

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation MALOTT FAMILY FOUNDATION		A Employer identification number 36-3680666	
Number and street (or P.O. box number if mail is not delivered to street address) 333 N MICHIGAN AVENUE NO 3100		Room/suite	B Telephone number (see instructions) (312) 861-6005
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 60601		C If exemption application is pending, check here ☐	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 103,045,191		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,014,200			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	802,057	802,057		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	2,509,322			
	b Gross sales price for all assets on line 6a 1,131,135				
	7 Capital gain net income (from Part IV, line 2)		2,509,322		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	2,020,298	2,020,298		
	12 Total. Add lines 1 through 11	7,345,877	5,331,677		
	13 Compensation of officers, directors, trustees, etc.	197,501	98,751		98,750
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest	13,999	13,999		0
	18 Taxes (attach schedule) (see instructions)	59,801	52,089		7,712
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	677,494	564,844		112,650
	24 Total operating and administrative expenses. Add lines 13 through 23	948,795	729,683		219,112
	25 Contributions, gifts, grants paid	4,334,500			4,334,500
	26 Total expenses and disbursements. Add lines 24 and 25	5,283,295	729,683		4,553,612
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	2,062,582			
	b Net investment income (if negative, enter -0-)		4,601,994		
	c Adjusted net income (if negative, enter -0-)				

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		367,336	261,959	261,959
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)	14,877,153	21,192,335	45,824,902	
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)				
	14	Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)	55,481,623	52,084,400	56,958,330		
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	70,726,112	73,538,694	103,045,191		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)	2,950,000	3,700,000		
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22).	2,950,000	3,700,000		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.					
	24	Net assets without donor restrictions				
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds	0	0		
	27	Paid-in or capital surplus, or land, bldg., and equipment fund	0	0		
	28	Retained earnings, accumulated income, endowment, or other funds	67,776,112	69,838,694		
	29	Total net assets or fund balances (see instructions)	67,776,112	69,838,694		
	30	Total liabilities and net assets/fund balances (see instructions) .	70,726,112	73,538,694		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	67,776,112
2	Enter amount from Part I, line 27a	2	2,062,582
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	69,838,694
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	69,838,694

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a FROM K-1 - DEERFIELD PRIVATE DESIGN FUND IV	P		
b FROM K-1 - DEERFIELD PRIVATE DESIGN FUND IV	P		
c FROM K-1 - DEERFIELD RE HOLDINGS IV	P		
d FROM K-1 - EQT EXPANSION CAP II NO 1	P		
e FROM K-1 - EQT VII NO 2	P		
FROM K-1 - FORTRESS CREDIT OPPORTUNITIES FUND III	P		
FROM K-1 - LEGACY VENTURE IV	P		
FROM K-1 - LEGACY VENTURE IV	P		
FROM K-1 - LEGACY VENTURE VIII	P		
FROM K-1 - LEGACY VENTURE VIII	P		
FROM K-1 - LEGACY VENTURE IX	P		
FROM K-1 - LEGACY VENTURE IX	P		
FROM K-1 - RENAISSANCE INSTITUTIONAL	P		
FROM K-1 - RENAISSANCE INSTITUTIONAL	P		
REDEMPTION OF BROADFIN HEALTHCARE OFFSHORE FUND	P		
REDEMPTION OF CITADEL KENSINGTON GLOBAL STRATEGY	P		
REDEMPTION OF BROADFIN HEALTHCARE OFFSHORE FUND	P		
REDEMPTION OF CITADEL KENSINGTON GLOBAL STRATEGY	P		
CAPITAL GAINS DIVIDENDS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			16,676
b			-41,674
c			-373
d			42,797
e			534,339
			21,761
			-234
			303,747
			1,165
			55,665
			256
			-1,518
			-2,606,941
			1,042,873
			-332,684
			6,269
			2,230,082
			105,981
1,131,135			1,131,135

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			16,676
b			-41,674
c			-373
d			42,797
e			534,339
			21,761
			-234
			303,747
			1,165
			55,665
			256
			-1,518
			-2,606,941
			1,042,873
			-332,684
			6,269
			2,230,082
			105,981
			1,131,135

Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,509,322
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1	Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved	
2	Reserved		2	
3	Reserved.		3	
4	Reserved		4	
5	Reserved		5	
6	Reserved		6	
7	Reserved		7	
8	Reserved		8	

Part VII

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.		
	Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved	1	63,968
All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	63,968
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	63,968
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	25,740
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	25,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d	7	50,740
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	13,228
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded	11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1a				No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).			No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
1c				No
c	Did the foundation file Form 1120-POL for this year?			No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0 (2) On foundation managers. ☐ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	<i>If "Yes," attach the statement required by General Instruction T.</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes	

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶N/A	13	Yes	
14	The books are in care of ▶BARBARA KIZZIAH Telephone no. ▶(312) 861-6061 Located at ▶333 N MICHIGAN AVE - STE 3100 CHICAGO IL ZIP+4 ▶60601			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance check here. 

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

☐ Yes ☒ No

8

Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

☐ Yes ☒ No

	Yes	No
5b		
6b		No
7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT DEANE MALOTT 333 N MICHIGAN AVE STE 3100 CHICAGO, IL 60601	DIRECTOR 1.00	578	0	0
ELIZABETH MALOTT POHLE 333 N MICHIGAN AVE STE 3100 CHICAGO, IL 60601	SECRETARY 20.00	45,631	0	0
BARBARA H MALOTT KIZZIAH 333 N MICHIGAN AVE STE 3100 CHICAGO, IL 60601	PRESIDENT 20.00	58,124	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. 

0

Form 990-PF (2020)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services.

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	40,403,575
b	Average of monthly cash balances.	1b	739,580
c	Fair market value of all other assets (see instructions).	1c	57,074,355
d	Total (add lines 1a, b, and c).	1d	98,217,510
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	98,217,510
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,473,263
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	96,744,247
6	Minimum investment return. Enter 5% of line 5.	6	4,837,212

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	4,837,212
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	63,968
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	63,968
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	4,773,244
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	4,773,244
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . .	7	4,773,244

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	4,553,612
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,553,612
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,553,612

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				4,773,244
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			11,462	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017.				
d From 2018.				
e From 2019.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ <u>4,553,612</u>				
a Applied to 2019, but not more than line 2a			11,462	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				4,542,150
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				231,094
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2016				
b Excess from 2017				
c Excess from 2018.				
d Excess from 2019				
e Excess from 2020				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b

Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test—enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test—enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a

The name, address, and telephone number or email address of the person to whom applications should be addressed:

b

The form in which applications should be submitted and information and materials they should include:

c

Any submission deadlines:

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV

Supplementary Information (continued)

3

Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ACADEMY FOR URBAN SCHOOL LEADERSHIP 3400 N AUSTIN AVENUE CHICAGO,IL 60634		P C	COMMUNITY	50,000
AMERICAN RED CROSS 2200 W HARRISON STREET CHICAGO,IL 60612		P C	COMMUNITY	200,000
AMERICARES 88 HAMILTON AVENUE STAMFORD,CT 06902		P C	COMMUNITY	5,000
ASPEN CENTER FOR ENVIRONMENTAL STUDIES 100 PUPPY SMOTH ST ASPEN,CO 81611		P C	COMMUNITY	155,000
ASPEN INSTITUTE ONE DUPONT CIRCLE NW SUITE 700 WASHINGTON,DC 20036		P C	COMMUNITY	5,000
CHICAGO BOTANICAL GARDEN 1000 LAKE COOK RD GLENCOE,IL 60022		P C	COMMUNITY	25,000
CHICAGO BOTANICAL GARDEN 1000 LAKE COOK RD GLENCOE,IL 60022		P C	COMMUNITY	1,300,000
CHICAGO FOUNDATION FOR EDUCATION 1 N LA SALLE STREET SUITE 1675 CHICAGO,IL 60602		P C	COMMUNITY	20,000
CHICAGO PUBLIC EDUCATIONAL FUND 200 W ADAMS STREET SUITE 2150 CHICAGO,IL 60606		P C	COMMUNITY	300,000
CHICAGO SHAKESPEARE THEATER 800 E GRAND AVE CHICAGO,IL 60611		P C	COMMUNITY	25,000
CHICAGO SHAKESPEARE THEATER 14 E CACHE LA POUDRE ST COLORADO SPRINGS,CO 80903		P C	COMMUNITY	200,000
COLORADO COLLEGE 14 E CACHE LA POUDRE ST COLORADO SPRINGS,CO 80903		P C	COMMUNITY	25,000
COLORADO COLLEGE 14 E CACHE LA POUDRE ST COLORADO SPRINGS,CO 80903		P C	COMMUNITY	200,000
DEANE AND JILL MALOTT FAMILY FUND 333 N MICHIGAN AVENUE STE 3100 CHICAGO,IL 60601		P C	COMMUNITY	100,000
EDUCATORS 4 EXCELLENCE 351 W HUBBARD STREET SUITE 805 CHICAGO,IL 60654		P C	COMMUNITY	15,000
FIELD MUSEUM 1400 S LAKE SHORE DR CHICAGO,IL 60605		P C	COMMUNITY	10,000
GOLDEN APPLE FOUNDATION 8 S MICHIGAN AVE SUITE 700 CHICAGO,IL 60603		P C	COMMUNITY	10,000
HIGH JUMP 220 S MICHIGAN AVE CHICAGO,IL 60604		P C	COMMUNITY	10,000
HOOVER INSTITUTION 434 GALVEZ MALL STANFORD,CA 94305		P C	COMMUNITY	20,000
ILLINOIS POLICY INSTITUTE 190 S LASALLE STREET SUITE 1500 CHICAGO,IL 60603		P C	COMMUNITY	10,000
KENILWORTH UNION CHURCH 211 KENILWORTH AVENUE KENILWORTH,IL 66044		P C	COMMUNITY	7,500
KIZZIAH FAMILY FUND 333 N MICHIGAN AVENUE STE 3100 CHICAGO,IL 60601		P C	COMMUNITY	100,000
LINCOLN PARK ZOO 2001 N CLARK STREET CHICAGO,IL 60614		P C	COMMUNITY	100,000
LINCOLN PARK ZOO 2001 N CLARK STREET CHICAGO,IL 60614		P C	COMMUNITY	25,000

LYRIC OPERA 20 N WACKER DRIVE CHICAGO,IL 60606		P C	COMMUNITY	20,000
MUSEUM OF SCIENCE & INDUSTRY 5700 S LAKE SHORE DR CHICAGO,IL 60637		P C	COMMUNITY	200,000
MUSEUM OF SCIENCE & INDUSTRY 5700 S LAKE SHORE DR CHICAGO,IL 60637		P C	COMMUNITY	10,000
NATIONAL PARK FOUNDATION 1110 VERMONT AVE NW200 WASHINGTON,DC 20005		P C	COMMUNITY	50,000
NEW CANAAN YMCA 564 SOUTH AVE NEW CANAAN,CT 06840		P C	COMMUNITY	15,000
OUNCE OF PREVENTION FUND 33 MONROE STREET SUITE 2400 CHICAGO,IL 60603		P C	COMMUNITY	25,000
POHLE FAMILY FUND 333 N MICHIGAN AVENUE STE 3100 CHICAGO,IL 60601		P C	COMMUNITY	100,000
RAVINIA FESTIVAL 418 SHERIDAN ROAD HIGHLAND PARK,IL 60035		P C	COMMUNITY	10,000
SCRIPPS COLLEGE 1030 COLUMBIA AVE CLAREMONT,CA 91711		P C	COMMUNITY	25,000
SNOWMASS CHAPEL & COMMUNITY CENTER 5307 OWL CREEK RD SNOWMASS VILLAGE,C O 81615		P C	COMMUNITY	2,500
SNOWMASS CHAPEL & COMMUNITY CENTER 5307 OWL CREEK RD SNOWMASS VILLAGE,C O 81615		P C	COMMUNITY	1,000
TEACH FOR AMERICA 300 W ADAMS ST 1000 CHICAGO,IL 60606		P C	COMMUNITY	75,000
UNIVERSITY OF CHICAGO OSTEOPOROSIS RESEARCH FUND 5801 S ELLIS AVE CHICAGO,IL 60637		P C	COMMUNITY	20,000
ALZHEIMER'S ASSOCIATION 225 N MICHIGAN AVE FL 17 CHICAGO,IL 60601		P C	COMMUNITY	500
LIFEMOVES 181 CONSTITUTION DR MENLO PARK,CA 94025		P C	COMMUNITY	275,000
NEW CANAAN COMMUNITY FOUNDATION 111 CHERRY ST NEW CANAAN,CT 06840		P C	COMMUNITY	115,000
NEW COVENANT CENTER 174 RICHMOND HILL AVE STAMFORD,CT 06902		P C	COMMUNITY	65,000
CHICAGO COMMUNITY TRUST 225 N MICHIGAN AVE 2200 CHICAGO,IL 60601		P C	COMMUNITY	175,000
FEEDING AMERICA 161 N CLARK ST STE 700 CHICAGO,IL 60601		P C	COMMUNITY	75,000
WORLD CENTRAL KITCHEN 655 NEW YORK AVE NW 6TH FLOOR WASHINGTON,DC 20001		P C	COMMUNITY	25,000
SECOND HARVEST OF SILICON VALLEY 4001 N 1ST ST SAN JOSE,CA 95134		P C	COMMUNITY	25,000
INSPIRICA INC 141 FRANKLIN ST STAMFORD,CT 06901		P C	COMMUNITY	55,000
PIVOT MINISTRIES INC 485 JANE ST BRIDGEPORT,CT 06608		P C	COMMUNITY	40,000
FOOD BANK OF LOWER FAIRFIELD COUNTY 461 GLENBROOK RD STAMFORD,CT 06906		P C	COMMUNITY	5,000
AMERICANS FOR OXFORD INC 500 5TH AVE 32ND FL NEW YORK,NY 10110		P C	COMMUNITY	8,000
Total ▶ 3a				4,334,500
b Approved for future payment				
Total ▶ 3b				0

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments.						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities				14	802,057	
5 Net rental income or (loss) from real estate:						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.				14	2,020,298	
8 Gain or (loss) from sales of assets other than inventory				18	2,509,322	
9 Net income or (loss) from special events:						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue: a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e).			0		5,331,677	
13 Total. Add line 12, columns (b), (d), and (e).				13		5,331,677

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

Part XVII

Yes	No
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No

No

[illegible]

No

	No
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	No
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	No
--	----

	No
--	----

	No

	No
--	----

e

[illegible]

☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below
(see instr.) ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	DAVID S FRIEDLANDER		2021-11-09		P00485420
	Firm's name ▶ FGMK LLC				Firm's EIN ▶ 36-292960
	Firm's address ▶ 2801 LAKESIDE DRIVE 3RD FLOOR BANNOCKBURN, IL 60015				Phone no. (847) 374-0400

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to www.irs.gov/Form990 for the latest information.	OMB No. 1545-0047
		2020
Name of the organization MALOTT FAMILY FOUNDATION		Employer identification number 36-3680666

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization MALOTT FAMILY FOUNDATION	Employer identification number 36-3680666
--	--

Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BANK OF AMERICA PRIVATE BANK IRA FBO ROBERT MALOTT 901 MAIN ST 17TH FLOOR DALLAS, TX 75202	\$ 2,014,200	☒ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
MALOTT FAMILY FOUNDATION

Employer identification number
36-3680666

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	

Name of organization MALOTT FAMILY FOUNDATION	Employer identification number 36-3680666
--	--

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

Additional Data

[Return to Form](#)

Software ID:

Software Version:

TY 2020 IRS 990 e-File Render

Name: MALOTT FAMILY FOUNDATION

EIN: 36-3680666

Name of Stock	End of Year Book Value	End of Year Fair Market Value
US TRUST	21,187,300	23,304,845
BERKSHIRE HATHAWAY INC	860	21,835,401
METLER TOLEDO	4,175	684,656

TY 2020 IRS 990 e-File Render**Name:** MALOTT FAMILY FOUNDATION**EIN:** 36-3680666

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
EQT EXPANSION CAPITAL II	2,087,107	2,015,209	88,420
LEGACY VENTURE IV LLC	548,623	472,505	1,049,052
DE SHAW COMPOSITE	13,584	6,871	7,504
DE SHAW OCULUS	15,027,454	17,091,055	17,550,783
FORTRESS CREDIT OPPORTUNITY FUND III (B) LP	793,559	691,587	647,103
SULLIVAN DEBT FUND	503,856	500,611	470,407
MADISON REALTY CAPITAL DEBT FUND III	2,784,860	2,451,123	2,365,476
RENAISSANCE INSTITUTIONAL EQUITIES FUND LLC	12,154,813	10,630,396	9,793,355
DEERFIELD PRIVATE DESIGN FUND IV LP	1,772,914	2,044,844	2,815,445
LEGACY VENTURE VIII LLC	1,831,841	2,166,143	4,049,001
EQT VII (NO.2)	3,135,245	2,900,840	3,815,935
BROADFIN HEALTHCARE OFFSHORE FD	6,273,460	432,070	640,303
DEERFIELD RCA FEEDER IV, LP	36,971	42,042	0
EQT VIII	2,304,734	3,871,317	5,434,678
LEGACY VENTURE IX	625,730	1,850,496	2,448,095
DISTRIBUTION RECEIVABLE	1,095,071	0	0
EQT CAMERA SIDE CAR	299,301	725,908	0
WPC SEA II CAYMAN	192,500	851,400	941,015
CITADEL KENSINGTON GLOBAL STRATEGIES	4,000,000	3,498,597	5,000,947
DEERFIELD RE HOLDINGS IV	0	-552	0
LEGACY X	0	87,145	86,018
INVESTMENT CONTRIBUTION PAYABLE	0	-245,207	-245,207

TY 2020 IRS 990 e-File Render

Name: MALOTT FAMILY FOUNDATION

EIN: 36-3680666

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADMINISTRATIVE EXPENSES	19,150	1,000		18,150
INVESTMENT AND OFFICE EXPENSES	658,344	563,844		94,500

TY 2020 IRS 990 e-File Render**Name:** MALOTT FAMILY FOUNDATION**EIN:** 36-3680666

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FROM K-1 - FORTRESS CREDIT OPPORTUNITIES FUND III (B) LP	-1,380	-1,380	-1,380
FROM K-1 - SULLIVAN DEBT FUND LP	0	0	0
FROM K-1 - DEERFIELD PRIVATE DESIGN FUND IV LP	-59,685	-59,685	-59,685
DE SHAW COMPOSITE	-1,001	-1,001	-1,001
DE SHAW OCULUS	2,063,601	2,063,601	2,063,601
FROM K-1 - EQT EXPANSION CAPITAL II (NO.1) LP	9,983	9,983	9,983
FROM K-1 - LEGACY VENTURE IV LLC	1,386	1,386	1,386
FROM K-1 - MADISON REALTY CAPITAL DEBT FUND III LP	0	0	0
FROM K-1 - RENAISSANCE INSTITUTIONAL EQUITIES FUND LLC	6,040	6,040	6,040
FROM K-1 - LEGACY VENTURE VIII LLC	-357	-357	-357
FROM K-1 - EQT VII (NO.2)	1,907	1,907	1,907
FROM K-1 - LEGACY VENTURE IX LLC	-10	-10	-10
FROM K-1 - EQT CAMERA SIDE	0	0	0
FROM K-1 - DEERFIELD RE HOLDINGS IV	-186	-186	-186
FROM K-1 - LEGACY VENTURE X	0	0	0

TY 2020 IRS 990 e-File Render

Name: MALOTT FAMILY FOUNDATION

EIN: 36-3680666

Name	Address
ROBERT H MALOTT	333 N WACKER DRIVE STE 3100 CHICAGO,IL 60601

TY 2020 IRS 990 e-File Render

Name: MALOTT FAMILY FOUNDATION

EIN: 36-3680666

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL INCOME TAX PAID	25,000	25,000		0
FOREIGN TAXES PAID FROM PASS-THROUGH ENTITIES	19,376	19,376		0
PAYROLL TAXES	15,425	7,713		7,712