,613,071
4	Recoveries of amounts treated as qualifying distributions.	4	11,769,947
5	Add lines 3 and 4.	5	45,383,018
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	45,383,018

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	33,571,763
b	Program-related investments—total from Part IX-B.	1b	366,539
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	198,380
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	34,136,682
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	34,136,682

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				45,383,018
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	33,418,306			
b From 2016.	105,083,959			
c From 2017.	93,834,038			
d From 2018.	70,727,634			
e From 2019.	14,586,699			
f Total of lines 3a through e.	317,650,636			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>34,136,682</u>				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				34,136,682
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	11,246,336			11,246,336
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	306,404,300			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	22,171,970			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	284,232,330			
10 Analysis of line 9:				
a Excess from 2016	105,083,959			
b Excess from 2017	93,834,038			
c Excess from 2018.	70,727,634			
d Excess from 2019	14,586,699			
e Excess from 2020				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling
b. Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

- 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
b 85% of line 2a
c Qualifying distributions from Part XII, line 4 for each year listed
d Amounts included in line 2c not used directly for active conduct of exempt activities
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c
3 Complete 3a, b, or c for the alternative test relied upon:
a "Assets" alternative test—enter:
(1) Value of all assets
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
c "Support" alternative test—enter:
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
(3) Largest amount of support from an exempt organization
(4) Gross investment income

Table with 5 columns: (a) 2020, (b) 2019, (c) 2018, (d) 2017, (e) Total. Rows correspond to items 2a through 3(4).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
RAYMOND T DALIO

Horizontal scroll bar for manager list.

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [checked] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

- a The name, address, and telephone number or email address of the person to whom applications should be addressed:
b The form in which applications should be submitted and information and materials they should include:
c Any submission deadlines:
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Horizontal scroll bar for program information.

Form 990-PF (2020)		Part XIV Supplementary Information (continued)			Page 1
3a Grants and Contributions Paid During the Year or Approved for Future Payment					
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount	
Name and address (home or business)					
a Paid during the year					
A FAVOR DEL NINO IAP AV SAN JEREMO 860 LA MAGDALENA CONTRERAS MX		PC: EQUIVALENCY DETE	TO SUPPORT GENERAL OPERATIONS	200,000	
ACUMEN FUND INC 40 WORTH STREET SUITE 303 NEW YORK, NY 10013		PC	RESTRICTED TO SUPPORT LABOURNET'S EMERGENCY WORK IN INDIA	100,000	
ACUMEN FUND INC 40 WORTH STREET SUITE 303 NEW YORK, NY 10013		PC	TO SUPPORT GENERAL OPERATIONS	100,000	
AFRICAN WILDLIFE FOUNDATION 1100 NEW JERSEY AVE SE SUITE 900 WASHINGTON, DC 20003		PC	TO SUPPORT GENERAL OPERATIONS	100,000	
AMERICAN FOUNDATION FOR BULGARIA INC 167 NEWBURY STREET BOSTON, MA 02116		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST AT 79TH STREET NEW YORK, NY 10024		PC	TO SUPPORT GENERAL OPERATIONS	50,000	
AMERICAS PROMISE- THE ALLIANCE FOR YOUTH 1110 VERMONT AVE NW STE 900 WASHINGTON, DC 20005		PC	TO SUPPORT GENERAL OPERATIONS	100,000	
ARIEL RIVKA DANCE INC 341 MONMOUTH ST APT 408D JERSEY CITY, NJ 07302		PC	TO SUPPORT GENERAL OPERATIONS	20,000	
ARIZONA STATE UNIVERSITY FOUNDATION FOR A NEW AMERICAN UNIVERSITY PO BOX 2760 TEMPE, AZ 85280		PC	RESTRICTED TO SUPPORT THE COLLEGE OF LIBERAL ARTS AND SCIENCES AT ARIZONA STATE UNIVERSITY	250,000	
ATLANTIC COUNCIL OF THE US INC 1030 15TH STREET WASHINGTON, DC 20005		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
BALLET THEATRE FOUNDATION INC 890 BROADWAY NEW YORK, NY 100031278		PC	RESTRICTED TO SUPPORT THE CRISIS RELIEF FUND	10,000	
BEIJING DALIO PUBLIC WELFARE FOUNDATION 702A CANWAY BUILDING BEIJING 100045 CH		PF: EQUIVALENCY DETE	RESTRICTED TO SUPPORT A PROGRAM GRANT TO BDF TO SUPPORT VARIOUS PROJECTS IN 2019	2,000,000	
BEIJING DALIO PUBLIC WELFARE FOUNDATION 702A CANWAY BUILDING BEIJING 100045 CH		PF: EQUIVALENCY DETE	RESTRICTED TO SUPPORT WORK IN THE FIELDS OF OPERATING EXPENSES FOR THE 2021 FISCAL YEAR	300,000	
BEIJING DALIO PUBLIC WELFARE FOUNDATION 702A CANWAY BUILDING BEIJING 100045 CH		PF: EQUIVALENCY DETE	RESTRICTED TO SUPPORT THE GRANT-MAKING PROGRAM RELATED TO THE CORONAVIRUS (COVID-19) OUTBREAK IN CHINA	3,200,000	
BEIJING DALIO PUBLIC WELFARE FOUNDATION 702A CANWAY BUILDING BEIJING 100045 CH		PF: EQUIVALENCY DETE	RESTRICTED TO SUPPORT GRANTEE'S CHARITABLE WORK IN THE FIELDS OF CHILDREN WELFARE AND EDUCATION.	2,870,000	
BIOSPHERE FOUNDATION PO BOX 1254 BISHOP, CA 93515		PC	RESTRICTED TO BRING GRANTEE'S CORAL STewardSHIP PROGRAMS TO REMOTE ISLANDS AND TO RESTORE THE HEALTH OF OUR OCEANS AND BIOSPHERE	125,000	
CATHOLIC GUARDIAN SERVICES 1011 1ST AVE FL 10 NEW YORK, NY 10022		PC	TO SUPPORT GENERAL OPERATIONS	5,000	
CENTER FOR FAMILY REPRESENTATIVES INC 40 WORTH ST STE 605 NEW YORK, NY 10013		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
CHRISTIAN APPALACHIAN PROJECT INC 485 PONDEROSA DR PAINTSVILLE, KY 41240		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
CHRISTIAN HERALD ASSN INC 355 LEXINGTON AVE FLOOR 19 NEW YORK, NY 10017		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
CHRISTIAN HERALD ASSN INC 355 LEXINGTON AVE FLOOR 19 NEW YORK, NY 10017		PC	TO SUPPORT GENERAL OPERATIONS	12,000	
CHURCH OF ST JEROME 230 ALEXANDER AVENUE BRONX, NY 10454		PC	TO SUPPORT GENERAL OPERATIONS	50,000	
CHURCH OF ST JEROME 230 ALEXANDER AVENUE BRONX, NY 10454		PC	RESTRICTED TO SUPPORT THE HANDS COMMUNITY CENTER	50,000	
CHURCH OF THE HOLY APOSTLES 296 NINTH AVENUE NEW YORK, NY 10001		PC	TO SUPPORT GENERAL OPERATIONS	10,000	
CONNECTICUT RISE NETWORK INC 700 STATE STREET SUITE 301 NEW HAVEN, CT 06511		PC	TO SUPPORT GENERAL OPERATIONS	100,000	
EXPERIENCE LEARNING COMMUNITY 120 6TH AVE N 100 SEATTLE, WA 98109		PC	RESTRICTED TO SUPPORT THE MUSEUM OF POP CULTURE MISSION	150,000	
FAIRFIELD COUNTY'S COMMUNITY FOUNDATION INC 40 RICHARDS AVENUE NORWALK, CT 06854		PC	RESTRICTED TO SUPPORT THE COLLABORATIVE IMPACT OPPORTUNITY FUND	200,000	
FORT TICONDEROGA ASSOCIATION INC PO BOX 390 TICONDEROGA, NY 12883		PC	TO SUPPORT GENERAL OPERATIONS	20,000	
FUN TIME EARLY CHILDHOOD ACADEMY INC 102 12TH ST N NAPLES, FL 34102		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
FUNDACION LEGORRETA HERNANDEZ AC AVENIDA CHAPULTEPEC 218 COL ROMA NORTE DEL CUAUHTEMOC, CP 06700 MX		FOREIGN ORG	RESTRICTED TO SUPPORT FOR THE GRANTEE'S SELF-EMPLOYMENT PROGRAM AND COMMUNITY CENTER PROGRAM	200,000	
GATES PHILANTHROPY PARTNERS PO BOX 23350 SEATTLE, WA 98102		PC	TO SUPPORT GENERAL OPERATIONS	100,000	
GIFTS OF LOVE INC PO BOX 463 34 E MAIN ST AVON, CT 06001		PC	TO SUPPORT GENERAL OPERATIONS	10,000	
GLOBAL GAME JAM 1537 LA CITA CT SAN LUIS OBISPO, CA 93401		PC	RESTRICTED TO SUPPORT THE GLOBAL GAME JAM	15,000	
GOOD COUNSEL INC 411 CLINTON ST P O BOX 6068 HOBOKEN, NJ 07030		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
GRACE COMMUNITY CHURCH OF NEW CANAAN INC 365 LUKES WOOD ROAD NEW CANAAN, CT 06840		PC	TO SUPPORT GENERAL OPERATIONS	10,000	
GRACE PLACE FOR CHILDREN AND FAMILIES INC PO BOX 990531 NAPLES, FL 34116		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
GRAMEEN AMERICA INC 150 WEST 30TH STREET NEW YORK, NY 10001		PC	TO SUPPORT GENERAL OPERATIONS	1,000,000	
GRAMEEN AMERICA INC 150 WEST 30TH STREET NEW YORK, NY 10001		PC	TO SUPPORT GENERAL OPERATIONS	100,000	
GRUMMAN HILL MONTESSORI ASSOCIATION 34 WHIPPLE ROAD WILTON, CT 06897		PC	TO SUPPORT GENERAL OPERATIONS	10,000	
GUADALUPE CENTER INC 509 HOPE CIR IMMOKALEE, FL 34142		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
HEALTHCORPS INC 33 IRVING PLACE 3RD FLOOR NEW YORK, NY 10003		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
HEALTHCORPS INC 33 IRVING PLACE 3RD FLOOR NEW YORK, NY 10003		PC	TO SUPPORT GENERAL OPERATIONS	100,000	
HENRY M PAULSON JR INSTITUTE 625 N MICHIGAN AVE STE 2500 CHICAGO, IL 60611		POF	TO SUPPORT GENERAL OPERATIONS	2,000,000	
HOPE FOR HAITI INC 1021 5TH AVENUE NORTH NAPLES, FL 34102		PC	TO SUPPORT GENERAL OPERATIONS	20,000	
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI OFFICE OF DEVELOPMENT ONE GUSTAVE L LEVY PLACE BOX 1049 NEW YORK, NY 10029		PC	RESTRICTED TO SUPPORT THE RESEARCH OF DR. RAJA FLORES	50,000	
INTERNATIONAL ANTI-POACHING FOUNDATION INC 1655 N FT MYER DRIVE 700 ARLINGTON, VA 22209		PC	RESTRICTED TO SUPPORT AKASHINGA PROGRAM	150,000	
INTERNATIONAL RESCUE COMMITTEE INC 122 EAST 42ND STREET NEW YORK, NY 101681289		PC	TO SUPPORT GENERAL OPERATIONS	50,000	
JANE GOODALL INSTITUTE FOR WILDLIFE RESEARCH EDUCATION AND CONSERVATION 1595 SPRING HILL RD STE 550 VIEENNA, VA 22122		PC	RESTRICTED TO SUPPORT THE ROOTS AND SHOOTS PROGRAM	100,000	
JOHNS HOPKINS UNIVERSITY 3910 KESWICK ROAD SUITE N2100 BALTIMORE, MD 21211		PC	RESTRICTED TO SUPPORT THE KAY JAMISON PROGRAM AND TO FURTHER KAY JAMISON'S WORK IN THE MOOD DISORDERS CENTER	825,000	
JUST CAPITAL FOUNDATION INC 44 EAST 30TH STREET 11TH FLOOR NEW YORK, NY 10016		PC	TO SUPPORT GENERAL OPERATIONS	100,000	
KELLIE DUGGAN FOUNDATION INC 7 CORNFIELD LANE GUILDFORD, CT 06437		PC	TO SUPPORT GENERAL OPERATIONS	1,000	
KHAN ACADEMY INC PO BOX 1630 MOUNTAIN VIEW, CA 94042		PC	TO SUPPORT GENERAL OPERATIONS	100,000	
KING BAUDOUIN FOUNDATION UNITED STATES INC 10 ROCKEFELLER PLAZA FLOOR 16 NEW YORK, NY 10020		PC	RESTRICTED TO SUPPORT WORK ON OCEAN PRESERVATION THROUGH INTERSECTIONAL COLLABORATIVE RESEARCH AND ARTISTIC PRODUCTION	125,000	
KINGDOM LIFE WORSHIP CENTER 752 WYNGATE DRIVE WEST VALLEY STREAM, NY 11580		PC	TO SUPPORT GENERAL OPERATIONS	50,000	
KINGDOM LIFE WORSHIP CENTER 752 WYNGATE DRIVE WEST VALLEY STREAM, NY 11580		PC	TO SUPPORT GENERAL OPERATIONS	100,000	
LOYOLA EARLY LEARNING CENTER INC 801 SAINT PAUL ST BALTIMORE, MD 21202		PC	RESTRICTED TO SUPPORT A SINGLE YEAR SCHOLARSHIPS DIRECTED BY GRANTEE	15,000	
MALTA HOUSE INC 5 PROWITT STREET NORWALK, CT 06855		PC	TO SUPPORT GENERAL OPERATIONS	2,500	
MASSACHUSETTS GENERAL HOSPITAL 125 NASHUA STREET SUITE 540 BOSTON, MA 02114		PC	RESTRICTED TO SUPPORT MGH'S COMMUNITY PSYCHIATRY PROGRAM	234,500	
MASSACHUSETTS GENERAL HOSPITAL 125 NASHUA STREET SUITE 540 BOSTON, MA 02114		PC	RESTRICTED TO SUPPORT MGH'S COMMUNITY PSYCHIATRY PROGRAM	360,500	
MEDECINS SANS FRONTIERS USA INC 40 RECTOR STREET 16TH FLOOR NEW YORK, NY 10006		PC	TO SUPPORT GENERAL OPERATIONS	20,000	
MEMORIAL SLOAN-KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065		PC	RESTRICTED TO SUPPORT BREAST CANCER PATIENT	5,000	
NARRATIVE 4 INC 45 E 73RD ST 5 NEW YORK, NY 10023		PC	TO SUPPORT GENERAL OPERATIONS	12,500	
NATIONAL NETWORK OF DEPRESSION CENTERS 2350 GREEN RD STE 191 ANN ARBOR, MI 48105		PC	RESTRICTED TO SUPPORT THE BIPOLAR DISORDER TASK GROUP	35,000	
NATIONAL OUTDOOR LEADERSHIP SCHOOL 284 LINCOLN STREET LINDER, WY 82520		PC	TO SUPPORT GENERAL OPERATIONS	5,000	
NATIONAL PHILANTHROPIC TR 165 TOWNSHIP LINE RD SUITE 1200 JENKINTOWN, PA 19046		PC	TO SUPPORT A PROGRAM TO ENCOURAGE GIVING	200,000	
NEW CANAAN COMMUNITY FOUNDATION INC 111 CHERRY STREET NEW CANAAN, CT 06840		PC	TO SUPPORT GENERAL OPERATIONS	100,000	
NEW ENGLAND SCIENCE & SAILING FOUNDATION INC PO BOX 733 STONINGTON, CT 06378		PC	TO SUPPORT GENERAL OPERATIONS	50,000	
NEW STORY INC 182 HOWARD ST 101 SAN FRANCISCO, CA 94105		PC	TO SUPPORT GENERAL OPERATIONS	500,000	
NEW YORK-PRESBYTERIAN FUND INC 525 EAST 68TH STREET NEW YORK, NY 10065		PC	RESTRICTED TO SUPPORT THE DALIO INSTITUTE OF CARDIOVASCULAR IMAGING	3,333,333	
NIA TERO FOUNDATION 501 E PINE ST SEATTLE, WA 98122		PC	RESTRICTED TO SUPPORT INDIGENOUS COMMUNITIES TO SECURE THEIR TERRITORIES	350,000	
NORTH COUNTRY MINISTRY PO BOX 111 NORTH CREEK, NY 12583		PC	TO SUPPORT GENERAL OPERATIONS	15,000	
NORTHWELL HEALTH FOUNDATION 2000 MARCUS AVENUE NEW HYDE PARK, NY 11042		PC	RESTRICTED TO SUPPORT THE NORTHWELL FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH	25,000	
OCEAN RESEARCH & CONSERVATION ASSOCIATION INC 1420 SEAWAY DR FORT PIERCE, FL 34949		PC	TO SUPPORT GENERAL OPERATIONS	10,000	
POLYNESIAN VOYAGING SOCIETY 10 SAND ISLAND PKWY HONOLULU, HI 96819		PC	RESTRICTED TO SUPPORT OCEAN EDUCATION	150,000	
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 1033 MASSACHUSETTS AVE STE 3 CAMBRIDGE, MA 02138		PC	RESTRICTED TO THE HARVARD BUSINESS SCHOOL FUND	100,000	
PROSPECTS OPPORTUNITY AND ENRICHMENT INC 25 PROSPECT STREET RIDGEFIELD, CT 06877		PC	TO SUPPORT GENERAL OPERATIONS	50,000	
REPRESENT US EDUCATION FUND PO BOX 60008 FLORENCE, MA 01062		PC	TO SUPPORT GENERAL OPERATIONS	50,000	
RIDGEFIELD ACADEMY INC 223 W MOUNTAIN ROAD RIDGEFIELD, CT 06877		PC	TO SUPPORT GENERAL OPERATIONS	35,000	
ROBIN HOOD FOUNDATION 826 BROADWAY 9TH FLOOR NEW YORK, NY 10003		PC	TO SUPPORT GENERAL OPERATIONS	50,000	
ROBIN HOOD FOUNDATION 826 BROADWAY 9TH FLOOR NEW YORK, NY 10003		PC	TO SUPPORT GENERAL OPERATIONS	5,000	
ROCKEFELLER PHILANTHROPY ADVISORS INC 6 WEST 48TH STREET 10TH FLOOR NEW YORK, NY 10036		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
ROMAN CATHOLIC CHURCH OF ST ANNE 35 DARTMOUTH ST GARDEN CITY, NY 11530		PC	RESTRICTED TO SUPPORT THE CAPITAL CAMPAIGN	25,000	
ROMAN CATHOLIC CHURCH OF ST ANNE 35 DARTMOUTH ST GARDEN CITY, NY 11530		PC	RESTRICTED TO SUPPORT MILITARY FAMILY TUITION ASSISTANCE	15,000	
SACRED HEART CHURCH 95 HENRY STREET GREENWICH, CT 06830		PC	RESTRICTED TO SUPPORT THE HANDICAP RAMP FUND	5,000	
SAINTE ANNS SCHOOL 129 PIERREPONT ST BROOKLYN, NY 11201		PC	RESTRICTED TO STUDENT SCHOLARSHIPS AND THE 2017 ANNUAL FUND	133,183	
SEEDS OF PEACE INC 370 LEXINGTON AVENUE SUITE 1201 NEW YORK, NY 10017		PC	TO SUPPORT GENERAL OPERATIONS	12,500	
SILVER BAY ASSOCIATION FOR CHRISTIAN CONFERENCE AND TRAINING 87 SILVER BAY ROAD SILVER BAY, NY 12874		PC	RESTRICTED TO SUPPORT LAKE CONSERVATION EFFORTS OF THE HISTORIC YMCA LOCATED IN HAGUE ON LAKE GEORGE	250,000	
SPARK SF PUBLIC SCHOOLS 135 VAN NESS AVE RM 119 SAN FRANCISCO, CA 94102		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
ST JUDE CHILDREN'S RESEARCH HOSPITAL INC 501 ST JUDE PLACE MEMPHIS, TN 381051942		PC	TO SUPPORT GENERAL OPERATIONS	20,000	
ST MARY'S CHURCH 22 FATHER JOGUES PL TICONDEROGA, NY 12883		PC	TO SUPPORT GENERAL OPERATIONS	20,000	
STEPHEN A SCHWARZMAN EDUCATION FOUNDATION 345 PARK AVE FL 16 NEW YORK, NY 10154		PF	RESTRICTED TO SUPPORT INCREASED ACADEMIC PROGRAM COSTS DUE TO COVID-19	1,000,000	
SUTTER DAVIS HOSPITAL FOUNDATION AND COMMUNITY BOARD C/O SH TAX 2200 RIVER PLAZA DR SACRAMENTO, CA 95833		PC	RESTRICTED TO SUPPORT PAUL'S PLACE TO HELP BUILD SUSTAINABLE AND AFFORDABLE HOUSING	20,000	
TED FOUNDATION INC 330 HUDSON ST 11TH FLOOR NEW YORK, NY 10013		POF	TO SUPPORT GENERAL OPERATIONS	1,000,000	
THE ALAMEDA COUNTY COMMUNITY FOOD BANK INC PO BOX 2599 OAKLAND, CA 94614		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
THE ALEXANDER HAMILTON SOCIETY 1875 CONNECTICUT NW WASHINGTON, DC 20009		PC	TO SUPPORT GENERAL OPERATIONS	50,000	
THE BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD UNIVERSITY DEVELOPMENT SERVICES STANFORD, CA 94305		PC	RESTRICTED TO SUPPORT THE HASSO PLATTNER INSTITUTE OF DESIGN AT STANFORD UNIVERSITY	3,000,000	
THE CHILDREN'S HEALTH FUND 215 WEST 125TH STREET SUITE 301 NEW YORK, NY 10027		PC	TO SUPPORT GENERAL OPERATIONS	10,000	
THE CHILDREN'S HOSPITAL OF PHILADELPHIA FOUNDATION 34TH ST CIVIC CENTER BLDV PHILADELPHIA, PA 19104		PC	TO SUPPORT GENERAL OPERATIONS	1,000	
THE IMMOKALEE FOUNDATION INC 2375 TAMiami TR L N STE 308 NAPLES, FL 34103		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
THE RICHARD AND BARBARA WHITCOMB FOUNDATION 30 VILLAGE DRIVE NEW CANAAN, CT 06840		PF	TO SUPPORT GENERAL OPERATIONS	200,000	
THE RICHARD AND BARBARA WHITCOMB FOUNDATION 30 VILLAGE DRIVE NEW CANAAN, CT 06840		PF	TO SUPPORT GENERAL OPERATIONS	200,000	
THE RICHARD AND BARBARA WHITCOMB FOUNDATION 30 VILLAGE DRIVE NEW CANAAN, CT 06840		PF	TO SUPPORT GENERAL OPERATIONS	200,000	
THE RICHARD AND BARBARA WHITCOMB FOUNDATION 30 VILLAGE DRIVE NEW CANAAN, CT 06840		PF	TO SUPPORT GENERAL OPERATIONS	200,000	
THE START CENTER FOR ENTREPRENEURSHIP PO BOX 4838 OMAHA, NE 68104		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
THE UNIT FOUNDATION PO BOX 1281 VAAS, NC 28394		PC	TO SUPPORT GENERAL OPERATIONS	100,000	
THIRD SECTOR NEW ENGLAND INC NONPROFIT CENTER 89 SOUTH ST SUITE 700 BOSTON, MA 02111		PC	RESTRICTED TO SUPPORT THE CAMPAIGN FOR A COMMERCIAL FREE CHILDHOOD	25,000	
THISTLE FARMS INC 5122 CHARLOTTE AVE NASHVILLE, TN 37209		PC	TO SUPPORT GENERAL OPERATIONS	2,500	
TICONDEROGA REVITALIZATION ALLIANCE INC PO BOX 247 TICONDEROGA, NY 12883		PC	RESTRICTED TO SUPPORT JOB DEVELOPMENT AND ECONOMIC REVITALIZATION IN TICONDEROGA THROUGH SUSTAINABLE FINANCING	250,000	
UNITED SERVICE ORGANIZATIONS 2111 WILSON BLVD ARLINGTON, VA 22201		PC	TO SUPPORT GENERAL OPERATIONS	25,000	
UTW82 FOR GOOD DOBBS FERRY, NY 10522		PC	TO SUPPORT GENERAL OPERATIONS	5,000	
VANDERBILT UNIVERSITY GIFT PROCESSING VU STATION B 357727 NASHVILLE, TN 37235		PC	TO SUPPORT GENERAL OPERATIONS	30,000	
WASHINGTON SQUARE PARK CONSERVANCY INC PO BOX 1624 COOPER STATION NEW YORK, NY 10276		PC	RESTRICTED TO SUPPORT THE PARK'S CAPITAL IMPROVEMENTS, REHABILITATION AND CAPACITY BUILDING	125,000	
WATERFORD INSTITUTE INC 1590 EAST 9400 S SANDY, UT 84093		PC	TO SUPPORT THE UPSTART PROGRAM GOAL OF BRIDGING EARLY EDUCATION GAP	831,402	
WESTCHESTER EXCEPTIONAL CHILDREN INC 520 ROUTE 22 NORTH SALEM, NY 10560		PC	TO SUPPORT GENERAL OPERATIONS	20,000	
WOODS HOLE OCEANOGRAPHIC INSTITUTION FENNO HOUSE 183 OYSTER POND ROAD MS 40 WOODS HOLE, MA 02543		PC	RESTRICTED TO THE TWILIGHT ZONE PROJECT	2,500,000	
WOODS HOLE OCEANOGRAPHIC INSTITUTION FENNO HOUSE 183 OYSTER POND ROAD MS 40 WOODS HOLE, MA 02543		PC	RESTRICTED TO SUPPORT THE OCEAN COMMUNICATION INITIATIVE	350,000	
WORLD CENTRAL KITCHEN INCORPORATED 655 NEW YORK AVE NW WASHINGTON, DC 20001		PC	TO SUPPORT GENERAL OPERATIONS	5,000	
WORLD CENTRAL KITCHEN INCORPORATED 655 NEW YORK AVE NW WASHINGTON, DC 20001		PC	TO SUPPORT GENERAL OPERATIONS	75,000	
WORLD WILDLIFE FUND INC 1250 24TH STREET NW WASHINGTON, DC 200371175		PC	RESTRICTED TO SUPPORT AUSTRALIAN FIRE RELIEF	5,000	
X PRIZE FOUNDATION INC 800 CORPORATE POINTE YALVER, CA 90230		PC	RESTRICTED TO SUPPORT XPRIZE'S FEED THE NEXT BILLION PROGRAM	500,000	
YEARS PROJECT INC 630 9TH AVENUE SUITE 1106 NEW YORK, NY 10036		PC	RESTRICTED TO SUPPORT ONGOING VIDEO CAMPAIGNS AIMED AT COMBATING CLIMATE MIS-INFORMATION	250,000	
YOUNG PEOPLES CHORUS OF NEW YORK CITY INC 37 W 65TH ST FL 2 NEW YORK, NY 10023		PC	TO SUPPORT GENERAL OPERATIONS	10,000	
THE RICHARD AND BARBARA WHITCOMB FOUNDATION 30 VILLAGE DRIVE NEW CANAAN, CT 06840		PF	PORTION OF GRANTS PAID TREATED AS DISTRIBUTION OF CORPUS UNDER TREAS REG 53.4942(A)-3	-800,000	
STEPHEN A SCHWARZMAN EDUCATION FOUNDATION 345 PARK AVE FL 16 NEW YORK, NY 10154		PF	PORTION OF GRANTS PAID TREATED AS DISTRIBUTION OF CORPUS UNDER TREAS REG 53.4942(A)-3	-1,000,000	
Total				3a	30,996,918
b Approved for future payment					
Total				3b	

Additional Data

[Return to Form](#)

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Name of the organization DALIO FOUNDATION INC	Employer identification number 43-1965846
--------------------------------------------------	-----------------------------------------------------

Organization type (check one):

- | | |
|--------------------|-----------------------------------------------------------------------------------------------------------|
| Filers of: | Section: |
| Form 990 or 990-EZ | <input type="checkbox"/> 501(c)() (enter number) organization |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation |
| | <input type="checkbox"/> 527 political organization |
| Form 990-PF | <input checked="" type="checkbox"/> 501(c)(3) exempt private foundation |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | <input type="checkbox"/> 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
DALIO FOUNDATION INC

Employer identification number
43-1965846

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MARINO MANAGEMENT 25 FORD ROAD WESTPORT, CT 06880	\$ 12,273	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
2	RAYMOND T DALIO AND BARBARA G DALIO ONE GLENDINNING PLACE WESTPORT, CT 06880	\$ 28,198	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
-		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization
DALIO FOUNDATION INC

Employer identification number
43-1965846

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____
-	_____ _____ _____	_____ \$	_____

Name of organization DALIO FOUNDATION INC	Employer identification number 43-1965846
----------------------------------------------	----------------------------------------------

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	

Additional Data

Return to Form

Software ID:

Software Version:

TY 2020 IRS 990 e-File Render

Name: DALIO FOUNDATION INC

EIN: 43-1965846

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	51,271	33,839	0	17,432

Name: DALIO FOUNDATION INC

EIN: 43-1965846

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
CLEERLY INC	22 N 6TH STREET SUITE PH4F BROOKLYN, NY 11249	2017-07-31	4,999,997	EQUITY PROGRAM RELATED INVESTMENT SOLD DURING 2020. TO PROMOTE THE RESEARCH, DEVELOPMENT AND COST-EFFECTIVE AVAILABILITY OF MACHINE LEARNING, COMPUTER VISION AND OTHER INTELLIGENT AUTOMATION TECHNOLOGIES TO IMPROVE DIAGNOSTIC CAPABILITIES AND PREVENTATIVE CARE IN THE PROVISION OF RADIOLOGY SERVICES, PARTICULARLY (BUT NOT EXCLUSIVELY) TO LOW-INCOME AND RESOURCE CONSTRAINED POPULATIONS THROUGHOUT THE WORLD, WITH A VIEW TO (I) REDUCING MORTALITY AND MORBIDITY FROM NON-COMMUNICABLE DISEASES (SUCH AS CARDIOVASCULAR DISEASES) AND (II) IMPROVING PREVENTION AND MANAGEMENT OF DEBILITATING HEALTH CONDITIONS (SUCH AS STROKES AND OSTEOPOROSIS), BOTH OF WHICH HAVE RECEIVED RELATIVELY LITTLE PHILANTHROPIC ATTENTION TO DATE.	4,999,997	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	09/25/19, 10/27/20 (FINAL)		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
FUNDACION LEGORRETA HERNANDEZ AC	AVENIDA CHAPULTEPEC 218 COL ROMA NORTE DEL CUAUHTEMOC CIUDAD DE MEXICO CP 06700 MX	2019-10-04	400,000	TO SUPPORT THE GRANTEE'S SELF-EMPLOYMENT PROGRAM AND COMMUNITY CENTER PROGRAM FOR UNDERSERVED POPULATIONS.	400,000	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	01/31/20, 02/25/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
FUTURE LEADERS FOUNDATION INC	14 EAST 69TH STREET NEW YORK, NY 10021	2017-03-24	1,190,000	TO SUPPORT THE FUTURE GLOBAL LEADERS FELLOWSHIP PROGRAM WHICH PROVIDES MENTORING, LEADERSHIP TRAINING, CUSTOM CAREER ADVICE AND NETWORKING SUPPORT FOR EXCEPTIONAL FIRST-GENERATION OR LOW-INCOME COLLEGE STUDENTS WORLD-WIDE, AND TO SUPPORT THE FORTIS SOCIETY WHICH UNITES THE WORLD'S BRIGHTEST MINDS FROM HUMBLE BACKGROUNDS, WHO PLEDGE TO HELP ONE ANOTHER SUCCEED AND HAVE A POSITIVE IMPACT ON THE WORLD.	1,190,000	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	10/26/17, 10/05/18, 03/22/19, 02/21/20		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
GLOBAL HEALTH INVESTMENT FUND I LLC	35 OLD QUEEN STREET LONDON SW1 H9JA UK	2013-07-19	2,309,582	EQUITY PROGRAM RELATED INVESTMENT TO FINANCE THE DEVELOPMENT AND PRODUCTION OF PRODUCTS TO ADDRESS GLOBAL HEALTH CHALLENGES AND ACHIEVE SPECIFIED GLOBAL HEALTH OBJECTIVES	2,309,582	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	12/31/14, 12/31/15, 03/01/17, 03/01/18, 03/01/19, 03/01/20, 05/14/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG.

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
									53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
GRAMEEN AMERICA SOCIAL BUSINESS FUND LLC	150 WEST 30TH STREET 8TH FLR NEW YORK, NY 10001	2017-12-14	3,000,000	EQUITY PROGRAM RELATED INVESTMENTTO HELP FUND THE MICRO LOAN PORTFOLIO AND ENABLE GREATER NUMBERS OF LOW-INCOME WOMEN IN THE US TO RECEIVE WORKING CAPITAL FOR THEIR BUSINESSES TO SURVIVE AND PROSPER. IT IS BELIEVED THE IMPACT WILL EXTEND BEYOND IMMEDIATE FINANCIAL NEEDS TO INCLUDE GREATER EMPOWERMENT, INDIVIDUAL RESPONSIBILITY AND FAMILY SECURITY	3,000,000	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	03/31/18, 06/30/18, 10/28/19, 01/22/20, 04/07/20, 07/30/20, 02/09/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
GREENWICH TOWN PARTY INC	100 ARCH STREET GREENWICH, CT 06830	2017-12-11	2,000,000	DEBT PROGRAM RELATED INVESTMENTTHE PROCEEDS OF THIS NOTE SHALL BE USED SOLELY TO ORGANIZE AND PROMOTE THE GREENWICH TOWN PARTY WHICH IS A COMMUNITY EVENT THE NOTE HOLDER BELIEVES IS CONSISTENT WITH ITS OWN CHARITABLE PURPOSES.	2,000,000	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	06/30/18, 06/30/19, 06/30/20		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
GREENWICH TOWN PARTY INC	100 ARCH STREET GREENWICH, CT 06830	2019-05-14	900,000	DEBT PROGRAM RELATED INVESTMENTTHE PROCEEDS OF THIS NOTE SHALL BE USED SOLELY TO ORGANIZE AND PROMOTE THE GREENWICH TOWN PARTY WHICH IS A COMMUNITY EVENT THE NOTE HOLDER BELIEVES IS CONSISTENT WITH ITS OWN CHARITABLE PURPOSES.DALIO FOUNDATION HAS FORGIVEN \$588,176 ON THIS LOAN; THE OUTSTANDING BALANCE IS \$311,824.	568,176	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	06/30/19, 06/30/20		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
HENRY M PAULSON JR INSTITUTE	401 N MICHIGAN AVENUE CHICAGO, IL 60611	2018-11-01	6,000,000	TO SUPPORT THE OPERATING EXPENSES OF HENRY PAULSON INSTITUTE AND ENABLE IT TO CARRY OUT ITS CHARITABLE ACTIVITIES, FOCUSED ON RESEARCH AND IMPROVING ENVIROMENTAL AND CONVSERVATION OUTCOMES.	6,000,000	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	02/14/19, 02/14/20, 02/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
									(REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
ROCKEFELLER BROTHERS FUND INC	475 RIVERSIDE DRIVE SUITE 900 NEW YORK, NY 10115	2018-03-27	250,000	SECONDARY GRANTEE: INSTITUTE FOR INTERNATIONAL EDUCATION (IIE)SECONDARY GRANTEE DATE PAID: 03/15/20SECONDARY GRANTEE AMOUNT PAID: \$160,000SECONDARY GRANTEE AMOUNT EXPENDED: \$0PURPOSE: TO SUPPORT THE ENDOWMENT FOR THE RICHARD ROCKEFELLER FELLOWSHIP FOR EMERGING LEADERS IN CHINESE PHILANTHROPY	160,000	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	03/15/19, 04/02/20, 04/01/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
STEPHEN A SCHWARZMAN EDUCATION FOUNDATION	345 PARK AVE FL 16 NEW YORK, NY 10154	2020-05-21	1,000,000	RESTRICTED TO SUPPORT INCREASED ACADEMIC PROGRAM COSTS DUE TO COVID-19.	1,000,000	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	04/21/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
TED FOUNDATION INC	330 HUDSON ST 11TH FLOOR NEW YORK, NY 10013	2020-01-07	1,000,000	TO SUPPORT GENERAL OPERATIONS	1,000,000	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	04/13/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
THE RICHARD AND BARBARA WHITCOMB FOUNDATION	30 VILLAGE DRIVE NEW CANAAN, CT 06840	2017-08-31	2,400,000	TO SUPPORT THE CHARITABLE AND EDUCATIONAL ACTIVITIES OF THE FOUNDATION WHICH INCLUDES SUPPORT OF LOCAL AND REGIONAL AFTER-SCHOOL PROGRAMS, SCHOLARSHIP PROGRAMS, FITNESS, NUTRITION AND OTHER EDUCATIONAL PROGRAMS.	2,314,952	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	11/17, 02/18, 06/11/18, 07/30/18, 10/29/18, 02/04/19, 05/02/19, 11/07/19, 01		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
									RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 66 BEIJING, NANLISHILU XICHEN 100045 CH	2018-12-13	900,000	TO SUPPORT THE OPERATING EXPENSES OF BEIJING DALIO PUBLIC WELFARE FOUNDATION AND ENABLE IT TO CARRY OUT ITS CHARITABLE AND EDUCATIONAL ACTIVITIES CONTINUOUSLY, WHICH ARE FOCUSED ON EARLY CHILDHOOD ADVOCATION, PHILANTHROPY, EDUCATION, POVERTY REDUCTION AND COVID RELIEF.	555,755	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	03/10/19, 03/10/20, 3/10/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 66 BEIJING, NANLISHILU XICHEN 100045 CH	2018-09-19	10,000,000	TO PROVIDE INITIAL FUNDING OF THE GRANTEES QUASI-ENDOWMENT FUND, WHICH IS LOCATED AND MANAGED OUTSIDE OF THE UNITED STATES AND SUPPORTS THE GRANTEES CHARITABLE PURPOSES, WHICH ARE FOCUSED ON EARLY CHILDHOOD ADVOCATION, PHILANTHROPY, EDUCATION, POVERTY REDUCTION AND COVID RELIEF, WITHOUT RESTRICTION.	10,000,000	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	02/28/19, 01/10/20, 03/10/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 66 BEIJING, NANLISHILU XICHEN 100045 CH	2018-08-02	5,916,000	SECONDARY GRANTEE (1/6): CHINA GLOBAL PHILANTHROPY INSTITUTE (CGPI),8TH FLOOR, HOPSON FORTUNE PLAZA, NO. 13 DESHENG MEN WAI STREET, XICHENG DISTRICT, BEIJING, 100088, PRCSECONDARY GRANTEE DATE: 12/2018SECONDARY GRANTEE AMOUNT PAID: \$2,000,000SECONDARY AMOUNT EXPENDED: \$2,000,000PURPOSE: TO SUPPORT CGPI'S PROGRAMS PROMOTING PHILANTHROPY EDUCATION IN CHINA.	5,621,692	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	08/01/18, 10/03/18, 03/14/19, 03/15/20, 3/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 84 BEIJING, NANLISHILU XICHEN 100063 CH	2018-08-20	5,916,000	SECONDARY GRANTEE (2/6): CHINA GLOBAL PHILANTHROPY INSTITUTE HARVARD KENNEDY SCHOOL PROJECT (CGPI-HKS), 8TH FLOOR, HOPSON FORTUNE PLAZA, NO. 13 DESHENG MEN WAI STREET, XICHENG DISTRICT, BEIJING, 100088, PRCSECONDARY GRANTEE DATE: 12/2018SECONDARY GRANTEE AMOUNT PAID: \$216,000SECONDARY AMOUNT EXPENDED: \$156,692PURPOSE: TO SUPPORT CGPI'S PROGRAMS PROMOTING PHILANTHROPY EDUCATION IN CHINA.	5,621,692	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	08/01/18, 10/03/18, 03/14/19, 03/15/20, 3/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
									ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 85 BEIJING, NANLISHILU XICHEN 100064 CH	2018-08-21	5,916,000	SECONDARY GRANTEE (3/6): CHBA, ROOM 1602, SOUTH TOWER, SHANGDU SOHONO 8, DONGDAQIAO ROAD, CHAOYANG DISTRICT, BEIJING,100020, CHINASECONDARY GRANTEE DATE: 12/2018SECONDARY GRANTEE AMOUNT PAID: \$2,150,000SECONDARY AMOUNT EXPENDED: \$2,150,000PURPOSE: TO SUPPORT HEALTH BASED INTERVENTIONS FOR CHILDREN WITH FEW RESOURCES WHO HAVE LIFE THREATENING DISEASES.	5,621,692	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	08/01/18, 10/03/18, 03/14/19, 03/15/20, 3/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 86 BEIJING, NANLISHILU XICHEN 100065 CH	2018-08-22	5,916,000	SECONDARY GRANTEE (4/6): DANDELION MIDDLE SCHOOL, 22ND TUANHE ROADSHOUBAOZHUANGXIHONGMENZHEN, DAXING DISTRICT, BEIJING, CHINA, 100162SECONDARY GRANTEE DATE: 12/2018SECONDARY GRANTEE AMOUNT PAID: \$150,000SECONDARY AMOUNT EXPENDED: \$150,000PURPOSE: TO SUPPORT MIDDLE SCHOOL EDUCATION FOR MIGRANT WORKERS' CHILDREN.	5,621,692	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	08/01/18, 10/03/18, 03/14/19, 03/15/20, 3/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 87 BEIJING, NANLISHILU XICHEN 100066 CH	2018-08-23	5,916,000	SECONDARY GRANTEE (5/6): CHINA DEVELOPMENT RESEARCH FOUNDATION (CDRF), FLOOR 15, TOWER A, IMPERIAL INTERNATIONAL CENTER, NO. 136, ANDINGMEN WAI AVENUE, DONGCHENG DISTRICT, BEIJING, CHINA, 100011SECONDARY GRANTEE DATE: 12/2018SECONDARY GRANTEE AMOUNT PAID: \$950,000SECONDARY AMOUNT EXPENDED: \$950,000PURPOSE: TO SUPPORT EARLY CHILDHOOD EDUCATION IN RURAL, RESOURCE-CONSTRAINED POPULATIONS IN CHINA.	5,621,692	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	08/01/18, 10/03/18, 03/14/19, 03/15/20, 3/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 88 BEIJING, NANLISHILU XICHEN 100067 CH	2018-08-24	5,916,000	SECONDARY GRANTEE (6/6): BEIJING GANEN FOUNDATION (BGF), BEIJING, CHINA 100045SECONDARY GRANTEE DATE: 12/2018SECONDARY GRANTEE AMOUNT PAID: \$215,000SECONDARY AMOUNT EXPENDED: \$215,000PURPOSE: TO SUPPORT RURAL DEVELOPMENT EFFORTS IN RESOURCE-CONSTRAINED POPULATIONS IN CHINA.	5,621,692	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	08/01/18, 10/03/18, 03/14/19, 03/15/20, 3/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
									DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 66 BEIJING, NANLISHILU XICHEN 100045 CH	2017-07-27	558,924	SECONDARY GRANTEE (1/2): RONG AI RONG LE PARENTS ORGANIZATION OF PEOPLE WITH INTELLECTUAL DISABILITIES (RARL), 1107 #UNIT 4 ,BUILDING 6, BEIJING IMAGE COMPOUND, 115# OF FUCHENG ST. HAIDIAN DISTRICT, BEIJING, CHINA SECONDARY GRANTEE DATE PAID: 7/31/17 SECONDARY GRANTEE AMOUNT PAID: \$178,070 SECONDARY GRANTEE AMOUNT EXPENDED: \$178,070 SECONDARY GRANTEE DATE PAID: 6/7/18 SECONDARY GRANTEE AMOUNT PAID: \$178,070 SECONDARY GRANTEE AMOUNT EXPENDED: \$178,070 PURPOSE: TO SUPPORT THE PARTNERSHIP FOR THE RECIPIENTS CHARITABLE AND EDUCATIONAL WORK WITH RONG AI RONG LE PARENTS ORGANIZATION OF PERSONS WITH INTELLECTUAL DISABILITIES (RARL).	558,924	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	02/15/18, 02/28/19, 02/10/20		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 82 BEIJING, NANLISHILU XICHEN 100061 CH	2017-08-12	558,924	SECONDARY GRANTEE (2/2): BEIJING GANEN FOUNDATION (BGF) BEIJING, CHINA 100045 SECONDARY GRANTEE DATE PAID: 7/31/17 SECONDARY GRANTEE AMOUNT PAID: \$202,784 SECONDARY GRANTEE AMOUNT EXPENDED: \$202,784 PURPOSE: TO SUPPORT THE PARTNERSHIP FOR THE RECIPIENTS CHARITABLE AND EDUCATIONAL WORK WITH RONG AI RONG LE PARENTS ORGANIZATION OF PERSONS WITH INTELLECTUAL DISABILITIES (RARL) AND BEIJING GANEN PHILANTHROPY FDN.	558,924	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	02/15/18, 02/28/19, 02/10/20		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 66 BEIJING, NANLISHILU XICHEN 100045 CH	2019-07-31	5,550,000	SECONDARY GRANTEE (1/6): CHBA, ROOM 1602, SOUTH TOWER, SHANGDU SOHO, NO 8, DONGDAQIAO ROAD, CHAOYANG DISTRICT, BEIJING, 100020, CHINA SECONDARY GRANTEE DATE: 12/2019 SECONDARY GRANTEE AMOUNT PAID: \$2,150,000 SECONDARY AMOUNT EXPENDED: \$2,150,000 PURPOSE: TO SUPPORT EARLY CHILDHOOD EDUCATION.	5,467,512	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	03/15/20, 03/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 81 BEIJING, NANLISHILU XICHEN 100060 CH	2019-08-15	5,550,000	SECONDARY GRANTEE (2/6): DANDELION MIDDLE SCHOOL, 22ND TUANHE ROAD SHOU BAO ZHUANG XI HONG MEN ZHEN, DAXING DISTRICT, BEIJING, CHINA, 100162 SECONDARY GRANTEE DATE: 12/2019 SECONDARY GRANTEE AMOUNT PAID: \$150,000 SECONDARY AMOUNT EXPENDED: \$150,000 PURPOSE: TO SUPPORT MIDDLE SCHOOL EDUCATION FOR MIGRANT CHILDREN.	5,467,512	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	03/15/20, 03/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
									REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 82 BEIJING, NANLISHILU XICHEN 100061 CH	2019-08-16	5,550,000	SECONDARY GRANTEE (3/6): CHINA DEVELOPMENT RESEARCH FOUNDATION (CDRF), FLOOR 15, TOWER A, IMPERIAL INTERNATIONAL CENTER, NO. 136, ANDINGMEN WAI AVENUE, DONGCHENG DISTRICT, BEIJING, CHINA, 100011SECONDARY GRANTEE DATE: 12/2019SECONDARY GRANTEE AMOUNT PAID: \$930,000SECONDARY AMOUNT EXPENDED: \$930,000PURPOSE: TO SUPPORT EARLY CHILDHOOD EDUCATION.	5,467,512	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	03/15/20, 03/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 83 BEIJING, NANLISHILU XICHEN 100062 CH	2019-08-17	5,550,000	SECONDARY GRANTEE (4/6): BEIJING GANEN FOUNDATION (BGF), BEIJING, CHINA 100045SECONDARY GRANTEE DATE: 12/2019SECONDARY GRANTEE AMOUNT PAID: \$150,000SECONDARY AMOUNT EXPENDED: \$150,000PURPOSE: TO SUPPORT VARIOUS PROJECTS INCLUDING, CHBA, RARL, CDRF, BGF AND DANDELION. PROGRAM AREAS INCLUDE CHILD WELFARE AS WELL AS RURAL CAPACITY BUILDING IN HIGHER EDUCATION.	5,467,512	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	03/15/20, 03/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 84 BEIJING, NANLISHILU XICHEN 100063 CH	2019-08-18	5,550,000	SECONDARY GRANTEE (5/6): RONG AI RONG LE PARENTS ORGANIZATION OF PEOPLE WITH INTELLECTUAL DISABILITIES (RARL), 1107 #UNIT 4 ,BUILDING 6, BEIJING IMAGE COMPOUND, 115# OF FUCHENG ST. HAIDIAN DISTRICT, BEIJING, CHINA AND BEIJING GANEN FOUNDATION (BGF), BEIJING, CHINA 100045SECONDARY GRANTEE DATE: 12/2019SECONDARY GRANTEE AMOUNT PAID: \$170,000SECONDARY AMOUNT EXPENDED: \$87,512PURPOSE: TO SUPPORT VARIOUS PROJECTS INCLUDING, CHBA, RARL, CDRF, BGF AND DANDELION. PROGRAM AREAS INCLUDE CHILD WELFARE AS WELL AS RURAL CAPACITY BUILDING IN HIGHER EDUCATION.	5,467,512	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	03/15/20, 03/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 85 BEIJING, NANLISHILU XICHEN 100064 CH	2019-08-19	5,550,000	SECONDARY GRANTEE (6/6): CHINA GLOBAL PHILANTHROPY INSTITUTE (CGPI), 8TH FLOOR, HOPSON FORTUNE PLAZA, NO. 13 DESHENG MEN WAI STREET, XICHENG DISTRICT, BEIJING, 100088, PRCSECONDARY GRANTEE DATE: 02/07/20SECONDARY GRANTEE AMOUNT PAID: \$2,000,000SECONDARY AMOUNT EXPENDED: \$2,000,000PURPOSE: TO SUPPORT VARIOUS PHILANTHROPIC PROJECTS INCLUDING, CHBA, RARL, CDRF, BGF AND DANDELION. PROGRAM AREAS INCLUDE CHILD WELFARE AS WELL AS RURAL CAPACITY BUILDING IN HIGHER EDUCATION.	5,467,512	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS	03/15/20, 03/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
						INTEND			NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 66 BEIJING, NANLISHILU XICHEN 100045 CH	2020-03-19	3,200,000	SECONDARY GRANTEE (1/3): LANJUAN LI RESEARCH TEAM, ZHEJIANG UNIVERSITY EDUCATION FOUNDATION, TOWER A, #6 BUILDING, ZHEJIANG UNIVERSITY NO.1 HOSPITAL, NO. 79 QINGCHUN ROAD, SHANGCHENG DISTRICT, HANGZHOU, ZHEJIANG PROVINCE.SECONDARY GRANTEE DATE PAID: 03/30/20SECONDARY GRANTEE AMOUNT PAID: \$1,067,000SECONDARY GRANTEE AMOUNT EXPENDED: \$393,155PURPOSE: RESTRICTED TO FUND THE WORK OF THREE MEDICAL RESEARCH TEAMS RELATED TO THE CORONAVIRUS (COVID-19) OUTBREAK IN CHINA.	527,325	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	03/10/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 80 BEIJING, NANLISHILU XICHEN 100059 CH	2020-04-02	3,200,000	SECONDARY GRANTEE (2/3): CHEN WANG RESEARCH TEAM, PEKING UNION MEDICAL COLLEGE EDUCATION FOUNDATION, DONGDAN SANTIAO, DONGCHENG DISTRICT, BEIJING.SECONDARY GRANTEE DATE PAID: 03/30/20SECONDARY GRANTEE AMOUNT PAID: \$1,067,000SECONDARY GRANTEE AMOUNT EXPENDED: \$134,170PURPOSE: RESTRICTED TO FUND THE WORK OF THREE MEDICAL RESEARCH TEAMS RELATED TO THE CORONAVIRUS (COVID-19) OUTBREAK IN CHINA.	527,325	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	03/10/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 81 BEIJING, NANLISHILU XICHEN 100060 CH	2020-04-03	3,200,000	SECONDARY GRANTEE (3/3): NANSHAN ZHONG RESEARCH TEAM, GUANGDONG ZHONG NANSHAN MEDICAL FOUNDATION, 503# BUILDING A, NO.1 RUIFA ROAD, HUANGPU DISTRICT, GUANGZHOU, GUANGDONG PROVINCE.SECONDARY GRANTEE DATE PAID: 03/30/20SECONDARY GRANTEE AMOUNT PAID: \$1,066,000SECONDARY GRANTEE AMOUNT EXPENDED: \$0PURPOSE: RESTRICTED TO FUND THE WORK OF THREE MEDICAL RESEARCH TEAMS RELATED TO THE CORONAVIRUS (COVID-19) OUTBREAK IN CHINA.	527,325	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	03/10/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 66 BEIJING, NANLISHILU XICHEN 100045 CH	2020-07-30	2,870,000	SECONDARY GRANTEE (1/2): CHUNHUI CHILDREN'S FOUNDATION (CHBA)ROOM 1602, SOUTH TOWER, SHANGDU SOHO, NO 8, DONGDAQIAO ROAD, CHAOYANG DISTRICT, BEIJING,100020, CHINASECONDARY GRANTEE PAID DATE: 8/2020SECONDARY GRANTEE AMOUNT PAID: \$2,150,000SECONDARY GRANTEE AMOUNT EXPENDED: \$2,150,000PURPOSE: RESTRICTED TO SUPPORT GRANTEE'S CHARITABLE WORK IN THE FIELDS OF CHILDREN WELFARE AND EDUCATION.	2,150,000	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER	03/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT.

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
						THAN ITS INTEND			THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.
BEIJING DALIO PUBLIC WELFARE FOUNDATION	702A CANWAY BUILDING 79 BEIJING, NANLISHILU XICHEN 100058 CH	2020-08-12	2,870,000	SECONDARY GRANTEE (2/2): BEIJING DANDELION SCHOOL DANDELION MIDDLE SCHOOL, 22ND TUANHE ROADSHOUBAOZHUANGXIHONGMENZHEN, DAXING DISTRICT, BEIJING, CHINA, 100162SECONDARY GRANTEE PAID DATE: 8/2020SECONDARY GRANTEE AMOUNT PAID: \$720,000SECONDARY GRANTEE AMOUNT EXPENDED: \$0PURPOSE: RESTRICTED TO SUPPORT GRANTEE'S CHARITABLE WORK IN THE FIELDS OF CHILDREN WELFARE AND EDUCATION.	2,150,000	TO THE KNOWLEDGE OF THE FDN, NO PART HAS BEEN USED FOR OTHER THAN ITS INTEND	03/15/21		DALIO FOUNDATION REVIEWED THE GRANT REPORTS UPON RECEIPT. THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5(C)), REQUIRING THE FOUNDATION TO UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS.

TY 2020 IRS 990 e-File Render

Name: DALIO FOUNDATION INC

EIN: 43-1965846

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE EQUITIES	33,851,501	33,851,501

TY 2020 IRS 990 e-File Render

Name: DALIO FOUNDATION INC

EIN: 43-1965846

**US Government Securities - End of
Year Book Value:**

33,397,630

**US Government Securities - End of
Year Fair Market Value:**

33,397,630

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2020 IRS 990 e-File Render**Name:** DALIO FOUNDATION INC**EIN:** 43-1965846

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FUND 4	FMV	99,252,050	99,252,050
FUND 5	FMV	123,119,905	123,119,905
WATCHTOWER, LLC	FMV	13,194,291	13,194,291
BROKERAGE ACCOUNT	FMV	11,063,497	11,063,497
ACCION FRONTIER INCLUSION FUND	FMV	10,452,636	10,452,636
BLACKIVY, LLC	FMV	253,222	253,222
ACCION QUONA INCLUSION FUND	FMV	4,925,183	4,925,183
FUND 6	FMV	98,808,799	98,808,799
FUND 7	FMV	163,049,885	163,049,885
FUND 8	FMV	56,253,392	56,253,392
FUND 9	FMV	56,389,108	56,389,108

TY 2020 IRS 990 e-File Render**Name:** DALIO FOUNDATION INC**EIN:** 43-1965846

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
SOFTWARE	154,752	63,781	90,971	90,971
FURNITURE & FIXTURES	179,563	119,956	59,607	59,607
LEASEHOLD IMPROVEMENTS	873,880	155,692	718,188	718,188

TY 2020 IRS 990 e-File Render

Name: DALIO FOUNDATION INC

EIN: 43-1965846

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - GRANTS & GOVERNANCE	7,354	0	0	7,354

TY 2020 IRS 990 e-File Render

Name: DALIO FOUNDATION INC

EIN: 43-1965846

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
GLOBAL HEALTH INVESTMENT FUND	1,595,674	951,789	951,789
CLEERLY, INC.	4,999,997	0	0
GRAMEEN AMERICA SOCIAL BUSINESS FUND LLC	2,953,750	2,867,379	2,867,379
PRI LOAN RECEIVABLE	900,000	311,824	311,824
DIVIDENDS & INTEREST RECEIVABLE	0	9,882	9,882

TY 2020 IRS 990 e-File Render

Name: DALIO FOUNDATION INC

EIN: 43-1965846

Description	Amount
UNREALIZED LOSSES	22,088,602

TY 2020 IRS 990 e-File Render**Name:** DALIO FOUNDATION INC**EIN:** 43-1965846

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK AND CHECK FEES	1,937	0	0	1,937
INSURANCE	22,934	0	0	22,934
DUES, SUBSCRIPTIONS, FEES, SHIPPING	9,951	0	0	9,951
INFORMATION TECHNOLOGY & COMMUNICATIONS	35,484	0	0	35,484
OTHER EXPENSES - MARINE SCIENCE & AWARENESS CHARITABLE INITIATIVE	282,195	168,995	168,995	113,200
SERVICES & FEES - COMMUNITY SUPPORT CHARITABLE INITIATIVE	5,825	0	0	5,825
SUPPLIES - COAT DRIVE CHARITABLE INITIATIVE	186,683	0	0	186,683
SUPPLIES & SVCS - EDUCATION CHARITABLE INITIATIVE	309,800	0	0	309,800
PROGRAM DEVELOPMENT CONSULTING - EDUCATION CHARITABLE INITIATIVE	400,510	0	0	400,510
OTHER CONSULTING - EDUCATION CHARITABLE INITIATIVE	8,436	0	0	8,436
CONSULTING - COAT DRIVE CHARITABLE INITIATIVE	25,000	0	0	25,000
DEDUCTIONS FROM PASS THROUGH	0	571,537	0	0

TY 2020 IRS 990 e-File Render

Name: DALIO FOUNDATION INC

EIN: 43-1965846

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
IRC SEC 988 INVESTMENT INCOME	7,980,137	7,980,137	0
INCOME FROM PASS THROUGH		210,543	
INCOME FROM PROGRAM-RELATED INVESTMENTS		833,531	833,531
SUBPART F INCOME		546,881	
LEASE OF SCIENTIFIC RESEARCH AUV BY OTHER CHARITY	880,000	880,000	880,000
MISCELLANEOUS INCOME	3,128		0
GRANTS REFUNDED	9,173		0

TY 2020 IRS 990 e-File Render**Name:** DALIO FOUNDATION INC**EIN:** 43-1965846

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CUSTODIAN FEES	41,943	41,943	0	0
PHILANTHROPIC MGMT, INV ADVISORY, & BACK OFFICE SVCS	2,368,338	1,184,169	0	1,184,169

TY 2020 IRS 990 e-File Render**Name:** DALIO FOUNDATION INC**EIN:** 43-1965846

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	10,568	10,568	0	0
EXCISE TAX	1,190,000	0	0	0

TY 2020 IRS 990 e-File Render

Name: DALIO FOUNDATION INC

EIN: 43-1965846

Name	US / Foreign Address	EIN	Description	Amount
DALIO FAMILY FUND INC	ONE GLENDINNING PLACE WESTPORT, CA 06880	83-1575536	SALE OF ASSETS AT FAIR MARKET VALUE	4,999,997
Total				4,999,997