

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation ELI LILLY AND COMPANY FOUNDATION		A Employer identification number 35-6202479	
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 80007	Room/suite	B Telephone number (see instructions) (317) 276-2000	
City or town, state or province, country, and ZIP or foreign postal code INDIANAPOLIS, IN 46280		C If exemption application is pending, check here ☐	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 16,785,934	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	25,000,000			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	154,346	154,346		
	4 Dividends and interest from securities	108,516	108,516		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,868,786			
	b Gross sales price for all assets on line 6a 28,216,535				
	7 Capital gain net income (from Part IV, line 2)		1,868,786		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	82,542	78,512		
	12 Total. Add lines 1 through 11	27,214,190	2,210,160		
	13 Compensation of officers, directors, trustees, etc.	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	4,547	0		0
	b Accounting fees (attach schedule)	97,500	0		0
	c Other professional fees (attach schedule)	162,293	23,909		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	264,340	23,909		0
	25 Contributions, gifts, grants paid	27,493,096			27,493,096
	26 Total expenses and disbursements. Add lines 24 and 25	27,757,436	23,909		27,493,096
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-543,246			
	b Net investment income (if negative, enter -0-)		2,186,251		
	c Adjusted net income (if negative, enter -0-)				

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments	714,998	468,252	468,252	
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)	7,121,707	6,664,540	8,204,369	
	c	Investments—corporate bonds (attach schedule)	7,892,556	8,053,223	8,113,313	
	11	Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)				
	14	Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)					
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	15,729,261	15,186,015	16,785,934		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue.				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22).	0	0		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☒ and complete lines 24, 25, 29 and 30.					
	24	Net assets without donor restrictions	15,729,261	15,186,015		
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds				
	27	Paid-in or capital surplus, or land, bldg., and equipment fund				
	28	Retained earnings, accumulated income, endowment, or other funds				
	29	Total net assets or fund balances (see instructions)	15,729,261	15,186,015		
	30	Total liabilities and net assets/fund balances (see instructions) .	15,729,261	15,186,015		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	15,729,261
2	Enter amount from Part I, line 27a	2	-543,246
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	15,186,015
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	15,186,015

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a CORE ACTIVE	P		
b EQUITY INDEX	P		
c RUSSELL 2000 INDEX	P		
d PASSTHROUGH GAINS AND LOSSES (ST)	P		
e PASSTHROUGH GAINS AND LOSSES (LT)	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 13,067,441		12,884,521	182,920
b 11,555,427		10,500,688	1,054,739
c 3,040,931		2,962,540	78,391
d 112,783			112,783
e 439,953			439,953

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			182,920
b			1,054,739
c			78,391
d			112,783
e			439,953

Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,868,786
2	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			
	If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved			2
3 Reserved.			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved			8

Part VII

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.		
	Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved	1	30,389
All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	30,389
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	30,389
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	143,887
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d	7	143,887
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	113,498
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax Refunded	11	0

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			No
		1b		No
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. (2) On foundation managers.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?.			
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	6	Yes	
		7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) IN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>HTTPS://WWW.LILLY.COM/IMPACT/LILLY-FOUNDATION</u>	13	Yes	
14	The books are in care of ▶ <u>TIFFANY BENJAMIN</u> Telephone no. ▶ <u>(317) 276-2000</u> Located at ▶ <u>LILLY CORP CTR DROP CODE 1627 INDIANAPOLIS IN 46285</u> ZIP+4 ▶ _____			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions ☐ Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☒ No If "Yes," list the years ▶ <u>20____, 20____, 20____, 20____</u>			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ <u>20____, 20____, 20____, 20____</u>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes

☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes

☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes

☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.

☐ Yes

☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes

☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes

☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes

☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes

☒ No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes

☒ No

b

If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?

☐ Yes

☒ No

8

Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

☐ Yes

☒ No

Yes

No

5b

6b

No

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID RICKS	BOARD CHAIR	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
TIFFANY BENJAMIN	PRESIDENT	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
CRYSTAL WILLIAMS	SECRETARY	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
AARTI SHAH	BOARD MEMBER	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
ALFONSO ZULETA	BOARD MEMBER	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
ANAT HAKIM	BOARD MEMBER- PARTIAL YEAR	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
ANNE WHITE	BOARD MEMBER	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
DANIEL SKOVRONSKY	BOARD MEMBER	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
ILYA YUFFA	TREASURER- PARTIAL YEAR	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
JOHNA NORTON	BOARD MEMBER	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
JOSHUA SMILEY	TREASURER- PARTIAL YEAR	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
LEIGH ANN PUSEY	BOARD MEMBER	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
MELISSA STAPLETON BARNES	BOARD MEMBER	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
MICHAEL HARRINGTON	BOARD MEMBER- PARTIAL YEAR	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
MICHAEL B MASON	BOARD MEMBER	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
MYLES O'NEILL	BOARD MEMBER	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
PATRIK JONSSON	BOARD MEMBER	0	0	0
PO BOX 80007	1.00			

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
INDIANAPOLIS, IN 46280				
STEPHEN FRY	BOARD MEMBER	0	0	0
PO BOX 80007	1.00			
INDIANAPOLIS, IN 46280				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000. ▶				0

Form 990-PF (2020)

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
KATZ SAPPER & MILLER	ACCOUNTING AND GRANTS MANAGEMENT	97,500
800 E 96TH STREET SUITE 500 INDIANAPOLIS,IN 46240		
GLOBAL GIVING FOUNDATION	ADMINISTRATION OF MATCHING GIFTS	79,030
1110 VERMONT AVE SUITE 550 WASHINGTON,DC 20005		
CYBERGRANTS	ADMINISTRATION OF MATCHING GIFTS	59,354
300 BRICKSTONE SQUARE SUITE 601 ANDOVER,MA 01810		

Total number of others receiving over \$50,000 for professional services.

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	12,216,530
b	Average of monthly cash balances.	1b	2,177,956
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	14,394,486
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	14,394,486
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	215,917
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,178,569
6	Minimum investment return. Enter 5% of line 5.	6	708,928

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	708,928
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	30,389
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	30,389
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	678,539
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	678,539
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	678,539

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	27,493,096
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	27,493,096
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	27,493,096

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1	Distributable amount for 2020 from Part XI, line 7				678,539
2	Undistributed income, if any, as of the end of 2020:				
a	Enter amount for 2019 only.			0	
b	Total for prior years: 20____, 20____, 20____		0		
3	Excess distributions carryover, if any, to 2020:				
a	From 2015.	28,461,074			
b	From 2016.	23,386,263			
c	From 2017.	26,227,664			
d	From 2018.	23,968,598			
e	From 2019.	23,033,642			
f	Total of lines 3a through e.	125,077,241			
4	Qualifying distributions for 2020 from Part XII, line 4: ► \$ <u>27,493,096</u>				
a	Applied to 2019, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions).		0		
c	Treated as distributions out of corpus (Election required—see instructions).	0			
d	Applied to 2020 distributable amount				678,539
e	Remaining amount distributed out of corpus	26,814,557			
5	Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6	Enter the net total of each column as indicated below:				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	151,891,798			
b	Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d	Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e	Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f	Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8	Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	28,461,074			
9	Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	123,430,724			
10	Analysis of line 9:				
a	Excess from 2016	23,386,263			
b	Excess from 2017	26,227,664			
c	Excess from 2018.	23,968,598			
d	Excess from 2019	23,033,642			
e	Excess from 2020	26,814,557			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b

Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
a	"Assets" alternative test—enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test—enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a

The name, address, and telephone number or email address of the person to whom applications should be addressed:

b

The form in which applications should be submitted and information and materials they should include:

c

Any submission deadlines:

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV

Supplementary Information (continued)

3

Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> MATCHING GIFTS PAID - ADDITIONAL DETAILS REGARDING ORGANIZATIONS AVAILABLE UPON REQUEST DUE TO EFFILE LIMITATIONS INDIANAPOLIS,IN 46240	N/A	P C	MATCH OF EMPLOYEE DONATIONS	7,092,675
INDIANAPOLIS URBAN LEAGUE 777 INDIANA AVENUE INDIANAPOLIS,IN 46202	N/A	P C	ENTREPRENEURSHIP CENTER	250,000
INDIANA UNIVERSITY 400 E 7TH STREET POPLARS ROOM 501 BLOOMINGTON,IN 474053001	N/A	P C	AMPATH BREAST & CERVICAL CANCER CONTROL PROGRAM	591,535
TECHPOINT FOUNDATION FOR YOUTH 5255 WINTHROP AVE 4 INDIANAPOLIS,IN 46220	N/A	P C	STEM PARTNERS AND STATE ROBOTICS INITIATIVE	30,000
SOCIAL AND ENVIRONMENTAL ENTREPRENEURS 23564 CALABASAS ROAD SUITE 201 CALABASAS,CA 91302	N/A	P C	VOLUNTEER PROGRAMS 2020	13,310
UNITED WAY OF CENTRAL INDIANA 2955 NORTH MERIDIAN STREET SUITE 300 INDIANAPOLIS,IN 46208	N/A	P C	UNITED WAY CORPORATE MATCH (MATCHING OF DONATIONS BY LOCAL BUSINESSES)	964,144
MINORITY ENGINEERING PROGRAM OF INDIANA 8909 PURDUE RD SUITE 130 INDIANAPOLIS,IN 46268	N/A	P C	MEPI GENERAL OPERATING SUPPORT 2020	10,000
INDIANA UNIVERSITY 400 E 7TH STREET POPLARS ROOM 501 BLOOMINGTON,IN 474053001	N/A	P C	AMPATH MODEL REPLICATION IN GHANA	150,000
INDIANAPOLIS CULTURAL TRAIL INC 132 W WALNUT STREET INDIANAPOLIS,IN 46204	N/A	P C	INDIANAPOLIS CULTURAL TRAIL 2.0: EXPANSION ALONG SOUTH ST. AND INDIANA AVE/10TH ST.	333,333
INDIANAPOLIS PUBLIC SCHOOLS EDUCATION FOUNDATION INC 120 E WALNUT ST INDIANAPOLIS,IN 46226	N/A	P C	IPS MIDDLE SCHOOL PROJECT LEAD THE WAY	48,128
DURHAM PUBLIC SCHOOLS FOUNDATION 411 W CHAPEL HILL ST SUITE C2 DURHAM,NC 27701	N/A	P C	DURHAM PUBLIC SCHOOL FOUNDATION DIGITAL EQUITY CAMPAIGN	10,000
INDY CHAMPIONSHIPS LEGACY INC 201 S CAPITOL AVE STE 1200 INDIANAPOLIS,IN 46225	N/A	SO I	COMMUNITY EDUCATION AND SPORTS INITIATIVE	250,000
AMERICAN RED CROSS 1510 N MERIDIAN INDIANAPOLIS,IN 46202	N/A	P C	VOLUNTEER PROGRAMS 2020	7,800
JUNIOR ACHIEVEMENT OF CENTRAL INDIANA INC 8395 KEYSTONE CROSSING SUITE 102 INDIANAPOLIS,IN 46240	N/A	P C	JOBSPARK 2020 (GENERAL OPERATING SUPPORT)	30,000
STARFISH INC 6958 HILLSDALE COURT INDIANAPOLIS,IN 46250	N/A	P C	COACHING HIGH POTENTIAL, LOW INCOME LGDTO AND IMMIGRANT TEENS TO COLLEGE AND CAREER SUCCESS	15,000
UNITED NEGRO COLLEGE FUND INC 3737 N MERIDIAN STREET SUITE 507 INDIANAPOLIS,IN 46201	N/A	P C	GENERAL OPERATING SUPPORT 2020	10,000
INDIANAPOLIS PUBLIC SCHOOLS EDUCATION FOUNDATION INC 120 E WALNUT ST INDIANAPOLIS,IN 46226	N/A	P C	VOLUNTEER PROGRAMS 2020	27,000
INDIANA SPECIAL OLYMPICS INC 6200 TECHNOLOGY CENTER DR SUITE 105 INDIANAPOLLS,IN 46278	N/A	P C	VOLUNTEER PROGRAMS 2020	10,000
CHALKBEAT 1288 CONEY ISLAND AVENUE 300434 BROOKLYN,NY 11230	N/A	P C	CHALKBEAT INDIANA 2020	25,000
CENTRAL INDIANA COMMUNITY FOUNDATION 615 N ALABAMA STREET SUITE 300 INDIANAPOLIS,IN 46204	N/A	P C	CENTRAL INDIANA RACIAL EQUITY FUND	500,000
THE MIND TRUST INC 1630 N MERIDIAN ST SUITE 450 INDIANAPOLIS,IN 46202	N/A	P C	INDIANAPOLIS E- LEARNING LAB	250,000
THE STEM CONNECTION 8407 MOORE RD INDIANAPOLIS,IN 46278	N/A	P C	SUMMER PROGRAMMING 2020	12,500
CECP 1385 HWY 35 250 MIDDLETON,NJ 07748	N/A	P C	CECP GENERAL OPERATING SUPPORT 2020	15,000
YOUNG MENS CHRISTIAN ASSOCIATION OF GREATER INDIANAPOLIS 615 N ALABAMA STREET SUITE 200 INDIANAPOLIS,IN 462041432	N/A	P C	NORTH OF SOUTH YMCA - ADDITIONAL FUNDING	312,500
INDIANA BIOSCIENCES RESEARCH INSTITUTE INC 1345 W 16TH STREET SUITE 300 INDIANAPOLIS,IN 462022111	N/A	P C	IBRI OPERATING ENDOWMENT	5,000,000
TECHPOINT FOUNDATION INC DEVELOPERTOWN 5255 WINTROP AVE SUITE 4 INDIANAPOLIS,IN 46220	N/A	P C	THE CITY OF INDPLS. VEX ROBOTICS CHAMPIONSHIP 2020	25,000
CENTER FOR LEADERSHIP DEVELOPMENT INC 2425 DR MARTIN LUTHER KING JR STREET INDIANAPOLIS,IN 46208	N/A	P C	OPERATING SUPPORT 2019-2021	100,000
TEACH FOR AMERICA - INDIANAPOLIS PO BOX 36735 INDIANAPOLIS,IN 46236	N/A	P C	TO ADDRESS EDUCATIONAL INEQUITY LONG-TERM	250,000
CROSSROADS OF AMERICA COUNCIL BSA FOUNDATION INC 7125 FALL CREEK ROAD NORTH INDIANAPOLIS,IN 46256	N/A	P C	STEM SCOUTS 2020-2021	50,000
INDIANAPOLIS DOWNTOWN INC 111 MONUMENT CIRCLE SUITE 250 INDIANAPOLIS,IN 46204	N/A	P C	KEEPING DOWNTOWN INDY CLEAN, SAFE, AND BEAUTIFUL	50,000
GREATER INDIANAPOLIS PROGRESS COMMITTEE INC 200 E WASHINGTON ST SUITE 1901 INDIANAPOLIS,IN 46204	N/A	P C	INDIANAPOLIS E- LEARNING FUND	100,000
UNITED WAY OF CENTRAL INDIANA 2955 NORTH MERIDIAN STREET SUITE 300 INDIANAPOLIS,IN 46208	N/A	P C	COVID-19 COMMUNITY ECONOMIC RELIEF FUND (CERF)	500,000
INDIANA UNIVERSITY 400 E 7TH STREET POPLARS ROOM 501 BLOOMINGTON,IN 474053001	N/A	P C	AMPATH BREAST & CERVICAL CANCER CONTROL PROGRAM	1,469,631
INDIANA UNIVERSITY FOUNDATION SHOWALTER HOUSE 1500 N STATE ROAD 46 BYPASS BLOOMINGTON,IN 47408	N/A	P C	SCHOOL OF GLOBAL AND INTERNATIONAL STUDIES	10,000
INDIANAPOLIS PUBLIC SCHOOLS EDUCATION FOUNDATION INC 120 E WALNUT ST INDIANAPOLIS,IN 46226	N/A	P C	HIGH SCHOOL CAREER ACADEMIES	100,000
PROJECT HOPE THE PEOPLE TO PEOPLE HEALTH FOUNDATION INC 255 CARTER HALL LANE MILLWOOD,VA 22646	N/A	P C	DISASTER RELIEF 2020 - CHINA	150,000
PURDUE UNIVERSITY 610 PURDUE MALL WEST LAFAYETTE,IN 47907	N/A	P C	LILLY EXPERIENCE FOR TEACHERS OF STEM (LETS) 2019 - 2021	100,000
DIRECT RELIEF INTERNATIONAL 6100 WALLACE BECKNELL RD SANTA BARBARA,CA 93117	N/A	P C	DISASTER RELIEF 2020 - CHINA	100,000
UNITED FUND OF PUERTO RICO PO BOX 191914 SAN JUAN,PR 009191914	N/A	P C	DISASTER RELIEF 2020 - PUERTO RICO	100,000
AMERICAN RED CROSS 1510 N MERIDIAN INDIANAPOLIS,IN 46202	N/A	P C	DISASTER RELIEF 2020 - AUSTRALIA	100,000
CHILDRENS MUSEUM OF INDIANAPOLIS INCORPORATED 3000 N MERIDIAN STREET INDIANAPOLIS,IN 46208	N/A	P C	THE CENTER FOR FAMILY LEARNING AND STEM	300,000
CHILDRENS MUSEUM OF INDIANAPOLIS INCORPORATED 3000 N MERIDIAN STREET INDIANAPOLIS,IN 46208	N/A	P C	GIRLS AND YOUNG WOMEN IN STEM IN MISSION JURASSIC	600,000
FINANCIAL EXECUTIVES RESEARCH FOUNDATION 1250 HEADQUARTERS PLAZA WEST TOWER 7TH FLOOR MORRISTOWN,NJ 07960	N/A	P C	FERF GENERAL OPERATING SUPPORT 2020	7,000
INDIANA UNIVERSITY 400 E 7TH STREET POPLARS ROOM 501 BLOOMINGTON,IN 474053001	N/A	P C	INDIANA UNIVERSITY - AMPATH CONSORTIUM SECRETARIAT	130,000
THE MIND TRUST INC 1630 N MERIDIAN ST SUITE 450 INDIANAPOLIS,IN 46202	N/A	P C	HIGH QUALITY SCHOOLS PLAN (GROW GREAT SCHOOLS CAMPAIGN)	350,000
UNITED WAY OF CENTRAL INDIANA 2955 NORTH MERIDIAN STREET SUITE 300 INDIANAPOLIS,IN 46208	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	6,604,528
UNITED FUND OF PUERTO RICO PO BOX 191914 SAN JUAN,PR 009191914	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	117,210
UNITED WAY EMERALD COAST INC 112 TUPELO AVENUE SE FT WALTON BEACH,FL 32548	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	7,133
UNITED WAY OF CENTRAL INDIANA 2955 NORTH MERIDIAN STREET SUITE 300 INDIANAPOLIS,IN 46208	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	9,614
UNITED WAY OF COASTAL CAROLINA 601 BROAD STREET NEW BERN,NC 28563	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	7,133
UNITED WAY OF GREATER PHILADELPHIA AND SOUTHERN NEW JERSEY 1800 JFK BLVD SUITE 1200 PHILADELPHIA,PA 19103	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	27,155
UNITED WAY OF MASSACHUSETTS BAY AND MERRIMACK VALLEY 51 SLEEPER STREET BOSTON,MA 02210	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	10,714
UNITED WAY OF NEW YORK CITY 205 E 42ND STREET 12TH FLOOR NEW YORK,NY 10017	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	7,019
UNITED WAY OF NORTHERN CALIFORNIA 2280 BENTON DRIVE BLDG B REDDING,CA 96003	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	7,133
UNITED WAY OF NORTHERN NEW JERSEY 222 RIDGEDALE AVENUE 301 CEDAR KNOLLS,NJ 07927	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	20,196
UNITED WAY OF NORTHERN NEW JERSEY 222 RIDGEDALE AVENUE 301 CEDAR KNOLLS,NJ 07927	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	6,000
UNITED WAY OF NORTHWEST FLORIDA PO BOX 586 PANAMA CITY,FL 32402	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	7,133
UNITED WAY OF SAN DIEGO COUNTY 4699 MURPHY CANYON ROAD SAN DIEGO,CA 98123	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	106,562
UNITED WAY OF THE NATIONAL CAPITAL AREA 1577 SPRING HILL ROAD SUITE 420 VIENNA,VA 22182	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	6,010
Total			3a	27,493,096
b <i>Approved for future payment</i> CHILDRENS MUSEUM OF INDIANAPOLIS INCORPORATED 3000 N MERIDIAN STREET INDIANAPOLIS,IN 46208	N/A	P C	GIRLS AND YOUNG WOMEN IN STEM IN MISSION JURASSIC	2,400,000
INDIANAPOLIS CULTURAL TRAIL INC 132 W WALNUT STREET INDIANAPOLIS,IN 46204	N/A	P C	INDIANAPOLIS CULTURAL TRAIL 2.0: EXPANSION ALONG SOUTH ST. AND INDIANA AVE/10TH ST.	333,333
CENTER FOR LEADERSHIP DEVELOPMENT INC 2425 DOCTOR MLK JR ST INDIANAPOLIS,IN 46208	N/A	P C	OPERATING SUPPORT 2019-2021	100,000
INDY CHAMPIONSHIPS LEGACY INC 115 W WASHINGTON STREET SUITE 1400 INDIANAPOLIS,IN 46204	N/A	SO I	COMMUNITY EDUCATION AND SPORTS INITIATIVE	500,000
THE MIND TRUST INC 1630 N MERIDIAN ST SUITE 450 INDIANAPOLIS,IN 46202	N/A	P C	HIGH QUALITY SCHOOLS PLAN (GROW GREAT SCHOOLS CAMPAIGN)	300,000
TRUSTEES OF PURDUE UNIVERSITY HOVDE HALL ROOM 247 610 PURDUE MALL WEST WEST LAFAYETTE,IN 47907	N/A	P C	LILLY EXPERIENCE FOR TEACHERS OF STEM (LETS) 2019 - 2021	100,000
TEACH FOR AMERICA INC 25 BROAD 12TH FLOOR NEW YORK,NY 10004	N/A	P C	TO ADDRESS EDUCATIONAL INEQUITY LONG-TERM	250,000
INDIANA UNIVERSITY 400 E 7TH STREET POPLARS ROOM 501 BLOOMINGTON,IN 47405	N/A	P C	AMPATH BREAST & CERVICAL CANCER CONTROL PROGRAM	557,946
INDIANA UNIVERSITY 400 E 7TH STREET POPLARS ROOM 501 BLOOMINGTON,IN 47405	N/A	P C	INDIANA UNIVERSITY - AMPATH CONSORTIUM	400,000
GREATER TWIN CITIES UNITED WAY 404 S 8TH STREET MINNEAPOLIS,MN 55404	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	7,133
UNITED FUND OF PUERTO RICO PO BOX 191914 SAN JUAN,PR 009191914	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	109,768
UNITED WAY OF CENTRAL INDIANA 2955 NORTH MERIDIAN STREET SUITE 300 INDIANAPOLIS,IN 46208	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	367
UNITED WAY OF CENTRAL INDIANA 2955 NORTH MERIDIAN STREET SUITE 300 INDIANAPOLIS,IN 46208	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	3,550,937
UNITED WAY OF GREATER PHILADELPHIA AND SOUTHERN NEW JERSEY 1800 JFK BLVD SUITE 1200 PHILADELPHIA,PA 19103	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	25,358
UNITED WAY OF KING COUNTY 720 SECOND AVE SEATTLE,WA 98104	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	7,133
UNITED WAY OF MASSACHUSETTS BAY AND MERRIMACK VALLEY 51 SLEEPER STREET BOSTON,MA 02210	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	22,617
UNITED WAY OF NEW YORK CITY 205 E 42ND STREET 12TH FLOOR NEW YORK,NY 10017	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	8,367
UNITED WAY OF NORTHERN NEW JERSEY 222 RIDGEDALE AVENUE 301 CEDAR KNOLLS,NJ 07927	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	17,030
UNITED WAY OF SAN DIEGO COUNTY 4699 MURPHY CANYON ROAD SAN DIEGO,CA 92123	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	113,566
UNITED WAY OF SAN DIEGO COUNTY 4699 MURPHY CANYON ROAD SAN DIEGO,CA 92123	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	7,133
UNITED WAY OF SOUTHWEST LOUISIANA 815 RYAN STREET LAKE CHARLES,LA 70601	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	7,133
UNITED WAY OF THE NATIONAL CAPITAL AREA 1577 SPRING HILL ROAD SUITE 420 VIENNA,VA 22182	N/A	P C	MATCH OF EMPLOYEE CONTRIBUTIONS TO UW CAMPAIGN	2,837
UNITED WAY OF CENTRAL INDIANA 2955 NORTH MERIDIAN STREET SUITE 300 INDIANAPOLIS,IN 46208	N/A	P C	UNITED WAY CORPORATE MATCH (MATCHING OF DONATIONS BY LOCAL BUSINESSES)	3,550,937
MATCHING GIFTS PAID - ADDITIONAL DETAILS REGARDING ORGANIZATIONS AVAILABLE UPON REQUEST DUE TO EFFILE LIMITATIONS INDIANAPOLIS,IN 46208	N/A	P C	MATCHING OF EMPLOYEE DONATIONS	1,364,372
INDIANA UNIVERSITY 400 E 7TH STREET POPLARS ROOM 501 BLOOMINGTON,IN 47405	N/A	P C	AMPATH MODEL REPLICATION IN GHANA	300,000
UNITED WAY WORLDWIDE 701 N FAIRFAX ST ALEXANDRIA,VA 22314	N/A	P C	CHINA NATIONAL CENTER FOR CHRONIC DISEASE CONTROL	367,514
Total			3b	14,403,484

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
Enter gross amounts unless otherwise indicated.				
1 Program service revenue:				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments.				
3 Interest on savings and temporary cash investments		14	154,346	
4 Dividends and interest from securities		14	108,516	
5 Net rental income or (loss) from real estate:				
a Debt-financed property.				
b Not debt-financed property.				
6 Net rental income or (loss) from personal property				
7 Other investment income.		14	78,512	
8 Gain or (loss) from sales of assets other than inventory		18	1,868,786	
9 Net income or (loss) from special events:				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue:				
a STOP PAY AND VOIDS _____		01	500	
b MISCELLANEOUS INCOME _____		01	3,530	
c _____				
d _____				
e _____				
12 Subtotal. Add columns (b), (d), and (e).	0		2,214,190	0
13 Total. Add line 12, columns (b), (d), and (e).		13		2,214,190

[illegible]

Part XVII

Yes	No
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	No
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	No
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No

No

No

No

No

	No
--	----

No

ue

[illegible]

☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

May the IRS discuss this return with the preparer shown below
(see instr.) ☒ Yes ☐ No

2021-11-04

Title

May the IRS discuss this return with the preparer shown below
(see instr.) ☒ Yes ☐ No

INDIANAPOLIS, IN 46240

Additional Data

Return to Form

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to www.irs.gov/Form990 for the latest information.	OMB No. 1545-0047
		2020
Name of the organization ELI LILLY AND COMPANY FOUNDATION		Employer identification number 35-6202479

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization ELI LILLY AND COMPANY FOUNDATION	Employer identification number 35-6202479
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Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ELI LILLY AND COMPANY LILLY CORPORATE CENTER INDIANAPOLIS, IN 46285	\$ 25,000,000	☒ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
ELI LILLY AND COMPANY FOUNDATION

Employer identification number
35-6202479

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	

Name of organization ELI LILLY AND COMPANY FOUNDATION	Employer identification number 35-6202479
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

Additional Data

[Return to Form](#)

Software ID:

Software Version:

TY 2020 IRS 990 e-File Render

Name: ELI LILLY AND COMPANY FOUNDATION
EIN: 35-6202479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	97,500	0		0

TY 2020 IRS 990 e-File Render

Name: ELI LILLY AND COMPANY FOUNDATION

EIN: 35-6202479

Identifier	Return Reference	Explanation
	FORM 990-PF, PART VII-B, LINES 1A(3) AND 1B	LINE 1A(3) IS ANSWERED YES BECAUSE ELI LILLY AND COMPANY (COMPANY) IS A SUBSTANTIAL CONTRIBUTOR TO THE ELI LILLY AND COMPANY FOUNDATION (FOUNDATION), AND THEREFORE CONSIDERED A DISQUALIFIED PERSON. LINE 1B IS ANSWERED "NO" BECAUSE THE SERVICES AND FACILITIES FURNISHED BY THE COMPANY TO THE FOUNDATION ARE PROVIDED WITHOUT CHARGE AND USED EXCLUSIVELY FOR SECTION 501(C)(3) PURPOSES, ONE OF THE EXCEPTIONS TO SELF-DEALING DESCRIBED IN REGULATIONS SECTION 53.4341(D)-3.

TY 2020 IRS 990 e-File Render

Name: ELI LILLY AND COMPANY FOUNDATION

EIN: 35-6202479

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORE ACTIVE BOND FUND B	8,053,223	8,113,313

TY 2020 IRS 990 e-File Render

Name: ELI LILLY AND COMPANY FOUNDATION

EIN: 35-6202479

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITY INDEX FUND B	5,471,412	6,566,859
RUSSELL 2000 INDEX FUND B	1,193,128	1,637,510

TY 2020 IRS 990 e-File Render

Name: ELI LILLY AND COMPANY FOUNDATION

EIN: 35-6202479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	4,547	0		0

TY 2020 IRS 990 e-File Render

Name: ELI LILLY AND COMPANY FOUNDATION

EIN: 35-6202479

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CORE ACTIVE BOND FUND B	68,248	68,248	68,248
EQUITY INDEX FUND B	4,207	4,207	4,207
RUSSELL 2000 INDEX FUND B	6,057	6,057	6,057
STOP PAY AND VOIDS	500		500
MISCELLANEOUS INCOME	3,530		3,530

TY 2020 IRS 990 e-File Render

Name: ELI LILLY AND COMPANY FOUNDATION

EIN: 35-6202479

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANTS MANAGEMENT FEES	138,384	0		0
INVESTMENT FEES	23,909	23,909		0